UNIVERSITY OF PRETORIA FACULTY OF VETERINARY SCIENCE DEPARTMENT OF PARACLINICAL SCIENCES

TITLE OF THE RESEARCH PROJECT:

Pathology of complicated *Babesia rossi-*associated acute lung injury and respiratory distress syndrome in dogs

THE DEGREE:

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DECLARATION

I, **Collin Armand Martin**, hereby declare that the work on which this thesis is based is my own independent work and that neither the whole work nor part of it has been, is being, or shall be submitted for another degree at this or another university, institution for tertiary education or professional examination body.

Collin Martin

Date

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ETHICS STATEMENT

I, Collin Armand Martin, have obtained the necessary research ethics approval (V073-16) for the research described in this dissertation; I declare that I have obtained the ethical standards required in terms of the University of Pretoria's code of ethics for researchers and the policy guidelines for responsible research.

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ABREVIATION LIST

Ab- Antibody **AHSV** – African Horse Sickness Virus ALI – Acute Lung Injury **ARDS** – Acute Respiratory Distress Syndrome **BALT** – Bronchial associated lymphoid tissue **CC** – Control Case **CHV** – Canine Herpes Virus CXCL-10 - CXC Chemokine Ligand-10 C3H/HeN – C3H/He mice C57BL/6J - C57 Black 6 Mouse - Jackson Laboratories **DPS** – Department of Paraclinical Science **EACC** – European American Consensus Committee EqNALI/EqNARDS - Equine Neonatal Acute Lung Injury / Equine Neonatal Acute **Respiratory Distress Syndrome** FVS - Faculty of Veterinary Science at the University of Pretoria FFPE - Formalin-Fixed, Paraffin-Embedded Tissue **H&E** – Haemotoxylin and Eosin stain HMGB-1 – High-mobility group box – 1 protein **HPF** – 400x magnification high power field. **ICAM-1** – Inter-cellular Adhesion Molecule – 1 IHC - Immunohistochemistry IL-1 – Interleukin 1 IL-4 – Interleukin 4 IL-6 – Interleukin 6 MA-ARDS – Malaria-associated Acute Respiratory Distress Syndrome MCP-1 – Monocyte chemotactic factor - 1 **NE-ARDS**- Neonatal Acute Respiratory Distress Syndrome **OVAH** – Onderstepoort Veterinary Academic Hospital **PBANKA -** Plasmodium berghei ANKA **PBNK65** – *Plasmodium berghei* strain NK65 PCAS - Plasmodium chabaudi AS **PIMS** – Pulmonary Intravascular Macrophages **SP** – Section of Pathology **SOP** – Standard Operating procedure TC – Test Case $TGF-\beta$ – Transforming Growth Factor – Beta $T_{\rm H}1 - T$ Helper 1 $T_H2 - T$ Helper 2 **TNF-α** – Tumour Necrosis Factor - alpha

VetARDS - Veterinary Acute Respiratory Distress Syndrome

VetALI – Veterinary Acute Lung Injury

 $\textbf{VE-Cadherin}-Vascular-Endothelial\ Cadherin$

VCAM-1 - Vascular Cell Adhesion Molecule - 1

WA1 – *Babesia* type WA1 – Isolated from human in Washington State, United States of America.

THE PATHOLOGY OF COMPLICATED BABESIA ROSSI-ASSOCIATED ACUTE LUNG INJURY AND RESPIRATORY DISTRESS SYNDROME IN THE DOG

By

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A proportion of *Babesia rossi* infections in dogs are classified as complicated. One of the most lethal complications is the acute lung injury (ALI) and acute respiratory destress syndrome (ARDS). Patients affected by this complication usually succumb within 24 hours and there are similarities between this disease and malaria associated ALI and ARDS in humans, both rapidly fatal conditions. Both diseases are caused by haemoprotozoal parasites transmitted by insect vectors, namely ticks for babesiosis and mosquitoes for malaria.

The pulmonary pathology of complicated babesiosis is poorly described and the aim of this study is to provide a thorough histomorphological analysis with immunohistochemical labelling of leukocytes to further define the immune cell population.

The left caudal lung lobes from 11 *Babesia rossi* infected dogs and 4 healthy controls were examined with standard light microscopy and immunohistochemical markers applied. Markers included CD204 (resident tissue macrophages and dendritic cells), MAC387 (monocyte-macrophages of bone marrow origin), CD3 (mature T-lymphocytes), CD20 (mature B-lymphocytes and normal plasma cells), Mum-1 (plasma cells) and PAX-5 (immature and mature B-lymphocytes).

Histopathology showed a severe increase in monocyte-macrophages within the alveolar walls and lumens. This was invariably accompanied by alveolar oedema as well as multifocal to coalescing areas of haemorrhage. Immunohistochemical labelling showed

a significant increase in MAC387, CD204 and CD3 positive cells in the infected cases compared to healthy control dogs.

This study provided novel insights into the pathology of and similarities between babesia and malaria associated ALI/ARDS in dogs and humans, respectively. Further fine ultrastructural examination of the pulmonary vascular endothelium is required in future studies.

CHAPTER ONE: INTRODUCTION

Acute lung injury (ALI) and acute respiratory distress syndrome (ARDS) are clinical syndromes characterised by arterial hypoxaemia caused by pulmonary pathology that leads to a reduction in the efficiency of gaseous exchange¹⁰⁵. Diagnosis of ALI and ARDS can only be reached if cardiac causes of dyspnoea have been ruled out¹⁰⁵. It is important to realise that ALI and ARDS are on a continuum with ALI being less severe than ARDS, but as the pulmonary injury and hypoxaemia worsens, ALI progresses to ARDS.

Acute lung injury and ARDS are infrequently observed in veterinary medicine, but they are commonly fatal. It is not a diagnosis in and of itself but is rather the end result of a variety of diseases and conditions that are responsible for the underlying lung pathology. Recognising when the syndrome is present is important from a treatment and prognosis point of view. Acute lung injury and ARDS should be a differential diagnosis for any patient presenting with acute onset severe dyspnoea.

Acute lung injury and ARDS were first described by Ashbaugh et el^6 in 1967 in human patients. An adult respiratory distress syndrome was initially described but eventually the clinical term Acute Respiratory Distress Syndrome was coined because it was also found to occur in children¹⁰². Since these initial studies, a vast amount of research has been done as ALI /ARDS is still a leading cause of mortality in human medicine. It is estimated that 40 to 60% of human patients diagnosed with these syndromes, despite all the latest technological advances, will die as a result¹⁰². Acute lung injury and ARDS is one of the leading causes of death in human *Plasmodium spp.* infection (mostly *P. falciparum* and less commonly *P. vivax*⁵) and has been extensively studied⁹⁴. Malaria and babesiosis are similar diseases in the respect that they are both caused by vector-borne protozoal parasites which share similar morphological and structural characteristics (both belonging to the phylum Apicomplexa, which have apical complexes allowing for host cell penetration), as well as disease pathogenesis (by invading erythrocytes) and evoke a similar host immune response¹³. Murine models exist for both *Plasmodium* and *Babesia* infection and the lesions observed show striking similarities and even a degree of cross-protection was observed in some $cases^{15}$.

There numerous potential risk factors which may incur ALI/ARDS. One of the most common and well characterised is systemic bacterial infection or sepsis and septic shock^{90,12,101} which occur in all species, especially in immunocompromised patients and gram negative infections whereby lipopolysaccharides or endotoxins are released into systemic circulation⁶⁴.

The typical clinico-pathological hallmarks of uncomplicated canine babesiosis include lethargy, haemolytic anaemia with a water-hammer pulse, pyrexia and splenomegaly. The "atypical" or "complicated" form includes acute renal failure, immune-mediated haemolytic anaemia, rhabdomyolysis, cerebral signs, hepatopathy, haemoconcentration, disseminated intravascular coagulation, acute pancreatitis, myocardial infarction as well as pulmonary oedema^{103,67}. The symptoms observed with uncomplicated canine babesiosis are directly related to haemolytic anaemia whereas the complicated forms are appear to be triggered by the host inflammatory response and cannot be directly attributed to haemolysis⁴⁰. Maegraith et al. first described the pulmonary pathology in dogs that died from what we now refer to as "complicated" canine babesiosis as early as 1957⁶⁰. In that paper, 25/34 patients showed some pulmonary pathology varying from slight hyperaemia to severe pulmonary oedema, especially in the fatal cases. Shock lung or acute interstitial pneumonia has long been recognised as a significant lesion in complicated canine babesiosis infection³⁹ but the pathology has never been properly investigated or described in detail.

This review will summarise what is known of the pathology at all levels (including the basic pathogenesis) of ALI/ARDS in both the human medical and veterinary literature.

CHAPTER TWO: LITERATURE REVIEW

2.1. Expanded Definition of ALI/ARDS

Most research on ALI/ARDS has been done within the realm of human medicine and a joint European-American Consensus committee (EACC) was convened in 1992 in order to create a standardised definition for use by researchers and clinicians⁹. Acute lung injury is specifically defined as bilateral pulmonary damage in the absence of left-sided heart failure⁵⁰. Causes and mechanisms of pulmonary damage/injury are vast and varied and will be covered separately (Sections 2.2 and 2.3). Regardless of cause, ALI often progresses to ARDS, which is typically characterised by worsening pulmonary hypertension, aggregation of neutrophils within the pulmonary vasculature, diffuse alveolar damage, permeability oedema and hyaline membrane formation⁶⁴. Hyaline membranes (Figure 1) are accumulations of necrotic cellular debris, fibrin and surfactant that adhere to denuded alveolar basement membranes¹⁰².



Figure 1: Human lung, hyaline membrane formation (Thick arrow)¹⁰².

A recent paper published by Wilkins et al¹⁰⁵ detailed a meeting between experts in the field of veterinary emergency and critical care who were tasked to come up with a consensus for ALI/ARDS that was specific for use in the veterinary field¹⁰⁵. Three definitions emanated from the meeting. The first one refers specifically to equine neonates younger than 24 hours and is termed Neonatal Equine Respiratory Distress Syndrome (NERDS). The second definition pertains to equine neonates older than one

week of age termed Equine Neonatal Acute Lung Injury / Equine Neonatal Acute Respiratory Distress Syndrome (EqNALI/EqNARDS). The third definition, which is applicable to this review, is termed Veterinary Acute Lung Injury and Veterinary Acute Respiratory Distress Syndrome (VetALI/VetARDS). Delegates established that there was insufficient information to be species-specific (pertaining to the last definition) but they were able to develop a general definition for mammals. Using the EACC methodology, they proposed five criteria of which the first 4 are prerequisite for the diagnosis of VetALI/VetARDS. The fifth criterion was deemed exceedingly invasive, although highly recommended, and was therefore made optional. The salient points are presented in table 1. **Table 1:** Criteria for the diagnosis of veterinary Acute Lung Injury (ALI) and Acute Respiratory Distress Syndrome(ARDS)¹⁰⁵

1.) Acute onset of tachypnoea and dyspnoea at rest		
a. Less than 72 hours duration		
2.) Presence of known Risk factors		
3.) Pulmonary capillary leakage without increased pulmonary capillary pressure		
a. No evidence of cardiac failure causing cardiogenic pulmonary oedema		
b. Thoracic radiographs: Bilateral diffuse thoracic infiltrates		
c. Computed Tomography: Bilateral Dependant Density		
d. Proteinaceous Fluid in the conducting Airways		
e. Increased Extravascular Lung Water		
4.) Inefficient Gas Exchange		
a. Hypoxaemia		
i. Without assisted positive end expiratory pressure ventilation		
ii. Known Fraction of inspired Oxygen(FiO ₂)		
iii. Partial Pressure of alveolar Gas(PaO ₂) to fraction inspired oxygen ratio(FiO ₂) (Carrico index)		
1. VetALI it must be more than or equal to 300mmHg		
2. VetARDS it must be more than or equal to 200mmHg		
iv. Increased alveolar-arterial oxygen gradient		
v. Venous admixture		
b. Increased dead space ventilation		
5.) Diffuse pulmonary inflammation.		
a. Neutrophilia and/or inflammatory biomarkers seen in transtracheal washes or bronchoalveolar lavages		
b. Molecular imaging using positron emission tomography		

In summary, these criteria include acute onset tachypnoea or dyspnoea within 72 hours, the presence of a known risk factor or risk factors for the development of ARDS, evidence of pulmonary capillary leakage without cardiogenic causes, proof of inefficient gaseous exchange as evidenced by hypoxaemia and finally, the presence of diffuse pulmonary inflammation.

2.2. **Risk Factors for ALI/ARDS**

Using the basic risk factors provided by Wilkins et al. in the Havemeyer working group, an expanded set of risk factors is provided in table 2¹⁰⁵ and the veterinary literature was used to add risk factors where possible. Risk factors can be regarded as either primary respiratory disorders that cause direct lung injury or are associated with systemic disorders or involvement where the lung injury is indirect¹⁹. This classification will be used in this review.

Acute lung injury and ARDS are most commonly observed with bacterial and aspiration pneumonias as well as in sepsis and shock⁷³ and the pulmonary injury is mediated by inflammatory cells and not the inciting cause per se. Thus sepsis would also be classified as indirect injury. Canine parvovirus is another indirect cause of ALI/ARDS as the parvovirus does not directly affect the respiratory epithelium or vascular endothelium. Rather immunosuppression, aspiration of vomitus, and secondary sepsis due to denuded intestinal mucosa with subsequent bacterial translocation, may result in bacterial pneumonia. Direct causes include those factors that damage to the blood-air barrier directly and would include African horse sickness virus, paraquat toxicity or smoke inhalation, etc.

Risk Factor Category	Direct injury	Systemic involvement
Infectious		
Viral	African horse Sickness virus ^{96,97}	Canine Parvovirus ⁹³
	Respiratory syncytial virus ⁸⁶	
	Feline calici virus ⁸⁶	
	Canine herpes virus ¹⁸	
Protozoal		Canine babesiosis ^{17,62,39}
		Toxoplasma gondii ⁸⁶
Bacterial		Bacterial Pneumonia ⁷³
		Sepsis and endotoxaemia ^{19,86}
Metabolic		Uraemia ⁸⁶
Systemic inflammatory		Acute necrotising pancreatitis ⁵⁸
		Massive bee envenomation ¹⁰⁰
		Snakebite hypersensitivity
Severe Trauma	Pulmonary contusion ¹⁹	Long bone fracture ⁷²
	Airway obstruction ²¹	Cranial trauma – Neurogenic
	Strangulation ⁸⁶	Electrocution ²¹
	Lung lobe torsion and	
	Ventilator-induced injury ⁸⁶	
Miscellaneous	Near drowning ²²	Multiple blood transfusions ⁹¹
	Thermal injuries such as smoke	Burn wounds ^{16,87}
	Aspiration of stomach contents –	
Drugs		Anaesthesia ⁴⁶
Toxins	Paraquat ⁴³	
	Chlorhexidine Gluconate ³⁵	
	Kerosene ⁸⁶	
Genetics		Dalmations ⁴²

Table 2: Selected risk factors associated with the development of Acute Lung Injury and Acute Respiratory Distress Syndrome in veterinary patients.

2.3. Pathophysiology of ALI/ARDS

In essence, ALI/ARDS, independent of the inciting causes, involve a breakdown of the alveolar-capillary membrane, causing a disruption in the blood-air barrier. This membrane consists of two essential components, endothelial cells lining the alveolar capillaries and type I and type II pneumocyte epithelial cells that line the alveolus⁵⁰ as illustrated in figure 2 below.



Figure 2: Normal (left) vs injured (right) alveolus. Note that the pathological sequence of events is triggered by activated alveolar macrophages and neutrophils (circled)¹⁰².

Type I pneumocytes are elongated, slender epithelial cells that line most of the alveolus whilst type II pneumocytes are fewer in number but are rounded and larger than type I pneumocytes. Type II pneumocyte functions include the manufacture of surfactant for maintenance of the structural integrity of the alveolus, the absorption of fluid within the alveolus and the production of epithelial basement membrane. Loss of surfactant would cause an increase in surface tension in the alveolus causing it to collapse, whilst the inhibition of fluid absorption would result in the development of alveolar oedema^{86,55}. An additional cell type, namely the Clara cell, is present in the bronchiolar epithelium and they have a similar light microscopic appearance to type II pneumocytes. Clara cells are also referred to as secretory bronchiolar epithelial cells and they have numerous functions including the synthesis of surfactant, metabolism of xenobiotics and repair of the respiratory tract⁸⁶. They are most abundant in the terminal bronchioles and respiratory bronchioles, especially in the rat, mouse, guinea pig and rabbit. In the cat and dog they make up over 95% of the epithelium in

respiratory bronchioles⁷⁴. Clara cells also have specific features that are easy to identify ultrastructurally, including abundant agranular (smooth) endoplasmic reticulum in their apex and ovoid, membrane-bound cytoplasmic granules⁷⁴.

Normally vascular endothelial cells and type I pneumocytes are tightly adhered to a shared, fused basement membrane, resulting in a narrow interstitial space, thereby minimising the distance over which gaseous exchange occurs. Gaseous exchange therefore has to take place across four cell membranes and a fused basement membrane. In humans the average thickness of the barrier is $2.2\mu m^{92}$ whilst in dogs it is $1.78\mu m^{65}$. An additional advantage of such a thin and compact alveolar wall is that fluid does not leak easily into the alveolus. However, damage to any one of these alveolar wall components would obviously severely compromise lung function.

