

University of Pretoria

Opening Access to research : an African perspective

Elsabé Olivier

Presented at the ICT in Higher Education Conference, 25 October, Johannesburg



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Contents

- Research in Africa
- Access to information
- Institutional repositories
- Open Access Journals
- Copyright issues
- International collaboration



Research in Africa



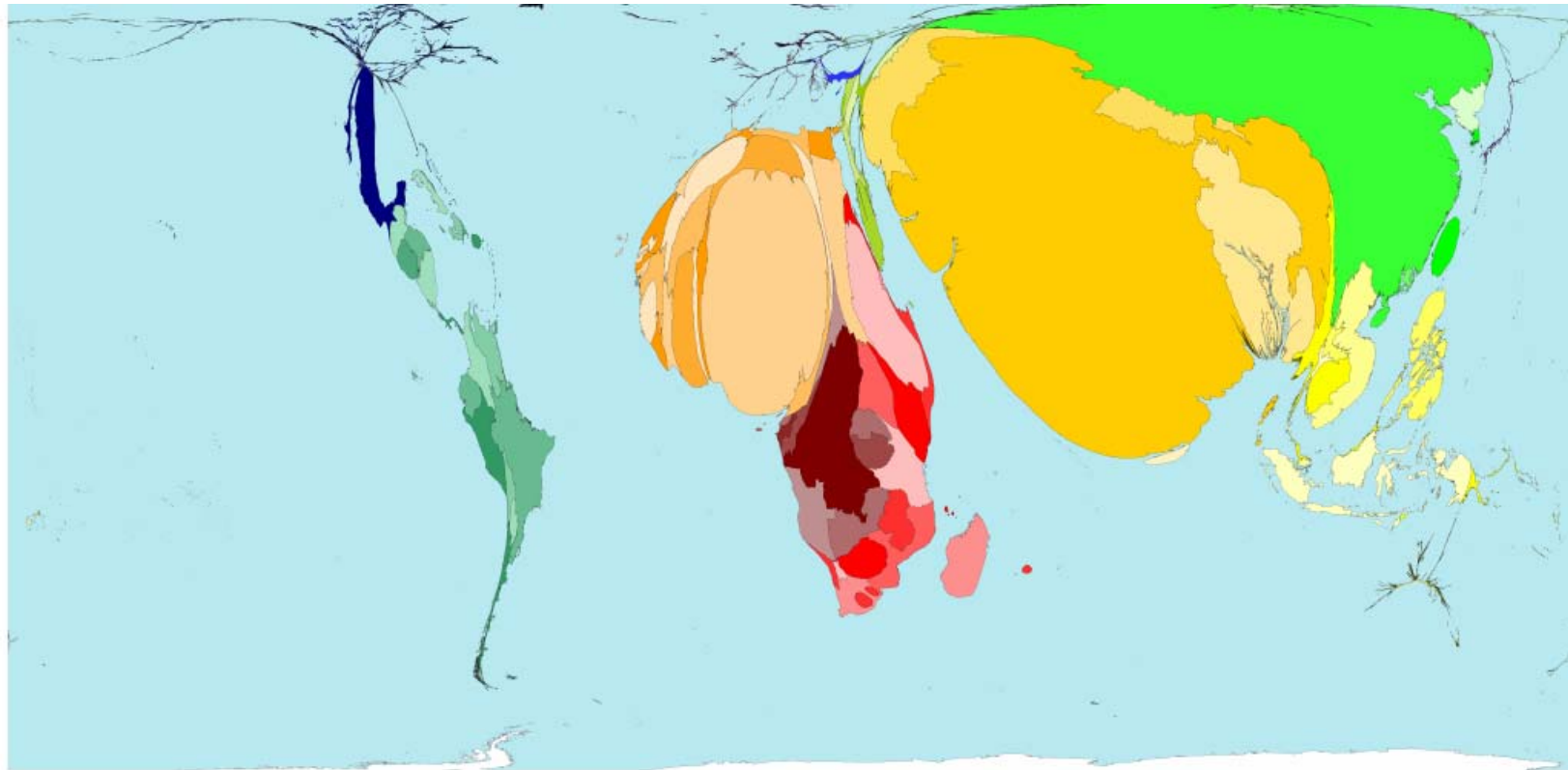
South Africa is responsible for

- 79% of the publications
- 0.55% of the world's literature
- India produces 2.94% of the world's literature

