- 1 Yeast derived metabolites and their impact on nutritional and bioactive properties of
- 2 African fermented maize products
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### 10 ABSTRACT

Fermented foods are an integral part of the diet for many communities in Africa. The 11 fermentation of maize is characterized by an improved nutrient content/bioavailability and the 12 presence of bioactive compounds which are largely attributed to the activity of the microbial 13 14 population mainly lactic acid bacteria (LAB) and yeasts. The role of LAB in maize and other cereal fermentations has been extensively studied. However, limited literature is available on 15 16 the role of yeasts in maize fermentations and resultant nutritional and health promoting properties. This review explores the contribution of yeasts to the nutritional and health 17 18 properties of fermented maize and other cereal products. Additionally, the proliferation and growth characteristics of dominant yeasts and their derived metabolites are discussed. It is 19 20 particularly important to comprehend the processes and type of yeasts involved in maize fermentation as an essential step in maize product development. This will facilitate the 21 22 development of yeast-based starter culture, improve maize fermentation process and provide 23 better understanding of associated nutrition and bioactive properties.

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Keywords: African Maize Products, Yeast Fermentation, Bioactive metabolites.

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#### 1. INTRODUCTION

31 Maize (Zea mays L.) is a staple crop that constitutes 38% of food supply in the African continent and provides about 357.67 kcal/capita/day (Guyot, 2012; Palacios-Rojas et al., 2020; 32 Ranum et al., 2014). Consumption of maize is mainly as fermented thick or thin porridges or 33 alcoholic and non-alcoholic beverages in SubSaharan Africa. Maize fermentation is dominated 34 by LAB (lactic acid bacteria) at a population of  $10^7 - 10^{11}$  CFU/g or mL of food followed by a 35 yeast population of 10<sup>4</sup>-10<sup>6</sup> CFU/g or mL of food (Table 1) (Chaves-López et al., 2020; Greppi 36 et al., 2013). LAB genera involved are predominantly Pediococcus, Streptococcus, 37 Lactococcus and some Lactiplantibacillus species which are homo-fermentative and hetero-38 fermentative species of the genera Weisella, Leuconostoc and Lactobacilli (Chelule et al., 39 2010). Amylolytic lactic acid bacteria (ALAB) represent about 10% of the LAB population 40 and are able to hydrolyse crude starch to fermentable sugars by action of inherent  $\alpha$ -amylases 41 (Guyot, 2012). Yeasts on the other hand assimilate or utilize carbon and nitrogen substrates 42 43 such as organic acids, amino acids to generate volatile and non-volatile metabolites (Fleet, 44 2007). The yeast population is responsible for the production of volatile compounds such as alcohols, esters, aldehydes and ketones (Chaves-López et al., 2020), and bioavailability of 45 46 nutrients (Johansen et al., 2019).

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Maize is also a valuable source of bioactive compounds known to exert health promoting 48 properties. These compounds include phenolics and carotenoids found predominantly in 49 yellow maize, and anthocyanins in blue maize (Ekpa et al., 2019). Like other cereal grains, 50 51 nutrients present in maize are low quantitatively and not readily available for digestion and absorption which affects its contribution to the nutrition and well-being of communities who 52 depend on maize as a staple food. This is attributed to the presence of antinutrients such as 53 phytic acid (phytate), tannins and polyphenols. The main antinutrient in maize is phytate found 54 between 0.75% and 2.22% constituting 60-90% of the kernel phosphate (Palacios-Rojas et al., 55 2020). The negatively charged phosphate groups in phytate chelate multivalent-cation minerals 56 57 such as calcium, iron and zinc; thus affecting their bioavailability (Nuss and Tanumihardjo, 2010). 58

Fermented maize products (alcoholic and non-alcoholic beverages or food (Franz et al., 2014)
are unique based on the regional food processing practices (method of processing, duration of
fermentation and/or addition of other substrates (Gadaga et al., 1999; 2013, 2021; Simatende

Non-alcoholic beverages							
Food product	Country of	Associated yeast	Yeast count	Fermentation period	pН	Reference	
	origin	species	(Log <sub>10</sub> CFU/g or	and temperature			
		*dominant (>50%	Log10 CFU/mL)	I I I I I I I I I I I I I I I I I I I			
		of the population)					
Ogi/Akamu/Ko	Nigeria,	C. tropicalis*,	2.9-7.9	72 h; 28-30°C	3.2-4.1	(Adesulu-Dahunsi et al.,	
ko	Benin, East	Candida krusei,				2020; Chaves-López et al.,	
	Africa,	Geotrichum				2020)	
	Ghana	fermentans,					
		Geotrichum					
		candidum,					
		Rhodotorula					
		graminis, S.					
		cerevisiae,					
		Candida albicans,					
		Candida utilis,					
		Clavispora					
		lusitaniae,					
		Rhodotorula					

Table 1: African maize based fermented foods (adapted from Johansen et al., 2019; Chaves-López et al., 2020)

		glutinis,				
		Saccharomyces				
		pastorianus				
Ogi/Uji	Nigeria,	C. tropicalis*,	С. 2.9-7.9	24-48 or 72 h; 28-30°C 3	.8-4.1	(Chaves-López et al., 2020;
	Benin, East	krusei,	С.			Gabaza et al., 2017; Johansen
	Africa	tropicalis,	<i>G</i> .			et al., 2019)
		fermentans,				
		G. candid	um,			
		Rhodotorula				
		graminis,	<i>S</i> .			
		cerevisiae,				
		Candida albica	ans,			
		C. krusei,	С.			
		tropicalis, C. ut	ilis,			
		C. lusitaniae,	<i>G</i> .			
		candidum,	<i>G</i> .			
		fermentans,				
		Rhodotorula				
		glutinis,	<i>R</i> .			
		graminis,	<i>S</i> .			

