

# On the characters of Sylow $p$ -subgroups of finite Chevalley groups $G(p^f)$ for arbitrary primes

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## Abstract

In this work we develop a method to parametrize the set  $\text{Irr}(U)$  of irreducible characters of a Sylow  $p$ -subgroup  $U$  of a finite Chevalley group  $G(p^f)$  which is valid for arbitrary primes  $p$ , in particular, when  $p$  is a very bad prime for  $G$ . As an application, we parametrize  $\text{Irr}(U)$  when  $G = F_4(2^f)$ .

## 1. Introduction

The study of finite groups and their representations is a major research topic in the area of pure mathematics. An important open challenge is to determine the irreducible modular representations of finite simple groups. Particular focus has been dedicated to finite Chevalley groups.

Let  $q$  be a power of the prime  $p$ , and let  $\mathbb{F}_q$  be the field with  $q$  elements. Let  $G$  be a finite Chevalley group defined over  $\mathbb{F}_q$ . For  $H \leq G$ , denote by  $\text{Irr}(H)$  the set of ordinary irreducible characters of  $H$ . Due to the work of Lusztig, a great amount of information on  $\text{Irr}(G)$  has been determined, for instance, irreducible character degrees and values of unipotent characters; see [2](#) and [3](#). The problem of studying modular representations of  $G$  over a field of characteristic  $\ell \neq p$  is still wide open.

One of the approaches to this problem is to relate the modular representations of  $G$  with the irreducible characters of a Sylow  $p$ -subgroup  $U$  of  $G$ . Namely, by inducing elements of  $\text{Irr}(U)$  to  $G$  one gets  $\ell$ -projective characters, which yield approximations to the  $\ell$ -decomposition matrix of  $G$ . This is particularly important when  $p$  is a bad prime for  $G$ , in that a definition of generalized Gelfand–Graev characters is yet to be formulated. Such an approach has proved to be successful in the cases of  $SO_7(q)$ ,  $Sp_6(q)$  [12](#), and  $SO_8(q)$  [22](#). In order to achieve this, obtaining a suitable parametrization of the set  $\text{Irr}(U)$  is an unavoidable step.

Another crucial motivation of this work originates from the following conjecture on finite groups of Lie type which has been suggested to us by G. Malle. The data for unipotent characters of  $G$  in [2, Chapter 13](#) and those known for  $\text{Irr}(U)$  point out a *strong* link between the rows of  $\ell$ -decomposition matrices of  $G$ , labelled by  $\text{Irr}(G)$ , and their columns, labelled by suitable characters  $\text{Ind}_U^G(\chi)$  for  $\chi \in \text{Irr}(U)$ .

## Conjecture 1.1 (Malle).

Let  $G$  be a finite Chevalley group defined over  $\mathbb{F}_q$  with  $q = p^f$  and  $p$  a bad prime for  $G$ , and let  $U$  be a Sylow  $p$ -subgroup of  $G$ . Then for every cuspidal character  $\rho \in \text{Irr}(G)$ , there exists  $\chi \in \text{Irr}(U)$  such that  $\chi(1) = \rho(1)_p$ .

This conjecture is verified in the following cases:  $B_2(2^f)$  [20, §7](#),  $G_2(p^f)$  for  $p \in \{2, 3\}$  [16, Section 3](#),  $F_4(3^f)$  [7, §4.3](#),  $D_i(2^f)$  for  $i = 4, 5, 6$  and  $E_6(p^f)$  for  $p \in \{2, 3\}$  [17](#), and  $E_8(5^f)$  [18](#). Here, we confirm [Conjecture 1.1](#) for  $G = F_4(2^f)$ . In particular, if  $\rho \in \text{Irr}(G)$  is one of the cuspidal characters  $F_4^f[1]$  or  $F_4^{f'}[1]$  in the notation of [2, §13.9](#), then  $\rho(1)_2 = q^4/8$ , and we do find irreducible characters of  $U$  of degree  $q^4/8$  in the family  $\mathcal{F}_{7,2}^8$  in [Table 3](#).

We lay the groundwork for a package in GAP4 [6](#), whose code is available at [15](#), in order to build a database for the generic character table of  $UF_4(2^f)$ , in particular to find suitable replacements of generalized Gelfand–Graev characters as in [22](#). Furthermore, we verify the generalization of Higman’s conjecture in [9](#) for the group  $UF_4(2^f)$ , namely the number of its irreducible characters is a polynomial in  $q = 2^f$  with integral coefficients.

## Theorem 1.2.

Let  $G = F_4(q)$  where  $q = 2^f$ , and let  $U$  be a Sylow 2-subgroup of  $G$ . Then each irreducible character of  $U$  is completely parametrized as an induction of a linear character of a certain determined subgroup of  $U$ . In particular, we have

$$|\text{Irr}(U)| = 2q^8 + 4q^7 + 20q^6 + 46q^5 - 136q^4 - 16q^3 + 158q^2 - 94q + 17.$$

In this work, we first develop a parametrization of  $\text{Irr}(U)$  by means of positive root sets of  $G$ , which is valid for *arbitrary* primes. This procedure generalizes the one in [7](#) and [17](#), which does not work for type  $F_4$  when  $p = 2$ . In general, if  $p$  is a very bad prime for  $G$ , then we lose some structural information when passing from patterns to pattern groups. In fact, let  $\Phi^+$  be the set of positive roots in  $G$ . The product of root subgroups indexed by a certain set  $\mathcal{P} \subseteq \Phi^+$  forms a group despite  $\mathcal{P}$  not being a pattern; see [Example 3.2](#).

We generalize the definition of pattern and quatern groups (see 7, §2.3) for every prime by means of the Chevalley relations of  $U$ . Then every  $\chi \in \text{Irr}(U)$  is constructed as an inflated/induced character from a quatern group  $V_\chi$  of  $U$  which is uniquely determined by the algorithm in Section 4. In the case when  $V_\chi$  is abelian, i.e., a so-called *abelian core*, the character  $\chi$  is directly parametrized. The focus of the rest of the work is then devoted to studying the nonabelian  $V_\chi$ 's, which we call *nonabelian cores*. In order to determine  $\text{Irr}(V_\chi)$ , we generalize the technique used in 17, §4.2 by constructing a graph  $\Gamma$  associated to  $V_\chi$  as in Section 5. When the prime  $p$  is very bad, the graph  $\Gamma$  may have a vertex of valency 1.

We remark that  $F_4(2^f)$  is the highest rank exceptional group at a very bad prime. Furthermore, the type  $F_4$  is a *good small* example to fulfill our algorithm for the determination of  $\text{Irr}(U)$  for all primes. A parametrization of  $\text{Irr}(UF_4(p^f))$  has now been determined for all primes  $p$ . Namely, 7, §4.3 settled the case when  $p \geq 3$ , and in this work we deal with the case  $p = 2$ .

We observe the following phenomenon which occurs just for  $F_4(2^f)$  among all finite Chevalley groups of rank 4 or less. The number of irreducible characters arising from a certain nonabelian core as in Table 2 *cannot be expressed as a polynomial in  $q = p^f$* . In detail, the numbers of such characters do have polynomial expressions in  $q = p^f$  whenever either  $f = 2k$  or  $f = 2k + 1$ , that is,  $q \equiv 1 \pmod{3}$  and  $q \equiv -1 \pmod{3}$ , respectively. However, surprisingly, the expression for the number of irreducible characters of  $U$  of a fixed degree is always a polynomial in  $q$  with rational coefficients.

The structure of this work is as follows. In Section 2 we recall notation and preliminary results on character theory of finite groups and Chevalley groups. In Section 3 we give the definition of pattern and quatern groups valid for all primes. In Section 4 we generalize 7, Algorithm 3.3 to obtain all abelian and nonabelian cores. In Section 5 we discuss on the method to decompose nonabelian cores. Finally, in Section 6 we apply the method previously developed to give a full parametrization of  $\text{Irr}(UF_4(2^f))$ .

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## 2. Preliminaries

In this section we present some definitions and well-known results on the character theory of finite groups and on the theory of finite Chevalley groups.

In this work we consider only complex characters. Notation and fundamental results are taken from [13](#). Let  $G$  be a finite group. We denote by  $\text{Irr}(G)$  the set of irreducible characters of  $G$ . The centre and the kernel of the character  $\chi \in \text{Irr}(G)$  are denoted by  $Z(\chi)$  and  $\ker(\chi)$ , respectively. For  $\varphi \in \text{Irr}(G/N)$  with  $N \trianglelefteq G$ , we denote by  $\text{Inf}_{G/N}^G(\varphi)$  the inflation of  $\varphi$  to  $G$ . For  $H \leq G$ , we denote by  $\chi|_H$  the restriction of a character  $\chi \in \text{Irr}(G)$  to  $H$ , and by  $\text{Ind}_H^G(\psi)$  or  $\psi^G$  the induction of a character  $\psi$  of  $H$  to  $G$ . Moreover, we define

$$\text{Irr}(G \mid \psi) := \{\chi \in \text{Irr}(G) \mid \langle \chi|_H, \psi \rangle \neq 0\} = \{\chi \in \text{Irr}(G) \mid \langle \chi, \psi^G \rangle \neq 0\}.$$

For  $N \trianglelefteq G$ ,  $\varphi \in \text{Irr}(N)$ , and  $g, x \in G$ , we denote by  $g^x$  the element  $x^{-1}gx$ , and by  ${}^x\varphi$  the element of  $\text{Irr}(N)$  defined by  $g \mapsto \varphi(g^x)$ . This defines an action of  $G$  on  $\text{Irr}(N)$ . By [7, §2.1](#), if  $Z$  is a subgroup of the centre  $Z(G)$  of  $G$  such that  $Z \cap N = \{1\}$ , then the inflation from  $G/N$  to  $G$  defines a bijection between the sets  $\text{Irr}(G/N \mid \lambda)$  and  $\text{Irr}(G \mid \text{Inf}_{Z/N}^{G/N}(\lambda))$  for every  $\lambda \in \text{Irr}(ZN/N)$ .

The main references used for the basic notions of finite Chevalley groups are [1](#), [3](#), and [21](#). Let  $p$  be a prime, and let  $\overline{\mathbb{F}}_p$  be an algebraically closed field of characteristic  $p$ . Fix a positive integer  $f$ , let  $q := p^f$ , and let  $F_q$  be the automorphism of  $\overline{\mathbb{F}}_p$  defined by  $x \mapsto x^q$ . Then we denote by  $\mathbb{F}_q$  the field with  $q$  elements defined by  $\mathbb{F}_q := \{x \in \overline{\mathbb{F}}_p \mid F_q(x) = x\}$ . Let  $\tilde{F}_q : \text{GL}_n(\overline{\mathbb{F}}_p) \rightarrow \text{GL}_n(\overline{\mathbb{F}}_p)$ ,  $(a_{ij}) \mapsto (a_{ij}^q)$ . Here,  $\tilde{F}_q$  is a homomorphism of  $\text{GL}_n(q)$  to itself.

Let  $\mathbf{G}$  be a simple linear algebraic group defined over  $\overline{\mathbb{F}}_p$ . Let  $F$  be a standard Frobenius map of  $\mathbf{G}$  corresponding to  $\tilde{F}_q$ , i.e., there is an injective homomorphism  $i : \mathbf{G} \rightarrow \text{GL}_n(\overline{\mathbb{F}}_p)$  for some  $n$  such that  $i \circ F = \tilde{F}_q \circ i$ , as in [2, 1.17](#). A finite Chevalley group  $G$  is the group defined as the set of fixed points of  $\mathbf{G}$  under  $F$ . From now on, we fix an  $F$ -stable maximal torus  $\mathbf{T}$  of  $\mathbf{G}$  and an  $F$ -stable Borel subgroup  $\mathbf{B}$  of  $\mathbf{G}$  containing  $\mathbf{T}$ . Let  $\mathbf{U}$  be the unipotent radical of  $\mathbf{B}$ . Then  $\mathbf{B} = \mathbf{U} \rtimes \mathbf{T}$ , and correspondingly  $B = U \rtimes T$ , where  $B, T$ , and  $U$  are the fixed points under  $F$  of  $\mathbf{B}, \mathbf{T}$ , and  $\mathbf{U}$ , respectively. If  $G$  is a group of type  $Y$  and rank  $r$ , i.e.,  $G = Y_r(q)$ , then the group  $U$  will also be denoted by  $UY_r(q)$  in what follows.

Let  $\Phi$  be the root system of  $\mathbf{G}$  corresponding to the chosen  $\mathbf{T}$ , and let  $r$  be the rank of  $\Phi$ . Let  $\{\alpha_1, \dots, \alpha_r\}$  be the subset of all positive simple roots of  $\Phi$  with respect to the choice of  $\mathbf{B}$ , whose enumeration agrees with the records of GAP4 [6](#). Let  $\Phi^+$  be the set of positive roots of



$\Phi$ , and let  $N := |\Phi^+|$ . Recall the partial order on  $\Phi^+$ , defined by  $\alpha < \beta$  if and only if  $\beta - \alpha$  is a positive combination of simple roots. We then choose an enumeration of the elements  $\alpha_1, \dots, \alpha_N$  of  $\Phi^+$  in such a way that  $i < j$  whenever  $\alpha_i < \alpha_j$ , which also agrees with the enumeration in GAP4.

For every  $\alpha \in \Phi^+$  there exists a monomorphism  $x_\alpha : \overline{\mathbb{F}}_p \rightarrow \mathbf{U}$  satisfying the so-called Chevalley relations (see [8, Theorem 1.12.1](#)). We denote by  $U_\alpha$  (resp.,  $X_\alpha$ ) the root subgroup of  $\mathbf{U}$  (resp.,  $U$ ) corresponding to  $\alpha$ , defined as the image under  $x_\alpha$  of  $\overline{\mathbb{F}}_p$  (resp.,  $\mathbb{F}_q$ ). For every  $1 \leq i \leq N$ , we usually write  $x_i$  and  $X_i$  in place of  $x_{\alpha_i}$  and  $X_{\alpha_i}$ , respectively. Each element  $u \in \mathbf{U}$  (resp.,  $U$ ) is written uniquely as

$$u = x_1(d_1)x_2(d_2) \dots x_N(d_N) = \prod_{i=1}^N x_i(d_i),$$

where  $d_1, \dots, d_N \in \overline{\mathbb{F}}_p$  (resp.,  $\mathbb{F}_q$ ). In particular,  $U = \prod_{i=1}^N X_i$  is a Sylow  $p$ -subgroup of  $G$ . We recall the Chevalley commutator relation: for every  $s, t \in \mathbb{F}_q$  and  $\alpha, \beta \in \Phi^+$ ,

$$(2.1) \quad [x_\alpha(t), x_\beta(s)] = \prod_{i,j \in \mathbb{Z}_{>0} \mid i\alpha + j\beta \in \Phi^+} x_{i\alpha + j\beta}(c_{i,j}^{\alpha,\beta} (-s)^j t^i),$$

where  $c_{i,j}^{\alpha,\beta}$  are certain nonzero structure constants.