Africa

- Is least able to pay for access to information
- Will benefit greatly from Open Access

Worldmap according to poverty

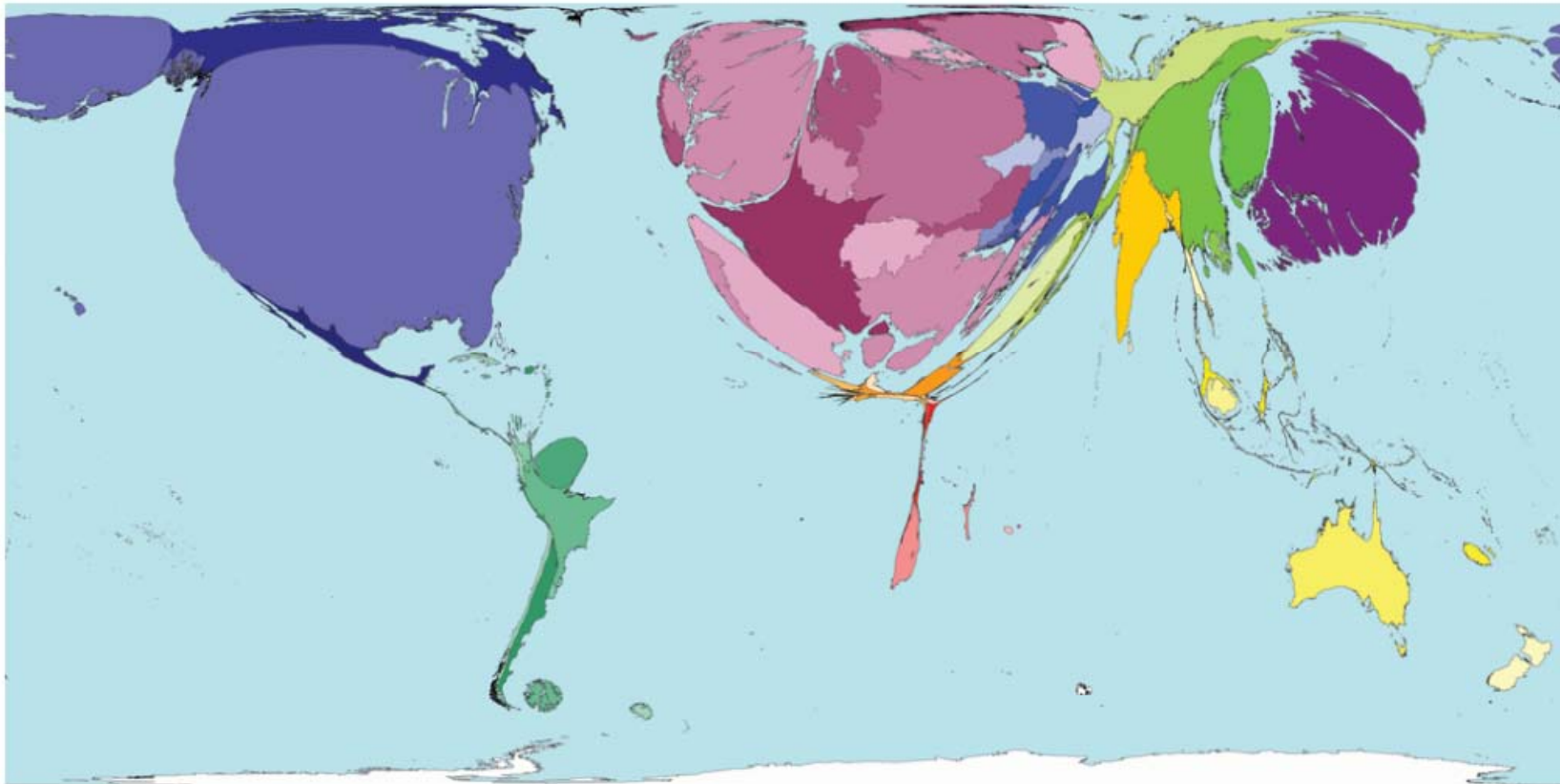


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Worldmap according to Science research