		cerevisiae, pastorianus	S.			
Munkoyo	Zambia	S. cerevisiae		24-4 h; 25-30°C	3.3-4.0	(Chaves-López et al., 2020; Phiri et al., 2019)
Togwa	Tanzania	C. tropicalis, kudriavzevii*, glabrata, Hanseniaspora guilliermondii, I. orientalis, anomala, P. burtonii, guilliermondii, kudriavezvii, P. norvegensis, marxianus, cerevisiae, pelliculosa, tropicalis,	С. Р. Р.	12-24 h; RT	3.1-3.3	(Chaves-López et al., 2020; Gabaza et al., 2017; Johansen et al., 2019)

		marxianus, Pichia anomala, S. cerevisiae				
			Solie	l food		
Kenkey	Ghana	P. kudriavzevii*, S. 2 cerevisiae; Candida kefir, C. krusei, C. mycoderma, C. tropicalis,	2.7-6.2	2-4 days; RT	3.7	(Chaves-López et al., 2020; Johansen et al., 2019)
Gowé	Benin	C. tropicalis*, 4 Kluyveromyces marxianus*, P. kudriavzevii*, W. anomalus, Candida krusei	1.9-6.2	16 h; RT	3.6-4.1	(Chaves-López et al., 2020; Johansen et al., 2019)
			Do	ough		

Kluyveromyces

Mawè	Benin,	W. anomalus*, K.	4.5-8.0	1-3 days	4.2	(Chaves-López et al., 2020
	Togo	marxianus*, P.				Houngbédji et al., 2018
		kudriavzevii*, S.				Johansen et al., 2019)
		cerevisiae*, C.				
		glabrata, C.				
		tropicalis, Candida				
		krusei, Clavispora				
		lusitaniae,				
			Low alc	oholic beverage		
Sekete		Geotrichum spp.,	2.24	2-3 days, RT	2.8-4.3	(Chaves-López et al., 2020;
		Saccharomyces spp., S. cerevisiae				Sanni and Lönner, 1993)
RT = room te	emperature					

62 et al., 2015). The non-alcoholic fermented food products such as mahewu, incwancwa, ogi (Akamu), togwa, borde, munkoyo, uji and kunu are consumed as part of the diet in communities 63 (Mashau et al., 2021). Thin fermented maize porridges or gruels are mainly used as weaning 64 food for infants e.g. ogi (Blandino et al., 2003), incwancwa (Chelule et al., 2010) and beverages 65 such as munkoyo and togwa (Mashau et al., 2021). While stiff and soft porridges and beverages 66 67 for consumption are also prepared by fermenting a mixture of maize and water for one to three days e.g. kenkey (Blandino et al., 2003). Whereas beverages such as mahewu, maxau, 68 69 munkoyo, borde and togwa are non-alcoholic and consumed as refreshments and as part of 70 meals (Mashau et al., 2021; Misihairabgwi and Cheikhyoussef, 2017).

71 These fermented foods are reported to provide nutritional (McKevith, 2004) and health benefits 72 to the consumer (Bell et al., 2018; Sanlier et al., 2019). The effect of fermentation on the 73 nutritional value of food is variable although improvements are substantial (Blandino et al., 74 2003, Nout, 2009). This variability is attributed to either substrate, microbial population or 75 fermentation conditions. The substrate used and the microorganism(s) involved in the conversion of the substrate are the two primary driving factors in a fermentation. Both these 76 77 factors interact in unison; the activity of microorganisms induces modifications on the substrate while the substrate provides nutrients for the microorganism(s) (Tamang et al., 2016a, 2016b). 78 79 In this food ecosystem there is no shortage of substrates and microorganisms are ubiquitous, 80 thus a hub of microbial interactions and substrate modifications exists resulting in a myriad of 81 metabolites. Through the monitoring of microbial diversity and activity, and substrate modification; studies on understanding the role of fermentation in food ecosystems have 82 emerged (Gabaza et al., 2017; Galati et al., 2014; Nout, 2009; Nout and Motarjemi, 1997; 83 Sanlier et al., 2019). Notably, fermentation functions in the enrichment of the food through the 84 development of flavours, aromas and textures of food substrates; release of essential minerals; 85 86 preservation of food through the production of organic acids; enrichment of food substrates 87 with protein, essential amino acids, fatty acids and vitamins; detoxification of the food; decrease cooking time and fuel requirements (Gabaza et al., 2017). 88

Metabolic processes of microorganisms during fermentation lead to decreases in inhibitors of digestive enzymes (such as trypsin and amylase), and other anti-nutrients such as phytate and tannins. The production of metabolic compounds is attributed to the enzymatic activity of the microorganisms present and thriving in the ferment. In general, fermentation involves a mixed microbial population of bacteria, yeasts and fungi (Smid and Lacroix, 2013). The microbial interactions during fermentation account for several changes to the substrate based on their

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95 unique metabolic activity thus affecting overall product quality. Literature is flooded with 96 studies on lactic acid bacteria and their role in cereal fermentations. However, very scarce 97 literature is available on the role of yeasts in cereal and specifically maize fermentations. This 98 review seeks to understand the contribution of yeasts in the nutritional and health properties of 99 fermented maize foods.