The prime  $p$  is said to be *very bad* for  $G$  if it divides some  $c_{i,j}^{\alpha,\beta}$ . This happens if and only if  $p = 2$  in types  $B_r, C_r, F_4$ , and  $G_2$  or  $p = 3$  in type  $G_2$ . In these cases, some  $c_{i,j}^{\alpha,\beta}$  are actually equal to  $\pm p$ . In all other cases, we have  $c_{i,j}^{\alpha,\beta} \in \{\pm 1\}$ . The prime  $p$  is called *bad* for  $G$  if it divides one of the coefficients in the decomposition of the highest root in  $\Phi^+$  as a linear combination of  $\alpha_1, \dots, \alpha_r$ . A prime  $p$  which is very bad for  $G$  is also bad for  $G$ . The primes  $p = 2$  for types  $D_r$  and  $E_i$  with  $i \in \{6, 7, 8\}$ ,  $p = 3$  for types  $F_4$  and  $E_i$  with  $i \in \{6, 7, 8\}$ , and  $p = 5$  for type  $E_8$  are all the bad primes which are not very bad. A prime  $p$  is called *good* for  $G$  when it is not a bad prime for  $G$ .

Finally, we describe some properties of nontrivial irreducible characters of  $\mathbb{F}_q$ . Let

$\text{Tr} : \mathbb{F}_q \rightarrow \mathbb{F}_p$  be the field trace with respect to the extension  $\mathbb{F}_q$  of  $\mathbb{F}_p$ . From now on, and for the rest of the work, we fix the irreducible character  $\phi$  of  $\mathbb{F}_q$  defined by  $x \mapsto e^{2\pi i \text{Tr}_{\mathbb{F}_q/\mathbb{F}_p}(x)/p}$ .

Then  $\ker(\phi) = \{t^p - t \mid t \in \mathbb{F}_q\}$ . Every other nontrivial irreducible character of  $\mathbb{F}_q$  is of the form  $\phi \circ m_a$ , where  $a \in \mathbb{F}_q^\times$  and  $m_a$  is the automorphism of  $\mathbb{F}_q^\times$  defined by multiplication by  $a$ . It is easy to see that  $\ker(\phi \circ m_a) = a^{-1} \ker(\phi)$ .

### 3. Pattern and quattern groups for all primes

In [11, Section 3](#) and [7, §2.3](#), the notion of patterns and quatterns, defined when  $p$  is not a very bad prime for  $G$ , are of major importance for the development of the methods for parametrizing  $\text{Irr}(U)$ . We now define the following generalization of pattern groups and normal pattern groups for arbitrary primes.

Let  $\mathcal{P} = \{\alpha_{i_1}, \dots, \alpha_{i_m}\}$  with  $1 \leq i_1 < \dots < i_m \leq N$  be a subset of  $\Phi^+$ . We define

$$X_{\mathcal{P}} := \{x_{i_1}(t_{i_1}) \cdots x_{i_m}(t_{i_m}) \mid t_{i_1}, \dots, t_{i_m} \in \mathbb{F}_q\}.$$

Considering each element in  $X_{\mathcal{P}}$  as an  $m$ -tuple, we have  $|X_{\mathcal{P}}| = q^{|\mathcal{P}|}$ . Further, if  $X_{\mathcal{P}}$  is a group, then it is independent on the order of the  $\alpha_{i_k}$ 's in  $\mathcal{P}$ . Here the  $\alpha_{i_k}$ 's are usually ordered by increasing indices if not otherwise specified. So the set  $X_{\mathcal{P}}$  is well-defined under this order setup. We are mostly interested in those subsets  $\mathcal{P}$  of  $\Phi^+$  such that  $X_{\mathcal{P}}$  is a group.

#### Proposition 3.1.

Let  $\mathcal{P} = \{\alpha_{i_1}, \dots, \alpha_{i_m}\} \subseteq \Phi^+$  with  $1 \leq i_1 < \dots < i_m \leq N$ . Then  $X_{\mathcal{P}}$  is a group if and only if for every  $1 \leq j < k \leq m$  and every  $s_{i_j}, s_{i_k} \in \mathbb{F}_q$  we have

$$[x_{i_j}(s_{i_j}), x_{i_k}(s_{i_k})] \in X_{\mathcal{P}}.$$

#### Proof.

For this proof, we write  $X_{\mathcal{P}}$  as  $X_{\mathcal{P}_m}$  and arrange positive roots in  $\mathcal{P}$  in decreasing order. It suffices to prove the if-part of the above statement by induction on  $m$ . Let us assume that  $[x_{i_j}(s_{i_j}), x_{i_k}(s_{i_k})] \in X_{\mathcal{P}_m}$  for each  $1 \leq j < k \leq m$  and  $s_{i_j}, s_{i_k} \in \mathbb{F}_q$ . Recall that  $X_{\alpha_i}$  is itself a group for all  $i$ . The claim clearly holds for  $m = 1$ . For some  $m > 1$ , it is enough to show that  $xy \in X_{\mathcal{P}_m}$  for all  $x, y \in X_{\mathcal{P}_m}$ . Write  $x = ax'$  and  $y = by'$  for some  $a, b \in X_{\mathcal{P}_{m-1}}$  and  $x', y' \in X_{\alpha_{i_m}}$ . We have

$$xy = ax'by' = ax'bx'^{-1}b^{-1}bx'y' = a[x'^{-1}, b^{-1}]bx'y'.$$

By the decreasing order of positive roots in  $\mathcal{P}$  and the hypothesis, we have

$$\begin{aligned} [x_{\alpha_{i_m}}, x_{\alpha_i}] &\in X_{\mathcal{P}_{m-1}} \text{ for all } i \leq m. \text{ Due to the formula } [r, st] = [r, t][r, s]^t, \text{ we have} \\ [x', b] &\in X_{\mathcal{P}_{m-1}} \text{ by induction hypothesis. Thus,} \\ xy &= (a[x'^{-1}, b^{-1}]b)(x'y') \in X_{\mathcal{P}_{m-1}} X_{\alpha_{i_m}} = X_{\mathcal{P}_m}. \end{aligned}$$

If the conditions of Proposition 3.1 are satisfied, we say that  $X_{\mathcal{P}}$  is a *pattern group*.

### Example 3.2.

Consider  $UB_2(q)$ , and let  $\alpha_1$  (resp.,  $\alpha_2$ ) be its long (resp., short) simple root. Let  $\mathcal{P} := \{\alpha_2, \alpha_1 + \alpha_2\}$ . Then  $X_{\mathcal{P}}$  is a pattern group if and only if  $p = 2$ . Notice that  $\mathcal{P}$  is *not* a pattern in the sense of 11, Definition 3.1.

We would like to have a notion of normality of pattern groups. By applying the same method as in the proof of Proposition 3.1, it is straightforward to prove the following.

### Proposition 3.3.

Let  $\mathcal{P} = \{\alpha_{i_1}, \dots, \alpha_{i_m}\} \subseteq \Phi^+$  be such that  $X_{\mathcal{P}}$  is a pattern group. Assume  $\mathcal{N} = \{\alpha_{j_1}, \dots, \alpha_{j_n}\} \subseteq \mathcal{P}$ . Then  $X_{\mathcal{N}}$  is a normal subgroup of  $X_{\mathcal{P}}$  if and only if for every  $1 \leq k \leq m$  and  $1 \leq \ell \leq n$  and every  $s_{i_k}, s_{j_\ell} \in \mathbb{F}_q$  we have

$$[x_{i_k}(s_{i_k}), x_{j_\ell}(s_{j_\ell})] \in X_{\mathcal{N}}.$$

Under the assumptions of Proposition 3.3, we say that  $X_{\mathcal{N}}$  is a *normal pattern subgroup* of the pattern group  $X_{\mathcal{P}}$ .

### Example 3.4.

Consider  $UG_2(q)$ , and let  $\alpha_1$  (resp.,  $\alpha_2$ ) be its long (resp., short) simple root. Set  $\mathcal{P} := \Phi^+$  and  $\mathcal{N} := \{\alpha_1 + 2\alpha_2\}$ . Then  $X_{\mathcal{N}}$  is a normal pattern group of  $X_{\mathcal{P}}$  if and only if  $p = 3$  (see 16, §3 for a full parametrization of  $UG_2(3^f)$ ). Notice that  $\mathcal{N}$  is *not* a normal pattern in  $\Phi^+$  in the sense of 11, Definition 3.2.

Pattern groups over bad primes can readily be determined by using GAP4 in terms of the behaviour of the positive roots. We highlight this in the following proposition.

### Proposition 3.5.

Let  $\mathcal{P}, \mathcal{N} \subseteq \Phi^+$ , and assume  $p = 2$  is a very bad prime for  $G$ .

(1) The set  $X_{\mathcal{P}}$  is a pattern group if and only if for every  $\alpha, \beta \in \Phi^+$ , we have that

$$\alpha + \beta \in \Phi^+ \text{ and } \alpha - \beta \notin \Phi \implies \alpha + \beta \in \mathcal{P}.$$

(2) Let  $X_{\mathcal{P}}$  be a pattern group. Then  $X_{\mathcal{N}}$  is a normal pattern subgroup of  $X_{\mathcal{P}}$  if and only if for every  $\alpha \in \mathcal{P}$  and  $\delta \in \mathcal{N}$ , we have that

$$\alpha + \delta \in \Phi^+ \text{ and } \alpha - \delta \notin \Phi \implies \alpha + \delta \in \mathcal{N}.$$

## Proof.

This comes directly from equation 2.1 and the fact that all the structure constants  $c_{1,2}^{\alpha,\beta}$  and  $c_{2,1}^{\alpha,\beta}$  vanish for every  $\alpha, \beta \in \Phi^+$  when  $p = 2$  (see 1, Chapter 4). ■

Let  $X_{\mathcal{P}}$  be a pattern group, and let  $X_{\mathcal{N}}$  be a normal pattern subgroup of  $X_{\mathcal{P}}$ . We call  $S := \mathcal{P} \setminus \mathcal{N}$  a *quaternion*, and  $X_S := X_{\mathcal{P}}/X_{\mathcal{N}}$  the corresponding *quaternion group*. If  $X_{\mathcal{N}}$  is clear from the context, then by slight abuse of notation we identify  $X_S$  with a transversal of  $X_{\mathcal{N}}$  in  $X_{\mathcal{P}}$ , i.e.,  $X_S = \{x_{i_1}(t_{i_1}) \dots x_{i_k}(t_{i_k}) \mid \alpha_{i_1}, \dots, \alpha_{i_k} \in S, t_{i_1}, \dots, t_{i_k} \in \mathbb{F}_q, \alpha_{i_j} \in S \text{ and } t_{i_j} \in \mathbb{F}_q\}$ . Given such a set  $S$ , we define

$$\mathcal{Z}(X_S) := \{\gamma \in S \mid [X_{\gamma}, X_{\mathcal{P}}] \leq X_{\mathcal{N}}\}$$

and

$$\mathcal{D}(X_S) := \{\gamma \in \mathcal{Z}(X_S) \mid X_{\gamma} \cap [X_{\mathcal{P}}, X_{\mathcal{P}}] = 1\}.$$

Here, one can consider  $\mathcal{Z}(X_S)$  as the central root set and  $\mathcal{D}(X_S)$  as the isolated root set of  $S$ .

For fixed  $\mathcal{Z} \subseteq \mathcal{Z}(X_S)$ , define

$$\text{Irr}(X_S)_{\mathcal{Z}} := \{\chi \in \text{Irr}(X_S) \mid \chi|_{X_{\gamma}} \neq 1_{X_{\gamma}} \text{ for every } \gamma \in \mathcal{Z}\}.$$

We recall the following formula (see 17, Equation (3)):

$$(3.1) \quad \sum_{\chi \in \text{Irr}(X_S)_{\mathcal{Z}}} \chi(1)^2 = q^{|\mathcal{S} \setminus \mathcal{Z}|} (q-1)^{|\mathcal{Z}|}.$$



Finally, if  $\chi$  is an irreducible character of  $U$ , then we put

$$\text{rk}(\chi) := \{\alpha \in \Phi^+ \mid X_\alpha \subseteq \ker \chi\}.$$

### Proposition 3.6.

Let  $\chi \in \text{Irr}(U)$ . Then  $X_{\text{rk}(\chi)}$  is a normal pattern subgroup of  $U$ .

Conversely, let  $\mathcal{N} \subseteq \Phi^+$  be such that  $X_{\mathcal{N}}$  is a normal pattern subgroup in  $U$ . Then there exists  $\chi_{\mathcal{N}} \in \text{Irr}(U)$  such that  $\text{rk}(\chi_{\mathcal{N}}) = \mathcal{N}$ .

### Proof.

Let  $\chi \in \text{Irr}(U)$ . For every  $\alpha \in \text{rk}(\chi)$  and  $\beta \in \Phi^+$ , we claim that  $[x_\alpha(t), x_\beta(s)] \in X_{\text{rk}(\chi)}$  for all  $s, t \in \mathbb{F}_q$ ; this of course would imply  $x_\alpha(t)^{x_\beta(s)} \in X_{\text{rk}(\chi)}$ . We have  $\langle \alpha, \beta \rangle \in \{A_1 \times A_1, A_2, B_2, G_2\}$ . Due to the properties of  $\text{rk}(\chi)$ , it suffices to prove that for the cases  $\langle \alpha, \beta \rangle \in \{B_2, G_2\}$  the claim is true for all irreducible constituents of  $\chi|_{\langle X_\alpha, X_\beta \rangle}$ .

