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# A new evaluation function for face image enhancement in unconstrained environments using metaheuristic algorithms

Muhtahir Oloyede<sup>\*</sup> , Gerhard Hancke, Hermanus Myburgh and Adeiza Onumanyi

## Abstract

Image enhancement is an integral component of face recognition systems and other image processing tasks such as in medical and satellite imaging. Among a number of existing image enhancement methods, metaheuristic-based approaches have gained popularity owing to their highly effective performance rates. However, the need for improved evaluation functions is a major research concern in the study of metaheuristic-based image enhancement methods. Thus, in this paper, we present a new evaluation function for improving the performance of metaheuristic-based image enhancement methods. Essentially, we applied our new evaluation function in conjunction with metaheuristic-based optimization algorithms in order to select automatically the best enhanced face image based on a linear combination of different key quantitative measures. Furthermore, different from other existing evaluation functions, our evaluation function is finitely bounded to determine easily whether an image is either too dark or too bright. This makes it better suited to find optimal solutions (best enhanced images) during the search process. Our method was compared with existing metaheuristic-based methods and other state-of-the-art image enhancement techniques. Based on the qualitative and quantitative measures obtained, our approach is shown to enhance facial images in unconstrained environments significantly.

**Keywords:** Pre-processing, Image enhancement, Metaheuristic algorithm, Unconstrained environments

## 1 Introduction

Pre-processing in face recognition systems involves enhancing an input face image in order to improve its quality by making more facial features in the image visible. Pre-processing enhances the performance of face recognition techniques [1, 2]. Further, the pre-processing stage amends distorted images and acquires regions of interest in an image for onward feature extraction. One important pre-processing task is image enhancement, which is essential to improve the performance of face recognition systems. However, most face recognition systems do not often incorporate face image enhancers in their designs, and whenever they do incorporate them, these are often less effective methods prior to the recognition process [3, 4]. There are many image enhancement methods used in

face recognition systems today. These methods have their pros and cons. Some recent methods transform an input image in order to achieve a more detailed or less noisy output image [5, 6]. In this regard, an image enhancement technique (IET) is considered effective if it is self-adaptive, i.e., if it adjusts its parameters to improve its performance automatically over different images. An IET must enhance an input image without introducing overstretching, excessive brightness, or loss of important features [7]. They should be simple with low computational complexity [8, 9]. These qualities are desired in a typical IET.

However, in face recognition systems, the quality of face images in unconstrained environments may be notably degraded for many reasons such as lighting conditions, i.e., in dark or too bright environments. Also, various facial expressions, change of pose, occluded faces, and other facial conditions may change the appearance of face images by hiding important features in the face [10]. Some IETs

\* Correspondence: [tahir.loyede@gmail.com](mailto:tahir.loyede@gmail.com)

Department of Electrical Electronic and Computer Engineering, University of Pretoria, Pretoria, SA 0002, South Africa

have been developed for various image-processing tasks; however, researchers have done minimal work concerning the development of IETs for face recognition in unconstrained environments. Further, most IETs often produce less enhanced outputs or unnatural effects and over enhancement in some cases that negatively affect the performance of face recognition systems. For these reasons, experts need to develop better IETs to improve further the performance of face recognition systems.

In terms of existing IETs, the histogram equalization (HE) method is a popular and simple approach for image enhancement [11, 12]. It works by adjusting the image's contrast either by increasing or decreasing the global contrast of the image, especially when the image is characterized by close contrast values [13]. It operates by spreading the intensities of image pixels based on the information from the entire image. This results in conditions where low occurring intensities are transformed and fused with neighboring high occurring intensities, thus leading to over enhancement [14]. Further, mean shift issues may arise in such a situation, which maintains the image's brightness and limits the performance of HE. The bi-histogram equalization (BHE) method was developed to address this problem [15], and it displayed better performance while maintaining the quality of the original image. However, the BHE is limited when the image pixel distribution is not symmetrical. Other methods such as the gamma correction and logarithm transformations have been used with lower computational complexities. However, they cannot manage complex illumination differences. Furthermore, other extensions of HE have been proposed such as the block-based histogram equalization, oriented local histogram equalization, and adaptive histogram equalization (AHE) methods. Nevertheless, these methods typically underperform in face recognition tasks, particularly in complex illumination conditions. This poor performance occurs because these methods rescind the entire distribution, which may contain important image characteristics [10].

Singh and Kapoor [16] presented an exposure-based sub-image histogram equalization method for contrast enhancement in low exposure grayscale images. Their method obtains thresholds, which are computed in order to split the original image into sub-images of various intensity levels. To control the enhancement rate, the histogram is clipped utilizing a threshold value as an average number of gray-level occurrences. Their method performed better than other conventional histogram equalization approaches. However, their approach does not adjust the level of enhancement, thereby resulting in darker or brighter enhanced images. Zhuang and Guan [17] used the mean and variance-based sub-image histogram equalization method to increase the contrast of the input image with brightness while retaining important features. However, because some IETs produce over-enhanced images and artifacts, Hussain

et al. [8] proposed a dark image enhancement approach where local transformation of the image pixels is considered. The experiments in [8] showed that their method improved satisfactorily the quality of images. However, artifacts were present in the images. Reddy et al. [12] presented the dynamic clipped histogram equalization for enhancing low contrast images. Their approach selects a clipped level at the occupied bins and conducts histogram equalization on the clipped histogram to produce the output image. Reddy's method uses three variants of the occupied bin space to enhance the low-contrasted dark, bright, and gray images. Shi et al [2] presented a dual channel prior-based method for nighttime low illumination image enhancement using a single image that is based on two existing image priors i.e., bright and dark priors. They used the bright channel prior to obtain the initial transmission estimate and used the dark prior as a complementary channel to adjust any wrong transmission estimate produced by the bright channel prior.

Linear contrast stretching (LCS) is an IET that uses linear transformation to increase the dynamic range of gray levels present in an original image [18]. LCS improves the contrast grade of an image; however, the LCS's threshold value must be manually configured. If a wrong threshold value is used, the quality of the enhanced image will be low. Since no universal standard exists for image quality assessment, it becomes difficult to improve on an image by simply stretching its histogram or utilizing simple gray-level transformations [19, 20].

Thus, to solve these general issues, particularly when computers need to decide autonomously how good an enhanced image is, researchers have recently proposed methods based on evolutionary computation and metaheuristic optimization algorithms [21]. Metaheuristic algorithms are generally used to finding solutions involving non-linear optimization tasks. Munteanu and Rosa [5] pioneered the application of metaheuristic algorithms for image enhancement. They used an evaluation function (EF) to select automatically the most appropriate enhanced image without requiring human intervention. Thus, they proposed a novel EF and an evolutionary algorithm to globally search for the best enhanced image from among a solution space of candidate-enhanced images.

An EF plays a vital role in metaheuristic-based image enhancement methods. It automatically selects the best enhanced image by assessing the image's quality without human involvement. Martens and Meesters [22] proposed an EF by using the statistical variable of the enhanced image. However, it could only be applied to a small set of test images. In 2015, Ye et al. [13] enhanced low contrast images by using a combination of the cuckoo search optimization (CSO) and the particle swarm optimization (PSO) algorithms. They derived contrast enhancement by the global transformation of the input intensities, while

using an incomplete beta function as the transformation function. Three factors, namely the entropy value, threshold, and the probability density of the image, were used in [13] to measure the quality of the enhanced image. They evaluated and compared their approach to other IETs and showed improved performances. However, their model does not select always the most enhanced image, which necessitates the need for better methods.

Following in this paper, we present a metaheuristic-based IET for enhancing face images in an automatic and more effective manner than previous approaches. The primary contributions of our research are as follows:

1. We present a new EF as a component within the IET for face images.
2. We present a defined scaling mechanism for the EF in order to ensure that extreme values of an enhanced image depict either completely dark or completely white images.
3. Our proposed IET exhibits stability in selecting the most enhanced image based on both qualitative and quantitative measures.
4. Extensive quantitative and qualitative experiments were carried out to compare different existing standard EFs.
5. A comprehensive evaluation of the different standard metaheuristic-based algorithms was carried out.

The remainder of the paper is organized as follows: Section 2 discusses the proposed IET, where the related functions used are highlighted. Section 3 describes the data samples and the performance evaluation used. Section 4 discusses the experiments and simulation results compared with various state-of-the-art algorithms. Section 5 concludes the research work giving highlights of the merits of our proposed algorithm and scope for future research work.

## 2 Proposed method

### 2.1 Image enhancement technique

An IET uses an effective transformation function to efficiently map the intensity values of an original input image in order to produce an enhanced output image [23]. In metaheuristic-based methods, this process requires that an EF selects automatically the optimal enhancement parameters of a transformation function in order to appropriately enhance an image [24]. This section describes the transformation function used in our research, our proposed EF, and the metaheuristic algorithm that we considered.