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#### 2. YEAST ABUNDANCE IN AFRICAN FERMENTED MAIZE FOODS

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102 Yeasts such as Saccharomyces cerevisiae, Pichia kudriavzevii, Candida spp. and 103 *Kluyveromyces* spp. dominate (>50%) maize fermentations (Table 1) (Houngbédji et al., 2018; 104 Johansen et al., 2019; Tamang et al., 2016b) and this is attributed to their inherent growth requirements (Cuvas-Limon et al., 2021). Traditional methods to produce maize products 105 106 include soaking, germination, wet grinding, sieving and/or fermentation (Palacios-Rojas et al., 2020). These methods are mainly applied at household level as an artisanal food processing 107 108 method of wet-milling and/or wet-sieving. Here, maize is steeped in either an earth-ware, plastic or metal pot for 1-3 days by mixing 1 part of maize with 2/3 parts water to yield a slurry. 109 This functions to hydrolyse the starch by endogenous grain amylases to release fermentable 110 sugars as substrate for microorganisms during fermentation (Chaves-López et al., 2020) in 111 addition, amylolytic LAB are also activated to hydrolyse starch (Guyot 2012). 112

113 Identification of the microbial population of African fermented foods prior to a decade ago relied on culture dependent methods. However, the broad application of culture-independent 114 methods has allowed the identification of species present in minute concentrations and to an 115 extent species under the viable but non-culturable state. Greppi et al. (2013) identified a veast 116 117 population of 3.75, 5.52 and 4.40 Log<sub>10</sub> CFU/g in the products ogi, mawè and gowé, respectively using a culture-dependent method. In comparison, Hounhouigan et al. (1994; 118 119 2018) reported a yeasts population of  $Log_{10}$  4.4 CFU/g at time zero to  $Log_{10}$  7.5 CFU/g at the end of fermentation. Using Denaturing Gradient Gel Electrophoresis (DGGE) analysis, the 120 121 following species were identified P. kudriavzevii (teleomorph of Candida krusei), Clavispora lusitaniae, S. cerevisiae, Dekkera bruxellensis, Kluyveromyces marxianus and Debaryomyces 122 123 hansenii. Notably, the species D. bruxellensis and K. marxianus were not detected by culturing but by DGGE analysis of the DNA from samples. This highlights the limitation of the culture-124 125 based method. In all the samples C. krusei was the abundant species accounting 69% of all the 126 species present in the products (Greppi et al., 2013). The use of rRNA sequencing on maize togwa detected the following yeast species - Candida glabrata, Pichia anomala, Pichia 127

guilliermondii and K. marxianus (Hellström et al., 2010). Hounhouigan et al. (1994) also 128 identified C. krusei as the dominant yeast species in mawe product. During fermentation, S. 129 *cerevisiae* was reported to be  $Log_{10}$  6 CFU/g after a period of 24-48 h; the steeping and early 130 phases of fermentation (Jespersen et al., 2003). A similar trend was observed by Omemu et al. 131 (2007) with S. cerevisiae being frequently isolated in the steeping period. The growth of S. 132 cerevisiae in the early stages is partly attributed to the availability of maltose a major 133 carbohydrate in cereals which serves as a substrate for yeast growth. For example, 98% of yeast 134 isolates in fermented maize dough were found to be able to assimilate maltose, DL-lactate and 135 136 melibiose. Of the S. cerevisiae isolates 100%, 73% and 38% possessed the MAL11, MAL31 and MAL61 genotype, respectively which encodes the maltose permease enzyme (Jespersen, 137 2003). 138

139 As the acidity increases during fermentation, the total yeast population varies and non-Saccharomyces yeasts such as C. krusei, C. tropicalis, Geotrichum fermentans and Geotrichum 140 141 *candidum* were identified (Omemu et al., 2007). This is attributed to the tolerance levels of non-Saccharomyces yeasts to stress factors. Using pyrosequencing, Mendoza et al. (2017) 142 reported on S. cerevisiae as the most abundant species followed by species from the genera 143 Kluyveromyces, Pichia, Debaryomyces and Candida. This method allowed for the grouping on 144 145 the identified species based on their proliferation at the different stages during fermentation. This then provides informed tracking and contribution of the yeast species during fermentation. 146 147 Currently, there is lack of data on the application of pyrosequencing in African fermented foods. 148

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#### 3. MICROBIAL INTERACTIONS DURING MAIZE FERMENTATION

In fermented foods, yeasts and LAB exist in a mutualistic interaction (Smid and Lacroix, 2013) 151 and synergistic interaction (Adesulu-Dahunsi et al., 2020) due to compatibility of nutritional 152 requirements and biosynthetic capabilities of the microbial groups. This interaction is mediated 153 by cross-feeding, exchange of metabolites (Ponomarova et al., 2017), different rates of nutrient 154 transport and uptake by different species and strains, sensitivity to metabolic end products, 155 156 response to killer toxins and production of quorum sensing molecules (Fleet, 2007). Although, these interactions can also govern the population between two microbial groups they can 157 govern the population dynamics within the same microbial group. Reported interactions 158 include the inhibition of LAB by ethanol produced by yeasts, and the death and autolysis of 159 yeast cells releases vitamins and other bio-factors that stimulate the growth of bacteria, while 160

excessive growth of LAB and acetic acid bacteria increases the lactic and acetic acid concentration, respectively which inhibits the growth of yeasts (Fleet, 2007, Adesulu-Dahunsi et al., 2020). In particular yeasts are reported to produce primary metabolites during fermentation such as ethanol, amino acid metabolites and phenolic compounds (Tofalo et al., 2020).