During ALI/ARDS the damage may be limited to either the pneumocytes or the endothelium or both components may be injured simultaneously. During indirect injury, disruption of the alveolar-capillary membrane is mediated by inflammatory cells, predominantly neutrophils and macrophages. The sequence of events often starts with macrophages (Figure 2)^{101,102}. An insult precipitated by one or other risk factor occurs, which results in intra-alveolar macrophages secreting pro-inflammatory cytokines such Interleukin-1(IL-1), Interleukin-6(IL-6), Interleukin-8(IL-8) and Tumour Necrosis Factor alpha $(TNF-\alpha)^{64}$. These pro-inflammatory cytokines cause endothelial activation which stimulates recruitment, vascular adhesion and extravasation of neutrophils into the interstitium by altering the membrane expression of selectins and other cell surface proteins. These same cytokines also activate the neutrophils themselves to release enzymes such lecithinases, proteases, superoxide free radicals and more pro-inflammatory cytokines to perpetuate the process⁵⁰. It has been postulated that ALI/ARDS is the result of an imbalance of pro- and antiinflammatory mediators (which include interleukin-4 (IL-4), transforming growth factor - beta (TGF-B) etc.) as both types of mediators are always being produced, but certain disease states and conditions favour the action of the pro-inflammatory mediators²⁶. Pro-inflammatory cytokine release causes severe local tissue damage which culminates in necrosis. With damage to the pneumocytes and/or endothelium, which may vary in severity from sub-lethal cell injury to irreversible necrosis, the blood-alveolar membrane disintegrates resulting in the extravasation of fluid into alveolar lumens with the development of non-cardiogenic pulmonary oedema. Type I pneumocytes are ten times less permeable than endothelial cells⁸⁶ but damage to these cells alone can cause excessive leakage of interstitial fluid into the alveolus. In human malaria-associated ARDS (MA-ARDS) resulting from primarily Plasmodium *falciparum* infection, the alveolar damage appears to be mediated primarily by monocytes, macrophages and lymphocytes instead of neutrophils⁹⁴.

Direct injury to endothelial cells also occurs and endotheliotropic viruses, for example African horse sickness virus (AHSV)⁹⁶ or canine herpes virus (CHV) cause severe damage to the endothelial lining of the pulmonary vasculature resulting in acute interstitial pneumonia. In addition to direct damage, activation of cells in close association with the endothelium, specifically pulmonary intravascular macrophages

(PIMS), causes the release of inflammatory mediators including TNF- α and IL-1 which recruit leukocytes to the area and induce further indirect pulmonary injury¹¹.

Pulmonary intravascular macrophages are not present in large numbers in all species. Large populations have been demonstrated in horses, ruminants and cats and in some other species but not (thus far) in canines^{106,20,11}. They are large macrophages which attach to the luminal endothelium by means of membrane-adhesive complexes (best visualized via transmission electron microscopy), which distinguish them from normal circulating monocytes²⁰. The presence of pulmonary intravascular macrophages has been postulated as an important factor in the pathogenesis of (indirect) lung injury in horses with AHSV as they produce pro-inflammatory mediators including IL-1, TNF- α , Leukotriene B4 and Thromboxane A2¹¹. However, in anaesthetised sheep, a reduction in the PIM population resulted in attenuated/decreased severity of pulmonary hypertension and microvascular leakiness in response to endotoxin injury⁸³. Similarly, a depletion in PIMS resulted in decreased pulmonary inflammation in calves infected with *Mannheimia haemolytica⁸⁰*.

In recent years, much attention has been paid to endothelial cell activation. The mechanisms underlying endothelial activation are extremely complex. Broadly speaking, the term is used to imply phenotypical changes that the vascular endothelium undergoes, which result in a pro-inflammatory and/or pro-coagulant state. It usually occurs as a response to external stimuli and is considered fundamental to the progression of ALI and ARDS by many clinicians and investigators¹¹⁰.

Pro-inflammatory cytokines trigger endothelial cells to express cell surface protein receptors which aid in the recruitment of leukocytes by causing them to marginate, roll, adhere and transmigrate through the endothelium, thus allowing for the development of an inflammatory response in the associated tissues. These endothelial adhesion molecules include the selectin and selectin-ligand family such as E-selectin, P-selectin and CD34 (GlyCam-1) as well the integrin and integrin-ligand family such as intercellular adhesion molecule-1 (ICAM-1) and vascular cell adhesion molecule-1 (VCAM-1)⁵⁰. CD31 is another molecule that is expressed on both endothelial and leukocyte cell surfaces and this allows for the homotypic interactions necessary for the transmigration of leukocytes across the endothelium⁵⁰.

Immunohistochemical (IHC) markers have been developed to investigate endothelial activation in humans and animals. The use of IHC to detect ICAM-1 expression on endothelial cells has been used in canine models of myocardial infarction⁴⁸. Intercellular adhesion molecule-1 and CXC Chemokine Ligand-10 (CXCL-10) have also been found to be expressed in murine models of MA-ARDS and decreasing the expression of these molecules in these models resulted in decreased leucocyte extravasation and less severe pulmonary pathology, resulting in increased survival rates⁹⁴.

In studies that have looked at the pulmonary histopathology in cases of canine babesiosis, mention has been made of diffuse and marked peracute interstitial pneumonia, hyaline membranes, alveolar oedema and haemorrhage¹⁷ as well as septal

vessel congestion and frank pulmonary oedema with haemorrhage⁶⁰. No ultrastructural studies were found pertaining to the lung pathology in canine babesiosis.

In human cases of ARDS, the alveolar epithelium often shows severe pathology compared to the endothelium⁹². Microscopic pathology that has been described includes type I pneumocyte necrosis and sloughing leaving behind a denuded alveolar basement membrane, resulting in the development of hyaline membranes^{92,2}. Type II pneumocytes are known to be much more resistant to injury than type I pneumocytes but they may still undergo necrosis. The end result is the loss of epithelial membrane integrity with the movement of interstitial fluid into the alveolus⁹².

2.4. Temporal aspects of the pathology of ALI/ARDS

In the human and veterinary literature, the type of lesion most commonly associated with ALI/ARDS is diffuse alveolar damage^{86,12,102}. However, other pulmonary pathology such as diffuse interstitial pneumonia and non-cardiogenic pulmonary oedema can result in arterial hypoxaemia.

Diffuse alveolar damage can be grouped according to three temporal stages depending on the time that has elapsed since the initial insult and the subsequent chronological development of lesions^{12,90,92,102}. More than one phase can be present at any one time. The three stages include an acute exudative stage, followed by a sub-acute proliferative stage and finally a chronic fibrotic stage.

Most research has been devoted to the acute stage and macroscopic pathology characterising the proliferative and fibrotic phases of ALI / ARDS is not often encountered in veterinary medicine or pathology due to the highly fatal nature of the acute phase. In human medicine, due to more advanced interventions and treatments that lead to increased survival times, a proliferative stage occurs when the intraalveolar and interstitial exudates begin to organise⁹². The proliferative phase eventually leads to the fibrotic phase which is characterized heavy and grey lungs. A cobblestone appearance is also possible late in the disease due to irregular fibrosis¹².

The pathology and pathophysiology of ALI/ARDS due to babesia and malaria infection have been extensively studied in humans^{94,89} as well as in murine models^{32,1,59,84,95}. A summary of the macroscopic and microscopic changes associated with this disease is presented below and will also be scored according to criteria established for fatal natural African Horsesickness virus in dogs⁷⁰.

2.5. Macroscopic pulmonary pathology in ALI/ARDS

Normal dog lungs appear homogenously light pink in colour and are well aerated as illustrated in figure 3 below.



Figure 3: Normal canine lung, inflated. Note the light pink, pillowy appearance in all lung lobes. Image obtained from the pathology database, Section of Pathology, Department of Paraclinical Science, Faculty of Veterinary Science, University of Pretoria.

Macroscopic changes described for ALI/ARDS are similar to acute interstitial pnuemonia⁶⁴. The lesions tend to be generalised in distribution (often affecting all the lung lobes) or they may have a more dorso-caudal distribution. On opening the thoracic cavity, the lungs may fail to collapse and have distinct rib impressions on the pleural surface. The appearance of the lungs depends on the phase of injury. In the acute phase the lungs are diffusely red, the texture is elastic or rubbery and the cut surface may have a "meaty" appearance which would be dark red with an increased consistency. No exudate is visible in the bronchi or on the pleural surfaces and pulmonary oedema may be visible along with interstitial emphysema due to dyspnoea. The accumulation of cells and fluid would also significantly increase the weight of the lungs. In the chronic phase, the lungs may have a diffusely grey to mottled red appearance and would have significantly increased weight and a rubbery texture⁶⁴.

2.5.1. Macroscopic pulmonary pathology in complicated canine babesiosis

The pulmonary pathology associated with complicated canine babesiosis has not yet been investigated thoroughly but was identified as early as 1957⁶⁰ and is a common complication³⁹. The macropathology that has been described thus far includes mild hyperaemia and oedema^{60,17}.

2.5.2. Macroscopic pulmonary pathology in human malaria-associated ALI/ARDS

In human MA-ARDS, similar macroscopic pathology was noted, but the oedema was severe. Lung weight was increased with occasional punctate/petechial pleural or intrapulmonary haemorrhages as well as pleural or pericardial serous effusion⁸⁹.

2.5.3. Macroscopic pathology in murine malaria-associated ALI/ARDS In a murine model of MA-ARDS using C57BL/6J mice infected with *Plasmodium berghei* strain NK65 exhibited swelling of the lungs as well as multiple petechiae (Figure 4)⁹⁵.



Uninfected

PcAS d10



PbANKA d7 PbNK65 d10

Figure 4: Representative lungs from uninfected mice and mice infected with *Plasmodium chabaudi* AS (10 d post-infection), *Plasmodium berghei* strain NK65 (10 d post-infection), or *Plasmodium berghei* ANKA

(7 d post-infection) were photographed. Note the swelling and dark brown discolouration (black star) in the 10 day post-infection sample 95 .

2.5.4. Macroscopic pathology of murine babesia-associated ALI/ARDS A model using C3H/HeN mice infected with WA-1 babesia showed pale lungs covered with petechiae while the trachea and bronchi were filled with serosanguinous fluid³².

2.6. Microscopic pulmonary pathology in ALI/ARDS

Figure 5 illustrates the histology of normal canine lungs in which it is important to note the absence of fluid and inflammatory cells within the alveoli, as well as the thin, one red blood cell-in-diameter thickness of the alveolar wall.



Figure 5: Histology of normal dog lung. Note the thin alveolar walls and absence of oedema and inflammatory cells within alveolar walls and lumens 400×. From the pathology database, Section of Pathology, Department of Paraclinical Science, Faculty of Veterinary Science, University of Pretoria.

The acute exudative of stage ALI/ARDS occurs within 12-24 hours of lung injury and is characterised by alveolar capillary congestion/hyperaemia, interstitial oedema and protein-rich alveolar oedema as well as intra-alveolar haemorhage^{12,92,102}. It is during this phase that the typical eosinophilic hyaline membrane develops. This linear membrane can be seen to line the walls of the alveoli and it consists of cellular debris, surfactant and plasma proteins including albumin, fibrinogen, immunoglobulins¹² and complement⁹². The alveolar ducts appear dilated⁹². Other changes include alveolar septal thickening with increased myxoid matrix produced by fibroblasts and myofibroblasts. The interstitium is infiltrated by macrophages, lymphocytes and plasma cells. The epithelial layer along the alveolar basement membrane is lost and attempts at healing result in epithelialisation (after 3-6 days), which marks the transition from the acute to the subacute proliferative phase. These regenerative epithelial cells can appear quite atypical, showing an increased nucleocytoplasmic ratio, karyomegaly, chromatin clumping as well as prominent, magenta nucleoli and mitotic figures¹². Most veterinary patients present during this stage. The subacute or proliferative phase is characterised by the spread of type II pneumocytes across the injured alveolar membranes where type I pneumocytes have undergone necrosis. A layer of plump cuboidal epithelium lining the alveoli is the most commonly recognised marker of this phase⁸⁶, which can be seen from 2-6 days post injury^{86,12}. Type II pneumocytes are much plumper (more cuboidal) than type I pneumocytes and hence gaseous exchange is adversely affected due to the increased distance between the alveolar air and intravascular erythrocytes. Type II pneumocytes are responsible for laying down new basement membrane in the event of basement membrane injury. They have the ability to differentiate into type I pneumocytes if the injurious agent is removed⁸⁶. At this point healing may be complete if the initial insult was mild and of short duration, otherwise the lesion progresses to the chronic fibrotic stage.

The third and final stage is characterised histologically by the development of fibrovascular granulation tissue either in the alveolus or within the interstitium. Fibroblasts invade the oedema fluid from as early as three days post-injury ⁸⁶ where they start to organise it into fibrous connective tissue. Collagen deposition by fibroblasts can start from 3-5 days after injured and complete fibrosis can be accomplished by day 14.

2.6.1. Microscopic pulmonary pathology in complicated canine babesiosis

Limited case reports and descriptions have been published since the initial Maegraith et al. study⁶⁰. Lesions that have been reported include interstitial pneumonia, hyaline membrane formation, alveolar oedema and haemorrhage (figure 6)¹⁷. Mild thickening of the alveolar membranes was noted due to an increase in alveolar macrophages³⁷.



<u>**Figure 6:**</u> Histopathology of the lungs during complicated canine babesiosis (H&E stain, $\times 200$). Note the presence of haemorrhages (black star), hyaline membranes (block arrow), and congestion of capillaries (thin black arrows)¹⁷.

2.6.2. Microscopic pulmonary pathology in human malaria-associated ALI/ARDS

Pulmonary histopathology in human MA-ARDS is characterised by congested alveolar septa with parasitized red blood cells, multifocal haemorrhages and widespread pulmonary oedema (Figure 7)^{85,89}. Another less frequently encountered histological lesion includes hyaline membrane formation, but thrombosis and infarction are not generally observed⁸⁹.



Figure 7: A. Pulmonary histopathology in a fatal case of adult falciparum malaria. There is expansion of alveolar capillaries by sequestered parasitized erythrocytes and host inflammatory leukocytes (thin black arrow). Monocytes and neutrophils within alveolar septal capillaries (haematoxylin and eosin [H&E] staining, magnification \times 400. B. More severe pulmonary histopathology from another fatal case of falciparum malaria with host leukocytes expanding the alveolar septa (thin black arrow), intra-alveolar haemorrhage and pulmonary oedema (black star), hyaline membrane formation (thick black arrow) as part of a picture of diffuse alveolar damage (H&E staining, magnification x200⁸⁹.

2.6.3. Microscopic pulmonary pathology in murine malaria-associated ALI/ARDS

In a murine model of MA-ARDS using C57BL/6J mice infected with *Plasmodium berghei* strain NK65, frozen lung sections were evaluated at day 8 post-infection which showed interstitial oedema and severe leukocyte infiltration. By day 10 a prominent eosinophilic hyaline membrane was visible in the alveoli which is characteristic of ARDS (Figure 8). Mice that survived to day 12 showed severe hyaline membrane formation as well as widespread alveolar and interstitial leukocyte infiltration⁹⁵.



Figure 8: Lungs of *Plasmodium berghei* strain NK65-infected mice were dissected at 8, 10 and 12 days post-infection and sections were stained with H&E. Representative examples are shown (original magnification, $\times 20$; scale bars, 100 µm)⁹⁵. Note the progressive development of pulmonary oedema (black stars) along with leukocyte infiltration.

2.6.4. Microscopic pulmonary pathology in murine babesia-associated ALI/ARDS

The pulmonary histopathology observed in the murine C3H/HeN WA-1 babesia model included congested small- to medium-sized pulmonary veins which were lined by plump endothelial cells with marginated mononuclear cells and neutrophils. The perivascular stroma around the veins was expanded and had a lacy appearance (perivascular oedema) with scattered interstitial macrophages and lymphocytes (Figure 9A). The alveoli also contained homogenous eosinophilic fluid consistent with pulmonary oedema as well as vacuolated alveolar macrophages³² (Figure 9B).



Figure 9: Pulmonary histopathology in *Babesia*-infected mice. A. Section of lung from a WA-1 infected mouse showing a small pulmonary vein occluded by mononuclear inflammatory cells. B. Section of lung from a WA-1 infected mouse showing the presence of vacuolated alveolar macrophages in the alveolar lumens (arrows)³².

2.7. Immunohistochemistry

Immunohistochemistry uses specific antibodies/immunoglobulins to label antigens of interest within tissue sections and was first utilised in 1941¹⁴. The immunoglobulin molecule has binding sites and can be tailored to recognise any required antigen. Immunoglobulins also have binding sites for other antibodies. It is these two properties which can be exploited in order to label antigens and allow their visualisation by means of light or fluorescent microscopy in tissue sections⁷⁵.

This procedure therefore allows for the identification of cell types which normally cannot be differentiated with standard light microscopy e.g. B versus T lymphocytes. Another application is for the detection of the expression of various proteins on the surface of cell membranes or within the cytoplasm or nucleus of cells. These proteins include transcription factors, integrins or apoptotic markers amongst others. Immunohistochemistry enables the detection of normal versus altered phenotypic expression and the technique can therefore be used to enhance our understanding of pathogenesis⁷⁶.

A great variety of mono- and polyclonal mouse, rabbit and goat antibodies have been generated and optimised for immunohistochemistry in formalin-fixed, paraffinembedded (FFPE) dog tissues, especially during the last 25 years. What follows in table 3 below is a list of antibodies that were used to further investigate the pulmonary pathology (including underlying pathogenesis) associated with complicated *B. rossi* infection in dogs.

2.8. **Problem and Hypothesis**

From the literature review it is clear that although ALI/ARDS have been well researched and described in human medicine, there is very little on the pathology of ALI/ARDS in the veterinary literature. In addition, almost nothing has been described for babesia-associated ARDS beyond a few case reports with very cursory pathology descriptions.

2.8.1. Problems

- A. There is very little in the literature describing the pulmonary pathology associated with ALI/ARDS in dogs.
- B. There is no detailed description of the pulmonary pathology caused by complicated canine babesiosis in dogs.
- C. There is no comparison between the pulmonary pathology caused by *B. rossi* infection in dogs and that of human malaria and murine malaria and babesiosis.

2.8.2. Hypotheses

- A. The pulmonary injury in cases of complicated canine babesiosis will dovetail with the Havemeyer working group criteria for ALI/ARDS.
- B. The pulmonary histopathology in cases of complicated canine babesiosis will be very similar to what has been described in the murine babesia model.
- C. An inflammatory cellular infiltrate consisting primarily of mononuclear cells (macrophages / monocytes / lymphocytes) will be present within the alveolar interstitium.
- D. Immunohistochemistry using CD3, CD20, MAC387 and CD204 antibodies will quantify the extent and proportion these mononuclear cell populations in the inflammatory infiltrate.
- E. The pulmonary histopathology in complicated canine babesiosis will be similar to what has been described for human malaria-associated ALI/ARDS.
- F. The pulmonary histopathology in cases of complicated canine babesiosis will be very similar to what has been described in the murine model of malaria-associated pulmonary pathology.
- G. VCAM-1 expression on pulmonary endothelial cells will be upregulated during complicated canine babesiosis.