### 2.2 Transformation function

Generally, the process of enhancing images in the spatial domain requires the use of a transformation function,

which assigns new intensity values to each image pixel of the original image in order to produce an enhanced image. Local enhancement approaches apply transformation functions based on the gray-level distribution in the neighborhood of every pixel in a given input image. In our research, we used the transformation function proposed by Munteanu and Rosa in [5]. Munteanu's approach applies a transformation function,  $T$ , to each pixel at a location  $(i, j)$  using the gray-level intensity of the pixel within the input image,  $f(i, j)$ , and converts these intensities to another value,  $g(i, j)$ , i.e., the gray-level intensity of the output image. The horizontal and vertical size of the image is denoted as  $H_{\text{size}}$  and  $V_{\text{size}}$ , respectively. Hence,  $T$  is defined according to [5] as:

$$g(i, j) = T(f(i, j)) = k \left( \frac{M}{\sigma(i, j) + b} \right) \cdot [f(i, j) - c \cdot m(i, j)] + m(i, j)^a \quad (1)$$

where  $m(i, j)$  and  $\sigma(i, j)$  represent the mean and standard deviation of the gray scale image obtained for the pixels in the neighborhood centered at  $(i, j)$ . The global mean,  $M$ , of the original image is computed as  $M = \sum_{i=0}^{H_{\text{size}}-1} \sum_{j=0}^{V_{\text{size}}-1} f(i, j)$ . The parameters  $a$ ,  $b$ ,  $c$ , and  $k$  in Eq. (1) have the following effects: Parameter  $a$  introduces a brightening bias in the output image based on the last term  $m(i, j)$  in Eq. (1). It enables further control over the amount of smoothening effect required in the output image. Parameter  $b$  ensures that a zero-standard deviation value in the local neighborhood pixels does not have a huge whitening effect on the final output image. Thus, by its introduction, the denominator component in Eq. (1) typically remains nonzero. Parameter  $c$  allows only a fraction of the mean,  $m(i, j)$ , to be subtracted from the original pixels of the input image. The parameter  $c$  controls the degree of darkening introduced in the output image. Parameter  $k$  is introduced to create a fair balance between pixels existing in the mid-range boundaries of the gray scale. Essentially, these pixels are prevented from being either too dark or too white during the enhancement process. The following parameter values were noted to be highly effective based on an extensive empirical parameter-tuning exercise conducted in our work:  $2 \leq a \leq 2.5$ ,  $0.3 \leq b \leq 0.5$ ;  $0 \leq c \leq 3$ , and  $3 \leq k \leq 4$ . These values formed the limits of the constraints used in the optimization process of our method.

### 2.3 Evaluation function

An EF is used to assess the quality of an enhanced image,  $g(i, j)$  without the need for visual assessment by a human operator. It is used to determine the optimal parameter values of the transformation function,  $T$ , which produces the best enhanced image. The development of our EF was motivated following three ideas, which we

describe as follows: Firstly, we began by identifying particular key metrics in the literature that suitably describe how well an image is enhanced or not. We considered as many metrics as possible, which differentiates our model from existing models in the literature. Secondly, we introduced the concept of normalizing the metrics in our model in order to provide a bound for our method. This innovation made it possible to define a linear function that differs from existing functions in the literature. Interestingly, by this innovation, our method defines specific boundaries for images in very black and very white regions. These clear boundaries further enable an optimization algorithm to find better solutions in a well-defined range. Thirdly, we investigated three different optimization methods in order to use the best method, which led to the choice of the cuckoo search optimization algorithm. We present evidence of its performance in Section 4.

Thus, in developing our EF, and similar to [25], we quantified the following qualities of a well-enhanced image as follows: A well enhanced image should have a higher number of edge pixels than the original image. Furthermore, an enhanced image is expected to have a higher measure of information than the original image. This measure of information can be quantified using an entropic metric such as the histogram of the image. This approach is similar to the information measure used in the histogram equalization technique. Similarly, more pixels belonging to the foreground objects in an enhanced image should be better revealed than in the original image. An enhanced image should contain less alien artifacts than the original image. Based on the above qualities of an enhanced image, we propose a new EF that comprises of different performance metrics. These metrics, which are used to measure the qualities mentioned above, include the number of edge pixels, number of foreground pixels, entropic measure, and the peak signal-to-noise ratio (PSNR).

We describe the process of our EF as follows: First, the number of edge pixels,  $N_g$ , in the enhanced image is computed. To achieve this, a Sobel threshold,  $T_f$  is automatically computed from the original image,  $f(i, j)$ , using the Sobel edge detector. This threshold,  $T_f$  is then used in the Sobel edge detector to obtain the edge intensities,  $E_g(i, j)$ , of the enhanced image. In addition to being invariant,  $T_f$  was considered in our EF for computing  $E_g(i, j)$  in order to ensure a fair comparison between the original image and the different instances of the enhanced image. Thus, the number of edge pixels,  $N_g$ , in the enhanced image is obtained as:

$$N_g = \sum_{i=1}^H \sum_{j=1}^V E_g(i, j) \tag{2}$$

Secondly, the number of pixels,  $\phi_g$ , belonging to the foreground objects in  $g(i, j)$  is computed. To achieve this,

the variance  $\vartheta_g(i, j)$ , of  $g(i, j)$ , and the variance  $\vartheta_f(i, j)$ , of  $f(i, j)$ , are computed within a neighborhood (window) having  $n \times n$  pixels. A threshold value,  $\eta_f$  is automatically computed for  $\vartheta_f(i, j)$  using Otsu's threshold algorithm. A representation,  $D_g(i, j)$ , revealing pixels belonging to the foreground objects in the enhanced image is obtained as:

$$D_g(i, j) = \begin{cases} 1 & \text{if } \vartheta_g(i, j) \geq \eta_f \\ 0 & \text{if otherwise} \end{cases} \text{ for } i = 1, 2, \dots, H; j = 1, 2, \dots, V. \tag{3}$$

Thus,  $\phi_g$ , is obtained as

$$\phi_g = \sum_{i=1}^H \sum_{j=1}^V D_g(i, j) \tag{4}$$

Thirdly, an entropic measure,  $\beta_g$ , of  $g(i, j)$  is computed as

$$\beta_g = \begin{cases} -\sum_m \Omega_m \log(\Omega_m) & \text{for } \Omega_m \neq 0 \\ 0 & \text{for } \Omega_m = 0 \end{cases} \tag{5}$$

where  $\Omega_m$  is the frequency of pixels having gray levels in the histogram bin,  $m = 1, \dots, 256$ . The PSNR,  $\rho_g$ , of  $g(i, j)$  is obtained as

$$\rho_g = 10 \log_{10} \left[ \frac{(L-1)^2}{\text{MSE}} \right] \tag{6}$$

where  $L$  is the maximum pixel intensity value in  $g(i, j)$  and MSE is given as

$$\text{MSE} = \frac{1}{H \times V} \sum_{i=1}^H \sum_{j=1}^V |f(i, j) - g(i, j)|^2 \tag{7}$$

Based on the parameters computed in Eqs. (2)–(7), a new EF,  $E$ , is proposed as

$$E = 1 - \exp\left(-\frac{\rho_g}{100}\right) + \frac{N_g + \phi_g}{H \times V} + \frac{\beta_g}{8} \tag{8}$$

where  $E$  is a linear combination of the normalized values of the different metrics described in Eqs. (2)–(7). By normalizing each metric in Eq. (8), we confine each parameter to values between 0 and 1. Thus, based on this linear combination, our EF is described by a defined scale bounded between a minimum value of 0 and maximum value of 4. A minimum value of 0 represents an entirely black enhanced image, while a maximum value of 4 represents an entirely white enhanced image.



### 2.4 Metaheuristic algorithm

Metaheuristic optimization algorithms generally find solutions for highly non-linear optimization tasks [26]. They follow an iterative process that directs a subordinate heuristic by automatically fusing various ideas to explore a solution search space. Learning strategies are utilized to arrange information in order to find efficient optimal solutions. There are different types of metaheuristic algorithms known to be effective, such as the particle swarm optimization (PSO), genetic algorithm (GA), and the cuckoo search optimization (CSO) algorithms. In our research work, the CSO was used following an extensive comparison of the different metaheuristic algorithms as presented in the result section (see Section 4.2). The CSO algorithm was developed in [27], and it is among the most recent metaheuristic algorithms used for global optimization, with a look-alike process of the brood parasitic behavior of certain cuckoo species. The CSO was considered for its simplicity, fast convergence, and for its useful capability proven over series of experiments carried out in [28]. These attributes are essential requirements, which we considered worthwhile in order to enhance the contrast of images. The CSO algorithm based on Levy flight was applied in our work for searching new solutions based on the model given as:

$$a_i^{(c+1)} = a_i^{(c)} + \alpha \otimes \text{Levy}(\lambda) \tag{9}$$

where  $a_i^{(c+1)}$  depicts the latest solutions for a cuckoo,  $i$ , using flight function, with  $\lambda$  representing the Levy walk parameter,  $\alpha$  represents the step size associated to the scale of the problem of interest, and  $\otimes$  product represents entry wise multiplication. The Levy flight produces a random walk while the arbitrary step length is derived from a Levy distribution as  $\text{Levy} \sim u = t^{-\lambda}$ , ( $1 < \lambda \leq 3$ ). Generally, the process of the CSO is described as follows: every egg present in a nest denotes a solution and a cuckoo egg depicts a new solution. The purpose is to utilize the latest and supposedly better solutions, i.e., cuckoo to swap the less efficient solutions in the nests [27]. The adoption of the CSO in our work considers the situation for just an egg, as we are interested in only one solution. Table 1 describes the algorithmic process in the CSO. In the next section, we describe the entire flow process involved in our proposed image enhancement method based on an integration of the transformation function, our proposed EF, and the CSO algorithm.