By [16, §3](#), the first statement holds for  $G$  of type  $G_2$  at any prime. From the fact that  $\text{UB}_2(q) \cong \text{UG}_2(q)/X_{3\alpha_1+2\alpha_2}X_{3\alpha_1+\alpha_2}$  and that  $\text{Irr}(\text{UG}_2(q))$  is partitioned by positive root sets as in [16, §3](#), if  $\alpha \in \text{rk}(\chi)$ , then both  $X_{\alpha+\beta}$  and  $X_{i\alpha+j\beta}$  are contained in  $\ker(\chi)$ ; thus, the claim follows.

For the converse, let  $\mathcal{N} \subseteq \Phi^+$  be such that  $X_{\mathcal{N}}$  is a normal pattern group in  $U$ . Set  $X := U/X_{\mathcal{N}} = X_{\Phi^+ \setminus \mathcal{N}}$ , and by slight abuse of notation write  $X_\alpha$  instead of  $X_\alpha X_{\mathcal{N}}$  for every root subgroup  $X_\alpha$ . Let  $\lambda \in \text{Irr}(\mathcal{Z}(X))$  be such that  $\lambda|_{X_\alpha} \neq 1_{X_\alpha}$  for all  $X_\alpha \leq \mathcal{Z}(X)$ . By properties of induction, for every constituent  $\chi \in \text{Irr}(X \mid \lambda)$  we have  $\chi|_{X_\alpha} = \chi(1)\lambda|_{X_\alpha}$  for all  $X_\alpha \leq \mathcal{Z}(X)$ . Thus,  $\text{rk}(\chi) = \emptyset$ . So the inflation  $\chi_{\mathcal{N}}$  of  $\chi$  to  $U$  satisfies  $\text{rk}(\chi_{\mathcal{N}}) = \mathcal{N}$ .

We now determine a partition of  $\text{Irr}(U)$  in terms of the so-called representable sets. We call  $\Sigma \subseteq \Phi^+$  a *representable set* if  $\Sigma = \mathcal{Z}(U/X_{\mathcal{N}})$  for some  $\mathcal{N} \subseteq \Phi^+$  such that  $X_{\mathcal{N}} \trianglelefteq U$ . Notice that if  $X_{\mathcal{N}_i} \trianglelefteq U$  and  $\Sigma_i := \mathcal{Z}(U/X_{\mathcal{N}_i})$  for  $i = 1, 2$ , then  $\Sigma_1 = \Sigma_2$  if and only if  $\mathcal{N}_1 = \mathcal{N}_2$ . Hence, given a representable set  $\Sigma \subseteq \Phi^+$ , we can define  $\mathcal{N}_\Sigma$  to be the unique set corresponding to a normal pattern group of  $U$  such that  $\mathcal{Z}(X_{\Phi^+ \setminus \mathcal{N}_\Sigma})\mathcal{Z}(U/X_{\mathcal{N}_\Sigma}) = \Sigma$ . For a representable set  $\Sigma$ , denote

$$\text{Irr}(U)_\Sigma := \{\text{Inf}_{U/X_{\mathcal{N}_\Sigma}}^U(\chi) \mid \chi \in \text{Irr}(U/X_{\mathcal{N}_\Sigma})_\Sigma\}.$$

## Remark 3.7.

When  $p$  is not a very bad prime for  $G$ , then the definition of representable sets given in this work is consistent with [11, Section 5](#).

The desired partition follows by [Proposition 3.6](#) and the uniqueness of  $\text{rk}(\chi)$  for every  $\chi \in \text{Irr}(U)$ .

## Proposition 3.8.

We have that

$$\text{Irr}(U) = \bigsqcup_{\Sigma \subseteq \Phi^+ \mid \Sigma \text{ representable}} \text{Irr}(U)_\Sigma.$$

Finally, we remark that all representable sets in low rank are determined by computer algebra. Namely, these are in bijection with normal pattern groups in  $\Phi^+$ , and [Proposition 3.5](#) gives a criterion to check whether a subset of  $\Phi^+$  gives rise to a normal pattern group in  $U$ . In this way, it is immediate to produce an efficient algorithm in GAP4 whose input is a record of the Chevalley relations and that gives all representable sets; see the function `repSetAll` in our GAP4 code in [15](#).

We collect the numbers of representable sets in rank 4 in [Table 1](#). Notice that these numbers are the same as in [11, Table 2](#) when  $p$  is not a very bad prime for  $G$ , namely they coincide with the numbers of antichains in  $\Phi^+$ . On the other hand, fixed a type in [Table 1](#) for which 2 is a very bad prime, we see that the number of representable sets for  $p = 2$  and for  $p \geq 3$  is considerably different.

## 4. Reduction algorithm

In this section we develop a reduction algorithm for the study of the sets  $\text{Irr}(U)_\Sigma$  with  $\Sigma \subseteq \Phi^+$  representable, which is an adaptation of [7, Algorithm 3.3](#). Namely, we establish a bijection between a set of the form  $\text{Irr}(X_S)_\mathcal{Z}$ , with  $\mathcal{Z} \subseteq \mathcal{Z}(X_S)$ , and a set  $\text{Irr}(X_{S'})_{\mathcal{Z}'}$ , with  $\mathcal{Z}' \subseteq \mathcal{Z}(X_{S'})$ , where  $|S'| \leq |S|$ . More precisely, our goal is to develop an algorithm which takes  $\Sigma$  as input, and outputs the decomposition

$$\text{Irr}(U)_\Sigma \longleftrightarrow \bigsqcup_{\mathfrak{C} \in \mathcal{D}_1} \text{Irr}(X_S)_\mathcal{Z} \sqcup \bigsqcup_{\mathfrak{C} \in \mathcal{D}_2} \text{Irr}(X_S)_\mathcal{Z},$$

where  $\mathfrak{C}$  is a tuple  $(S, \mathcal{Z}, \mathcal{A}, \mathcal{L}, \mathcal{K})$  of positive roots, and the sets  $\mathfrak{D}_1$  and  $\mathfrak{D}_2$  are a measure for the complication of the parametrization of the characters of  $\text{Irr}(X_S)_{\mathcal{Z}}$ .

The set  $\mathfrak{D}_1$  contains all families of characters whose parametrization is immediately provided by the algorithm. The remaining families in  $\mathfrak{D}_2$ , whose study requires more work, almost always highlight a pathology of the group  $U$  at very bad primes. For example, they often contain characters whose degree is not a power of  $q$ . The families in  $\mathfrak{D}_2$  shall be in turn reduced to few enough cases to be studied in an ad hoc way.

We introduce the following notation, in a similar way as in [7, §2.3](#). Assume that  $X_{S_i}$  is a quatern group with respect to  $\mathcal{P}_i$  and  $\mathcal{N}_i$  for  $i = 1, 2$ . If  $\mathcal{P}_1 = \mathcal{P}_2$  and  $\mathcal{N}_1 \supseteq \mathcal{N}_2$ , for  $\mathcal{L} := \mathcal{N}_1 \setminus \mathcal{N}_2$  we define  $\text{Inf}_{\mathcal{L}}$  to be the inflation from  $X_{S_1}$  to  $X_{S_2}$ , and if  $\mathcal{L} = \{\alpha\}$  we put  $\text{Inf}_{\alpha} := \text{Inf}_{\mathcal{L}}$ . If  $\mathcal{N}_1 = \mathcal{N}_2$  and  $\mathcal{P}_1 \subseteq \mathcal{P}_2$ , for  $\mathcal{T} := \mathcal{P}_2 \setminus \mathcal{P}_1$  we define  $\text{Ind}_{\mathcal{T}}$  to be the induction from  $X_{S_1}$  to  $X_{S_2}$ , and if  $\mathcal{T} = \{\alpha\}$  we put  $\text{Ind}^{\alpha} := \text{Ind}_{\mathcal{T}}$ .

We need the following adaptation of [7, Lemma 3.1](#). The proof repeats mutatis mutandis.

### Proposition 4.1.

Let  $S = \mathcal{P} \setminus \mathcal{K}$  be such that  $X_S$  is a quatern group, and let  $\mathcal{Z} \subseteq \mathcal{Z}(X_S)$ . Suppose that there exist  $\gamma \in \mathcal{Z}$  and  $\delta, \beta \in S \setminus \{\gamma\}$  satisfying:

- (1)  $[x_{\beta}(s), x_{\delta}(t)] = x_{\gamma}(cs^i t^j)$  for some  $c \neq 0$  and  $i, j \in \mathbb{Z}_{\geq 1}$ ,
- (2)  $[X_{\alpha}, X_{\alpha'}] \cap X_{\beta} = 1$  for all  $\alpha, \alpha' \in S$ , and
- (3)  $[X_{\alpha}, X_{\delta}] = 1$  for every  $\alpha \in S \setminus \{\beta\}$ .

Define  $\mathcal{P}' := \mathcal{P} \setminus \{\beta\}$ ,  $\mathcal{K}' := \mathcal{K} \cup \{\delta\}$ , and  $S' := \mathcal{P}' \setminus \mathcal{K}'$ . Then  $X_{\mathcal{P}'}$  is a pattern group and  $X_{\mathcal{K}'} \trianglelefteq X_{\mathcal{P}'}$ , i.e.,  $X_{S'}$  is a quatern group. Moreover, we have a bijection

$$\begin{aligned} \text{Irr}(X_{S'})_{\mathcal{Z}} &\rightarrow \text{Irr}(X_S)_{\mathcal{Z}}, \\ \chi &\mapsto \text{Ind}^{\beta} \text{Inf}_{\delta} \chi \end{aligned}$$

by inflating over  $X_{\delta}$  and inducing to  $X_S$  over  $X_{\beta}$ .

We now proceed to illustrate the adaptation of [7, Algorithm 3.3](#). At each step, we assume that the tuple  $\mathfrak{C} = (S, \mathcal{Z}, \mathcal{A}, \mathcal{L}, \mathcal{K})$  is constructed and currently taken into consideration.

**Input.** Our input is a representable set  $\Sigma$ . We initialize  $\mathfrak{C}$  by putting  $S = \Phi^+ \setminus \mathcal{N}_\Sigma$ ,  $\mathcal{Z} = \mathcal{Z}(X_S)$ , and  $\mathcal{A} = \mathcal{L} = \mathcal{K} = \emptyset$  and  $\mathfrak{D}_1 = \mathfrak{D}_2 = \emptyset$ .

**Step 1.** If  $S = \mathcal{Z}(X_S)$ , then add the element  $\mathfrak{C}$  to  $\mathfrak{D}_1$ .

**Step 2.** If  $S \neq \mathcal{Z}(X_S)$  and at least one triple  $(\gamma, \beta, \delta)$  of positive roots satisfies the assumptions of [Proposition 4.1](#), then we choose from those the triple to be the unique one having minimal  $\beta$  among the ones having maximal  $\delta$  (with respect to the linear ordering on  $\Phi^+$ ), and we put  $\mathfrak{C}' := (S', \mathcal{Z}, \mathcal{A}', \mathcal{L}', \mathcal{K}')$ , with

$$S' = S \setminus \{\beta, \delta\}, \quad \mathcal{A}' = \mathcal{A} \cup \{\beta\}, \quad \mathcal{L}' = \mathcal{L} \cup \{\delta\}, \quad \text{and} \quad \mathcal{K}' = \mathcal{K} \cup \{\delta\}.$$

Go back to Step 1 with  $\mathfrak{C}'$  in place of  $\mathfrak{C}$ .

**Step 3.** If  $S \neq \mathcal{Z}(X_S)$ ,  $\mathcal{Z}(X_S) \setminus (\mathcal{Z} \cup D(X_S)) \neq \emptyset$ , and no triple of positive roots satisfies [Proposition 4.1](#), then in a similar way as in [17, §2.4](#), we choose the maximal element  $\gamma$  in  $\mathcal{Z}(X_S) \setminus (\mathcal{Z} \cup D(X_S))$ , and we put

$$S' = S \setminus \{\gamma\}, \quad \mathcal{K}' = \mathcal{K} \cup \{\gamma\}, \quad \text{and} \quad \mathcal{Z}'' = \mathcal{Z} \cup \{\gamma\}.$$

Go back to Step 1 carrying each of

$$\mathfrak{C}' := (S', \mathcal{Z}, \mathcal{A}, \mathcal{L}, \mathcal{K}') \quad \text{and} \quad \mathfrak{C}'' := (S, \mathcal{Z}'', \mathcal{A}, \mathcal{L}, \mathcal{K}).$$

**Step 4.** If  $S \neq \mathcal{Z}(X_S)$ ,  $\mathcal{Z}(X_S) \setminus (\mathcal{Z} \cup D(X_S)) = \emptyset$ , and no triple of positive roots satisfies [Proposition 4.1](#), then add  $\mathfrak{C}$  to  $\mathfrak{D}_2$ .

The elements  $\mathfrak{C}$  in the set  $\mathfrak{D}_1$  (resp.,  $\mathfrak{D}_2$ ) are called the *abelian* (resp., *nonabelian*) cores of  $U$ , as the corresponding groups  $X_S$  are abelian (resp., nonabelian). As in [17](#), we sometimes write  $(S, \mathcal{Z})$  for short for the core  $\mathfrak{C} = (S, \mathcal{Z}, \mathcal{A}, \mathcal{L}, \mathcal{K})$ . By [Proposition 4.1](#), the algorithm provides a partition of  $\text{Irr}(U)$ . An element of this partition is a set

$$\{\text{Inf}_{\mathcal{N}_\Sigma} \text{Ind}^{\mathcal{A}} \text{Inf}_{\mathcal{K}}(\chi) \mid \chi \in \text{Irr}(X_S)_{\mathcal{Z}}\} \subseteq \text{Irr}(U),$$

where  $(S, \mathcal{Z})$  is a core corresponding to a representable set  $\Sigma$ . If  $\mathfrak{C}$  is an abelian core, then the set  $\text{Irr}(X_S)_{\mathcal{Z}}$  is easily parametrized, as well as the set on the left-hand side of the above inclusion. Thus, the main problem remains to study nonabelian cores.



Notice that by fixed a core  $(S, \mathcal{Z}, \mathcal{A}, \mathcal{L}, \mathcal{K})$ , one can reconstruct  $S$  from  $\mathcal{A}$ ,  $\mathcal{L}$ , and  $\mathcal{K}$ . Namely, if we put  $\mathcal{P} := \Phi^+ \setminus \mathcal{A}$  and  $\mathcal{N} := \mathcal{L} \cup \mathcal{K}$ , then  $S = \mathcal{P} \setminus \mathcal{N}$  as a quattern. On the contrary, the set  $S$  itself does not encode the whole information given by  $\mathcal{A}$ ,  $\mathcal{L}$ , and  $\mathcal{K}$ . These sets will be made explicit in what follows wherever needed. The set  $\mathcal{Z}$  is a nontrivial subset of  $\mathcal{Z}(S)$ , hence this also has to be specified unless  $|\mathcal{Z}(S)| = 1$ .