2.9. Benefits arising from the experiment

- A. The generation of detailed macroscopic and microscopic descriptions and possible elucidation of underlying pathophysiologic mechanisms of ALI/ARDS in complicated canine babesiosis. This will fill a significant gap in the knowledge and understanding of ALI/ARDS in dogs.
- B. A broader aim of the babesia work at Onderstepoort is to initiate a direct comparison between the dog disease and human malarial disease (especially at the pathological level). This study is the first step towards this comparison and it is envisaged that canine babesiosis may serve as a model for at least some aspects of human malarial disease.

- C. At least one high quality publication in an ISI journal will result from this work. A research abstract will be submitted for presentation as a poster or oral presentation at relevant local and international conferences.
- D. This research will be conducted in order to fulfil some of the requirements for the MMedVet (Pathology) degree.

2.10. **Objectives**

- A. To generate detailed macro- and microscopic as well as immunohistochemical pulmonary pathological descriptions for dogs that died of complicated babesiosis caused by *B. rossi*.
- B. To semi-quantify some of the different cell populations and processes within the lungs of dogs with canine babesiosis (including lymphocytes, macrophages, plasma cells, apoptotic cells and endothelial cells) using immunohistochemistry.

CHAPTER THREE: MATERIALS AND METHODS

3.1. Experimental design

3.1.1. Model system and justification of the model

A. This project was a prospective cohort descriptive case control study on dogs that died naturally or were euthanased due to complicated B. *rossi* infection.

3.1.2. Experimental animals: Inclusion criteria

- A. Eleven dogs of any body weight, age, breed and sex, naturally infected with *Babesia rossi* that were diagnosed with the infection based on a positive thin blood smear were included. Mono-infection with *B. rossi* was confirmed based on a PCR and reverse line blot assay which will exclude other haemoparasitic infections such as *Anaplasma* or *Ehrlichia spp.* as well as other *Babesia spp. as* per protocol VO34-14. Only dogs that died as a result of the infection or that were euthanized at the owner's request were included.
- B. Only animals that can be sampled within 18 hours to 24 hours of death were included.

3.1.3. Experimental animals: Exclusion criteria

- A. Any animal received any treatment for babesia in the 4 weeks of life preceeding presentation tow the OVAH.
- B. Any animal that received any steroidal or non-steroidal treatment in the preceding 4 weeks preceding their presentation.
- C. Any animal that was suspected or confirmed to have any comorbid infectious disease.

3.1.4. Control animals: Exclusion criteria

Dogs were not be selected for the control group if:

A. Any blood parasite was diagnosed at a molecular level.
B. Any significant co-morbid disease was diagnosed clinically or at the time of necropsy.

3.1.5. Source of Experimental animals

A. Cases selected for the experimental cohort were sourced from the OVAH and selected private veterinary practices in close proximity to the OVAH as well as from existing collaborators involved in a separate research project (protocol V034-14) managed by Professor Andrew Leisewitz.

3.1.6. Source of Control animals

A. The control cohort (CC's) included four dogs that were euthanized at a shelter or welfare organisation and the OVAH on humane grounds (protocol V034-14).

3.1.7. Post-mortem examination and sample collection

A standard necropsy examination was performed within 18 hours of death at the PS, DPS, FVS, UP. Special emphasis was placed on acquiring representative lung tissue samples as quickly as possible before alveolar collapse or autolysis became significant. Photographs and detailed descriptions of the macroscopic pulmonary pathology were also performed to aid further interpretation of the histopathology as described below.

During post-mortem, two pieces of lung tissue from the cranial and caudal lobes from both left and right lung lobes measuring approximately 1cm³ and representative of the overall macroscopic pathology were carefully collected into separate, labelled bottles containing 10% buffered-formalin using a sharp pair of scissors and knives to minimise tissue crush artefact. Both samples per lobe were evaluated to ensure homogeneity of the pulmonary pathology.

After fixation for 2-6 days, each FFPE lung sample was trimmed to a 5x5x2-3mm tissue section which was randomly selected from each case (including controls) for IHC using a simple random selection procedure provided the pulmonary pathology was homogenous per dog.

The left caudal lungs lobes were selected for closer examination. One sample per case was re-embedded into a test block with 6 cases per test block. Two separate test blocks were created for a total of 12 cases. All control lung sections were embedded into a single control block. The newly created wax blocks were carefully labelled with the correct case identification number to prevent misidentification. Slides generated from these blocks were processed and stained according to standard immunohistochemical procedures as described below.

3.1.8. Sample processing

Formalin-fixed tissues were cut in by the primary investigator and placed in histology cassettes between 2-6 days after collection to facilitate immunohistochemical evaluation without the deleterious effect of over-fixation in formalin. Sections of

5x5x2-3mm were taken from the middle of each $1cm^3$ sample to minimise tissue crush artefact. Tissue processing, embedding, sectioning and staining was performed according to Bancroft and Gamble⁷

In summary, the following were sampled and processed: eleven babesia-infected cases and four control cases.

A. Light microscopy:

- a. Eight x 1cm³ lung samples per case in formalin (two samples per lobe for the cranial and caudal lobes of both the left and right lung lobes) for the babesia-infected cases.
- b. Four x 1cm³ lung samples per case per case in formalin (one sample per lobe for the cranial and caudal lobes of both he left and right lungs) for the control cases.
- c. Four tissue cassettes/wax blocks per case for the babesia-infected and control cases.
- B. Immunohistochemistry:
 - a. Two tissue cassettes/wax blocks per six babesia-infected cases for immunohistochemistry.
 - b. One tissue cassette/wax block per fourcontrol cases for immunohistochemistry.
 - c. Total of three wax blocks for immunohistochemistry.

3.1.9. Tissue sections

- A. Four H&E stained sections per case x (eleven babesia-infected plus six control) cases = total of 64 H&E-stained sections.
- B. Seven antibodies $\mathbf x$ (Two babesia-infected plus one control) blocks for a total of 21 IHC-labelled sections

3.1.10. Immunohistochemistry technique

Routine immunoperoxidase staining was performed according to the standard operating procedures in the IHC laboratory, according to guidelines supplied by the manufacturer's specification sheets. In general, the procedure entailed manual processing using presented procedures⁷⁶. In summary, 4μ m-thick formalin fixed, paraffin-embedded sections were mounted on positively-charged Superfrost® glass slides. Routine deparaffinisation was performed using two changes of xylene whereafter the use of graded alcohol baths with distilled water was utilised for rehydration. 3% hydrogen peroxide in methanol was used to quench endogenous peroxidase activity for 15 minutes. Heat-induced epitope retrieval (HIER) was performed by microwaving in citrate buffer (pH 6) at 96°C and then allowed to cool on the bench to room temperature. Slides were rinsed in distilled water 3 times followed by 0.1 molar (M) phosphate buffer saline (PBS), pH 7.6, containing 0.1% bovine serum albumin (BSA), rinsing for 10 minutes follow by incubation with the primary antibody (table 3). Slides were rinsed again in distilled water followed by 10 minutes in PBS-BSA. Target antigens were then be detected using the BioComplex polymer system (Reference QD420-YKE, Lot QD420915) according to manufacturer's instructions. Sections were

subsequently exposed to one drop of DAB substrate (DAB buffer lot HK5200715) and DAB chromogen (lot HK1240515) (Vector Laboratories, Burlingame, California, USA) and counterstained with Mayer's Haematoxylin. Buffer and irrelevant mono- and polyclonal antibodies were substituted for the primary antibodies in the case of negative reagent controls. Positive controls were performed during the initial antibody optimisation and included reactive dog lymph node for lymphocyte, plasma cells and macrophages markers and normal puppy bone marrow for MAC387.

3.1.11. Examination

All H&E stained sections were examined using a light microscope with the aid of a histopathology checklist (Appendix 2). All subset of sections was also be checked by the co-supervisor in concert with the primary investigator.

3.2. Data Analysis

This study was primarily descriptive at the light microscopic level with additional immunohistochemistry. Samples from all four of the main lung lobes were examined and the lesions observed were relatively uniformly distributed throughout all four lobes, but the left caudal lobe showed the least variation. Thus, the left caudal lung lobes were selected for closer examination and description and IHC.

A recent dissertation by Nicolize O'Dell describing the pathology of natural African horsesickness virus infection in dogs created an objective histological lung scoring system⁷⁰ establishing semi-quantitative parameters for scoring lesion presence/absence, intensity and distribution (Appendix 1). A scoring sheet was adapted from this and used to score each of the naturally infected *B. rossi* cases as well as the control cases and is also available in the appendix 2. Briefly, the lung was divided into anatomical compartments and evaluated for inflammation, haemorrhage, congestion, apoptosis, endothelial cell activation, thrombosis and oedema. The anatomical regions include the alveolar wall, alveolar lumen, perivascular interstitium, peribronchiolar interstitium, bronchiolar lumen as well as sub-pleural interstitium. The scoring table was divided into three sections for ease of presentation. Sheet A contains lesion severity scores, sheet B, the presence or absence of lesion and Sheet C, the lesion distribution scores (Appendix 3).

For the various immunohistochemical stains, the number of positive cells for each of the major regions of interest (alveoli, alveolar walls and peribronchial interstitium) were determined for five 400× high-power fields and an average determined. The areas selected for examination were free of artefactual spaces and cracks and still had a recognisable anatomic structure. The same procedure was used on the normal control lungs to establish an average as well as a standard deviation which was subsequently used to create a normal range for non-diseased lungs. Immunohistochemical descriptions were used for stains where scoring was not applicable or appropriate.

3.3. **Experimental animals**

Not applicable as no experimental animals wer utilised.

3.4. **Staff**

Staff

Category	Staff member	Description
Primary researcher	Dr Collin A Martin (Idexx	Post mortems, sample
0	Laboratories)	collection and analysis
Supervisor	Prof. Andrew Leisewitz	Post mortems, sample
	(Department of Companion	collection
	Animal Clinical Science,	
	FVS)	
Co-supervisor	Dr Sarah J Clift (SOP, DPS,	Assitance with
	FVS)	histopathology and IHC
	Dr Alischa Henning (SOP,	Post mortems
	DPS, FVS)	
Histotechnologists	Mr. P Mokonoto (SOP, DPS,	Routine H&E slide
	FVS)	preparation.
	Mrs N Timmerman(SOP,	Routine H&E slide
	DPS, FVS)	preparation.
Immunohistotechnologist	Mrs RM Phaswane (SOP,	Immunohistochemical
	DPS, FVS)	slide preparation

3.5. Facilities

The post mortem hall, histotechnology and immunohistochemistry laboratories at the SP, DPS, FVS, UP.

3.6. Equipment

3.6.1. Macropathology

- A. Routine Post mortem equipment including knives, scalpels, cutting boards, scissors, shears and hacksaws.
- B. Photography using Apple® IPhone or Samsung® Galaxy S5.

3.6.2. Routine Histology

- A. 10% buffered formalin bottles.
- B. Sundries (Latex gloves, cutting blades, microtome blades).
- C. Histology grade paraffin wax.
- D. Xylene and deionised water and H&E stain.
- E. Routine histological processing into wax blocks using the Shandon Excelsior tissue processor.
- F. Sectioning using a standard histopathology microtome.
- G. Routine staining using the Shandon® Varistain gemini automated H&E stainer.
- H. Glass cover slips.

- I. Mounting medium (EntellanTM).
- J. Light microscopic analysis using an Olympus® BX43 microscope with an Olympus® DP72 camera mated to a computer equipped with Olympus® cellSens software image processing technology.

3.6.3. Immunohistochemistry

- A. Tissue sections were mounted on Superfrost® glass slides.
- B. Glass cover slips.
- C. Mounting medium (EntellanTM).
- D. Sundries (Latex gloves, cutting blades, microtome blades).
- E. Antibodies (please see table 3).

Table 3: List of antibodies with target cell/process, supplier, type and clone and catalogue number

Antibody	Target cells / process	Supplier	Dilution	Type (clone)	Catalogue
					number
CD20	Expressed by B-Lymphocytes and	Dako®	1:700	Mouse Monoclonal	M0755, lot
	normal dog plasma cells ^{44,38} . This			anti-human CD20cy	110
	antibody will allow for quantification of			B cell – (L26)	
	B-lymphocytes and plasma cells within				
	the region of interest.				
CD204	Expressed by resident tissue	Transgenic	1:400	Mouse monoclonal	NBP2-03600
	macrophages as well as dendritic	Inc.		anti-human CD204	
	macrophages ⁴⁵ . CD204-positive staining			antibody	
	macrophages will be compared with				
	MAC387 positive fixed and bone-				
	marrow derived monocytes-macrophages				
	and neutrophils.				
CD3	Expressed by T-Lymphocytes ^{24,3} . This	Dako®	1:600	Polyclonal rabbit	A0452
	antibody will allow for quantification of			anti-human CD3	
	T-lymphocytes in a region of interest				
	and will allow determination of the				
	proportion of B- to T-Lymphocytes i.e.				
	humoral vs. cell-mediated immunity.				
MAC387	Myeloid/histiocyte antigen expressed by	Dako®	1:800	Mouse Monoclonal	M0747
	circulating and tissue neutrophils,			antihuman	
	monocytes and reactive tissue			myeloid/histiocyte	
	macrophages and eosinophils but not			antigen – (MAC387)	
	dendritic cells 10 .				
Mum1	Expressed in the late stages of B-	Dako®	1:50	Mouse Monoclonal	M7259
	lymphocyte development toward and			anti-human Mum1	
	including the plasma cell ³⁴ . Many			protein – (MUM1p)	
	plasma cells may suggest a significant				
	role for humoral immunity in the				
	pathogenesis of ALI/ARDS in				
	complicated babesiosis.				
PAX-5	Expressed in early B-cell lymphocyte	BD	1:50	Mouse Monoclonal	610863
	development as well as naïve and	Biosciences		Anti-Pax-5 antibody	
	mature B-lymphocytes except plasma			-(151-306)	
	cells ³⁴ . This antibody will allow us to				
	quantify the number of non-plasma cell				
	B-lymphocytes in the region of interest.				
VCAM-1	Expressed on activated endothelial cells	Novus	1:150	Mouse monoclonal	NBP2-03600
	in order to facilitate leukocyte	biologicals®		antihuman VCAM1	
	migration. The expression of VCAM-1			– (3H10)	
	has been used as a marker of				
	endothelial activation and is				
	upregulated by TNF- a^{107} . This molecule				
	allows for the adhesion of leukocytes to				
	endothelial cells, which may be one of				
	the main triggering mechanisms for				
	ALI/ARDS. It is a receptor for				
	blood colle to or dath alial will in the				
	blood cells to endothelial cells in the				
	humon 271 and in musica model 223				
	numans ¹⁺ and in murine models ²⁰ as				
	well as numan endothelial cell cultures				
	co-cultured with malaria ¹⁰⁰ .				

3.7. **Records**

After completion of the study

- All FFPE, HE stained tissue sections are archived with Professor Andrew Leisewitz in his designated storage facility at the OVAH, FVS, UP.
- Photomicrographs, data collection sheets, excel tables and all related documents such as clinicopathologic findings were saved on external hard drives and on multiple DVD's belonging to the primary researcher and supervisors along with cloud-based backups such as Dropbox for all data files.
- The results of the project will be submitted in the form of a mini-dissertation as a requirement for the completion of a MMedVet (Pathology) degree at the FVS, UP.

3.8. **Declaration of conflict of interest**

No conflicts of interest have been declared. Full funding has been received from an NRF research grant (Reference: CPRR13080726333, Grant No: 91572,31 March 2014) obtained by Professor Andrew Leisewitz.

3.9. Ethical Considerations

Professor Andrew Leisewitz has already received authorisation from the Ethics committee as this project falls under a much larger protocol to investigate the use of canine babesiosis as a model or human malaria (V034-14).

CHAPTER FOUR: RESULTS

4.1. Gross pathology

4.1.1. Control cases

The majority of the control cases (CC's) showed lungs with a pale pink to slightly reddish colour with an elastic, pillowy texture (Fig 4).

4.1.2. Naturally infected *B. rossi* cases

Lesions varied greatly between cases, but multifocal, often coalescing haemorrhages were a consistent finding resulting in a dark red, mottled appearance (Figure 10). Severe diffuse haemorrhage was noted in cases as well (Figure 11). There was always significant pulmonary oedema as evidenced by increased weight and consistency as well as oozing on cut section (Figure 12). Many cases also showed marked accumulation of thick tracheal froth (Figure 13).).



Figure 10: Lung. Multifocal discrete, often coalescing pulmonary petechial and ecchymotic haemorrhages.



 $\underline{Figure \ 11:} \ Lung. \ Severe \ diffuse \ pulmonary \ haemorrhage \ with \ at electas is \ and \ multifocal \ emphysema.$



Figure 12: Lung, cut section. Severe pulmonary oedema with oozing of fluid and froth.



Figure 13: Trachea, opened. Severe accumulation of pulmonary oedema/froth within the tracheal lumen.

4.2. Histopathology

4.2.1. Control cases

4.2.1.1. Standard H&E histological examination

Standard histological examination of the control lungs showed a normal appearance with thin alveolar walls and occasional mild congestion. There was minimal inflammatory infiltrate as well as any significant pulmonary oedema (<u>Figure 5</u>).

4.2.1.2. O'Dell lung scoring system

The O'Dell lung scoring system⁷⁰ was applied to all the cases and the findings are summarised in Tables 4 and 5. Please refer to Appendix 1 for the grading criteria.

Table 4: Babesia related ALI/ARDS control cases summary score sheet A. Adapted severity scores⁷⁰.