### 2.5 Summary of the proposed image enhancement algorithm

In this section, we provide a summary of our proposed image enhancement method as stipulated in Table 1. Typically, the inputs to our method are the original image to be enhanced and the range of values describing

**Table 1** Steps involved in the Proposed Face Image Enhancement Technique

Inputs: Input (original) image, lower and upper values of each parameter (constraints)—stated in Section 2.2
Outputs: Final enhanced image, optimal parameter values
<ol style="list-style-type: none"> <li>1. The face image is acquired, resized, and converted to gray scale as <math>f(i, j)</math></li> <li>2. The values of the lower and upper constraints for each parameter in the transformation function (see Eq. 1) are defined.</li> <li>3. The CSO algorithm is initiated as follows:             <ol style="list-style-type: none"> <li>3.1. Let the number of nests be <math>n</math>, and the dimension of each particle be <math>D</math>, which corresponds to the number of variables to be optimized in our algorithm. In this case, <math>D=4</math>, representing the four different parameters to be optimized in Eq. (1). The probability of discovering an alien egg or solution in a nest is given as <math>P_a</math>, while the number of iterations of the CSO algorithm is given as <math>S</math>.</li> <li>3.2. The random and initial solutions (nests) for each parameter are generated</li> <li>3.3. For every CSO iteration, until <math>S</math>, do                 <ol style="list-style-type: none"> <li>3.4. Use Levy flights to obtain a new solution for each nest</li> <li>3.5. Evaluate each solution (nest) using Eq. (8)</li> <li>3.6. The best value among all the nests is obtained as <math>F_{\max}</math></li> <li>3.7. If <math>F_{S+1}^{\max} &gt; F_S^{\max}</math></li> <li>3.8. Update the new global best</li> <li>3.9. End if</li> <li>3.10. Empty a fraction of the worst nests based on <math>P_a</math></li> <li>3.11. Update each new solution using Eq. (9)</li> <li>3.12. Keep the best nests</li> <li>3.13. Return to step 3.4 until <math>S</math> is completed</li> </ol> </li> </ol> </li> <li>4. Obtain the optimized values of each parameter after the CSO iterations are completed</li> <li>5. Use the optimized values in Eq. (1) to obtain a final enhanced image, <math>g(i, j)</math></li> </ol>

the lower and upper constraints of each parameter. The process converts the original image to its corresponding gray scale image. This gray scale image is passed to the CSO algorithm where the entire optimization process selects the best enhanced image. Essentially, we describe the process involved in a single iteration of the CSO algorithm. However, the iteration typically continues until a stopping criterion is met or there is no further change in the fitness value.

Firstly, the original gray scale image is subjected to the transformation function in Eq. (1) to obtain a supposed enhanced image. The transformation function is applied based on a set of initial parameter values selected by the CSO algorithm in a random manner. These parameter values are used in the transformation function in order to obtain a supposed enhanced image. This enhanced image is then passed to the EF were a specific value is computed for the enhanced image as described in

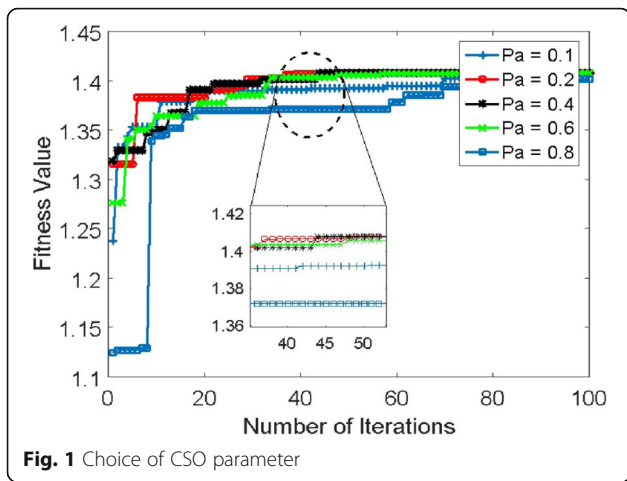


Fig. 1 Choice of CSO parameter

Section 2.3. This EF value is one of several possible values computed for different enhanced images belonging to a population of possible enhanced images. Thus, each enhanced image in the population is subjected to the transformation function using different sets of parameter values and then passed to the EF to obtain a fitness value. The best (or largest) EF value from among a population of different enhanced images is selected per generation (or iteration). The iteration proceeds until no better value is obtained. Thus, the enhanced image that produces the highest EF value after several number of iterations (or generation) is outputted as the best enhanced image.

### 3 Performance evaluation and data samples used

In our work, we enhanced face images in unconstrained environments. Thus, we used face images obtained from three different standard benchmark face datasets, i.e., the AR face database [29], Yale face dataset (YF), and the Olivetti research laboratory face dataset (ORL). Face images affected by different lighting conditions, different facial expressions, and different pose variations were selected from each face database. The lighting conditions

used were from the right, left, and both sides. Further, for the different facial expressions, face images with smile, anger, and scream were selected.

We describe the protocol used to select the representative images considered in the evaluation of our method and other state-of-the-art approaches as follows: Essentially, six different images were selected based on our protocol. Firstly, since our research focused on facial images and their constraints, we used six different types of representative facial conditions in unconstrained environments, namely smile, anger, scream, right light illumination, left light illumination, and both side illumination. Secondly, we categorized all images in each dataset into these six different facial conditions. Thirdly, one representative image from each category was randomly selected, thus accounting for the six different images presented in Section 4 per dataset.

The effectiveness of an IET can be measured qualitatively by visualizing the enhanced output image. However, it is also required to describe quantitatively the degree of enhancement of an image. We describe the following metrics used to assess quantitatively the measure of enhancement of an image. The metrics considered are number of edges, number of pixels in the foreground, entropic measure, PSNR, and absolute mean brightness error (AMBE), which are defined in the following sub-sections.

#### 3.1 Number of edges

The number of edges produced by an IET must provide a more substantial number of edges on the enhanced image as compared to the original input image. A higher number of edges on the enhanced image are desired as compared to the input image. The number of edges  $N_g$  can be obtained as stated in Eq. (2).

#### 3.2 Number of pixels in the foreground

An effective IET must be able to reveal more pixels that belong to the foreground object in the enhanced image

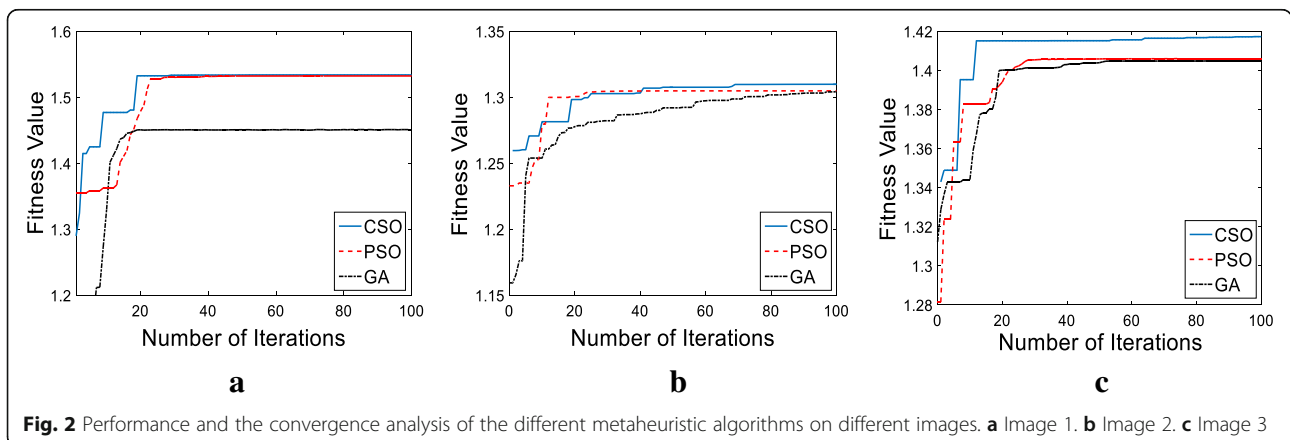


Fig. 2 Performance and the convergence analysis of the different metaheuristic algorithms on different images. a Image 1. b Image 2. c Image 3

**Table 2** Comparison of the different metaheuristic algorithm with our proposed EF based on all the performance evaluation metrics

Metrics	CSO + Proposed EF			PSO + Proposed EF			GA + Proposed EF		
	lm1	lm2	lm3	lm1	lm2	lm3	lm1	lm2	lm3
$\phi_g$	6026	2014	4567	4267	2022	4318	5806	2019	4291
$N_g$	3408	2422	2475	2475	2412	2437	3148	2410	2421
$\rho_g$	11.9	13.2	12.4	12.4	12.5	11.4	10.2	12.5	11.5
$\beta_g$	7.7	7.7	7.7	7.7	7.7	7.7	7.2	7.7	7.5
$\xi$	0.0	0.1	0.0	0.0	0.1	0.0	0.2	0.1	0.0
$E$	1.534	1.310	1.417	1.532	1.304	1.406	1.4509	1.302	1.404

Legend:  $\phi_g$  number of pixels in the foreground;  $N_g$  number of edge pixels;  $\rho_g$  PSNR;  $\beta_g$  entropic measure;  $\xi$  absolute mean brightness error;  $E$  fitness value

as compared to the original image. Hence, a higher value of the number of pixels in the foreground is desired to quantify the effectiveness of an IET. The number of pixels in the foreground  $\phi_g$  can be obtained as stated in Eq. (4).

### 3.3 Entropic measure

An entropic measure is regarded as the process of quantifying the details of information in the image. The larger the entropic measure value, the more detailed an enhanced image will be. Also, the entropic value of an image is independent of a different image because comparison is done on the same image before and after the processing [30]. The entropic measure of the enhanced image  $\beta_g$  can be obtained as stated in Eq. (5).

