

# Influence of the Benguela Upwelling System on the genetic connectivity of blacktail seabream *Diplodus capensis* across southern Africa

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Oceanographic features such as upwelling cells and currents contribute to shaping the evolutionary history of marine fishes, including species that may be important socio-economic resources. However, the impacts of these barriers are often cryptic and may change, particularly in a rapidly changing climate. Hence, sustainable fisheries management strategies need to take into consideration the influence of oceanographic barriers to the genetic structure of populations. Here, we evaluated the influence of the Benguela Upwelling System, a known barrier for warm-temperate fishes, on the evolutionary history of the blacktail seabream *Diplodus capensis* (family Sparidae), using eight microsatellite loci in conjunction with DNA barcoding of the cytochrome c oxidase 1 gene. *Diplodus capensis* is an important recreational and small-scale fishery species, distributed across southern Africa. Overall, there was significant genetic differentiation across the region, but the level of divergence varied with the genetic marker. Our results identified two isolated populations—in the northern and southern subsystems of the Benguela Upwelling System—with limited contemporary gene flow, and no sub-structuring detected within the subsystems. Because the species is vulnerable to exploitation, with declines in South Africa, our results suggest that management policies should aim at regional levels for the northern population (off Angola and Namibia), whereas the southern population (off South Africa) was identified as an isolated and highly connected population.

**Keywords:** adaptive capacity, fisheries management, gene flow, marine fish, microsatellites, mtDNA, phylogeography, Sparidae

**Online supplementary material:** The Supplementary Information, available at <https://doi.org/10.2989/1814232X.2025.2554841>, includes the microsatellite loci used for screening with their optimised amplification conditions (Table S1), the Bayesian clustering analyses and STRUCTURE admixture plots for K2 clusters using the relatedness pruned dataset (Figure S1), and the microsatellite genotypic data for all individuals (Table S2)

## Introduction

In marine systems, multiple phylogeographic studies have identified common large-scale oceanographic barriers that can lead to the divergence of the populations or species on either side (Lett et al. 2024). One such barrier is the Benguela Upwelling System (BUS) off southwestern Africa, from southern Angola to South Africa. The BUS has unique characteristics, as it is bounded by two tropical currents, the Angola Current to the north, and the Agulhas current to the southeast, leading to the establishment of a warm-temperate transition zone. In addition, a perennial upwelling cell off central Namibia effectively divides the system into two subsystems: the northern and southern BUS (Hutchings et al. 2009). Previous phylogeographic studies have shown that these oceanographic features correlate well with genetic sub-structuring in coastal warm-temperate fishes, ranging from a shallow population structure that is not very genetically distinct, as seen in cold-tolerant species like the silver kob *Argyrosomus inodorus* (Henriques et al. 2015), to speciation events as seen for geelbeck croaker *Atractoscion aequidens* (Henriques et al. 2016a); in contrast,

no significant genetic differentiation was found for the deep-water hake *Merluccius paradoxus*, indicating high gene flow across the BUS (Forde et al. 2025). Other studies have shown population structuring with gene flow across the BUS, as seen in the Cape horse mackerel *Trachurus capensis*, with shared mitochondrial DNA (mtDNA) haplotypes between Angola and South Africa (Healey et al. 2020); in the bluefish *Pomatomus saltatrix*, with evidence of secondary contact and unidirectional gene flow from the southern to the northern population (Reid et al. 2016); and in the shallow-water hake *M. capensis*, with seasonally dependent asymmetrical gene flow reported from the northern to the southern population (Kapula et al. 2022). Together, these findings suggest that the role of the BUS as an oceanographic barrier is multifactorial, and that it represents an important factor in understanding the evolutionary history of regional coastal marine fauna.

Although oceanographic barriers can strongly prevent gene flow (Lett et al. 2024), they are vulnerable to disruptions caused by climate change (Berger-Tal and Saltz 2019),

which can significantly impact their effectiveness as phylogeographic barriers (Pascual et al. 2017). For instance, a weakened barrier may allow secondary contact, where two previously isolated populations are back in contact (Reid et al. 2016). Additionally, two merged populations could be perceived as a single, more abundant, population because of increased catch rates, and thus misinterpreted as higher density leading to a heightened risk of overexploitation (Reiss et al. 2009). Climate change in the BUS has already led to the southward shift of a warm-temperate fish, the west coast dusky kob *Argyrosomus coronus*, from Angola into central Namibia (and possibly into South Africa), where it hybridises with *A. inodorus* (Potts et al. 2014; Pringle et al. 2023). Therefore, it is vital to understand and assess how regional oceanographic features impact the evolutionary history of warm-temperate species in the region, to inform sustainable management and conservation plans.

Members of the family Sparidae, including the blacktail seabream *Diplodus capensis*, are ecologically and economically important in southern Africa, supporting subsistence, recreational and small-scale fisheries (Comeros-Raynal et al. 2016). *Diplodus capensis* is found from Angola to southern Mozambique, but its abundance decreases from southern Namibia to the west coast of South Africa, likely because of colder sea surface temperatures and the species' preference for warmer waters (18.6–27 °C) (Yemane et al. 2004; Richardson et al. 2011a; Attwood et al. 2016). Biological studies also revealed significant differences between *D. capensis* from the northern and the southern BUS (Richardson et al. 2011a), as also observed for the zebra *D. hottentotus*, which exhibited genetic and morphological divergence across the region (Gwilliam et al. 2018). The BUS may thus act as a thermal barrier for *D. capensis* dispersal.

In addition, *D. capensis* is vulnerable to overexploitation because of its slow growth, long lifespan (Mann and Buxton 1998), sequential hermaphroditism (partial protandry) and strong site fidelity (Richardson et al. 2011a). In particular, partial hermaphroditism may make larger females more susceptible to fishing pressures, leading to skewed sex ratios and reduced fecundity. This, combined with strong site fidelity, which makes them particularly easy to target, further intensify the effects of exploitation. As a result, the South African population is considered depleted (DFFE 2023), despite its Least Concern status on the IUCN Red List of Threatened Species (Mann et al. 2009).

Therefore, the combination of biology, life history and oceanographic features suggest that *D. capensis* may be composed by isolated populations across the BUS. To test this hypothesis, we employed neutral molecular markers (microsatellites and mtDNA) to assess connectivity patterns, and understand if regional oceanographic features, namely the upwelling cell off central Namibia and the lower sea surface temperatures off the west coast of South Africa, impacted the evolutionary history of the species.