	Number of cases with individual scores							
Parameter / Anatomical location	Minimal (0)	%	Mild (1)	%	Moderate (2)	%	Severe (3)	%
Autolysis	4	100.0	0	0.0	0	0.0	0	0.0
Congestion	0	0.0	4	100.0	0	0.0	0	0.0
Alveolar walls								
Inflammatory cell infiltrate								
Monocyte-macrophages	4	100.0	0	0.0	0	0.0	0	0.0
Lymphocytes	4	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	4	100.0	0	0.0	0	0.0	0	0.0
neutrophils	4	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	4	100.0	0	0.0	0	0.0	0	0.0
Apoptosis	4	100.0	0	0.0	0	0.0	0	0.0
Microvascular endothelial cell activation								
Nuclear hypertrophy/activation	4	100.0	0	0.0	0	0.0	0	0.0
Alveolar lumen								
Inflammatory cell infiltrate								
Monocyte-macrophages	4	100.0	0	0.0	0	0.0	0	0.0
Lymphocytes	4	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	4	100.0	0	0.0	0	0.0	0	0.0
neutrophils	4	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	4	100.0	0	0.0	0	0.0	0	0.0
Fibrin	4	100.0	0	0.0	0	0.0	0	0.0
Haemorrhage	4	100.0	0	0.0	0	0.0	0	0.0
Oedema	4	100.0	0	0.0	0	0.0	0	0.0

Perivascular interstitium								
Inflammatory cell infiltrate								
Monocyte-macrophages	4	100.0	0	0.0	0	0.0	0	0.0
Lymphocytes	4	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	4	100.0	0	0.0	0	0.0	0	0.0
neutrophils	4	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	4	100.0	0	0.0	0	0.0	0	0.0
Fibrin	4	100.0	0	0.0	0	0.0	0	0.0
Haemorrhage	4	100.0	0	0.0	0	0.0	0	0.0
Oedema	4	100.0	0	0.0	0	0.0	0	0.0
Microvascular endothelial cell activation								
Nuclear hypertrophy/activation	4	100.0	0	0.0	0	0.0	0	0.0
Lymphatic vessel distension	4	100.0	0	0.0	0	0.0	0	0.0
Subpleural interstitium								
Inflammatory cell infiltrate								
Monocyte-macrophages	4	100.0	0	0.0	0	0.0	0	0.0
Lymphocytes	4	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	4	100.0	0	0.0	0	0.0	0	0.0
neutrophils	4	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	4	100.0	0	0.0	0	0.0	0	0.0
Fibrin	4	100.0	0	0.0	0	0.0	0	0.0
Haemorrhage	4	100.0	0	0.0	0	0.0	0	0.0
Oedema	4	100.0	0	0.0	0	0.0	0	0.0
Peribronchiolar interstitium								
Inflammatory cell infiltrate								
Monocyte-macrophages	4	100.0	0	0.0	0	0.0	0	0.0
Lymphocytes	4	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	4	100.0	0	0.0	0	0.0	0	0.0
neutrophils	4	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	4	100.0	0	0.0	0	0.0	0	0.0
Fibrin	4	100.0	0	0.0	0	0.0	0	0.0
Haemorrhage	4	100.0	0	0.0	0	0.0	0	0.0
Oedema	4	100.0	0	0.0	0	0.0	0	0.0
Bronchiolar lumen								
Inflammatory cell infiltrate								
Monocyte-macrophages	4	100.0	0	0.0	0	0.0	0	0.0
Lymphocytes	4	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	4	100.0	0	0.0	0	0.0	0	0.0
neutrophils	4	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	4	100.0	0	0.0	0	0.0	0	0.0

Fibrin	4	100.0	0	0.0	0	0.0	0	0.0
Haemorrhage	4	100.0	0	0.0	0	0.0	0	0.0
Oedema	4	100.0	0	0.0	0	0.0	0	0.0

Table 5: Babesia related ALI/ARDS control cases summary score sheet B. Presence or absence of lesion⁷⁰.

	Quantity of cases with individual scores					
Parameter / Anatomical location	Present (1)	%	Absent (0)	%		
Alveolar walls						
Thrombosis	0	0.0	4	100.0		
Alveolar Lumen						
Hyaline membrane	0	0.0	4	100.0		
Pleural mesothelium						
Hypertrophy/activation	0	0.0	4	100.0		
Peribronchiolar interstitium						
Anthracosis	0	0.0	4	100.0		

No haemorrhages were observed in the control sections. Hence Sheet C, pertaining to the lesion distribution was not applicable.

4.2.2. Naturally infected *B. rossi* cases

4.2.2.1. Standard H&E histopathology summary

The individual case reports in are Appendix 5 and the following generalised observations are based on those findings.

Within the alveolar spaces, almost all cases showed a marked increase in alveolar macrophages (Figure 14, Figure 17, Figure 18) which was often accompanied by severe pulmonary oedema (Figure 14, Figure 19, Figure 26) as well as multifocal to coalescing and occasionally focally extensive haemorrhage (Figure 17, Figure 18, Figure 19, Figure 21). The alveolar macrophages were often foamy and contained small quantities of brown pigment consistent with haemosiderin.

The alveolar interstitium was often severely infiltrated by monocyte-macrophages results in increased alveolar wall thickness(<u>Figure 14, Figure 15, Figure 16</u>). This was often combined with moderate to severe congestion and hyperaemia (<u>Figure 27</u>) as well as mononuclear leukostasis. Endothelial cell activation, characterised by plump endothelial cell nuclei, was also present (<u>Figure 24, Figure 25, Figure 26, Figure 27</u>) and there was mild perivascular oedema as well.

Visible *B. rossi* intraerythrocytic piroplasm's were visible in three cases. Cell death characterised by visible karyorhexis and karyolysis were noted occasionally along with blastic cells as well as megakaryocytes (<u>Figure 23</u>).

The bronchioles often contained oedema, fibrin and haemorrhage (<u>Figure 21</u>) and occasionally a few neutrophils.

Perivascular lymphoplasmacytic cuffing was also noted in two cases (<u>Figure 22</u>) and there were prominent intravascular mononuclear leukostasis (<u>Figure 22</u>).

Intravascular haemosiderophages and megakaryocytes were also variably present. (<u>Figure 23</u>). Dark brown to black, refractive intracytoplasmic carbon pigment was noted in areas of the bronchial associated lymphoid tissue consistent with anthracosis.

Although not prominent, one case showed a large intravascular fibrin thrombus formation in bronchial vasculature (<u>Figure 28</u>). Atelectasis as well as occasionally scattered alveolar emphysema were noted, and a few scattered neutrophils but only in two cases.



Figure 14: Case 4. Focal severe alveolar macrophage infiltration with pulmonary oedema (thick arrow) and mild interstitial expansion due to perivascular lymphocyte and plasma cell accumulation (star). 200× magnification.



<u>Figure 15:</u> Case 6. Moderate thickening alveolar walls due to mononuclear leukocytic infiltration (thin arrow) with endothelial cell activation and leukostasis (Thick arrow). $200 \times$ magnification.



Figure 16: Case 9. Severe interstitial mononuclear expansion (Thick arrow) with scattered apoptosis (Thin arrow) and pulmonary oedema (star). 400× magnification.



Figure 17: Case 1. Severe mononuclear alveolar inflammatory cell infiltrate charachterised by alveolar macrophages and fewer neutrophils (thick arrow) with haemorrhage and fibrin exudation extending into the alveolar ducts (thin arrow). 400× magnification.



Figure 18: Case 1. Severe mononuclear alveolar inflammatory cell infiltrate (thick arrow) with haemorrhage and fibrin exudation (Star) as well as endothelial cell activation (thin arrow), lymphocyte margination (triangle) and perivascular infiltration. 400× magnification.



Figure 19: Case 2. Severe moderately protein rich alveolar oedema (thin arrow) with moderate haemorrhage (thick arrow). $200 \times$ magnification.



Figure 20: Case 1. Subpleural haemorrhage (thick arrow), Severe alveolar infiltrate with haemorrhage (thin arrow). 200× magnification.



Figure 21: Case 1. Mild bronchiolar haemorrhage (thin arrow) with alveolar fibrin exudation (star) and moderate alveolar mononuclear cell infiltrate (thick arrow). 200× magnification.



Figure 22: Case 2. Perivascular lymphoplasmacytic cuffing (thick arrow) with alveolar oedema and haemorrhage (star) as well as endothelial cell activation (thin arrow). Also present is intravascular mononuclear leukostasis (triangle). 200× magnification.



<u>Figure 23:</u> Case 8. Intravascular haemosiderophages (thin arrows) and megakaryocytes (thick arrow). $400 \times$ magnification.



<u>Figure 24:</u> Case 8. Moderate alveolar haemorrhage with fibrin (thick arrow) and endothelial activation (thin arrow). $400 \times$ magnification.



<u>Figure 25:</u> Case 9. Severe interstitial mononuclear expansion, endothelial cell activation (thin arrow) with mild alveolar haemorrhage. $400 \times$ magnification.



Figure 26: Case 9. Severe interstitial mononuclear expansion with endothelial cell activation and intravascular mononuclear leukostasis (thin arrow). Moderate alveolar haemorrhage and fibrin (star) and severe high protein content pulmonary oedema (thick arrow). $400 \times$ magnification.



<u>Figure 27:</u> Case 10. Moderate endothelial cell activation and hyperaemia (thin arrow). $200 \times$ magnification.



Figure 28: Case 11. Moderate interstitial mononuclear expansion (thin arrow) with alveolar oedema (star). Large intravascular thrombus with enmeshed large monocytes (thick arrow). $200 \times$ magnification.

4.2.2.2. O'Dell lung scoring system

All the naturally infected *B. rossi* cases were scored using the reviewed O'Dell lung scoring system⁷⁰ and a summary is presented in tables 6-8. The grading criteria are available in Appendix 1.

		Numbe	r of c	ases wi	th ind	ividual	score	
Parameter / Anatomical location	Minimal (0)	%	Mild (1)	%	Moderate (2)	%	Severe (3)	%
Autolysis	11	100.0	0	0.0	0	0.0	0	0.0
Congestion	3	27.3	6	54.5	2	18.2	0	0.0
Alveolar walls								
Cell infiltrate								
Monocyte-macrophages	1	9.1	6	54.5	2	18.2	2	18.2
Lymphocytes	5	45.5	5	45.5	1	9.1	0	0.0
Plasma cells	9	81.8	2	18.2	0	0.0	0	0.0
neutrophils	11	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	11	100.0	0	0.0	0	0.0	0	0.0
Apoptosis	2	18.2	8	72.7	1	9.1		0.0
Microvascular endothelial cell activation								
Nuclear hypertrophy/activation	0	0.0	3	27.3	6	54.5	2	18.2
Alveolar lumen								
Cell infiltrate								
Monocyte-macrophages	0	0.0	5	45.5	5	45.5	1	9.1
Lymphocytes	11	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	11	100.0	0	0.0	0	0.0	0	0.0
neutrophils	9	81.8	2	18.2	0	0.0	0	0.0
Eosinophils	11	100.0	0	0.0	0	0.0	0	0.0
Fibrin	5	45.5	2	18.2	3	27.3	1	9.1
Haemorrhage	2	18.2	5	45.5	2	18.2	2	18.2
Oedema	0	0.0	2	18.2	1	9.1	8	72.7
Perivascular interstitium								
Cell infiltrate								
Monocyte-macrophages	6	54.5	3	27.3	2	18.2	0	0.0
Lymphocytes	6	54.5	3	27.3	2	18.2	0	0.0
Plasma cells	7	63.6	4	36.4	0	0.0	0	0.0
neutrophils	11	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	11	100.0	0	0.0	0	0.0	0	0.0
Fibrin	9	81.8	2	18.2	0	0.0	0	0.0

Table 6: Babesia related ARDS summary score sheet A. Severity of lesion

Haemorrhage	9	81.8	2	18.2	0	0.0	0	0.0
Oedema	1	9.1	6	54.5	3	27.3	1	9.1
Microvascular endothelial cell activation						-		
Nuclear hypertrophy/activation	0	0.0	4	36.4	6	54.5	1	9.1
Lymphatic vessel distension	10	90.9	1	9.1	0	0.0	0	0.0
Subpleural interstitium								
Cell infiltrate								
Monocyte-macrophages	11	100.0	0	0.0	0	0.0	0	0.0
Lymphocytes	11	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	11	100.0	0	0.0	0	0.0	0	0.0
neutrophils	11	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	11	100.0	0	0.0	0	0.0	0	0.0
Fibrin	10	90.9	0	0.0	1	9.1	0	0.0
Haemorrhage	10	90.9	0	0.0	1	9.1	0	0.0
Oedema	6	54.5	5	45.5	0	0.0	0	0.0
Peribronchiolar interstitium								
Cell infiltrate						-		
Monocyte-macrophages	11	100.0	0	0.0	0	0.0	0	0.0
Lymphocytes	11	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	11	100.0	0	0.0	0	0.0	0	0.0
neutrophils	11	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	11	100.0	0	0.0	0	0.0	0	0.0
Fibrin	10	90.9	1	9.1	0	0.0	0	0.0
Haemorrhage	10	90.9	1	9.1	0	0.0	0	0.0
Oedema	11	100.0	0	0.0	0	0.0	0	0.0
Bronchiolar lumen								
Cell infiltrate						-		
Monocyte-macrophages	10	90.9	1	9.1	0	0.0	0	0.0
Lymphocytes	11	100.0	0	0.0	0	0.0	0	0.0
Plasma cells	11	100.0	0	0.0	0	0.0	0	0.0
neutrophils	11	100.0	0	0.0	0	0.0	0	0.0
Eosinophils	11	100.0	0	0.0	0	0.0	0	0.0
Fibrin	11	100.0	0	0.0	0	0.0	0	0.0
Haemorrhage	4	36.4	7	63.6	0	0.0	0	0.0
Oedema	2	18.2	7	63.6	2	18.2	0	0.0

	Number of cases with individual scores						
Parameter / Anatomical location	Present (1)	%	Absent (0)	%			
Alveolar walls							
Thrombosis	2	18.2	9	81.8			
Alveolar Lumen							
Hyaline membrane	0	0	11	100.0			
Pleural mesothelium							
Hypertrophy/activation	1	9.1	10	90.9			
Peribronchiolar interstitium							
Anthracosis	5	45.5	6	54.5			

Table 7: Babesia related ARDS summary score sheet B. Presence or absence of lesion.

Table 8: Babesia related ARDS summary score sheet C. Lesion distribution.

	Number of cases with individual scores							
Anatomical location/Parameter	Focal	%	Multifocal	%	Coalescing	%	Diffuse	%
Lesion distribution	0	0.0	1	9.1	4	36.4	6	54.5
Alveolar lumen								
Distribution of Haemorrhage	0	0.0	7	77.8	1	11.1	1	11.1
Perivascular interstitium								
Distribution of Haemorrhage	0	0.0	2	100.0	0	0.0	0	0.0
Subpleural interstitium								
Distribution of haemorrhage	0	0.0	0	0.0	0	0.0	1	100.0
Peribronchiolar interstitium								
Distribution of Haemorrhage	0	0.0	1	100.0	0	0.0	0	0.0
Bronchiolar lumen								
Distribution of Haemorrhage	4	57.1	3	42.9	0	0.0	0	0.0

Autolysis was minimal in all the 11 samples. Congestion/hyperaemia was absent in 3/11 (27.3%) of cases while congestion was mild in 6/11 (54.5%) and moderate in 2/11 (18.2%) of cases.

Within the alveolar walls, the inflammatory cell infiltrate, apoptosis and microvascular endothelial activation was scored along with presence or absence of thrombosis. Monocyte-macrophage infiltration was severe in 2/11 (18.2%) of cases, moderate in 2/11 (18.2%), mild in 6/11 (54.5%) and absent in 1/11 (9.1%). Lymphocytes were moderate in 1/11 (9.1%), mild in 5/11 (45.5%) and absent in 5/11 (45.5%). Plasma cells were mild in 2/11 (18.2%) and absent in 9/11 (81.8%) of cases. Neutrophils and eosinophils were completely absent in all 11 cases. Cell death was moderate in 1/11 (9.1%), mild in 8/11 (72.7%) and not visible in 2/11 (18.2%) of cases. Nuclear hypertrophy/activation was severe in 2/11 (18.2%), moderate in 6/11 (54.5%) and mild in 3/11 (27.3%). Thrombosis was present in 2/11 (18.2%) and absent in 9/11 (81.8%) of cases.

Similar inflammatory cell infiltration parameters were also evaluated in the alveolar lumens along with fibrin, haemorrhage, oedema and hyaline membrane formation. Monocyte-macrophage infiltrations were severe in 1/11 (9.1%), moderate in 5/11 (45.5%) and mild in 5/11 (45.5%) of cases. Neutrophils were mild in 2/11 (18.2%) and absent in 9/11 (81.8%) of cases. Lymphocytes, plasma cells and eosinophils were absent in all cases. Fibrin was severe in 1/11 (9.1%), moderate in 3/11 (27.3%), mild in 2/11 (18.2%) and absent in 5/11 (45.5%) cases. Alveolar haemorrhage was severe in 2/11 (18.2%), moderate in 2/11 (18.2%), mild in 5/11 (45.5%) and absent in 2/11 (18.2%), moderate in 2/11 (18.2%), mild in 5/11 (45.5%) and absent in 2/11 (18.2%) cases. Additionally, haemorrhage was multifocal in 7/9 (77.8%), coalescing in 1/9 (11.1%) and diffuse in 1/9 (11.1%) cases in the histology sections. Oedema was severe in 8/11 (72.7%), moderate in 1/11 (9.1%) and mild in 2/11 (18.2%) cases. True hyaline membranes were not seen in any of the cases.

The perivascular interstitium was also evaluated for inflammatory cell infiltration, fibrin, haemorrhage, oedema, microvascular endothelial cell activation as well as lymphatic distension. Monocyte-macrophages infiltration was moderate in 2/11 (18.2%), mild in 3/11 (27.3%) and absent in 6/11 (54.5%) cases. Lymphocytic infiltration was moderate in 2/11 (18.2%), mild in 3/11 (27.3%) and absent in 6/11 (54.5%) cases. Plasmacytic infiltration was mild in 4/11 (36.4%) and absent in 7/11 (63.6%) cases. Neutrophils and eosinophils were absent in all cases. Fibrin exudation was mild in 2/11 (18.2%) and absent in 9/11 (81.8%) cases. Haemorrhage was mild in 2/11 (18.2%) and absent in 9/11 (81.8%). Distribution of haemorrhage was multifocal in both cases. Oedema was severe in 1/11 (9.1%), moderate in 3/11 (27.3%), mild in 6/11 (54.5%) and absent in 1/11 (9.1%), moderate in 6/11 (54.5%) and mild in 4/11 (36.4%) cases. Lymphatic vessel dilation was absent in all but 1/11 (9.1%) in which it was mild.

The subpleural interstitium was evaluated for cellular infiltrate, fibrin, haemorrhage, oedema and mesothelial activation. No monocytes-macrophages, lymphocytes, plasma cells, neutrophils or eosinophils were present. Fibrin exudation was moderate in 1/11 (9.1%) and absent in 10/11 (90.9%) cases. Haemorrhage was absent in 10/11 (90.9%) and moderate in 1/11 (9.1%) cases which was multifocal. Oedema was mild in 5/11

(45.5%) and absent in 6/11 (54.5%) cases. Pleural mesothelial activation was only seen in 1/11 (9.1%) cases.