### 3.4 PSNR

An IET must not only have the ability to improve the images but also control the level at which artifacts is introduced into the enhanced image, i.e., the level of noise should not be increased during the enhancement process. The PSNR  $\rho_g$  is used to evaluate the increase in quality between the original and the enhanced image [31]. The PSNR value can be obtained as stated in Eq. (6).

### 3.5 AMBE

The AMBE,  $\xi$ , is generally used to measure the rate at which the mean brightness is preserved, which can be represented mathematically as in Eq. (10). It shows the change in mean brightness value between the original and the enhanced image. Furthermore, the mean brightness of the original and enhanced image can be calculated as shown in Eqs. (11) and (12), respectively. Thus, a lower AMBE value is desired, while a zero AMBE value is considered the ideal result.

$$\xi = | \delta(f(i, j)) - \delta(g(i, j)) |, \tag{10}$$

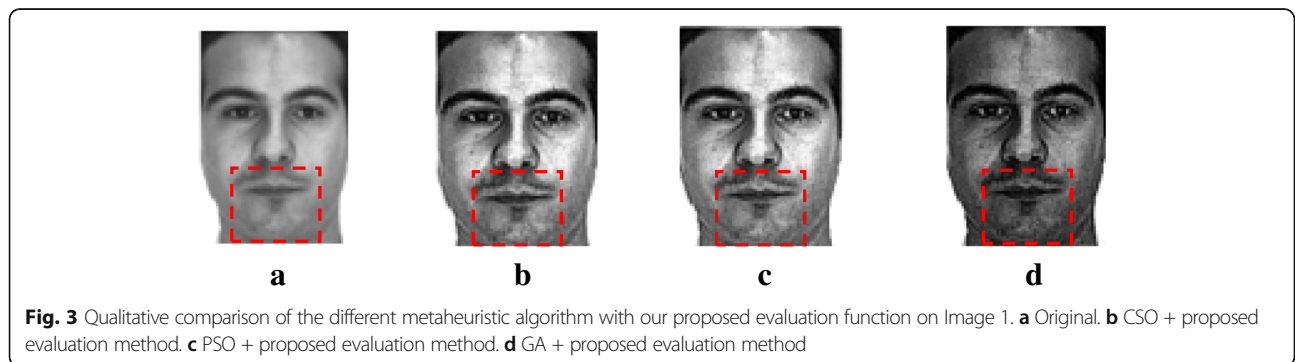
$$\delta(f(i, j)) = \frac{1}{HV} \sum_i \sum_j \delta(f(i, j)), \tag{11}$$

$$\delta(g(i, j)) = \frac{1}{HV} \sum_i \sum_j \delta(g(i, j)) \tag{12}$$

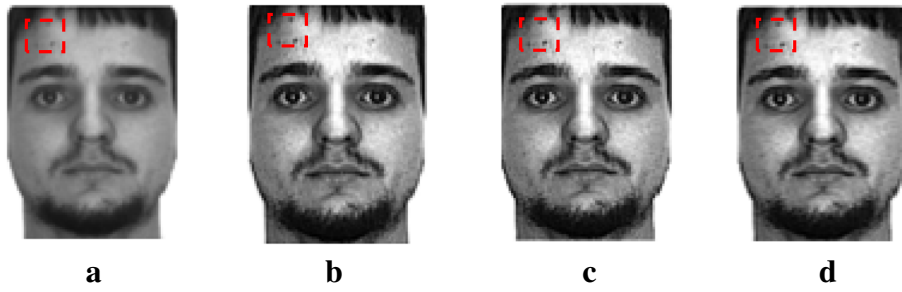
where  $\delta(f(i, j))$  depicts the mean brightness of the original image and  $\delta(g(i, j))$  represents the mean brightness of the enhanced image.

## 4 Simulation results and discussion

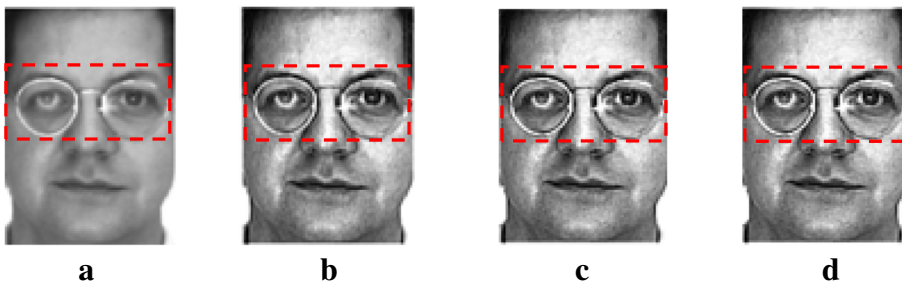
In this section, we discuss the effects of various experiments carried out in our research work. Firstly, an experiment to determine the choice of appropriate CSO parameters was conducted. Then, simulations using different metaheuristic algorithms were conducted to assess the respective performances of each algorithm based on the fitness value and time of convergence. Furthermore, we carried out experiments to compare our function with other EFs using the CSO algorithm in order to verify the effectiveness of our proposed EF. Finally, to confirm the efficacy of our image enhancement method, quantitative and qualitative comparisons were conducted based on standard performance metrics across different standard benchmark datasets.



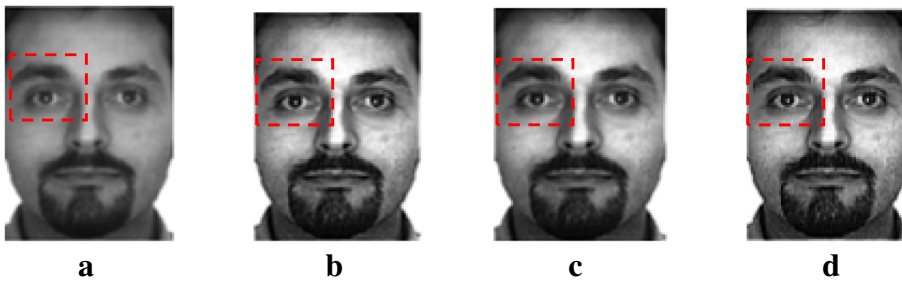
**Fig. 3** Qualitative comparison of the different metaheuristic algorithm with our proposed evaluation function on Image 1. **a** Original. **b** CSO + proposed evaluation method. **c** PSO + proposed evaluation method. **d** GA + proposed evaluation method



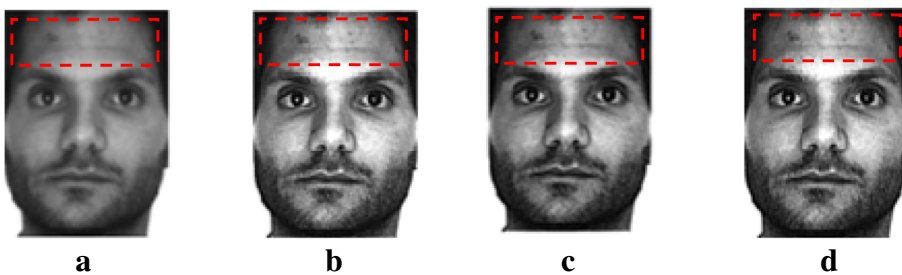
**Fig. 4** Qualitative comparison of the different metaheuristic algorithm with our proposed evaluation function on Image 2. **a** Original. **b** CSO + proposed evaluation method. **c** PSO + proposed evaluation method. **d** GA + proposed evaluation method



**Fig. 5** Qualitative comparison of the different metaheuristic algorithm with our proposed evaluation function on Image 3. **a** Original. **b** CSO + proposed evaluation method. **c** PSO + proposed evaluation method. **d** GA + proposed evaluation method

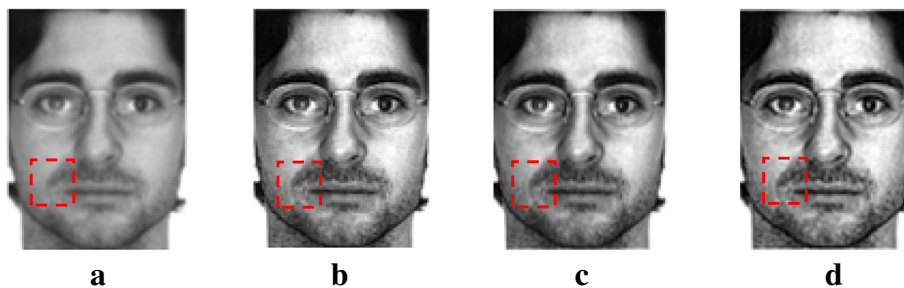


**Fig. 6** Qualitative comparison of the different evaluation function with the CSO algorithm on Image 1. **a** Original. **b** Munteanu + CSO. **c** Ye + CSO. **d** Proposed + CSO



**Fig. 7** Qualitative comparison of the different evaluation function with the CSO algorithm on Image 2. **a** Original. **b** Munteanu + CSO. **c** Ye + CSO. **d** Proposed + CSO





**Fig. 8** Qualitative comparison of the different evaluation function with the CSO algorithm on Image 3. **a** Original. **b** Munteanu + CSO. **c** Ye + CSO. **d** Proposed + CSO

**4.1 Choice of CSO parameter**

To effectively select appropriate values of the parameter, Pa, for the CSO algorithm, an experiment with different values ranging between Pa = 0.1 to 1.0 was conducted. Results were plotted based on the number of iterations and the fitness value. It is seen in Fig. 1 below that Pa = 0.2 converged the earliest. Hence, in our research, we selected Pa = 0.2.

