

**Comparative genomics reveal processes implicated in
host-specificity in species within the American clade of
the *Fusarium fujikuroi* species complex**

by

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Submitted in partial fulfilment of the requirements for the degree

MAGISTER SCIENTIAE

in the Faculty of Natural and Agricultural Sciences,

University of Pretoria,

Pretoria

April 2020

DECLARATION

I, Claudette Dewing, declare that the thesis/dissertation, which I hereby submit for the degree *Magister Scientiae* at the University of Pretoria, contains my own work and has not previously been submitted by me for a degree at this or any other tertiary institution.

A handwritten signature in black ink, appearing to read 'C. Dewing', with a stylized, cursive script.

C. Dewing

April 2020

ACKNOWLEDGEMENTS

I thank the DST/NRF SARChI Chair in Fungal Genetics, the Centre of Excellence in Tree Health Biotechnology (CTHB), the Tree Protection Cooperative Programme (TPCP) and the University of Pretoria for financial support.

I thank everyone who joined my journey and had a part in the completion of this dissertation.

To my supervisors:

I was very fortunate to have an all-woman supervisor committee. Profs. Emma Steenkamp and Brenda Wingfield and Drs. Lieschen De Vos and Magriet van der Nest, thank you for having me as your student.

Dr. Lieschen De Vos, thank you for taking me in as your student. Thank you for your interest in my project and constant reminder of the bigger picture. Thank you for the necessary genome assemblies and annotations and the hours you have put in to figure out most of the scripts used. Thank you for your patience, your open door and that entire Friday we spent tabulating the SynChro results. Thank you for always attending my presentations and supporting me during those stressful times.

Dr. Magriet van der Nest, thank you for walking the road with me since I was an honours student. Thank you for being my co-supervisor. Thank you for your enthusiasm and for always reminding me that I can complete this dissertation. Thank you for checking up on me when you have not heard from me in a while. Thank you for the help and input with the analyses. Thank you for your patience, draft after draft. Thank you for being such a good and funny person. Thank you for all the coffee and telling me about Snippie and Babatjie. Thank you for always being available to help me. Magriet, words will never be enough to show my appreciation.

Prof. Emma Steenkamp, thank you for walking the road with me since I was an honours student. Thank you for your patience and for always making sure I knew what the aims

of this dissertation were. Thank you for being such a strong and proud woman and for being an inspiration to me. Thank you for your enthusiasm and your advice on life. Thank you for reminding me of my self-worth and for believing that I can be a good scientist. Thank you for your patience and your open door, apart from your busy schedule. Thank you for always being kind and always smiling. Thank you for your input during Friday afternoon meetings. Thank you for helping me sort out the 119 phylogenetic trees. Thank you for being straightforward and honest. Being your student was a life-changing experience.

Prof. Brenda Wingfield, words can never be enough to explain the inspiration you are to me. Thank you for believing in me from the beginning. Thank you for your support and motivation during this journey. Thank you for Friday afternoon meetings where we could all relax and laugh about life. Thank you for your input and suggestions during the completion of this dissertation. Thank you for being so passionate about science and for your patience. Thank you for the opportunity to be one of your students and for always smiling. Thank you for the foundation you provided me to become the best scientist I can be. Brenda, thank you for being you.

Dr. Quentin Santana, thank you for your advice on analyses and for always being available to help with scripts. Thank you for taking such interest in all projects related to *Fusarium*. It was a privilege to work with you and to have you on my team.

To friends and family:

Ladies from culture collection (Dr. Seonju Marincowitz, Lydia and Valentina), thank you for always being friendly and for allowing me to complete my work-study experience hours with you. Thank you for the conversations we had and for the experience I have gained.

To Ricu, thank you for being my culture collection partner in crime, for the conversations we had, and the hard work we did, which made the time go by so quickly. Thank you for the best field trip I had with you and Juanita. To Benny, thank you for always being so supportive and funny. Thank you for your personal time and for the adventures we had, such as the Soweto trip. To Juanita, thank you for your friendliness and great company

in the car on the way to our field trip and to that one art exhibition. To Nam, thank you for being such a great lab partner. Thank you for your interest in my project and your support. Thank you for the laughter and treats.

To Ian, Elsa, Gomo and Phetogo, thank you for everything. Thank you for all the laughter and great conversations. Thank you for always checking up on me and making sure that I am smiling. Thank you for caring about me and the progress I made with my dissertation. Thank you for the ice cream, frozen yoghurt and peanut butter filled pretzels. Thank you for being my stress relievers, my feel-good people.

To Wian, thank you for playing such a significant part in my life at this moment. Thank you for your endless support and motivation. Thank you for your interest in my work, as well as your rationality. Thank you for all the laughter and coffee. Thank you for all the adventures, for being my friend and for loving me the way you do.

To my parents, thank you for being such good role models for me. Thank you for your undying love and support. Thank you for believing in me and my dreams. Thank you for being next to me in every step I take. Thank you for being the stability in my life and for celebrating every achievement. Thank you for being with me during happy, sad and anxious times. Thank you for the joy you bring to my life. Thank you for being my sunshine and my heroes. Thank you.

TABLE OF CONTENTS

PREFACE	1
CHAPTER 1: Literature review: Diversity in fungal genomes	3
INTRODUCTION	4
PINE-ASSOCIATED <i>FUSARIUM</i> WITHIN THE AMERICAN CLADE OF THE FFSC	6
GRASS-ASSOCIATED <i>FUSARIUM</i> WITHIN THE AMERICAN CLADE OF THE FFSC	9
MECHANISMS OF GENOME CHANGE IN FUNGI	11
Repetitive DNA	12
Transposable elements	13
Horizontal gene transfer	14
Telomeres	16
FUNGAL PATHOGENICITY FACTORS	17
Carbohydrate-Active enZymes (CAZymes)	17
Secondary metabolites	18
Effectors	20
Peptidases	21
COMPARTMENTALISATION OF FUNGAL GENOMES	22
CONCLUSIONS	24
REFERENCES	26
TABLES	60
FIGURES	63
CHAPTER 2: Characterisation of host-specific genes from pine- and Poaceae- associated <i>Fusarium</i> species of the FFSC	65

