



# The University of Pretoria's *Open Scholarship Programme* & the first Africa *Open Access mandate*

Open Access and the Evolving  
Scholarly Communication Environment  
Workshop September 1 - 2, 2010

## *Early beginnings...*

- ❑ UPSpace repository started in 2005
- ❑ My involvement
- ❑ Target prominent leaders - Jonathan Jansen
- ❑ openUP's slogan: "We build the road by walking it..."
- ❑ Collaboration: Dept Research & Innovation
- ❑ Concentrated on the annual research article outputs

# *Open Scholarship Strategy*

- ❏ The University of Pretoria's Open Scholarship Office was formalised in 2007
- ❏ Main goal is to change scholarship practice towards becoming an Open Scholarship institution
- ❏ It is based on the philosophy of the Wellcome Trust:  
The job of research is only half-done if the results of that research cannot reach the widest audience

# *Open Scholarship characteristics*

- ❑ Theses/dissertations are available online based on a policy of mandatory submission - **UPeTD**
- ❑ Research/conference papers are available online - **openUP**
- ❑ Researchers actively contribute based on a policy of mandatory submission - **Open Access mandate**
- ❑ Researchers/students actively use OA material
- ❑ Researchers publish in available OA journals - **AOA list**
- ❑ Researchers actively manage their copyright - **Copyright addendum**
- ❑ Institution's publishing house is available OA - **PULP**
- ❑ The institution publishes its own online OA journals - **HTS etc.**
- ❑ Dissemination forms part of its publication strategies

# Open Access Mandate

- In support of free access to research information UP adopted the mandate in May 2009
- This policy aims to ensure that
  - all published UP **research is available** for use within the University
  - any other student, researcher or member of the public with a non-commercial need for the information, has **free access** to it
  - the **University's Research Report** is complemented with the full text of research papers
  - mechanisms exist for the **long-term preservation** of UP research publications



**openUP**

**Open Scholarship Programme**

- Research Papers
- Theses and Dissertations
- Research Report support
- Open Access Journals

**Open Access Policies**

**Author Tools**

- Submission Quick Guide (PDF)
- Copyright Addendum
- Accredited OA Journals (SA)
- Access to the research paper submission system
- Access theses/dissertations submission system
- Access to UP research papers

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- openUP Wiki
- openUP Strategy Map
- UP Open Access Scorecard
- OA Day

Library Home >> Open Scholarship

**UP Open Scholarship Programme (openUP)**

**About**

The UP Open Scholarship Programme has the following objective:  
*To change scholarship practice at UP towards becoming an Open Scholarship institution with these characteristics*

1. Theses and dissertations are available online and open access based on a policy of mandatory submission
2. Research and conference papers are available online and open access and researchers actively contribute based on a policy of mandatory submission
3. Researchers and students actively use open access material
4. Researchers publish in available open access journals and the institution has policy and financial support in place for that
5. Researchers actively manage the copyright of their publications, inter alia with addenda to their contracts or using Creative Commons contracts, and the necessary policy exists
6. Publications from the institution's press/publishing house are available in open access based on policy
7. The institution publishes its own online open access journals OR provides infrastructure and support for members of its community who are involved with society publishing
8. Dissemination forms part of its publication strategies

Click here to view the [Strategy Map](#) for the programme  
Click here to view the [UP Open Access Scorecard](#)

Recent documents about the programme

UP mandate Africa - SPARC  
Open Scholarship and research reporting in tandem : creating more value by Elsabe Olivier  
The open access mandate : a key element of open scholarship by Monica Hammes  
Third generation balanced scorecards for libraries by Monica Hammes

The Open Scholarship programme is managed by the Open Scholarship Office in the Merensky Library on the Hatfield Campus.

The following four sub-programmes are in operation:

**News**

**UP on NDLTD Board**

Elsabé Olivier, Manager of the UP Open Scholarship programme has been elected to represent Africa on the NDLTD board.

**UPeTD ranking improves from 95 to 65**

In July 2010 UPeTD was ranked no. 65 worldwide in terms of content, size and visibility by the international Webometrics ranking.

**UP receives two 2010 NDLTD awards**

The NDLTD (Networked Digital Library of Theses and Dissertations) consortium annually recognizes students who have written exemplary theses or dissertations as well as leaders who have made significant contributions to the worldwide ETD movement.

Monica Hammes, recently retired deputy director of the Library Services who started the UP Open Scholarship programme received a leadership award.