**4.2 Evaluation of different metaheuristic algorithms**

To confirm the selection of the metaheuristic algorithm used in our research, an evaluation of different metaheuristic algorithms was carried out. The algorithms considered include the CSO, PSO, and genetic algorithm (GA) methods. The number of iterations for each metaheuristic algorithm was set at 100, which we plotted against the fitness value for each metaheuristic algorithm. In order to avoid bias, we selected three different images from the AR dataset and evaluated the performance of the different algorithms as shown in Fig. 2.

In Fig. 2, it is seen that across the different images labeled (a), (b), and (c), the CSO algorithm outperformed other metaheuristic algorithms. For image 1, the fitness function value obtained by the CSO is 1.534; followed closely by the PSO at a fitness value of 1.532, and lastly the GA with a fitness value of 1.4509. For image 2, the fitness function of the CSO reached a value of 1.31, which is followed by the PSO value of 1.304 and GA obtained a value of 1.302. Similarly, evaluating the different

algorithms on image 3, the CSO algorithm achieved the highest fitness function value of 1.417, while being followed by the PSO algorithm with a fitness function value of 1.406, and lastly the GA with a fitness function value of 1.404. The convergence analysis of the different algorithms was also observed with the CSO algorithm converging the earliest across all images at the 20th, 63rd, and 95th iteration for images 1–3, respectively. Furthermore, as shown in Table 2, we compared the different metaheuristic algorithms based on our proposed EF using all the performance evaluation metrics such as the number of pixels in the foreground, number of edges, PSNR, entropic measure, AMBE, and fitness value.

The various performance evaluation metrics are defined in Section 3. From Table 2, the CSO algorithm in conjunction with our EF provided exciting and useful results reported as follows for image 1; the number of pixels in the foreground value of 6026 was attained for the CSO outperforming PSO and GA with values of 4267 and 5806, respectively. Similarly, in image 3, the highest number of pixels in the foreground value of 4567 was attained using the CSO with our proposed algorithm, outperforming the values of 4318 and 4291 generated by PSO and GA, respectively. Furthermore, the values generated for the number of edges on the different images by the CSO algorithm with the proposed EF outperformed all other techniques. This implies that the CSO algorithm with the proposed EF is able to

**Table 3** Quantitative comparison for different EFs

Metrics	Munteanu + CSO (M_CS0)			Ye + CSO			Proposed + CSO		
	Im1	Im2	Im3	Im1	Im2	Im3	Im1	Im2	Im3
$\phi_g$	1867	1082	2301	762	927	1935	2104	1116	4827
$N_g$	537	361	630	1314	1673	1719	2306	2057	3368
$\rho_g$	14.9	13.3	17.0	17.7	15.7	17.8	14.5	13.8	12.9
$\beta_g$	7.8	7.7	7.7	7.8	7.6	7.8	7.8	7.7	7.8
$\xi$	0.1	0.1	0.0	0.0	0.0	0.0	0.0	0.1	0.0
$E$	1.235	1.160	1.279	1.242	1.227	1.323	1.333	1.252	1.510

**Table 4** Number of pixels in the foreground value comparison between results obtained using different enhancement methods on standard benchmark face datasets

Database	LCS	HE	IIA	M_PSO	M_GA	BPDFHE	CLAHE	LLIE	Proposed
AR									
Image 1	451	1006	451	2565	2249	391	1560	977	3294
Image 2	399	1220	399	2407	2388	334	1248	655	2565
Image 3	937	2174	937	3582	3669	891	2660	1734	5424
Image 4	989	1572	989	3491	3475	740	2283	895	5956
Image 5	636	677	636	2902	2912	462	2134	961	6865
Image 6	383	887	383	2317	2324	190	651	371	3947
YF									
Image 1	1308	9563	1308	5693	5955	950	1249	1439	13,117
Image 2	1515	13,388	1515	8914	9129	1359	1966	1175	17,694
Image 3	2285	6298	2285	8547	7003	2098	2010	3006	12,425
Image 4	1589	14,331	1589	7806	8105	1347	2004	1034	18,071
Image 5	1712	4731	1712	5936	5324	1508	1356	834	9751
Image 6	1887	5555	1887	6824	6080	1686	1515	1004	10,483
ORL									
Image 1	482	489	482	1795	1909	333	1066	623	3873
Image 2	1938	2191	1938	5277	5279	1318	4209	2873	7618
Image 3	1784	2167	1784	3926	3928	1246	3218	2385	6499
Image 4	1438	1556	1438	3324	2877	1014	2658	1552	6248
Image 5	1330	1016	1330	4312	4353	1025	2513	1958	5946
Image 6	915	738	915	1792	1912	559	1240	1152	4705

**Table 5** Number of edge value comparison between results obtained using different enhancement methods on standard benchmark face datasets

Database	LCS	HE	IIA	M_PSO	M_GA	BPDFHE	CLAHE	LLIE	Proposed
AR									
Image 1	624	1240	624	2395	2175	684	1981	1362	2889
Image 2	522	1375	522	2089	2071	514	1882	1010	2223
Image 3	696	1315	696	1976	2016	683	1866	1186	2756
Image 4	781	1017	781	1993	1974	665	1627	992	3044
Image 5	726	672	726	2072	2072	570	1915	1073	3776
Image 6	700	1496	700	1898	1901	733	1657	671	3247
YF									
Image 1	1842	4580	1842	5028	5213	1604	2946	2546	9247
Image 2	1699	6158	1699	5727	5804	1514	4064	2631	9106
Image 3	1756	2829	1756	4825	4013	1677	2151	2328	6517
Image 4	1521	7184	1521	5464	5509	2514	4234	2604	9013
Image 5	1349	2103	1349	3780	3409	1217	1845	2209	5461
Image 6	1409	2377	1409	3982	3514	1307	2153	2095	5490
ORL									
Image 1	458	546	458	1124	1195	354	911	569	1898
Image 2	603	713	603	1633	1634	395	1293	890	2532
Image 3	714	812	714	1325	1326	517	1122	877	2203
Image 4	668	751	668	1334	1197	519	1346	753	2313
Image 5	566	465	566	1436	1450	470	955	725	2021
Image 6	602	524	602	944	987	424	945	775	1865

**Table 6** Peak signal-to-noise ratio value comparison between results obtained using different enhancement methods on three different standard benchmark face datasets

Database	LCS	HE	IJA	M_PSO	M_GA	BPDFHE	CLAHE	LLIE	Prop
AR									
Image 1	23.135	17.921	23.135	14.430	15.239	27.1569	17.021	13.99	13.259
Image 2	21.184	15.375	21.848	12.396	12.392	34.8048	16.348	14.281	12.6282
Image 3	25.490	19.090	25.490	15.746	15.318	32.3678	18.724	13.833	12.437
Image 4	24.003	14.126	24.003	12.846	12.428	40.5588	16.8669	17.726	8.8844
Image 5	24.360	15.6500	24.360	14.5273	14.4144	40.5269	17.5406	17.654	9.147
Image 6	24.2599	9.8793	24.259	14.1864	14.17	29.9462	17.1313	21.347	8.244
YF									
Image 1	27.071	12.472	27.071	14.101	13.269	34.115	23.451	26.014	8.069
Image 2	36.541	12.581	36.541	13.711	13.757	35.481	20.531	15.104	8.197
Image 3	37.271	17.085	37.271	13.207	6.607	37.806	21.755	23.831	9.759
Image 4	42.581	13.731	42.581	14.659	14.048	25.339	19.812	14.259	8.837
Image 5	39.031	18.110	39.030	2.2967	3.328	32.593	20.954	14.969	9.499
Image 6	35.343	16.072	35.343	13.392	5.065	37.973	21.706	17.007	9.027
ORL									
Image 1	25.323	20.849	25.323	17.551	16.441	31.981	18.153	16.097	11.635
Image 2	26.787	22.484	26.787	14.861	14.831	41.335	16.407	14.606	10.258
Image 3	25.591	22.103	25.591	16.318	16.212	37.621	17.695	18.665	10.188
Image 4	23.788	19.598	23.788	15.441	16.947	33.865	17.825	18.590	10.523
Image 5	25.624	28.241	25.624	15.371	15.381	36.755	17.314	16.071	12.158
Image 6	22.703	21.727	22.703	18.523	18.139	32.633	17.743	15.356	11.829

**Table 7** Entropic measure value comparison between results obtained using different enhancement methods on standard benchmark face datasets

Database	LCS	HE	IJA	M_PSO	M_GA	BPDFHE	CLAHE	LLIE	Proposed
AR									
Image 1	7.4463	5.9567	7.4463	7.7381	7.7415	7.2321	7.8153	7.4387	7.7312
Image 2	7.1931	5.9504	7.1931	7.6573	7.6578	6.8574	7.6891	7.1804	7.8393
Image 3	7.413	5.931	7.413	7.6654	7.661	7.0664	7.7904	7.2489	7.7804
Image 4	7.635	5.9717	7.635	7.7815	7.786	7.2305	7.7595	7.0171	7.8593
Image 5	7.5609	5.8266	7.5609	7.5745	7.5721	7.1865	7.708	7.0508	7.8258
Image 6	7.0688	5.8843	7.0688	7.4651	7.4632	6.6483	7.5923	6.3949	7.3507
YF									
Image 1	4.2938	3.1034	4.2938	4.6969	4.6444	4.1962	4.4254	4.1136	4.5492
Image 2	4.7103	3.4205	4.7103	4.8841	4.8882	4.5318	4.8105	4.1881	4.6918
Image 3	4.6965	3.4164	4.6965	4.2898	4.0718	4.5828	4.8450	3.7340	4.9105
Image 4	5.2128	3.8475	5.2128	5.2600	5.2511	4.9808	5.3399	4.7239	5.0825
Image 5	3.8014	2.7607	3.8014	3.4162	3.4312	3.6916	3.9933	3.8220	3.9198
Image 6	3.8665	2.7464	3.8665	3.6413	3.3834	3.7931	3.9946	3.7089	3.8903
ORL									
Image 1	7.2911	5.9766	7.2911	7.7474	7.7194	7.1604	7.7833	7.3308	7.4561
Image 2	7.4287	5.9811	7.4287	7.7244	7.7241	7.3292	7.8739	7.4295	7.2415
Image 3	7.3419	5.9719	7.3419	7.7132	7.705	7.2402	7.8589	7.5324	7.2677
Image 4	7.3675	5.9542	7.3675	7.5777	7.6382	7.2482	7.8178	7.646	7.7055
Image 5	7.3794	5.9636	7.3794	7.4041	7.3967	7.2621	7.8876	7.6182	7.9296
Image 6	7.4323	5.9823	7.4323	7.6305	7.6103	7.2897	7.8693	7.7043	7.1986