## Materials and methods

### Sampling and laboratory analyses

A total of 424 samples were collected from Angola, northern Namibia and South Africa between 2008 and

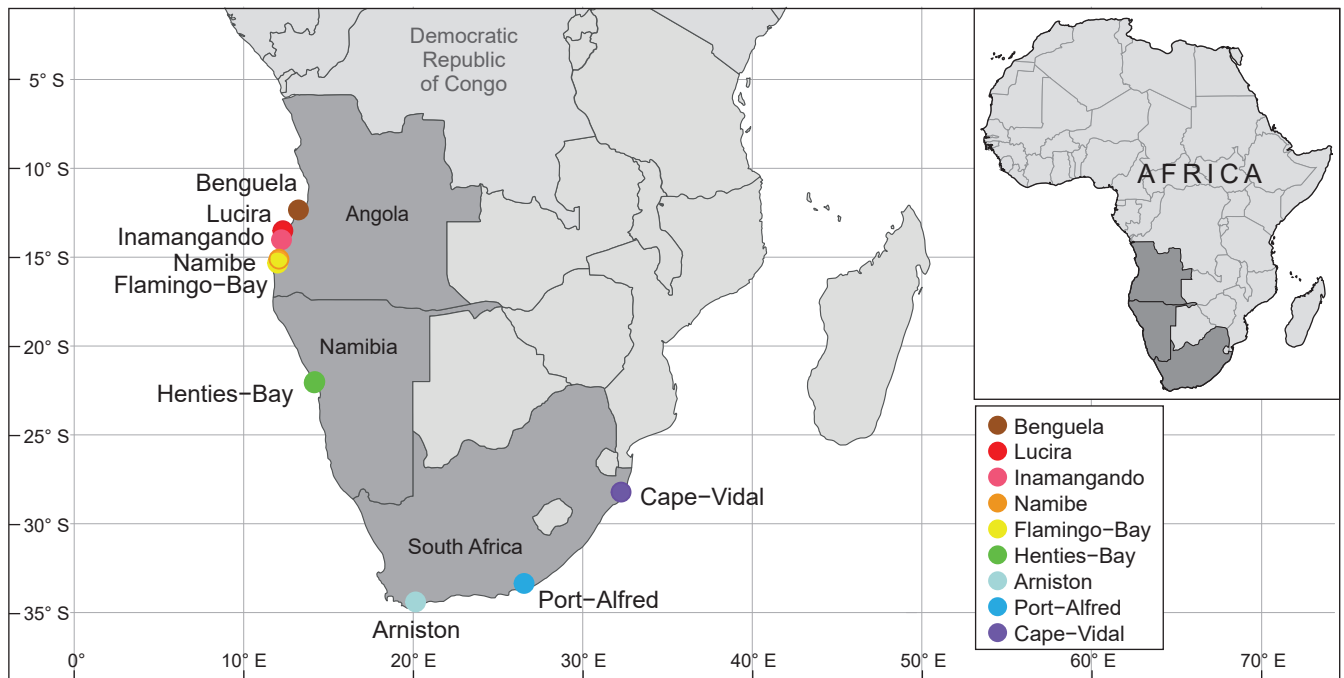
2011 (Figure 1). A fin clip was obtained from fish collected in markets, and subsistence/recreational fishers, and preserved in 96% ethanol. At the time of collection, no ethical clearance was required, as samples were obtained from fisheries. Total DNA was extracted using a phenol/chloroform-isoamyl alcohol method (Sambrook et al. 1989), and visualised in 2% agarose gel stained with ethidium bromide. All molecular data was generated between 2009 and 2011.

Eight cross-species microsatellites were selected for this study: DSMa16, DSMa27, DSMa34, DSMa48 (developed for *D. sargus*: Pérez et al. 2008), and Dvul4, Dvul33, Dvul61 and Dvul84 (developed for *D. vulgaris*: Roques et al. 2007). Amplification followed the original protocols, except for annealing temperatures and number of cycles (Supplementary Table S1). Forward primers were fluorescently labelled, and PCR products from multiple loci were combined in a multiplex mix based on colour and product size (Supplementary Table S1). Multiplexes were denatured in 10 µl of Hi-Di formamide (ABI) containing 0.2 µl of 600-LIZ® size standard per sample, for 5 min at 95 °C, and genotyped on an AB3500 Genetic Analyzer (Applied Biosystems). Genotype scores were generated using GENEMAPPER (ABIPrism).

A fragment of the mtDNA cytochrome oxidase 1 (mtDNA CO1) was amplified for a total of 165 individuals using FISHF1 and FISHR2 primers (Ward et al. 2005). PCR conditions followed the ones described by the authors, except for annealing temperature (48 °C). Obtained PCR products were cleaned using an enzymatic digestion: 0.45 U of Exonuclease I (Fermentas) and 0.9 U of Shrimp Alkaline Phosphatase (New England Biolabs) in 1× supplied buffer for 20 µl of PCR product. Digestions were incubated at 37 °C for 30 min, followed by 15 min at 80 °C, and 12 °C for 5 min. Cleaned products were sequenced in the forward and reverse directions, in an automated ABI-PRISM sequencer (MacroGen®), with the same primers used in the amplification. Raw sequences were trimmed and aligned using CLUSTAL-W in BIOEDIT.

### Microsatellite data analyses

Microsatellite data were quality screened using Micro-Checker software (van Oosterhout et al. 2004) to assess stuttering and large allelic dropout, and the software program FreeNA (Chapuis and Estoup 2007) was used to detect the presence of null alleles. The statistical power of the dataset to detect subtle levels of population sub-structuring was tested in POWSIM (Ryman and Palm 2006), using a Monte Carlo Markov chain approach, by simulating divergence across eight populations, with an effective population size of 500, which drifted for 10 generations. Deviations to Hardy–Weinberg (HWE) and linkage equilibria were assessed in GenePop (Rousset 2008), using standard parameters. Statistical significance was assessed after Bonferroni correction for multiple tests (Bonferroni 1936). Relatedness levels between individuals were calculated using the Lynchrd estimator in the R package 'related' (Pew et al. 2015), as this estimator had a narrower 95% confidence interval (95% CI) estimate making it the better fit for the dataset. Relatedness was assessed over the entire dataset, as



**Figure 1:** Sampling map for blacktail seabream *Diplodus capensis* in the Benguela Upwelling System, southeastern Atlantic

well as for the southern and northern regions separately. Relatedness levels were defined as: monozygotic twins ( $r = 1$ ), full-siblings/parent-offspring (first-degree) ( $r = 0.5$ ), half-siblings/grandparents (second-degree) ( $r = 0.25$ ), first cousins (third-degree) ( $r = 0.125$ ) or unrelated ( $r = 0$ ) (Lynch and Ritland 1999). To filter for family structure, and owing to a wide 95% CI and limited sample size for some locations, individuals with a relatedness estimate of  $r \geq 0.25$  were pruned from the dataset for additional Bayesian clustering analyses to confirm that patterns remained consistent if related individuals were removed.

Genetic diversity and population differentiation were estimated at different hierarchical levels: species, ocean, country and sampling location. Genetic diversity was calculated as observed and expected heterozygosity ( $H_o$  and  $H_e$ ), allelic richness (AR), and inbreeding coefficients ( $F_{IS}$ ) in the R package 'hierfstat' (Goudet 2005), per locus and over all loci, following Nei (1987), for the complete dataset. Statistical two-tailed  $t$ -tests were conducted in R to determine if there were significant differences across sampling sites.