ABSTRACT.....	66
INTRODUCTION	67
MATERIALS AND METHODS	70
Genome sequences and annotation	70
Identification and functional annotation of genes unique to pine- and Poaceae-associated <i>Fusarium</i> species.....	72
Phylogenetic origins of the unique genes	73
Genomic distribution of the unique genes	73
RESULTS	74
Genome sequences and annotation	74
Identification and functional annotation of genes unique to pine- and Poaceae-associated <i>Fusarium</i> species.....	75
Phylogenetic origins of the unique genes	78
Genomic distribution of the unique genes	78
DISCUSSION.....	80
CONCLUSIONS	84
REFERENCES.....	86
TABLES.....	102
FIGURES.....	106
SUPPLEMENTAL TABLES.....	131
SUPPLEMENTAL FIGURES.....	133
CHAPTER 3: CAZyme gene repertoire comparisons of selected <i>Fusarium</i> species from the American clade of the FFSC.....	135
ABSTRACT.....	136
INTRODUCTION	137
MATERIALS AND METHODS	140
CAZyme identification and distribution across the relevant <i>Fusarium</i> species.....	140

Availability of EST data	141
CAZyme family-based phylogeny of selected genes	141
RESULTS	142
CAZyme identification and characterisation	142
Distribution of CAZymes across the relevant <i>Fusarium</i> species.....	143
Availability of EST data	144
CAZyme family-based phylogeny of selected genes	145
DISCUSSION	146
CONCLUSIONS	150
REFERENCES.....	152
TABLES.....	163
FIGURES.....	168
SUPPLEMENTAL TABLES.....	177
SUMMARY	178

PREFACE

Fusarium species have a set of genes conferring host-specificity and improving downstream adaptation and survival. The genomic regions in which these genes are located have shown to be vital determinants of host-specificity. Furthermore, the level of expression of these genes will likely differ at different time points of infection. This MSc took on two approaches, each identifying genes involved in host-specificity by implementing comparative genomics. The main focus of this study was based on contrasting *Fusarium* species associated with *Pinus patula* and members of Poaceae and identifying unique genes to each group. This study also included species considered as pathogens or endophytes on their respective hosts. The results obtained can be of great value for future comparative studies with regards to the role and functions of host-specific fungal genes.

The first chapter is written as a review article focussing on the diversity of fungal genomes and how different gene sets play a role in host-specificity. The focus was on fungi in general but primarily focussed on the diversity found within the genus *Fusarium*. Chapter 2 and Chapter 3 were independent studies on genes potentially involved in host-specificity. Chapter 2 focussed on the identification of genes unique to *Fusarium* species associated with different hosts which also have pivotal roles in conferring host-specificity. The aim of Chapter 2 was to characterise these genes in terms of the processes they encode for, their chromosomal location and phylogenetic origin. Chapter 3 focussed on the repertoire of genes encoding for Carbohydrate-Active enZymes (CAZymes) between *Fusarium* species associated with the two different hosts. The aim of Chapter 3 was to determine if this gene repertoire differed between these two groups and the role these genes play in host-specificity, taking the cell wall composition of the two different host plants into account.

The research conducted during this study was performed at the Forestry and Agricultural Biotechnology Institute (FABI), Department of Biochemistry, Genetics and Microbiology at the University of Pretoria. This study was performed under the supervision of Drs. Lieschen De Vos, and Magriet van der Nest, as well as Profs. Emma Steenkamp and Brenda Wingfield. Most of the genomes used in this study were

previously sequenced and are publicly available, except for two genomes which were kindly provided by Dr. Robert H. Proctor.

This dissertation consists of three independent units and, therefore, contain some duplicated information and references. Chapter 1 is written as a review article based on the available literature, whereas Chapter 2 and Chapter 3 are written in the format required for submission to scientific journals.

All the information from this dissertation can be retrieved from the following Google drive:

<https://drive.google.com/drive/folders/1eK5hGcNRkECBVHwOno6XIOKbKUI43ZLg?usp=sharing>

CHAPTER 1:

Literature review: Diversity in fungal genomes

INTRODUCTION

The genus *Fusarium* is diverse, harbouring species of great importance in industry, agriculture and medicine (Geiser *et al.*, 2013). *Fusarium* species have also shown to be significant members of microbial communities in the soil (Nelson *et al.*, 1994). Examples of species important in the industry are *F. venenatum* and *F. oxysporum* strain Fo47. The former is essential in the production of Quorn, which is a vegetarian protein food product (King *et al.*, 2018). The latter promotes plant growth by acting as a biocontrol agent to protect bananas and several other crops against Fusarium wilt (also known as Panama disease) (Alabouvette, 1986, Fuchs *et al.*, 1997, Forsyth *et al.*, 2006, Nel *et al.*, 2006b, Dita *et al.*, 2018). Species within the genus *Fusarium* are also well-known for the production of mycotoxins under favourable environmental conditions (Bertero *et al.*, 2018). These mycotoxins are secreted by *Fusarium* species leading to the contamination of agricultural products, food and animal feed, making it unsuitable for consumption (Jurjevic *et al.*, 2002, Logrieco *et al.*, 2003, Molinié *et al.*, 2005, Desjardins & Proctor, 2007, Ferrigo *et al.*, 2016). For example, fumonisin B₁ is mainly secreted by *F. verticillioides* and *F. proliferatum* (Voss *et al.*, 2007), deoxynivalenol is mainly produced by *F. graminearum* and *F. culmorum* (Logrieco *et al.*, 2002), and zearalenone is produced mainly by *F. graminearum* (Bertero *et al.*, 2018).

