# Validation of a dynamic non-linear grinding circuit model for process control

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# Abstract

A step-wise algebraic routine is used to fit a dynamic non-linear model, specifically developed for process control, to steady-state process data of an industrial single-stage grinding mill circuit. Step-test data from the industrial plant is used to validate the response of the non-linear model. The results indicate that the model provides a qualitatively accurate response of the main process variables. Because the non-linear model parameters can be calculated from steady-state data, it provides an advantage over classical system identification methods as it does not require an expensive and disruptive step-test campaign to develop linear transfer function models. The model is ideal for model-based predictive process control.

Keywords: comminution, grinding mill, modelling, parameter estimation, process control, simulation, validation

# 1. Introduction

A grinding mill in a comminution circuit is one of the most energy intensive unit operations in a mineral processing plant and contributes significantly to the overall processing cost (Curry et al., 2014). Not only is the grinding mill the main bottleneck of the plant, the efficiency of the mill has a significant impact on the final throughput and product quality of the plant (McIvor and Finch, 1991; Sosa-Blanco et al., 2000; Pérez-García et al., 2018). Although throughput and product quality are inversely proportional, advanced process control can improve these competing objectives while optimising the overall energy usage (Bauer and Craig, 2008; Le Roux and Craig, 2019).

Numerous studies confirm the significant benefit advanced process control such as linear model predictive control (MPC) provide to the operation of grinding mill circuits (Niemi et al., 1997; Pomerleau et al., 2000; Ramasamy et al., 2005; Chen et al., 2007; Apelt and Thornhill, 2009; Remes et al., 2010; Yang et al., 2010; Steyn and Sandrock, 2013; Bengtsson et al., 2017). In general, step-test campaigns provide the necessary data for classical system identification tools to develop linear transfer function models for the linear MPC controllers. However, these transfer function models are limited to the operating condition of the plant during the step-test campaign. In addition, since the grinding mill circuit is subject to disturbances in the composition and properties of the raw ore, the linear models must be updated frequently to adapt to the change in operating conditions (Hodouin, 2011; Zhou et al., 2016).

Because of the non-linear nature of the grinding mill process, it is preferable to make use of non-linear models

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as part of a non-linear MPC supervisory controller (Coetzee et al., 2010; Salazar et al., 2014). The advantage of non-linear models is that they cover a larger range of operating conditions, specifically the parabolic nature of the mill power draw in relation to the mill filling (Apelt et al., 2002; Morrell, 2004; Salazar et al., 2009; Hinde and Kalala, 2009; Le Roux et al., 2020). However, many of the available fundamental non-linear models are not necessarily suitable for implementation in an industrial process controller. The models contain large state and parameter vectors which are difficult to estimate and update from routine operating data (Hodouin, 2011; Zhou et al., 2016; Le Roux et al., 2017).

The non-linear dynamic grinding mill circuit model by Le Roux et al. (2013) uses as few states and parameters as necessary to produce a qualitatively accurate process response. The model appears in a variety simulation studies to test process controllers and process monitoring methods (Olivier and Craig, 2013; Le Roux et al., 2016; Aguila-Camacho et al., 2017; Botha et al., 2018; Wakefield et al., 2018). As shown by Brooks et al. (2021), the model of Le Roux et al. (2013) provides similar predictive performance to linear transfer function models developed from industrial process data. However, because neither Le Roux et al. (2013) nor Brooks et al. (2021) provide a detailed and systematic approach to fit the non-linear model to process data (Pérez-García et al., 2020), the model has not yet been used in an industrial model-based process controller.

The main contribution of this paper is the description of a step-wise procedure to calculate the states and parameters of the non-linear dynamic model in Le Roux et al. (2013) from process data. This will enable the use of the model as part of an industrial model-based predictive controller such as non-linear MPC. The step-wise procedure to calculate the states and parameters requires only the steady-state process data and does not require expensive step-test data. The model is fitted to steady-state process data from an industrial circuit and the dynamic response of the model is validated against dynamic step-test data from the circuit. Although Le Roux et al. (2013) validated the model, this was only against steady-state process data. This paper improves the validation of the model with the use of dynamic data.

The paper is organized as follows: Section 2 presents the model of the process, Section 3 describes the parameter fitting procedure of the model, Section 4 gives a brief overview of the step-test data as provided by the plant and shows the model validation results, and Section 5 concludes the paper.

#### 2. Process Description and Model

## 2.1. Process Description

The primary grinding mill circuit in Fig. 1 represents a single stream platinum-group metals concentrator in the Limpopo province of South Africa. Table 1 lists the manipulated variables and measured variables. The manipulated variables are indicated by  $u_{\Box}$  and the measured variables by  $y_{\Box}$  where  $\Box$  represents the subscript. To maintain a degree of consistency between articles the subscripts are related to the variable names in Le Roux et al. (2013). The measured variables are common to most industrial grinding mill circuits (Wei and Craig, 2009).

The primary milling circuit comprises of a 26' radius  $\times$  28' length ball mill and a variable speed drive (VSD). The feed of mined ore into the mill ( $u_{MFO}$ ) (t/h) is controlled by a proportional, integral, derivative (PID) controller that cascades to a VSD feeder under the stockpile silo. Water into the mill is controlled as a ratio to the mill



Figure 1: Single-stage closed grinding mill circuit.

feed ore  $(u_{r_{MIW}})$  cascading from a ratio controller to a mill inlet water PID controller.

The fractional filling of the mill is given by  $y_{J_T}$ . Although the mill filling is not measured directly at most plants, Powell et al. (2009) indicate how  $y_{J_T}$  can be calibrated to either load cell or bearing pressure measurements at industrial plants.

The power draw is given by  $y_{Pmill}$  (MW). The rotational rate  $u_{RPM}$  (rpm) of the mill is converted to the fraction of the critical mill speed (Apelt et al., 2001):

$$u_{\phi_c} = u_{RPM} \frac{2\pi}{60} \sqrt{\frac{D/2}{g}},$$

where D (m) is the internal mill diameter and g (m/s<sup>2</sup>) is the gravitational constant. The critical speed is where the angular acceleration equals the gravitational force.