<http://www.worldmapper.org/display.php?selected=205>



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□ Internet has brought about phenomenal changes

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VIRUS GENES
Volume 41, Number 3, 361-368, DOI: 10.1007/s11262-007-9171-7

Molecular characterisation of African swine fever viruses from Nigeria (2003–2006) recovers multiple virus variants and reaffirms CVR epidemiological utility
Olajide A. Owolodun, Armanda D. S. Bastos, John F. Antiabong, Mosunmola E. Ogedengbe, Pius S. Ekong and Bitrus Yakubu

E35/R378/\$49

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Forestry (2010) 83 (5): 507-515.
doi: 10.1093/forestry/cpq033
First published online: October 29, 2010

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The costs of the “Big deal”/online access

- ❑ Big Deal is an online aggregation of journals that publishers offer as a one-price, one size fits all package (Frazier 2001)
- ❑ Libraries gain electronic access from large publishers in the form of bundled journals & licenses
- ❑ Problem?
 - Contract are inflexible and varies
 - Libraries are struggling with price increases
 - Libraries are paying different prices for same subscriptions
- ❑ Result : libraries are considering cancelling contracts



British research Libraries say No!



THE CHRONICLE
of Higher Education

Saturday, October 15, 2011

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Previous: User Posts Thousands of JSTOR Files Online | Next: QuickWire: AT&T Offers Mobile Application for College Students

British Research Libraries Say No to 'Big Deal' Serials Packages

July 22, 2011, 4:56 pm
By Jennifer Howard

As some U.S. research libraries back away from so-called Big Deals with journal publishers, a major British library group has also taken a stand against high serials prices. Late last year, Research Libraries UK announced that its members would not sign any more large deals with two of the biggest journal publishers, Elsevier and Wiley, unless they agreed to significant reductions in what those deals cost.

22 July 2011:
Unless Elsevier & Wiley agree to reductions, Research Libraries UK will not sign the Big Deal.

<http://chronicle.com/blogs/wiredcampus/british-research-libraries-say-no-to-big-deal-serials-packages/32371>



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The demise of the Big Deal



OPEN AND SHUT?



Monday, March 14, 2011

The Demise of the Big Deal?

Claudio Aspesi — an analyst based at the sell-side research firm Sanford Bernstein — predicts a difficult future for Reed Elsevier, particularly for its scholarly journal business. He also predicts the demise of the Big Deal, the business model in which scholarly publishers sell access to multiple journals by means of a single electronic subscription.

In a report published last year Aspesi warned that a combination of the global financial crisis and the rise of the Open Access (OA) movement would impact negatively on the revenues of scholarly publishers. Yet, he said, Reed Elsevier appeared to be "in denial on the magnitude of the issue potentially affecting scientific publishing".

A year later Aspesi appears even more doomv. In his most recent report he has

Mandates Peer Review Big Deal
Wellcome Trust's Robert

Open Access interviews
▶ Essays on Open Access
▶ Archive
▶ Contact

Blogs, News & More:
The Connotea oa.new page
OA Archivangelism
The Occasional Pamphlet

- Reasons:
- Global financial crisis
 - Rise of the Open Access movement

<http://poynder.blogspot.com/2011/03/demise-of-big-deal.html>

So, what is Open Access?