Jacques Orton received an Innovative Learning through ETDs Award for his master's dissertation "[Urban Agriculture, A Community Development Project](#)". Jacques explored the possibilities in shifting ecological, environmental and economic trends towards long-term sustainability in post-apartheid South Africa. His plans to revitalize an aging urban center in the outskirts of Pretoria are now the talk of scholars and urban planners who are advocating for legislative policy change. His vision presents the tremendous potential to turn-around the

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# *Policy statement*

- To assist the University of Pretoria in providing open access to scholarly articles and conference papers staff and students are **required to**
  - submit peer-reviewed postprints of their articles and published conference papers to UPSpace, the University's institutional repository (<https://www.up.ac.za/dspace/> , AND
  - give the University permission to
    - make the content freely available and
    - to take necessary steps to preserve files in perpetuity

# What is openUP?

- ❏ openUP is part of UPSpace
- ❏ openUP is the collection which offers open access to the full text of research articles published by UP staff & students
- ❏ Articles are submitted to their respective Research articles collections
- ❏ Mapped to openUP
- ❏ Two routes
  - OA self-archiving (**green route**) whereby authors submit their post-prints to the repository
  - OA publishing (**gold route**) whereby authors publish in open access journals



# UPSpace

Research Repository of the University of Pretoria, South Africa



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OPEN KNOWLEDGE

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The openUP Collection consists of scholarly publications (postprints) by researchers of the [University of Pretoria](#). It is linked to the Research Information System and complements the [Annual Research Report](#).

The University's extensive collection of electronic theses and dissertations can be found at <http://upetd.up.ac.za>

Read more about [openUP](#)

**I support Open Access**

"Open access places research from the "haves" in the hands of the "have nots". It is a win-win situation – readers can access research without barriers (especially those from developing countries) and it maximizes researcher impact. I believe that researchers should take control over the dissemination of their research and publish in open access journals. To this end the University of Pretoria's Library Services launched its list of Accredited Open Access Journals (AOAJSA). This list will be an invaluable source for researchers in South Africa: <http://www.library.up.ac.za/aoajsa.htm>




Use it and spread the word!

Elsabé Olivier  
Open Scholarship Manager, Department of Library Services, UP

**I support Open Access**

"Open Access is the solar energy version of scholarly communication: we are still a long way from general acceptance but the potential is enormous for an exciting future when everybody will have access to the research literature. I definitely want to be part of it!" (2009)



Monica Hammes  
[Department of Library Services](#)

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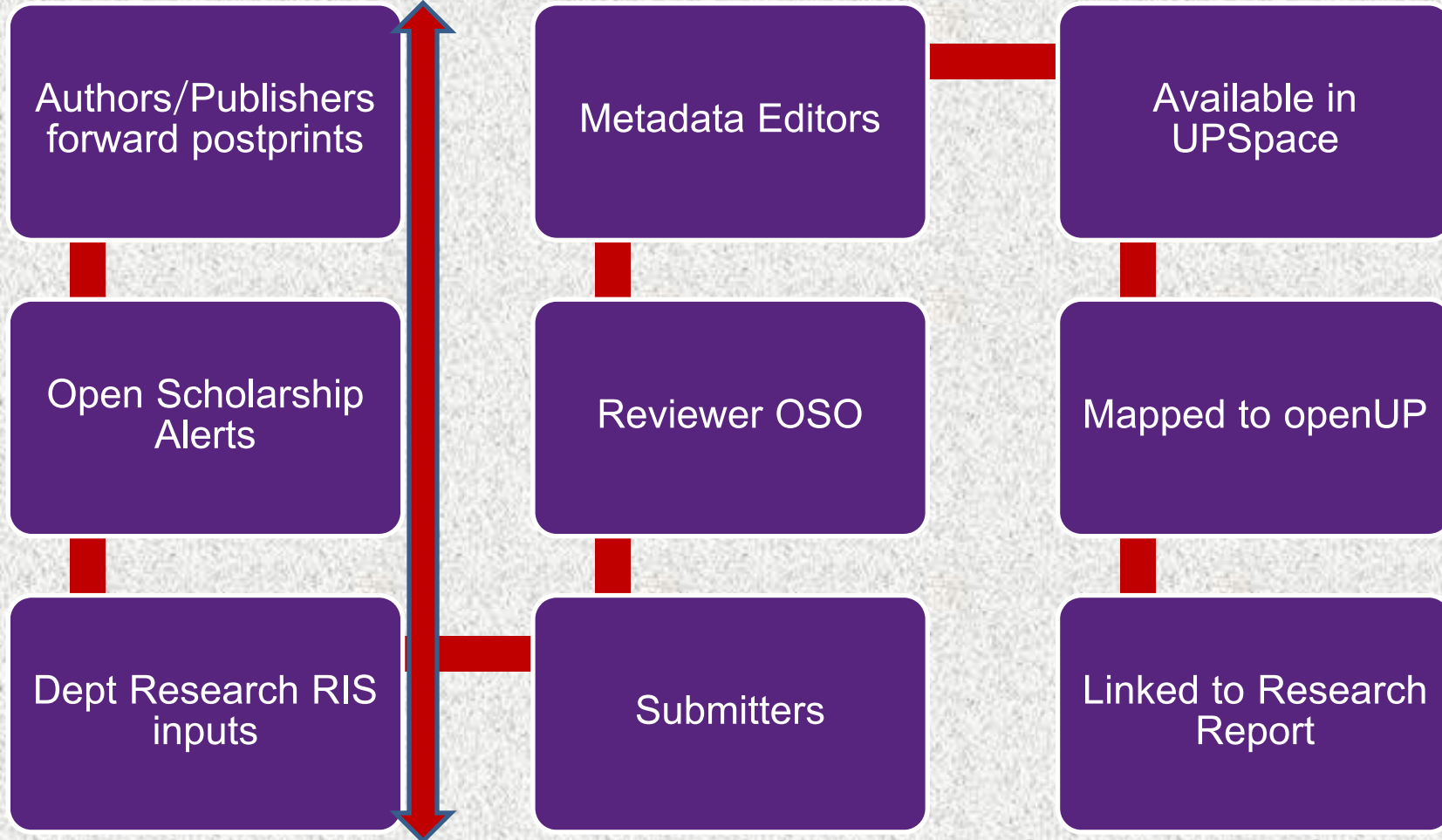
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For inquiries regarding this collection or items in the collection, please contact [Elsabé Olivier](#) Tel.: +27 12 420 3719