Estimates of population differentiation were performed using complementary approaches. First, a principal component analysis (PCA) was used to visualise similarities between individuals, using the R package 'ade4' (Chessel et al. 1995). Second, Arlequin 3.5.2.2 (Excoffier and Lischer 2010) was used to calculate Weir and Cockerham (1984) pairwise  $F_{ST}$ , and pairwise Jost's  $D$  was calculated using the Microsoft Excel add-in GENALEX6.5 (Peakall and Smouse 2006), with statistical significance assessed after 10 000 iterations in both instances. Third, the coalescence approach of STRUCTURE (Pritchard et al. 2000) was used to detect cryptic levels of differentiation with a correlated

allele frequencies model, for five runs for  $K = 1-16$ , with burn-in of 100 000 steps followed by 500 000 MCMC steps. StructureSelector (Li and Liu 2018) was used to calculate the most likely  $K$  with Clumpak (Kopelman et al. 2015) to visualise it. A second clustering analysis was done for a reduced dataset without related individuals, for a burn-in length of 100 000 steps followed by 250 000 Markov chain Monte Carlo steps. Fourth, hierarchical locus-by-locus analysis of molecular variance (AMOVA) using  $R_{ST}$  was conducted to test three structuring hypotheses: (i) panmixia; (ii) inter-Benguela structure (Angola and Namibia vs South Africa); and (iii) inter-country structure (Angola vs Namibia vs South Africa). Fifth, migration analyses were conducted with (i) BayesAss (Wilson and Rannala 2003), using the maximum proposal step lengths, 20 000 000 iterations with a burn-in period of 5 000 000, and a sampling interval of 1 000, which were checked for convergence and visualised as a gene flow plot using 'circlize' (Gu et al. 2014) in R; and (ii) GeneClass2 (Piry et al. 2004) was used to detect first-generation migrants, using the approaches of Baudouin and Lebrun (2000) and Paetkau (2004), for 1 000 simulated individuals.

#### **Mitochondrial DNA data analyses**

Genetic diversity levels and differentiation of mtDNA data were analysed in Arlequin 3.5.2.2. Genetic diversity was estimated through haplotype diversity, nucleotide diversity, the Ewens–Watterson test, and Tajima's  $D$  and Fu's  $F_s$  neutrality tests at the species, ocean and location level. To broadly estimate changes in demographic history, mismatch distribution analyses were calculated for the northern and southern populations, using the sudden spatial model in Arlequin, with statistical significance assessed after 10 000 permutations. Differentiation was estimated through

pairwise  $F_{ST}$  and locus-by-locus AMOVAs for the same three different hierarchical levels tested for microsatellites, with distance calculated based on the Tamura and Nei model (1993). Assessment of phylogeographic relationships was conducted via the reconstruction of a haplotype median-joining network analysis in PopART (Leigh et al. 2015).

## Results

### Microsatellites

No evidence of stuttering or large allele dropout was observed, and although FreeNA identified the presence of null alleles across several loci in different sampling sites, the observed frequencies were all below 15%. POWSIM analyses revealed that the dataset could accurately detect population structure for  $F_{ST}$  as low as 0.01 in 100% of cases. After correcting for multiple tests, only locus DS48 in the Port Alfred sample was found to not be in HWE. Similarly, no statistically significant linkage disequilibrium was observed after correcting for multiple tests. Therefore, all loci were retained for further analysis. Genotypes are listed in Supplementary Table S2.

The Lynchrd relatedness estimator identified 1 400 related pairs: 76 first-degree relatives ( $r \geq 0.5$ ), and 1 324 second-degree relatives ( $0.25 \leq r < 0.5$ ). However, only 17 of the 76 pairs were significantly related, with only 1 pair identified across the BUS (sampling sites Flamingo, Angola, and Cape Vidal, South Africa: lowest 95% CI interval  $>0.25$ ). When the dataset was analysed per region (northern versus southern), 867 and 49 overall related pairs ( $r > 0.25$ ) were found, corresponding to 1.6% and 0.9% of all the comparisons, respectively. However, of the 867 pairs, only 11 were statistically significant, and of the 49 pairs, only three were statistically significant. To assess their influence in the clustering patterns retrieved, we purged 233 individuals and ran STRUCTURE on the filtered dataset.

Overall, genetic diversity levels were high ( $H_O = 0.807$ ,  $H_E = 0.849$ ,  $AR = 26.529$ ), whereas inbreeding was relatively low but positive ( $F_{IS} = 0.049$ ) (Table 1). The northern region had lower diversity levels ( $H_O = 0.815$ ,  $H_E = 0.833$ ,  $AR = 18.571$ ,  $F_{IS} = 0.021$ ), than the southern region ( $H_O = 0.782$ ,  $H_E = 0.841$ ,  $AR = 21.176$ ,  $F_{IS} = 0.071$ ) (Table 1). Nevertheless, these metrics were not significantly different across the BUS ( $p \geq 0.05$ ). Interestingly, Namibia exhibited the lowest values of diversity of the three countries, whereas estimates within the country showed that the sites at the edges of the distribution (Benguela and Cape Vidal) had lower values of diversity when compared with sites located at the core (Table 1).

Estimates of population differentiation revealed an overall pattern: samples from the northern BUS (Angola and Namibia) clustered together and somewhat isolated from samples from the southern BUS (South Africa). The scaled PCA based on sampling sites indicated grouping of northern versus southern sampling sites (Figure 2a). Grouping by country showed Angola and Namibia together, while South Africa grouped separately, but with some overlap with the northern sites (Figure 2b). No differentiation was observed within Angola nor South Africa (Figure 2c,d). The results obtained for pairwise

Jost's  $D$  and  $F_{ST}$  reflected a similar pattern, with statistically significant (after correction for multiple comparisons) differentiation only observed between fish in the northern and southern BUS ( $F_{ST} = 0.044$ – $0.045$ , Jost's  $D = 0.233$ – $0.287$ ,  $p < 0.001$ ). No significant differentiation was observed between Angolan and Namibian sites, nor among sites within countries (Tables 2 and 3). Pairwise  $F_{ST}$  differentiation values ranged from  $F_{ST} = 0$  for comparisons within each country, to  $F_{ST} = 0.068$  for comparisons between Benguela (the northern most site in Angola) and Cape Vidal (the eastern most site in South Africa) (Table 3). Values of Jost's  $D$  followed a similar pattern but were consistently higher (Table 3), as expected.

Bayesian clustering analyses indicated two or three distinct clusters as the most likely structure for the unfiltered dataset (Figure 3a), and  $K = 2$  for the dataset filtered for family structure (Supplementary Figure S1). For  $K = 2$ , the admixture plot showed a northern population that included Angola and Namibia, and southern population exclusively in South Africa, with high levels of admixture (Figure 3b);  $K = 3$  provided no more meaningful structure visualisation by introducing a third thoroughly admixed layer over both regions (Figure 3c). The dataset filtered for relatives indicated a similar structure, but with lower resolution (Supplementary Figure S1b).

The hierarchical AMOVA (Table 4) for the panmixia hypothesis showed low but statistically significant differentiation ( $F_{ST} = 0.014$ ,  $p < 0.01$ ), suggesting weak but detectable genetic structure. The inter-Benguela subsystem hypothesis indicated slightly higher and significant differentiation among sampling sites within the same subsystem ( $F_{SC} = 0.032$ ,  $p < 0.01$ ), and statistically significant structure between subsystems ( $F_{CT} = 0.031$ ,  $p < 0.01$ ). The inter-country hypothesis resulted in statistically significant values of  $F_{SC}$  ( $0.026$ ,  $p < 0.01$ ) and  $F_{CT}$  ( $0.025$ ,  $p < 0.01$ ), but a negligible value of  $F_{ST}$  ( $0.001$ ,  $p \geq 0.5$ ), indicating potential finer-scale structure between sampling sites within countries.

