

Establishing an Open Access mandate at the University of Pretoria

Mr Robert Moropa & Elsabé Olivier
Unisa Library Open Scholarship Seminar 20 October 2010



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Contents

- What is Open Access / Institutional mandate
- UP background
- Our repositories & the Open Scholarship programme
- Pioneers of Open Access mandates
- Development of the UP mandate
- Successes
- Challenges
- Future plans



What is Open Access?

- Digital, online, free of charge, free of most copyright and licensing restrictions
- But it is peer reviewed
- Based on the belief that public funded research needs to be freely available
- Golden Open Access
 - Publish articles in Open Access journals
- Green Open Access
 - Submit articles published in non-Open Access journals in repositories



What is an institutional mandate?

An Open Access self archiving mandate is a **policy** adopted by a research institution (e.g., a university) that requires **researchers** (e.g., university faculty) to make their published, peer-reviewed **journal and conference papers open access** (freely accessible to all potential users online, OA) by depositing their final, peer-reviewed drafts or post-prints in an **open access institutional repository**



Background on the University of Pretoria

- Contact students
 - 31578 undergraduates
 - 12089 postgraduates students
- Distance students (Education faculty)
 - 9576 undergraduates
 - 10213 postgraduates
- 3213 academic staff members
- 9 Faculties 84 academic departments
- Annual research output 1644 articles in 2009



UPeTD & UPSpace – our institutional repositories

- ETD programme was launched in 2000
- 2003 submissions became mandatory
- UPeTD current total : 5928
- Research papers were next on agenda
- DSpace was implemented in 2006 - UPSpace
- openUP : collection of research articles
- Long period of experimentation
- openUP total : 4812



UPSpace at the University of Pretoria: Home - Windows Internet Explorer

http://repository.up.ac.za/

File Edit View Favorites Tools Help

Convert Select

Favorites Safety Tools ?

The UP repository UPSpace. The article collection is openUP

UPSpace
Research Repository of the University of Pretoria, South Africa

Search UPSpace
Go
Advanced Search
Home

Browse
Communities & Collections
Titles
Authors
Subjects
By Date

Sign on to:
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About UPSpace

Welcome to UPSpace, the full text open access digital research repository of the University of Pretoria!
Number of full text items archived since January 2006: 11 922.

Communities in UPSpace
Choose a community to browse its collections.

- [Centre for the Study of AIDS \(CSA\) \(2 items\)](#)
- [Economic & Management Sciences \(1 071 items\)](#)
- [Education \(558 items\)](#)
- [Education Innovation \(47 items\)](#)
- [Engineering, Built Environment & Information Technology \(3 833 items\)](#)
- [Health Sciences \(762 items\)](#)
- [Humanities \(634 items\)](#)
- [Law \(455 items\)](#)
- [Library Services \(280 items\)](#)
- [Mapungubwe Collections \(66 items\)](#)
- [Natural & Agricultural Sciences \(1 194 items\)](#)
- [OpenUP \(4 812 items\)](#)
- [Research and Innovation \(1 item\)](#)
- [South African National Veterinary Repository \(235 items\)](#)
- [Special Collections \(1 249\)](#)
- [Theology \(1 625 items\)](#)
- [UP E-Press \(5 items\)](#)
- [UP Executive Office \(20 items\)](#)
- [UPSpace \(214 items\)](#)
- [University of Pretoria Archives \(279 items\)](#)
- [Veterinary Science \(726 items\)](#)

Chat with UPSpace
Offline

Search other repositories
[IRSpace](#) (SA & Africa)
[SciELO](#) (Worldwide)
[OAISTER](#) (Worldwide)
[Google Scholar](#)
[Google](#)
[NDLTD](#) (ETDs Worldwide)

UPSpace supports Open Access!
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[UP Open Access Mandate](#)

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[UPSpace Quick Guide](#) (add-on to Submission Guide)


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Use [Unicode](#)
Best viewed with [Firefox](#)

Copyright Help

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The UP repository UPeTD for theses and dissertations



University of Pretoria

NEWS

Elsabe Olivier elected to the NDLTD board


UPeTD has improved its rating in the international Webometrics ranking of institutional repositories once more. We are now **no. 65** worldwide in terms of content, size and visibility. [Read more](#)

UP receives two NDLTD awards. *Monica Hammes* for ETD leadership, and *Jacques Orton* for Innovative learning through ETDs. [Read more](#)

UPeTD celebrates [10 years of success](#)