The mill discharges through a partial overflow mechanism into the discharge sump. Pebbles are removed by means of a pebble screen. The slurry in the sump is diluted with water  $(u_{SFW})$  (m<sup>3</sup>/h). The level of the sump filled with slurry is given by  $y_{SLEV}$  (m<sup>3</sup>). The sump content is pumped to a cluster of cyclones via a variable speed pump. The sump discharge density, i.e., the cyclone feed density, is represented by  $y_{\rho}$  (t/m<sup>3</sup>). The cumulative flow of feed to the cyclone cluster is represented by  $u_{CFF}$  (m<sup>3</sup>/h). The cyclone cluster underflow returns to the mill and the overflow reports to the downstream rougher flotation circuit. The final product particle size estimate passing 75  $\mu$ m ( $y_{PSE}$ ) at the cyclone overflow is measured by an analyser.

The advanced process control strategy for the grinding mill circuit includes a layered approach consisting of PID, fuzzy-logic-based and model predictive control, as described in Steyn et al. (2010).

### 2.2. Process Model

The grinding mill circuit in Fig. 1 is modelled with an adapted version of the continuous time phenomenological non-linear population balance model of Le Roux et al. (2013). A brief overview of the model is given below.

For the model, rocks refer to all the ore too large to discharge via the discharge mechanism and which must be broken further. Solids refer to all ore small enough to discharge via the discharge mechanism from the mill. Fines refer to the broken ore below the specification size. Whereas solids are all ore small enough to discharge from the mill, fines are the portion of solids smaller than the specification size. Therefore, solids can be regarded as a combination of fine ore and coarse ore where coarse ore is the portion of solids larger than the specification size.

Table 2 lists the model parameters (cf. Le Roux et al. (2013)). Table 3 provides a description of the lower case subscripts for model flow-rates Q (m<sup>3</sup>/h) and model states x (m<sup>3</sup>). The first subscript indicates the circuit unit (mill, sump, cyclone) and the second subscript specifies the model

Table 1: Manipulated and measured variables in the primary milling circuit.

Variable	Unit	Description		
Manipulated Variables				
$u_{MFO}$	t/h	Mill feed ore		
$u_{r_{MIW}}$	-	Ratio of mill inlet water to feed ore ratio		
$u_{\phi_c}$	-	Fraction of critical mill speed		
$u_{SFW}$	$\mathrm{m}^3/\mathrm{h}$	Sump feed water		
$u_{CFF}$	$\mathrm{m}^3/\mathrm{h}$	Cyclone feed flow-rate		
Measured Variables				
$y_{J_T}$	-	Fraction of mill filled with charge		
$y_{Pmill}$	MW	Power draw of the mill		
$y_{PSE}$	%	Product particle size $< 75 \mu m$		
		estimate		
$y_{ ho}$	$t/m^3$	Sump discharge density		
$y_{SLEV}$	%	Sump slurry fill level		

state (rocks, solids, coarse, fines, or water). For flow-rates the third subscript indicates an inflow, outflow or underflow.

# 2.2.1. Mill Model

Four states describe the population volume balance of the mill: water  $(x_{mw})$ , solids  $(x_{ms})$ , rocks  $(x_{mr})$ , and fines  $(x_{mf})$  (m<sup>3</sup>):

$$\frac{d}{dt}x_{mw} = \frac{u_{r_{MIW}}u_{MFO}}{\rho_w} - Q_{mwo} + Q_{cwu} \tag{1a}$$

$$\frac{d}{dt}x_{ms} = (1 - \alpha_r)\frac{u_{MFO}}{\rho_o} - Q_{mso} + Q_{csu} + Q_{RC} \quad (1b)$$

$$\frac{d}{dt}x_{mr} = \alpha_r \frac{u_{MFO}}{\rho_o} - Q_{RC} \tag{1c}$$

$$\frac{d}{dt}x_{mf} = \alpha_f \frac{u_{MFO}}{\rho_o} - Q_{mfo} + Q_{cfu} + Q_{FP} \tag{1d}$$

where  $\alpha_f$  and  $\alpha_r$  represent the fraction of fines and rocks in  $u_{MFO}$  respectively,  $\rho_o$  and  $\rho_w$  (t/m<sup>3</sup>) are the ore and water density respectively,  $Q_{mwo}$ ,  $Q_{mso}$ , and  $Q_{mfo}$  (m<sup>3</sup>/h) are the mill discharge of water, solids, and fines respectively,  $Q_{cwu}$ ,  $Q_{csu}$ , and  $Q_{cfu}$  (m<sup>3</sup>/h) are the cyclone underflow of water, solids and fines respectively,  $Q_{RC}$  (m<sup>3</sup>/h) is a rock consumption terms that indicates the volumetric rate of rocks broken into solids, and  $Q_{FP}$  (m<sup>3</sup>/h) is a fines production term that indicates the volumetric rate of ore broken into fines.

Table 2: Model parameters.					
Parameter	Unit	Description			
Densities					
$ ho_b$	$t/m^3$	Density of balls			
$ ho_{mc}$	$t/m^3$	Density of mill charge			
$ ho_o$	$t/m^3$	Density of ore			
$\rho_w$	$t/m^3$	Density of water			
Mill param	eters				
$lpha_f$	-	Mass fraction of fines in the feed ore			
$\alpha_r$	-	Mass fraction of rocks in the feed ore			
$\delta_s$	-	Power parameter for fraction solids in the mill			
$\delta_v$	-	Power parameter for volume of mill filled			
$d_q$	$h^{-1}$	Discharge rate			
$arepsilon_0$	-	Maximum fraction of solids by volume slurry at zero slurry flow			
$\varepsilon_p$	-	Porosity of the mill charge			
$\varphi_N$	-	Rheology normalisation factor			
$J_B$	-	Fraction of mill filled with steel balls			
$J_{TP_{max}}$	-	Fraction of mill filled at maximum power draw			
$K_{FP}$	MWh/t	Fines production factor			
$K_{FP_{JT}}$	-	Fractional change in fines production factor per change in fractional mill filling			
$K_{RC}$	MWh/t	Rock consumption factor			
$P_{max}$	MW	Maximum mill power draw			
S	-	Mill discharge volumetric solids content			
U	-	Voidage in the mill charge			
$v_{mill}$	$\mathrm{m}^3$	Mill volume			
Sump para	meters				
$v_{sump}$	$m^3$	Sump volume			
Cyclone par	rameters				
$\alpha_{su}$	-	Parameter related to fraction solids in cyclone underflow			
$C_{1,2,3}$	-	Cyclone model constants			
$\varepsilon_c$	$\mathrm{m}^3/\mathrm{h}$	Parameter related to coarse split at cyclone			