The opinion of an expert:

- Open-access (OA) literature is digital, online, free of charge, and free of most copyright and licensing restrictions. What makes it possible is the internet and the consent of the author or copyright-holder.
- It is compatible with peer-review
- Open Access literature is not free to produce and the business models for paying the bills depend on how OA is delivered

Peter Suber <http://www.earlham.edu/~peters/fos/brief.htm>

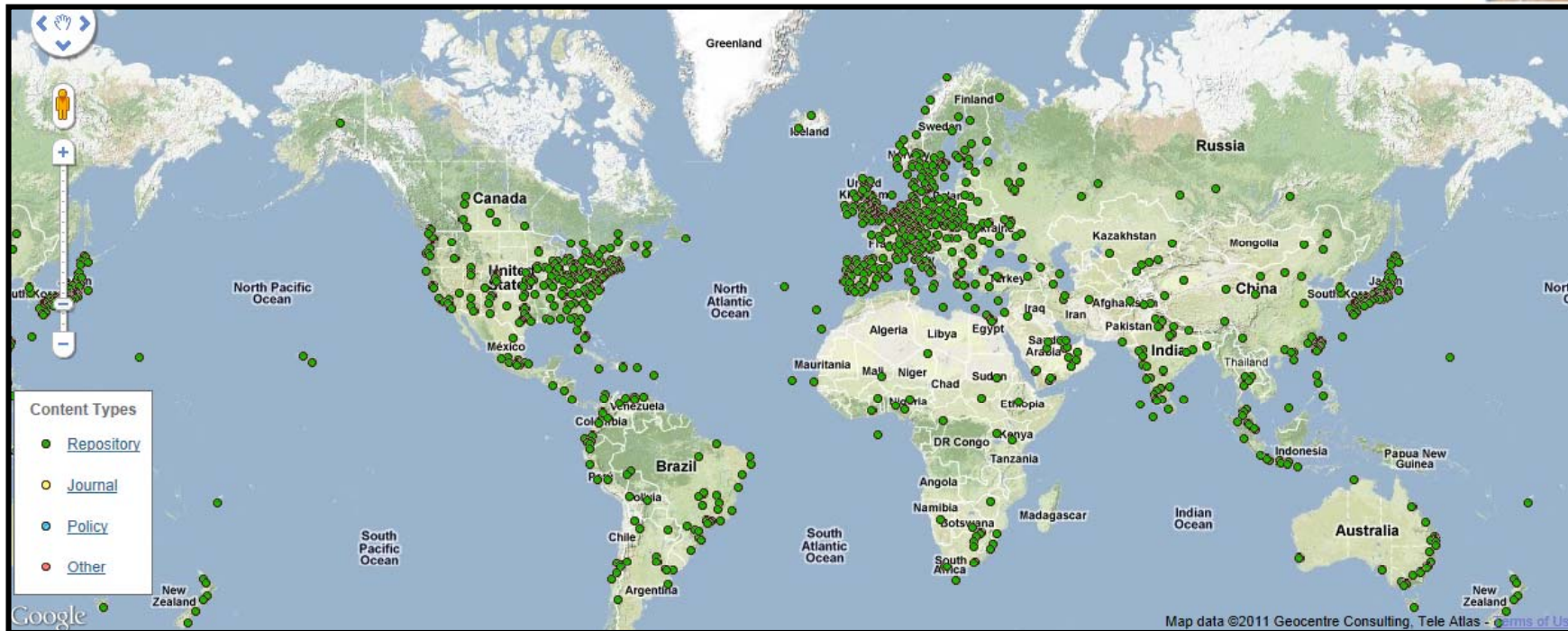


What is the Open Access movement?

- ❑ The Open Access movement is
 - A global movement in academia
 - Dedicated to sharing information for the common good
 - Of importance to researchers, academics, librarians, funding agencies, government officials, publishers
- ❑ Open Access can be achieved by:
 - Open Access archives or repositories (green route)
 - Open Access journals (gold route)



Open Access map



<http://www.openaccessmap.org/>

Open Access archives/repositories (green route)



❑ Archives/repositories

- Belong to an institution (universities, disciplines such as Physics, Economics)
- Supplement publishing, it is not a substitution
- Do not perform peer review
- Internet users can easily find content, if repositories comply with the metadata harvesting protocol of the Open Archives Initiative
- Make scholarly content globally available

❑ A repository is a “critically important tool for managing a university’s image and impact on a global scale” (Alma Swan 2011)

Content of Open Access repositories

- Journal articles
 - Preprints
 - Postprints
 - Final publisher's version
- Scanned versions of formerly print only journals, such as HTS
Teologiese Studies / Theological Studies
- Theses and dissertations
- Other material – grey material
- Datasets for the future



OpenDOAR : Directory of Open Access Repositories



More than 2000 repositories, 50 in Africa, 24 in South Africa.