# Workflow

## Pre-UPSpace



# Copyright

- openUP adheres to publisher copyright policies
- openUP recommends that transfer of copyright be avoided
- UP encourages authors to
  - Negotiate terms and to make use of Official UP author addendum :  
<http://www.library.up.ac.za/openup/docs/Addendum.pdf>
  - Publish in accredited open access journals:  
<http://www.library.up.ac.za/aoajsa.htm>
- Archiving policies are checked:
  - SHERPA/RoMEO list <http://www.sherpa.ac.uk/romeo/>
  - Publisher's web site
  - Open Scholarship Office negotiates copyright on behalf of authors



**AUTHOR'S ADDENDUM TO PUBLICATION AGREEMENT**

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The Journal of Clinical Cytology and Cytopathology



Editor(s):  
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### New at Karger!

The official journal of the *International Academy of Cytology* will be published by Karger as of Vol. 55, 2011.

**Acta Cytologica** provides state-of-the-art information on research, medical developments and practical techniques for practitioners in the field of cytology and pathology.

Release of Issue 1, Vol. 55, 2011 is planned for December 2010

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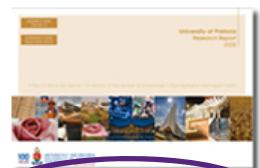
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Article Title

Chitiga-Mabuqu MB, Kandiero T, Ngwenya P. 2008. Agricultural trade policy reform in South Africa. 47 (1) / Mar. pp 76-101. Full Text

Louw A, Jordaan DduP, Ndanga L, Kirsten JF. 2008. Alternative marketing options for small-scale farmers in the wake of changing agrifood supply chains in South Africa. 47 (3) / Sep. pp 317-308. Full Text

Vermeulen JJ, Kirsten JF, Gertseus R. 2008. Contract arrangements in agriculture: procurement practices in South Africa. 47 (2) / Jun. pp 198-221. Full Text

Beysens AD. 2008. Determinants of off-farm participation of farm households in Ethiopia. 47 (1) / Mar. pp 140-161. Full Text

Stauss PG, Meyer FH, Kirsten JF. 2008. Facilitating decision-making in agriculture by using a system of models. 47 (3) / Sep. pp 346-364. Full Text

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Juana JS, Juana J, Utrapek KM, Kirsten JF. 2008. Households' welfare analyses of the impact of global change on water resources in South Africa. 47 (3) / Sep. pp 309-326. Full Text

Jordaan DduP, Kirsten JF. 2008. Investigating alternative governance systems for the South African mohair supply chain. 47 (2) / Jun. pp 238-254. Full Text

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Meyer FH, Stauss PG, Funke T. 2008. Modelling the impacts of macro-economic variables on the South African biofuels industry. 47 (3) / Sep. pp 327-345. Full Text



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Agrekon, Vol 47, No 1 (March 2008) Chitiga, Kandiero & Ngwenya

**Agricultural trade policy reform in South Africa<sup>1</sup>**

M Chitiga<sup>2</sup>, T Kandiero<sup>3</sup> and P Ngwenya<sup>4</sup>

**Abstract**

*This paper empirically investigates the impact of agricultural trade reform in South Africa. Using UNCTAD's Agricultural Trade Policy Simulation Model (ATPSM), the study investigates two specific scenarios that capture the magnitude of (i) the economic impact of global agricultural trade reform in South Africa and (ii) the economic impact if the reform in South Africa is coupled with agricultural reforms in the European Union (EU). Trade reform focuses on substantial tariff reduction; although in the case of the EU, scenarios also include reduction in domestic support and export subsidies. The results show that a unilateral tariff reduction in a selected number of agricultural products amounts to welfare gains of US\$21 million. These gains are three times higher when accompanied by extensive reforms in the EU.*