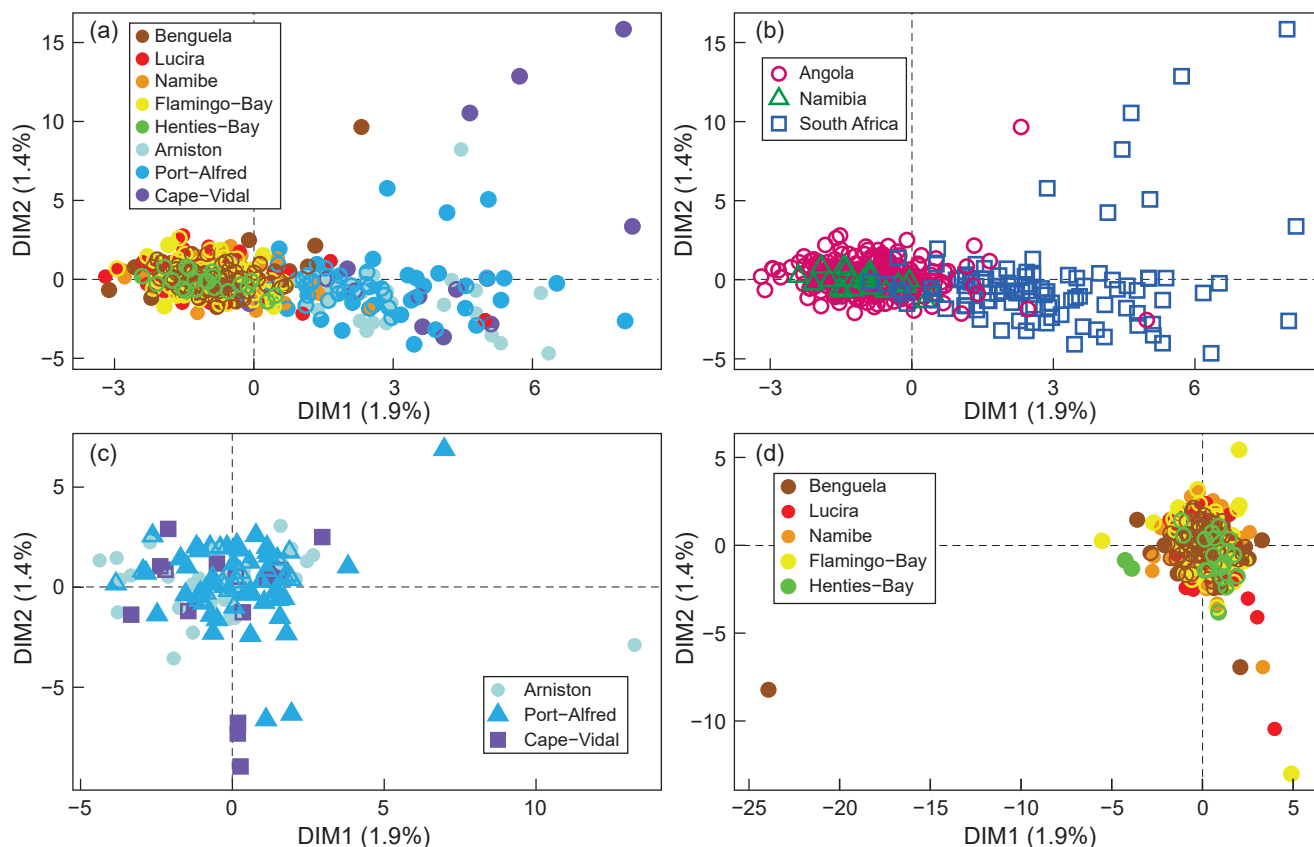
Migration analyses using BayesAss revealed very low levels of statistically significant migration rates across the BUS, with most migrants detected within each subsystem (Figure 4). The only migration rates that were statistically significant were the migration from Port Alfred to other southern sites, from Lucira to other northern sites, but also from Lucira to Port Alfred ( $m = 0.075$ ) (Figure 4). GeneClass2 detected 9 individuals as statistically significant first-generation migrants across sites ( $p < 0.01$ ). Overall, 1 southern first-generation migrant was detected in the northern region and 3 northern first-generation migrants were detected in the southern region, with at least 1 individual of significantly different assignment probabilities in either direction. Benguela had 1 migrant from Flamingo. Henties Bay had 2 first-generation migrants in total, from Lucira and Namibe. Flamingo had 1 migrant from Henties Bay, and Lucira had 1 migrant from Arniston. Port Alfred also had 2 first-generation migrants, 1 each from Namibe and Flamingo, and Cape Vidal had 1 first-generation migrant from Port Alfred and 1 from Benguela.

### Mitochondrial DNA

After filtering, a fragment of 619 bp was obtained for mtDNA CO1 for 162 individuals, resulting in 24 haplotypes:

**Table 1:** Average genetic diversity estimates for *Diplodus capensis* in the Benguela Upwelling System (BUS) across all loci at different grouping levels, based on eight microsatellite loci. *n* = number of samples; AR = allelic richness;  $H_o$  = observed heterozygosity;  $H_E$  = expected heterozygosity;  $F_{IS}$  = inbreeding coefficient; LL =  $F_{IS}$  lower limit; UP =  $F_{IS}$  upper limit. An asterisk (\*) marks statistically significant value of  $F_{IS}$

			<i>n</i>	AR	$H_o$	$H_E$	$F_{IS}$	LL	UP
Per location	Angola	Benguela	81	10.051	0.804	0.830	0.032*	0.003	0.058
		Lucira	72	10.118	0.828	0.833	0.005	-0.042	0.048
		Namibe	69	10.125	0.820	0.834	0.016	-0.017	0.045
		Flamingo	78	10.349	0.806	0.836	0.034	-0.007	0.081
	Namibia	Henties Bay	21	9.732	0.831	0.823	-0.015	-0.084	0.051
	South Africa	Arniston	29	11.550	0.821	0.852	0.038	-0.004	0.078
		Port Alfred	60	11.010	0.773	0.840	0.080*	0.044	0.116
Cape Vidal		14	11.125	0.741	0.821	0.107	-0.003	0.214	
Per country	Angola		300	10.805	0.814	0.833	0.023	-0.002	0.051
	Namibia		21	10.395	0.831	0.823	-0.015	-0.079	0.054
	South Africa		103	12.104	0.782	0.841	0.071*	0.043	0.101
Per BUS region	Northern		321	18.571	0.815	0.833	0.021	-0.002	0.048
	Southern		103	21.176	0.782	0.841	0.071*	0.042	0.101
Species			424	26.529	0.807	0.849	0.049*	0.029	0.074



**Figure 2:** Scaled principal components analysis for *Diplodus capensis*, based on eight microsatellite markers for: (a) all sampling sites, (b) country, (c) southern sites, and (d) northern sites, including the percentage of variance (%) explained by each principal component (Dim1 and Dim2)

17 private, 5 shared by more than 2 individuals, and 2 commonly shared within the northern and southern BUS (GenBank accession nos. JX192287–JX192451) (Table 5; Figure 5). Overall, moderate haplotype diversity ( $h = 0.715$ ) and relatively low nucleotide diversity

( $\pi = \sim 0.004$ ) were observed for the species (Table 5). The Ewens–Watson observed  $F$ -statistic (0.289) suggested that the slightly reduced population diversity did not significantly differ from neutral expectations (Ewens–Watson probability of  $\sim 1$ ), whereas Fu’s  $F_s$  ( $-10.987$ )

and Tajima's  $D$  ( $-1.196$ ) were all negative. The northern region had  $h = 0.546$  and  $\pi = 0.001$ , while the southern region had  $h = 0.303$  and  $\pi = 0.001$ . Haplotype diversity was highest in Benguela and Lucira ( $\sim 0.670$ – $0.700$ ) and lowest in Arniston and Henties Bay ( $\sim 0.105$ – $0.279$ ). Nucleotide diversity showed a similar pattern as haplotype diversity, with Benguela, Lucira and Flamingo showing higher diversity and Arniston the lowest (Table 5). The northern and southern regions both had negative neutrality estimates (south:  $F_s = -4.473$ ,  $D = -1.875$ ; north:  $F_s = -18.979$ ,  $D = -1.993$ ), with an Ewens–Watterson  $F$ -statistic range of  $0.335 < F < 0.900$  (Table 5).