In a similar way as in 17, §2.4, given a nonabelian core  $\mathfrak{C}$ , by slight abuse of notation, we identify the sets  $S$  and  $\mathcal{Z}$  with  $S \setminus D(X_S)$  and  $\mathcal{Z} \setminus D(X_S)$ , respectively. Namely, we have that  $X_S = X_{S \setminus D(X_S)} \times X_{D(X_S)}$ , hence

$$\text{Irr}(X_S)_{\mathcal{Z}} = \text{Irr}(X_{S \setminus D(X_S)})_{\mathcal{Z} \setminus D(X_S)} \times \text{Irr}(X_{D(X_S)})_{\mathcal{Z} \cap D(X_S)},$$

and the set  $\text{Irr}(X_{D(X_S)})_{\mathcal{Z} \cap D(X_S)}$  is readily parametrized since  $X_{D(X_S)}$  is abelian.

We say that a nonabelian core  $\mathfrak{C}$  corresponding to  $S$  and  $\mathcal{Z}$  is a  $[z, m, c]$ -core if

- $|\mathcal{Z}| = z$ ,
- $|S| = m$ , and
- there are exactly  $c$  pairs  $(i, j)$  with  $i < j$  corresponding to nontrivial Chevalley relations in  $X_S$ , i.e., such that  $x_i(s), x_j(t) \in X_S$  for all  $s, t \in \mathbb{F}_q$  and  $[x_i(s), x_j(t)] \neq 1$ .

We also say that the triple  $[z, m, c]$  is the *form* of the core  $\mathfrak{C}$ . Recall that nonabelian core forms can be easily read from the output of the algorithm described above and implemented in GAP4.

We finish by recalling the forms in groups of rank 4. For type  $A_4$  there are no nonabelian cores at any prime. As in 7, Section 4, there is just one  $[3, 10, 9]$ -core in type  $B_4$  for  $p \geq 3$ , and in type  $D_4$  for arbitrary primes, there are 6 nonabelian cores of different forms in type  $F_4$  for  $p \geq 3$ , and there are no nonabelian cores in type  $C_4$  for  $p \geq 3$ . In the case of  $UB_4(2^f) \cong UC_4(2^f)$  we have 51 nonabelian cores of the form  $[2, 4, 1]$ , one  $[4, 8, 2]$ -core and one  $[4, 11, 6]$ -core. Finally, we collect in the first two columns of Table 3 the 11 triples  $[z, m, c]$  giving rise to a nonabelian core of  $UF_4(2^f)$  and the number of cores of a fixed form.

## Remark 4.2.

Recall that two cores  $(S, \mathcal{Z})$  and  $(S', \mathcal{Z}')$  are isomorphic if  $X_S$  and  $X_{S'}$  are isomorphic. In contrast with 17, Theorem 4, we have that two cores with the same form  $[z, m, c]$  are *not* necessarily isomorphic. Namely, from Table 3 of  $UF_4(2^f)$ , the cores of the form  $[4, 8, 4]$  split into at least two nonisomorphic classes, since there exist sets of the form  $\text{Irr}(X_S)_{\mathcal{Z}}$  whose parametrization is evidently different as  $(S, \mathcal{Z})$  runs into  $[4, 8, 4]$ -cores, and so do cores of the form  $[4, 12, 9]$  and  $[5, 9, 4]$ .

On the other hand, it is easy to check that whenever 2 is a very bad prime for  $G$ , any core of the form  $[2, 4, 1]$  is isomorphic to the  $B_2$ -core of the form  $[2, 4, 1]$  corresponding to  $S = \Phi^+ = \{\alpha_1, \dots, \alpha_4\}$  and  $\mathcal{Z} = \mathcal{Z}(X_S) = \{\alpha_3, \alpha_4\}$ . Its study is well known; see for instance 20, §7. Thus  $F_4(2^f)$  is the Chevalley group of minimum rank in whose Sylow  $p$ -subgroup we find nonisomorphic  $[z, m, c]$ -cores.

## 5. Reducing nonabelian cores

By virtue of Section 4, the focus from now on is on the study of the families  $\text{Irr}(X_S)_{\mathcal{Z}}$  where  $\mathfrak{C} = (S, \mathcal{Z})$  is a nonabelian core. Our methods will again involve inflation and induction from smaller subquotients. The groups involved in our procedure need no longer be root subgroups. In particular, we need to deal with diagonal subgroups of products of root subgroups of  $U$ . In order to do this, we need the following result from 17, §4.1, which we recall here in a more compact form.

### Proposition 5.1.

Let  $V$  be a finite group. Let  $H \leq V$ , and let  $X$  be a transversal of  $H$  in  $V$ . Assume that there exist subgroups  $Y$  and  $Z$  of  $H$ , and  $\lambda \in \text{Irr}(Z)$ , such that

- (i)  $Z$  is a central subgroup of  $V$ ,
- (ii)  $Y$  is a central subgroup of  $H$ ,
- (iii)  $Z \cap Y = 1$ ,
- (iv)  $[X, Y] \subseteq Z$ , and
- (v)  $Y' := \text{Stab}_Y(\lambda)$  has a complement  $\tilde{Y}$  in  $Y$ .

Let  $X' := \text{Stab}_X(\lambda)$ , and let  $H' := HX'$ . Then  $H' = \text{Stab}_V(\text{Inf}_Z^{Z\tilde{Y}}(\lambda))$  is a subgroup of  $V$  such that  $\tilde{Y} \ker(\lambda) \trianglelefteq H'$ , and we have a bijection

$$\text{Ind}_{H'}^V \text{Inf}_{H'/\tilde{Y} \ker(\lambda)}^{H'} : \text{Irr}(H'/\tilde{Y} \ker(\lambda) \mid \lambda) \longrightarrow \text{Irr}(V \mid \lambda).$$

Throughout the rest of the work, we keep the notation of Proposition 5.1 for the group  $V$ , its subquotients, and  $\lambda \in \text{Irr}(Z)$  satisfying assumptions (i)–(v). These will be specified in each case taken into consideration. From now on, let  $\tilde{X}$  denote a transversal of  $HX'$  in  $V$ . We use the terminology of 17, Definition 10, and we call  $\tilde{X}$  and  $\tilde{Y}$  an *arm* and a *leg* of  $X_S$ , respectively, and  $X$  and  $Y$  a *candidate for an arm* and a *candidate for a leg* in  $X_S$ , respectively.

In the case when  $V = X_S$ , the check of the validity of the assumptions of Proposition 5.1 translates into a condition on the underlying set of positive roots involved, which can be carried out by computer investigation. In particular, 17, Corollary 13 generalizes in the following way when  $p$  is a very bad prime for  $G$ .

## Corollary 5.2.

Let  $S \subseteq \Phi^+$  be such that  $X_S$  is a quaternion group. Assume that there exist subsets  $\mathcal{Z}$ ,  $\mathcal{I}$ , and  $\mathcal{J}$  of  $S$  such that

- (0)  $X_{S \setminus \mathcal{I}}$  is a quaternion group,
- (i)  $\mathcal{Z} \subseteq \mathcal{Z}(X_S)$ ,
- (ii)  $\mathcal{J} \subseteq \mathcal{Z}(X_{S \setminus \mathcal{I}})$ ,
- (iii)  $\mathcal{J} \cap \mathcal{Z} = \emptyset$ , and
- (iv) if  $\alpha \in \mathcal{I}$ ,  $\beta \in \mathcal{J}$  and  $[X_\alpha, X_\beta] \neq 1$ , then  $[X_\alpha, X_\beta] \subseteq X_{\mathcal{Z}}$ .

Let us put  $Z = X_{\mathcal{Z}}$ ,  $X = X_{\mathcal{I}}$ ,  $Y = X_{\mathcal{J}}$ , and  $H = X_{S \setminus \mathcal{I}}$ . In the notation of Proposition 5.1, we have a bijection

$$\text{Ind}_{\tilde{H}}^{X_S} \text{Inf}_{H'/\tilde{Y} \ker(\lambda)}^{H'} : \text{Irr}(H'/\tilde{Y} \ker(\lambda) \mid \lambda) \longrightarrow \text{Irr}(X_S \mid \lambda).$$

Let  $\mathfrak{C} = (S, \mathcal{Z})$  be a fixed nonabelian core. In order to find sets  $\mathcal{I}$  and  $\mathcal{J}$  as in Corollary 5.2, we define the following generalization of the graph in 17, §4.2. Define a graph  $\Gamma$  in the following way. The vertices are labelled by elements in  $S$ , and there is an edge between  $\alpha$  and  $\beta$

if and only if  $1 \neq [x_\alpha(s), x_\beta(t)] \in X_Z$  for some  $s, t \in \mathbb{F}_q$ .

We have the notion of connected components and circles in  $\Gamma$  as in 17, §4.2. The *heart* of  $\Gamma$ , which we usually denote by  $\mathcal{H}$ , is the set of roots in  $S$  whose corresponding vertex in  $\Gamma$  has valency zero. We say that  $\mathfrak{C}$  is a *heartless core* if  $\mathcal{H} = \emptyset$ .

In Chevalley groups of rank 4 or less, the shape of each connected component of  $\Gamma$  with at least one edge is verified to be as follows. We have either a linear tree, or a union of circles together with possibly few subgraphs isomorphic to linear trees which share with it exactly a vertex (see the second graph in Figure 1). In particular, the shape of the graph  $\Gamma$  is different from the ones of the graphs obtained in 17, §4.2 due to the existence of vertices of valency 1; these correspond to roots which form a  $B_2$ -subsystem with its unique neighbor in  $\Gamma$ . Hence we need a new method to define the sets  $\mathcal{I}$  and  $\mathcal{J}$ .

We assume, without loss of generality, that  $\Gamma$  is a connected graph with at least one edge. We now construct *uniquely defined* candidates for the sets  $\mathcal{I}$  and  $\mathcal{J}$  in this case such that  $S \setminus \mathcal{H} = \mathcal{I} \cup \mathcal{J}$ . The reason why such constructed  $\mathcal{I}$  and  $\mathcal{J}$  are likely to satisfy the assumptions of Corollary 5.2 lies in the fact that the induced graph  $\Gamma|_{\mathcal{I}}$  has no edges. That is, no elements of  $\mathcal{I}$  are connected to each other. In fact, as in 17, Remark 14, if  $\mathfrak{C}$  is a heartless core, then such  $\mathcal{I}$  and  $\mathcal{J}$  do indeed satisfy the conditions of Corollary 5.2.

We recall the natural notion of a distance  $d$  defined on the vertices of a linear tree  $\Delta$ . Let  $\epsilon$  and  $\delta$  be two vertices in  $\Delta$ . If  $\epsilon = \delta$ , then we put  $d(\epsilon, \delta) = 0$ . Assume that  $\epsilon \neq \delta$ . Then we define  $d(\epsilon, \delta) = s$  if and only if there exist  $s$  edges  $\{\beta_i, \beta_{i+1}\}$  for  $i = 1, \dots, s$  such that  $\beta_1 = \epsilon$ ,  $\beta_{s+1} = \delta$ , and  $\beta_i \neq \beta_j$  if  $i \neq j$ .

The construction of  $\mathcal{I}$  and  $\mathcal{J}$  is as follows. We first assume that  $\Gamma$  is a linear tree with set of vertices  $V$ .

- Let  $\delta$  be the maximal root in  $\Gamma$  with respect to the previously fixed linear ordering of  $\Phi^+$ . Then we set  $\mathcal{J}_0 := \{\delta\}$ , and for each  $k \geq 1$  we define

$$\mathcal{I}_k := \{\beta \in V \mid d(\beta, \delta) = 2k - 1\} \quad \text{and} \quad \mathcal{J}_k := \{\beta \in V \mid d(\beta, \delta) = 2k\}.$$

Finally, we define

$$\mathcal{I} := \bigcup_k \mathcal{I}_k \quad \text{and} \quad \mathcal{J} := \bigcup_k \mathcal{J}_k.$$



We now assume that the union  $C(\Gamma)$  of all circles in  $\Gamma$  is nonempty.

- We first follow the same procedure as in 17, §4.2, namely we suitably enumerate the distinct circles  $C_1, \dots, C_t$  of  $\Gamma$  and we construct the sets  $\mathcal{I}_1, \dots, \mathcal{I}_t$  and  $\mathcal{J}_1, \dots, \mathcal{J}_t$  accordingly such that  $\mathcal{I}_t \cup \mathcal{J}_t = C(\Gamma)$ .

- Let  $\mathcal{T}$  be the set of subgraphs attached to  $C(\Gamma)$  and isomorphic to linear trees. As previously remarked, if  $\Delta \in \mathcal{T}$ , then  $\Delta$  and  $C(\Gamma)$  share a unique vertex, say  $\delta$ . Let  $V$  be the set of vertices of  $\Delta$ . If  $\delta \in \mathcal{J}_t$ , then we set  $\mathcal{J}_0(\Delta) := \{\delta\}$ , and for each  $k \geq 1$  we define

$$\mathcal{I}_k(\Delta) := \{\beta \in V \mid d(\beta, \delta) = 2k - 1\} \quad \text{and} \quad \mathcal{J}_k(\Delta) := \{\beta \in V \mid d(\beta, \delta) = 2k\}.$$

Otherwise, we have that  $\delta \in \mathcal{I}_t$  since  $\mathcal{I}_t \cup \mathcal{J}_t = C(\Gamma)$ . In this case, we set  $\mathcal{I}_0(\Delta) := \{\delta\}$ , and for every  $k \geq 1$  we define

$$\mathcal{I}_k(\Delta) := \{\beta \in V \mid d(\beta, \delta) = 2k\} \quad \text{and} \quad \mathcal{J}_k(\Delta) := \{\beta \in V \mid d(\beta, \delta) = 2k - 1\}.$$

We then put

$$\mathcal{I}(\Delta) := \bigcup_k \mathcal{I}_k(\Delta) \quad \text{and} \quad \mathcal{J}(\Delta) := \bigcup_k \mathcal{J}_k(\Delta).$$

- Finally, we define

$$\mathcal{I} := \mathcal{I}_t \cup \bigcup_{\Delta \in \mathcal{T}} \mathcal{I}(\Delta) \quad \text{and} \quad \mathcal{J} := \mathcal{J}_t \cup \bigcup_{\Delta \in \mathcal{T}} \mathcal{J}(\Delta).$$

The general ideas of the construction just outlined are summarized in the two examples in Figure 1 which relate to the families  $\mathcal{F}_{7,1}$  and  $\mathcal{F}_{10}$  of  $\text{Irr}(\text{UF}_4(2^f))$  in Table 3.