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**Title:** Agricultural trade policy reform in South Africa

**Inquiries:** [margaret.chitiga@up.ac.za](mailto:margaret.chitiga@up.ac.za)

**Author/s:** Chitiga, Margaret; Mabuqu, Margaret; Chitiga-Mabuqu, Margaret; Kandiero, Tonja; Ngwenya, P.

**LC Subjects:** Produce trade -- Government policy -- South Africa

**Keywords:** Trade policy reform; Welfare; Agricultural trade policy simulation model

**Issue Date:** Mar-2008

**Publisher:** Agricultural Economics Association of South Africa

**Citation:** Chitiga, M, Kandiero, T & Ngwenya, P 2008, 'Agricultural trade policy reform in South Africa', Agrekon, vol. 47, no. 1, pp. 76-101.

**Abstract:** This paper empirically investigates the impact of agricultural trade reform in South Africa. Using UNCTAD's Agricultural Trade Policy Simulation Model (ATPSM), the study investigates two specific scenarios that capture the magnitude of (i) the economic impact of global agricultural trade reform in South Africa and (ii) the economic impact if the reform in South Africa is coupled with agricultural reforms in the European Union (EU). Trade reform focuses on substantial tariff reduction; although in the case of the EU, scenarios also include reduction in domestic support and export subsidies. The results show that a unilateral tariff reduction in a selected number of agricultural products amounts to welfare gains of US\$21 million. These gains are three times higher when accompanied by extensive reforms in the EU.

**URI:** <http://hdl.handle.net/2263/10007>

**ISSN:** 0303-1853

**Rights:** Agricultural Economics Association of South Africa

**Type:** Article

**Language:** en

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- Manage the authenticity of postprints
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  - “What about copyright?”
  - “It is easier to plagiarize...”
  - “I don’t need Open Access to my research papers as they are already on my departmental web”
  - “I won’t publish in OA journals unless the University funds it”
  - “What about the different versions of my article?”
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## *Some positive feedback*

“I think that it is very good news that the University of Pretoria is taking a lead in making more freely accessible the published work of its scholars. It has always bothered me that research that is largely paid for by public funds is made accessible to the wide body of the research public only through expensive journal subscriptions. We need to move more rapidly toward open publishing, and the Open Scholarship Programme of the Library is an important first step to change our views on the way in which our research should be disseminated. This programme makes it possible for us to know about the research work produced by our colleagues at the University of Pretoria, and it should be encouraged to grow.” Prof Nithaya Chetty, Associate Professor of Physics, Faculty of Natural & Agricultural Sciences

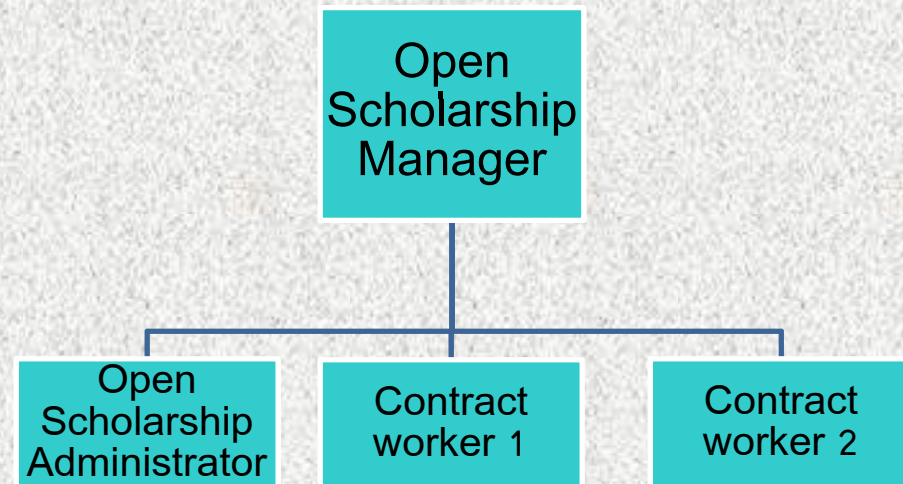
# *Dept Library Service responsibility*

- Supply information, guidance and support
- Problems & concerns:
  - You need every librarian's support & buy-in
  - Capacity
  - Recruit submitters

# *OSO responsibility*

- Manage the implementation of the mandate
- Assess submissions
- Adhere to publisher regulations & research funders
- Maintain standards
- Manage the embargo facility
- Advocacy, training & guides
- Problems & concerns:
  - Hard work: infrastructure, support, lobbying (internally & externally)
  - Adequate resources
  - Capacity

# *openUP staff members*



Microsoft Word ribbon showing tabs: Home, Insert, Page Layout, References, Mailings, Review, View, Acrobat. The ribbon includes sections for Clipboard, Font (Times New Roman, size 12), Paragraph, Styles (Emphasis, Heading 1, Normal, Strong, Subtitle), Change Styles, Find, Replace, and Select.