Most economically important *Fusarium* species are pathogens. These *Fusarium* species are associated with a wide range of plant hosts, which include *Pinus* species (Gordon *et al.*, 1996, Herron *et al.*, 2015), grasses (Desjardins *et al.*, 2000b, Zeller *et al.*, 2003) and cereal and vegetable crops (Parry *et al.*, 1995, Bottalico & Perrone, 2002, Armitage *et al.*, 2018). In plants, the typical symptoms of infection associated with this genus include vascular wilts, root and collar rot, cankers on branches, stems or roots, as well as branch and tip dieback (Bloomberg, 1981, Dwinell *et al.*, 1981, Kraft *et al.*, 1981, Wingfield *et al.*, 2008, Mitchell *et al.*, 2011). Also, species from this genus infect humans by causing keratitis, infections in the finger and toenails of immune-compromised patients and people with decreased white blood cell count (Chang *et al.*, 2006, Sutton & Brandt, 2011).

Not all *Fusarium* species are pathogenic, and some may engage in endophytic to partially endophytic lifestyles (Alabouvette, 1986, Sieber *et al.*, 1988, Boshoff *et al.*, 1996, Fuchs

et al., 1997, Sieber, 2002, Bacon & Yates, 2006, Forsyth *et al.*, 2006, Nel *et al.*, 2006b, Zakaria & Ning, 2013, Imazaki & Kadota, 2015, Dita *et al.*, 2018, Lofgren *et al.*, 2018, Nieva *et al.*, 2019). There are many examples in the scientific literature of fungi being pathogenic to one host but endophytic on others (Zabalgogea, 2008, Hardoim *et al.*, 2015). For example, *F. circinatum* (the pitch canker pathogen on pine trees) is known to be endophytic on grasses and dicots (such as maize and herbaceous plants) (Swett & Gordon, 2009, Swett & Gordon, 2012, Swett & Gordon, 2015, Hernandez-Escribano *et al.*, 2018, Carter & Gordon, 2020), as well as other conifers (Storer *et al.*, 1994, Martín-García *et al.*, 2018) and cause no symptoms of disease on these hosts. Different grass species growing in pitch canker infested *Pinus* plantations serve as a reservoir for the *F. circinatum* inoculum that contributes to the epidemiology of pitch canker (Carter & Gordon, 2020). Grass species, therefore, seem to act as a reproductive host for this pathogen to infect nearby susceptible hosts (Swett *et al.*, 2014). Furthermore, pine seedlings grown in *F. circinatum* infested soil have accelerated growth rates, increased host resistance to infections with pathogens and may even enhance the extensiveness of the pine-associated mycorrhizal community (Martin-Rodrigues *et al.*, 2013, Evira-Recuenco *et al.*, 2015, Swett *et al.*, 2016, Swett & Gordon, 2017). Fungal activity shows the ambiguous ecological adaptations of this fungus, which is not limited to its status as a primary pathogen.

Amongst the species complexes of *Fusarium*, the *Fusarium fujikuroi* species complex (FFSC) is well known for the plant pathogens it includes (Weerd *et al.*, 2006, Herron *et al.*, 2015, Al-Hatmi *et al.*, 2016, Edwards *et al.*, 2016, Laurence *et al.*, 2016, Niehaus *et al.*, 2016, Moussa *et al.*, 2017). The FFSC broadly corresponds to those species contained within the section *Liseola* of *Fusarium* (Nelson *et al.*, 1994, O'Donnell *et al.*, 1998). Based on the phylogeographic origin of their hosts, species within this complex can be divided into one of three clades: the African, American and Asian clade (O'Donnell *et al.*, 1998) (Table 1, Figure 1). Species in the FFSC are phylogenetically distinct from one another, although their morphological characteristics can overlap (Kvas *et al.*, 2009, Summerell *et al.*, 2010).

Species of the FFSC display high levels of micro- and macro-synteny and this also extends to other *Fusarium* species complexes. Syntenic regions are defined as regions with similar gene content in which the gene order is also conserved. Sperschneider *et al.*

(2015) discovered syntenic overlaps between genomic regions of different species complexes, *Fusarium oxysporum* species complex (FOSC) and *Fusarium graminearum* species complex (FGSC), respectively. Syntenic regions have also been identified between *F. verticillioides* (FFSC) and *F. graminearum* (FGSC) (Zhao *et al.*, 2014), as well as between *F. fujikuroi* (FFSC) and *F. verticillioides* (Wiemann *et al.*, 2013) and between *F. verticillioides* and *F. oxysporum* f. sp. *lycopersici* (FOSC) (Ma *et al.*, 2010). Similarly, *F. circinatum* and *F. temperatum*, in comparison to *F. fujikuroi* and *F. verticillioides* (FFSC) (De Vos *et al.*, 2007) also show high levels of synteny.

Comparative genomics is often utilised to understand how evolution alters genomes. Moreover, these comparisons can identify the genes conserved amongst species and those unique to others. It is often hypothesised that the unique genes are involved in niche adaptation and the emergence of new phenotypes (Sperschneider *et al.*, 2015, Walkowiak *et al.*, 2016, Williams *et al.*, 2016, Niehaus *et al.*, 2017). The underlying mechanisms of phenotypes, such as host-specificity in *Fusarium* species, are poorly understood. This literature review aims to provide insight into the mechanisms involved in host-specificity, as well as genes implicated in various host-pathogen interactions. Studies on host-specificity can lead to downstream development of effective management strategies for the prevention of emerging fungal threats by providing vital insights into the interactions mentioned above. The subsequent chapters of this dissertation will focus primarily on interactions between species of the FFSC and their hosts. The review also provides a brief overview of what is known regarding these interactions, focussing on those *Fusarium* species associated with *Pinus patula* and members of Poaceae.

PINE-ASSOCIATED *FUSARIUM* WITHIN THE AMERICAN CLADE OF THE FFSC

The most economically important *Fusarium* species affecting pines is *F. circinatum* (Hepting & Roth, 1946, O'Donnell *et al.*, 1998). It first appeared in the USA where this pathogen colonised *P. virginiana* trees (Hepting & Roth, 1946) and caused pitch canker on this host. The symptoms of this disease include cankers soaked with resin on branches and trunks of trees (Dwinell *et al.*, 1985), dieback of shoots (Correll, 1991) and mortality

of female flowers and mature cones (Barrows-Broadus, 1990). The pathogen then appeared in other parts of the world, such as Japan (Kobayashi & Muramoto, 1989, Muramoto & Dwinell, 1990), South Africa (Viljoen *et al.*, 1994), Spain (Landeras *et al.*, 2005), Mexico (Guerra-Santos, 1998), South Korea (Lee *et al.*, 2000), Chile (Wingfield *et al.*, 2002), France (OEPP, 2006), Italy (Carlucci *et al.*, 2007), Portugal (Bragança *et al.*, 2009), Uruguay (Alonso & Bettucci, 2009), Colombia (Steenkamp *et al.*, 2012) and Brazil (Pfenning *et al.*, 2014). These findings emphasise the fact that *F. circinatum* is spread across the world from where it was initially distributed and discovered. These findings also emphasise that this fungus is not limited to specific climates since it associates with Mediterranean, subtropical and temperate climates (Ganley *et al.*, 2009, EFSA, 2010).