We easily check, as remarked beforehand, that if  $\mathcal{H} = \emptyset$ , then the  $\mathcal{I}$  and  $\mathcal{J}$  satisfy the assumptions of Corollary 5.2. Moreover, all the nonabelian cores arising in rank 4 or less at very bad primes are heartless, except the  $[3, 10, 9]$ -core in  $\text{UF}_4(2^f)$  which has already been studied in 10; it is an immediate check that the  $\mathcal{I}$  and  $\mathcal{J}$  previously defined satisfy the conditions of Corollary 5.2 in this case as well. In the same fashion as 17, Lemma 18 (see the function `findCircleZ` in 15), we get the following result by a GAP4 implementation.

### Lemma 5.3.

Let  $G$  be a finite Chevalley group of type  $Y$  and rank  $r$ , and let  $\mathfrak{C}$  be a nonabelian core of  $\text{UY}_r(p^f)$ . If  $r \leq 4$ , and if  $p = 2$  is a very bad prime for  $G$ , then the sets  $\mathcal{I}$  and  $\mathcal{J}$  constructed as above satisfy the assumptions of Corollary 5.2.

We conclude this section with an equality that will be repeatedly used in the sequel. Let us take a nonabelian core  $\mathfrak{C}$ , and let  $\mathcal{I} = \{i_1, \dots, i_m\}$  and  $\mathcal{J} = \{j_1, \dots, j_\ell\}$  be constructed as in Corollary 5.2. In order to determine  $X'$  and  $Y'$ , we need to study the equation  $\lambda([y, x]) = 1$  for  $x = x_{i_1}(t_{i_1}) \cdots x_{i_m}(t_{i_m}) \in X$  and  $y = x_{j_1}(s_{j_1}) \cdots x_{j_\ell}(s_{j_\ell}) \in Y$ . Its general form is

$$(5.1) \quad \phi\left(\sum_{h=1}^{\ell} \sum_{k=1}^m d_{h,k} s_{j_h}^{b_h} t_{i_k}^{c_k}\right) = 1,$$

for some  $d_{h,k} \in \mathbb{F}_q$  and  $(b_h, c_k) \in (\mathbb{Z}_{\geq 1})^2$ . Hence we have

$$X' = \{x_{i_1}(t_{i_1}) \cdots x_{i_m}(t_{i_m}) \text{ such that equation 5.1 holds for all } s_{j_1}, \dots, s_{j_\ell} \in \mathbb{F}_q^\ell\}$$

and

$$Y' = \{x_{j_1}(s_{j_1}) \cdots x_{j_\ell}(s_{j_\ell}) \text{ such that equation 5.1 holds for all } t_{i_1}, \dots, t_{i_m} \in \mathbb{F}_q^m\}.$$

### Remark 5.4.

Recall that all nonabelian cores in  $\text{UF}_4(2^f)$ , except the well-known  $[3, 10, 9]$ -core described in 7, §4.3, are heartless. That is, every index of a root in  $\mathcal{S} \setminus \mathcal{Z}$  is involved in equation 5.1. Our focus for the rest of the work will therefore be on the determination of the solutions of equation 5.1, which is enough to completely determine a parametrization of  $\text{Irr}(X_S)_{\mathcal{Z}}$  in the case of heartless cores.

### Remark 5.5.

Although two  $[z, m, c]$ -cores are not always isomorphic, we can still group them by means of equation 5.1. Namely, it is easy to see that two heartless cores for which equation 5.1 is the same up to a permutation of indices determine the same numbers of irreducible characters and corresponding degrees. We will say in the sequel that such cores have the same *branching*.

We collect in the third column of Table 3, for a fixed form  $[z, m, c]$ , the number of cores in  $\text{UF}_4(2^f)$  of that form that have the same branching. In general, this considerably decreases the number of nonabelian cores to study. For example, we see from Table 3 that it is sufficient to study 14 pairwise nonisomorphic nonabelian cores in type  $F_4$  when  $p = 2$ .

## 6. The parametrizations of $\text{Irr}(\text{UF}_4(2^{2k}))$ and $\text{Irr}(\text{UF}_4(2^{2k+1}))$

As an application of the method previously developed, we give the parametrization of  $\text{Irr}(U)$  when  $G = \text{F}_4(2^f)$ . The labelling for the positive roots and the Chevalley relations are as in §2.4. In this case, we have 190 representable sets. The characters of abelian cores are immediately parametrized via the algorithmic procedure of Section 4; in fact, such characters had already been parametrized in 5. Computerizing the algorithm in GAP4, we are left with examining 211 nonabelian cores. By Remarks 4.2 and 5.5, this can in turn be reduced to the study of 14 families, i.e. 14 sets of the form  $\mathcal{F} = \text{Irr}(X_S)_Z$  corresponding to configurations  $(S, Z)$  of nonabelian cores. We parametrize every character arising from nonabelian cores.

### Theorem 6.1.

All characters arising from nonabelian cores of  $\text{UF}_4(2^f)$  are parametrized, and their branching into 14 families of  $\text{Irr}(\text{UF}_4(2^f))$  are listed in Table 3.

We now explain how to read Table 3. The first column collects all the triples  $[z, m, c]$  that arise as forms of nonabelian cores as in Section 4. The second column collects the number of occurrences of a core of a fixed form, and the third column describes their branching as explained in Remark 5.5. Fixed a family  $\mathcal{F} = \text{Irr}(X_S)_Z$ , we gather in the fourth column of Table 3 the families  $\mathcal{F}^1, \dots, \mathcal{F}^m$ , where  $m$  is the number of different branchings. The different labelling for each  $1, \dots, m$  is reflected in the fifth column. This collects labels for an irreducible character of each family  $\mathcal{F}_i$  obtained as inflation/induction from an abelian subgroup of  $X_S$ , which is not necessarily a product of root subgroups and whose structure can be reconstructed by the indices of the labels. The convention for the letters  $a, b, c, d$  for such labels and their precise meaning are explained in 17, Section 5. Finally, we collect in the sixth column the number of irreducible characters of  $\mathcal{F}_i$ , and in the seventh column their degree.

The pathology of the case  $\text{Irr}(\text{UF}_4(q))$  when  $q = 2^f$  is quite rich. Notice that  $f = 2k$  if and only if  $q \equiv 1 \pmod{3}$ , and  $f = 2k + 1$  if and only if  $q \equiv -1 \pmod{3}$ . For the first time in the study of any of the sets  $\text{Irr}(U)$ , the parametrization is different according to the congruence class of  $q$  modulo 3. In fact, the families  $\mathcal{F}_{4,2}$ ,  $\mathcal{F}_{9,1}$ , and  $\mathcal{F}_{11}$  yield different numbers of characters according to whether  $f$  is even or odd. The expression of  $|\mathcal{F}|$  when  $\mathcal{F}$  is one of these families is *not* polynomial in  $q$ , but is PORC (Polynomial On Residue Classes) in  $q$ . Surprisingly,

the global numbers  $k(U, D)$  of irreducible characters of  $\text{Irr}(\text{UF}_4(q))$  of fixed degree  $D$  are the same for every  $D$  in both cases of  $f$  odd and  $f$  even. As remarked in the introduction, an interesting research problem is to find an insightful explanation of this phenomenon.

The number of irreducible characters of a fixed degree are collected in Table 2. In particular, the degrees of characters in  $\text{Irr}(U)$  are:  $q^i$  for  $i = 0, \dots, 9$ ;  $q^i/2$  for  $i = 1, \dots, 10$ ;  $q^i/4$  for  $i \in \{4, 10\}$ ; and  $q^4/8$ . This is the example of smallest rank that yields a character of  $\text{Irr}(U)$  of degree  $q^i/p^3$  when  $q = p^f$ .

Finally, we point out that the analogue over bad primes of 14, Conjecture B which generalizes 19, Conjecture 6.3 does *not* hold for the group  $\text{UF}_4(2^f)$ . In fact, the number  $k(U, q^k)$  cannot always be expressed as a polynomial in  $v := q - 1$  with nonnegative integral coefficients. Moreover,  $k(\text{UF}_4(q), q^4), k(\text{UF}_4(q), q^4/4) \in \mathbb{Z}[v/3] \setminus \mathbb{Z}[v]$ . A similar phenomenon happens when  $p = 3$  7, Table 3, in that  $k(\text{UF}_4(q), q^4), k(\text{UF}_4(q), q^4/3) \in \mathbb{Z}[v/2] \setminus \mathbb{Z}[v]$ . If  $p \geq 5$ , then the expression of every  $k(\text{UF}_4(q), q^k)$  is in  $\mathbb{Z}[v]$ .

Except for the  $[3, 10, 9]$ -core, whose parametrization is as for  $\mathcal{F}_{8,9,10}^{\text{even}}$  in 10, Table 2, all the other cases in Table 3 correspond to heartless cores. Let  $(S, \mathcal{Z})$  be one such core. We apply the method in Section 5 to find  $\mathcal{I}$  and  $\mathcal{J}$ ; these are readily computed thanks to our implemented function `findCircleZ` in GAP4 15. Then  $X'$  and  $Y'$  can be determined by means of the study of equation 5.1. As in 17, §5.1, if  $X'$  is an abelian subgroup, then the characters in  $\text{Irr}(X_S)_{\mathcal{Z}}$  are immediately parametrized by inflating over  $\tilde{Y} \ker(\lambda)$  and inducing to  $X_S$ . This is the case for all remaining families in Table 3 except for  $\mathcal{F}_{7,2}$  and  $\mathcal{F}_8$ ; hence, the only computation we have to do in these cases is to solve equation 5.1. The remaining two families yield  $|X'| = q^2$ , and  $X'$  is not a subgroup of  $X_S$ . The study of the family  $\mathcal{F}_8$  remains uncompleted, as the associated graph  $\Gamma$  has in this case just three edges. The study of the family  $\mathcal{F}_{7,2}$  presents more complications and will be examined in full detail.

We include in this work the complete study of three important families of characters arising from nonabelian cores, namely:

- the family  $\mathcal{F}_{4,2}$  corresponding to a  $[4, 8, 4]$ -core, which provides the smallest example where the expression of the cardinality of a family  $\mathcal{F}_i$  is PORC, but not polynomial,
- the family  $\mathcal{F}_{7,2}$  corresponding to a  $[4, 12, 9]$ -core, where  $X'$  is not a subgroup, which presents a more intricate branching and contains characters of degree  $q^4/8$ , and
- the family  $\mathcal{F}_{11}$  corresponding to a  $[6, 10, 4]$ -core, whose study requires the determination of solutions of complete cubic equations over  $\mathbb{F}_q$ .



The difficulty of the computations related to all other families in Table 3 is bounded by that of the three families described above. Full details in these cases can be found in 15.

Before we start, we recall the following notation. For any  $q = p^f$  and  $m \geq 1$ , we define

$$\mathbb{F}_{q,m}^\times := \{x \in \mathbb{F}_q^\times \mid x = y^m \text{ for some } y \in \mathbb{F}_q^\times\}.$$

Notice that  $\mathbb{F}_{q,m}^\times$  is a cyclic group. We focus on the set  $\mathbb{F}_{q,3}^\times$  when  $q = 2^f$ . It is easy to check that if  $f = 2k + 1$ , then  $\mathbb{F}_{q,3}^\times = \mathbb{F}_q^\times$ , while if  $f = 2k$ , then  $|\mathbb{F}_{q,3}^\times| = (q - 1)/3$ .

We first study a nonabelian  $[4, 8, 4]$ -core arising from the family  $\mathcal{F}_{4,2}$  in Table 3. In this case, we have

- $S = \{\alpha_2, \alpha_3, \alpha_5, \alpha_7, \alpha_8, \alpha_9, \alpha_{10}, \alpha_{18}\}$ ,
- $\mathcal{Z} = \{\alpha_8, \alpha_9, \alpha_{10}, \alpha_{18}\}$ ,
- $\mathcal{A} = \{\alpha_1, \alpha_4\}$  and  $\mathcal{L} = \{\alpha_{11}, \alpha_{16}\}$ ,
- $\mathcal{I} = \{\alpha_2, \alpha_5\}$  and  $\mathcal{J} = \{\alpha_3, \alpha_7\}$ .

## Proposition 6.2.

The irreducible characters corresponding to the family  $\mathcal{F}_{4,2}$  in  $\text{Irr}(\text{UF}_4(2^f))$  are parametrized as follows:

- If  $f = 2k$ , then

$$\mathcal{F}_{4,2} =: \mathcal{F}_{4,2}^{f \text{ even}} = \mathcal{F}_{4,2}^{f \text{ even}, 1} \sqcup \mathcal{F}_{4,2}^{f \text{ even}, 2},$$

where

- $\mathcal{F}_{4,2}^{f \text{ even}, 1}$  consists of  $2(q - 1)^4/3$  irreducible characters of degree  $q^2$  and
- $\mathcal{F}_{4,2}^{f \text{ even}, 2}$  consists of  $16(q - 1)^4/3$  irreducible characters of degree  $q^2/4$ .
- If  $f = 2k + 1$ , then  $\mathcal{F}_{4,2} =: \mathcal{F}_{4,2}^{f \text{ odd}}$  consists of  $4(q - 1)^4$  irreducible characters of degree  $q^2/2$ .

The labels of the characters in  $\mathcal{F}_{4,2}^{f \text{ even}, 1}$ ,  $\mathcal{F}_{4,2}^{f \text{ even}, 2}$ , and in  $\mathcal{F}_{4,2}^{f \text{ odd}}$  are collected in Table 3.