**University of Pretoria  
Department of Library Services**

**Quick guide to submitting articles to the Research article collections and openUP**

**Important**

Please apply linguistic principles, e.g. start sentences with a capital letter and use sentence case throughout.

Submission Field	Examples	Notes
Title	Intellectuals under fire	Use the full title of the article without a full stop at the end.
	Hoogs-onversadigde vetsure fisiologiese rol en kliniese moontlikhede A case of commingled remains from rural South Africa	Do not omit articles in English, but do omit articles in Afrikaans or any other language, e.g. Die, 'n etc.
	Academic library consortia in South Africa : where we come from and where we are heading	Do not capitalize words in the title. Use lower case – except for proper names. Also use lower case for subtitles. Note the format for subtitles - space, colon, space and then add the rest of the subtitle.
	Performatiwiteit en selfonthulling as sleutelbegrippe in Hannah Arendt se etiek van deugdelikheid Performativity and self-revelation as key concepts in Hannah Arendt's ethic of virtue	If the article was written in Afrikaans please add the English title (if available). Do not translate it yourself. Remember to mark the option: The item has more than one title, e.g. a translated title.
	What went wrong? Zambian political biography and post-colonial discourses of decline	Omit phrases like "review article" at the end of the title.
Authors	Ebersohn Liesel	The main author is the entity primarily responsible for making the content of the resource. Use all the name/s in full (if available) in the article. Articles written by visiting or part-time lecturers must also be added. <b>Do NOT add diacritical signs for surnames or names.</b> The metadata editors are responsible for the variant name forms.
	Du Toit Peet J. Van der Merwe A.	Please browse the <b>Author</b> list in UPSpace and check the correct format for the author. Remember to use the same format consistently throughout.
	Westaway M.C.	Stick to one spelling. No spaces between M. and C. Use a full stop after the initials.
	Gibson P.	Name ALL the contributing authors

# openUP

## Quick guide to submitting your research articles to UPSpace

### Welcome to openUP!

openUP is the official collection of UP research articles in open access mode on UPSpace (<http://repository.up.ac.za/upspace/>). The university is committed to provide open access to our scholarly outputs. A policy of *submission of research articles by all staff and students* has been adopted in May 2009. We would like to help you with this: self-submission is an easy process if you follow the simple guidelines stipulated below.

### What about copyright?

Authorship rights play an important role in the submission process. The Open Scholarship Office will take responsibility for adhering to publishers' regulations at all times. This may entail that your item will stay in the workflow due to the lack of an archiving policy in which case the Open Scholarship Office will negotiate the access rights on your behalf. You may also consult the SHERPA/RO-MEO web site <http://www.sherpa.ac.uk/romeo/> which provides useful information on publisher copyright and self-archiving policies.

### Contact Us

Open Scholarship Office: [openup@up.ac.za](mailto:openup@up.ac.za) or +27 12 420 3719.  
<http://www.library.up.ac.za/openup/index.htm>

### Step 1: Register on UPSpace (only to be done once)

1. Log on to the UPSpace home page at <http://repository.up.ac.za/upspace/>
2. Sign on to My UPSpace and type in your Username (UP personnel number with p) and your UP Portal password. Click on **Login**.
3. Please note that you will have to be registered as a Submitter for your department. Please contact us or send an e-mail to the Collection Administrator of that department for assistance.