**Table 8** AMBE value comparison between results obtained using different enhancement methods on three different standard benchmark face datasets

Database	LCS	HE	IIA	M_PSO	M_GA	BDPFHE	CLAHE	LLIE	Proposed
AR									
Image 1	0.0657	0.0545	0.0657	0.0584	0.0488	0.0227	0.0654	0.1834	0.0598
Image 2	0.0779	0.0606	0.0779	0.1332	0.1367	0.0124	0.0978	0.1824	0.1116
Image 3	0.0491	0.0365	0.0491	0.0315	0.0173	0.0144	0.0326	0.1856	0.0369
Image 4	0.0533	0.1721	0.0533	0.1647	0.1865	0.0045	0.0545	0.1124	0.1385
Image 5	0.0483	0.1533	0.0483	0.1173	0.1209	0.0007	0.04	0.1028	0.1306
Image 6	0.0506	0.2688	0.0506	0.1136	0.1135	0.0028	0.0862	0.0744	0.1136
YF									
Image 1	0.0255	0.1463	0.0255	0.1028	0.1219	0.0082	0.0028	0.0024	0.1153
Image 2	0.0085	0.1339	0.0085	0.1351	0.1284	0.0059	0.0152	0.0993	0.1121
Image 3	0.0087	0.0603	0.0087	0.0812	0.3344	0.0008	0.0342	0.0018	0.0833
Image 4	0.0046	0.1121	0.0046	0.1128	0.1318	0.0231	0.0235	0.1156	0.1113
Image 5	0.0065	0.0416	0.0065	0.6291	0.5493	0.0048	0.0436	0.1011	0.0547
Image 6	0.0096	0.0712	0.0096	0.0717	0.4463	0.0279	0.0322	0.0745	0.0632
ORL									
Image 1	0.0164	0.0549	0.0164	0.0646	0.0797	0.0030	0.0141	0.1378	0.0656
Image 2	0.0216	0.0495	0.0216	0.1332	0.1340	0.0029	0.0011	0.1680	0.1182
Image 3	0.0040	0.0078	0.0040	0.0931	0.0957	0.0064	0.0366	0.0832	0.0834
Image 4	0.0126	0.0397	0.0126	0.0861	0.0656	0.0012	0.0362	0.0945	0.0276
Image 5	0.0059	0.0117	0.0059	0.0813	0.0763	0.0013	0.0363	0.1311	0.0792
Image 6	0.0192	0.0614	0.0192	0.0459	0.0487	0.0162	0.0509	0.1478	0.0440

**Table 9** Fitness value comparison between results obtained using different enhancement methods on standard benchmark face datasets

Database	LCS	HE	IIA	M_PSO	M_GA	BDPFHE	CLAHE	LLIE	Proposed
AR									
Image 1	1.1916	1.0221	1.1916	1.3521	1.3325	1.1961	1.3123	1.1785	1.4028
Image 2	1.1419	1.0174	1.1419	1.3008	1.299	1.1939	1.267	1.1148	1.3154
Image 3	1.2341	1.0914	1.2341	1.3846	1.3868	1.2357	1.3731	1.1828	1.4817
Image 4	1.2572	1.009	1.2572	1.3702	1.3653	1.3082	1.326	1.1349	1.497
Image 5	1.2301	0.9413	1.2301	1.3332	1.3325	1.2836	1.3289	1.1459	1.5531
Image 6	1.1537	0.9486	1.1537	1.2783	1.2784	1.1364	1.223	1.0442	1.3613
YF									
Image 1	0.8143	0.6871	0.8143	0.8565	0.8484	0.8464	0.8161	0.7945	0.9337
Image 2	0.9362	0.7971	0.9362	0.9269	0.9316	0.9021	0.8644	0.7126	1.0098
Image 3	0.9501	0.7014	0.9501	0.8319	0.7145	0.9362	0.8546	0.7474	0.9629
Image 4	1.0383	0.8859	1.0383	0.9645	0.9625	0.8961	0.9274	0.7701	1.0682
Image 5	0.8376	0.5986	0.8376	0.5746	0.5739	0.7746	0.7293	0.6559	0.7012
Image 6	0.8234	0.5937	0.8234	0.7194	0.5956	0.8285	0.7416	0.6598	0.7355
ORL									
Image 1	1.2263	1.0357	1.2263	1.4126	1.4177	1.2354	1.3308	1.1807	1.6019
Image 2	1.4101	1.2308	1.4101	1.7742	1.7743	1.4209	1.6695	1.4297	1.9877
Image 3	1.3859	1.2339	1.3859	1.6243	1.6227	1.3896	1.5657	1.4284	1.8498
Image 4	1.3368	1.1461	1.3368	1.5423	1.5061	1.3421	1.5291	1.3491	1.8439
Image 5	1.3324	1.3522	1.3324	1.6258	1.6303	1.3604	1.4815	1.3611	1.7788
Image 6	1.2793	1.0655	1.2793	1.3884	1.3985	1.2851	1.3583	1.2924	1.6491

improve on the original images, thereby revealing more information in the image.

To confirm our selection of the CSO metaheuristic algorithm, we qualitatively analyzed the enhanced images produced by the different metaheuristic algorithms with the proposed EF as shown in Figs. 3, 4, and 5. We inserted red bounding boxes in Figs. 3, 4, 5, 6, 7, and 8 to emphasize particular regions of interest. For example, observe the bounding box in the original image of Fig. 3 and notice that the beards of the subject are significantly revealed in the subsequent enhanced images (see Fig. 3b, c, and d). We applied our method based on different metaheuristic algorithms and showed qualitatively (see Figs. 3, 4, and 5) that there is not much to differentiate between these optimization algorithms by qualitative analysis. However, we showed slight advantages of the CSO algorithm over other methods by the quantitative results presented in Table 2. Furthermore, consider Fig. 4 and observe that the four spots within the bounding box in the original image are unobvious; however, they are clearly enhanced and made visible in the enhanced images (see Fig. 4b, c, and d). Similarly, we show bounding boxes on other images to emphasize interesting features that have been clearly enhanced over the respective original images. In essence, the CSO algorithm provided better quantitative performance as compared to the PSO and GA algorithms.

#### 4.3 Comparison of different EFs

In this section, we confirm the performance of our proposed EF based on the CSO algorithm. Hence, we compared the different EFs used in Munteanu [5] and Ye [13] using the CSO algorithm based on three different selected face images that vary among individuals as shown in Table 3.

Considering Table 3, the different EFs were compared based on all the performance evaluation metrics using the CSO algorithm. The results obtained confirm the effectiveness of our proposed EF by displaying decent results reported as follows: across images 1, 2, and 3, our proposed EF produced the highest foreground value of 2104, 1116, and 4827, respectively as compared to the other EFs. Similarly, values generated for the number of edges by our proposed algorithms outperformed other EF techniques with higher values of 2304, 2057, and 3368 for images 1, 2, and 3, respectively. Furthermore, the fitness value generated by the different algorithms was analyzed, and it showed that our proposed algorithm produced the highest fitness value for all the images.

To confirm the effectiveness of our proposed EF, a comparison of the different EFs was conducted by analyzing qualitatively the enhanced images as shown in

Figs. 6, 7, and 8. Following these figures, it is evident that our proposed EF outperforms the other algorithms.

#### 4.4 Comparison of different image enhancement methods

The experiments in this section were designed to confirm the performance of our proposed algorithm by comparing our method with other state-of-the-art image enhancement methods. The methods considered are the linear contrast stretching (LCS), histogram equalization (HE), image intensity adjustment (IIA), Munteanu with particle swarm optimization (M\_PSO), Munteanu with genetic algorithm (M\_GA), brightness preserving dynamic fuzzy histogram equalization (BPDFHE), contrast limited adaptive histogram equalization (CLAHE), and low-light image enhancement (LLIE). The results obtained are presented in Tables 4, 5, 6, 7, 8, and 9. We conducted these experiments using different standard benchmark face datasets by selecting six different face images with different real-world conditions. The chosen face images selected from each face dataset were labeled images 1–6, and they represent multiple faces with lightning conditions, pose variations, and facial expressions such as smile, anger, scream, left light, right light, and both light on, respectively.