The peribronchiolar interstitium was also evaluated for cell infiltrate, fibrin, haemorrhage, oedema and anthracosis. Monocyte-macrophages, lymphocytes, plasma cells, neutrophils and eosinophils were absent in all cases. Fibrin was mild in (1/11) 9.1% and absent in 10/11 (90.9%) cases. Haemorrhage was absent in 10/11 (90.9%) and mild in (1/11) 9.1% cases and the distribution was multifocal. Oedema was absent in all cases. Anthracosis was present in 5/11 (45.5%) and absent in 6/11 (54.5%) cases.

The bronchiolar lumens were evaluated for cell infiltrate, fibrin, haemorrhage and oedema. Monocyte-macrophages were mild in 1/11 (9.1%) and absent in 10/11 (90.9%) cases. No lymphocytes, plasma cells, neutrophils or eosinophils were present. No fibrin exudate was visible either. Haemorrhage was absent in 4/11 (36.4%) and mild in 7/11 (63.6%) cases and the distribution was focal in 4/7 (57.1%) and multifocal in 3/7 (42.9%) cases.

4.3. Immunohistochemistry

4.3.1. Control cases

Immunohistochemistry was performed on the control cases and the results are summarised in table 9.

Marker	Region	Average number of positive cells / HPF	Standard Deviation	Minimum	Max
	Alveolar	0	0.0	0	0
CD20	Alveolar wall	0	0.0	0	0
	Peribronchial	0	0.0	0	0
CD3	Alveolar	0	0.0	0	0
	Alveolar wall	0	0.0	0	0
	Peribronchial	0	0.5	0	1
MUM-1	Alveolar	0	0.2	0	0
	Alveolar wall	0	0.4	0	1
	Peribronchial	0	0.0	0	0
	Alveolar	7	4.7	3	12
CD204	Alveolar wall	5	4.2	1	10
	Peribronchial	0	0.8	0	1
	Alveolar	0	0.0	0	0
PAX-5	Alveolar wall	0	0.0	0	0
	Peribronchial	0	0.0	0	0
	Alveolar	1	0.6	0	1
MAC387	Alveolar wall	9	5.9	3	15
	Peribronchial	3	3.6	0	7

Table 9: Immunohistochemistry control cases score summary sheet

Based on the control group, the following normal distributions were established using an average over all 4 cases with one standard deviation in each of the three regions of interest.

There were virtually no CD20-positive B-lymphocytes and normal plasma cells per 400X high power field (HPF) in either alveolar lumens, alveolar walls or peribronchial regions. There were scarce to any CD3 positive T-lymphocytes in the alveolar lumens and alveolar wall region, but the standard deviation was 0.5 in the peribronchial regions. Therefore, anything up to 1 CD3 positive T-lymphocyte per HPF was considered normal. There were no Mum-1 positive mature B-lymphocytes or plasma cells in the alveoli but they were present in the alveolar walls and peribronchial regions. The standard deviation was 0.4 in the alveolar wall and up to 1 Mum-1 positive mature B-cells and plasma may be seen per HPF in these regions. There was an average of 7 CD204 positive macrophages or dendritic cells per HPF in the alveoli with a standard deviation of 4.7. The normal ranges comprised between 3 and 12

CD204 positive macrophages and dendritic cells per HPF. The alveolar wall has an average of 5 CD204 positive macrophages and dendritic cells per HPF and a standard deviation of 4.2. The normal range was between 1 to 10 CD204 positive macrophages and dendritic cells per HPF. The peribronchial interstitium showed very few positive cells with 0 per HPF on average, but the standard deviation was 0.8, therefore, up to one CD204 positive macrophage and dendritic cell per HPF was considered normal. PAX-5 positive lymphocytes were extremely scarce and showed virtually no positive staining cells in any of the regions of interest. On average, there was 1 MAC387 positive leukocyte per HPF in the alveoli and the standard deviation was 0.6. Up to one MAC387 positive leukocyte per HPF may be considered normal. On average, there were 9 MAC387 positive leukocyte per HPF in the alveolar walls with a standard deviation of 5.9. The resulting a normal range is from 3 to 15 MAC387 positive leukocytes per HPF in the alveolar walls with a standard deviation of 3.6. Therefore, normal range is between 0 and 7 MAC387 positive leukocytes per HPF.

VCAM-1 immunohistochemistry was also performed on the control sections which showed strong positive staining of the endothelium in the alveolar capillaries forming almost parallel lines in a diffuse distribution.

4.3.2. Naturally infected *B. rossi* cases

4.3.2.1. CD20

The CD20 immunohistochemistry results are summarised in Table 10.

<u>**Table 10:**</u> Summary of CD20 immunohistochemistry results in dogs naturally infected with *B. rossi*.

			Control	Range
		Case Average positive		
		cells / HPF	Min	Max
	Alveolar	4.4	0	0
Case 1	Alveolar wall	0.2	0	0
	Peribronchial	1	1	1
	Alveolar	2.4	0	0
Case 2	Alveolar wall	0	0	0
	Peribronchial	1	1	1
	Alveolar	7.4	0	0
Case 3	Alveolar wall	0	0	0
	Peribronchial	0.8	1	1
	Alveolar	1.8	0	0
Case 4	Alveolar wall	7.6	0	0
	Peribronchial	0.8	1	1
	Alveolar	1	0	0
Case 5	Alveolar wall	0.4	0	0
	Peribronchial	0	1	1
Case 6	Alveolar	0.8	0	0
	Alveolar wall	2.4	0	0
	Peribronchial	0.6	1	1
	Alveolar	1.8	0	0
Case 7	Alveolar wall	6.2	0	0
	Peribronchial	0.6	1	1
	Alveolar	3.6	0	0
Case 8	Alveolar wall	6.4	0	0
	Peribronchial	0.2	1	1
	Alveolar	0.8	0	0
Case 9	Alveolar wall	3.8	0	0
	Peribronchial	0.2	1	1
	Alveolar	0.2	0	0
Case 10	Alveolar wall	0.2	0	0
	Peribronchial	0	1	1
	Alveolar	0	0	0
Case 11	Alveolar wall	0.6	0	0
	Peribronchial	0.2	1	1
	Alveolar	2.2	0	0
Total average	Alveolar wall	2.5	0	0
	Peribronchial	0.5	0	1

There were 0 to 7.4 CD20 positive B-lymphocytes and plasma cells per HPF with an average of 2.2 in the alveoli (Figure 29) which is a $2.2 \times$ increase compared to the controls (Figure 29) in this region. The alveolar walls ranged from 0 to 7.6 positive B-lymphocytes and plasma cells per HPF with an average of 2.5 which is $2.5 \times$ increase compared to the controls (Figure 29). In the peribronchial regions, there were between 0 and 1 positive B-lymphocytes and plasma cells with an average of 0.5 and this falls within the ranges observed in the control group.



Figure 29: Left. CD20 control section. **Right**. Case 7. CD20 positive B-lymphocytes and plasma cells within the alveola and alveolar walls (arrows). 400× magnification.

4.3.2.2. CD3

The CD3 immunohistochemistry results are summarised in table 11.

Table 11: Summary of CD3 immunohistochemistry in the lungs of dogs naturally infected *B. rossi*.

			Control range	
		Case Average positive		
		cells / HPF	Min	Max
Case 1	Alveolar	0	0	0
	Alveolar wall	8.4	0	0
	Peribronchial	0.2	0	1
	Alveolar	0	0	0
Case 2	Alveolar wall	1.4	0	0
	Peribronchial	0.2	0	1
	Alveolar	0	0	0
Case 3	Alveolar wall	18.6	0	0
	Peribronchial	0.2	0	1
	Alveolar	0	0	0
Case 4	Alveolar wall	6.2	0	0
	Peribronchial	0	0	1
	Alveolar	0	0	0
Case 5	Alveolar wall	0	0	0
	Peribronchial	0	0	1
	Alveolar	0	0	0
Case 6	Alveolar wall	4	0	0
	Peribronchial	0.2	0	1
	Alveolar	0	0	0
Case 7	Alveolar wall	29.4	0	0
	Peribronchial	0	0	1
	Alveolar	0	0	0
Case 8	Alveolar wall	15.4	0	0
	Peribronchial	0.4	0	1
Case 9	Alveolar	0	0	0
	Alveolar wall	38.4	0	0
	Peribronchial	0	0	1
	Alveolar	0	0	0
Case 10	Alveolar wall	1.2	0	0
	Peribronchial	0	0	1
Case 11	Alveolar	0	0	0
	Alveolar wall	13.4	0	0
	Peribronchial	0	0	1
Total average	Alveolar	0.00	0	0
	Alveolar wall	12.40	0	0
	Peribronchial	0.11	0	1

Within the alveoli, there were no CD3 positive T-lymphocytes in any of the naturally infected cases, which correlates with the normal control cases (Figure 30). The alveolar walls possessed from 1.4 to 29.4 CD3 positive T-lymphocytes per HPF with an average of 12.4 (Figure 30). This is a 12.4× increase compared to the normal range established in the controls. Between 0 to 0.4 CD3 positive T-lymphocytes per HPF were seen in the peribronchial regions, which was within the normal range established in the controls.



Figure 30: Left. CD3 control section. **Right.** Case 9. CD3 positive T-lymphocytes within the alveolar walls (arrow). 400× magnification.

4.3.2.3. Mum-1

The Mum-1 immunohistochemistry results are summarised in table 12.

			Control range	
		Case Average positive		
		cells / HPF	Min	Max
Case 1	Alveolar	0	0	0
	Alveolar wall	0	0	1
	Peribronchial	0.2	0	0
	Alveolar	0	0	0
Case 2	Alveolar wall	0	0	1
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 3	Alveolar wall	5.2	0	1
	Peribronchial	2.8	0	0
	Alveolar	0	0	0
Case 4	Alveolar wall	1	0	1
	Peribronchial	1	0	0
	Alveolar	0	0	0
Case 5	Alveolar wall	0	0	1
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 6	Alveolar wall	0.4	0	1
	Peribronchial	0.2	0	0
	Alveolar	0	0	0
Case 7	Alveolar wall	5.2	0	1
	Peribronchial	2.2	0	0
	Alveolar	0	0	0
Case 8	Alveolar wall	5.4	0	1
	Peribronchial	0.6	0	0
Case 9	Alveolar	0	0	0
	Alveolar wall	0	0	1
	Peribronchial	0	0	0
Case 10	Alveolar	0	0	0
	Alveolar wall	0	0	1
	Peribronchial	0	0	0
Case 11	Alveolar	0	0	0
	Alveolar wall	0	0	1
	Peribronchial	0	0	0
Total average	Alveolar	0.0	0	0
	Alveolar wall	1.6	0	1
	Peribronchial	0.6	0	

Table 12: Summary of Mum-1 immunohistochemistry results in the lungs of dogs naturally infected with *B. rossi*.

The were no Mum-1 positive mature B-lymphocytes and/or plasma cells in the alveolar lumens which correlates with the normal controls (<u>Figure 31</u>). The alveolar walls results range between 0 and 5.4 per HPF with an average of 1.6 which is a $0.6 \times$ increase compared to the controls (<u>Figure 31</u>). The peribronchial regions varied between 0 to 2.8 Mum-1 positive mature B-lymphocytes and/or plasma cells with an average of 0.6 which is a $0.6 \times$ increase over control cases.



Figure 31: Left. Mum-1 control section. Absence of specific positive mature B-lymphocytes and plasma cells in the alveolar walls. **Right**. Case 7. Scattered Mum-1 positive mature B-lymphocytes/plasma cells within the alveolar walls (arrow). 400× magnification.

4.3.2.4. CD204

The CD204 results are summarised in table 13.

Table 13: Summary of CD204 Immunohistochemistryresults in dogs naturally infected with *B. rossi*.

			Control range	
		Case Average positive		
		cells / HPF	Min	Max
Case 1	Alveolar	7.6	0	2
	Alveolar wall	0.2	0	0
	Peribronchial	0	0	1
	Alveolar	4.6	0	2
Case 2	Alveolar wall	4	0	0
	Peribronchial	0.2	0	1
	Alveolar	12.2	0	2
Case 3	Alveolar wall	4.2	0	0
	Peribronchial	0	0	1
	Alveolar	5	0	2
Case 4	Alveolar wall	8.6	0	0
	Peribronchial	0.2	0	1
	Alveolar	5.8	0	2
Case 5	Alveolar wall	3.4	0	0
	Peribronchial	0	0	1
	Alveolar	10	0	2
Case 6	Alveolar wall	11.2	0	0
	Peribronchial	1.2	0	1
	Alveolar	0	0	2
Case 7	Alveolar wall	0.4	0	0
	Peribronchial	0	0	1
	Alveolar	11	0	2
Case 8	Alveolar wall	7.2	0	0
	Peribronchial	0.6	0	1
Case 9	Alveolar	6.8	0	2
	Alveolar wall	5.2	0	0
	Peribronchial	0	0	1
Case 10	Alveolar	10.6	0	2
	Alveolar wall	2.4	0	0
	Peribronchial	1.2	0	1
Case 11	Alveolar	8	0	2
	Alveolar wall	12	0	0
	Peribronchial	0.6	0	1
Total average	Alveolar	7.4	0	2
	Alveolar wall	5.3	0	0
	Peribronchial	0.4	0	1
The number of CD204-positive macrophages and/or dendritic cells in alveoli ranged from 0 to 12.2 per HPF with an average of 7.4 which is a $3.9 \times$ increase compared to the normal controls (Figure 32). The alveolar wall scores ranged from 0.2 to 12 per HPF with an average of 5.3 which is a $5.3 \times$ increase compared to the normal controls (Figure 32).



Figure 32: Left. CD204 control section. **Right.** Case 8. CD204 positive alveolar macrophages in the alveoli (thin arrow) and positive macrophages/dendritic cells in the alveolar walls (thick arrow). 400× magnification.

4.3.2.5. PAX5

The PAX-5 immunohistochemistry is summarised in table 14.

Table 14: Summary of Pax-5 immunohistochemistry results in the lungs of dogs naturally infected with *B. rossi*.

			Control range	
		Case Average positive cells		
		/ HPF	Min	Max
	Alveolar	0	0	0
Case 1	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 2	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 3	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 4	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 5	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 6	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 7	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 8	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 9	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 10	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Case 11	Alveolar wall	0	0	0
	Peribronchial	0	0	0
	Alveolar	0	0	0
Total average	Alveolar wall	0	0	0
	Peribronchial	0	0	0

There were no Pax-5 specific positive B-lymphocytes were observed in any of the regions of interest which corresponds to the controls (<u>Figure 33</u>).



Figure 33: Control. Pax-5. 400× magnification

4.3.2.6. MAC387

The MAC387 results are summarised in table 15.

Table 15: Summary of MAC387 immunohistochemistry results in the lungs of dogs naturally infected with *B. rossi*.

			Control range	
		Case Average positive		
		cells / HPF	Min	Max
	Alveolar	53.6	0	1
Case 1	Alveolar wall	26.2	3	15
	Peribronchial	4.4	0	7
	Alveolar	4	0	1
Case 2	Alveolar wall	75.2	3	15
	Peribronchial	5.6	0	7
	Alveolar	1.6	0	1
Case 3	Alveolar wall	64.8	3	15
	Peribronchial	1	0	7
	Alveolar	1.2	0	1
Case 4	Alveolar wall	62	3	15
	Peribronchial	1	0	7
	Alveolar	2.6	0	1
Case 5	Alveolar wall	46	3	15
	Peribronchial	0.4	0	7
	Alveolar	1.2	0	1
Case 6	Alveolar wall	65.8	3	15
	Peribronchial	2.2	0	7
	Alveolar	1.6	0	1
Case 7	Alveolar wall	60.2	3	15
	Peribronchial	1.6	0	7
	Alveolar	1.4	0	1
Case 8	Alveolar wall	140.4	3	15
	Peribronchial	1.4	0	7
	Alveolar	2.6	0	1
Case 9	Alveolar wall	55.2	3	15
	Peribronchial	1	0	7
	Alveolar	1.6	0	1
Case 10	Alveolar wall	58.8	3	15
	Peribronchial	1.2	0	7
	Alveolar	58.6	0	1
Case 11	Alveolar wall	0.8	3	15
	Peribronchial	0.8	0	7
	Alveolar	11.8	0	1
Total average	Alveolar wall	59.6	3	15
	Peribronchial	1.9	0	7

Within the alveoli, the MAC387 positive bone marrow derived monocytes-macrophages and scarce neutrophils ranged from 1.2 to 58.6 per HPF with an average of 11.8 which is 10.8× above the normal range established in the controls (Figure 34). The alveolar walls ranged between 0.8 to 140.4 with an average of 59.6 which is a 4×increase compared to the normal controls (Figure 34). The peribronchial regions ranged between 0.4 and 4.4 with an average of 1.9 which falls within the normal range established in the control sections.



Figure 34: Left. MAC387 control section. Right. Case 2. MAC387 positive monocyte-macrophages and rare neutrophils within the alveolar walls (thin arrow) and alveoli (thick arrow). 400× magnification.

4.3.2.7. VCAM-1

VCAM-1 immunohistochemistry was also performed. Across most cases, there was intense staining of VCAM-1 positive vascular endothelial cells in alveolar walls forming almost parallel lines in most instances. The staining pattern in the test cases was essentially similar to that in the controls (Figure 35). The naturally infected cases did show granular membranous positivity in intravascular mononuclear cells (Figure 35).



Figure 35: Left. VCAM-1 control section. Positive vascular endothelium in the alveolar walls (thick arrow). 400× magnification. Right. Case 9. VCAM-1 positive endothelial cells in the alveolar walls (thick arrows) as well granular and cytoplasmic membrane labelling of intravascular mononuclear cells (thin arrow). 400× magnification.

4.3.2.8. Immunohistochemistry overview

The naturally infected *B. rossi* cases showed significant increases in MAC387 positive monocyte-macrophages and rare neutrophils, CD204 positive macrophages/dendritic cells and CD3 positive T-lymphocytes when compared to the normal control lungs. Milder increases in CD20 positive B-lymphocytes and plasma cells were also noted. The significant results are summarised in <u>Table 16</u>.