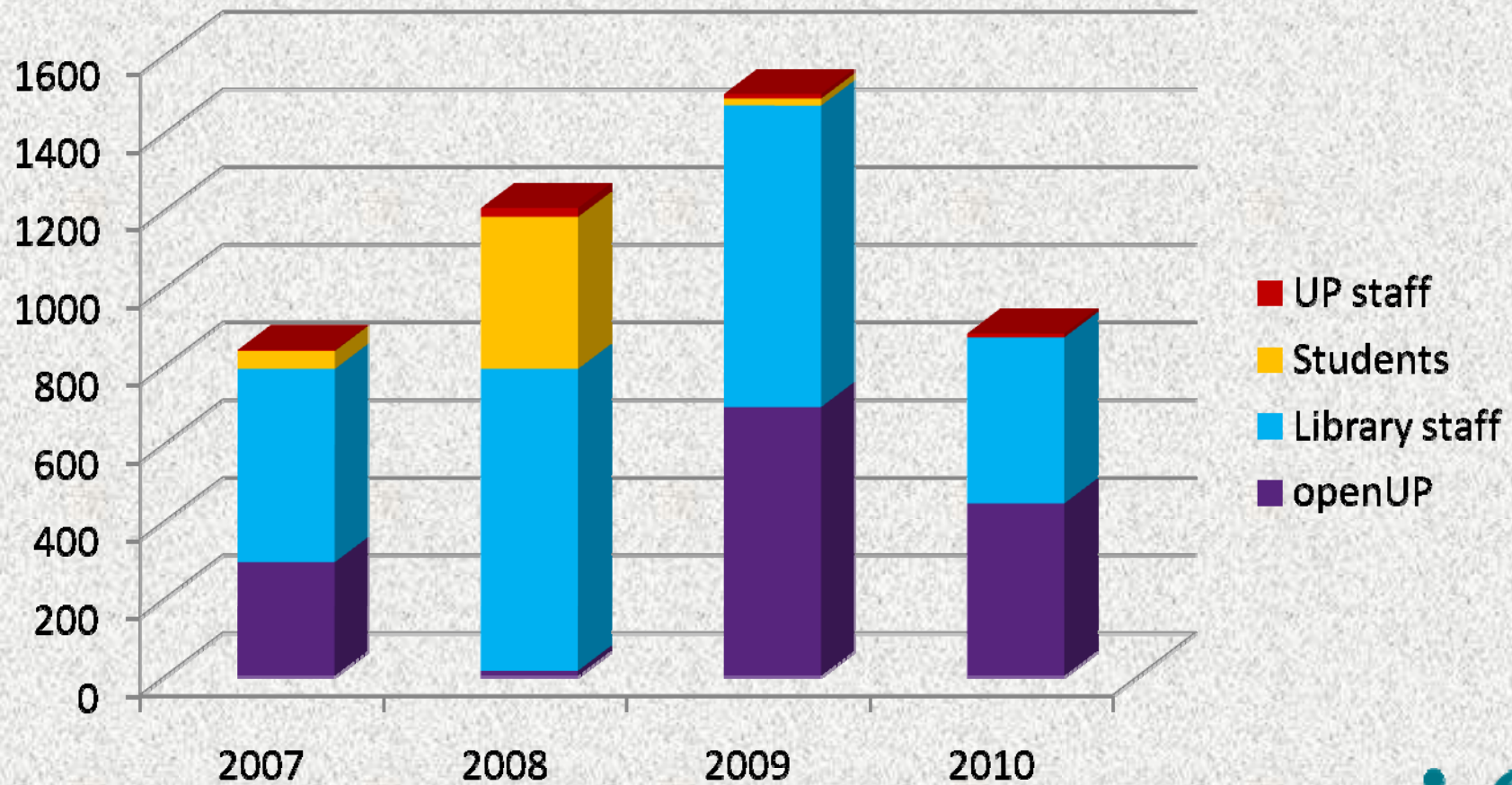
### Step 2: Start a New Submission

1. Follow the screens, check the boxes that apply and describe your article according to the following guidelines. **Please complete the compulsory fields (in red) and the rest to the best of your ability.**
2. Check the box: The item has been published or publicly distributed before, and click on **Next**.
3. **Title:** Copy and paste the main title without a full stop at the end, e.g. Intellectuals under fire
4. **Author/s:** Copy and paste your surname and names and the name/s of the co-author/s of the article, e.g. Gupta, Rangan
5. **Publisher:** Enter the name of the publisher, e.g. Elsevier
6. **Date of Issue:** Enter the month of formal publication if known and the year, e.g. November 2009
7. **Citation:** Enter the standard citation by using the Harvard method, e.g. Snyman, JR & Wessels, F 2009, 'Perindopril : do randomised, controlled trials support an ACE inhibitor class effect?', Cardiovascular Journal of Africa, vol. 20, no. 2, pp. 127-134. [<http://www.cvjsa.co.za>]
8. **ISSN:** Add the ISSN number of the journal, e.g. 0041-4751
9. **DOI:** Click on the **Add More** button and choose DOI from the dropdown list and add it, e.g. 10.4102/hts.v65i1.135
10. **Type:** Choose either Post-print for the final, edited and corrected manuscript or Article for the publisher-generated PDF version.
11. **Language:** Select the language of the main content of the item and click on **Next** to move to the next screen.
12. **Keywords:** Add the keywords with capital letters which you assigned to the article, e.g. Educational leadership.
13. **Abstract:** Copy and paste the Abstract.
14. **Sponsors:** Add any relevant sponsor information, e.g. South African Water Research Commission
15. **Rights:** Add the copyright holder's details, e.g. Springer
16. **Inquiries:** Add your e-mail address, e.g. [john.smith@up.ac.za](mailto:john.smith@up.ac.za) and click on **Next** to move to the next screen.
17. Upload your article by clicking on Browse to locate your file. **Take special care with the file name as this is the only field that cannot be corrected afterwards.** It is compiled of the first author's surname and first word of the title, and the date in the following format: VanZyl\_Party(2009) Click on **Next** to move to the next screen.
18. **Verify the submission:** After you have submitted your article, you will have a final opportunity to verify the submission and correct mistakes.
19. Grant the license by clicking on the button.
20. Email notification will be received once your article has been approved.