## Proof.

Here, equation 5.1 has the form

$$\phi(s_3(a_9 t_2 s_3 + a_8 t_5) + s_7(a_{18} t_5 s_7 + a_{10} t_2)) = 1.$$

By the remark after equation 5.1, in order to find  $X'$  (respectively,  $Y'$ ) we need to find all  $t_2, t_5 \in \mathbb{F}_q$  (respectively,  $s_3, s_7 \in \mathbb{F}_q$ ) such that equation 5.1 holds for every  $s_3, s_7 \in \mathbb{F}_q$  (respectively,  $t_2, t_5 \in \mathbb{F}_q$ ). By choosing  $(s_3, s_7)$  (respectively,  $(t_2, t_5)$ ) in  $\{(1, 0), (0, 1)\}$ , we easily get

$$\begin{aligned} X' &= \{x_2(t_2)x_5(t_5) \mid t_2, t_5 \in \mathbb{F}_q, a_8^2 t_5^2 = a_9 t_2, \text{ and } a_{10}^2 t_2^2 = a_{18} t_5\} \\ &= \{x_2(t_2)x_5(t_5) \mid t_2, t_5 \in \mathbb{F}_q, t_2 = a_8^2 a_9^{-1} t_5^2, \text{ and } t_5^4 = a_8^{-4} a_9^2 a_{10}^{-2} a_{18} t_5\} \end{aligned}$$

and

$$\begin{aligned} Y' &= \{x_3(s_3)x_7(s_7) \mid s_3, s_7 \in \mathbb{F}_q, a_9 s_3^2 = a_{10} s_7, \text{ and } a_{18} s_7^2 = a_8 s_3\} \\ &= \{x_3(s_3)x_7(s_7) \mid s_3, s_7 \in \mathbb{F}_q, s_7 = a_9 a_{10}^{-1} s_3^2, \text{ and } s_3^4 = a_8 a_9^{-2} a_{10}^2 a_{18}^{-1} s_3\}. \end{aligned}$$

Let us assume that  $f = 2k$ . If  $a_{18} \notin a_8 a_9^{-2} a_{10}^2 \mathbb{F}_{q,3}^\times$ , that is, for  $2(q-1)/3$  choices of  $a_{18}$  in  $\mathbb{F}_q^\times$ , then the quartic equations involved in the definitions of  $X'$  and  $Y'$  just have a trivial solution. In this case, we have  $X' = 1$  and  $Y' = 1$ , and we get the family  $\mathcal{F}_{4,2}^{f \text{ even}, 1}$  as in Table 3.

If  $a_{18} \in a_8 a_9^{-2} a_{10}^2 \mathbb{F}_{q,3}^\times$ , i.e., for  $(q-1)/3$  choices of  $a_{18}$  in  $\mathbb{F}_q^\times$ , then there are three distinct values  $\omega_{8,9,10,18;i}$  for  $i = 1, 2, 3$  such that  $\omega_{8,9,10,18;i}^3 = a_8 a_9^{-2} a_{10}^2 a_{18}^{-1}$ . In this case, we have

$$X' = \{1\} \cup \{x_2(a_8 a_9^{-1} \omega_{8,9,10,18;i}^{-2})x_5(a_8^{-1} \omega_{8,9,10,18;i}^{-1}) \mid i \in [1, 3]\}$$

and

$$Y' = \{1\} \cup \{x_3(\omega_{8,9,10,18;i})x_7(a_9 a_{10}^{-1} \omega_{8,9,10,18;i}^2) \mid i \in [1, 3]\}.$$

We now observe that  $X'$  and  $Y'$  are each isomorphic to  $C_2 \times C_2$ . We get the family  $\mathcal{F}_{4,2}^{f \text{ even}, 2}$  as in Table 3. By equation 3.1, we readily check that

$$\mathcal{F}_{4,2} =: \mathcal{F}_{4,2}^{f \text{ even}} = \mathcal{F}_{4,2}^{f \text{ even}, 1} \sqcup \mathcal{F}_{4,2}^{f \text{ even}, 2}.$$

This proves the first claim of the proof.

Let us now assume that  $f = 2k + 1$ . Let  $\omega_{8,9,10,18}$  be the unique cube root of  $a_8 a_9^{-2} a_{10}^2 a_{18}^{-1}$ . Then we get

$$\begin{aligned} X' &= \{1, x_2(a_8 a_9^{-1} \omega_{8,9,10,18}^{-2}) x_5(a_8^{-1} \omega_{8,9,10,18}^{-1})\}, \\ Y' &= \{1, x_3(\omega_{8,9,10,18}) x_7(a_9 a_{10}^{-1} \omega_{8,9,10,18}^2)\}. \end{aligned}$$

Hence we obtain the family  $\mathcal{F}_{4,2}^{f \text{ odd}}$  as in Table 3, completing our proof. ■

We then move on to study the family  $\mathcal{F}_{7,2}$  in Table 3, corresponding to nonabelian cores of the form  $[4, 12, 9]$ . Here we have

- $S = \{\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5, \alpha_6, \alpha_7, \alpha_8, \alpha_9, \alpha_{10}, \alpha_{11}, \alpha_{16}\}$ ,
- $\mathcal{Z} = \{\alpha_8, \alpha_{10}, \alpha_{11}, \alpha_{16}\}$ ,
- $\mathcal{A} = \mathcal{L} = \emptyset$ ,
- $\mathcal{I} = \{\alpha_1, \alpha_2, \alpha_3, \alpha_4\}$  and  $\mathcal{J} = \{\alpha_5, \alpha_6, \alpha_7, \alpha_9\}$ .

### Proposition 6.3.

The irreducible characters corresponding to the family  $\mathcal{F}_{7,2}$  in  $\text{Irr}(\text{UF}_4(2^f))$  are parametrized as follows:

$$\mathcal{F}_{7,2} = \bigsqcup_{i=1}^8 \mathcal{F}_{7,2}^i,$$

where

- $\mathcal{F}_{7,2}^1$  consists of  $8(q-1)^4$  irreducible characters of degree  $q^4/8$  and
- each of  $\mathcal{F}_{7,2}^i$  for  $i \in \{2, \dots, 8\}$  consists of  $2(q-1)^4$  irreducible characters of degree  $q^4/4$ .

The labels of the characters in  $\mathcal{F}_{7,2}^i$  for  $i \in \{1, \dots, 8\}$  are collected in Table 3.

**Proof.**

The form of equation 5.1 is

$$\phi(s_5(a_{11}t_3^2 + a_8t_3) + s_6(a_8t_1 + a_{10}t_4) + s_7(a_{16}t_2s_7 + a_{10}t_2) + s_9(a_{16}t_4^2 + a_{11}t_1)) = 1.$$

We have that

$$X' = \{ \underline{x}(t) \in X \mid a_{11}t_3^2 = a_8t_3, a_8t_1 = a_{10}t_4, a_{10}^2t_2^2 = a_{16}t_2, \text{ and } a_{16}t_4^2 = a_{11}t_1 \}$$

and

$$Y' = \{ \underline{x}(s) \in Y \mid a_8s_6 = a_{11}s_9, a_{16}s_7^2 = a_{10}s_7, a_8^2s_5^2 = a_{11}s_5, \text{ and } a_{10}^2s_6^2 = a_{16}s_9 \}.$$

Hence we have that

$$X' = \left\{ x_1(c_1)x_2(c_2)x_3(c_3)x_4 \left( \frac{a_8}{a_{10}}c_1 \right) \mid c_1 \in \left\{ 0, \frac{a_{10}^2a_{11}}{a_8^2a_{16}} \right\}, c_2 \in \left\{ 0, \frac{a_{16}}{a_{10}^2} \right\}, c_3 \in \left\{ 0, \frac{a_8}{a_{11}} \right\} \right\}$$

and

$$Y' = \left\{ x_5(c_1)x_6(c_2)x_7(c_3)x_9 \left( \frac{a_8}{a_{11}}c_2 \right) \mid c_1 \in \left\{ 0, \frac{a_{11}}{a_8^2} \right\}, c_2 \in \left\{ 0, \frac{a_8a_{16}}{a_{10}^2a_{11}} \right\}, c_3 \in \left\{ 0, \frac{a_{10}}{a_{16}} \right\} \right\},$$

with  $X' = X'_1X'_2X'_3$  in a natural way with  $X'_1 \subseteq X_2$ ,  $X'_2 \subseteq X_3$ , and  $X'_3 \subseteq X_1X_4$  and  $Y' = Y'_1Y'_2Y'_3$  with  $Y'_1 \subseteq X_7$ ,  $Y'_2 \subseteq X_5$ , and  $Y'_3 \subseteq X_6X_9$ . Now notice that  $X'$  is *not always* a group, namely, we have

$$\begin{aligned} \left[ x_2 \left( \frac{a_{16}}{a_{10}^2} \right), x_3 \left( \frac{a_8}{a_{11}} \right) \right] &= x_6 \left( \frac{a_8 a_{16}}{a_{10}^2 a_{11}} \right) x_9 \left( \frac{a_8^2 a_{16}}{a_{10}^2 a_{11}^2} \right), \\ \left[ x_1 \left( \frac{a_{10}^2 a_{11}}{a_8^2 a_{16}} \right) x_4 \left( \frac{a_{10} a_{11}}{a_8 a_{16}} \right), x_2 \left( \frac{a_{16}}{a_{10}^2} \right) \right] &= x_5 \left( \frac{a_{11}}{a_8^2} \right), \\ \left[ x_1 \left( \frac{a_{10}^2 a_{11}}{a_8^2 a_{16}} \right) x_4 \left( \frac{a_{10} a_{11}}{a_8 a_{16}} \right), x_3 \left( \frac{a_8}{a_{11}} \right) \right] &= x_7 \left( \frac{a_{10}}{a_{16}} \right). \end{aligned}$$

Thus we have  $[X'_i, X'_j] = Y'_k$  for every  $i, j, k$  with  $\{i, j, k\} = \{1, 2, 3\}$ .

For  $c_1, c_2, c_3 \in \{0, 1\}$  we call  $\lambda^\varepsilon := \lambda^{c_1, c_2, c_3}$  the extension of  $\lambda$  to  $X_Z Y'$  such that  $\lambda^\varepsilon(y_i) = y_i^{c_i}$  for every  $y_i \in Y_i$  and  $i = 1, 2, 3$ . An inflation and induction procedure from groups of order  $q^4/8$  then induces a bijection

$$\text{Irr}(X_S)_Z \longrightarrow \bigsqcup_{c_1, c_2, c_3 \in \{0, 1\}} \text{Irr}(X' Y' Z \mid \lambda^\varepsilon).$$

Let us assume  $c_i = 1$  for every  $i = 1, 2, 3$ . Then we can apply Proposition 5.1 with arm  $X'_1$  and leg  $X'_2$ . In this case, we get a bijection

$$\text{Irr}(X' Y' Z \mid \lambda^{1,1,1}) \longrightarrow \text{Irr}(X'_3 Y'_1 Y'_2 Y'_3 Z \mid \lambda^{1,1,1}),$$

and  $X'_3 Y'_1 Y'_2 Y'_3 Z$  is abelian. Hence we get the family  $\mathcal{F}_{7,2}^8$  as in Table 3.

Let us now assume that  $c_i = c_j = 1$  and  $c_k = 0$  for any  $\{i, j, k\} = \{1, 2, 3\}$ . Proposition 5.1 applies here with arm  $X'_i$  and leg  $X'_k$ . We have a bijection

$$\text{Irr}(X' Y' Z \mid \lambda^\varepsilon) \longrightarrow \text{Irr}(X'_j Y'_1 Y'_2 Y'_3 Z \mid \lambda^\varepsilon),$$

with  $X'_j Y'_1 Y'_2 Y'_3 Z$  abelian. This gives the three families  $\mathcal{F}_{7,2}^5$ ,  $\mathcal{F}_{7,2}^6$ , and  $\mathcal{F}_{7,2}^7$  as in Table 3.

Let us then assume that  $c_i = 1$ , and  $c_j = c_k = 0$  for any  $\{i, j, k\} = \{1, 2, 3\}$ . Proposition 5.1 now applies with arm  $X'_j$ , and leg  $X'_k$ . We have a bijection

$$\text{Irr}(X' Y' Z \mid \lambda^\varepsilon) \longrightarrow \text{Irr}(X'_j Y'_1 Y'_2 Y'_3 Z \mid \lambda^\varepsilon),$$

with  $X'_j Y'_1 Y'_2 Y'_3 Z$  abelian. This gives the three families  $\mathcal{F}_{7,2}^5$ ,  $\mathcal{F}_{7,2}^6$ , and  $\mathcal{F}_{7,2}^7$  as in Table 3.



Let us then assume that  $c_i = 1$ , and  $c_j = c_k = 0$  for any  $\{i, j, k\} = \{1, 2, 3\}$ . Proposition 5.1 now applies with arm  $X'_j$ , and leg  $X'_k$ . We have a bijection

$$\text{Irr}(X'Y'Z \mid \lambda^\varepsilon) \longrightarrow \text{Irr}(X'_iY'_1Y'_2Y'_3Z \mid \lambda^\varepsilon),$$

with  $X'_kY'_1Y'_2Y'_3Z$  abelian. This gives the three families  $\mathcal{F}_{7,2}^2$ ,  $\mathcal{F}_{7,2}^3$ , and  $\mathcal{F}_{7,2}^4$  as in Table 3.

Finally, let us assume  $c_1 = c_2 = c_3 = 0$ . Then we have that

$$\text{Irr}(X'Y'Z \mid \lambda^{0,0,0}) \longrightarrow \text{Irr}(X'Y'Z/Y' \mid \lambda^{0,0,0})$$

is a bijection, and  $X'Y'Z/Y' \cong X'_1X'_2X'_3ZY'/Y'$  is abelian. We have determined our family  $\mathcal{F}_{7,2}^1$  of  $8(q-1)^4$  irreducible characters of degree  $q^4/8$  as in Table 3.

Equation 3.1 now yields

$$\mathcal{F}_{7,2} = \bigsqcup_{i=1}^8 \mathcal{F}_{7,2}^i,$$

proving our claim.