The mill discharge flow-rates in (1) are defined as:

$$Q_{mwo} = \varphi d_q x_{mw} \left( \frac{x_{mw}}{x_{ms} + x_{mw}} \right)$$
(2a)

$$Q_{mso} = \varphi d_q x_{mw} \left( \frac{x_{ms}}{x_{ms} + x_{mw}} \right) \tag{2b}$$

$$Q_{mfo} = \varphi d_q x_{mw} \left( \frac{x_{mf}}{x_{ms} + x_{mw}} \right), \qquad (2c)$$

 Table 3: Description of state and flow-rate lower case subscripts.

Subscript	Description
$x_{\Box -}$	m-mill; s-sump; c-cyclone
$x_{-\Box}$	w-water; s-solids; c-coarse; f-fines; r-rocks
$Q_{\Box}$	i-inflow; o-outflow; u-underflow

where  $d_q$  (1/h) is the discharge rate. Parameter  $d_q$  is a fitting parameter to account for the discharge mechanism design (Latchireddi and Morrell, 2003a,b). It represents the pressure or driving force applied to the slurry to discharge from the mill.

The rheology factor  $\varphi$  in (2) is an empirically defined function that incorporates the effect of the fluidity and density of the slurry on the performance of the mill:

$$\varphi = \begin{cases} \sqrt{1 - \left(\varepsilon_0^{-1} - 1\right) \frac{x_s}{x_w}}; & \frac{x_s}{x_w} \le \left(\varepsilon_0^{-1} - 1\right)^{-1} \\ 0; & \frac{x_s}{x_w} > \left(\varepsilon_0^{-1} - 1\right)^{-1}, \end{cases}$$
(3)

where  $\varepsilon_0 = 0.60$  is the approximate maximum fraction of solids by volume of slurry at zero slurry flow (Song et al., 2008). The slurry consists only of water for  $\varphi = 1$  when  $\frac{x_{ms}}{x_{mw}} = 0$ . The slurry is a non-flowing mud for  $\varphi = 0$  when  $\frac{x_{ms}}{x_{mw}} = 1.5$ .

 $\frac{1}{x_{mw}} = 1.5.$ Rock consumption  $(Q_{RC})$  and fines production  $(Q_{FP})$ in (1) are defined as:

$$Q_{RC} = \frac{x_{mr} y_{Pmill}}{\rho_o K_{RC} \left( x_{mr} + x_{ms} \right)} \tag{4a}$$

$$Q_{FP} = \frac{y_{Pmill}}{\rho_o K_{FP} \left( 1 + K_{FP_{JT}} \left( y_{J_T} - J_{TP_{max}} \right) \right)}, \quad (4b)$$

where  $K_{RC}$  (MWh/t) is the rock consumption factor and indicates the energy required per tonne of rocks broken, and  $K_{FP}$  (MWh/t) is the fines production factor and indicates the energy required per tonne of fines produced (cf. Amestica et al. (1996) and Hinde and Kalala (2009)). The fractional change in power per fines produced per change in fractional filling of the mill  $K_{FP_{JT}}$  is used to modify the fines production factor.

The fraction of the mill filled with charge  $(y_{J_T})$  is defined as:

$$y_{J_T} = \frac{x_{mw} + x_{ms} + x_{mr} + x_{mb}}{v_{mill}},$$
 (5)

where  $v_{mill}$  (m<sup>3</sup>) is the total internal volume of the mill and  $x_{mb}$  is the volume of balls in the mill. Although there are various ways to describe steel ball consumption in mills (Apelt et al., 2002; Salazar et al., 2009; Le Roux et al., 2013), because of the slow dynamic change in  $x_{mb}$  compared to the other mill states it is assumed  $x_{mb}$  is constant.

The mill power draw  $(y_{Pmill})$  (MW) in (4) is modelled

as:

$$y_{Pmill} = P_{max}u_{\phi_c} \times \left(1 - \delta_v \left(\frac{y_{J_T}}{J_{TP_{max}}} - 1\right)^2 - \delta_s \left(\frac{\varphi}{\varphi_N} - 1\right)^2\right),$$
(6)

where  $\delta_v$  is the power change parameter for volume of mill filled,  $\delta_s$  is the power change parameter for the volume fraction of solids in the slurry,  $\varphi_N$  is a rheology normalisation factor,  $J_{TP_{max}}$  is the fraction of the mill filled at maximum power draw, and  $P_{max}$  is the maximum mill power draw. If the general grind curve trends are know from historical plant data,  $P_{max}$  (MW) and  $J_{TP_{max}}$  can each be parameterized as polynomial functions of  $u_{\phi_c}$  (Van der Westhuizen and Powell, 2006; Le Roux et al., 2020).

The mill charge density  $\rho_{mc}$  (t/m<sup>3</sup>) is given by (cf. Apelt et al. (2001)):

$$\rho_{mc} = \rho_o (1 - \varepsilon_p + \varepsilon_p US) + \frac{J_B}{y_{J_T}} (\rho_b - \rho_o) (1 - \varepsilon_p) + \varepsilon_p U (1 - S),$$
(7)

where  $\varepsilon_p$  is the porosity of the mill charge,  $J_B$  is the fraction of the mill filled with steel balls, U is the fraction of grinding media voidage occupied by the slurry, and S is the mill discharge volumetric solids content.

