

1 **Combining cervical cancer screening for mothers with schoolgirl vaccination during**
2 **HPV-vaccine implementation in South Africa: Results from the VACCS1&2 trials**

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4 Corresponding author

5 **Greta Dreyer**

6 gretadreyer@mweb.co.za

7 Gynaecologic Oncology Unit, Department of Obstetrics and Gynaecology, University of
8 Pretoria, Pretoria, South Africa

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10

11 **Matthys H Botha**

12 Gynaecologic Oncology Unit, Department of Obstetrics and Gynaecology, University of
13 Stellenbosch, Cape Town, South Africa

14

15 **Leon C Snyman**

16 Gynaecologic Oncology Unit, Department of Obstetrics and Gynaecology, University of
17 Pretoria, Pretoria, South Africa

18

19 **Cathy Visser**

20 Department of Obstetrics and Gynaecology, University of Pretoria, Pretoria, South Africa

21

22 **Riekie Burden**

23 HPV Cervical Cancer Research Fund – NPC (HCCRF), Pretoria, South Africa

24

25 **Nicolene Laubscher**

26 HPV Cervical Cancer Research Fund – NPC (HCCRF), Cape Town, South Africa

27

28 **Bertha Grond**

29 HPV Cervical Cancer Research Fund – NPC (HCCRF), Pretoria, South Africa

30

31 **Karin Richter**

32 Department of Medical Virology, University of Pretoria, Pretoria, South Africa

33

34 **Piet J Becker**

35 South African Medical Research Council Biostatistics Unit, Pretoria, South Africa

36

37 **Justin Harvey**

38 Centre for Statistical Consultation, University of Stellenbosch, Stellenbosch, South Africa

39

40 **Frederick H van der Merwe**

41 Gynaecologic Oncology Unit, Department of Obstetrics and Gynaecology, University of
42 Stellenbosch, Cape Town, South Africa

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ACCEPTED MANUSCRIPT

45 **Combining cervical cancer screening for mothers with schoolgirl vaccination during**
46 **HPV-vaccine implementation in South Africa: Results from the VACCS1&2 trials**

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51 **PRECIS:**

52 This study demonstrates successful linking of school-based HPV-vaccination, knowledge
53 transfer and maternal cervical screening within a developing nation setting, using a transferable
54 model.

55 **HIGHLIGHTS:**

56 **Key findings and impact**

- 57 • A vaccine acceptance rate of ~60% was reached in an opt-in programme requiring
58 parental signed informed consent
- 59 • Use of oral presentations almost doubled the number of mothers with knowledge about
60 cervical cancer
- 61 • Cervical self-screening was accepted by 47% of previously unscreened mothers of
62 vaccine recipients

63

64 **KEY WORDS:** vaccine implementation; linkage of health interventions; screening uptake;

65 HPV vaccination; HPV screening

66 **ABSTRACT**

67 **Objective**

68 The platform provided by HPV vaccination for linked public health interventions to improve
69 cervical cancer prevention remains incompletely explored. The Vaccine And Cervical Cancer
70 Screen (VACCS) cross sectional observation trials aimed to evaluate the efficacy of HPV
71 school-based vaccination linked with maternal cervical cancer screening.

72 **Methods**

73 Girls from 29 schools in two provinces in South Africa were invited in writing to receive
74 HPV vaccination. Two approaches to informed consent were compared, namely an audio-
75 visual presentation (VACCS1) and in written format (VACCS2). Markers of vaccine uptake
76 and coverage were calculated, namely uptake among the invited and consented cohorts, and
77 rates of completion and sufficient vaccination. Mothers and female guardians received
78 educational material about cervical cancer, and either a self-sampling device or an invitation
79 to attend existing screening facilities. Knowledge was assessed in structured questionnaires
80 (before and after), screening uptake was self-reported and directly assessed and compared
81 between these approaches.

82 **Results**

83 Vaccine acceptance among 5137 invited girls was similar for the two methods of consent;
84 99.3% of consented girls received first dose; overall completion rate was 90.5% More girls
85 were vaccinated using two-dose [974/1016 (95.9%)] than three-dose regimen [1859/2030
86 (91.6%)]. The questionnaire (n=906) showed poor maternal knowledge which improved
87 significantly ($p<0.05$) after health education; only 54% of mothers reported any previous
88 screening. The offer of a self-sampling device (n=2247) was accepted by 43.9% of mothers,

89 but only 26% of those invited to screen at existing facilities (n=396) reported subsequent
90 screening.