OpenDOAR
Search or Browse for Repositories

Search filters:
Any Subject Area | Any Content Type | Any Repository Type
Africa (50) | Any Language | Any Software | Search
Summaries | 20 per page. | Sort by: Repository Name | New Query

To search the *contents* of the repositories listed in OpenDOAR, please see our [trial search page](#).

Results 1 - 20 of 50. Page: << Previous 1 2 3 Next >>

AAU-ETD (Addis Ababa University Libraries Electronic Thesis and Dissertations Database)

Organisation: [Addis Ababa University Libraries](#), [Addis Ababa University](#), Ethiopia
Description: This site provides access to the theses and dissertation output of the institution. The interface is in English. Users may set up RSS feeds to be alerted to new content.
OAI-PMH: <http://etd.aau.edu.et/dspace-oai/request>
Software: DSpace
Size: 2554 items (2011-05-17)
Subjects: Multidisciplinary
Content: Theses
Languages: English; Amharic



<http://opendoar.org/>



ROAR : Registry of Open Access Repositories



Lists a total of 300 open access mandate policies!

Registry of Open Access Repositories

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Displaying results 1 to 20 of 27.
1 | 2 | Next

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[UPSpace at the University of Pretoria \(14087 records\) University of Pretoria - 30 March 2006](#)
[\[Record Details\]](#)
- 

Deposit Activity



[Stellenbosch University SUNScholar Repository \(12898 records\) Stellenbosch University - 12 December 2009](#)
[\[Record Details\]](#)

<http://roar.eprints.org/>

University of Pretoria and Open Access



□ University of Pretoria embraces Open Access movement

- it enhances the visibility and impact of an institution and its authors
- supports Open Access to research for all researchers worldwide
- takes responsibility for the dissemination of its research outputs
- realizes the value of archiving the staff and students' research outputs in a repository thereby enabling global usage



Benefits of repositories

□ Alma Swan (Swan 2011) identified the following benefits for institutions:

- It opens up the institution's output for the whole world
- Maximizes the visibility and impact of these outputs
- Showcases the output to prospective staff, students, funders and other stakeholders
- Collects, curates and preserves the institution's intellectual output
- Manages and measures research



UPSpace



Institutional Repository, University of Pretoria [Login](#)

UPSpace Home

Communities in UPSpace

Select a community to browse its collections.

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Search UPSpace

[Advanced Search](#)

Collection of UP scholarly research articles – 6501 items. Mandatory policy since 2009.

UPeTD



University of Pretoria

NEWS

Elsabe Olivier elected to the NDLTLD board

UPeTD has improved its rating in the international Webometrics ranking of institutional repositories once more. We are now **no. 65** worldwide in terms of content, size and visibility. [Read more](#)

UP receives two NDLTLD awards. *Monica Hammes* for ETD leadership, and *Jacques Orton* for Innovative learning through ETDs. [Read more](#)

UPeTD Home

University of Pretoria Electronic Theses and Dissertations

Welcome to the University of Pretoria electronic theses and dissertations service

- search the UPeTD collection
- browse the UPeTD collection
- access to UP theses and dissertations in paper format
- other etd collections worldwide
- submit your thesis or dissertation online
- copyright and intellectual property

Database pages best viewed in Internet Explorer

UPeTD forms part of the Networked Digital Library of Theses and Dissertations

It is compulsory for UP students to submit their theses and dissertations to UPeTD

Most used repository in Africa! Current total is 6758 items.