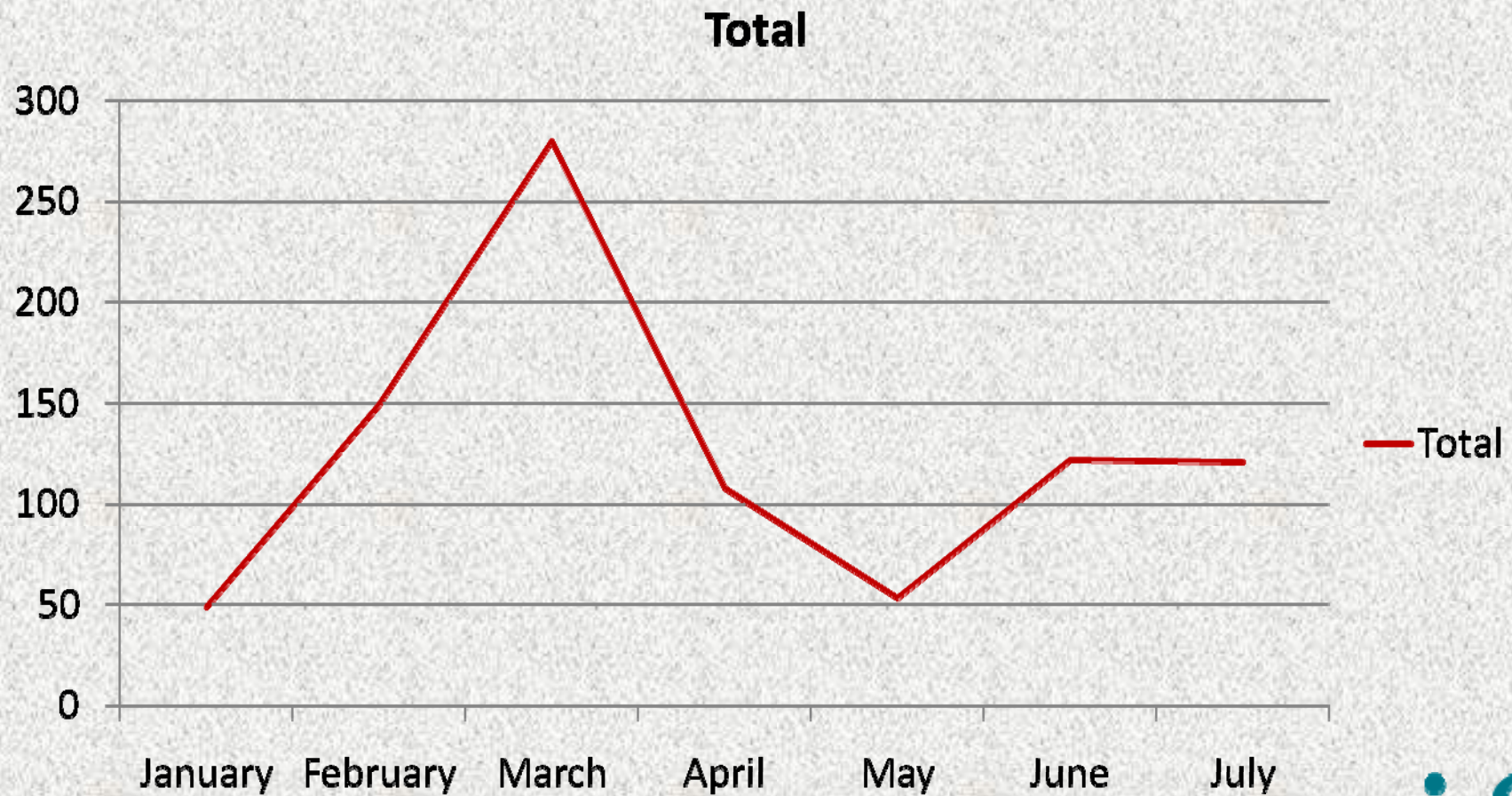


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# Who submitted the 4616 articles?