Houngbédji et al. (2021) reported on the inhibition of C. glabrata by Lactobacillus fermentum 166 and Weissella confusa due to the production of lactic and acetic acid produced by these species 167 during fermentation. While Annan et al. (2002) found that the population of LAB in maize 168 fermented with S. cerevisiae and C. krusei starter cultures ranged between  $4.6 \times 10^6$  CFU/g – 169  $6 \times 10^{6}$  CFU/g at time 0 h and between  $1.2 \times 10^{9}$  CFU/g -  $9.0 \times 10^{9}$  CFU/g at time 72 h, 170 respectively. Thus, highlight the co-existence of both microbial groups. Furthermore, 171 172 spontaneous fermentations where both microbial groups have been isolated have reported changes in amino acid content, organic acids concentration, volatile aroma compounds and 173 174 vitamin content (Annan et al., 2002; Chaves-Lopez, et al., 2020; Chileshe et al., 2020; Halm et al., 1993). For example, traditional fermentation of Munkoyo yielded a higher concentration of 175 the vitamins B1, B3 and B6. The bacterial population comprised of species from the genera 176 Lactobacillus, Lactococcus, Streptococcus, Enterobacter, Klebsiella and Acetobacter 177 178 (Chileshe et al., 2020). Although the yeast population was not determined in this study, this product is associated with the proliferation of S. cerevisiae a yeast reported to synthesize folate 179 (Hjortmo et al., 2005). Thus, the synthesis of the vitamins could be associated with the growth 180 of both the bacterial and yeast population 181

182 In the study by Li et al. (2021), the co-inoculation of yeast with either LAB or acetic acid 183 bacteria (AAB) or both yielded an increase in the lactic acid concentration in wheat dough of which the three-tier inoculation yielded a bread with a longer shelf life. The axenic yeast 184 185 inoculation and co-inoculation of the yeast and LAB or AAB or both yielded between (33.46% and 21.76%), (21.95% and 12.15%) and (19.14% and 10.56%) proportion of the genes 186 encoding the KEGG pathways nitrogen metabolism; alanine, aspartate and glutamate 187 metabolism; and biosynthesis of amino acids, respectively. Research on microbial interactions 188 in African fermented foods is mainly based on phenotypic factors whereas the use of high-189 throughput sequencing would provide detailed information and linkages to the diverse 190 191 population in these fermentations.

#### 4. NUTRITIONAL SIGNIFICANCE OF YEASTS IN AFRICAN MAIZE 192 193 **FERMENTED FOODS**

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Naturally fermented cereals make at least 80% of the total calorie consumption in Africa. 195 However, the nutritional density of maize is weak and does not fulfil the nutritional 196 requirements (McKevith, 2004). Therefore, commercially available maize products are 197 fortified to meet the nutritional requirements of consumers (Palacios-Rojas et al., 2020). In 198 Sub-Saharan African communities maize grain and/or its by-products are fermented prior to 199 200 consumption. The fermented products are used either as complementary or weaning foods for 201 infants and staples for adults (Chaves-López et al., 2020; Mensah et al., 1991).

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#### 4.1. Macronutrient bioavailability

Cereals including maize are reported to have low protein content and bioavailability thus 203 resulting in essential amino acids deficiency. In non-fermented mawe, the amino acids glutamic 204 acid and cysteine were present in high (130.7 mg) and low (1.3 mg) amount in 100 g (dw), 205 respectively in the complex form. Whereas when the mawe was fermented with axenic yeast 206 cultures, total free amino acids (TFAA) increase was 16.9%, 20% and 30.4% for S. cerevisiae 207 208 strain 3, *P. kudriavzevii* strain 5 and *S. cerevisiae* strain 2 at 72 h, 48 h and 24 h, respectively. The *P. kudriavzevii* strain 2 yielded 47%, 45% and 79.4% TFAA increase at 24 h, 48 h and 72 209 210 h, respectively (Houngbédji et al., 2021). Ogunremi et al., 2015b reported that yeasts isolated 211 from fermented cereal foods secrete protease, lipase and esterase enzymes which aid in the 212 release of amino acids, flavour and aroma compounds during fermentation. Furthermore, K. marxianus possess  $\beta$ -glucosidase (Karim et al., 2020),  $\beta$ -D-galactosidase activity, inulinase and 213 214 polygalacturonases (Fonseca et al., 2008) which are important in carbohydrate degradation.

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### 4.2. Micronutrient bioavailability

Cereals possess high levels of the antinutrient phytate, with maize containing 0.89% 216 217 (McKevith, 2004). Phytate is a polyanion that binds positively charged compounds or molecules thus is a strong chelating agent. Chelating function is attributed to the strong mineral 218 complexes established between phytate and divalent ions such as iron, calcium, magnesium 219 and zinc. The presence of phytate in foods limits the bioaccessibility and availability of 220 micronutrients, thus it is recognized as an antinutritional factor (Gabaza et al., 2017). In maize, 221 minerals are mostly present in the germ and are found in low quantities when compared to 222 223 other cereals. Thus, further limitation in quantity of bioaccessible and bioavailable minerals in

cereals thus contributing to micronutrient deficiencies in communities where cereals are staplefoods.