91 **Conclusion**

92 Successful linking of primary health interventions to control cervical cancer was
93 demonstrated. School-based HPV-vaccination, linked to health education, self-sampling and
94 molecular screening resulted in significant improvements in knowledge and screening.

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96 **INTRODUCTION**

97 Among women aged 15 to 44 years in South Africa, cervical cancer is the most common cancer,
98 estimated to annually affect more than 10 000 women.[1,2] The high prevalence, as well as
99 late presentation and poor survival of cervical cancer in South Africa have been attributed to
100 the HIV epidemic, deficits in health infrastructure and the screening programme, poverty and
101 lifestyle factors which all contribute to high rates of HPV infection and persistence, pre-
102 cancerous lesions and cancer.[1-6] South Africa urgently needs a functional, integrated and
103 effective cervical cancer prevention programme to revert this epidemic. Both primary and
104 secondary prevention strategies are essential to address the cancer risk of current and future
105 generations.[7]

106 In view of its efficacy and cost-effectiveness, school-based HPV vaccination is a major health
107 priority for South Africa. Examples of successful cytology-based screening programmes in the
108 developing world are rare or absent. In South Africa, coverage of the screening programme is
109 low and has limited success to reach groups at highest risk. Several studies have demonstrated
110 a lack of knowledge and awareness of the disease, which may contribute to poor health seeking
111 behaviour.[8-10] In addition, failure to communicate and treat after positive screening is
112 common. Finding alternative methods to reach the screening target population, improve their
113 knowledge and communicate results must be a priority and will require innovative approaches.
114 School-based HPV vaccination programmes may serve as a novel platform to offer education
115 and screening to adult female relatives, but the optimal way to link these preventive methods
116 has not been determined.[11-13] We therefore conducted two cross sectional observation trials
117 as part of the VACCS-initiative (Vaccine And Cervical Cancer Screen trials) to study different
118 approaches to the potential linkage between HPV vaccination, education, and screening.

119 The primary objective of this study was to evaluate whether HPV vaccine implementation can
120 be linked successfully with other health interventions to improve maternal cervical cancer
121 knowledge and screening. The secondary objective was to describe determinants of adolescent
122 HPV vaccine uptake and completion. This is a combined report of the VACCS1 and VACCS2
123 trials which were both conducted in the Gauteng and Western Cape provinces of South
124 Africa.[14-16] Following the initial reports of these studies, HPV vaccine roll-out to primary
125 school girls was initiated by the National Department of Health.

126

127 **METHODS**

128 Study protocols and procedures were approved by the institutional human research ethics
129 review committees of the Universities of Pretoria (VACCS1: 219/2009; VACCS2: 90/2013)
130 and Stellenbosch (N11/01/008). Approval to conduct the trials at primary public schools was
131 obtained from national and provincial Departments of Health and Basic Education and local
132 school governing bodies. Written informed consent was obtained from all subjects, the parents
133 or legal guardians for minor subjects. The selection and recruitment of schools and the
134 vaccination procedures for the first two studies were similar and previously reported.[14,15]
135 Study size was based on the availability of donated vaccine dosages. The intention during
136 VACCS1 was vaccination with the standard registered three doses of either quadrivalent or
137 bivalent vaccine.

138 During VACCS2 only the bivalent vaccine was used and intended as two doses with a six-
139 month interval. At the time, data convincingly demonstrated that two doses were sufficient for
140 young girls.[17]

141 **Vaccination**

142 Parents could provide consent for vaccination of their daughters during the education events or
143 without attending (first study) or were asked to complete and sign consent documents which
144 were sent home (remote consent, second study). No girl was vaccinated without her own
145 written and implied assent. Girls younger than 12 years needed parental consent by law. The
146 vaccination process has been described earlier.[14,15]

147 **Health Education**

148 In VACCS1, parents received a printed invitation to an after-hours health education event at
149 the school. During these events, information about the disease, its development, clinical
150 presentation and prevention by vaccination and screening was shared using an audio-visual
151 presentation. During VACCS2, extensive information about cervical cancer prevention options
152 and about the study was offered to parents in a printed format, delivered home by the girl
153 herself.