Mismatch distribution analyses did not allow a rejection of the null hypothesis of geographical expansion, but estimates of time since expansion (in mutational units) were higher for the northern ( $\tau = 0.782$ ) than for the southern ( $\tau = 0.400$ ) population.

As seen for the microsatellite dataset, pairwise  $\phi_{ST}$  values were significantly different from zero for northern versus southern comparison ( $\phi_{ST} = 0.855$ ,  $p < 0.01$ ) (Table 6), as well as any comparisons involving northern versus southern sites ( $\phi_{ST} = 0.833$ – $0.953$ ,  $p < 0.001$ ) (Table 7). Differentiation was not significant between locations within each region, after correcting for multiple tests.

The hierarchical AMOVA (Table 4) testing for panmixia showed highly significant  $F_{ST}$  ( $0.752$ ,  $p < 0.01$ ), suggesting strong genetic differentiation among populations and rejection of panmixia. The inter-Benguela subsystem hypothesis test indicated very large and significant levels of genetic structure between Benguela subsystems ( $F_{CT} = 0.862$ ,  $p < 0.01$ ), with significant differentiation among all sampling sites ( $F_{ST} = 0.866$ ,  $p < 0.05$ ). The inter-country hypothesis testing indicated significant genetic differentiation between countries ( $F_{CT} = 0.822$ ,  $p < 0.01$ ).

The haplotype network analysis (Figure 5) indicated a clear separation between the northern and southern

populations as the locations from the northern Benguela subsystem shared no haplotypes with the southern subsystem indicating complete lineage sorting.

## Discussion

### Genetic diversity of *D. capensis* across the BUS

Estimates of genetic diversity for *D. capensis* based on microsatellite data revealed relatively high levels, with an overall  $H_o$  of  $0.807$  and values across sampling sites ranging  $0.773 < H_o < 0.831$ , despite the use of cross-specific markers. Although direct comparisons are not reliable across species and microsatellite loci, the values here reported are similar in range to those of other sparid species, such as the gilt-head seabream *Sparus aurata* in the Adriatic Sea ( $H_o = 0.72$ – $0.83$ ,  $H_e = 0.73$ – $0.84$ ) (Žužul et al. 2022), but higher than for the zebra *D. hottentotus* in the BUS (Gwilliam et al. 2018), despite the use of the same microsatellite markers as the present study (average across loci  $0.292 < H_o < 0.385$ ). Inbreeding coefficients and allelic richness were lower in the northern region ( $F_{IS} = 0.021$ ,  $AR = 18.571$ ) than in the southern region ( $F_{IS} = 0.071$ ,  $AR = 21.176$ ). This pattern was consistent at country level and over all sampling sites. Nevertheless, observed heterozygosity was similar across Angola and Namibia ( $H_o \sim 0.82$ ), and slightly higher than in South Africa ( $H_o = 0.782$ ). A higher  $F_{IS}$  in the southern region may result from a heterozygote decrease as expected from a Wahlund effect—wherein different genetic units are sampled as a single population. In fact, dispersal patterns of *D. capensis* in South Africa revealed up to five potential subpopulations, linked to oceanographic features (Muller et al. 2024), and may thus point to subtle subpopulation differentiation within the southern population. However, other biological reasons could explain this difference in inbreeding coefficients. For example, chaotic genetic patchiness (CGP) (Johnson and Black 1992) owing to sweepstake recruitment events, temporal recruitment variability or even larval cohesion (where larvae remain together: McKeown et al. 2017), may explain the inflated  $F_{IS}$  of the southern population. In fact, CGP was identified as a possible explanation for fluctuations in diversity of *Merluccius paradoxus* across the BUS, because of years of poor recruitment (Henriques et al. 2016b). To identify the mechanism responsible for higher  $F_{IS}$  in the southern region, it would be necessary to increase the number of sampling locations across South Africa, and include a temporal component to evaluate how drift could impact estimates of diversity.

**Table 2:** Genetic differentiation levels per country measured as the pairwise Weir and Cockerham (1984)  $F_{ST}$  estimator (below diagonal) and Jost's  $D$  (above diagonal), based on eight microsatellite markers for *Diplodus capensis*. \* $p < 0.05$ ; \*\* $p < 0.01$ ;  $p < 0.00167$  (with Bonferroni-corrected  $\alpha$  in bold)

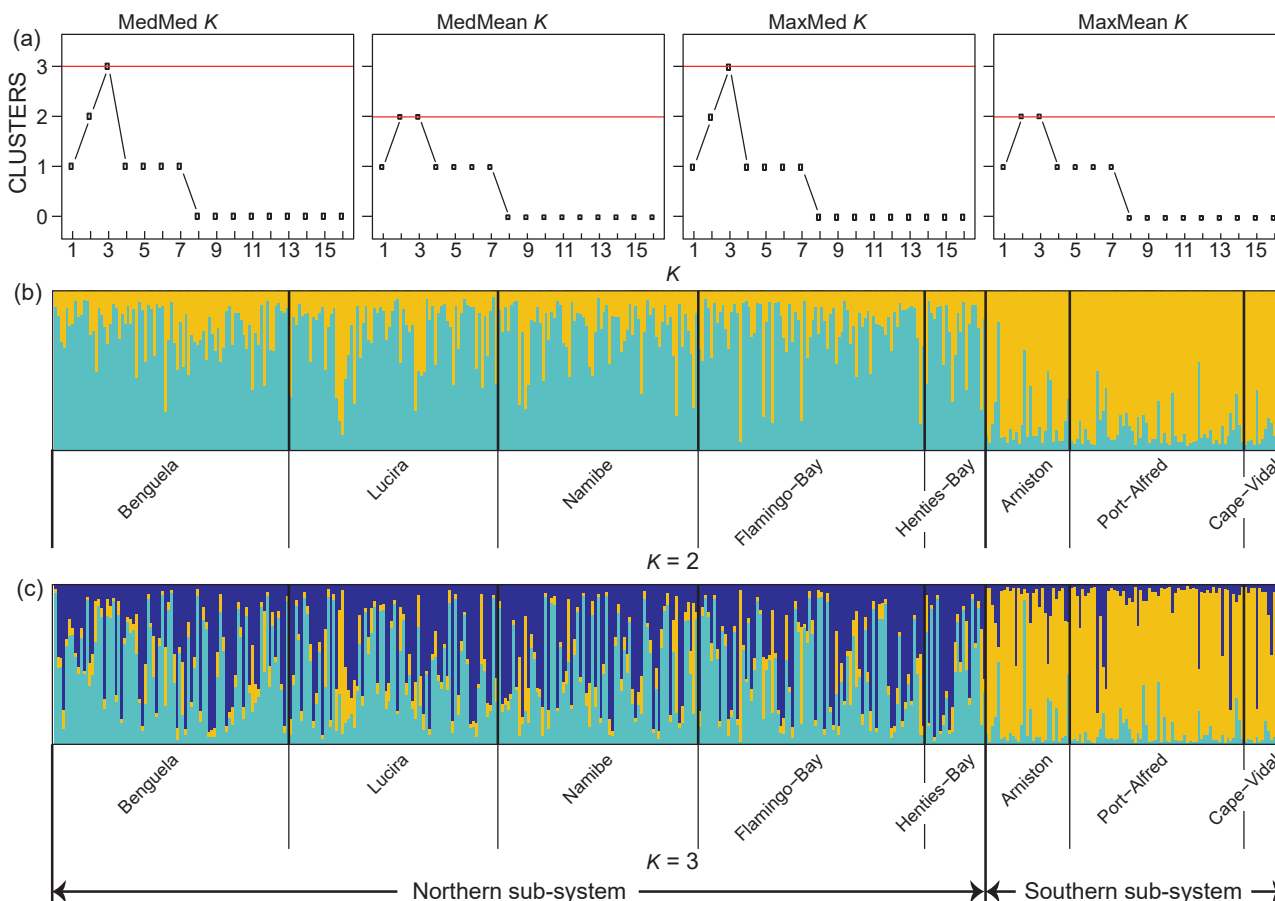
	Angola	Namibia	South Africa
Angola	–	–0.015	<b>0.233**</b>
Namibia	0.001	–	<b>0.287**</b>
South Africa	<b>0.044**</b>	<b>0.045**</b>	–