# 2.2.2. Sump Model

Three states describe the population volume balance of the sump: water  $(x_{sw})$ , solids  $(x_{ss})$ , and fines  $(x_{sf})$ :

$$\frac{d}{dt}x_{sw} = Q_{mwo} - Q_{swo} + u_{SFW} \tag{8a}$$

$$\frac{d}{dt}x_{ss} = Q_{mso} - Q_{sso} \tag{8b}$$

$$\frac{d}{dt}x_{sf} = Q_{mfo} - Q_{sfo},\tag{8c}$$

where  $Q_{swo}$ ,  $Q_{sso}$  and  $Q_{sfo}$  (m<sup>3</sup>/h) are the sump discharge flow-rates, solids and fines respectively. It is assumed the slurry in the sump is fully mixed. Since it is assumed the rocks and balls do not exit through the discharge mechanism of the mill, they do not form part of the volume balance of the sump.

The sump discharge is pumped to the cyclone cluster via a variable speed pump. The sump discharge flow-rates in (8) are defined as:

$$Q_{swo} = u_{CFF} \left( \frac{x_{sw}}{x_{sw} + x_{ss}} \right) \tag{9a}$$

$$Q_{sso} = u_{CFF} \left( \frac{x_{ss}}{x_{sw} + x_{ss}} \right) \tag{9b}$$

$$Q_{sfo} = u_{CFF} \left( \frac{x_{sf}}{x_{sw} + x_{ss}} \right).$$
(9c)

The percentage of the sump filled with slurry  $(y_{SLEV})$ (%) and the sump outflow density  $(y_{\rho})$  (t/m<sup>3</sup>) are defined as:

$$y_{SLEV} = 100 \left( \frac{x_{ss} + x_{sw}}{v_{sump}} \right) \tag{10}$$

$$y_{\rho} = \frac{\rho_w Q_{swo} + \rho_o Q_{sso}}{Q_{swo} + Q_{sso}} \tag{11}$$

where  $v_{sump}$  (m<sup>3</sup>) is the physical volume of the sump.

# 2.2.3. Cyclone Cluster Model

The cyclone cluster is modelled as a single classifier. If needed, the model can be expanded into separate smaller cyclones as in Botha et al. (2018). The aim here is simply to calculate the total water, solids, and fines split at the cluster.

The non-linear static cyclone model presented here aims to model the product size and density by taking the effects of angular velocity of the particle inside the cyclone, the slurry density and slurry viscosity into account. The underflow of coarse material  $(Q_{ccu})$  (m<sup>3</sup>/h) is modelled as:

$$Q_{ccu} = \left(Q_{sso} - Q_{sfo}\right) \left(1 - C_1 \exp\left(\frac{-u_{CFF}}{\varepsilon_c}\right)\right) \times \left(1 - \left(\frac{F_i}{C_2}\right)^{C_3}\right) \left(1 - P_i^{C_3}\right),$$
(12)

where  $F_i = \frac{Q_{sso}}{u_{CFF}}$  is the fraction solids in the cyclone feed,  $P_i = \frac{Q_{sfo}}{Q_{sso}}$  is the fraction fines in the feed solids,  $\varepsilon_c$  (m<sup>3</sup>/h) relates to the coarse split,  $C_1 = 0.70$  relates to the split at low-flows when the centrifugal force on particles is relatively small,  $C_2 = 0.70$  normalizes the fraction solids in the feed according to the upper limit for the packing fraction of solid particles, and  $C_3$  is an integer which adjusts the sharpness of the dependency on  $F_i$  and  $P_i$ .

The fraction of solids in the underflow  $(F_u)$  can be expressed per definition as:

$$F_u = \frac{Q_{csu}}{Q_{csu} + Q_{cwu}}.$$
(13)

This can be modelled as follows to determine the amount of water and fines accompanying the coarse underflow:

$$F_u = C_2 - (C_2 - F_i) \exp\left(-\frac{Q_{ccu}}{\alpha_{su}\varepsilon_c}\right), \qquad (14)$$

where  $\alpha_{su}$  relates to the fraction solids in the underflow.

The ratio of fines to water in the feed and underflow respectively can be regarded as approximately equal if it is assumed the fines are not influenced by centrifugal forces, i.e.,  $\frac{Q_{sfo}}{Q_{swo}} \approx \frac{Q_{cfu}}{Q_{cwu}}$ . Consequently, using (13), the cyclone underflow flow-rates in (1) can be expressed as:

$$Q_{cwu} = \frac{Q_{swo} \left(Q_{ccu} - F_u Q_{ccu}\right)}{F_u Q_{swo} + F_u Q_{sfo} - Q_{sfo}}$$
(15a)

$$Q_{cfu} = \frac{Q_{sfo} \left(Q_{ccu} - F_u Q_{ccu}\right)}{F_u Q_{swo} + F_u Q_{sfo} - Q_{sfo}}$$
(15b)

$$Q_{csu} = Q_{ccu} + Q_{cfu}.$$
 (15c)

The cyclone water overflow  $(Q_{cwo})$ , solids overflow  $(Q_{cso})$ , and fines overflow  $(Q_{cfo})$  can be calculated using a flow balance around the cyclone.

The product particle size passing the specification size of 75  $\mu$ m ( $y_{PSE}$ ) is defined as:

$$y_{PSE} = 100 \left(\frac{Q_{cfo}}{Q_{cso}}\right). \tag{16}$$

# 2.3. State space Representation

A state-space model of the grinding mill circuit can be formulated as:

$$\begin{aligned} \frac{d}{dt}\mathbf{x} &= \mathbf{f}\left(t, \mathbf{x}, \mathbf{u}, \mathbf{p}\right) \\ \mathbf{y} &= \mathbf{h}\left(t, \mathbf{x}, \mathbf{u}, \mathbf{p}\right), \end{aligned}$$
(17)

where  $\mathbf{x} = \begin{bmatrix} x_{mw}, x_{ms}, x_{mr}, x_{mf}, x_{sw}, x_{ss}, x_{sf} \end{bmatrix}^T$  are the states,  $\mathbf{u} = \begin{bmatrix} u_{MFO}, u_{r_{MIW}}, u_{\phi_c}, u_{SFW}, u_{CFF} \end{bmatrix}^T$  are the inputs,  $\mathbf{y} = \begin{bmatrix} y_{J_T}, y_{Pmill}, y_{SLEV}, y_{\rho}, y_{PSE} \end{bmatrix}^T$  are the outputs, and  $\mathbf{p}$  contains the model parameters as listed in Table 2. Function  $\mathbf{f}(\cdot)$  is given by (1) and (8), and function  $\mathbf{h}(\cdot)$  by (5), (6), (10), (11), and (16).