Electronic Theses and Dissertations

About UPeTD **Guidelines for Students** **Search the UP Web** **Afrikaanse weergawe** **Copyright** **Contact Us**



UPeTD for

- Access to UP theses
- UP student guidelines and support for online submission
- Access to the submission system

University of Pretoria Electronic Theses and Dissertations

Welcome to the University of Pretoria electronic theses and dissertations service

- search the UPeTD collection
- browse the UPeTD collection
- access to UP theses and dissertations in paper format
- other etd collections worldwide
- submit your thesis or dissertation online
- copyright and intellectual property

Database pages best viewed in Internet Explorer

NDLTD

[UPeTD forms part of the Networked Digital Library of Theses and Dissertations](#)

It is compulsory for UP students to submit their theses and dissertations to UPeTD

About UPeTD **Guidelines for Students** **Copyright** **Contact Us**

Enquiries: upetd@up.ac.za University of Pretoria. Copyright © 2004. Privacy policy and terms of use. Revised: 07/26/2010

Open Scholarship Programme

- 2007 the Open Scholarship programme was formalized by Ms Monica Hammes
- Office & 2 full time staff members
- Open Access areas:
 - Archiving of the electronic theses and dissertations (UPeTD)
 - Archiving of the research papers (openUP)
 - Collaboration with the Department of Research & Innovation
 - Advice and facilitation of Open Access journal initiatives on campus
- Objective: **To change scholarship practice at UP towards becoming an Open Scholarship institution**



University of Pretoria. Department of Library Services: Open Scholarship Programme (openUP) - Windows Internet Explorer

http://www.library.up.ac.za/openup/index.htm

The UP Open Scholarship Programme

University of Pretoria. Department of ...

UP Library Web WWW

English Afrikaans

Department of Library Services

Library Home

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openUP

Open Scholarship Programme

Research Papers

Theses and Dissertations

Research Report support

Open Access Journals

Open Access Policies

Author Tools

Submission Quick Guide (PDF)

Copyright Addendum

Accredited OA Journals (SA)

Access to the research paper submission system

Access theses/dissertations submission system

Access to UP research papers

Contact Us

openUP Wiki

openUP Strategy Map

UP Open Access Scorecard

OA Day

Chat with the Webmaster

Offline

Green indicates that the webmaster is available for web inquiries

Library Home >> Open Scholarship

UP Open Scholarship Programme (openUP)

About

The UP Open Scholarship Programme has the following objective:
To change scholarship practice at UP towards becoming an Open Scholarship institution with these characteristics

1. Theses and dissertations are available online and open access based on a policy of mandatory submission

2. Research and conference papers are available online and open access and researchers actively contribute based on a policy of mandatory submission

3. Researchers and students actively use open access material

4. Researchers publish in available open access journals and the institution has policy and financial support in place for that

5. Researchers actively manage the copyright of their publications, inter alia with addenda to their contracts or using Creative Commons contracts, and the necessary policy exists

6. Publications from the institution's press/publishing house are available in open access based on policy

7. The institution publishes its own online open access journals OR provides infrastructure and support for members of its community who are involved with society publishing

8. Dissemination forms part of its publication strategies

Click here to view the Strategy Map for the programme

Click here to view the UP Open Access Scorecard

Recent documents about the programme

UP mandate Africa - SPARC

Open Scholarship and research reporting in tandem : creating more value by Elsabe Olivier

The open access mandate : a key element of open scholarship by Monica Hammes

Third generation balanced scorecards for libraries by Monica Hammes

The Open Scholarship programme is managed by the Open Scholarship Office in the Merensky Library on the Hatfield Campus.

The following four sub-programmes are in operation:

News

UP on NDLT Board

Elsabé Olivier, Manager of the UP Open Scholarship programme has been elected to represent Africa on the NDLT board.

UPeTD ranking improves from 95 to 65

In July 2010 UPeTD was ranked no. 65 worldwide in terms of content, size and visibility by the international Webometrics ranking.

UP receives two 2010 NDLT awards

The NDLT (Networked Digital Library of Theses and Dissertations) consortium annually recognizes students who have written exemplary theses or dissertations as well as leaders who have made significant contributions to the worldwide ETD movement.

Monica Hammes, recently retired deputy director of the Library Services who started the UP Open Scholarship programme received a leadership award.