The pitch canker disease causes significant losses of susceptible *Pinus* species all around the world, resulting in significant economic losses in plantations where *Pinus* species are planted (Hepting & Roth, 1946, Wingfield *et al.*, 2008, Mitchell *et al.*, 2011). A study based on *Pinus* species from Central America and Mexico indicated high levels of susceptibility of *F. circinatum* towards *P. radiata*, *P. patula*, *P. pseudostrobus*, *P. taeda* and to a lesser extent in *P. elliotii* and *P. greggii* (Hodge & Dvorak, 2000). Other species, such as *P. oocarpa*, *P. pringlei*, *P. jaliscana*, *P. tecunumanii*, *P. maximinoi* and *P. caribaea* are more tolerant towards the pitch canker fungus (Hodge & Dvorak, 2000). However, in South Africa, the most common *Pinus* species planted is the very susceptible *P. patula* in areas with summer rainfall and *P. radiata* in areas with winter rainfall (Mitchell *et al.*, 2011, Hongwane *et al.*, 2018). These two *Pinus* species have been replaced by more tolerant species, *P. elliotii* and *P. taeda*, to improve field survival. However, the two latter species have lower wood quality and growth, compared to the two former species and the only solution against susceptibility and quality of wood was to create and implement hybrid species (Kietzka, 1988, Malan, 2003, Mitchell *et al.*, 2011). Some hybrid species in South Africa, such as *P. elliotii* x *P. caribaea*, *P. patula* x *P. tecunumanii*, *P. patula* x *P. oocarpa* and *P. patula* x *P. tecunumanii* have shown significantly more tolerance against *F. circinatum* (Bayley & Blakeway, 2002, Nel *et al.*, 2006a, Mitchell *et al.*, 2011).

It was initially thought that *F. circinatum* is a necrotrophic pathogen (O'Donnell *et al.*, 1998). A study was performed on the response of pine hosts towards the necrotrophic

pathogen (Morse *et al.*, 2004) and found that the expression of genes, from both the pathogen and the pine host, were likely linked to a defence response and disease formation. Morse *et al.* (2004) studied the expression of genes from the pine host during the disease state and identified genes encoding chitinase, peroxidase, an antimicrobial peptide, a lipid transfer protein and a boiling-stable protein associated with drought responses that induce shoot desiccation during the disease state. However, *F. circinatum* is now considered to be a hemibiotrophic fungus (Swett *et al.*, 2016, Swett *et al.*, 2018) due to the asymptomatic fungal association with pine roots (Martin-Rodrigues *et al.*, 2013, Swett *et al.*, 2016, Swett & Gordon, 2017). When this pathogen reaches the collar of the pine host, infection occurs in both the root and shoot tissue. Infection is followed by extensive fungal growth in the roots, possibly due to nutrient availability from dead root tissue (Swett *et al.*, 2016). These authors suggest that damage to the root system is due to the location of the pathogen.

Of great concern are novel *Fusarium* species that were recently found on diseased *Pinus* species, some of which include *F. fracticaudum*, *F. pininemorale*, *F. sororula*, *F. marasasianum* and *F. parvisorum* (Herron *et al.*, 2015). Some strains of these species showed to be at least as pathogenic as a virulent strain of *F. circinatum*. Some variation occurred in the level of pathogenicity between isolates of the same species but is not uncommon and has been documented before for several other *Fusarium* species (Burgess, 1981, Gordon & Okamoto, 1992, Appel & Gordon, 1995, Miedaner *et al.*, 2001, Carter *et al.*, 2002). The variation in the pathogenicity is of particular concern as these emerging pathogens not only pose a significant economic risk to forestry activities in Colombia but also to all pine growing regions of the world.

Due to their economic importance, genomes of various *Fusarium* species associated with pines have been sequenced. These included three *F. circinatum* isolates, FSP34 (USA) (Wingfield *et al.*, 2018b), KS17 (South Africa) (Van Wyk *et al.*, 2018) and a laboratory strain (GL1327) (Van der Nest *et al.*, 2014). Also sequenced were *F. pininemorale* (Wingfield *et al.*, 2017) and *F. fracticaudum* (Wingfield *et al.*, 2018a). Sequencing of fungal genomes provides insight into genome evolution, metabolic pathways and fungal lifestyles (Aylward *et al.*, 2017). The availability of genome sequences allows for *in silico* predictions of secreted proteins involved in virulence (Kämper *et al.*, 2006, Liu *et al.*, 2015). Another essential aspect of sequenced genomes is to reveal chromosomes or genes

acquired through horizontal chromosome/gene transfer (Ma *et al.*, 2010). Genome sequencing enables gene prediction, identification of gene gains and losses and revealing the effect of genome plasticity on fungal adaptation and survival (Wöstemeyer & Kreibich, 2002, Keller *et al.*, 2005, Lee *et al.*, 2005). Fungal genomics is a component of comparative genomics and is quite crucial in genome characterisation, in terms of the biology and genetics of pathogens.