## 3. Step-wise Parameter Estimation Procedure

The aim of this section is to describe a step-wise procedure to determine the model parameters  $\mathbf{p}$  for the system model in (17) from steady-state process data, i.e., where  $\frac{d}{dt}\mathbf{x} = \mathbf{0}$  in (17). A consequence is that the model states  $\mathbf{x}$  at the steady-state of operation is also determined.

The variables as listed in Table 1 are assumed to be known at the start of the estimation procedure:

• Manipulated variables:

 $u_{MFO}, u_{r_{MIW}}, u_{\phi_c}, u_{SFW}, \text{ and } u_{CFF}$ 

• Measured variables:

 $y_{J_T}, y_{P_{mill}}, y_{PSE}, y_{\rho}, \text{ and } y_{SLEV}$ 

The variables listed above represent the minimum set of real-time process variable measurements necessary to determine the model states and parameters. These variables are insufficient for a mass or volume balance around the circuit. The estimation procedure can be completed even if these measurements are not entirely accurate, but any water or solids that is unaccounted for will cause poor model prediction. It is generally most visible in the model prediction of  $y_{SLEV}$  which will either increase or decrease sharply based on an unmeasured disturbance such as spillage water. Therefore, it is important that measurement instrumentation is maintained well and calibrated to produce accurate and trustworthy measurements.

The following parameters are assumed to be available from sampling campaign data or operator knowledge:

- Densities:  $\rho_b$ ,  $\rho_o$ , and  $\rho_w$
- Feed distribution:  $\alpha_f$  and  $\alpha_r$

- Mill charge:  $J_B$ ,  $\rho_{mc}$ , and U
- Power draw:  $J_{TPmax}$  and  $P_{max}$
- Volumes:  $v_{mill}$  and  $v_{sump}$

If the feed distribution is not measured on-line (Maritz et al., 2019), a general estimate is sufficient. Similarly, the mill charge parameters will not necessarily be known exactly and a general estimate can be used (Napier-Munn et al., 2005).

As mentioned in Section 2.2.1, if grind curves can be generated based on historical data,  $P_{max}$  and  $J_{TPmax}$  can be parameterized as polynomial functions of  $u_{\phi_c}$  using a least squares fit. If this is not the case,  $P_{max}$  is the power draw for the specific operating condition. Similarly, the operators should know whether the mill is operating before or past the peak in power in terms of  $y_{J_T}$ . In the case where the mill operates before the peak in power,  $J_{TPmax} \geq y_{J_T}$ . Otherwise,  $J_{TPmax} \leq y_{J_T}$ .

Finally, the following parameters are degrees of freedom that need to be specified:  $C_3$ ,  $\varphi_N$ ,  $K_{FP_{JT}}$ .

# 3.1. Sump

The aim of this subsection is to calculate steady-state values for  $x_{sw}$  and  $x_{ss}$  in (9a) and (9b).

If the total mass of slurry in the sump is given by  $M_T = M_S + M_W$  where  $M_S$  is the mass of solids and  $M_W$  is the mass of water, the flow of material exiting the sump  $(u_{CFF} = M_T/y_{\rho})$  can be written as:

$$\frac{M_T}{y_{\rho}} = \frac{M_S}{\rho_o} + \frac{M_W}{\rho_w}.$$
(18)

Eq. (18) can be simplified to give the solid mass fraction:

$$\frac{M_S}{M_T} = \frac{\frac{1}{y_{\rho}} - 1}{\frac{1}{\rho_o} - 1}.$$
(19)

From the results above, the sump water and solids discharge  $(Q_{swo} \text{ and } Q_{sso})$  and volumes  $(x_{sw} \text{ and } x_{ss})$  can be calculated from measured variables and parameters:

$$Q_{swo} = \frac{y_{\rho} u_{CFF}}{\rho_w} \left( 1 - \frac{\frac{1}{y_{\rho}} - 1}{\frac{1}{\rho_o} - 1} \right)$$
(20a)

$$Q_{sso} = \frac{y_{\rho} u_{CFF}}{\rho_o} \left( \frac{\frac{1}{y_{\rho}} - 1}{\frac{1}{\rho_o} - 1} \right)$$
(20b)

$$x_{sw} = \frac{y_{\rho} y_{SLEV} v_{sump}}{100\rho_w} \left( 1 - \frac{\frac{1}{y_{\rho}} - 1}{\frac{1}{\rho_o} - 1} \right)$$
(20c)

$$x_{ss} = \frac{y_{\rho} y_{SLEV} v_{sump}}{100\rho_o} \left(\frac{\frac{1}{y_{\rho}} - 1}{\frac{1}{\rho_o} - 1}\right).$$
 (20d)

# 3.2. Cyclone Cluster

The aim of this section is to calculate a steady-state value for  $x_{sf}$  in (9c), and parameter values  $\varepsilon_c$  and  $\alpha_{su}$  in (12) and (14). The calculations below depend on the states and flows determined in Section 3.1 above.

Given steady-state operation and assuming no unmeasured disturbances in the circuit, the volumetric flow-rate of water and solids exiting the circuit is:

$$Q_{cwo} = \frac{u_{r_{MIW}} u_{MFO}}{\rho_w} + u_{SFW}$$
(21a)

$$Q_{cso} = \frac{u_{MFO}}{\rho_o}.$$
 (21b)

Therefore, from a flow-balance around the cyclone it is possible to determine the water and solids underflow:

$$Q_{cwu} = Q_{swo} - Q_{cwo} \tag{22a}$$

$$Q_{csu} = Q_{sso} - Q_{cso} \tag{22b}$$

Given the underflows in (22) above, the fraction of solids in the underflow  $(F_u)$  in (13) can be determined.