It's been reported that fermentation has a beneficial effect in nutritional quality of fermented 226 227 foods. This is not only attributed to yeast growth during fermentation but also to enzymatic activity of the yeasts. Yeasts are known to secrete a myriad of extracellular enzymes such as 228 glucosidases, lipases, proteases and glucanases (Gamero et al., 2016). One particular enzyme 229 is phytase (Olstorpe et al., 2009), which hydrolyzes phytate to lower inositol phosphates of 230 different isomeric forms (Gabaza et al., 2017). Phytase activity and the genes encoding the 231 enzyme have been identified in a number of yeasts isolated from cereal fermentations (Greppi 232 et al., 2015; Hellström et al., 2010; Nuobariene et al., 2011). The enzyme can either be secreted 233 intracellularly, periplasmatic, extracellularly to the culture medium or bound to the yeast cell 234 235 wall (Greppi et al., 2015). Expression of the *PHYPk* gene and subsequent enzyme activity from P. kudriavzevii strains isolated from cereal fermentations varies. Only one strain (G6) isolated 236 237 from *gowé* showed highest gene expression between 250 and 300 relative mRNA expression. The rest of the strains isolated from gowé and mawe showed less than 120 relative mRNA 238 expression. The lowest recorded expression was at 0.8 relative mRNA expression. Enzymatic 239 activity followed a similar pattern such that strain G6 and G5 had between 35 and 40 mU/mL 240 241 extracellular enzyme activity at 2 h and 8 h, respectively. Whereas strains (M31 and M30) isolated from *mawè*, showed similar enzyme activity at 2 h although with mRNA expression 242 243 at 9-10 and 100-120 relative mRNA expression, respectively at this period (Greppi et al., 2015).

The phytate content of maize prepared togwa was found the highest at 4.7 µmol/g compared to 244 sorghum (2.6 µmol/g) and cassava (0.4 µmol/g) prepared togwa (Hellström et al., 2010). At 245 246 this phytate content it was found that maize had the largest amount of total iron and soluble iron content compared to sorghum and cassava prepared togwa. However, this resulted in 5.0% 247 of soluble iron. In order to have a positive effect on iron absorption, the molar ratio 248 (phytate:iron) should be 1:1 and below 0.4:1. In this study by Hellstrom et al. (2010), the 249 phytate and iron ratio for the maize togwa was 3.1:1 thus phytate degradation was not 250 sufficient. On the other hand, the zinc content of the prepared togwa products was mainly 251 accounted for in the sorghum based preparation. 252

Phytase activity is dependent on pH with cereal endogenous phytases having a pH optima
between pH 4.5 and 5.0. During cereal fermentation, the pH optima is between pH 3 and 6
(Table 1). Yeast phytase activity is affected by concentration of external free inorganic

phosphate were high inorganic phosphate represses phytase production by the yeast while low
inorganic phosphate enhances phytase expression (Hellström et al., 2010). Cell growth was
found to not have an effect of phytate degradation i.e. phytase activity (Hellström et al., 2010).
Of all the isolates found in togwa fermentation, the species *I. orientalis* and *H. guilliermondii*were the most prominent phytase producers (Hellström et al., 2010).

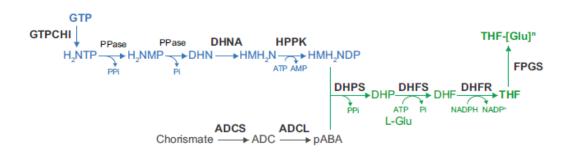
#### 261 *4.3. Biosynthesis of folic acid*

Vitamin B9 (folic acid) is an essential water soluble fully oxidized monoglutamate form of 262 folate (Saini et al., 2016). The vitamin plays an important role in cellular metabolism where its 263 derivatives act as coenzymes C1 transfer reactions in the synthesis of amino acids, nucleotide 264 265 (purines and pyrimidines) biosynthesis and, oxidation and reduction of one carbon units required for normal cell division and growth (Hjortmo et al., 2005; Revuelta et al., 2018; Saini 266 267 et al., 2016). Folate derivatives i.e. dihydrofolate, tetrahydrofolate (THF), L-methyl folate are 268 the main participants in biological activities. Folate deficiency poses a risk factor in chronic diseases and developmental disorders e.g. autism, Alzheimer's disease, senile dementia, neural 269 tube defects (Saini et al., 2016). Fungi synthesize the vitamin de novo while mammals obtain 270 folate through diet (Goncerzewicz and Misiewicz, 2015). Folate derivatives (THF; 5-methyl-271 THF; 5,10-methylene-THF; 5,10-methenyl-THF and 10-formyl-THF) are interconverted by 272 accepting or donating C1 groups during the reactions in the biosynthesis of folate (Figure 1) 273 274 (Revuelta et al., 2018).

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The Recommended Dietary Allowance (RDA) of folate is calculated in micrograms of dietary 276 folate equivalents (DFEs) based on the bioavailability of the different forms of folate when 277 50% natural folate and 85% folic acid is bioavailable when taken with food. Thus, 1 µg DFE 278 is equivalent to 1 µg food folate and 0.5 µg folic acids from dietary supplements (Saini et al., 279 2016). As summarized by Saini et al. (2016) legumes contain the highest folate content between 280 399 and 658  $\mu$ g/100 g in comparison with food groups such as cereals, fruits, vegetables, spices 281 and herbs, and dairy and egg products. Furthermore, dietary intake of folate is also associated 282 with the consumption of fermented cereals (Greppi et al., 2017). Saini et al. (2016), reported 283 284 cereals to contain between 8 and 231  $\mu$ g/100 g of folate content.

Fermentation is known to enhance vitamin content of food through the activity of the microbial population and the biochemical reactions taking place (Blandino et al., 2003). Bationo et al. (2020) found that the folate content of the raw material was influenced by initial processing of



#### Figure 1: Folate biosynthesis pathway (Revuelta et al., 2018)

**Metabolites:**  $H_2NTP = 7,8$ -dihydroneopterin triphosphate;  $H_2NMP = 7,8$ -dihydroneopterin monophosphate; DHN = 7,8-dihydroneopterin; HMH<sub>2</sub>N = 6-hydroxymethyl-7,8-dihydroneopterin; HMH<sub>2</sub>NDP = 6-hydroxymethyl-7,8-dihydroneopterin diphosphate; ADC, 4-amino-4-deoxychorismate; DHP = 7,8-dihydropteroate; DHF = 7,8-dihydrofolate; THF = tetrahydrofolate.