154 A questionnaire was developed, tested and validated in a small pilot study for use in VACCS1.
155 Using this administered questionnaire, information from parent participants was acquired on
156 demographics, knowledge of cervical cancer symptoms and prevention, as well as health care
157 behaviour. The same questions were repeated after 3 months to determine changes in
158 knowledge and participant-reported screening behaviour to evaluate efficacy of the educational
159 intervention.

160 **Screening**

161 Mothers were invited to screen using three approaches: invitations to attend existing facilities
162 (VACCS1); tampons and transport medium (with information to use) handed out directly for
163 self-collected screening (VACCS1); and Evalyn[®] self-samplers sent home in a sealed package
164 (VACCS2, both provinces). Screening was indicated for any woman with a uterus without
165 recollection of previous screening in the last five years.

166 Information from the questionnaires were used to describe the demographics of the screening
167 cohort and to calculate the size of the unscreened cohorts. All self-collected samples were
168 tested with HPV DNA tests, while samples collected at existing facilities were tested in the
169 standard way using cytology. We determined changes in screen behaviour using self-reporting
170 and by calculating participation in HPV and cytological screening options.

171 **Data Management**

172 For analysis and comparison of the vaccination data of VACCS1 and VACCS2, girls enrolled
173 in the targeted grades made up the invited cohort, and those with written parental and child
174 consent made up the consented cohort. We defined the consented cohort for these studies as all
175 those who consented to receive the vaccine. The vaccinated cohort were all girls who received
176 at least one dosage, while all who received at least two doses, six months or more apart, were
177 considered sufficiently vaccinated.[14] Uptake, completion and sufficiently vaccinated rates
178 were calculated for the different cohorts and compared between the studies, using the relevant
179 cohort denominator.

180 The two questionnaires were compared per participant to determine the impact of the project
181 on knowledge and behaviour as previously described.[18] The number of women who attended
182 existing screening facilities (VACCS1) after the health education event was determined by
183 accessing data from the local screening registry.

184 The total target group for screening were all adult women available to participate; the
185 unscreened target group was calculated from the percentage of participants indicating no
186 screening in the last five years. The screened cohort included everyone who reported an
187 improvement in screening to the previous 12 months (VACCS1) and women who handed in
188 self-collected samples (VACCS1 and VACCS2). The invited cohort were all women verified

189 to have received an invitation to participate in screening. Uptake rates and positive screening
190 rates were calculated using these different cohorts, as well as the test results.

191 Statistical analysis was performed using Statistica statistical software. A p-value <0.05 was
192 considered statistically significant. In accordance with the journal's guidelines, all data
193 required for the reproducibility of this study in other centres, will be provided if requested.

194

195 **RESULTS**

196 We invited 3465 primary school girls attending 19 schools during VACCS1 (2011-2013) and
197 1672 girls in ten schools in the same districts during VACCS2 (2013-2014). From these, 2619
198 mother-daughter pairs were invited from Gauteng Province and 2518 pairs from the Western
199 Cape. Vaccination data are shown in Table 1.

200

201 **Vaccination**

202 Written parental consent and child assent for vaccination were obtained from 3068 of 5137
203 (59.7%) girls. Invited uptake rates were 59.0% for VACCS1 versus 61.1% for VACCS2, with
204 no difference between the two strategies to inform and invite girls to this opt-in programme.
205 Almost 90% of parents who attended a health education event, consented, but this was offset
206 by relatively low attendance rates (Table 1).

207 Only 22 (0.7%) children with parental consent never received the first vaccine dosage, resulting
208 in an overall uptake rate for consented children of about 99.3%. The completion rate of the
209 two-dose regimen was significantly higher than the three-dose regimen (95.9% vs. 87.8%;
210 $p < 0.0001$). A larger percentage of girls were sufficiently vaccinated in the second project where
211 two doses were intended (95.9% vs. 91.6%; $p < 0.0001$) (Table 1).

212 **Health Education**

213 The questionnaires demonstrated a lack of knowledge about cervical cancer symptoms and
214 prevention among mothers that improved significantly after attending health education events
215 about the disease. (Table 2: knowledge). Data about knowledge improvement after receiving
216 written information was not available, but information were distributed to more households
217 when provided in printed format (100% vs. 28.6%) (Table 2).