Faculty support : Deputy Dean of the Faculty of Natural & Agricultural Sciences



<http://www.youtube.com/watch?v=QtOEdQaaKRQ>

Open Access Journals (gold route)

- Research articles are peer reviewed and contents are made freely available
- Business model
 - Some journals receive subsidy from hosting university/professional society
 - Some require processing fees from authors
- Major Open Access publishers
 - BioMed Central
 - Hindawi
 - AOSIS



DOAJ: Directory of Open Access Journals



DOAJ DIRECTORY OF OPEN ACCESS JOURNALS

Free, full text, quality controlled scientific and scholarly journals, covering all subjects and many languages

English

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Journals

Search journals

Articles

More than one word in a field will be treated as phrase.

and or not

Search articles

NEWS

- 15/9 2011: 7000 journals and the DOAJ site in Turkish
- 27/6 2011: Article about OA publishing
- 9/6 2011: DOAJ site now also in French
- 18/4 2011: DOAJ listed as one of 20 Useful Specialty Search Engines for College Students
- 28/3 2011: DOAJ is launching a new user interface!

DOAJ AS OF TODAY

- 7062 journals
- 3247 journals searchable at article level
- 634926 articles

SVENSK BIBLIOTEKS FÖRENING

Springer

BioMed Central
The Open Access Publisher

INASP
International Network

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<http://www.doaj.org/>



Copyright



❑ Open Access archives or repositories (green route)

- Traditionally authors sign Copyright Transfer Agreement
- Authors retain certain rights to re-use
- SHERPA/RoMEO website
- 64% of 1022 publishers allow some form of self-archiving (36%) not
 - ❑ 8% allows archiving of the pre-print
 - ❑ 30% allows archiving of the post-print
 - ❑ 26% allows archiving of the pre-print and post-print

❑ Open Access journals (gold route)

- Creative Commons licenses
- Creator of the work is acknowledged
- Ensures re-use

SHERPA/RoMEO





... opening access to research

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Page 1 of 9 Original Research

Nigerian health workers' views concerning factors influencing paediatric adherence to anti-retroviral therapy

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 Ehlers, V.J. & Chagwi, R.I., 2011, Nigerian health workers' views concerning factors influencing paediatric adherence to anti-retroviral therapy, *Health SA Gesondheid* 16(1), Art. #571, 9 pages. doi:10.4102/hsag.v16i1.571

Abstract:
 Few studies have focused on paediatric anti-retroviral therapy (ART) adherence in Nigeria, probably because of the ethical challenges involved in studying children. The study aimed to identify factors that influence paediatric ART adherence as perceived by health care workers providing ART services in two cities in Nigeria. Knowledge about such factors would be used to formulate recommendations for enhancing paediatric ART adherence in Nigeria, for facilitating the tasks of the health care workers and for enhancing the ART programme's effectiveness. An exploratory descriptive qualitative research design was used to identify and to describe health care workers' views in Kano and Lagos, Nigeria. Three focus group discussions were conducted at two clinics that provide free paediatric ARVs (antiretroviral drugs). The transcribed data were analysed by using the framework approach of data analysis. Health care providers perceived poverty, illiteracy, stigma, discrimination, inappropriate care approaches, and parental dynamics as factors that influence paediatric ART adherence. Paediatric ART adherence levels in Nigeria could be enhanced by emphasising paediatric ART adherence counselling and by adopting a comprehensive family-centred care approach, by improving free paediatric ART services and by empowering parents and reducing stigma and discrimination.