**Table 3:** Genetic differentiation levels based on pairwise  $F_{ST}$  (below diagonal) and pairwise Jost's  $D$  (above diagonal) per sampling region, based on eight microsatellite markers for *Diplodus capensis*. \* $p < 0.05$ ; \*\* $p < 0.01$ ;  $p < 0.00167$  (with Bonferroni-corrected  $\alpha$  in bold)

	Benguela	Lucira	Namibe	Flamingo	Henties Bay	Arniston	Port Alfred	Cape Vidal
Benguela	–	0.006	0.000	0.000	0.029*	<b>0.248**</b>	<b>0.236**</b>	<b>0.342**</b>
Lucira	0.001	–	0.008	0.002	0.012	<b>0.213**</b>	<b>0.212**</b>	<b>0.301**</b>
Namibe	0.000	0.001	–	0.000	0.033*	<b>0.225**</b>	<b>0.223**</b>	<b>0.328**</b>
Flamingo	0.000	0.000	0.000	–	0.020	<b>0.215**</b>	<b>0.217**</b>	<b>0.319**</b>
Henties Bay	0.002	0.000	0.005	0.000	–	<b>0.248**</b>	<b>0.249**</b>	<b>0.320**</b>
Arniston	0.045**	0.038**	0.042**	<b>0.039**</b>	<b>0.043**</b>	–	–0.006	–0.002
Port Alfred	<b>0.043**</b>	<b>0.038**</b>	<b>0.041**</b>	<b>0.039**</b>	<b>0.043**</b>	0.000	–	0.012
Cape Vidal	0.068**	0.060**	0.067**	0.064**	0.065**	0.002	0.004	–

Genetic diversity estimates based on mtDNA data were relatively lower than the ones obtained for the nuclear DNA dataset, but consistently higher in Angola than in Namibia and South Africa. In addition, the observed estimates for

Tajima's *D* and Fu's *F<sub>s</sub>*, suggest an historical population contraction (bottleneck or founder effect) followed by a subsequent expansion (Tajima 1989; Fu 1997). These findings are further supported by the observed star-shaped



**Figure 3:** (a) Bayesian clustering analyses conducted for *Diplodus capensis*, based on eight microsatellite loci, including plots of MedMed *K*, MedMean *K*, MaxMed *K* and MaxMean *K* to estimate the likely *K*. (b, c) STRUCTURE admixture plots for *K*2 and *K*3 clusters, respectively, with sampling location depicted on the x-axis

**Table 4:** Hierarchical microsatellite locus-by-locus AMOVA testing of different hypotheses for the population structure of *Diplodus capensis* in the Benguela Upwelling System. \**p* < 0.05; \*\**p* < 0.01; *p* < 0.00167 (with Bonferroni-corrected  $\alpha$  in bold)

Marker	Hypothesis tested	Source of variation	Variation	Fixation index
Microsatellites	Single group structure model	Among sampling sites	1.431	$F_{ST}$ <b>0.014**</b>
		Within sampling sites	98.569	
	Between country group structure model	Among groups	2.516	$F_{CT}$ <b>0.025**</b>
		Among sampling sites within groups	0.122	$F_{SC}$ <b>0.026**</b>
		Within sampling sites	97.362	$F_{ST}$ 0.001
	Inter-Benguela subsystem group structure model	Among groups	3.133	$F_{CT}$ <b>0.031**</b>
Among sampling sites within groups		0.062	$F_{SC}$ <b>0.032**</b>	
Within sampling sites		96.806	$F_{ST}$ 0.001	
mtDNA	Single group structure model	Among sampling sites	75.218	$F_{ST}$ <b>0.752**</b>
		Within sampling sites	24.782	
	Between country level structure model	Among groups	82.218	$F_{CT}$ <b>0.822**</b>
		Among sampling sites within groups	0.540	$F_{SC}$ <b>0.030**</b>
		Within sampling sites	17.242	$F_{ST}$ 0.828
	Inter-Benguela subsystem group structure model	Among groups	86.202	$F_{CT}$ <b>0.862**</b>
Among sampling sites within groups		0.367	$F_{SC}$ <b>0.027**</b>	
Within sampling sites		13.431	$F_{ST}$ 0.866*	



**Figure 4:** Gene flow diagram based on BayesAss migration analyses results for *Diplodus capensis* based on eight microsatellite loci and across eight sampling sites: Benguela (BN), Lucira (LC), Namibe (NE), Flamingo Bay (FB), Henties Bay (HB), Arniston (AN), Port Alfred (PA), and Cape Vidal (CV). Colours represent the estimated site of origin; relative line thickness represents the estimated ratio of individuals