Fusarium circinatum resides within the American clade of the FFSC (O'Donnell *et al.*, 1998, Geiser *et al.*, 2013). A few members of this clade are known associates of Poaceae (Nelson *et al.*, 1994, Desjardins *et al.*, 2000b, Zeller *et al.*, 2003, Walsh *et al.*, 2010, Scauflaire *et al.*, 2011a). *Fusarium circinatum*, while being a well-known pine pathogen, is also reported to colonise members of the Poaceae family (grasses and maize) as an endophyte (Swett & Gordon, 2012, Swett & Gordon, 2015, Hernandez-Escribano *et al.*, 2018, Carter & Gordon, 2020). Pathogenesis in pines from *F. circinatum* is postulated to be secondary to the endophytic relationships on numerous Poaceae species as discussed in the next section (Swett & Gordon, 2009, Swett & Gordon, 2015, Swett *et al.*, 2016). The development of *F. circinatum* as a pathogen on pines is proposed to be a recent evolutionary adaptation, probably influenced by the overlapping geographic regions that pines and grasses share (Steenkamp *et al.*, 2002). The recent evolutionary adaptation is supported by the partial interfertility between *F. temperatum* (a maize pathogen) and *F. circinatum* (Desjardins *et al.*, 2000b, Steenkamp *et al.*, 2002).

GRASS-ASSOCIATED *FUSARIUM* WITHIN THE AMERICAN CLADE OF THE FFSC

The American clade contains numerous *Fusarium* species with vast host and geographic ranges (Table 1). *Fusarium* species, such as *F. temperatum* (Scauflaire *et al.*, 2011a), *F. subglutinans* (Desjardins *et al.*, 2006), *F. konzum* (Zeller *et al.*, 2003) and *F. werrikimbe* (Walsh *et al.*, 2010) in the American clade of the FFSC are phylogenetically closely related and all three associate with members of Poaceae. *Fusarium temperatum* infections are found on *Zea mays* in South Africa and Belgium (Scauflaire *et al.*, 2011b), Spain (Pintos *et al.*, 2013), Australia, Germany, Netherlands, Slovakia, Turkey (Susca *et al.*,

2013), China (Wang *et al.*, 2014), Poland (Czembor *et al.*, 2014), South Korea (Shin *et al.*, 2014), Argentina (Fumero *et al.*, 2015), Mexico (Robles-Barrios *et al.*, 2015), North America (Lanza *et al.*, 2016), Italy (Venturini *et al.*, 2016), France (Boutigny *et al.*, 2017) and Hungary (Molnár *et al.*, 2017). This pathogen causes malformation in maize seedlings and stem rot in maize (Varela *et al.*, 2013). *Fusarium temperatum* was initially classified as *F. subglutinans*, where it formed part of the cryptic species referred to as *F. subglutinans* group 1 (Steenkamp *et al.*, 2002). This pathogen was subsequently elevated to species level and described as *F. temperatum* (Scauflaire *et al.*, 2011a).

Fusarium subglutinans sensu stricto causes stalk and ear rot of maize and appears to be distributed all around the world, especially in South Africa (Desjardins *et al.*, 2000b), Spain (Pintos *et al.*, 2013), Germany, Slovakia, Portugal (Susca *et al.*, 2013), Poland (Czembor *et al.*, 2014), Argentina (Fumero *et al.*, 2015), Italy (Venturini *et al.*, 2016) and France (Boutigny *et al.*, 2017). Stalk rot reduces the growth patterns of maize but also results in rotted leaf sheaths and internal stalk tissue with characteristic brown streaks in the lower internodes. The internal stalk pith tissues of older plants changed colour from pink to salmon (Shaner & Scott, 1998) as a result of the colonisation of this fungus. The economic importance of *F. subglutinans* is as a consequence of causing premature death and stalk rot due to the obstruction in the translocation of water and nutrients to the leaves and developing ears of the plant.

Both *F. subglutinans* and *F. temperatum* are known to secrete toxic secondary metabolites or mycotoxins into the host plant during colonisation. These include beauvericin and moniliformin produced by *F. temperatum*, which is absent in *F. subglutinans* (Desjardins *et al.*, 2006, Moretti *et al.*, 2008, Scauflaire *et al.*, 2012). Fumonisin is produced by *F. temperatum* and *F. subglutinans* (Rheeder *et al.*, 2002, Stepien *et al.*, 2011), in contrast to what was previously thought (Nelson *et al.*, 1992, Proctor *et al.*, 2004). *Fusarium temperatum* seems to be the first *Fusarium* species in the American clade of the FFSC to produce enniatin homologues (Scauflaire *et al.*, 2012). These described mycotoxins accumulate in the plant and are a potential health danger for humans and animals consuming these plants (crops) if they occur in high enough concentrations (Desjardins *et al.*, 2006, Desjardins & Proctor, 2007). As a result of the infections on maize occurring from both *F. temperatum* and *F. subglutinans*, maize yields may decrease (Desjardins *et al.*, 2006, Desjardins & Proctor, 2007, Shin *et al.*, 2014).

Fusarium konzum was first identified in Kansas (USA) and isolated from native prairie grasses (Zeller *et al.*, 2003). Different strains of this fungus are known for their ability to synthesise mycotoxins, such as beauvericin, regardless of its non-pathogenic association with grass (Leslie *et al.*, 2004, Troncoso *et al.*, 2010). It is, therefore, considered that *F. konzum* might probably be an endophyte or, at the least, a potential pathogen of prairie grasses in Kansas (Zeller *et al.*, 2003).

The association of *Fusarium* species with their hosts and the genetic basis of the biological traits in these species remain largely unknown. Completed genome assemblies, therefore, provide many advantages in genomics and comparative genomics to understand the biology of host-specificity of species in the FFSC.

MECHANISMS OF GENOME CHANGE IN FUNGI

Fungal genomes are known for their diverse genomic architecture (Noble & Andrianopoulos, 2013). The plasticity of these genomes augments their dynamic nature, which is evidenced by numerous observable differences, such as dispensable chromosomes (Han *et al.*, 2001, Akagi *et al.*, 2009, Wittenberg *et al.*, 2009, Ma *et al.*, 2010), genome duplications (Feschotte, 2008, Kaessmann, 2010, Hua-Van *et al.*, 2011), as well as the partitioning of their genomes in genomic sub-compartments which differ in evolutionary rates (Van der Nest *et al.*, 2019). These genomic differences underpin some of the genetic factors underlying host-specificity, diversity and novel genetic features, most of which remain unknown.