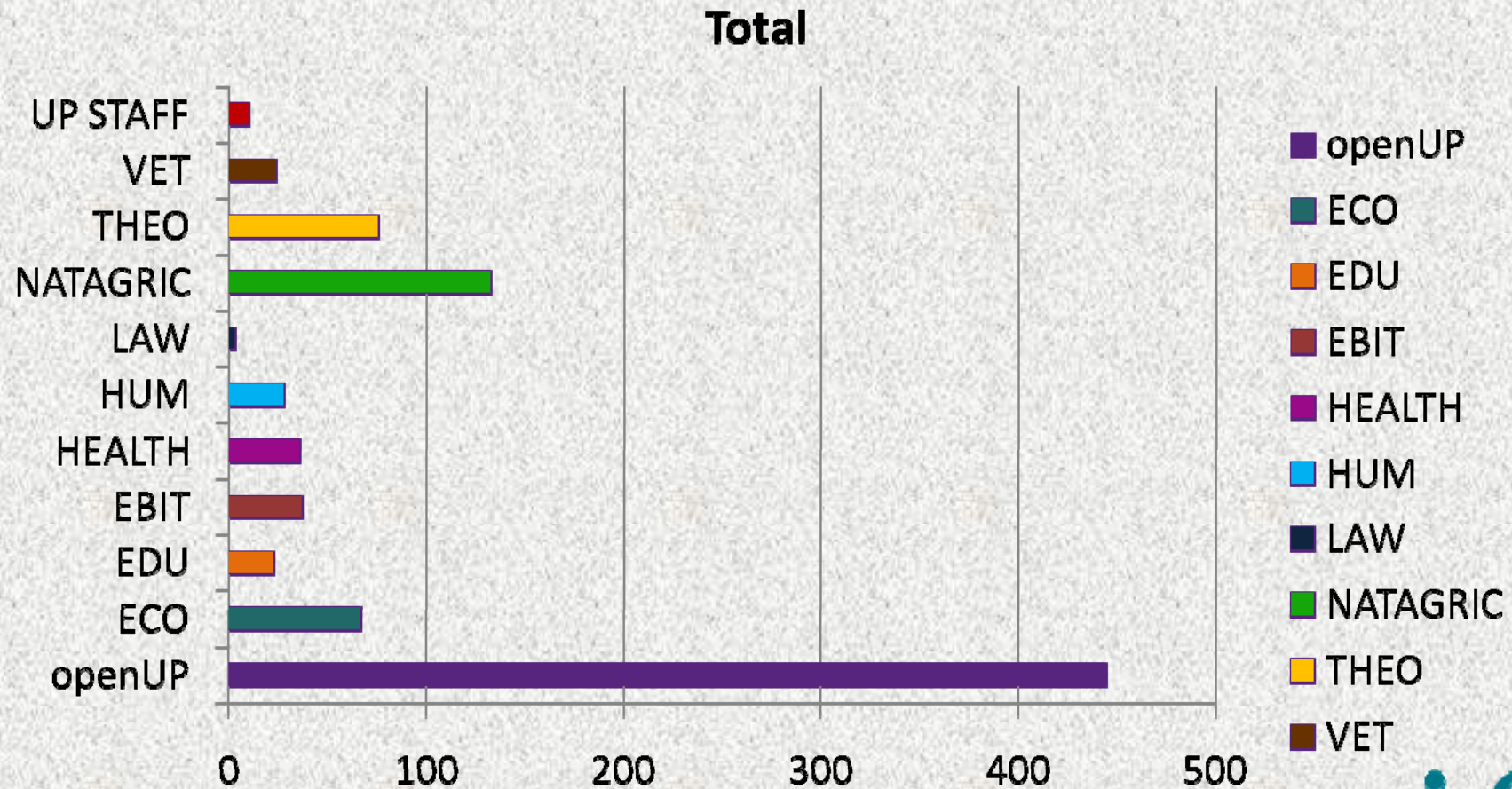
# 2010 submission cycle



## *6 Articles in the Top Ten March 2010*

1. Curriculum reform in South Africa: a critical analysis of outcomes-based education – Jonathan Jansen, Research article
2. Geslacht-register der oude Kaapsche familien. Deel 1, A-J - Africana
3. Geslacht-register der oude Kaapsche familien. Deel 3, P tot Z.
4. Language and other background factors affecting secondary pupils' performance in Mathematics in South Africa, Curriculum Studies, Research article
5. Geslacht-register der oude Kaapsche familien. Deel 2, A tot O – Africana
6. Faktore wat die beroepskeuse van eerstejaaronderwysstudente beïnvloed, Curriculum Studies, Research article
7. Lantana camara (Veterinary Slide Collection)
8. Has curriculum reform in South Africa really changed assessment practices? Curriculum Studies, Research article
9. Public safety and security during the 2010 Soccer World Cup, ISS, Research article
10. Afrikaner en skisofrenie : 'n genetiese studie, Psychiatry, Research article

# 2010 Library Faculty support



# *Success factors*

- ❑ Vision, mission & passion
- ❑ Determination & hard work
- ❑ Dedicated library staff
- ❑ Copyright successes
- ❑ Well established collaboration with Dept Research & Innovation
- ❑ Alignment with international trends

How could a vision ever be given to someone to harbor if that person could not be trusted to carry it out. The message is simple: commitment precedes vision. -  
-High Eagle

*Thank you!*

*Questions?*

Elsabé Olivier

Open Scholarship Manager

[openup@up.ac.za](mailto:openup@up.ac.za)

[elsabe.olivier@up.ac.za](mailto:elsabe.olivier@up.ac.za)

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