Abstract (Afrikaans):
 Min studies het al op pediatriese anti-retrovirale behandeling (ARV) nakoming in Nigerië gefokus. Vrat moontlik toegeskryf kan word aan die etiese uitdagings betrekke by die bestudering van kinders. Die studie het gepoog om faktore te identifiseer wat pediatriese ARV nakoming kan beïnvloed, soos voorgestem deur gesondheidsdieners wat ARV dienste verskaf in twee stede in Nigerië. Kennis van sulke faktore sal aanbeveelings word om aanbevelings te maak om die pediatriese ARV nakoming in Nigerië te verbeter, om die taak van die gesondheidsdieners te vergemaklik en om die ARV (anti-retrovirale medisyne) programme se doeltreffendheid te verbeter. 'n Verkennende beskryvende kwalitatiewe navorsingsontwerp was benut ten einde gesondheidsdieners in Kano en Lagos, Nigerië, se standpunte te identifiseer en te beskryf. Drie fokusgroepe besprekings is gehou in twee klinieke wat gratis ARVs verskaf. Die getranskribeerde data is ontleed deur die raamwerkbenadering tot data-analise te gebruik. Gesondheidsdieners het voorgestem dat armoede en ongeskildheid, stigma en diskriminasie, ontepassende sorgbenaderings en ouerlike dinamika, faktore was wat pediatriese ARV nakoming beïnvloed het. Pediatriese ARV nakomingvlakke in Nigerië kan verbeter word deur pediatriese ARV nakomingbeveling te beklemtoon, deur die aanvaarding van 'n omvattende gesinsgesentreerde sorgbenadering wat gratis ARV dienste bied, deur die versterking van ARV dienste, die bemagtiging van ouers en die vermindering van stigma en diskriminasie.

Introduction
 Children on anti-retroviral therapy (ART) are not always retained on the ART programmes (Beach-Cott et al. 2005:258) and parents of children might feel stigmatised by taking their children to ART clinics (Reddington et al. 2000:1146). Some parents might be unable to afford expensive anti-retroviral drugs (ARVs) and inadequate access to ART could pose challenges.

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<http://www.hsag.co.za> doi:10.4102/hsag.v16i1.571



What can universities & administrators do?



Adopt an Open Access policy

- Open-Access repository
 - Theses & dissertations
 - Encourage researchers to self-archive their research articles
- Encourage researchers to maintain copyright or retain the right to self-archive
- Encourage the publication in Open Access journals
- Provide financial support for Open Access journal publication
- Journals hosted by your university should be made Open Access
- Conference proceedings of your university should be Open Access

National & International collaboration – Academy of Science of South Africa (ASSAf)



South Africa's database
which provides wider
impact, visibility & access
to quality journals.

SciELO.org

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SciELO The Scientific Electronic Library Online (**SciELO**) SA is South Africa's premier open-access (free to access and free to publish) searchable full-text journal database in service of the South African research community. The database covers a selected collection of peer-reviewed South African scholarly journals and forms an integral part of the SciELO Brazil project. SciELO SA is managed by the Academy of Science of South Africa (ASSAf), funded by the South African Department of Science and Technology and endorsed by the South African Department of Higher Education and Training (DHET).

about this site SciELO SA focuses on strengthening the scholarly journal evaluation and accreditation systems in South Africa.

The SciELO library is an integral part of a project being developed by FAPESP - Fundação de Amparo à Pesquisa do Estado de São Paulo, in partnership with BIREME - the Latin American and Caribbean Center on Health Sciences Information. Since 2002, the Project is also supported by CNPq - Conselho Nacional de Desenvolvimento Científico e Tecnológico.

SciELO contributes to the development of national capacities in scientific editing and publishing to be on par with the international state of the art. The project aims to make visible the science of developing countries that the mainstream does not provide for this scientific communication.