**Enzymes**: GTPCHI = GTP cyclohydrolase I; PPase = phosphatase; DHNA = dihydroneopterin aldolase; HPPK = 2-amino-4-hydroxy-6-hydroxymethyldihydropterin pirophosphokinase; ADCS = aminodeoxychorismate synthase; ADCL = 4-amino-4-deoxychorismate lyase; DHPS = dihydropteroate synthase; DHFS = dihydrofolate synthase; DHFR = dihydrofolate reductase; FPGS = folylpolyglutamate synthase.

288 the maize grain. Debranning and degerming resulted in losses between 23% and 66% were whole grain maize had an initial folate content between 13.8 and 24.3  $\mu$ g/100 g DM (dry 289 matter) and after debranning folate content decreased to between 5.0 and 12.8  $\mu$ g/100 g DM. 290 Only fermented foods (akassa and doncounou) prepared from the raw material yielded 78% 291 292 and 7% folate gain from producer 1 and 2, respectively. The foods from two other producers yielded folate losses between 10% and 22% for akassa and doncounou, respectively. These 293 losses further hampered on bioaccessible folate which was low at 0.4-3.2  $\mu$ g/100 g FW (fresh 294 weight) for all the fermented foods. Folate bioaccessibility was only high for doncounou (57%-295 296 67%) while for akassa it was low at 24%-29% (Table 2). The microbial population of these foods was not determined. 297

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The fermentative yeast Saccharomyces cerevisiae is recognized as a producer of folate 299 (Kariluoto et al., 2006; Patring et al., 2006; Pietercelie et al., 2011; Walkey et al., 2015). The 300 genetic make-up of S. cerevisiae favours the synthesis of folate due to the possession of the 301 genes (FOL2, FOL3 and DFR1) coding for the enzymes (GTP-cyclohydrolase I, dihydrofolate 302 synthase and dihydrofolate reductase), respectively (Goncerzewicz and Misiewicz, 2015). S. 303 cerevisiae strains and related species were found to be the highest folate producers compared 304 305 to other non-Saccharomyces yeasts screened (Hjortmo et al., 2005). Furthermore, folate synthesis was found to be species, cultivation conditions and growth phase dependent when S. 306 cerevisiae and non-Saccharomyces species were investigated in laboratory media and togwa 307 (fermented maize with sorghum or millet) (Hjortmo et al., 2005; Hjortmo et al., 2008a, 2008b). 308 309 S. cerevisiae has a folate content ranging from 1-4 mg/100 g pressed yeast (Witthöft et al., 310 1999) with the folate derivatives: (6S)-5,6,7,8-tetrahydrofolate sodium salt (H4folate), (6S)-5-HCO-5,6,7,8-tetrahydrofolate sodium salt (5-HCO-H4folate) and (6S)-CH<sub>3</sub>-5,6,7,8-311 tetrahydrofolate sodium salt (5-CH<sub>3</sub>-H<sub>4</sub>folate) identified in the fermentation biomass (Hjortmo 312 et al., 2008b). The dominant folate forms in the yeast biomass are 5-methylated 313 tetrahydrofolate (5-CH<sub>3</sub>-H<sub>4</sub>folate) and polyglutamylated tetrahydrofolate (H<sub>4</sub>folate), with (5-314 CH<sub>3</sub>-H<sub>4</sub>folate) constituting 65% of the total folate content in both S. cerevisiae and non-315 316 Saccharomyces strains studied (Hjortmo et al., 2005).

 Table 2: Effect of fermentation on total folate content, bioaccessible folate and folate bioaccessibility on maize fermented products (Bationo et al., 2020)

Maize	food	Producer	Total folate content			
product and type Gelatinized dough			(µg/100 g <i>fw</i> )		<b>Bioaccessible folate</b>	Folate bioaccessibility
		Raw material		Fermented food	$(\mu g/100 g f w)$	(%)
Akassa		P1	13.8	3.1	0.9	29.1
		P2	16.8	1.8	0.4	23.6
		P3	21.2	2.7	0.7	26.4
Doncounou	u	P1	15.7	4.7	3.2	66.9
		P2	14.8	4.8	2.8	57.4
		P3	13.8	3.6	2.2	62.4
		P3	13.8	3.6	2.2	62.4

Folate bioaccessibility (%) = Bioaccessible folate/ Total folate x 100

fw = fresh weight; P1-3 = producers of gelatinized dough

# 317 5. FERMENTATION OF MAIZE FOODS AND ASSOCIATED HEALTH 318 PROMOTING PROPERTIES

#### 319 5.1. Probiotic effect

320 According to the FAO/WHO, probiotics are live microorganisms that when administered in adequate amounts confer a health benefit on the host. The use of probiotics is to confer health 321 322 related properties such as pathogen interference, exclusion or antagonism, immune modulation, anticarcinogenic and antimutagenic activities, alleviation of lactose intolerance symptoms, 323 324 reduction in serum cholesterol levels, reduction of diarrhoea, prevention of bacterial vaginosis and urinary tract infection, maintenance of mucosal integrity and improved periodontal health 325 326 (Franz et al., 2014). Published guidelines by the FAO/WHO for probiotic classification are the 327 accurate identification of the strain to be used, verification of its safety, non-toxic status and be 328 able to provide a physiological and health benefit in randomized clinical trials.