218 The median age of mothers/female guardians of girls in grade four to seven was 38 years and
219 of participants that accessed screening was 38.7 years (SD 7.7). Levels of education varied
220 widely (primary school: 10%; tertiary education: 21 %) as did employment data (salaried: 50%;
221 self-employed: 6.8%). Parents with children attending Gauteng schools were significantly
222 younger and better educated[16] (data not shown).

223 **Screening**

224 Self-reported screening behaviour scores were similar for the different sites and improved in
225 the total study after the invitation to participate. This data; however, did not correlate well with
226 confirmed participation in screening (Table 3).[18] We could confirm the screening uptake and
227 participation of those who accepted the invitation to self-sample and deliver the specimen at
228 the school (Table 4).

229 The best estimate of screening uptake when participants were simply reminded to use existing
230 facilities was the self-reported improvement of 24.5% in the second questionnaire translating
231 into 10.7% of unscreened women. Screening uptake reached 43.9% of the study participants
232 (28.6% of the total unscreened target group) when self-screening was offered either at a health
233 education event (64.5%) or was sent home (32.8%) ($p < 0.0001$) (Table 4).

234 Women who received self-screening kits at a health education event, were much more likely
235 to use it than when it was sent home (31.8% vs. 16.4%; $p < 0.0001$). Self-sampling kits were
236 equally unused in large numbers during both studies, by women who elected to take it home

237 (VACCS1) and by those who received it at home in an envelope (VACCS2). Both methods
238 resulted in 14.8% of the total target group being screened, translating to about 29.0% of the
239 unscreened women (Table 4).

240 Throughout the project, about 19.1% of screening tests were positive for high-risk HPV
241 (hrHPV) and 8.6% positive for HPV 16 and/or 18. As expected, tampon collected samples
242 tested with Roche Linear Array was slightly more sensitive than brush collected samples tested
243 with Roche Cobas. Although numbers were small, Western Cape samples appeared to have a
244 lower prevalence of abnormalities compared to Gauteng (Table 5).

245

246 **DISCUSSION**

247 **Summary of Main Results**

248 During this HPV vaccine implementation study at primary schools, the overall vaccine uptake
249 was 59.7%, and the need for parental informed consent (the opt-in model) was a significant
250 barrier to vaccination. The consented uptake rate was near 100%; vaccine completion rates and
251 the rate of sufficiently vaccinated participants were best with the two-dose regimen.

252 Vaccination was successfully linked with knowledge transfer and screening of mothers using
253 self-sampling and molecular tests, which were abnormal in 27.7% of women. Self-screening
254 was superior to using the existing health facilities, which may still have been over-reporting as
255 not all tests could be confirmed via electronic access to the national screening database.[19]

256 Women may not recall the time since their last screening test accurately and the results of these
257 questions may also be influenced by social desirability bias. The age distribution of screened
258 women mirrored the ideal screening target group, with most women between 30 and 49 years
259 of age.

260 **Results in the Context of Published Literature**

261 Reported vaccine acceptance for the target group varies from 10% to >90%.[20] In a review of
262 low- and middle income countries, half of all opt-in studies reported uptakes above 90%; 33%
263 reported uptakes of 70-90%, while opt-out or implied consent was more successful.[21] In the
264 current study, using an opt-in consent approach, requiring both written informed parental
265 consent and child assent, vaccine uptake was lower than most other reports, but probably is
266 realistic and reflects a true-life situation without campaigns to motivate. While multiple factors
267 are reported to influence uptake (type of vaccination programme, coercion, time period of the
268 study, income level of the participants, social media campaigns, or method to obtain consent),
269 the true reasons for poor uptake are largely speculative.[22]

270

271 When vaccination rates are calculated per consented cohort, the vaccination rates of more than
272 99% compares with that reported in another early South African implementation study.[23]
273 Heterogeneity regarding reported completion rates, or “follow-through rates,” challenge
274 comparison with the completion and sufficiently vaccinated rates calculated in the current
275 study, which report on both two-dose and three-dose implementation.[22] The rates of
276 sufficiently or completely vaccinated girls in the two-dose leg of the study, compares
277 favourably with published data.[24]