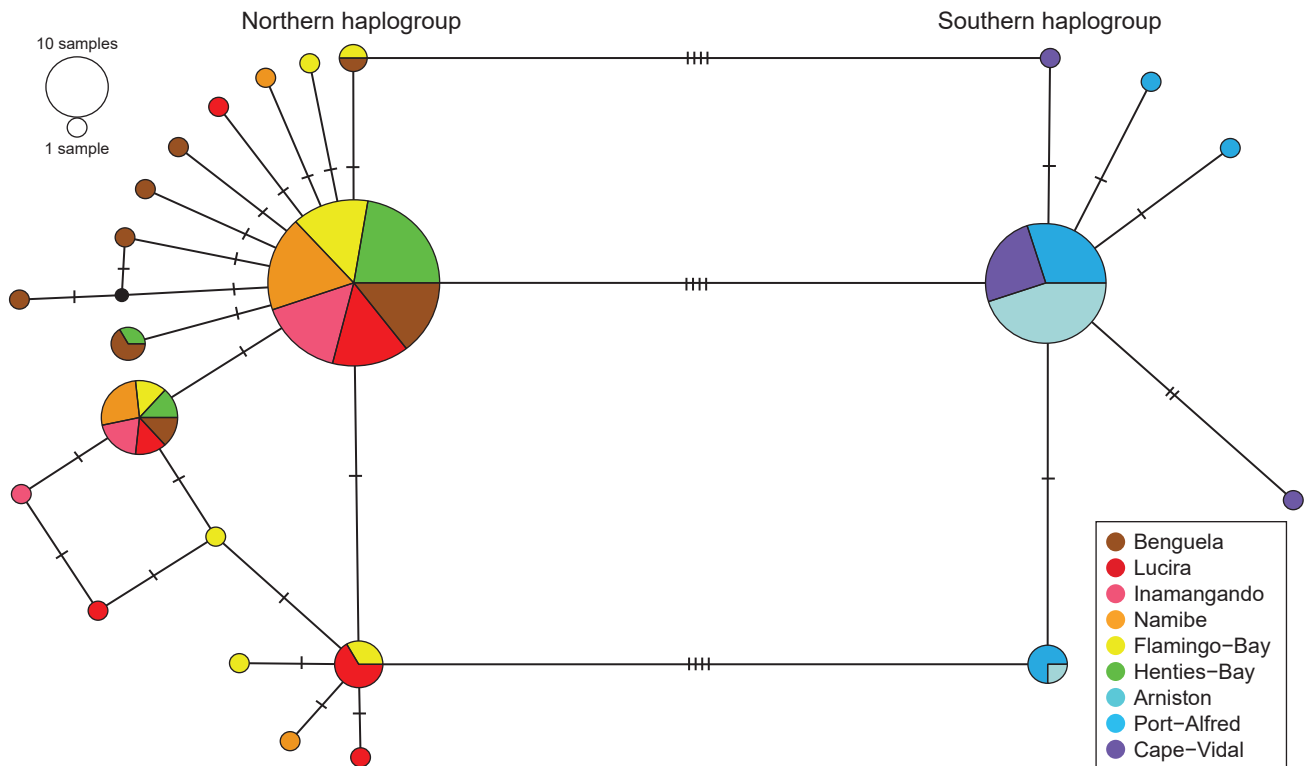
phylogeographic network, which was dominated by two main haplotypes, shared by the majority of individuals, from which private haplotypes—or haplotypes shared by a few individuals—differed by one to two mutational steps.

A possible reason for the higher nucleotide and haplotype diversities and lower inbreeding coefficient and allelic richness in the northern sites, may be a more recent (and/or severe) population contraction in the southern region. This pattern could be the result of a population history where two founder populations, one in the northern region and one in the southern region, underwent population

expansions at different points in time. In fact, estimates of time since expansion ( $\tau$ ) based on the mismatch distribution analyses showed a higher value for the northern population (0.782) versus the southern population (0.400) based on the geographical expansion model. Given the known high uncertainty in estimating time since expansion based on mismatch distribution parameters (Grant 2015), we provide here a rough estimate for illustration purposes only, that should not be taken at face value. With this caveat, assuming a general mutation rate of 2% per million years per nucleotide for mtDNA CO1 and a sequence length

**Table 5:** Mitochondrial genetic diversity levels and neutrality tests for *Diplodus capensis* based on 619 bp of mtDNA CO1. *n* = number of individuals; *H* = number of haplotypes; *h* = haplotype diversity;  $\pi$  = nucleotide diversity; EWof = Ewens–Waterson neutrality test observed *F*-statistic; EWeF = Ewens–Waterson neutrality test expected *F*-statistic; *F<sub>s</sub>* = Fu’s neutrality test; *D* = Tajima’s neutrality test. \**p* < 0.05; \*\**p* < 0.01; and *p* < 0.00556 for locations, *p* < 0.0167 for countries, and *p* < 0.025 for regions (with Bonferroni-corrected  $\alpha$  in bold)

Sampling site	<i>n</i>	<i>H</i>	<i>h</i>	$\pi$	EWof	EWeF	<i>F<sub>s</sub></i>	<i>D</i>
Benguela	20	8	0.700	0.002	0.335	0.210	<b>-5.149**</b>	-1.665*
Lucira	20	6	0.674	0.002	0.360	0.296	-2.229*	-0.866
Inamangando	16	3	0.425	0.001	0.602	0.538	-0.290	-0.330
Namibe	20	4	0.490	0.001	0.535	0.443	-1.024	-1.260
Flamingo	19	7	0.667	0.002	0.368	0.241	<b>-3.876**</b>	-1.050
Angola	95	18	0.596	0.001	0.411	0.142	-18.336**	-1.981**
Henties Bay, Namibia	20	3	0.279	0.000	0.735	0.553	-1.206*	-1.141
Northern population (Namibia and Angola)	115	18	0.546	0.001	0.458	0.150	<b>-18.980**</b>	<b>-1.993**</b>
Arniston	19	2	0.105	0.000	0.900	0.729	-0.838	-1.165
Port Alfred	12	3	0.318	0.001	0.536	0.429	-0.614	-1.629
Cape Vidal	17	4	0.493	0.001	0.708	0.513	-1.537*	-1.096*
Southern population (South Africa)	48	6	0.303	0.001	0.703	0.370	<b>-4.473**</b>	<b>-1.875**</b>
Species	163	24	0.715	0.004	0.289	0.119	<b>-10.987**</b>	-1.196



**Figure 5:** Median-joining haplotype network analysis using mtDNA CO1 sequences of *Diplodus capensis* across nine sampling sites, showing a northern and a southern haplogroup across the Benguela Upwelling System. Each node represents a unique haplotype; the size of the node represents its frequency, and each notch on connecting lines represents the number of nucleotide substitutions between haplotypes

of 619 bp, the northern population would have expanded roughly 29 500 years ago, whereas the southern population would have expanded more recently at ~16 150 years ago. In both cases, these estimates pre-date the end of the Last Glacial Maximum (12 000–10 000 years ago), which have also been reported for other marine fish across the BUS. For example, *Argyrosomus inodorus* showed higher

haplotype and nucleotide diversity, as well as time since expansion, but also lower allelic richness and *F<sub>IS</sub>* for the Namibian population compared with the South African population (Henriques et al. 2015), as well as compared with *Atractoscion aequidens* (Henriques et al. 2014). Similarly, *D. hottentotus* showed higher haplotype diversity in the northern population than in the southern population

(Gwilliam et al. 2018). If these times of expansion hold, it would suggest that the northern populations of warm-temperate coastal species in the BUS region have expanded earlier, probably from refugia, when compared with the southern populations. Additional reconstruction of demographic history through time would be required to assess the rates of recovery of these populations, and potentially identify historical refugia, common to warm-temperate species across the BUS region.

**Patterns of population differentiation of *D. capensis* across the BUS**

*Evidence for cross-region gene flow?*

Assessment of population structure indicated significant differentiation across the BUS with two distinct genetic clusters (northern and southern) retrieved, regardless of the method or marker used. Pairwise comparisons of genetic differentiation based on the microsatellite dataset revealed significant divergence between the northern (Angola and Namibia) and southern (South Africa) regions, with  $F_{ST} = 0.044$  and Jost's  $D = 0.262$ . Pairwise differentiation using mtDNA was much higher ( $F_{ST} = 0.863$ ), supporting the absence of shared haplotypes and complete lineage sorting seen in the phylogeographic network. Similar findings were reported for *D. hottentotus* across the same sampling region and using the same microsatellite markers; however, pairwise differentiation levels based on microsatellite data for this species were higher ( $F_{ST} = 0.23$ ,  $p < 0.05$ ) than reported here for *D. capensis*, whereas estimates based on mtDNA were lower ( $F_{ST} = 0.5$ ,  $p < 0.05$ ), as a shared haplotype was observed between Angola and South Africa, interpreted by the authors as retention of ancestral polymorphisms (Gwilliam et al. 2018).