Using (15a), set  $\beta = \frac{\hat{Q}_{cwu}}{\hat{Q}_{swo}}$  such that (15b) can be written as  $Q_{cfu} = \beta Q_{sfo}$ . Consequently, rewrite (15a) to find  $Q_{ccu}$  in terms of  $\beta$ :

$$Q_{ccu} = \frac{\beta F_u Q_{swo} - \beta Q_{sfo} (1 - F_u)}{1 - F_u}.$$
 (23)

From a flow balance around the cyclone, the fines and solids overflows are given by:

$$Q_{cfo} = Q_{sfo} - Q_{cfu} \tag{24a}$$

$$Q_{cso} = Q_{sso} - Q_{csu} = Q_{sso} - Q_{ccu} - Q_{cfu}.$$
 (24b)

Finally, using (23)-(24) it is possible to rewrite (16) as:

$$y_{PSE} = 100 \left( \frac{Q_{sfo}(1-\beta)}{Q_{sso} - \frac{\beta F_u Q_{swo} - \beta Q_{sfo}(1-F_u)}{1-F_u} - \beta Q_{sfo}} \right).$$
(25)

Substituting (9) into (25) and simplifying,  $x_{sf}$  can be calculated as:

$$x_{sf} = \frac{F_u y_{PSE} x_{ss} - y_{PSE} x_{ss} + \beta F_u y_{PSE} x_{sw}}{100 \left(F_u + \beta - F_u \beta - 1\right)}.$$
 (26)

Since  $x_{sf}$  is now known, the discharge of fines from the sump in (9c) is:

$$Q_{sfo} = x_{sf} u_{CFF} \frac{100}{y_{SLEV} v_{sump}}.$$
 (27)

The remaining set of over- and underflows at the cyclone can be calculated as:

$$Q_{ccu} = \frac{\beta u_{CFF}}{x_{ss} + x_{sw}} \left( \frac{x_{sw}F_u + x_{sf}(F_u - 1)}{1 - F_u} \right)$$
(28a)

$$Q_{cfu} = \frac{x_{sf}(Q_{ccu} - F_u Q_{ccu})}{F_u x_{sw} + F_u x_{sf} - x_{sf}}$$
(28b)

$$Q_{cfo} = Q_{cso} \frac{y_{PSE}}{100}.$$
 (28c)

Finally, given the flow-rates and states above, it is possible to calculate the cyclone parameters  $\varepsilon_c$  and  $\alpha_{su}$ :

$$\varepsilon_c = -\frac{u_{CFF}}{\log(\lambda)} \tag{29a}$$

$$\alpha_{su} = -\frac{Q_{ccu}}{\varepsilon_c \log(\frac{C_2 - F_u}{C_2 - F_i})}$$
(29b)

where

$$\lambda = \frac{1}{C_1} - \frac{Q_{ccu}}{C_1(Q_{sso} - Q_{sfo})(1 - (F_i/C_2)^{C_3})(1 - P_i^{C_3})}.$$

Note,  $C_1 = C_2 = 0.7$  is known *a-priori* as defined in Section 2.2.3. Unless further measurements of the cyclone underflow are available,  $C_3$  cannot be fitted to data. Subsequently,  $C_3$  is a degree of freedom that is heuristically chosen as the smallest positive integer for which both  $\varepsilon_c$  and  $\alpha_{su}$  are positive. The choice of  $C_3$  does not influence the parameters for the grinding mill.

#### 3.3. Mill

The aim of this section is to calculate steady-state values for  $x_{mw}$ ,  $x_{ms}$ ,  $x_{mf}$ ,  $x_{mr}$ , and  $x_{mb}$ , the discharge rate  $d_q$ , the power draw parameters  $\delta_v$  and  $\delta_s$ , and the breakage parameters  $K_{FP}$ , and  $K_{RC}$ . The calculations below depend on the states and flows determined in Sections 3.1 and 3.2 above.

#### 3.3.1. Mill States and Discharge

From a mass balance around the sump at steady-state, the mill discharge flow-rates are:

$$Q_{mwo} = Q_{swo} - u_{SFW} \tag{30a}$$

$$Q_{mso} = Q_{sso} \tag{30b}$$

$$Q_{mfo} = Q_{sfo} \tag{30c}$$

It is assumed that general estimates of the mill charge density ( $\rho_{mc}$ ), charge voidage (U), and fraction of mill filled with steel balls ( $J_B$ ) are available from sampling campaign data or operator knowledge. Therefore, the charge porosity ( $\varepsilon_p$ ) can be calculated from (7) as:

$$\varepsilon_p = \frac{\rho_{mc} - \rho_o - (\rho_b - \rho_o)J_B/y_{J_T}}{\rho_o US - \rho_o + (\rho_b - \rho_o)J_B/y_{J_T} + U(1-S)}, \quad (31)$$

where  $S = \frac{Q_{mso}}{Q_{mso}+Q_{mwo}}$ . Subsequently, the mill states in (1) can be determined in the following order as:

$$x_{mb} = (1 - \varepsilon_p) J_B v_{mill} \tag{32a}$$

$$x_{mw} = (1 - S) \varepsilon_p U y_{J_T} v_{mill} \tag{32b}$$

$$x_{ms} = S\varepsilon_p U y_{J_T} v_{mill} \tag{32c}$$

$$x_{mf} = \left(\frac{Q_{mfo}}{Q_{mso}}\right) x_{ms} \tag{32d}$$

$$x_{mr} = y_{J_T} v_{mill} - x_{mb} - x_{mw} - x_{ms}.$$
 (32e)

Given the mill state values calculated above, the discharge rate  $d_q$  can be calculated from (2) as:

$$d_q = Q_{mwo} \left( \frac{x_{mw} + x_{ms}}{\varphi x_{mw}^2} \right) \tag{33}$$

where  $\varphi$  is given in (3).