Jacques Orton received an Innovative Learning through ETDs Award for his master's dissertation "Urban Agriculture: A Community Development Project". Jacques explored the possibilities in shifting ecological, environmental and economic trends towards long-term sustainability in post-apartheid South Africa. His plans to revitalize an aging urban center in the outskirts of Pretoria are now the talk of scholars and urban planners who are advocating for legislative policy change. His vision presents the tremendous potential to turn-around the

Search

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Google Scholar Search

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OAKList

Directory of OA Journals

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Interlending Info - Requests

StudentWeb

IntraUP

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International pioneers of Open Access mandates

- We're in good company!
- Queensland University of Technology in Australia 2003
- University of Minho in Portugal 2005
- Harvard University Faculty of Arts & Sciences 2008 – first USA
- MIT
- University College London
- University of Zurich 2006
- Over 40 research funders e.g. National Institute of Health, Wellcome Trust



CERN - The Large Hadron Collider - Windows Internet Explorer

http://public.web.cern.ch/public/en/lhc/lhc-en.html

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CERN European Organization for Nuclear Research

About us Science Research The LHC People



Why the LHC

How the LHC works

The LHC experiments

ALICE

ATLAS

CMS

LHCb

TOTEM

LHCf

Computing

The safety of the LHC

Facts and figures

LHC Milestones

The Large Hadron Collider

Our understanding of the Universe is about to change...

The Large Hadron Collider (LHC) is a gigantic scientific instrument near Geneva, where it spans the border between Switzerland and France about 100 m underground. It is a particle accelerator used by physicists to study the smallest known particles – the fundamental building blocks of all things. It will revolutionise our understanding, from the minuscule world deep within atoms to the vastness of the Universe.

Two beams of subatomic particles called 'hadrons' – either protons or lead ions – will travel in opposite directions inside the circular accelerator, gaining energy with every lap. Physicists will use the LHC to recreate the conditions just after the Big Bang, by colliding the two beams head-on at very high energy. Teams of physicists from around the world will analyse the particles created in the collisions using special detectors in a number of experiments dedicated to the LHC.

There are many theories as to what will result from these collisions, but what's for sure is that a brave new world of physics will emerge from the new accelerator, as knowledge in particle physics goes on to describe the workings of the Universe. For decades, the Standard Model of particle physics has served physicists well as a means of understanding the fundamental laws of Nature, but it does not tell the whole story. Only experimental data using the higher energies reached by the LHC can push knowledge forward, challenging those who seek confirmation of established knowledge, and those who dare to dream beyond the

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Development of the UP Open Access mandate

- Little resistance & general approval
- Awareness raising & demonstrations
- Extensive work on the collections & copyright
- Visits to the deans
- Presentation to UP Senate
- Adoption May 2009
- Policy of mandatory submission of all UP research papers
<http://www.library.up.ac.za/openup/mandates.htm>
- Mandate is embedded in the UP research reporting process



Mandate Policy

- Position statement

UP supports free access to the research literature for all researchers

- Purpose

- All published UP research is available for use within the university
- Anyone has free access to it
- UP research report is complemented with the full text of research papers
- Mechanism exist for the long-term preservation of UP research publications



Policy statement

- To assist the University of Pretoria in providing open access to scholarly articles and conference papers resulting from research done at the University, and which are wholly or in part supported by public funding, staff and students are *required to*
 - submit peer-reviewed postprints of their articles and published conference papers to UPSpace, the University's institutional repository (<https://www.up.ac.za/dspace/>), **AND**
 - give the University permission to a) make the content freely available and b) to take necessary steps to preserve files in perpetuity



Mandate recommendations

- Avoid transfer of copyright
- Official UP author addendum
- Publish in accredited Open Access journals



The official UP Author addendum which modifies & supplements the Publication agreement with the publisher

UNIVERSITY OF PRETORIA



AUTHOR'S ADDENDUM TO PUBLICATION AGREEMENT

1. THIS ADDENDUM hereby modifies and supplements the attached Publication Agreement concerning the following Article:

(manuscript title)

(journal name)

2. The parties to the Publication Agreement as modified and supplemented by this Addendum are:

(the Publisher)

(corresponding author, Individually or, if more than more author, collectively, Author)

3. This Addendum and the Publication Agreement, taken together, allocate all rights under copyright with respect to all versions of the Article. The parties agree that wherever there is any conflict between this

The UP Accredited Open Access Journals list for South Africa



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Department of Library Services

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AOAJSA

Accredited Journals
Journal Abbreviations
Journal Citation Reports
Open Access
Open Scholarship

Science Citation Index Expanded®
(Web of Science)
Social Sciences Citation Index®
(Web of Science)
Arts & Humanities Citation Index®
(Web of Science)
IBSS (International Bibliography of
the Social Sciences)
Approved South African Journals
(by Department of Education)