Saccharomyces boulardii is a commercially available probiotic yeast (Kelesidis and 329 Pothoulakis, 2012) and is recommended for the prevention and treatment of human 330 gastrointestinal diseases (Agarbati et al., 2020). Although bacteria are mostly reported with 331 probiotic properties, yeast species from fermented and non-fermented foods also possess 332 properties to be considered as probiotics (Agarbati et al., 2020; Greppi et al., 2017). Main 333 properties for consideration are the ability to survive in the gastrointestinal tract i.e. growth at 334 37°C, tolerate acidic pH and bile salts; adhere to and persist in the mucosal and epithelial 335 336 surfaces, possess antimicrobial activity against human pathogens. Screening by Agarbati et al. 337 (2020) revealed that Lachancea thermotolerans, Metschnikowia ziziphicola, S. cerevisiae and Torulaspora delbrueckii yeasts strains compared well with CODEX on the parameters tested 338 339 for probiotic potential. Whereas the yeasts P. kluyveri, P. kudriavzevii and C. tropicalis isolated from fermented cereals showed 100% and 15% survival at pH 2, respectively (Ogunremi et al., 340 341 2015a).

#### 342 343

## 5.2. Fermentation induced changes in the phytochemical profile compounds and related bioactive properties

Cereals consist of various classes of phytochemicals in which phenolic compounds such as phenolic acids, tannins, and flavonoids are dominant (Wang et al., 2014). Phenolic compounds are chemical substances that consist of aromatic rings with one or more hydroxyl groups in their structures (Siyuan et al., 2018). These compounds are involved in the modulation of cellular oxidative status and subsequent inhibition of oxidative damage of biomolecules such as membrane lipids, proteins, and DNA (Wang et al., 2014). Therefore, reducing the incidence
of cardiovascular diseases, type 2 diabetes, and oxidation induced cancers.

351 Cereal grain phytochemicals such as phenolic acids and flavonoids are mainly found in the outer parts of the grain (Bondia-Pons et al., 2009). The composition of phytochemicals differs 352 according to the cereal grain variety (Siyuan et al., 2018) and compounds may accumulate in 353 different tissues and cells (Boz, 2015). Some phenolic acids are present in the starchy 354 endosperm of the kernel. For example, in unprocessed rye bran, phenolic acids form part of the 355 dietary fibre complex of the bran, cross-linking with polysaccharides and thus strengthening 356 the insoluble cell wall structures (Faulds and Williamson, 1999). Phenolic acids such as 357 syringic acid, vannilic acid, caffeic acids, ferulic and *p*-courmaric have been profiled in maize 358 (Siyuan et al., 2018) and 87% of these are present in bound form. Similarly, about 58%, 71% 359 360 and 90% of phenolic compounds in oats, rice and wheat are present in bound form (Sandhu et al., 2017). These compounds are linked by ester bonds to cell wall structural components such 361 362 as proteins, lignin and cellulose (Siyuan et al., 2018). Particularly, ferulic acid is mostly ester bound to heteroxylans (Andreasen et al., 2000), making it unavailable for absorption during 363 digestion. 364

Fermentation is used as a tool to promote the release and/or to enhance the accessibility of bound phenolic compounds in cereal grains (Wang et al., 2014) affecting the amount and the profile of phytochemicals in the grains. Fermentation metabolic pathways are involved in the production of vital bioactive molecules that include phenolic compounds (Adebo and Gabiela-Medina-Meza, 2020). How these phytochemicals are altered by microbial activity and metabolism is an area that requires attention when investigating the health promoting properties of fermented foods.

Extracellular enzymes such as phytases, amylases, esterases and lipases (Chaves-López et al., 372 373 2020) secreted during fermentation by yeasts may produce simpler forms of complex substrate through hydrolysis and transformation of some biomolecules into bioactive forms (Rai et al., 374 375 2019). S. cerevisiae strain has been reported to produce  $\beta$ -glucosidases and feruloyl esterases which are responsible for the production of free phenolics from conjugated and bound 376 377 phenolics (Moore et al., 2007; Rai et al., 2019). In a study by Oladeji et al. (2017), fermentation reduced the total phenolic contents (TPC), total flavonoid content (TFC) and antioxidant 378 activities of ogi after 96 h. The decrease could be attributed to phenolics being metabolized by 379 microorganisms in the substrate. For instance, S. cerevisiae has been shown to produce an 380

active anthocyanin- $\beta$ -glucosidase that can significantly reduce the presence of anthocyanin in wines. Furthermore, phenolic compounds could be adsorbed into the yeast cell wall through their interaction with exterior mannoproteins situated on the yeast cell wall (Zhang et al., 2021).

384 The effect of yeast metabolism on the phenolic profile of maize fermented products has not been fully exploited and requires more attention. However, in other grain products, 385 fermentation has contributed to an increase in phenolic contents. For example, spontaneous 386 fermentation of finger millet flours for 96 h showed an increase in TPC, TFC and antioxidant 387 388 activity (Mutshinyani et al., 2020). In spontaneous fermentation, yeasts coexist with LAB therefore effects noted could be attributed to both organisms. Koistinen et al. (2016) reported 389 390 that rye bran bioprocessing by sourdough fermentation process or enzymatic treatment caused partial degradation of the grain cell walls, specifically the activity of ferulic acid esterase 391 392 released phenolic compounds from the fibre matrix of the rye bran, thus improving their bioavailability. In whole-wheat pizza dough, fermentation significantly increased the contents 393 394 of soluble free ferulic acids of Trego and Lakin wheat varieties (Moore et al., 2007). The improved presence of phenolics can be attributed to the ability of microorganisms (strain-395 dependent) to convert phenolic compounds, such as the hydrolytic cleavage of ester-linked 396 sugars from flavonoids and ferulic acid, and decarboxylation of hydroxybenzoic and 397 398 hydroxycinnamic acids (Gänzle, 2014). Decarboxylation of phenolics by yeast cells may occur through the actions of ferulic acid decarboxylase (FDC1) which functions in the presence of a 399 400 cofactor (modified flavin mononucleotide (FMN)) produced by phenylacrylic acid 401 decarboxylase (PAD1) (Dzialo et al., 2017).