278

279 Our demographic data confirm that mothers of vaccine recipients are an ideal target group for
280 cervical cancer education and screening. Poor knowledge of disease detection and prevention
281 has often been linked to high prevalence and late diagnosis of cervical cancer, but evidence
282 about interventions that effectively addresses this problem is limited.[25] Consistent with
283 previous reports, the present study confirmed that South African women lack this basic
284 knowledge.[26,27] Importantly, in this report simple health education during a vaccine

285 implementation project had a measurable and significant positive effect on the knowledge of
286 mothers of primary school children. Furthermore, it was demonstrated that increased
287 knowledge scores can be linked to improved screening behaviour when screening was easy to
288 access.[16]

289 Many methods have been tested to reach the unscreened population via self-sampling. In a
290 meta-analysis which was performed according to the type of invitation used, participation rates
291 varied widely. Door-to-door invitations in developing settings reached most women (92.4%),
292 mailed self-sampling kits reached 20.7% and mailed opt-in invitations had participation rates
293 of only 9.7%.[28] The overall screening participation rate among unscreened women in this
294 study (29.5%) was similar for both methods to deliver sampling kits, and compares favourably
295 to previously reported rates using mailed kits. Poor attendance was obtained using reminder
296 invitation to existing screening facilities; this is in accordance with other reports.[29]

297 **Strengths and Weaknesses**

298 This school vaccine implementation project was performed in a real-life setting and linked to
299 maternal education and screening. The study was performed in two provinces with very
300 different demographics and in 29 schools to allow for the heterogeneity of the South African
301 population. Other strengths include that different methods of inviting participation, and
302 different dosing regimens were used and compared.

303 Limitations include the shortcomings of administered questionnaires, difficulties to accurately
304 assess knowledge and attitudes and the potential inaccuracy of self-reported data. Determinants
305 of parental consent could not be studied because questionnaire results were unavailable for
306 non-consenting parents. The self-reported response of participants invited to screen at existing
307 clinics was probably an overestimate and was not supported by other data sources.

308 **Implications for Practice and Further Research**

309 HPV vaccination campaigns can be used to offer health education and screening. Written and
310 audio-visual material can effectively address the education gap; self-sampling kits can reach
311 unscreened mothers via this platform. The positive attitude among parents toward vaccination,
312 and health-seeking-behaviour for their children will hopefully contribute to wide-spread
313 acceptance of HPV vaccine programmes in South Africa and similar developing countries.
314 Further research should explore reasons for relatively poor vaccine uptake in opt-in
315 programmes and methods to improve this uptake.

316

317 **CONCLUSIONS**

318 HPV vaccine programmes can enable linked primary and secondary prevention of cervical
319 cancer, targeting schoolgirls and mothers. Education, vaccination and screening for cervical
320 cancer control were all successfully combined in a single programme. Mothers of primary
321 school children are socially and economically critically important and at the ideal age for
322 cervical screening. HPV vaccine programmes can reach unscreened mothers via self-collected
323 molecular tests and school-based logistics.

324 In this study, parental informed consent was the major determinant of vaccine uptake, while
325 the number of required doses was the major determinant of vaccine completion. The
326 distribution of information was most successful when sent home in a written format, but there
327 was a poor response to the request for remote consent. During educational events, we obtained
328 excellent parental consent rates and showed an improvement in knowledge, but attendance was
329 relatively poor. The final consent rate was therefore similar between the two approaches. The
330 two-dose regimen reached the best vaccine completion and sufficiently vaccinated rates.