# 3.3.2. Mill power draw

Assuming  $\delta_v = \delta_s$ , these parameters can be calculated from (6) as follows:

$$\delta_s = \delta_v = \frac{1 - \frac{y_{Pmill}}{P_{max}u_{\phi_c}}}{\left(\frac{y_{J_T}}{J_{TPmax}} - 1\right)^2 + \left(\frac{\varphi}{\varphi_N} - 1\right)^2}.$$
 (34)

If sufficient process data is available to evaluate the impact of the solids to water ratio on the power draw of the mill (Steyn and Sandrock, 2013), it is possible to determine an accurate estimate of  $\varphi_N$ . Otherwise,  $\varphi_N$  is a degree of freedom that needs to be chosen. A conservative choice is  $\varphi_N = 0.70$  which corresponds to  $\frac{x_{ms}}{x_{mw}} \approx 0.75$ .

#### 3.3.3. Breakage rates

Since steady-state is assumed, the breakage rates in (4a) and (4b) can be back-calculated from (1c) and (1d) respectively:

$$K_{RC} = \frac{y_{Pmill} x_{mr}}{u_{MFO} \alpha_r (x_{mr} + x_{ms})}$$
(35a)  

$$K_{FP} = \frac{y_{Pmill}}{\rho_o \left(1 + K_{FP_{JT}} \left(y_{J_T} - J_{TP_{max}}\right)\right)}$$

$$\times \frac{1}{\left(Q_{mfo} - Q_{cfu} - \frac{u_{MFO} \alpha_f}{\rho_o}\right)},$$
(35b)

where  $K_{FP_{JT}}$  is a degree of freedom to adjust the breakage rate of fines given the variation in  $y_{J_T}$ . Unless historic data is available to fit  $K_{FP_{JT}}$ , it can be set to  $K_{FP_{JT}} = 0$ . If  $y_{J_T} = J_B$ , then  $x_{mr} \approx 0$  in (32). In this case it is necessary to modify the rock consumption term in (4a) such that  $Q_{RC} = \frac{y_{Pmill}}{\rho_o K_{RC}}$ .

# 3.4. Summary

The procedure to calibrate the model is summarized in Fig. 2. The estimation procedure is divided into three phases starting at the top with the sump, followed by the cyclone, and ending at the grinding mill. Information calculated in one phase is passed to the next. For each phase the set of known variables and parameters necessary to complete the calibration is shown on the far left, the set of calculations needed to calibrate the model in the centre, and the final calibrated model parameters  $\mathbf{p}$  and the model states  $\mathbf{x}$  at the specific steady-state operating condition are shown on the far right.



Figure 2: Summary of model calibration process.

# 4. Validation

# 4.1. Estimated Parameters from Step-test Data

The model is validated with step-test data from the industrial primary milling circuit illustrated in Fig. 1. Steptests were performed between the  $1^{st}$  and  $3^{rd}$  February 2020. Data was sampled at a rate of 16.7 mHz (period of 60 s). A section of data of 24 hours with no instrumentation failure or plant stoppages is used for validation of the model. The manipulated variables  $\mathbf{u}$  and measured variables  $\mathbf{y}$  as in (17) for the specific 24 hour step-test period is shown in Figs. 3 and 4 respectively.

Table 4 shows the steady-state operating condition in

Variable	Value	Unit		
Operating condition				
$u_{MFO}$	1191	t/h		
$u_{r_{MIW}}$	0.572	$\mathrm{m}^3/\mathrm{h}$		
$u_{\phi_c}$	0.768	-		
$u_{SFW}$	870	$\mathrm{m}^3/\mathrm{h}$		
$u_{CFF}$	2921	$\mathrm{m}^3/\mathrm{h}$		
$y_{J_T}$	0.328	-		
$y_{P_{mill}}$	14.8	MW		
$y_{SLEV}$	59.4	%		
$y_{ ho}$	1.77	$t/m^3$		
$y_{PSE}$	37.9	%		
Process D	)ata			
$\alpha_f$	0.10	-		
$\alpha_r$	0.50	-		
$J_B$	0.30	-		
$J_{TPmax}$	0.23	-		
$P_{max}$	19.7	MW		
$ ho_b$	7.8	$t/m^3$		
$ ho_{mc}$	5.55	$t/m^3$		
$ ho_o$	3.2	$t/m^3$		
$ ho_w$	1	$t/m^3$		
U	1	-		
$v_{mill}$	540.9	$\mathrm{m}^3$		
$v_{sump}$	345.8	$\mathrm{m}^3$		

Table 4: Steady-state operating condition and process data.

terms of the manipulated and measured variables at the start of validation period. The table also shows the process data assumed to be known prior to the estimation as available from sampling campaign data. In terms of the power draw parameters  $J_{TPmax}$  and  $P_{max}$  in Table 4, no historical grind curve data was available to parameterize these parameters as functions of  $u_{\phi_c}$ . However, it was known from the operators that the plant operated past the point where the maximum power was drawn. Therefore,  $J_{TPmax}$  was conservatively and heuristically set as  $J_{TPmax} = 0.7y_{JT}$ . Similarly,  $P_{max}$  was set as  $P_{max} = 1.02y_{P_{mill}}/u_{\phi_c}$ . The 2% increase in  $y_{P_{mill}}$  is an increase of 300 kW above the initial steady-state operating condition.

The procedure outlined in Section 3 and summarized in Fig. 2 was followed to fit the model described in Section 2 to the data in Table 4. The calculated parameters and the process states at the specific steady-state operating condition are shown in Table 5.