Contact

Library Home >> AOAJSA

Accredited Open Access Journals - South Africa (AOAJSA)

AOAJSA is a list of open access journals acknowledged by the South African Department of Education and which qualify for university subsidy. These journals appear in one or more of the following:

Science Citation Index Expanded® (Web of Science)
Social Sciences Citation Index® (Web of Science)
Arts & Humanities Citation Index® (Web of Science)
IBSS (International Bibliography of the Social Sciences)
Approved South African Journals (by Department of Education) 2010

- Open Access journals are funded by grants, subsidies or by publication fees which are paid by the author(s), or their research organizations or funders, at the time of publication.
- Basic publication fees (also known as page charges or author fees) have been verified in October 2009. Please visit the journal's web site for more detailed information and to see the journal's latest impact factor.
- No cost information on the Internet indicated as ??
- Cost is per article unless otherwise indicated
- Read more about open access journals at http://en.wikipedia.org/wiki/Open_access_journal

AOAJSA is a work in progress by the University of Pretoria Department of Library Services. Please send suggestions and comments to openup@up.ac.za, or to Marie Swanepoel.

A - B - C - D - E - F - G - H - I - J - K - L - M - N - O - P - Q - R - S - T - U - V - W - Y - Z

Title	ISSN	Publisher	Subject	Start	Publication Fee
Acta Biologica Cracoviensia Series Botanica <small>new</small>	0001-5296	Polish Academy of Sciences Publishing	plant anatomy botany morphology cytology genetics tissue culture biosystematics embryology physiology	2005	\$20.00
Acta Chimica Slovenica	1318-0207 1580-3155	Slovenian Chemical Society	chemistry	1998	??
Acta Chromatographica	1233-2356	University of Silesia	chemistry, chromatography	1996	??
Acta Cirurgica Brasileira	0102-8650	Sociedade Brasileira para o Desenvolvimento da Pesquisa em	health sciences	1997	FREE

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Directory of open access journals - Windows Internet Explorer

http://www.doaj.org/

The Directory of Open Access Journals

SPARC EUROPE AWARD 2009
For Outstanding Achievements in Scholarly Communications

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DOAJ - Directory of Open Access Journals, 2010, [Lund University Libraries](#), Head Office

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Open Scholarship Programme (library) responsibility

- Assess all submissions
 - to ensure that they are within the scope of the repository
 - that publishers' regulations are adhered to
 - that the appropriate version of the article is archived
 - declared standards are maintained
 - manage the embargo facility to delay access
- Will supply information, guidance and support to submitters



Author responsibility

- Provide the postprints
- The author's final peer reviewed and corrected draft/manuscript of a research article which has been accepted for publication by an accredited journal without the publisher's
 - copy-editing
 - formatting
 - mark-up
 - branding



http://repository.up.ac.za/upspace/bitstream/2263/14665/1/Moropa_Academic%282010%29.pdf - Windows Internet Explorer

http://repository.up.ac.za/upspace/bitstream/2263/14665/1/Moropa_Academic%282010%29.pdf

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ACADEMIC LIBRARIES IN TRANSITION March 4, 2010

Academic Libraries in Transition: some leadership issues – a viewpoint

Robert Moropa
University of Pretoria, Pretoria, South Africa

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Academic_libraries[1].pdf - Adobe Acrobat Pro

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 The current issue and full text archive of this journal is available at
www.emeraldinsight.com/0143-5124.htm

Academic libraries in transition: some leadership issues – a viewpoint

Academic librarians in transition

381

Received 5 March 2010
Revised 6 March 2010
Accepted 11 March 2010

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University of Pretoria, Pretoria, South Africa

Abstract

Purpose – Academic libraries, in general and more specifically in Africa, are at varying stages of decline: they are gradually being dislodged as top “go-to” places. This paper aims to suggest that leaders of academic libraries turn to the private sector to learn strategies through which this decline can be stopped or even reversed.

Design/methodology/approach – The aim of this paper is to facilitate that learning process by identifying and analysing the errors or mistakes committed by leaders of other organisations, especially those that were once regarded as successful. The literature that focuses on this phenomenon will be scanned with a view to learning more about once successful companies that failed.

Findings – Factors such as arrogance, complacency and denial have been identified as some of the factors that contributed towards their failure.

Practical implications – The paper concludes with a discussion of this experience at the University of Pretoria and the strategies applied to prevent it from being marginalized.