Speciation is driven by chromosomal differentiation, as well as the accumulation of genomic mutations (Hu *et al.*, 2014). Within the genus *Fusarium*, chromosomal fusion events, as observed for *F. graminearum* (Cuomo *et al.*, 2007), and translocation events that occurred in *F. circinatum* and *F. temperatum* (De Vos *et al.*, 2014), have the potential to facilitate speciation. Some of the genomic features underlying this diversity, such as repetitive DNA and transposable elements, as well as the role of horizontal gene transfer and telomeres, will be discussed in the ensuing sections (additional information in Table 2).

Repetitive DNA

An important factor contributing to fungal diversity is the repetitive DNA content of genomes (Seidl & Thomma, 2014, Moller & Stukenbrock, 2017). Repetitive DNA is defined as segments of DNA that appear multiple times throughout the genome and can constitute more than half of the DNA content in the cell nucleus (Biscotti *et al.*, 2015). The distribution of these sequences is not equal within the genome but are rather conserved to specific genomic regions, such as the telomeric regions. The presence of repetitive sequences was shown to be involved in genome size expansion amongst diverse fungal lineages (Dean *et al.*, 2005, Spanu *et al.*, 2010, Raffaele & Kamoun, 2012). Repetitive DNA contributes towards both genome stability and plasticity through their involvement during gene duplication and recombination (Treangen *et al.*, 2009). These elements seem to be essential for genome function and stability since they contain coding and non-coding sequences that can be arranged in tandem repeats throughout the genome (Hall *et al.*, 2017). Their role during the recombination process is vital as the presence of these repeats results in increased rates of rearrangement, amplification and deletion of genetic material (Bzymek & Lovett, 2001, Biscotti *et al.*, 2015, Moller & Stukenbrock, 2017). These repeats can also alter genes during meiosis, create new combinations of pre-existing alleles, resulting in novel functions from pre-existing ones, and localise sequence diversification (Jacob, 1977). However, chromosomal rearrangements driven by repeats seem to lead to genome instability, resulting in plastic genomes (Bzymek & Lovett, 2001, Hall *et al.*, 2017).

Genome comparisons between several *Fusarium* species show that the repeat content can be as low as 6% and as high as 21%, as observed for *F. solani* and *F. oxysporum*, respectively (Coleman *et al.*, 2009, Stukenbrock & Croll, 2014). In other fungi this can also vary widely, *e.g.*, 4% in the necrotrophic *Botrytis cinerea* and 66% in the hemibiotrophic *Leptosphaeria maculans* (Gout *et al.*, 2007, Haas *et al.*, 2009, Amselem *et al.*, 2011). Pathogens exercising an obligate biotrophic or hemibiotrophic lifestyle seem to contain much higher DNA repeat contents compared to necrotrophic pathogens (Raffaele & Kamoun, 2012). Gene repertoire differences are possibly indicative of the putative role these repeats have in the biotrophic phase during host-pathogen interactions.

Transposable elements

Transposable elements are mobile segments of DNA that can move within and between genomes, the latter through horizontal gene transfer (Daniels *et al.*, 1990). Transposable elements are classified into two classes (class I and II) based on the structure and mode of transposition (Wicker *et al.*, 2007). The class I transposons are known as retrotransposons that contain either long terminal repeats or non-long terminal repeats. This mode of transposition is employed by reverse transcription and a copy-and-paste mechanism. Class I long terminal repeats seem to expand genome size by doubling the organism's copy number of the repeat during each transposition event (Elliott & Gregory, 2015). The second class, known as DNA transposons, is based on the cut-and-paste mechanism mediated by the transposase enzyme. Class II transposons also contain terminal inverted repeats.

The presence of transposable elements may result in intra- and inter-specific variability. Comparative genomics between *F. graminearum* and *F. oxysporum* f. sp. *lycopersici* revealed the effect of transposable elements on the structure and size of genomes (Stukenbrock & Croll, 2014). The genome expansion that occurred within *F. oxysporum* compared to *F. graminearum* was likely due to the activity of transposable elements (Ma *et al.*, 2010, King *et al.*, 2015). This type of expansion also occurred in the fungal species *Blumeria graminis* f. sp. *hordei* and *Magnaporthe grisea* (Dean *et al.*, 2005, Spanu *et al.*, 2010).

Transposable elements are crucial in mediating high rates of sequence mutations, chromosome rearrangements and ploidy changes (Stukenbrock & Croll, 2014). The insertion of transposable elements to new genomic locations may result in mutations and disrupt the regulatory sequence of a gene, resulting in deleterious effects (Raffaele & Kamoun, 2012). Such incidences occur when transposable elements are inserted in exons, which alters the reading frame and can directly affect the type of peptide encoded for, as well as inducing missense or nonsense mutations. These elements can also be inserted within introns that may induce alternative or novel splicing sites, the disruption of canonical splice sites and the introduction of polyadenylation signals (Deininger & Batzer, 1999, Batzer & Deininger, 2002, Chen *et al.*, 2005, Callinan & Batzer, 2006, Belancio *et al.*, 2008, Konkel & Batzer, 2010).

Alternatively, loss-of-function mutational changes can also be beneficial to a pathogen as it can promote pathogen fitness, resulting in selective advantage as reviewed by Raffaele & Kamoun (2012). An example of this is where an avirulent effector gene of a plant pathogen lost its function due to a mutational change within the gene, resulting in an opportunity for the pathogen to evade the recognition system of the specific plant immune receptor. Asexual species also seem to benefit from mutations of transposable elements by overcoming the lack of allele shuffling occurring during recombination (Stukenbrock & Croll, 2014). Chromosomal rearrangements in the asexual pathogen *Verticillium dahlia* and other pathogens seem to create genomic regions that are repetitive and lineage-specific but also contain genes involved in host-specificity and adaptation (Gout *et al.*, 2006, Fedorova *et al.*, 2008, De Jonge *et al.*, 2012, De Jonge *et al.*, 2013, Schardl *et al.*, 2013).