			Control	range	
					Increase
		Average positive cells / HPF	Min	Max	magnitude
MAC387	Alveolar	11.8	0.0	1.2	10.8x increase
	Alveolar wall	59.6	3.0	14.8	44.6x increase
	Peribronchial	1.9	0.0	7.0	Normal
CD204	Alveolar	7.4	0.0	1.9	3.9x increase
	Alveolar wall	5.3	0.0	0.4	5.3x increase
	Peribronchial	0.4	0.0	1.1	Normal
CD3	Alveolar	0.0	0.0	0.0	Normal
	Alveolar wall	12.4	0.0	0.0	12.4x increase
	Peribronchial	0.1	0.0	0.7	Normal
CD20	Alveolar	2.2	0.0	0.0	2.2x increase
	Alveolar wall	2.5	0.0	0.0	2.5x increase
	Peribronchial	0.5	0.4	0.9	Normal

Table 16: Summary of significant immunohistochemical results

5 CHAPTER FIVE: DISCUSSION

5.1. Macroscopic pathology

At the macroscopic level, there was some variability but most cases in this series showed moderate to severe multifocal pulmonary haemorrhages. Petechial and subpleural ecchymotic haemorrhages were also occasionally noted but not consistently. Severe pulmonary oedema, as evidenced by oozing of fluid on cut section as well as copious, often blood tinged, tracheal froth was present as well. Consequently, the lungs were often heavy with a slightly increased consistency. Acute interstitial pneumonia with oedema, congestion and multifocal haemorrhage was reported in 16 of 25 (64%) post mortems conducted the largest case series of B. rossi infected dogs⁵³. It also showed pleural and pericardial effusions in 9/25 (36%) and 4/25 (16%) of cases on post mortem⁵³. That study only evaluated macroscopic post-mortem changes and an older study that demonstrated the multisystemic nature of the disease in 91 complicated cases of the disease, 32 died (35%) and 18 of 91 (20%) died due to respiratory failure¹⁰³. Although it was not evaluated as part of this study, arterial blood gas analysis evaluating acid-base and lung function has been studied in *B. rossi* infection, the classic findings of ARDS were not commonly diagnosed⁵⁴. This was probably due to the fact that the time of arterial blood collection in these cases was many hours before death whilst ARDS (associated with widespread alveolar flooding and tracheal foam) appears to be a terminal event. ALI seems very common as many cases dying of organ failures in complicated canine babesiosis other than lung failure, demonstrate pulmonary pathology consistent with ALI⁵⁴. The most common blood gas disturbance seen in severe disease is respiratory alkalosis mixed with metabolic acidosis which is consistent with primary metabolic and pulmonary pathology⁵⁴.

The described changes were similar to the macroscopic pathology noted in human malaria-associated ARDS where severe oedema and intrapulmonary haemorrhages were described⁸⁹. ARDS has been described in 8% of 139 cases of human *B. microti* infection¹⁰⁴. Murine malaria-associated ARDS in C57BL/6J mice infected with *Plasmodium berghei* NK65 showed petechial haemorrhages⁹⁵. The pathology noted in a murine model of babesia associated ALI/ARDS in C3H/HeN mice infected with WA-1 babesia shows petechiations with serosanguinous fluid in the trachea and bronchi³² which is similar to the haemorrhage and pulmonary oedema noted in canine babesiosis.

5.2. Histopathology

In general, the histopathological sections all showed multifocal haemorrhages and severe pulmonary oedema correlating with the macroscopic findings. The inflammatory population was predominantly mononuclear macrophages as determined by MAC387 and CD204 immunohistochemistry. The inflammation was seen predominantly in the

alveolar walls with a $4\times$ increase in MAC387 positive cells and $5.3\times$ in CD204 positive cells compared to normal controls.

5.2.1. Pulmonary oedema, endothelial cell activation and VCAM-1 Severe oedema was present in 72.7% of cases, moderate in 9.1% and mild in 18.2% of cases. Pulmonary oedema is the most consistent feature of babesia-associated ARDS. This correlates with the human-malaria associated ARDS⁸⁹, murine model of MA-ARDS C57BL/6j⁹⁵ mice infected with *Plasmodium berghei* and murine model of babesiosis, C3H/HeN mice infected with WA-1 babesia^{31,32}.

The pathogenesis of the pulmonary oedema is classified as non-cardiogenic meaning that there is no indication of pulmonary hypertension or increased hydrostatic pressure associated with congestive heart failure¹⁰⁵. This implies a breakdown in the blood-air barrier probably as result of disruption of the endothelial cell layer, pneumocyte layer or both. Endothelial activation and injury would be the most logical triggering mechanism especially in this study.

In this study, endothelial activation was present in all cases, being moderate in 54.5% of cases, mild in 27.3% of cases and severe in 18.2% of cases. Since direct injury by B. rossi parasites is unlikely as they have no mechanism to directly affect vascular endothelium, the injury was likely mediated by pro-inflammatory cytokines released from activated macrophages (IL-8, IL2, TNF-a etc.). This has been shown in circulation in *B. rossi* infections previously^{52,27}. In human medicine, cases of sepsis can also display ALI/ARDS³⁶ which is ascribed to pulmonary endothelial cell dysfunction. TNF- α and other mediators induce cytoskeletal contraction and increase vascular permeability⁶¹ thus contributing to the pulmonary oedema observed in all cases of ALI/ARDS. The tight junctions between adjacent endothelial cells prevent neutrophil migration and vascular leakage⁵¹. One of the major components of the this tight junction is Vascular-Endothelial-cadherin (VE-Cadherin) which forms complexes between adjacent endothelial cells⁵¹. Endocytosis of the VE-cadherin during sepsis results in gaps between the endothelial cells which can increase permeability⁵¹ and also contribute to pulmonary oedema formation. Another potential mechanism to increase paracellular gaps is high-mobility group box-1 protein (HMGB-1)⁶⁹. This is a proinflammatory mediator which induces expression of VCAM-1 and ICAM-125. One study found an increase in plasma HMGB-1 in dogs infected with babesiosis compared to healthy dogs at admission and again at 6 days after treatment⁴⁹. Additionally, this study also found in increase in serum VCAM-1 concentrations at admission in dogs with complicated babesiosis compared to uncomplicated presentation⁴⁹. It seems likely therefore that an increase in these pro-inflammatory mediators may also be involved in destabilisation of the pulmonary endothelial layer in canine babesia-associated ALI/ARDS.

The VCAM-1 antigen is expressed on activated endothelial cells and it facilitates leukocyte migration and is upregulated by TNF- α^{107} and HMGB-1²⁵. It is important in the cyto-adherence of malaria-infected red blood cells to endothelial cells in the lungs and central nervous system of humans^{71,108} and in mice²³. In this study however, there was no clear difference in staining pattern between the controls and experimental cases but of interest, was the visible granular staining noted on the cytoplasmic membranes of intravascular mononuclear cells in the infected dog lungs. Research has shown that VCAM-1 can be expressed on cells other than endothelium eg. tissue macrophages, dendritic cells and even Kupfer cells in the liver in human patients⁴⁷ which may explain this finding. This might also explain the increase in serum VCAM-1 levels noted by Kuleš et al⁴⁹ but this requires further investigation.

Serum proinflammatory cytokine profiles have been performed on blood from dogs infected with *B. rossi*^{27,52}. One study showed significant differences between IL-6, IL-8, IL-10, Monocytic Chemotactic factor-1(MCP-1) and TNF- α^{52} , while another found significant differences between IL-10 and MCP-1 between infected and healthy dogs²⁷. IL-6, MCP-1 and TNF- α levels were significantly higher in dogs that died compared to those that survived^{52,27}. IL-8 levels appeared to decrease in infected cases^{27,52}. Another study also showed an increase in serum C-reactive protein, soluble ICAM-1 and von Willbrand factor⁸. This confirms a pro-inflammatory cytokine milieu but does not identify a single factor or consistent constellation of factors that are responsible for or correlated with complicated cases. A multifactorial pathogenesis seems likely.

The endothelial glycocalyx may be worthy of further investigation in *B. rossi* infected dogs with ALI/ARDS. It is an important regulator of endothelial barrier function⁶¹ and in cases of human sepsis, leukocytes do interact with and degrade this layer⁷⁹. Further investigation will require ultrastructural examination of the alveolar walls.

5.2.2. Inflammatory cell population

5.2.2.1. Monocyte-macrophages

Monocyte-macrophages were the predominant cell population noted in the regions of interest. Within the alveolar walls, monocyte-macrophage infiltration was severe in 18.2%, moderate in 18.2%, mild in 54.5% and absent in 9.1%. Within the alveolar lumen macrophages were severe in 9.1% of cases, moderate in 45.5% and mild in 45.5%. A severe mononuclear leukostasis was noted in the cases as well and there were often rafts of adhered mononuclear cells present in the larger bronchial vasculature. Most cases showed a moderate to severe increase in the interstitium and within the alveolar capillaries, which was associated with an increased alveolar wall thickness. Further classification of this cell population was performed with CD204 and MAC387 immunohistochemistry.

CD204 is expressed in resident and tissue macrophages as well as dendritic cells⁴⁵. CD204 immunohistochemistry for macrophages/dendritic cells showed an increase in alveolar macrophages of 7.4× over the normal controls as well as 5.3× and 0.4× increase in the alveolar walls and peribronchial regions respectively. The results suggest that during canine babesia-related ALI/ARDS, there is a noteworthy increase in these cells, especially in the alveolar lumen and alveolar wall. Since this is a marker of resident and tissue macrophages, there is clear stimulation of these cells to proliferate in situ and there was a noticeable increase in mitotic frequency in some cases. The source of the stimulation is probably proinflammatory cytokine related and is further discussed below. MAC387 labels myeloid/histiocyte antigens expressed by circulating and tissue neutrophils, monocytes and reactive tissue macrophages and eosinophils but not dendritic cells⁹. MAC387 immunohistochemistry for bone marrow-derived monocyte-macrophages and polymorphonuclear leukocytes showed a major 10.8× increase compared to the controls in the alveolar lumen and a 4× increase in the alveolar walls compared to the controls. Since neutrophils were minimally observed in the samples, most of these cells were probably bone marrow derived circulating histiocytes. There was clearly significant extravasation of these cells into the alveolar lumens, likely in response to alveolar wall injury and subsequent haemorrhage, fibrin exudation and oedema. Their presence within the alveolar walls also may indicate a role in the immune reaction. They are an important source of cytokines for activation of the acute inflammatory response as a component of the innate immune system and also trigger the adaptive immune response¹⁰⁹.

In most cases of ARDS, activation of alveolar macrophages with subsequent release of pro-inflammatory cytokines (IL-1, IL-8, TNF- α etc) is considered an essential step in the pathogenesis^{101,102}. It is unclear how intravascular haemoprotozoan parasites such as Babesia rossi or Plasmodium falciparum cause activation of these alveolar macrophages but given that there are activated monocytes within the intravascular compartment, proinflammatory cytokine release from these cells is highly likely. There may be spill over into the alveolar compartment along with likely endothelial cell activation and possible injury, leading to oedema, fibrin exudation and subsequent activation of alveolar macrophages. Another possibility is that the macrophages are not activated by the pro-inflammatory cytokines directly, but are triggered by the haemorrhage, fibrin and pulmonary oedema which was consistently observed in these cases in a futile attempt to clean up the spillage and restore function. This seems less likely given that a pro-inflammatory cytokine milieu is noted in babesia cases^{52,27}. Of interest, increased bronchial interleukin-33 expression and decreased y-epithelium sodium channel was noted in human cases of severe malaria with pulmonary oedema⁴ and it may be of use to investigate these markers in canine babesiosis as well.

In a murine model of malaria-associated ARDS C57BL/6j mice infected with *Plasmodium berghei*, interstitial oedema and leukocyte infiltration was present on Day 8. By Day 10 eosinophilic hyaline membranes were present⁹⁵. The type of leukocyte encountered in the murine model were not established but are likely to be mononuclear cells similar to that seen in canine babesia-associated ARDS. The oedema was also consistent between the two species. It is interesting that the dominant inflammatory cell response in classical human ALI/ARDS is the neutrophil (where bacteria and trauma are the most common triggers⁶³). This draws a clear distinction between the pathogenesis of a hemoprotozoa macrophagic inflammation as an ARDS trigger and the more common triggers observed in humans.

5.2.2.2. T-lymphocytes

Although 45.5% of cases showed no visible lymphocytes, 45.5% of cases showed mild lymphocyte infiltration while 9.1% showed moderate infiltration. Together, the, CD3

and CD20 immunohistochemistry showed significantly more lymphocytes than initially observed with H&E staining. This suggests that lymphocytes may play a role in the interstitial inflammatory response in canine babesiosis.

CD3 immunohistochemistry for T-lymphocytes showed a major 12.4× increase in CD3positive T-lymphocytes in the alveolar walls. This suggests that T-lymphocytes may be playing a significant role in the inflammation. T-lymphocytes are an essential component in cell-mediated immunity and may be activated in two ways (possibly occurring via the classical pathway with T-helper 1 (T_h1) cells releasing IL-2 or IFN- λ or alternatively, but less likely via T-helper 2 (Th2) macrophages¹⁰⁹). Cytotoxic Tlymphocytes (CTL) are also activated via the T_h1 pathway by the presentation of antigens on major histocompatibility complex-class I (MHC-1) with a co-stimulatory signal from an antigenic presenting cell⁸². Activated CTL's can release perforins and granzymes inducing apoptosis in targeted cells and thus may be cause of the apoptosis (noted in some cases) but may also directly damage the vascular endothelium. The major increase in T-lymphocytes is interesting. In a recent study evaluating the peripheral lymphocyte phenotype in dogs naturally infected with *Babesia rossi*, dogs with complicated babesiosis showed a drop in CD3+ lymphocytes compared to cases with uncomplicated babesiosis⁷⁷. The authors speculated that there may be functional immune suppression due to apoptosis or redistribution of the effector T-lymphocytes, or a combination of these and other mechanisms⁷⁷. Although there was some apoptosis in these cases, the clear increase in T-lymphocytes in the pulmonary tissue suggests that redistribution of lymphocytes may be a significant cause of the drop in peripheral Tlymphocytes cells noted in the previous study. In the spleen of dogs affected by canine babesiosis, there was no significant difference in the T-lymphocyte populations in infected vs control cases³³. It would stand to reason that the spleen, being the primary organ responsible for clearing haemoprotozoan parasites, would become hyperplastic and the lack of an appreciable increase in T-cells may be due to rapid redistribution or indeed may be due to accelerated apoptosis.

5.2.2.3. B-lymphocytes

There was a $2.2\times$ increase of CD20 positive B-cells and/or plasma cells compared to controls in the alveolar walls. Within the alveolar walls, there was $2.5\times$ increase compared to the controls. Therefore, most cases of canine babesia-associated ALI/ARDS showed an increase in CD20+ cells.

These CD20 positive cells represented B-lymphocytes which with more chronic *Babesia rossi* infection, will likely mature into plasma cells to produce opsonising antibodies.

Mum-1 immunohistochemistry (which highlights mature B-cells especially plasma cells) showed a 0.6x increase on average within the alveolar walls and 0.6× increase in the peribronchial regions was not significant and was likely secondary to the overall inflammation. Meaning the majority of CD20+ cells were probably B-lymphocytes and not plasma cells. Pax-5 immunohistochemistry (which highlights immature B-

lymphocytes) was also performed and showed virtually no positive staining cells any of the regions of interest. B-lymphocytes would appear to be playing a minimally important role in the acute phase of this disease as the number of B-cell and plasma cells are raised slightly but not to the significance of T-cells. However suboptimal Pax-5 staining may also be a possibility to consider⁸¹.

5.2.2.4. Neutrophils

Only two cases showed mild neutrophil infiltration within the alveolar lumens, possibly secondary to fibrin exudation, endothelial injury and proinflammatory cytokines.

In the murine model of babesiosis, C3H/HeN mice infected with WA-1 babesia, there were plump endothelial cells with marginated mononuclear cells and neutrophils³². However, neutrophils were prominent in the murine model whereas they were mostly absent here. Neutrophils would not appear to be a major contributor to pulmonary injury caused by babesiosis in dogs. Their presence can indicate secondary bacterial infection/bronchopneumonia.

5.2.2.5. Other inflammatory cells

Mild perivascular lymphoplasmacytic infiltrates were also noted in a few samples but this is likely probably part of the overall general inflammation.

5.2.3. Haemorrhage, fibrin exudation

While haemorrhage was mild in 45.5% of cases, severe in 18.2% of cases, moderate in 18.2% of cases and severe in 18.2% of cases. The distribution of haemorrhage was multifocal in 77.8%, coalescing in 11.1% and diffuse in 11.1%. Most cases showed some form of haemorrhage which corresponds with the macroscopic pathology.

Fibrin exudation into the alveolar lumen was absent in 45.5%, moderate in 27.3%, mild in 18.2% and severe in 9.1% of cases. Fibrin exudation is seen in approximately half of cases although why it is seen less than haemorrhage is not clear. It is possible that some of the dogs were also in disseminated intravascular coagulation²⁸ (another potential complication of *Babesia rossi* infection) and that severe coagulation factor depletion (through consumption) left insufficient to cleave fibrinogen. Alternatively, there was activation of the fibrinolytic system causing fibrinolysis and dissolution.

5.2.4. Thrombosis and other intravascular findings

Although not a prominent finding, two of the cases (18.2%) did show evidence of intravascular thrombosis. Hypercoagulability is another potential complication of canine babesiosis^{56,28}, but it is uncertain if this thrombosis was secondary to the pulmonary injury, induced hypercoagulability or a combination of both. A scintigraphic

perfusion study of the lungs of uncomplicated cases of B. rossi infection also did not demonstrate obvious pulmonary thromboembolic disease⁸⁸.

Intra-erythrocytic *Babesia* parasites were visible in two cases but others showed no evidence of parasitaemia, this was likely due to anti-babesial treatment as the parasitaemia clears within 24 hours of diminazine aceturate treatment⁴¹. Occasional intravascular megakaryocytes were also noted but this is not uncommon with systemic infections due to bone-marrow hyperplasia and shifting of immature blastic cells into systemic circulation. Large, immature blastic cells were also noted within the interstitium and intravascular compartments.

Vascular congestion or hyperaemia was judged to be mild in 54.5%, moderate in 18.2%, and absent in 27.3% of cases. This is likely as a result of inflammatory cytokine release (ie. more consistent with hyperaemia), cardiac failure or a combination. This could link with myocardial haemorrhages common in fatal cases as well as elevated cardiac troponin levels which have also been reported, especially in complicated disease⁵⁷.