Originality/value – The value of the paper lies in the identification and suggestion of a practical method, which leaders of academic libraries can employ in preventing or reversing the decline of their libraries.

Keywords Leaders, Leadership, Academic libraries, Universities, Africa

Paper type Viewpoint

ROARMAP - Windows Internet Explorer

http://www.eprints.org/openaccess/policysignup/

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Favorites ROARMAP

ROARMAP is the Registry of Open Access Material Archiving Policies - indexes the world's institutional, funder and governmental OA mandates and the UP mandate is also registered

eprints

HOME SOFTWARE SERVICES **OPEN ACCESS**

ROARMAP (Registry of Open Access Repository Material Archiving Policies)

as recommended by the [Berlin Declaration](#)

- [Register your Institutional Policy](#) in ROARMAP
- also [register your Institutional Repository](#) in ROAR

Summary By Type

98	INSTITUTIONAL	4	PROPOSED INSTITUTIONAL
25	DEPARTMENTAL	4	PROPOSED DEPARTMENTAL
46	FUNDER	8	PROPOSED FUNDER
65	THESIS	0	PROPOSED THESIS
0	MULTI-INSTITUTIONAL	5	PROPOSED MULTI-INSTITUTIONAL
234	TOTAL MANDATES	21	TOTAL PROPOSED MANDATES

Number of Mandates **Number of Repositories**

Country	Institution	OA Archive(s)	OA Policy
AUSTRALIA thesis-mandate	Australian Defence Force Academy @ UNSW	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details
AUSTRALIA thesis-mandate	Curtin University	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details
AUSTRALIA thesis-mandate	Griffith University	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details
AUSTRALIA thesis-mandate	James Cook University	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details
AUSTRALIA thesis-mandate	Monash University	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details
AUSTRALIA thesis-mandate	Murdoch University	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details
AUSTRALIA thesis-mandate	Queensland University of Technology	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details
AUSTRALIA thesis-mandate	RMIT University	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details
AUSTRALIA thesis-mandate	Swinburne University	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details
AUSTRALIA thesis-mandate	The University of Melbourne	http://adt.caul.edu.au/memberinformation/mandatorysites/	Policy details

Done

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Implementation

- Tough job!
- Advocacy & marketing needs to be done
- UP follows the mediated approach
- Policy needs:
 - Authority
 - Resources (review submissions, check copyright, develop policy)
- Research indicates that implementation takes 3 – 4 years (Armbruster: 2010)



Factors that contribute to openUP's success

- Appointment of dedicated Open Scholarship Manager
- Office checks copyright/archiving policies on SHERPA
- Archiving rights are negotiated on behalf of authors
- Permission rights have been secured with DOE journals
- Database of rights has been developed & is maintained
- Collaboration with the Department of Research & Innovation
- Annual library finds : 134 in 2009 (73.82 units – unit equals R90 000)
- Full text of the articles are linked to the research report
 - 56% in 2007, 62% in 2008, 62% in 2009



Benefits for UP authors

- Increased visibility & accessibility
- Maximise the impact of UP research
- Ease annual research reporting
- New networking opportunities
- Speeds research progress & productivity



Challenges & hurdles

- Uptake to self-archive by authors is very low
 - “I do not have the time”
 - “My papers are already available on our departmental website”
 - “I am worried about the publisher’s copyright regulations”
 - “Archiving in a repository makes it easy to plagiarize”
 - “I don’t want to archive the post-print version of my research paper”
 - “I don’t save the post-print”
 - “What is a post-print?”
 - “I don’t want to jeopardise my good standing with my publisher/editor”
 - “This was the library’s bright idea – appoint staff to do it”



Future plans

- More author training & departmental advocacy
- Simplify submission / archiving for UP authors
- Enhanced statistical feature in UPSpace
- Open Access fund to pay for Open Access publications
- Dedicated staff who visit faculty to assist in submissions
- Direct deposits from publishers



Conclusion

- Self-archiving does not necessarily follow a mandate
- Implementation requires responsibility and commitment
- Resources should be allocated for its management
- We firmly believe that once the researchers reap the benefits they will start contributing actively
- ALL researchers benefit from Open Access as no library can afford to subscribe to every scientific journal
- Librarians are active Open Access advocates & access to information is central to our profession
- It just makes perfect sense!



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Democracy • Learning Minds • Engaging the World



University of Pretoria

Thank you!
Questions?

Robert.moropa@up.ac.za / elsabe.olivier@up.ac.za



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