From Table 4, the performance of the different image enhancement methods was analyzed based on the number of pixels in the foreground, which represents the amount of information introduced to the enhanced image. Hence, the goal is to obtain a higher number of pixels in the foreground. Our proposed algorithm produced the highest number of pixels in the foreground value across all images within the different face datasets. For image 1 from the AR face dataset, the number of pixels in the foreground value was 3294 achieved by our method, followed by the M\_PSO with a value of 2565, then M\_GA with a value of 2249, and LCS with a value of 451. Our enhancement method produced for images 2–6 the following number of pixels in the foreground values: 2565, 5424, 5956, 6865, and 3947, respectively, which surpasses the values presented by other enhancement methods. For the YF face dataset, our method produced a significant number of pixel values in the foreground across all face images. Similarly, for the ORL face dataset, our method produced the largest number of pixels in the foreground based on the different selected face images. Using this dataset, the values produced for images 1–6 include 10,483, 3873, 7618, 6499, 6248, 5946, and 4705, respectively.

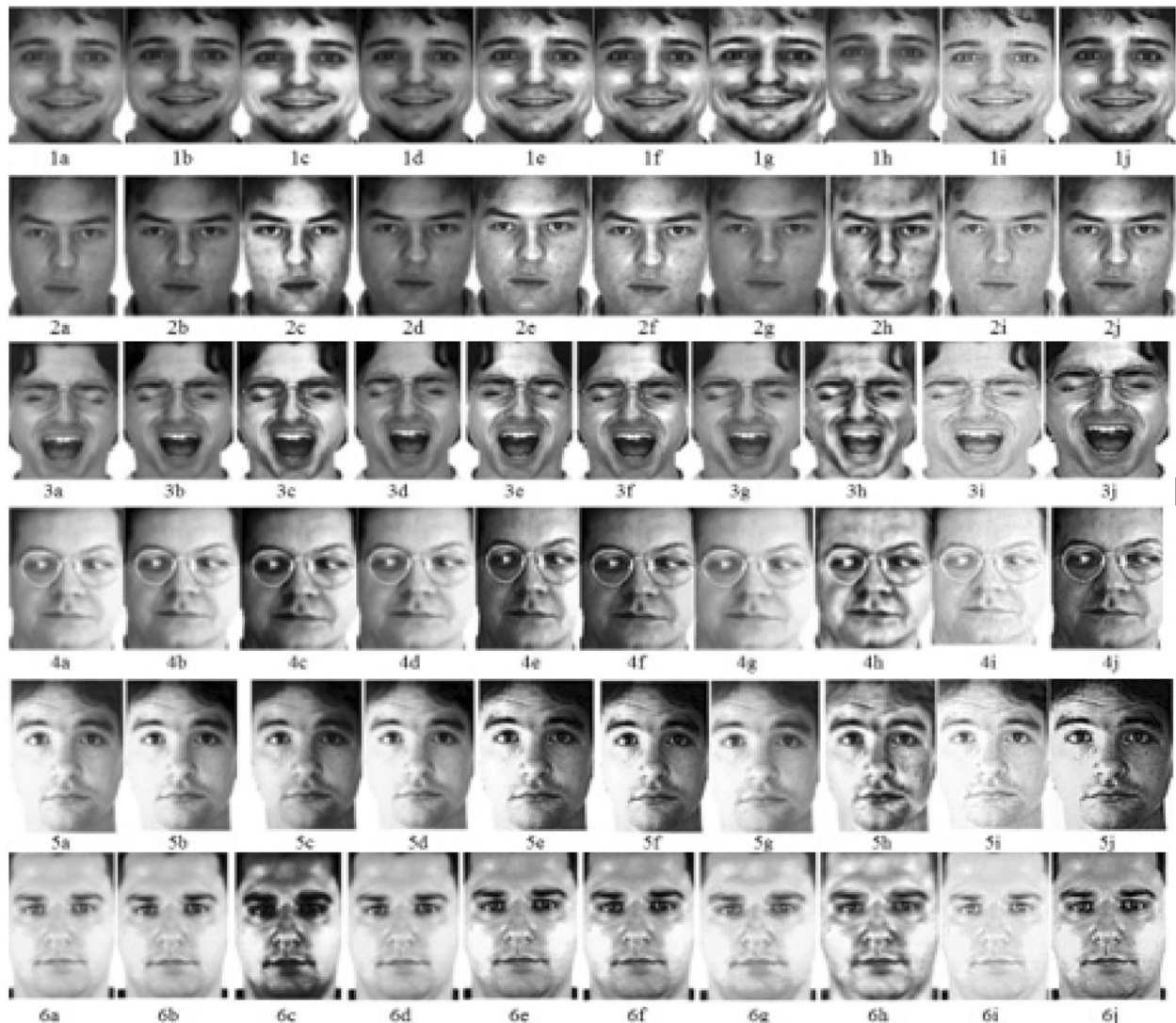
The number of edges produced by the different enhancement methods was compared across the different standard face datasets as shown in Table 5. Our method demonstrated a better performance based on the performance metric used across all images in the different datasets. We desire a higher value of the number of



edges because it demonstrates that more information has been added to the enhanced image. M\_GA follows with the largest number of edge values across all images, while LCS and BPDFHE produced the least number of edge values.

Tables 6, 7, and 8 display the PSNR value, entropic measure value, and the AMBE value, respectively. The PSNR value obtained with our method produced the lowest value across all face images within the different face datasets. This implies that a lower PSNR value produces a more enhanced image. The entropic value by our proposed technique produced a higher value for most face images as compared to the other methods. This shows that more information has been added to the enhanced image to make the face image unique. Further,

the AMBE values of each method, representing the mean brightness value, were compared. Our method preserved the absolute mean brightness error value significantly. Furthermore, the fitness values of each method were computed and presented in Table 9. The fitness value is an important metric that determines the effectiveness of each technique, and a higher fitness value is desired. Our method when compared to the other IETs produced the highest fitness value across most face images used from the different face datasets. Our method is followed by the M\_PSO method across all images. The CLAHE and BPDFHE methods produced a satisfactory performance while the HE method produced the least fitness value across all images.

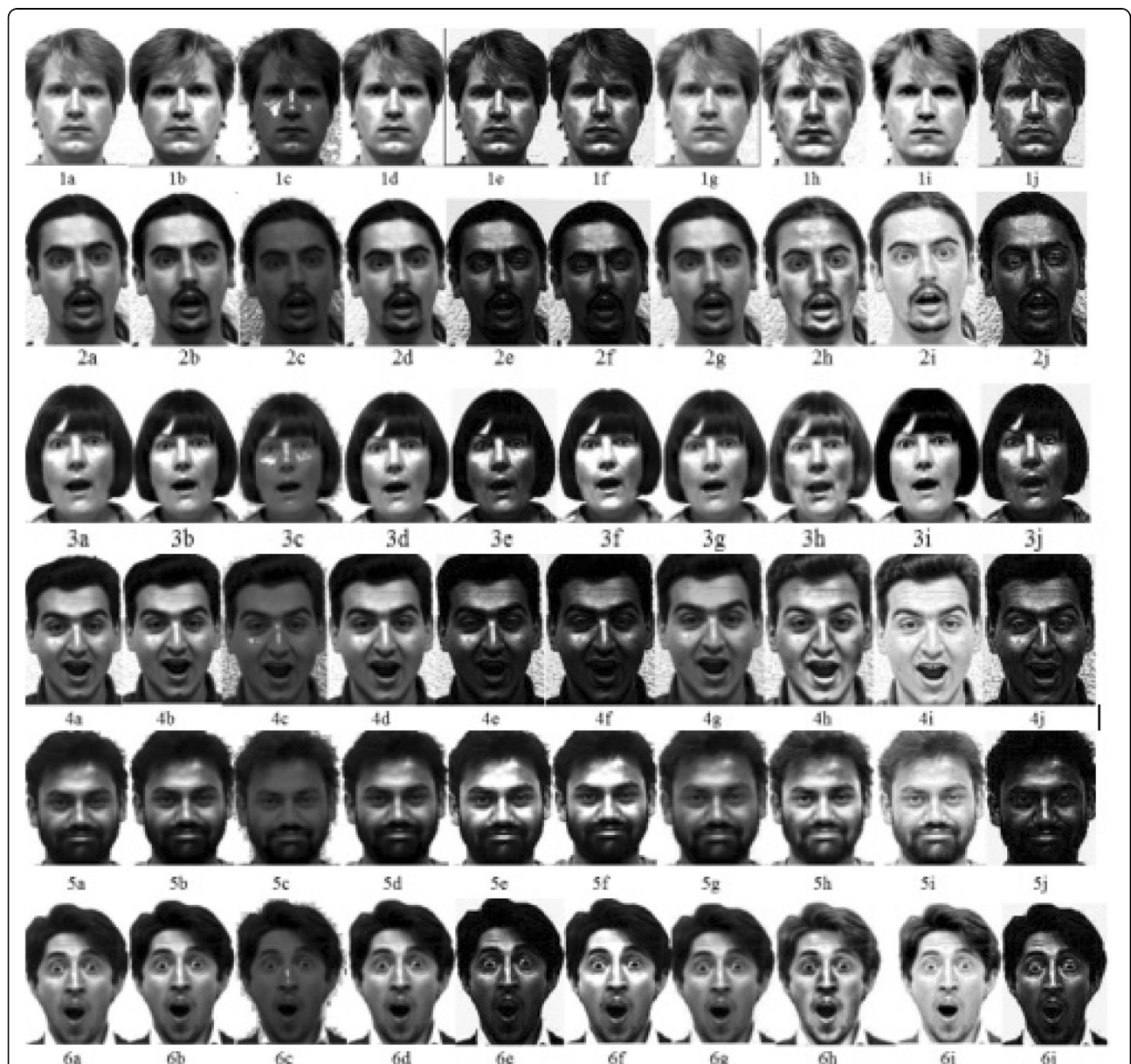


**Fig. 9** Qualitative comparison of the different image enhancement algorithms on the AR face dataset where Figs. 1, 2, 3, 4, 5, and 6 represent images of different subjects respectively. a-j denote the methods labeled as a original, b LCC, c HE, d IIA, e M\_PSO, f M\_GA, g BPDFHE, h CLAHE, i LLIE, and j proposed

To confirm further the performance of our proposed algorithm, we compared qualitatively the enhanced images produced by the different image enhancement methods as shown in Figs. 9, 10, and 11 with each figure representing different lightning conditions, pose variation, and facial expressions such as smile, anger, scream, left light on, right light on, and both light on, respectively.