We conclude our work by expanding the computations for the parametrization of the unique  $[6, 10, 4]$ -core, which corresponds to the family  $\mathcal{F}_{11}$  in Table 3. As previously remarked, we need some properties of solutions of cubic equations in  $\mathbb{F}_q$ . For  $a, b \in \mathbb{F}_q^\times$ , let

$$p_{a,b}(X) := X^3 + aX + b.$$

Define the map  $g : \mathbb{F}_q \rightarrow \mathbb{F}_q$  such that  $g(x) = x^3 + x$ , and for  $i \in \{0, 1, 3\}$  let us put

$$\mathcal{A}_i := \{(a, b) \in (\mathbb{F}_q^\times)^2 \mid p_{a,b}(X) = 0 \text{ has } i \text{ solutions in } \mathbb{F}_q\}.$$

By 4, Equation (1.1) and the fact that  $(1, b) \in \mathcal{A}_i$  implies  $(a^2, a^3b) \in \mathcal{A}_i$  for every  $a \in \mathbb{F}_q^\times$ , we have that

- $\mathcal{A}_3 = \{(a^2, a^3(x^3 + x)) \mid a \in \mathbb{F}_q^\times, x \notin \{0, 1\}, 1 + x^{-2} \in \text{im}(g)\},$

- $\mathcal{A}_1 = \{(a^2, a^3(x^3 + x)) \mid a \in \mathbb{F}_q^\times, x \notin \{0, 1\}, 1 + x^{-2} \notin \text{im}(g)\}$ , and
- $\mathcal{A}_0 = (\mathbb{F}_q^\times)^2 \setminus (\mathcal{A}_3 \cup \mathcal{A}_1)$ .

In particular, we have

$$|\mathcal{A}_3| = \frac{(q-1)(q-3+(-1)^{f+1})}{6}, \quad |\mathcal{A}_1| = \frac{(q-1)(q-1+(-1)^{f+1})}{2},$$

$$|\mathcal{A}_0| = \frac{(q-1)(q+(-1)^f)}{3}.$$

The next result follows directly by the explicit description of  $\mathcal{A}_i$  for  $i \in \{0, 1, 3\}$  and a case-by-case discussion. We omit the lengthy, but straightforward proof.

### Lemma 6.4.

Let

$$S = \{(b, c, t) \mid b \in \mathbb{F}_q^\times, t \in \mathbb{F}_q^\times \setminus \{b^3\}, \text{ and } c \in \mathbb{F}_q^\times \setminus \{t\}\},$$

and for every  $(b, c, t) \in S$ , let  $p_{b,c,t}(X) := X^3 + (t/b + b^2)X + (t + c)$ , and

$$\mathcal{B}_i := \{(b, c, t) \in S \mid p_{b,c,t}(X) = 0 \text{ has } i \text{ solutions}\}.$$

Then we have that

$$|\mathcal{B}_3| = \frac{(q-5)(q-3+(-1)^{f+1})}{6}, \quad |\mathcal{B}_1| = \frac{(q-3)(q-1+(-1)^f)}{2},$$

$$|\mathcal{B}_0| = \frac{(q-2)(q+(-1)^{f+1})}{3}.$$

### Remark 6.5.

The expressions of  $\mathcal{B}_3$ ,  $\mathcal{B}_1$ , and  $\mathcal{B}_0$  in Lemma 6.4 as polynomials in  $q$  for even and odd  $f$  are different. This is reflected in the sixth column of Table 3 for the  $[6, 10, 4]$ -core, and it explains a difference in the parametrization of the family  $\mathcal{F}_{11} \subseteq \text{Irr}(\text{UF}_4(2^f))$  in these two cases.

We return to the study of the family  $\mathcal{F}_{11}$ . In this case,

- $S = \{\alpha_2, \alpha_3, \alpha_5, \alpha_6, \alpha_7, \alpha_8, \alpha_9, \alpha_{10}, \alpha_{12}, \alpha_{18}\}$ ,
- $\mathcal{Z} = \{\alpha_6, \alpha_8, \alpha_9, \alpha_{10}, \alpha_{12}, \alpha_{18}\}$ ,
- $\mathcal{A} = \{\alpha_1, \alpha_4\}$  and  $\mathcal{L} = \{\alpha_{11}, \alpha_{16}\}$ ,
- $\mathcal{I} = \{\alpha_2, \alpha_5\}$  and  $\mathcal{J} = \{\alpha_3, \alpha_7\}$ .

### Proposition 6.6.

The irreducible characters corresponding to the family  $\mathcal{F}_{11}$  in  $\text{Irr}(\text{UF}_4(2^f))$  are parametrized as follows:

- If  $f = 2k$ , then

$$\mathcal{F}_{11} =: \mathcal{F}_{11}^{f \text{ even}} = \bigsqcup_{i=1}^7 \mathcal{F}_{11}^{f \text{ even}, i},$$

where

- $\mathcal{F}_{11}^{f \text{ even}, 1}$  consists of  $(q-1)^4$  irreducible characters of degree  $q^2$ ,
- $\mathcal{F}_{11}^{f \text{ even}, 2}$  consists of  $4(q-1)^4(q-2)$  irreducible characters of degree  $q^2/2$ ,
- $\mathcal{F}_{11}^{f \text{ even}, 3}$  consists of  $2(q-1)^5/3$  irreducible characters of degree  $q^2$ ,
- $\mathcal{F}_{11}^{f \text{ even}, 4}$  consists of  $16(q-1)^4(q-4)/3$  irreducible characters of degree  $q^2/4$ ,
- $\mathcal{F}_{11}^{f \text{ even}, 5}$  consists of  $(q-1)^5(q-2)/3$  irreducible characters of degree  $q^2$ ,
- $\mathcal{F}_{11}^{f \text{ even}, 6}$  consists of  $2q(q-1)^4(q-3)$  irreducible characters of degree  $q^2/2$ , and
- $\mathcal{F}_{11}^{f \text{ even}, 7}$  consists of  $8(q-1)^4(q-4)(q-5)/3$  irreducible characters of degree  $q^2/4$ .

If  $f = 2k + 1$ , then

$$\mathcal{F}_{11} =: \mathcal{F}_{11}^{f \text{ odd}} = \bigsqcup_{j=1}^6 \mathcal{F}_{11}^{f \text{ odd}, j},$$

where

- $\mathcal{F}_{11}^{f \text{ odd}, 1}$  consists of  $(q-1)^4$  irreducible characters of degree  $q^2$ ,
- $\mathcal{F}_{11}^{f \text{ odd}, 2}$  and  $\mathcal{F}_{11}^{f \text{ odd}, 3}$  consist of  $4(q-1)^4(q-2)$  irreducible characters of degree  $q^2/2$ ,
- $\mathcal{F}_{11}^{f \text{ odd}, 4}$  consists of  $(q-1)^4(q-2)(q+1)/3$  irreducible characters of degree  $q^2$ ,
- $\mathcal{F}_{11}^{f \text{ odd}, 5}$  consists of  $2(q-1)^4(q-2)(q-3)$  irreducible characters of degree  $q^2/2$ , and
- $\mathcal{F}_{11}^{f \text{ odd}, 6}$  consists of  $8(q-1)^4(q-2)(q-5)/3$  irreducible characters of degree  $q^2/4$ .

The labels of the characters in  $\mathcal{F}_{11}^{f \text{ even}, i}$  for  $i = 1, \dots, 7$  and in  $\mathcal{F}_{11}^{f \text{ odd}, j}$  for  $j = 1, \dots, 6$  are collected in Table 3.

**Proof.**

The form of equation 5.1 is

$$\phi(s_3(a_9 t_2^2 + a_6 t_2 + a_8 t_5) + s_7(a_{18} t_5^2 + a_{12} t_5 + a_{10} t_2)) = 1.$$

We have that

$$X' = \{ \underline{x}(t) \in X \mid a_8 t_5 = a_9 t_2^2 + a_6 t_2 \quad \text{and} \quad a_{10} t_2 = a_{18} t_5^2 + a_{12} t_5 \}$$

and

$$Y' = \{ \underline{x}(s) \in Y \mid a_6^2 s_3^2 + a_{10}^2 s_7^2 = a_9 s_3 \quad \text{and} \quad a_{12}^2 s_7^2 + a_8^2 s_3^2 = a_{18} s_7 \}.$$

We now focus on the determination of  $X'$ . Analogous computations can be carried out in order to determine  $Y'$ . We omit the details in the latter case, just mentioning that the cubic equations that show up in the study of  $X'$  and  $Y'$ , which depend on  $a_i$  for  $i \in \{6, 8, 9, 10, 12, 18\}$ , have the same number of solutions for each of the fixed values of the  $a_i$ 's in  $\mathbb{F}_q^\times$ .

Let us fix  $a_8, a_9$ , and  $a_{18}$  in  $\mathbb{F}_q^\times$ . By combining the equations defining  $X'$ , we substitute the value of  $t_5$  as a function of  $t_2$  into the first equation. Let us put  $\bar{a}_6 := a_6/a_9$ ,  $\bar{a}_{10} := a_6^2 a_{10}/(a_9^2 a_{18})$  and  $\bar{a}_{12} := a_6 a_8 a_{12}/(a_9^2 a_{18})$ . Then we get

$$(6.1) \quad t_2(t_2^3 + (\bar{a}_{12}/\bar{a}_6 + \bar{a}_6^2)t_2 + (\bar{a}_{10} + \bar{a}_{12})) = 0.$$

Since  $X'$  is an abelian subgroup of  $X_S$ , and  $Y'$  is determined in a similar way as previously remarked (in particular,  $|X'| = |Y'|$ ), then each choice of  $a_i$  for  $i \in \{6, 10, 12\}$  such that equation 6.1 has  $k$  solutions yields  $k^2(q-1)^3$  irreducible characters of degree  $q^2/k$ . The claim follows if we determine the number of solutions of equation 6.1 for every  $\bar{a}_6, \bar{a}_{10}, \bar{a}_{12} \in \mathbb{F}_q^\times$ .

Let us first assume that  $\bar{a}_{10} = \bar{a}_{12} = \bar{a}_6^3$ ; this happens for  $q-1$  values of  $\bar{a}_6, \bar{a}_{10}, \bar{a}_{12} \in \mathbb{F}_q^\times$ . In this case, equation 6.1 is  $t_2^4 = 0$  and just has the solution  $t_2 = 0$ . In this case, we get the family  $\mathcal{F}_{11}^1$  as in Table 3.

Let us then assume that  $\bar{a}_{12} \neq \bar{a}_6^3$  and  $a_{10} = a_{12}$ ; this happens for  $(q-1)(q-2)$  values of  $\bar{a}_6, \bar{a}_{10}, \bar{a}_{12} \in \mathbb{F}_q^\times$ . In this case, equation 6.1 is  $t_2^2(t_2^2 + c) = 0$ , where  $c = \bar{a}_{10} + \bar{a}_{12} \neq 0$ , and we see that its two distinct solutions are 0 and the unique square root of  $c$ . This gives the family  $\mathcal{F}_{11}^2$  as in Table 3.

We now assume that  $\bar{a}_{12} = \bar{a}_6^3$  and  $a_{10} \neq a_{12}$ ; this happens for  $(q-1)(q-2)$  values of  $\bar{a}_6, \bar{a}_{10}, \bar{a}_{12} \in \mathbb{F}_q^\times$ . Equation 6.1 writes  $t_2(t_2^3 + d) = 0$ , where  $d = \bar{a}_{10} + \bar{a}_6^3 \neq 0$ . If  $f = 2k + 1$ , then  $d$  has a unique cube root and the equation has two distinct solutions. This gives the family  $\mathcal{F}_{11}^{f \text{ odd}, 3}$  as in Table 3. Let us then assume that  $f = 2k$ . We distinguish two cases in turn. We first suppose that  $\bar{a}_{10} \in (\bar{a}_6^3 + \mathbb{F}_{q,3}^\times) \setminus \{0\} = \bar{a}_6^3 + \mathbb{F}_{q,3}^\times \setminus \{\bar{a}_6^3\}$ ; this happens for  $(q-1)((q-1)/3 - 1) = (q-1)(q-4)/3$  values of  $\bar{a}_6, \bar{a}_{10}, \bar{a}_{12} \in \mathbb{F}_q^\times$ . In this case,  $d$  has three distinct cube roots, and equation 6.1 has four distinct solutions. This gives the family  $\mathcal{F}_{11}^{f \text{ even}, 4}$  as in Table 3. Assume then that  $\bar{a}_{10} \in (\bar{a}_6^3 + \mathbb{F}_q \setminus \mathbb{F}_{q,3}^\times) \setminus \{0\} = \bar{a}_6^3 + \mathbb{F}_q \setminus \mathbb{F}_{q,3}^\times$ ; this happens for  $2(q-1)^2/3$  values of  $\bar{a}_6, \bar{a}_{10}, \bar{a}_{12} \in \mathbb{F}_q^\times$ . In this case,  $d$  has no cube roots. Therefore, equation 6.1 only has the solution  $t_2 = 0$ , which yields the family  $\mathcal{F}_{11}^{f \text{ even}, 3}$  as in Table 3.

Finally, we assume that  $\bar{a}_{12} = \bar{a}_6^3$  and  $a_{10} \neq a_{12}$ . Then we are in the assumptions of Lemma 6.4 by setting  $t = \bar{a}_{12}$ ,  $b = \bar{a}_6$ , and  $c = \bar{a}_{10}$ . We readily get the families  $\mathcal{F}_{11}^{f \text{ even}, 5}$ ,  $\mathcal{F}_{11}^{f \text{ even}, 6}$ , and  $\mathcal{F}_{11}^{f \text{ even}, 7}$  as in Table 3 when  $f = 2k$ , and the families  $\mathcal{F}_{11}^{f \text{ odd}, 4}$ ,  $\mathcal{F}_{11}^{f \text{ odd}, 5}$ , and  $\mathcal{F}_{11}^{f \text{ odd}, 6}$  as in Table 3 when  $f = 2k + 1$ , in the cases when the equation

$$t_2^3 + (\bar{a}_{12}/\bar{a}_6 + \bar{a}_6^2)t_2 + (\bar{a}_{10} + \bar{a}_{12}) = 0$$

has 0, 1, or 3 solutions, respectively.



Since

$$\mathcal{F}_{11}^{f \text{ even}} = \bigsqcup_{i=1}^7 \mathcal{F}_{11}^{f \text{ even}, i} \quad \text{and} \quad \mathcal{F}_{11}^{f \text{ odd}} = \bigsqcup_{j=1}^6 \mathcal{F}_{11}^{f \text{ odd}, j},$$

the claim is proved.

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TABLE 1. The number of representable sets in rank 4 at different primes.