<http://www.scielo.org.za/>



National & International collaboration – UNESCO



The screenshot shows the UNESCO website's 'Open Access' page. At the top left is the UNESCO logo and the text 'United Nations Educational, Scientific and Cultural Organization'. The main heading is 'Open Access' with a sub-heading 'Scientific information is innovation's most important resource. Open Access—the online availability of research—enables licensing and copyright-free access to scientific information and socio-economic development.' Below this is a large orange padlock icon. The text continues: 'UNESCO promotes Open Access (OA), with particular emphasis on scientific information (journal articles, conference papers and datasets of various kinds) emanating from publicly funded research. Working with partners, UNESCO works to improve awareness about the benefits of OA among policy makers, researchers and knowledge managers. Through its global network of Field Offices, Institutes and Centers, UNESCO facilitates the development and adoption of OA-enabling policies. In addition, UNESCO engages in global OA debates and cooperates with local, regional and global initiatives in support of OA.' A red box highlights the text: 'UNESCO started programme mid-2010 & pays attention to Africa and developing countries by presenting regional African workshops.' Below this, it says 'UNESCO's OA programme pays particular attention to African and other developing countries where,'. On the right side, there are sections for 'RELATED INFORMATION', 'DOCUMENTS' (listing 'Netherlands National Commission for UNESCO: A Global Perspective on Open Access (PDF)' and 'Directory of Open Access Education and Training Opportunities (PDF)'), 'PUBLICATIONS' (listing 'Open access to knowledge and information: scholarly literature and digital library initiatives; the South Asian scenario'), and 'WEBSITES' (listing 'Definition of Open Access: A very brief introduction to Open Access by Peter Suber', 'Directory of Open Access Journals (DOAJ)', and 'Open Access Week 24-30 October 2011, Everywhere'). A left sidebar contains a menu with items like 'Access to knowledge', 'Archives', 'Free and Open Source Software (FOSS)', 'ICT for Education, Science and Culture', 'Information literacy', 'Internet Governance', 'Libraries', and 'Linguistic diversity on Internet'. At the bottom left of the screenshot is the University of Pretoria logo and name in Afrikaans, English, and Zulu.



National & International collaboration – EIFL

EIFL is an international non-profit organization enabling access to knowledge in developing countries by awareness-raising events & workshops.

Home > What we do >

EIFL-OA: open access

Removing barriers to knowledge sharing

Most research is published in commercial e-journals, but high subscription costs mean that users in developing and transition countries are disadvantaged.

Open access is the immediate, online, free and unrestricted availability of peer-reviewed, research literature. It provides the means to maximize the visibility and use of research output.

We advocate for open access to promote knowledge sharing.

EIFL-OA in action ...

- Building capacity to launch open access repositories and to ensure their long-term sustainability. [Learn more about repositories](#)
- Offering training, supporting knowledge sharing, and providing expertise on open access policies and practices ([open access journals](#), [open access repositories](#), [open access books](#))

2nd Open Access Initiative workshop, Nigeria

SPARC EUROPE AWARD 2011
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EIFL-OA Programme wins SPARC Europe Award

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OA in Mozambique: Electronic Theses and Dissertations...
Themed week: Open Journal System (OJS)



African Journal Archive – retrospective OA project of full-text to African journals



Sabinet We facilitate access to information

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OPEN ACCESS WEEK October 24-30 openaccessweek.org

About the African Journal Archive

The African Journal Archive is a retrospective digitisation project of full-text journal articles published in Africa, in the Sciences, Social Sciences and Humanities, providing access to a multi-disciplinary, multi-country digital archive of Africa's research and cultural heritage contained in its journal literature.

The African Journal Archive is a project of Sabinet Gateway, a non-profit organisation promoting and supporting library and information services in Africa. The project is funded by the Carnegie Corporation of New York. See [Press Release of 8th June 2008](#).

The Archive is an integrated full-text electronic journal repository providing:

- Comprehensive research into African scholarly work, accessible both continentally and internationally
- Accessibility for contributors to their own research information.
- International exposure for African researchers/authors/users

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ALLSA
Current Albany & Clinical Immunology

You may also be interested in viewing the [Sabinet Open Access Journal Collection](#).

The collection currently comprises 95 African journals, which may be searched individually. [Read more...](#)

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[My Favorites](#) - View, compare, delete and move collection items you have saved to My Favorites within CONTENTdm.

<http://www.sabinet.co.za/?page=african-journal-archive>

Conclusion

- ❑ Readership of African research is reduced due to excessively expensive online subscriptions
- ❑ Open Access provides a solution to make research articles freely accessible online
- ❑ “OA is a vital means of dissemination of information which is crucial for national development and in achieving MDGs, given the crucial role that information plays in achieving social, economic, cultural and political development.” Professor Frank Youngman, DVC, University of Botswana
- ❑ BUT, we need the buy-in of everybody on the continent to change the face of African research



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Thank you!
Questions?

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