331

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Table 1. Vaccine coverage

		Invited cohort	Consented cohort	Invited uptake rate (%)	Vaccinated cohort	Consented uptake rate (%)	Single dose	First two of intended three doses	Vaccine completion rate	Sufficiently vaccinated rate
Study	Provincial sites	Target group = enrolled girls (n)	Girls with consent and assent (n)		Received at least one dose (n)		Received only one vaccine dosage (n)	Received two dosages, less than 6 months apart (n)	Received intended number of doses (n (%))	Received minimum 2 doses, 6 months apart (n (%))
VACCS1	GP	1654	1059	64.0	1053	99.4	9	103	870 (82.6)	941 (89.4)
	WC	1811	987	54.5	977	99.0	10	49	912 (93.3)	918 (94.0)
	Total	3465	2046	59.0	2030	99.2	19	152	1782 (87.8)	1859 (91.6)
VACCS2	GP	965	519	53.7	518	99.8	23	n/a	495 (95.6)	495 (95.6)
	WC	707	503	71.1	498	99.0	19	n/a	479 (96.2)	479 (96.2)
	Total	1672	1022	61.1	1016	99.4	42	n/a	974 (95.9)	974 (95.9)
Project total		5137	3068	59.7	3046	99.3	213		2756 (90.5)	2833 (93.0)

GP = Gauteng Province; n/a = not applicable; VACCS = Vaccine And Cervical Cancer Screen; WC = Western Cape

Table 2. Questionnaire results

Vaccine acceptance					
Parental consent method	Invitation to health education event (n (%))		Information letter sent home (n (%)) (n = 1 672)		p-value
Households reached with information	906 / 3171 (28.6)		1672 (100)*		<0.0001
Acceptance per informed households	498 / 568 (87.7)#		1022 (61.1)		<0.0001
Acceptance per total target group	2046 / 3465 (59.0)		1022 (61.1)		0.2295
Knowledge about cervical cancer before and after intervention					
	Knowledge before health education event (n)		Knowledge after health education event (n)		Significance of change (p-value)
	Inadequate	Adequate	Inadequate	Adequate	
Symptoms	239	538	115	662	<0.005
Screening	539	238	288	489	<0.005
Vaccination	640	137	149	628	<0.05
Total	1418	913	552	1779	

*Assuming that all households received the letter; # data incomplete.

Bolded values indicate statistically significant findings

Table 3. Self-reported screening before and after the intervention (VACCS1)

	Screening experience before invitation (n)		Screening experience after invitation (n)		Significance of change (p-value)
	Never	Ever	Never	Ever	
Lifetime	338	391	227	502	<0.005
Past year	555	174	465	264	<0.005
Total	893	565	692	766	

Bolded values indicate statistically significant findings

VACCS, Vaccine And Cervical Cancer Screen

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Table 4. Screening coverage.

Screening method			Total target group	Unscreened target group	Invited cohort	Screened cohort	Invited uptake rate	Unscreened uptake rate	Newly screened#	Positive screen	Screening uptake rate
Method of sampling	Method of screening	Method of invitation	Women targeted * (n)	Unscreened cohort (n (%)) \$	Women receiving invitation (n)	Women screened during study (n)	Acceptance among invitees (n (%))	Acceptance among unscreened invitees (n (%))	Acceptance per unscreened study participants * (%)	Positive tests (n (%))	Previously plus newly screened (%)
HCW sampling	Conventional cytology	Letter	1811	909	396	97 *	97* / 396 (24.5)	97 / 909 (10.7)	19.6 *	17 * (17.5)	55.2 *
Self-sampling	HPV genotyping on tampon sample	Personal	1654	827	795	253	253 / 795 (31.8)	253 / 392 (64.5)	47.9	75 (29.6)	65.3
	HPV partial genotyping on brush sample	Letter	1672	836	1452	238	238 / 1452 (16.4)	238 / 726 (32.8)	46.6	61 (25.6)	64.2
Project total			5137	2572 / 5137 (50.1)	2643	588	588 / 2643 (22.2)	588 / 2027 (29.0)	N/A	153 (26.0)	N/A

\$ calculated as 50.1% of all mothers as reported in questionnaire

*unverified; self-reported

#per unscreened study participants

HCW = healthcare worker; HPV = human papillomavirus; NA = not available

Table 5. Screening results

		Total number screened (n)	Only other hrHPV (n (%))	HPV 16 and/or 18 (n (%))
Region:	Gauteng Province	413	79 (19.1)	38 (9.2)
	Western Cape	78	15 (19.2)	4 (5.1)
Collection:	Tampon	253	52 (20.6)	23 (9.1)
	Brush	238	42 (17.6)	19 (8.0)
Test:	Genotyping	253	52 (20.6)	23 (9.1)
	Partial genotyping	238	42 (17.6)	19 (8.0)

HPV = human papillomavirus; hrHPV = high-risk HPV.