Lie type	$A_4$	$B_4/C_4$		$D_4$	$F_4$	
Prime	any	$p = 2$	$p \geq 3$	any	$p = 2$	$p \geq 3$
# Rep. sets	42	98	70	50	190	105

TABLE 2. The numbers of irreducible characters of  $UF_4(q)$  of fixed degree for  $q = 2^f$ , where  $v = q - 1$ .

$D$	$k(UF_4(q), D)$
1	$v^4 + 4v^3 + 6v^2 + 4v + 1$
$q/2$	$4v^4 + 8v^3 + 4v^2$
$q$	$2v^5 + 8v^4 + 14v^3 + 12v^2 + 4v$
$q^2/2$	$8v^4 + 16v^3 + 8v^2$
$q^2$	$2v^6 + 12v^5 + 27v^4 + 30v^3 + 17v^2 + 4v$
$q^3/2$	$12v^4 + 24v^3 + 12v^2$
$q^3$	$8v^5 + 28v^4 + 36v^3 + 20v^2 + 4v$
$q^4/8$	$8v^4$
$q^4/4$	$8v^6/3 + 80v^5/3 + 98v^4/3$
$q^4/2$	$10v^6 + 60v^5 + 114v^4 + 80v^3 + 8v^2$
$q^4$	$2v^8 + 16v^7 + 160v^6/3 + 280v^5/3 + 301v^4/3 + 68v^3 + 23v^2 + 2v$
$q^5/2$	$8v^5 + 24v^4 + 24v^3 + 8v^2$
$q^5$	$2v^7 + 14v^6 + 38v^5 + 50v^4 + 34v^3 + 12v^2 + 2v$
$q^6/2$	$16v^5 + 40v^4 + 32v^3 + 8v^2$
$q^6$	$2v^7 + 15v^6 + 40v^5 + 53v^4 + 36v^3 + 13v^2 + 2v$
$q^7/2$	$4v^6 + 24v^5 + 48v^4 + 40v^3 + 12v^2$
$q^7$	$2v^6 + 10v^5 + 20v^4 + 20v^3 + 10v^2 + 2v$
$q^8/2$	$8v^5 + 32v^4 + 32v^3 + 8v^2$
$q^8$	$v^6 + 8v^5 + 18v^4 + 18v^3 + 7v^2$
$q^9/2$	$8v^5 + 28v^4 + 24v^3 + 4v^2$
$q^9$	$2v^4 + 4v^3 + 2v^2$
$q^{10}/4$	$16v^4$
$q^{10}/2$	$8v^3$
$k(UF_4(q)) = 2v^8 + 20v^7 + 104v^6 + 362v^5 + 674v^4 + 552v^3 + 194v^2 + 24v + 1$	



TABLE 3. The irreducible characters of  $\text{Irr}(\text{UF}_4(2^f))$  parametrized by nonabelian cores.

Form	Freq.	Branch.	Family	Label	Number	Degree
[2, 4, 1]	185	185	$\mathcal{F}_1$	$\chi_{c_2, c_9}^{a_6, a_9}$	$4(q-1)^2$	$q/2$
[3, 10, 9]	1	1	$\mathcal{F}_2^1$	$\chi_{c_1, 3, 7, c_2}^{a_8, a_{12}, a_{13}}$	$(q-1)^3$	$q^3$
[4, 8, 2]	2	2	$\mathcal{F}_3$	$\chi_{c_2, c_9, c_5, c_7}^{a_6, a_9, a_{12}, a_{18}}$	$4(q-1)^3$	$q^3/2$
[4, 8, 4]	8	6	$\mathcal{F}_{4,1}$	$\chi_{c_2, 5, c_3, 7}^{a_6, a_9, a_{10}, a_{18}}$	$16(q-1)^4$	$q^2/4$
		2	$\mathcal{F}_{4,2}^{f \text{ even}, 1}$	$\chi_{c_2, 5, c_3, 7}^{a_6, a_9, a_{10}, a_{18}}$	$4(q-1)^4$	$q^2/2$
			$\mathcal{F}_{4,2}^{f \text{ even}, 2}$	$\chi_{d_2, 5, d_3, 7}^{a_8, a_9, a_{10}, a_{18}}$	$2(q-1)^4/3$	$q^2$
			$\mathcal{F}_{4,2}^{f \text{ odd}}$	$\chi_{c_2, 5, c_3, 7}^{a_8, a_9, a_{10}, a_{18}}$	$16(q-1)^4/3$	$q^2/4$
[4, 10, 5]	2	2	$\mathcal{F}_5$	$\chi_{c_1, 7, c_2, 6, c_4, c_9}^{a_5, a_8, a_{13}, a_{16}}$	$4(q-1)^3$	$q^3/2$
[4, 11, 6]	2	2	$\mathcal{F}_6$	$\chi_{b_4, 7, 12, 15, c_2, 6, 9, c_4, 7, 12, 15}^{a_{10}, a_{16}, a_{19}, a_{24}}$	$16(q-1)^4$	$q^3/4$
[4, 12, 9]	2	1	$\mathcal{F}_{7,1}$	$\chi_{b_1, 5, 8, 11, b_4, 7, 10, 13}^{a_{12}, a_{15}, a_{19}, a_{23}}$	$4q(q-1)^4$	$q^3/2$
			$\mathcal{F}_{7,2}^1$	$\chi_{c_1, 4, c_2, c_9}^{a_8, a_{10}, a_{11}, a_{16}}$	$q^2(q-1)^4$	$q^3$
			$\mathcal{F}_{7,2}^2$	$\chi_{c_1, 4}^{a_8, a_{10}, a_{11}, a_{16}}$	$8(q-1)^4$	$q^4/8$
			$\mathcal{F}_{7,2}^3$	$\chi_{c_2, 5, c_3, c_7}^{a_8, a_{10}, a_{11}, a_{16}, a_{17}, a_{18}, a_{19}, a_{20}}$	$2(q-1)^4$	$q^4/4$
			$\mathcal{F}_{7,2}^4$	$\chi_{c_2, 5, c_3, c_7}^{a_8, a_{10}, a_{11}, a_{16}, a_{17}, a_{18}, a_{19}, a_{20}}$	$2(q-1)^4$	$q^4/4$
			$\mathcal{F}_{7,2}^5$	$\chi_{c_2, 5, c_3, c_7}^{a_8, a_{10}, a_{11}, a_{16}, a_{17}, a_{18}, a_{19}, a_{20}}$	$2(q-1)^4$	$q^4/4$
			$\mathcal{F}_{7,2}^6$	$\chi_{c_2, 5, c_3, c_7}^{a_8, a_{10}, a_{11}, a_{16}, a_{17}, a_{18}, a_{19}, a_{20}}$	$2(q-1)^4$	$q^4/4$
			$\mathcal{F}_{7,2}^7$	$\chi_{c_2, 5, c_3, c_7}^{a_8, a_{10}, a_{11}, a_{16}, a_{17}, a_{18}, a_{19}, a_{20}}$	$2(q-1)^4$	$q^4/4$
			$\mathcal{F}_{7,2}^8$	$\chi_{c_1, 4}^{a_8, a_{10}, a_{11}, a_{16}}$	$2(q-1)^4$	$q^4/4$
[5, 9, 3]	2	2	$\mathcal{F}_8^1$	$\chi_{c_2, c_3, c_5, c_7}^{a_6, a_9, a_{10}, a_{12}, a_{18}}$	$8(q-2)(q-1)^4$	$q^2/4$
			$\mathcal{F}_8^2$	$\chi_{c_3, c_5}^{a_6, a_9, a_{10}, a_{12}, a_{18}}$	$2q(q-1)^4$	$q^2/2$
[5, 9, 4]	4	3	$\mathcal{F}_{9,1}^{f \text{ even}, 1}$	$\chi_{d_2, 5, d_3, 7}^{a_6, a_8, a_9, a_{10}, a_{18}}$	$8(q-1)^4(q-4)/3$	$q^2/4$
			$\mathcal{F}_{9,1}^{f \text{ even}, 2}$	$\chi_{d_2, 5, d_3, 7}^{a_6, a_8, a_9, a_{10}, a_{18}}$	$2q(q-1)^4$	$q^2/2$
			$\mathcal{F}_{9,1}^{f \text{ even}, 3}$	$\chi_{d_2, 5, d_3, 7}^{a_6, a_8, a_9, a_{10}, a_{18}}$	$(q-1)^5/3$	$q^2$
			$\mathcal{F}_{9,1}^{f \text{ odd}, 1}$	$\chi_{d_2, 5, d_3, 7}^{a_6, a_8, a_9, a_{10}, a_{18}}$	$8(q-1)^4(q-2)/3$	$q^2/4$
			$\mathcal{F}_{9,1}^{f \text{ odd}, 2}$	$\chi_{d_2, 5, d_3, 7}^{a_6, a_8, a_9, a_{10}, a_{18}}$	$2(q-1)^4(q-2)$	$q^2/2$
			$\mathcal{F}_{9,1}^{f \text{ odd}, 3}$	$\chi_{d_2, 5, d_3, 7}^{a_6, a_8, a_9, a_{10}, a_{18}}$	$(q-1)^4(q+1)/3$	$q^2$
		1	$\mathcal{F}_{9,2}^1$	$\chi_{c_2, 5, c_3, 7}^{a_6, a_8, a_{10}, a_{12}, a_{18}}$	$(q-1)^4$	$q^2$
			$\mathcal{F}_{9,2}^2$	$\chi_{c_2, 5, c_3, 7}^{a_6, a_8, a_{10}, a_{12}, a_{18}}$	$4(q-1)^4(q-2)$	$q^2/2$
[5, 11, 6]	2	2	$\mathcal{F}_{10}^1$	$\chi_{c_1, 3, c_4, 5, c_6, c_9}^{a_7, a_8, a_{10}, a_{14}, a_{16}}$	$8(q-2)(q-1)^4$	$q^3/4$
			$\mathcal{F}_{10}^2$	$\chi_{c_1, 3, c_9}^{a_7, a_8, a_{10}, a_{14}, a_{16}}$	$2q(q-1)^4$	$q^3/2$
[6, 10, 4]	1	1	$\mathcal{F}_{11}^1$	$\chi_{c_1, 3, c_9}^{a_9, a_{10}, a_{12}, a_{18}}$	$(q-1)^4$	$q^2$
			$\mathcal{F}_{11}^2$	$\chi_{b_2, 5, b_3, 7}^{a_8, a_9, a_{10}, a_{12}, a_{18}}$	$4(q-1)^4(q-2)$	$q^2/2$
			$\mathcal{F}_{11}^{f \text{ even}, 3}$	$\chi_{c_1, 3, c_9}^{a_6, a_9, a_{10}, a_{12}, a_{18}}$	$2(q-1)^5/3$	$q^2$
			$\mathcal{F}_{11}^{f \text{ even}, 4}$	$\chi_{d_2, 5, d_3, 7}^{a_6, a_9, a_{10}, a_{12}, a_{18}}$	$16(q-1)^4(q-4)/3$	$q^2/4$
			$\mathcal{F}_{11}^{f \text{ even}, 5}$	$\chi_{c_1, 3, c_9}^{a_6, a_8, a_9, a_{10}, a_{12}, a_{18}}$	$(q-1)^5(q-2)/3$	$q^2$
			$\mathcal{F}_{11}^{f \text{ even}, 6}$	$\chi_{c_2, 5, c_3, 7}^{a_6, a_8, a_9, a_{10}, a_{12}, a_{18}}$	$2q(q-1)^4(q-3)$	$q^2/2$
			$\mathcal{F}_{11}^{f \text{ even}, 7}$	$\chi_{d_2, 5, d_3, 7}^{a_6, a_8, a_9, a_{10}, a_{12}, a_{18}}$	$8(q-1)^4(q-4)(q-5)/3$	$q^2/4$
			$\mathcal{F}_{11}^{f \text{ odd}, 3}$	$\chi_{b_2, 5, b_3, 7}^{a_6, a_9, a_{10}, a_{12}, a_{18}}$	$4(q-1)^4(q-2)$	$q^2/2$
			$\mathcal{F}_{11}^{f \text{ odd}, 4}$	$\chi_{c_1, 3, c_9}^{a_6, a_8, a_9, a_{10}, a_{12}, a_{18}}$	$(q-1)^4(q-2)(q+1)/3$	$q^2$
			$\mathcal{F}_{11}^{f \text{ odd}, 5}$	$\chi_{c_2, 5, c_3, 7}^{a_6, a_8, a_9, a_{10}, a_{12}, a_{18}}$	$2(q-1)^4(q-2)(q-3)$	$q^2/2$
			$\mathcal{F}_{11}^{f \text{ odd}, 6}$	$\chi_{d_2, 5, d_3, 7}^{a_6, a_8, a_9, a_{10}, a_{12}, a_{18}}$	$8(q-1)^4(q-2)(q-5)/3$	$q^2/4$

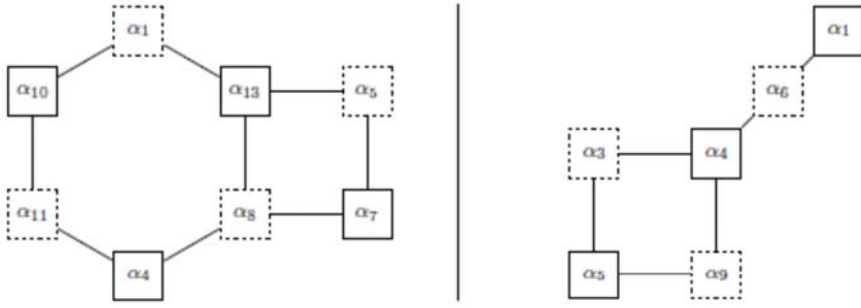


FIGURE 1. Two examples of graphs as described above in the case  $G = F_4(2^f)$ . On the left,  $\mathcal{S}$  and  $\mathcal{Z}$  correspond to the  $[4, 12, 9]$ -core associated to  $\mathcal{F}_{7,1}$ . On the right,  $\mathcal{S}$  and  $\mathcal{Z}$  are taken with respect to the only  $[5, 11, 6]$ -core; here  $|\mathcal{T}| = 1$ , and  $\Delta \in \mathcal{T}$  is the graph with edges  $\{\alpha_4, \alpha_6\}$  and  $\{\alpha_6, \alpha_1\}$ . The vertices in  $\mathcal{J}$  (resp.,  $\mathcal{I}$ ) are those surrounded by a straight (resp., dotted) box.