The AMOVA results further supported a northern versus

southern pattern of differentiation, regardless of the marker used. Similarly, clustering analyses based on microsatellite data retrieved two groups (northern versus southern) as the most likely population sub-structuring hypothesis for the dataset. These results are similar to those reported for other warm-temperate species in the region, with northern and southern groups described for *A. aequidens*, leerfish *Lichia amia* and *M. capensis*, while no differentiation was observed within groups (Henriques et al. 2012, 2016b). In particular, complete lineage sorting for the mtDNA was reported for the first two species, which have similar thermal requirements as *D. capensis*.

However, the AMOVA results also indicated significant fixation indexes among sampling sites within country and regional groups suggesting the presence of finer-scale sub-structuring within the two main populations, for both microsatellite and mtDNA data. This would align with the previous work of Muller et al. (2024) that identified up to five potential subpopulations associated with oceanographic conditions in South Africa. These findings, combined with estimates of dispersal patterns and the fixation indexes reported here, imply finer-scale structuring within the southern population for which neutral markers lack sufficient resolution to identify.

Despite the identification of distinct genetic clusters, clustering analyses using microsatellite data exhibited widespread admixture, with relatedness and migration analyses also suggesting very low ongoing connectivity across the BUS. In fact, relatedness analyses revealed one second-degree related pair between Flamingo and Cape Vidal (~4 000 km apart), while migration analyses suggested the presence of low levels of connectivity mostly from the northern to the southern subsystem, with first-generation migrants also identified. Similar connectivity findings were reported for *D. sargus* in the Mediterranean Sea, with a maximum distance of 2 221 km observed between one full-sibling pair, and additional five related pairs separated by >1 300 km (Manel et al. 2023). However, most of the literature on *D. capensis* do not support the likelihood of this migration pattern, owing to temperature requirements, dispersal abilities and larval duration. *D. capensis* relies primarily on larval dispersion (Cele et al. 2016), which could result in passive dispersal influenced by currents. The Benguela current, however, flows against the observed migration pattern from south

**Table 6:** Genetic differentiation levels measured as pairwise  $\phi_{ST}$  values per country based on 619 bp of mtDNA CO1 for *Diplodus capensis*. \* $p < 0.05$ ; \*\* $p < 0.01$ ;  $p < 0.00167$  (with Bonferroni-corrected  $\alpha$  in bold)

	Angola	Namibia	South Africa
Angola	–		
Namibia	–0.002	–	
South Africa	<b>0.855**</b>	<b>0.922**</b>	–

**Table 7:** Genetic differentiation levels based on pairwise  $\phi_{ST}$  (below diagonal) per sampling region, based on 619 bp of mtDNA CO1 for *Diplodus capensis*. \* $p < 0.05$ ; \*\* $p < 0.01$ ;  $p < 0.00167$  (with Bonferroni-corrected  $\alpha$  in bold)

	Benguela	Lucira	Inamangando	Namibe	Flamingo	Henties Bay	Arniston	Port Alfred	Cape Vidal
Benguela	–								
Lucira	0.073*	–							
Inamangando	0.013	0.070	–						
Namibe	0.006	0.042	–0.034	–					
Flamingo	0.029	0.000	0.028	0.001	–				
Henties Bay	0.000	0.097*	0.017	0.000	0.043	–			
Arniston	0.880**	0.878**	0.932**	<b>0.915**</b>	<b>0.885**</b>	<b>0.953**</b>	–		
Port Alfred	<b>0.839**</b>	<b>0.835**</b>	<b>0.885**</b>	<b>0.872**</b>	<b>0.842**</b>	<b>0.909**</b>	0.015	–	
Cape Vidal	<b>0.834**</b>	<b>0.833**</b>	<b>0.888**</b>	<b>0.873**</b>	<b>0.839**</b>	<b>0.917**</b>	0.024	0.039	–

to north, and at a speed similar to that reported for the species' late-stage mean larval swimming abilities (17–23 cm s<sup>-1</sup> versus 18 cm s<sup>-1</sup>, respectively) (Shannon 1985; Wedepohl et al. 2000; Patrick and Strydom 2009). This suggests that *D. capensis* would still likely need refuge along the BUS to facilitate migration, which would make migration in one generation unlikely.

Unlike *D. hottentotus* (Gwilliam et al. 2018), the mtDNA dataset exhibited reciprocal monophyly between *D. capensis* from the northern and southern subsystems, suggesting an historical divergence and the absence of ongoing gene flow. Disparity between marker types is mathematically expected if they experience differential effects from evolutionary forces such as drift, mutation, migration, selection, as well as homoplasy, effective population size or sex-biased dispersal (Buonaccorsi et al. 2001). As a result of maternal inheritance, the complete lineage sorting observed for *D. capensis* mtDNA would make female-biased migration and sex-independent larval dispersal unlikely as these would result in ongoing mtDNA gene flow. Our findings could thus suggest female philopatry and male-mediated dispersal, as seen in bonnethead *Sphyrna tiburo* (Portnoy et al. 2015). However, in *D. capensis*, male-biased dispersal could still be expected to result in ongoing mtDNA gene flow caused by their partial protandry (Richardson et al. 2011a), as some males could be expected to change to females after migration allowing individuals to add mtDNA to the gene pool of the receiving population.

It is likely that the hypothesis of no ongoing gene flow is indeed correct, and that the observed admixture based on microsatellite data is an artefact of incomplete lineage sorting and retention of ancestral polymorphisms owing to a relatively recent divergence time and the larger effective population size of the nuclear genome in comparison with mtDNA. Population differentiation in the absence of migration will result from genetic drift, which becomes inefficient in larger populations (Castro et al. 1998). Therefore, it would be seen earlier in mtDNA than in the nuclear genome.

However, if ongoing gene flow is truly possible for *D. capensis* in the BUS, the patterns reported here seem to mimic the dispersal patterns of *A. coronus*, from north to south, which are linked to ongoing climate change in the region (Potts et al. 2014; Pringle et al. 2023). Therefore, additional work should be conducted for this species in this system, using genome-wide polymorphisms, to truly discern between these two hypotheses.

### Implications for fishery management

Our work identified two diverging *D. capensis* populations across the northern and southern BUS. For conservation and fishery management purposes it is recommended that these two populations be treated as independent evolutionary units owing to the observed complete mitochondrial lineage sorting, reduced ongoing gene flow, as well as the reported biological differentiation between the two populations (Richardson et al. 2011b). Our results also indicate that both populations are likely to have experienced population contractions and expansions, with the southern population contraction being more recent or severe, and

the northern population being a potentially older population with higher effective population size and more gene flow. The southern population also shows some evidence of finer-scale sub-structuring which could not be unequivocally identified in this study.

Without fine-scale population structure patterns, it is not possible to locate and protect vulnerable populations within each system and prevent loss of diversity. Adaptive single nucleotide polymorphisms (SNP) potentially can be more effective at assigning individuals to genetic units and investigating evolutionary potentials for fisheries management purposes compared with the limited utility of neutral markers (Schulze et al. 2020). Therefore, further research using putative adaptive loci are required for investigating finer-scale sub-structuring and divergence linked to local adaptation within the northern and southern populations of *D. capensis* to conserve their adaptive capacity and ensure their long-term persistence in a changing ocean.

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