5.2.5. Cell death

Cell death noted in the alveolar walls and alveolar lumen was mild in 72.7%, absent in 18.2% and moderate in 9.1% of cases. The cause of the cell death was also not clear, but given the highly activated nature of the monocyte-macrophages and lymphocytes, it is likely apoptosis from a combination of the intrinsic/mitochondrial pathway (due to cell injury from phagocytosis of oedema, erythrocytes and fibrin) and extrinsic/death receptor pathway (cytokine release from activated intravascular monocytes such as TNF-α)¹⁰⁹. Apoptosis/cell death was not obvious within the endothelial cells in these cases but death of the endothelium is certainly a potential mechanism for the development of pulmonary oedema in ALI/ARDS in sepsis⁶³. There are currently indepth investigations into the pathophysiology of apoptosis, especially in human and animal models of sepsis. These studies showed that LPS treated macrophages released microparticles that contain caspase-1 as well as gasdermin-D which have the capacity to cause endothelial cell apoptosis^{78,66}. In the current study, monocytes-macrophages were the main inflammatory cells noted within the interstitium and intravascular compartment and there may well be similarities between babesia-related ALI/ARDS and human sepsis and even MA-ALI/ARDS but further work is necessary, especially ultrastructural examination of the endothelium and inter-endothelial junctions.

5.2.6. Other lesions

The following lesions were only minor or inconsistent findings in the case series or were found to be absent.

5.2.6.1. Perivascular interstitium

Looking at the perivascular interstitial compartment, the cell infiltrate was mild to moderate and consisted mostly of monocytes and macrophages as well as lymphocytes and the occasional plasma cell. Monocyte-macrophages were mild in 27.3%, moderate

in 18.2% and absent in 54.5% of cases. Lymphocytes were mild in 27.3% and moderate in 18.2% and absent in in 54.5% of cases. Plasma cells were mild in 36.4% and absent in 63.6% of cases. Fibrin and haemorrhage were mild in 18.2% of cases and absent in 81.8% while oedema was mild in 9.1% and absent in 9.1% of cases. The distribution of the haemorrhage was multifocal in 100% of cases with haemorrhage. The inflammatory component in this compartment likely represents reaction to the alveolar wall and alveolar lumen inflammation, which is further reinforced by the fact that microvascular endothelial activation was present in all cases, being moderate in 54.5%, mild in 36.4%, and severe in 9.1% of cases. Lymphatic vessel distension was only observed in 9.1% of cases.

5.2.6.2. Bronchiolar lumens and peribronchiolar interstitium

The bronchiolar lumens showed minimal change but 9.1% of cases showed mild monocyte-macrophage infiltration but there was more significant haemorrhage and oedema. 63.6% did show mild haemorrhage while 36.4% of cases showed no haemorrhage. Within the cases with haemorrhage, 57.1% showed focal and 42.9% multifocal lesions. There was no visible fibrin deposition. Oedema, as expected, was more significant with 63.6% showing mild, 18.2% moderate and 18.2% of cases showing no oedema. These changes are likely spillage from the alveolar lesions and are not primary. Anthracosis was present in 45.5% of cases but this was an incidental finding. Unremarkable changes were noted in the peribronchiolar interstitium with only 9.1% of cases showing mild haemorrhage and fibrin deposition with a multifocal distribution.

5.2.6.3. Subpleural interstitium

The subpleural interstitium was mostly unremarkable but 9.1% of cases did show moderate haemorrhage and fibrin deposition with a diffuse distribution. Mild oedema was noted in 45.5% of cases. 9.1% of samples did show hypertrophy of the pleural mesothelium. These changes are also likely only secondary to the primary alveolar and alveolar wall pathology.

5.2.6.4. Hyaline membrane formation

The formation of hyaline membranes was not observed in this case series but in the murine model infected with *P. berghei* NK65, they were only seen on day 10 post infection⁹⁵. Canine patients with babesia associated ARDS usually succumb within 24 hours of presentation, long before day 10 post-infection which suggests that true hyaline membrane formation does not have enough time to develop in dogs. It may be of interest to examine lung sections from a dogs who were able to survive long enough for hyaline membrane development, but this is only likely in patients who are placed on mechanically assisted ventilation. Due to the inherently grave prognosis associated with ALI/ARDS combined with the expense of treatment, this is not often performed. Ventilator-induced lung injury would also likely complicate the histological picture.

CONCLUSION

At both the macroscopic and histological level, *B. rossi* associated pulmonary pathology was similar among the disease cases, despite their lack of genetic homogeneity. Most cases showed severe high protein content pulmonary oedema as well as moderate to severe, multifocal to coalescing alveolar haemorrhages and fibrin exudation. The haemorrhage and oedema extended into the alveolar ducts and bronchioles. Alveolar walls were diffusely thickened due to a marked increase in cells of the monocyte-macrophage lineage. There was a marked increase in MAC387 positive bone marrow derived monocytes and macrophages and CD204 positive macrophages as well CD3 positive T-lymphocytes compared to the healthy controls indicating a role for both tissue and bone-marrow derived macrophages as well as T-lymphocytes in babesia-related ALI/ARDS. Neutrophils did not play a significant role in the inflammation. A role for sequestered parasitized red cells could not be established but seems unlikely.

This study also confirmed that the lung injury caused during natural complicated *Babesia rossi* infection in dogs fulfils the criteria for the definitions of acute lung injury and acute respiratory distress syndrome¹⁰⁵. There was evidence of diffuse pulmonary inflammation as well as presence of a risk factor, *B rossi*. The remaining criteria (acute onset of tachypnoea, proof of inefficient gas exchange and pulmonary capillary leakage without increased pulmonary capillary pressure) can only be confirmed clinically. The lung pathology in the current study was similar to murine malaria-associated ARDS in C57BL/6J mice infected with *Plasmodium berghei* NK65⁹⁵, the murine model of babesia associated ALI/ARDS in C3H/HeN mice infected with WA-1 babesia³² as well as human malaria-associated ALI/ARDS^{89,85} and human babesiosis^{98,99}. The MA-ALI/ARDS cases showed thickened alveolar septa, multifocal haemorrhages and severe, widespread oedema^{89,85}. Hyaline membranes⁸⁹ were not a feature in this study, probably due to the acute nature of the respiratory pathology and decreased survival of the dogs. As in human malaria-associated ARDS, thrombosis and infarction were also rarely observed in canine babesiosis.

There were a few important shortcomings in this study. Only lethal cases were included in this case series, so it is possible that sublethal pulmonary injury may be present in some cases of treated complicated and uncomplicated canine babesiosis that survive. Ante-mortal lung function data such arterial blood gas and pulse oximetry was not available for these cases. Due to the rapid progression of this type of severe pulmonary disease, investigation of other biochemical markers such as lactate or oxygen saturation for early detection and treatment may be beneficial. All these cases were treated prior to death which has a major and rapid effect on parasite density⁴¹, thus, precluding judgement on the possibility of direct interaction between parasitized erythrocytes and pulmonary vascular endothelial cells. Finally, electron microscopy of the endothelial barrier was not performed, but this would be the most logical avenue for future research.

In this regard, emphasis should be placed on endothelial cell injury and activation, the glycocalyx and inter-endothelial tight junctions. These would be the most obvious areas of pathology leading to severe fluid leakage. Extrapolating from MA-ARDS, one might

expect endothelial cell injury characterised by cell swelling and necrosis as well denudation of the basement membrane and pinocytotic vesicles⁹². Loss of integrity of the endothelial tight junctions should also be assessed similar to that seen in sepsis⁵¹ as well as possible caveolae as seen in murine babesia³⁰. Modification of the glycocalyx may be more difficult to assess but if pinocytotic vesicles are present as in MA-ARDS, this might indicate such changes.

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APPENDICES

Appendix 1: O'Dell lung scoring system grading criteria⁷⁰

APPENDIX 2

Table 1: Grading criteria for histological lesions.

Parameter	Grading	Criteria
Autolysis		
Severity	Mild	Ana tomical architecture easily distinguishable, cellular morphology well- defined
	Moderate	Ana tomical architecture still easily distinguishable, cellular morphology not well-defined, red blood cells still preserved, small numbers of putrefactive (Clostridial) bacteria may be present in some fields
	Severe	Cell ular morphology cannot be defined, a natomical a rchitecture barely distinguishable, red blood cells lysed and difficult to identify, numerous putrefactive (Clostridial) bacteria present
Histopathology	(All changes in co	omparison with normal control lung [Figure 9 and 10])
Lesion distribution	Focal	Single well circumscribed area affected
	Multifocal	Multiple non-overlapping a reas a ffected
	Coalescing	Multiple overlapping areas affected
	Diffuse	Entire lung tissue OR all specific a natomical sites affected [Figure 11 and 12]
Hyperaemia/congestion	Mild	Single layer of enythrocytes in capillaries with open spaces in between [Figure 13]
	Moderate	Single layer of erythrocytes in capillaries with no open spaces
	Severe	Double layer or more of enythrocytes in capillaries with no open spaces [Figure 14]
Inflammatory cell infiltrate* (monocyte-macrophages, lymphocytes,	Mild	Single leukocytes present without notable expansion of the interstitial a na tomy [Figure 29, 43 and 49]
 plasma cells, neutrophils, eosinophils) perivascular interstitium peribronchiolar interstitium 	Moderate	Two to three layers of leukocytes present resulting in slight expansion of the interstitial anatomy
 sub-pleural interstitium 	Severe	More than three layers of leukocytes present resulting in marked expansion of the interstitial anatomy [Figure 30]
Inflammatory cell infiltrate* (monocyte-macrophages, lymphocytes,	Mild	Single extravascular leukocytes without notable expansion of the alveolar wall [Figure 15]
plasma cells, neutrophils, eosinophils) - alveolar wall	Moderate	Slight expansion of the alveolar wall by extra vascular leukocytes (double normal thickness) [Figure 16]
	Severe	Marked expansion of the alveolar wall by extra vascular leukocytes (more than double normal thickness) [Figure 17]
Inflammatory cell infiltrate* (monocyte-macrophages, lymphocytes,	Mild	≤1 leukocytes observed in >50% of the alveolar/bronchiolar lumens [Figure 21]
plasma cells, neutrophils, eosinophils) - alveolar lumen	Moderate	2-5 leukocytes observed in >50% of the a lveolar/bronchiolar lumens
- bronchiolar lumen	Severe	≥6 leukocytes observed in>50% of the alveolar/bronchiolar lumens [Figure 22]
Fibrin	Mild	Affecting less than a third of the anatomic location [Figure 31, 53 and 54]
	Moderate	Affecting more than a third to two thirds of the a natomic location [Figure 24]
	Severe	Affecting more that more than two thirds of the anatomic location [Figure 32]
Haemorrhage* - perivascular interstitium	Mild	Single extravasated erythrocytes present without notable expansion of the interstitial an atomy [Figure 33 and 50]

 peribronchiolar interstitium sub-pleural interstitium 	Moderate	Slight expansion of the interstitial anatomy by extra vasated erythrocytes {double normal thickness} [Figure 34 and 44]
	Severe	Marked expansion of the interstitial anatomy by extravasated erythrocytes (more than double normal thickness) [Figure 35]
Haemorrhage* - bronchiolar lumen	Mild	Small numbers of extravasated erythrocytes present in less than 50% of a lve olar/bronchiolar lumens [Figure 25]
- alveolar lumen	Moderate	Small numbers of extravasated erythrocytes present in more than 50% of a lveolar/bronchiolar lumens OR less than 50% of a lveolar/bronchiolar lumens are filled with extravasated erythrocytes multifocally [Figure 26]
	Severe	>50% of al veolar/bronchiolar lumens are filled with extravasated erythrocytes [Figure 27]
Oedema* (amorphous clear to eosinophilic	Mild	Slight expansion (1x) of the interstitium by oe dema fluid [Figure 36, 45 and 51]
extravascular fluid) - perivascular interstitium - peribronchiolar interstitium	Moderate	Moderate expansion (2-3x) of the interstitium by oedema fluid [Figure 37 and 46]
 sub-pleural interstitium 	Severe	Marked expansion (>3x) of the interstitium by oe dema fluid [Figure 38 and 47]
Oedema*	Mild	Affecting less than a third of a lveolar/bronchiolar lumens
(amorphous clear to eosinophilic extravascular fluid)	Moderate	Affecting a third to two thirds of alveolar/bronchiolar lumens
 bronchiolar lumen alveolar lumen 	Severe	Affecting more than two thirds of a lveolar/bronchiolar lumens [Figure 28 and 56]
Microvascular endothelial cell activation (endothelial cell nucleus thickness is	Mild	Less than a third of endothelial cells display nuclear activation/hypertrophy
double or more the normal thickness)	Moderate	A third to two thirds of endothelial cells display nudear activation/hypertrophy
	Severe	More than two thirds of endothelial cells display nuclear activation/hypertrophy [Figure 20 and 41]
Lymphatic vessel distention	Mild	Distension of less than a third of lymphatic vessels
	Moderate	Distension of a third to two thirds of lymphatic vessels [Figure 39]
	Severe	Distension of more than a third of lymphatic vessels
Apoptosis	Mild	≤1 a poptotic cells per HPF
(clusters of closely aggregated dark purple nuclear fragments [Figure 19])	Moderate	2-5 apoptotic cells per HPF
- alveolar wall	Severe	≥6 a poptotic cells per HPF [Figure 18]
Immunohistochemistry		
Degree of labelling	1+	≤1 clearly labelling cells per HPF
	2+	2-5 clearly labellingcells per HPF
	3+	≥6 clearly labelling cells per HPF [Figure 58 and 60]

* Criteria for the evaluation of inflammatory cell infiltrate, haemorrhage and oedema varied dependent on anatomical location.

HPF: High power field (400x magnification)

Appendix 2: Modified O'Dell Lung scoring system for use in *Babesia*-associated ALI/ARDS

	O'Dell lung scoring systen	n for Babesia associated canine AL	I/ARDS -				
Case ID	Adapted from Pathology of natural Horse	sickness virus infection in dogs by	Nicolize O'I	Dell			
	Anatomical Region / Parameter		Scoring				
Autolysis			Absent	Mild	Moderate	Severe	
Lesion distribution			Focal	Multifocal	Coalescing	Diffuse	
Congestion			Absent	Mild	Moderate	Severe	
Alveolar walls	Cell infiltrate	Monocyte-macrophages	Absent	Mild	Moderate	Severe	
		Lymphocytes	Absent	Mild	Moderate	Severe	
		Plasma cells	Absent	Mild	Moderate	Severe	
		neutrophils	Absent	Mild	Moderate	Severe	
		Eosinophils	Absent	Mild	Moderate	Severe	
	Apoptosis		Absent	Mild	Moderate	Severe	
	Microvascular endothelial cell activation	Nuclear hypertrophy/activation	Absent	Mild	Moderate	Severe	
	Thombosis				Present	Absent	
Alveolar lumen	Cell infiltrate	Monocyte-macrophages	Absent	Mild	Moderate	Severe	
		Lymphocytes	Absent	Mild	Moderate	Severe	
		Plasma cells	Absent	Mild	Moderate	Severe	
		neutrophils	Absent	Mild	Moderate	Severe	
		Eosinophils	Absent	Mild	Moderate	Severe	
	Fibrin		Absent	Mild	Moderate	Severe	
	Haemorrhage		Absent	Mild	Moderate	Severe	
			Focal	Multifocal	Coalescing	Diffuse	
	Oedema		Absent	Mild	Moderate	Severe	
	Hyaline membrane				Absent	Present	
Perivascular interstitium	Cell infiltrate	Monocyte-macrophages	Absent	Mild	Moderate	Severe	
		Lymphocytes	Absent	Mild	Moderate	Severe	
		Plasma cells	Absent	Mild	Moderate	Severe	
		neutrophils	Absent	Mild	Moderate	Severe	
		Eosinophils	Absent	Mild	Moderate	Severe	
	Fibrin		Absent	Mild	Moderate	Severe	
	Haemorrhage		Absent	Mild	Moderate	Severe	
			Focal	Multifocal	Coalescing	Diffuse	
	Oedema		Absent	Mild	Moderate	Severe	
	Microvascular endothelial cell activation	Nuclear hypertrophy/activation	Absent	Mild	Moderate	Severe	

	Lymphatic vessel distension	Distension	Absent	Mild	Moderate	Leukocytes
		Content	Oedema	Haemorrhage	Fibrin	Severe
Subpleural interstitium	Cell infiltrate	Monocyte-macrophages	Absent	Mild	Moderate	Severe
		Lymphocytes	Absent	Mild	Moderate	Severe
		Plasma cells	Absent	Mild	Moderate	Severe
		neutrophils	Absent	Mild	Moderate	Severe
		Eosinophils	Absent	Mild	Moderate	Severe
	Fibrin		Absent	Mild	Moderate	Severe
	Haemorrhage		Absent	Mild	Moderate	Severe
			Focal	Multifocal	Coalescing	Diffuse
	Oedema		Absent	Mild	Moderate	Severe
	Pleural mesothelium	Hypertrophy/activation			Present	Absent
Peribronchiolar interstitium	Cell infiltrate	Monocyte-macrophages	Absent	Mild	Moderate	Severe
		Lymphocytes	Absent	Mild	Moderate	Severe
		Plasma cells	Absent	Mild	Moderate	Severe
		neutrophils	Absent	Mild	Moderate	Severe
		Eosinophils	Absent	Mild	Moderate	Severe
	Fibrin		Absent	Mild	Moderate	Severe
	Haemorrhage		Absent	Mild	Moderate	Severe
			Focal	Multifocal	Coalescing	Diffuse
	Oedema		Absent	Mild	Moderate	Severe
	Anthracosis				Present	absent
	BALT activity				Hyperplastic	Normal
Bronchiolar lumen	Cell infiltrate	Monocyte-macrophages	Absent	Mild	Moderate	Severe
		Lymphocytes	Absent	Mild	Moderate	Severe
		Plasma cells	Absent	Mild	Moderate	Severe
		neutrophils	Absent	Mild	Moderate	Severe
		Eosinophils	Absent	Mild	Moderate	Severe
	Fibrin		Absent	Mild	Moderate	Severe
	Haemorrhage		Absent	Mild	Moderate	Severe
			Focal	Multifocal	Coalescing	Diffuse
	Oedema		Absent	Mild	Moderate	Severe

Appendix 3: Immunohistochemistry score sheet for *Babesia*associated ALI/ARDS

Case ID			1	Regio	n		Case Average		Total Average	Standard Deviation	Min	Max
Test cases	IHC marker	1	2	3	4	5		Alveoli				
Case 1	Alveoli							Alveolar wall				
	Alveolar wall							Peribronchial				
	Peribronchial											
Case 2	Alveoli											
	Alveolar wall											
	Peribronchial											
Case 3	Alveoli											
	Alveolar wall											
	Peribronchial											
Case 4	Alveoli											
	Alveolar wall											
	Peribronchial											
Case 5	Alveoli											
	Alveolar wall											
	Peribronchial											
Case 6	Alveoli											
	Alveolar wall											
	Peribronchial											
Case 7	Alveoli											
	Alveolar wall											
	Peribronchial											
Case 8	Alveoli											
	Alveolar wall											
	Peribronchial											
Case 9	Alveoli											
	Alveolar wall											
	Peribronchial											
Case 10	Alveoli											
	Alveolar wall											
	Peribronchial											
Case 11	Alveoli											
	Alveolar wall											
	Peribronchial											

Appendix 4: Informed consent

(To be completed by the patient's owner / authorized agent) Encircle Yes or No where necessary 1. Have you read the information sheet on canine babesiosis? Yes No 2. Have you had the opportunity to ask questions about the research project? Yes No 3. Have you received satisfactory answers to your questions? Yes No 4. Have you received enough information about this study? Yes No 5. Supply the name of the person to whom you have spoken to: 6. Do you grant consent that blood and urine samples can be drawn from your dog? Yes No 7. Do you grant consent that a post mortem examination can be performed in the case of death? Yes No I,, hereby give permission that my dog a may participate in this clinical study conducted at the Onderstepoort Veterinary Academic Hospital. I understand that this study will in no way harm my dog. Furthermore I understand that the costs of the

additional tests will be borne by the trial fund, and that I will only be liable for costs pertaining the treatment that would in any event be required by my dog, including any complications that may arise as a result of canine babesiosis.