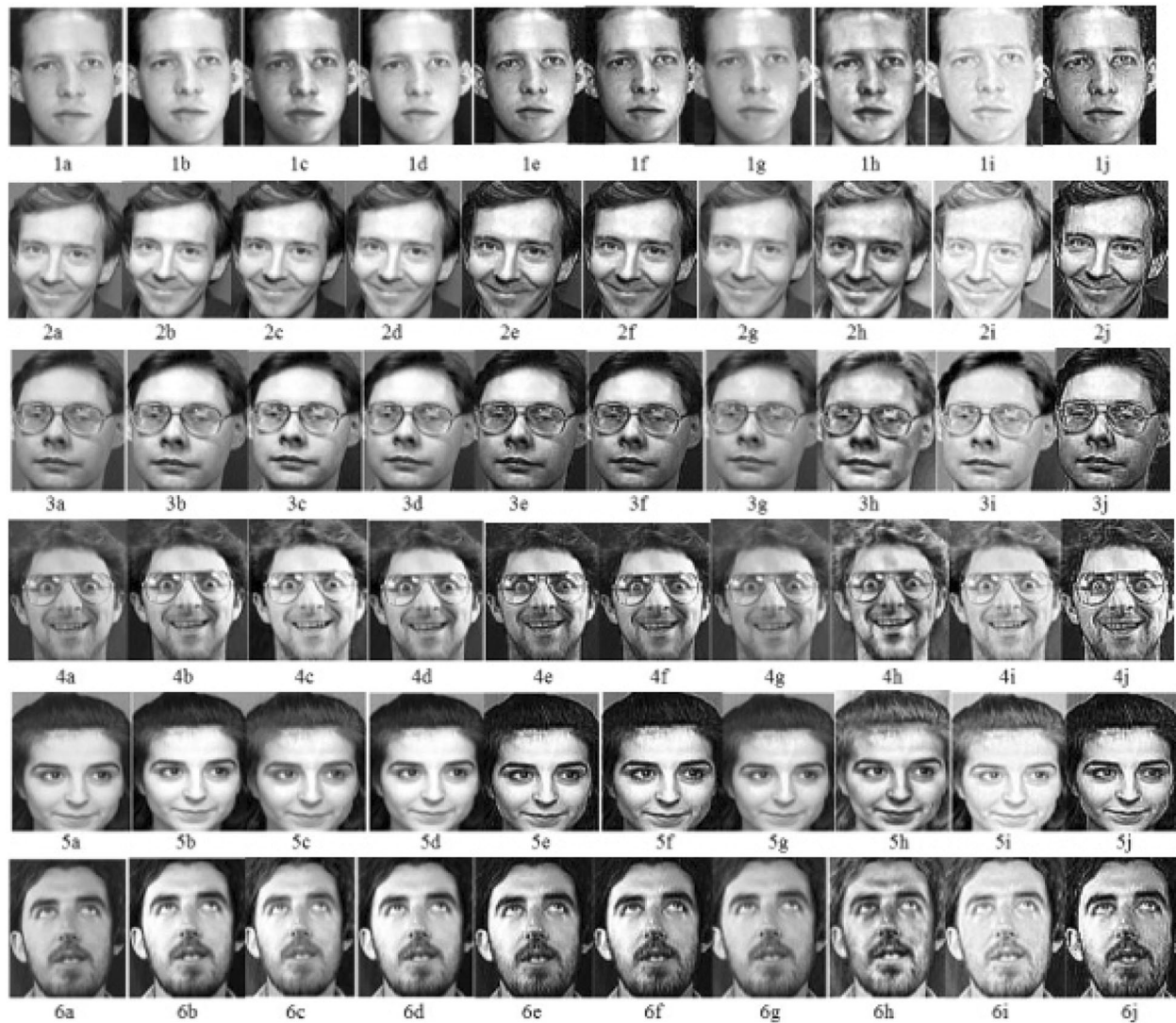
Figures 9, 10, and 11 display the effectiveness of our proposed IETs. The enhanced images produced by our

algorithm show a much positive difference in quality than the original and other enhanced images by other methods. The images affected by lighting conditions, i.e., images 4, 5, and 6 representing right light, left light and both light on, respectively were effectively enhanced as compared to other enhancement techniques. Furthermore, our method produced decent enhanced images considering images with different pose and expressions. Generally, unlike the images produced by other methods, our method produced more enhanced face



**Fig. 10** Qualitative comparison of the different image enhancement algorithms on the Yale face dataset where Figs. 1, 2, 3, 4, 5, and 6 represent images of different subjects respectively. and a-j denote the methods labeled as a original, b LCC, c HE, d IIA, e M\_PSO, f M\_GA, g BDPFHE, h CLAHE, i LLIE, and j proposed





**Fig. 11** Qualitative comparison of the different image enhancement algorithms on the ORL face dataset where Figs. 1, 2, 3, 4, 5, and 6 represent images of different subjects respectively. a–j denote the methods labeled as a original, b LCC, c HE, d IIA, e M\_PSO, f M\_GA, g BDPFHE, h CLAHE, i LLIE, and j proposed

images. This better performance was achieved because our method considers different essential metrics in its design. Metrics such as the number of edges and the number of the pixel value in the foreground will undoubtedly add more features to the image, thus, producing a more enhanced image.

#### 4.5 Processing time per image

In this section, we provide results concerning the processing time of each algorithm per image considered in our research. We conducted the experiments in this section using a PC built on an Intel Core i5 processor with an Intel HD Graphics 4400 and 8GB RAM. We tested

**Table 10** Processing time per facial image comparison using the different image enhancement methods on different image pixel size

Image pixel	Methods								
	LCS	HE	IIA	M_PSO	M_GA	BDPFHE	CLAHE	LLIE	Proposed
64 × 64	0.115	0.117	0.114	3.635	3.783	0.114	0.133	0.202	11.155
128 × 128	0.144	0.145	0.143	13.736	14.0451	0.140	0.151	0.231	27.363
256 × 256	0.250	0.254	0.250	54.373	54.778	0.248	0.248	0.321	90.126

each algorithm using three different pixel sizes in order to assess their respective average processing times. The results obtained are presented in Table 10. Our algorithm experienced the longest average processing time per image. The processing time increased as the image pixel size increased. Our method experienced a longer processing delay because it computes iteratively both in the transformation and in the evaluation blocks for each potentially enhanced image in a population of several solutions. This iteration in the optimization process further prolonged the processing time of our method. This indicates that a trade-off thus exists between achieving better-enhanced images at the expense of speed. Though our method experienced a longer delay than other alternatives; however, this delay was at a far better enhancement performance than the other alternatives. Practical application areas where our method can be applied are as follows: in face enhancement and editing software in smartphones, face enhancement for forensic purposes, and face enhancement for cosmetic and dermatological purposes. In these and other similar application areas, experts are notably interested more in better-enhanced images than in the speed of enhancement. Nevertheless, we have observed that metaheuristic-based methods tend to provide improved performance than other methods at the expense of longer processing times.

## 5 Conclusion

Image enhancement is an essential pre-processing stage in typical face recognition systems. Hence, an efficient IET is required in order to improve face recognition performance. In this paper, we have presented a new EF for face image enhancement in unconstrained environments using metaheuristic algorithms. Our EF is used in conjunction with the cuckoo search optimization (CSO) algorithm to determine the best enhanced image, similar to the visual role played by a human evaluator. We achieved improved performance by introducing a scaled mechanism in our EF that prevents the enhanced image from assuming extreme dark or bright images. We have shown that our proposed EF outperforms other standard EFs. In addition, extensive quantitative and qualitative comparisons with other metaheuristic and state-of-the-art image enhancement methods were conducted in order to demonstrate the effectiveness of our method. We evaluated our method using different face images from different standard benchmark face datasets that represent different real-life scenarios. The performance metrics considered in our research demonstrated the superior performance of our method over other methods. For future works, we will look at the possibility of exploring other metaheuristic

algorithms. In addition, approaches to reduce the processing time per image using the proposed method will be investigated. We note that our work can be extended to other application areas of image processing in order to improve their respective performance rates.

### Abbreviations

AHE: Adaptive histogram equalization; AMBE: Absolute mean brightness error; BPDFHE: Brightness preserving dynamic fuzzy histogram equalization; CSO: Cuckoo search optimization; EF: Evaluation function; GA: Genetic algorithm; HE: Histogram equalization; IET: Image enhancement technique; IIA: Image intensity adjustment; LCS: Linear contrast stretching; LLIE: Low-light image enhancement; PSNR: Peak signal-to-noise ratio; PSO: Particle swarm optimization

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### Availability of data and materials

The AR, Yale and ORL face datasets were used to confirm our proposed methods.

### Authors' contributions

MO was the main contributor to the design of the new image enhancement algorithm and drafted the manuscript. GH and HM edited and modified the overall content of the manuscript while also giving adequate supervision. AO participated in the discussion of this work. All authors read and approved the final manuscript.

### Competing interests

The authors declare that they have no competing interests.

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