The effect of transposable elements on gene expression has also been investigated (Castanera *et al.*, 2016). Gene expression patterns differed in *F. graminearum* when the genes were under the influence of transposable elements. The expression of these genes was lower than those not under transposable element influence. The reason for this was due to the insertion of transposable elements within regions rich in introns which have shown to destabilise the mRNA and directly lead to a reduction in the levels of gene expression (Chen *et al.*, 2006).

Horizontal gene transfer

Horizontal gene transfer (HGT) allows for the stable integration of genetic material between species that are phylogenetically distant from one another and between cytoplasmic organelles and the nucleus in a manner where these genetic material are not inherited from parents to offspring (Doolittle *et al.*, 2003, Keeling & Palmer, 2008, Gao *et al.*, 2014). HGT, and by extension chromosome transfer, has the potential to affect host range and induce diversity amongst fungi (Friesen *et al.*, 2006, Zhang *et al.*, 2019). The availability of whole-genome sequences allows for genome comparisons to identify new DNA segments acquired and identify their possible origins (Friesen *et al.*, 2006).

Specific gene sequences are more abundant than others in the DNA that undergo HGT. It is thought that genes involved in intermediary and secondary metabolism undergo

higher frequencies of horizontal gene transfer compared to the genes directly involved in transcription, translation and replication (Jain *et al.*, 1999). A single event of gene transfer can also involve gene clusters that encode an entire metabolic pathway between distantly related fungi, as observed in *Aspergillus* and *Podospora* lineages (Slot & Rokas, 2011).

HGT generally occurs more frequently between organisms occupying the same niche, as observed from Slot & Rokas (2011). The newly acquired DNA sequences have proven to promote and improve the survival rate of fungi in novel niches but also improved their ability to confer pathogenicity on novel hosts. This latter occurrence was illustrated by Ma *et al.* (2010), where the chromosomal transfer from a pathogenic *F. oxysporum* strain to a non-pathogenic strain resulted in pathogenicity in the former strain. The horizontal transfer of a locus-specific gene in the genome of the wheat pathogen *Phaeosphaeria nodorum* to *Pyrenophora tritici-repentis* has been demonstrated (Ciuffetti *et al.*, 1997, Friesen *et al.*, 2006). *Pyrenophora tritici-repentis* causes tan spot disease on wheat, potentially due to the transfer of the *ToxA* gene, which encodes for a host-specific toxin, from *P. nodorum*. It is suspected that the fungal effector Ave1 in *Verticillium dahlia* has been transferred from plants to fungi since this gene is present in diverse plant pathogenic fungi and bacteria (De Jonge *et al.*, 2012).

HGT creates functional novelty in fungi, especially in *Fusarium* species from the FFSC where these genes promote fungal evolution, fitness or virulence through the emergence of species-specific traits (Stewart *et al.*, 2014, Chiara *et al.*, 2015, Glenn *et al.*, 2016, Van Wyk *et al.*, 2018). Gene clusters from *F. verticillioides* involved in the detoxification of antimicrobial compounds produced by maize were acquired from multiple external sources utilising the horizontal transfer of these genes (Stewart *et al.*, 2014, Glenn *et al.*, 2016). *Fusarium fujikuroi* isolates from different geographic regions have lineage-specific genes, with roles in host-pathogen interactions and adaptation to environmental changes, acquired through HGT (Chiara *et al.*, 2015). A similar trend was observed where five growth rate-determining genes in *F. circinatum* were acquired horizontally from various sources outside of the FFSC (Van Wyk *et al.*, 2018).

Telomeres

Telomeres are located at chromosomal ends and protect these ends against the loss of DNA during replication, as well as fusion with other chromosomes. The telomerase enzyme adds short repeated sequence motifs (TTAGGG/CCCTAA) to the chromosomal ends (Garcia-Pedrajas & Roncero, 1996). A subtelomere region exists between the telomere region and chromatin and contains multiple sequence segments at both chromosomal ends that share a high similarity (Tashiro *et al.*, 2017). The subtelomere region consists of two distinct domains, such as the distal and proximal domains (Flint *et al.*, 1997, Pryde *et al.*, 1997). The distal domain contains short, tandem repeat motifs at most or all chromosome ends, compared to the proximal domain containing longer duplicated segments of DNA that is less widely dispersed at these ends. These regions often contain clusters of related genes.

The subtelomeric gene clusters in microbial eukaryotes are often associated with niche adaptation. This association was observed in *Saccharomyces cerevisiae*, where genes involved in sugar utilisation were located within the subtelomeres (Denayrolles *et al.*, 1997). Human pathogens, such as *Plasmodium falciparum*, *Trypanosoma brucei* and *Pneumocystis carinii* also contain a variety of subtelomeric genes encoding for surface proteins that contribute to the host-pathogen interaction (Hernandez-Rivas *et al.*, 1997, Barry *et al.*, 2003, Keely *et al.*, 2005, Kutty *et al.*, 2013). These surface proteins also promote antigenic variation to facilitate the evasion of host immune responses. Subtelomeric regions in *Fusarium* tend to harbour genes enriched for secondary metabolite biosynthesis gene clusters contributing to fungal niche adaptation, host colonisation and accelerated growth rate (Wiemann *et al.*, 2013, Sieber *et al.*, 2014, Zhao *et al.*, 2014).

In conclusion, comparative genomics allows for observing various evolutionary trends amongst diverse fungal lineages (Raffaele & Kamoun, 2012). It is speculated that genome expansions directly influence the formation of unique genes and are considered as a potential driving force behind emerging fungal pathogens (Coleman *et al.*, 2009, Ma *et al.*, 2010, Croll & McDonald, 2012, Zhao *et al.*, 2014, Dong *et al.*, 2015). These are often located near telomeric regions which are highly variable and capable of influencing the biology of pathogens (Spanu *et al.*, 2010, Raffaele & Kamoun, 2012). Furthermore, the

repetitive and transposable elements can often be located in telomeric regions which allow for alterations in the sizes and shapes of chromosomes (Raskina *et al.*, 2008).