Signed at Onderstepoort on the day of 20)
Signature Owner/Agent	
Home Tel:	
Work Tel:	
Cell No:	

Appendix 5: Individual case reports

5.1. Case 1 – S6/15 – Carter Leibrandt

Macroscopically, the lungs showed dark red mottling, especially in the cranial lobes. The caudal lobes were diffusely dark red and oozed froth on cut surface. There was also an increased, rubbery consistency. Histologically, the alveoli showed extensive atelectasis accompanied by a marked increase in the number of alveolar macrophages as well as low protein content pulmonary oedema. There were multifocal areas of mild to moderate haemorrhage per diapedesis along with fibrin exudation into the alveolar spaces. Multifocal areas of complete alveolar plugging by fibrin exudate was noted and this was also accompanied by some cellular debris. Alveolar macrophages were foamy, and a few contained small quantities of dark brown granular pigment consistent with haemosiderin. Scattered neutrophils were also observed within the alveolar spaces as well as within the alveolar ducts. The interstitium was severely expanded due to the presence of mononuclear leukocytes accompanied by severe congestion/hyperaemia. Segmental areas of fibrin deposition were observed within the vascular capillaries. Mild perivascular oedema was noted and there is also marked congestion within the bronchiolar arterioles and venules. Moderate haemorrhage was present in the interstitium surrounding a few bronchiolar venules as well as in the subcapsular regions. Moderate mononuclear leukostasis was noted in the bronchial blood vessels with a mild accumulation of large lymphocytes and plasma cells in a perivascular distribution multifocally. The endothelial cells were plump and activated with ovoid nuclei. The bronchioles contained moderate quantities of oedema as well as haemorrhage and fibrin with alveolar macrophages and lesser numbers of neutrophils.

5.2. Case 2 – S400/15 – Brono Arlow

The lungs showed mottling with multifocal to coalescing dark red to black discolouration representing haemorrhage especially in the caudodorsal lobes. There are multifocal small pink areas of alveolar emphysema on the periphery. Histologically, the lung tissue showed multifocal, isolated areas of moderate to severe alveolar and interstitial haemorrhage with fibrin deposition accompanied by small segmental areas of alveolar wall necrosis. The alveoli showed a mild increase in the number of alveolar macrophages, some of which showed erthrophagocytic activity and others also contained small quantities of brown to black, granular pigment (consistent with haemosiderin). The alveolar capillaries showed marked to severe leukostasis consisting primarily of mononuclear cells. These mononuclear cells had a plasmacytoid appearance as well as lymphocytoid and monocytoid appearance. Some of the monocytic cells possessed expanded, vacuolated cytoplasm often containing granular, slightly basophilic material (potentially consistent with phagocytosed B. rossi remnants). Sections of the interstitium especially near the bronchial vasculature showed expansion by accumulations of mononuclear cells in a perivascular cuffing by mononuclear cells with mild expansion due to oedema. In area's, scattered apoptotic cells with visible karyorhexis and karyolysis. Some inflammatory cells showed active mitosis. Scattered erythrocytes do contain intracytoplasmic piroplasm's (consistent
with *B. rossi*). Mild peribronchial anthracosis was also noted. The bronchiolar arterioles and venules showed severe leukostasis with a pavementing or "rafting" of leukocytes with a few scattered erythrocytes between the cells along with a small quantity of plasma. These cells were mostly monocytes with a decreased nucleocytoplasmic ration and slightly grainy to vacuolated cytoplasm, often containing small quantities of granular basophilic material. Endothelial cells were plump appearance with nuclei containing coarsely stippled to marginated chromatin. The alveolar ducts, bronchioles and bronchi also contained a few erythrocytes, alveolar macrophages and moderately proteinaceous pulmonary oedema.

5.3. Case 3 – S1063/15 – Lexy Strydom

Macroscopically, the lungs showed multifocal to coalescing areas of haemorrhage thoughout with the caudal lobes appearing worse affected. Severe tracheal froth was present as well. Histologically, the lung tissue showed diffuse accumulation of markedly protein rich pulmonary oedema in the alveoli accompanied by mild haemorrhage per diapedesis. Additionally, there was a mild increase in alveolar macrophages, some of which showed erythrophagocytosis. The interstitium was mildly expanded due to accumulation of oedema but there was also moderate, diffuse accumulation of mononuclear inflammatory cells within the interstitium accompanied by a marked alveolar capillary leukostasis. The inflammatory cells consisted primarily of monocytes and lymphocytes. The monocytes possessed slightly granular to vacuolated cytoplasm with frequent evidence of erythrophagocytosis. The nuclei were often expanded with coarsely stippled to marginated chromatin. There were scattered larger, blastic leukocytes visible as well. The alveolar capillary endothelium was plump with hypertrophic ovoid nuclei with coarse chromatin. Scattered inflammatory cells also show ed karyorhexis and karyolysis. The bronchial vasculature showed severe leukostasis, predominantly comprising monocytes with indented nuclei. Few red blood cells and plasma separated the cells. Occasional monocytes had what appeared to be phagocytosed piroplasm's. Several erythrocytes possessed round to ovoid B. rossi piroplasms. Moderate to severe perivascular oedema was noted around the bronchial arterioles. The alveolar ducts, bronchioles and bronchi contained a large quantity of protein-rich pulmonary oedema as well as alveolar macrophages. Fibrin strands were visible in the bronchioles. Foci of severe atelectasis were present and there was a marked increase in the number of alveolar macrophages in these regions. Moderate subcapsular oedema was also present and small areas of perivascular lymphoplasmacytic accumulation were noted multifocally.

5.4. Case 4 – S2447/15 - Lemo Motsepe

Macroscopically, the lungs showed mottling with severe, multifocal haemorrhages with interspersed, raised pink areas. There was a moderate increase in weight and marked tracheal froth and oozing on lung cut surface. Macroscopically, the alveoli possessed protein-rich pulmonary oedema accompanied by multifocal areas of mild atelectasis,

and mild to moderate foci of haemorrhage per diapedesis. Scattered areas of alveolar fibrin exudation were noted especially near the bronchial vasculature accompanied by a moderately increased alveolar macrophage, some of which were slightly vacuolated, whilst others showed prominent karyorhexis and karyolysis. A few of these macrophages contained light brown dusty pigment (consistent with haemosiderin) as well as refractory carbon pigment. There was a mild mononuclear leukostasis accompanied by severe congestion in the alveolar capillaries as well as bronchial blood vessels. A few do show karyorhexis and karyolysis. Focal segments of alveolar wall expansion due to mononuclear cell accumulation associated with the fibrin exudation into the surrounding alveoli were noted. Other sections also exhibited perivascular accumulations of lymphocytes and plasma cells. The alveolar ducts and bronchioles also contained low to high protein content pulmonary oedema. Mild vascular oedema was noted around the bronchial vasculature. The bronchi and bronchioles contained a few intraluminal erythrocytes along with a few alveolar macrophages. Occasional large immature leukocytes and megakaryocytes were noted in the vasculature.

5.5. Case 5 – S7382/14 – Chico Rambaya

Macroscopically, the lungs showed severe mottling with multifocal to coalescing bright red haemorrhage with interspersed sunken, dark red areas (atelectasis). There was also mildly increased consistency and red tinged froth present in the trachea and bronchi. Histologically, there was multifocal areas of severe atelectasis accompanied by intervening areas of emphysema. There was severe diffuse high protein content pulmonary oedema. The alveoli also showed a mild increase of macrophages (some of which are foamy) with small quantities of fibrin as well as mild haemorrhage per diapedesis, but areas of obvious moderate haemorrhage were visible as well multifocally. Alveolar macrophages showed active erythrophagocytosis and accumulation of small quantities of dark brown crystalline pigment consistent with haemosiderin pigment. The alveolar interstitium showed a mild increase in the number of intravascular mononuclear cells and was also accompanied by marked congestion. The bronchiolar blood vessels showed marked accumulation of fibrin with numerous enmeshed neutrophils and macrophages and these cells had a slightly smudged appearance. Margination of mononuclear cells was also observed in some segments while other segments showed endothelial cell swelling with plump, hyperchromatic nuclei. The bronchioles and bronchi showed marked accumulation of high protein content pulmonary oedema as well as red blood cells and scattered alveolar macrophages with the rare neutrophil. There was severe congestion in the alveolar capillaries and bronchial blood vessels. Macrophages in the bronchial associated lymphoid tissue contained refractile carbon pigment.

5.6. Case 6 – S7485/14 – Blowey Manthosi

Macroscopically, the lungs showed mottling with multifocal to coalescing areas of bright red haemorrhage and accentuated lobulation with well demarcated 3mm petechiations. The caudal lungs lobes showed the most prominent petechiations.

Histologically, the alveoli showed diffuse high protein content pulmonary oedema accompanied by multifocal mild atelectasis with a mild increase in the number of alveolar macrophages and mild to moderate multifocal haemorrhage per diapedesis. Scattered areas of alveolar fibrin exudation were noted especially around the bronchial blood vessels accompanied by a moderate increase in alveolar macrophages, some of which appeared to be mildly vacuolated, others showed karyorhexis and karyolysis. A few of these macrophages haemosiderin and carbon. There was mild mononuclear leukostasis, including some karyorhexis and karyolysis, but also severe congestion in the alveolar capillaries and bronchial blood vessels. Multifocal segments of alveolar walls were thickened due to mononuclear cell accumulation with associated fibrin exudation into the surrounding alveoli. Other sections showed perivascular accumulation of lymphocytes and plasma cells. Alveolar ducts and bronchioles also contained low to high protein content pulmonary oedema. Mild oedema was noted around the bronchial vasculature. Bronchi and bronchioles showed a few intraluminal erythrocytes and alveolar macrophages. Occasional large immature leukocytes and megakaryocytes were note intravascularly.

5.7. Case 7 – S3822/15 – Puddles Hansen

Macroscopically, the lungs were relatively normal. Histologically, the alveoli showed variable low to high protein content pulmonary oedema throughout accompanied interspersed by emphysema and atelectasis. The alveolar wall was thickened due to accumulation of mononuclear leukocytes and leukostasis. Multifocal areas of marked intra-alveolar haemorrhage and fibrin were encountered. Occasional megakaryocytes were noted in the alveolar capillaries. Bronchiolar lumens contained necrotic debris and colonies of coccoid bacteria. Bronchial blood vessels showed mild mononuclear leukostasis. There was a mild increase in alveolar macrophages, some with haemosiderin pigment. There was occasional of carbon within scattered macrophages in the bronchial associated lymphoid tissue. Moderate to severe perivascular oedema was also present.

5.8. **Case 8 – S3544/15 – Spot Bruyns**

Macroscopically, there was multifocal to coalescing dark to bright red areas representing haemorrhage. Mild tracheal froth accumulation was noted as well as oozing from lung cut surface. Histologically, the alveoli showed marked accumulation of high protein content pulmonary oedema diffusely throughout accompanied by multifocal areas of severe fibrin exudation. These areas were accompanied by numerous alveolar macrophages with scattered neutrophils and there was also moderate haemorrhage per diapedesis. The alveolar macrophages had a vacuolated appearance with open, vesiculated nuclei and a few contained cytoplasmic, granular dark brown pigment (consistent with haemosiderin). Segments of the alveolar ducts and alveoli were also lined by eosinophilic material consistent with fibrin. The interstitium is moderately expanded by mononuclear cells, while the alveolar capillaries also contained monocytes as well as a few scattered megakaryocytes and some blastic leucocytes. The alveolar capillary endothelium had a plump appearance with ovoid nuclei containing coarse chromatin. Segments of the bronchial arterioles showed marked margination of mononuclear cells and occasional neutrophils accompanied by perivascular oedema as well as areas of karyorhexis and karyolysis. Surrounding macrophages also occasionally contained carbon. The remaining alveolar interstitium was moderately expanded due to oedema as well as mononuclear cell accumulation. Some bronchial blood vessels also showed moderate congestion as well as mononuclear leukostasis and scattered erythrophagocytosis was noted along with an increase in mitotic frequency. Mild surrounding perivascular interstitial haemorrhage and oedema was noted as well. The peribronchiolar alveoli showed a moderate increase in macrophages and the bronchial lumens contained a low protein content pulmonary oedema.

5.9. Case 9 - S9932/14 - Sasha Proctor

Macroscopically, there was severe, multifocal to coalescing, well demarcated, dark red areas of haemorrhage. Some of the foci were surrounded by thin bright red halo and larger foci were also sunken representing atelectasis. Some areas were pink and raised indicating emphysema and there is a mild increase in weight and consistency. Histologically, the alveoli possessed moderate to severe haemorrhage multifocally and this was accompanied by the presence of marked high protein pulmonary oedema and severe atelectasis. There was a mild increase in the number of alveolar macrophages. Multifocal areas also showed severe haemorrhage and fibrin accumulation within the alveolar ducts as well as bronchioles and this was accompanied by a few alveolar macrophages. The interstitium showed marked expansion due to the presence of mononuclear leukocytes and there was also a moderate mononuclear leukostasis in the alveolar capillaries. The mononuclear cells have slightly foamy to granular eosinophilic cytoplasm. The nuclei were ovoid with fine chromatin and there was a mild to moderate increase in number of visible mitotic figures as well as apoptotic cells. Megakaryocytes were observed multifocally within the vascular capillaries. The alveolar capillaries did not show any sign of active erythrophagocytosis or haemosiderin pigment formation. The bronchiolar vasculature showed marked mononuclear leukostasis with visible active erythrophagocytosis and the endothelial cells appear plump and active. There was mild accumulation of dark brown crystalline pigment within the bronchiolar associated lymphoid tissue consistent with carbon. Mild perivascular oedema was also present.

5.10. Case 10 – S3541/15 – Eddie Loggenberg

Macroscopically, the lungs showed diffuse dark red discolouration and there is moderate light red pleural effusion. There was a mild increase in weight and visible froth in the trachea. Histologically, most alveoli contained low protein content pulmonary oedema but there was moderate haemorrhage per diapedesis and there were scattered alveolar macrophages, some of which contained haemosiderin. The interstitium showed moderate to severe generalised congestion and there were a few scattered megakaryocytes noted along with occasional mononuclear cells present in the alveolar capillaries. There was mild to moderate perivascular oedema around the bronchiolar and bronchial vasculature. Activated endothelial cells were visible in segments and there was some mononuclear cell margination. Macrophages in the bronchiolar associated lymphoid tissue showed moderate carbon accumulation. The bronchioles and alveolar ducts contained low protein content pulmonary oedema.

5.11. Case 11 – S8095/14 – Rotty Hecker

Macroscopically, the lungs showed mottling with multifocal to coalescing dark red discolouration with a surrounding, light red halo. Histologically, the alveoli showed multifocal areas of moderate haemorrhage per diapedesis with areas of severe haemorrhage and fibrin exudation. This was also accompanied by marked high protein content pulmonary oedema in most alveoli. There was also a mild to moderate increase in the number of alveolar macrophages some of which showed erythrophagocytosis and contained small quantities of haemosiderin. The interstitium was markedly expanded due to the presence of mononuclear cells as well as oedema. The mononuclear cells had expanded, slightly granulated vacuolated cytoplasm and ovoid to indented nuclei with coarsely stippled chromatin. Several the cells also contained *B. rossi* piroplasms. The interstitium also contained a few scattered multinucleated cells consistent with megakaryocytes. The bronchial vasculature also showed severe, pavementing/rafting leukostasis mostly with mononuclear cells which have expanded cytoplasm and active erythrophagocytosis and golden yellow to brown intracytoplasmic pigment in some cells (haemosiderin) with a small number of red blood cells and plasma separating the cells. Scattered karyorhexis and karyolysis were noted in the inflammatory cells. The bronchial vasculature showed multiple thrombi with entrapped mononuclear cells as well as few red blood cells but there was marked monocytic accumulation on the surface. The enmeshed cells in the thrombus were monocytic with expanded cytoplasm and showed active erythrophagocytosis. The alveolar capillary endothelium often had a plump appearance with ovoid nuclei with coarse chromatin. Carbon was noted with in macrophages of the bronchial associated lymphoid tissue. Mild perivascular oedema was also present and there were also scattered areas of mild atelectasis. The alveolar ducts and bronchioles contained low protein content pulmonary oedema. There were scattered intravascular larger immature leukocytes.