#### 402 5.3. Fermentation derived peptides and associated bioactive properties

403 Dominant yeasts during fermentation may act upon protein from the substrate to produce bioactive peptides via proteolytic activity (Rai et al., 2019). Carboxypeptidases and 404 405 aminopeptidases are produced by yeasts in accordance with their amino acid auxotrophy 406 (Mirzaei et al., 2021). Carboxypeptidases can hydrolyze polypeptides to single amino acids 407 while aminopeptidases can cleave the N-terminal of proteins into single amino acids, dipeptides or tripeptides (Mótyán et al., 2013), hence, producing a diverse range of bioactive peptides. 408 409 Bioactive peptides usually consist of 2-20 amino acid fragments that possess various biological activities after their release from the parent proteins (Mirzaei et al., 2021). 410

A wealth of data exists on bioactive peptides produced as a result of LAB during cereal
fermentation with limited information on yeast fermentation derived peptides. However,

413 unfermented maize products have been shown to possess bioactive peptides produced via enzymatic hydrolysis of kernels and sub-products (Díaz-Gómez et al., 2017). Alcalase-treated 414 zein hydrolysate produced bioactive peptides Tyr-Ala and Leu-Met-Cys-His with ABTS<sup>++</sup>, 415 DPPH and  $O_2^{-}$  radical scavenging activity (Tang et al., 2010). Fermentation of defatted adlay 416 (Coix lachryma-jobi L.) with S. cerevisiae (active yeast) was found to contribute to an increase 417 in peptides with a low molecular weight (MW) of 0.18–0.5 kDa and <0.18 kDa while 2–15 418 kDa gradually decreased with fermentation time up to 60 h (Xu et al., 2021). The fermentation 419 of wheat bran with S. cerevisiae exhibited an increase in 0.18-3 kDa peptides and decrease in 420 421 3-15 kDa peptides after 24 h (Zhao et al., 2017). Further research into characterization, sequencing and bioactivity determination of these produced peptides is required. 422

The biological activities of peptides such as the antihypertensive, antioxidant, antimicrobial, 423 424 immunomodulatory and cholesterol reducing properties are highly dependent on the size of peptides, the composition and sequence of amino acids (Rai et al., 2019). Antioxidant peptides 425 426 are dominated by peptides of <3 kDa that consist of hydrophobic amino acid residues at the N and C terminal position (Mirzaei et al., 2021). Antioxidant activities of peptides are related to 427 their radical scavenging properties by means of single electron transfer (SET) or hydrogen 428 atom transfer (HAT) mechanisms (Mirzaei et al., 2021). Furthermore, the antioxidant activity 429 430 of peptides is reported to occur due to ferric reducing activity, retardation of lipid oxidation, and upregulation of endogenous antioxidant enzymes in cells with oxidative stress (Mirzaei et 431 432 al., 2021). Antihypertensive effects have also been reported in maize-derived peptides (<5 amino acid residues) due to the inhibitory action against the angiotensin-converting enzyme 433 (ACE) (Díaz-Gómez et al., 2017). Antihypertensive peptides are characterized by the presence 434 of aromatic and branched aliphatic amino acids in their sequence (Mirzaei et al., 2021). Small 435 hydrophobic peptides of about 5-10 kDa are characteristic of antimicrobial compounds which 436 437 exert their activity through interacting with negatively charged cell wall and plasma membrane components (Mirzaei et al., 2021). Antimicrobial peptides can easily cross the membrane of 438 bacterial cells or increase the permeability of the cells through pore formation, hence, causing 439 440 apoptosis of cells (Rizk et al., 2018).

- 441 **6. CONCLUSION**
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443 Most of the reported nutritional and health benefits of fermented maize products are attributed 444 to LAB proliferation. However, this appraisal also shows the role of various yeasts in the 445 nutritional and health attributes of fermented cereal products. *Saccharomyces cerevisiae*,

Pichia kudriavzevii, Candida spp. and Kluyveromyces spp. are dominant in maize 446 fermentations. These yeasts possess enzymes such as lipase, esterase and phytase. Phytase 447 activity particularly, is an important factor in improving iron bioaccessibility/bioavailability in 448 fermented maize. Furthermore, yeasts such as S. cerevisiae can synthesize and increase folate 449 concentrations normally inadequate in decorticated and degermed maize based products. 450 Yeasts are also responsible for the increase in the total free amino acids of fermented maize 451 products. Although most probiotic properties of foods are ascribed to LAB, P. kudriavzevii and 452 I. orientalis isolated from ogi were found to have probiotic properties. Limited information is 453 454 available on the effects of yeasts on the phytochemical profile of various fermented maize products. However, it has been reported in other cereals that free ferulic acid content is 455 improved after fermentation due to the ferulic acid decarboxylase synthesis by the yeasts. 456 Further research is required in relation to phytochemicals and bioactive peptides produced as a 457 result of yeast proliferation in maize thus signifying their importance in producing healthy 458 products. 459

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#### 7. CONFLICT OF INTEREST

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The authors confirm that they have no conflicts of interest with respect to the work described 462 in this manuscript. 463

#### 464

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