## 4.2. Simulated Model

The dynamic model (17) is simulated using the fourthorder Runge-Kutta numerical integration method with a

nocess in pection	5.		
Parameter	Value	Unit	
Degrees of I			
$C_3$	$C_3$ 4		
$\varphi_N$	0.7	-	
$K_{FP_{JT}}$	20	-	
Model Para	Model Parameters		
$\alpha_{su}$	0.119	-	
$\varepsilon_c$	2528	$\mathrm{m}^3/\mathrm{h}$	
$\delta_v = \delta_s$	0.0911	-	
$d_q$	114.7	$h^{-1}$	
$K_{RC}$	$5.97{\times}10^{\text{-}3}$	MWh/t	
$K_{FP}$	$15.0 \times 10^{-3}$	MWh/t	
Process Sta	Process States		
$x_{mw}$	31.0	$\mathrm{m}^3$	
$x_{ms}$	31.1	$\mathrm{m}^3$	
$x_{mf}$	5.22	$\mathrm{m}^3$	
$x_{mr}$	9.84	$\mathrm{m}^3$	
$x_{mb}$	105	$\mathrm{m}^3$	
$x_{sw}$	133	$\mathrm{m}^3$	
$x_{ss}$	72.2	$\mathrm{m}^3$	
$x_{sf}$	12.1	$\mathrm{m}^3$	

Table 5: Parameter and state values calculated according to the step-wise process in Section 3.

step-size of 60 s. The model takes as input the process data in Table 4, the process parameters and initial state condition in Table 5 and the manipulated variables  $\mathbf{u}$  shown in Fig. 3. The comparison of the output of the model  $\mathbf{y}$  to the measured data is shown in Fig. 4.

At no point during the simulation are any of the model parameters updated. Therefore, once the model is fitted to initial steady-state condition at t = 0 h in Figs. 3 and 4, the model response is a pure simulation to be compared with the actual plant response.

#### 4.3. Discussion

As seen in Fig. 4, the model is able to capture the main dynamic variations  $y_{J_T}$ ,  $y_{P_{mill}}$ ,  $y_{\rho}$ , and  $y_{PSE}$  over an extended period of time. Because the sump acts as an integrator for the level of the sump filled with slurry  $(y_{SLEV})$ , it is not easy to capture its dynamic response with sufficient accuracy. The sharp increase between t = 15 h and t = 24 h can possibly be attributed to an unmeasured disturbance propagating through the sump. In practice a simple feedback controller can maintain  $y_{SLEV}$  within allowable limits.

The model is able to account for the large change in  $y_{P_{mill}}$  as a result of the change in  $u_{\phi_c}$  shown in Fig. 3. It is interesting to see that there is not a significant change in  $y_{J_T}$  or  $y_{PSE}$  for the variation in  $u_{\phi_c}$ . This indicates that



Figure 3: Manipulated variable step-test data.



the charge in the mill is most probably overshooting the toe of the charge and impacting the mill liners.

As seen in Fig. 4, the model provides a filtered response for the various measured variables. Given the simplicity of the model, mismatch between the model and the actual plant response is to be expected. However, as noted by Le Roux et al. (2013), the aim of the model is for process control and does not necessarily intend to produce a quantitatively accurate response. The qualitatively accurate model response is sufficient for a model-based predictive feedback controller such as non-linear MPC (Coetzee et al., 2010; Le Roux et al., 2016; Aguila-Camacho et al., 2017), or for process monitoring purposes (Wakefield et al., 2018). In other words, the plant can be controlled as long

Figure 4: Validation of the model output against the measured plant step-test data.

as the direction of deviation of a variable can be predicted even though the exact magnitude of the deviation is uncertain. In the case of MPC, the prediction horizon should at least capture the settling time of the plant to ensure the dynamics of the process is represented in any prediction (Seborg et al., 2016). For example, if the settling time of a grinding mill is about an hour the model only needs to be accurate for this time period. The model can be updated once a new steady-state is reached to reduce model plant mismatch (Olivier and Craig, 2013).

		-	-	-
	Non-linear model <sup>†</sup>		$Linear model^{\ddagger}$	
	RMSE	$\mathbb{R}^2$	RMSE	$\mathbb{R}^2$
$y_{J_T}$	0.0157	0.325	0.0177	0.231
$y_{Pmill}$	0.128	0.849	0.187	0.524
$y_{ ho}$	0.0240	0.785	0.0241	0.782
$y_{PSE}$	8.16	0.0420	5.54	0.123

Table 6: Statistical comparison of model responses in Fig. 4.

<sup> $\dagger$ </sup> Non-linear model in (17).

<sup>‡</sup> Linear model from Brooks et al. (2021).

Brooks et al. (2021) uses the same set of step-test data to develop linear transfer function models for all the measured variables except  $y_{SLEV}$ . The linear model prediction is included in Fig. 4. A statistical comparison of the non-linear and linear model performance in terms of the root mean squared error (RMSE) and the coefficient of determination  $(R^2)$  is shown in Table 6. The non-linear model has similar predictive performance than the linear transfer function models. The advantage of the non-linear model presented in this paper is that it requires only the accurate measurement of a single steady-state condition. In contrast, the linear transfer function models require an expensive and disruptive step-test campaign. The linear transfer function model will also remain valid for the specific operating condition, whereas the non-linear model can be fitted to any operating condition.

# 5. Conclusion

The contribution of this article is a step-wise procedure to fit a non-linear model of a grinding mill circuit to steady-state process data. By way of example, the nonlinear model is fitted to process data from an industrial primary milling circuit and is validated against step-test data from the plant. The advantage of the non-linear model is that the model parameters can be calculated from a single steady-state operating condition. It does not require an expensive step-test campaign such as is needed to develop linear transfer function models.

The comparison between the response of the simulated non-linear model and the response of the plant shows that the model provides a sufficiently accurate representation of the dynamics of the process. Specifically, the model is able to capture in a qualitative sense the dynamics of the fraction of the mill filled with charge  $(y_{J_T})$ , the power draw  $(y_{Pmill})$ , the sump discharge density  $(y_{\rho})$ , and the product particle size  $< 75 \ \mu m \ (y_{PSE})$ . Therefore, the model is ideally suited for model-based predictive control or for process monitoring for industrial grinding mill circuits. The model parameters can easily be updated for each steadystate operating condition.

Future work will consider automatic derivation of grind curves based on historical data to parameterize the power draw model parameters in terms of mill speed.

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