FUNGAL PATHOGENICITY FACTORS

Fungal survival depends on the host-pathogen interaction to survive and to thrive. Underlying molecular factors that promote host-pathogen interactions include cell wall degrading enzymes (CAZymes), secondary metabolites, peptidases and effector proteins (Tyler *et al.*, 2006, Haas *et al.*, 2009). All these molecular factors contribute to pathogen adaptation and survival by inferring fungal virulence (some information is also captured in Table 2).

Carbohydrate-Active enZymes (CAZymes)

Carbohydrate-Active enZymes (CAZymes) are involved in carbohydrate metabolism, which is responsible for the synthesis, degradation and recognition of carbohydrates (Lombard *et al.*, 2014). Carbohydrates from the plant host bind together and form polysaccharides (*e.g.*, cellulose, xylan, pectin) located within plant cell walls and can be degraded by CAZymes, specific for a polysaccharide, upon pathogen entry (Goubet *et al.*, 2002, Lombard *et al.*, 2014). These enzymes act to weaken the cell walls, not only to promote fungal penetration and infection but also to make nutrients available for the invading pathogen (An *et al.*, 2005, Cantarel *et al.*, 2009).

Several classes of CAZymes exist, *i.e.*, glycoside transferases (GTs) forming glycosidic bonds, glycoside hydrolases (GHs) for the hydrolysis of glycosidic bonds between carbohydrates (Cantarel *et al.*, 2009), polysaccharide lyases (PLs) for the degradation of glycosaminoglycans and pectin (Yip & Withers, 2006, Cantarel *et al.*, 2009), carbohydrate esterases (CEs) for the catalysis of esters or amides (Biely, 2012) and carbohydrate-binding modules (CBMs) for the degradation of insoluble polysaccharides (Boraston *et al.*, 2004). Together, these provide a repertoire of enzymes available to pathogens to assist in fungal growth and survival.

Genomic analyses revealed that the genome of *F. graminearum* encodes for 109 CAZymes (Brown *et al.*, 2012, Albarouki *et al.*, 2014, Heard *et al.*, 2015). Of these, CAZymes such as cellulases, xylanases and pectinases, are produced during the early stages of wheat spike infection. The initial transcript abundance during symptomless wheat spike infection was much lower compared to what was observed in symptomatic wheat spikes. This low CAZyme transcript abundance was potentially due to the limited availability of sugars. The increased CAZyme transcript abundance likely occurred when the pathogen was exposed to nutrient deprivation (Brown *et al.*, 2017). These enzymes alter the cell wall components in infected tissue, releasing nutrients, and thus emphasise that CAZymes are indeed required for successful host colonisation (Brown *et al.*, 2010, Brown *et al.*, 2017).

Fungal necrotrophs possess more genes encoding for CAZymes compared to the gene repertoire of saprophytic fungi (Liao *et al.*, 2013) and biotrophs (Zhao *et al.*, 2013). These genic differences might be because necrotrophs require CAZymes, not only for virulence on the host (Hoffmeister & Keller, 2007, Sperschneider *et al.*, 2016), but also for cell death (Sella *et al.*, 2013). Another possible example of this occurrence has been illustrated within *F. graminearum* during plant infection (Zhao *et al.*, 2013). This study specifically focussed on the expression of genes in the CEs, PLs and GHs CAZyme classes. The authors found that most genes were up-regulated during plant infection. They also found that some genes are only up-regulated during germination of spores with no difference in expression during plant infection, indicating that these genes are more critical for conidiation and germination. In contrast, some genes were down-regulated during germination and up-regulated during plant infection, indicating that these genes are not relevant during conidiation and germination.

Secondary metabolites

Most *Fusarium* species can synthesise secondary metabolites ranging in toxicity levels and typically have a low molecular weight (Vinnig, 1990). These metabolites are organic compounds that do not actively contribute to primary metabolism (*e.g.*, pathogen growth) but do, however, participate in the survival capability of a pathogen (Mylonakis *et al.*, 2007). The general function of secondary metabolites differs. They can promote the attractiveness of the host plant towards animals for pollination or seed dispersal. In some

cases, they promote defence mechanisms during plant-pathogen interactions by contributing to pathogen virulence. These metabolites have been utilised in industrial applications for the production of pigments, drugs and insecticides (Yim *et al.*, 2007, Fox & Howlett, 2008, Scharf *et al.*, 2014).

Enzymes known to be involved in secondary metabolite synthesis include the non-ribosomal peptide synthetases (NRPSs), polyketide synthases (PKSs), terpene synthases (TSs; terpenes and indole terpenes) and a class “other” given to those that are a mixture of the other classes whose identity can be confirmed by BLAST searches (Medema *et al.*, 2011, Weber *et al.*, 2015). Some examples of secondary metabolites within each class are known. For example, the non-ribosomal class is responsible for the synthesis of sirodesmin, peramine and siderophores, whereas the polyketide class contains the aflatoxins and fumonisins (Fox & Howlett, 2008). The T-2 toxin and deoxynivalenol are examples of secondary metabolites within the terpene class, while the indole terpene class contains paxilline and lolitrems (Fox & Howlett, 2008).

The genes encoding for the enzymes involved in the synthesis of a specific secondary metabolite are typically clustered in fungi (Maplestone *et al.*, 1992). The clustering of genes holds some selective advantage over those genes not being clustered. Clustering of genes promotes the co-regulation of a set of genes involved in a biosynthetic pathway due to their response to a common transcription factor and the ability of such a gene set to be co-inherited by either horizontal or vertical gene transfer (Osbourn, 2010). Similarly, clustering of secondary metabolite gene clusters has been documented for a variety of *Fusarium* species and other filamentous fungi (Sieber *et al.*, 2014, Hoogendoorn *et al.*, 2018).

Genomic comparisons have revealed a range of secondary metabolites produced by the genus *Fusarium* (Ma *et al.*, 2013). Secondary metabolites are an essential component of pathogenicity within this genus, as observed for *F. graminearum* as an example (Nicholson *et al.*, 2003, Bourdages *et al.*, 2006, Nogueira *et al.*, 2018). Mycotoxins form the largest group of secondary metabolites produced by these pathogenic fungi (Nelson *et al.*, 1994, Desjardins, 2003). The toxicity of these secondary metabolites has proven to impart a negative effect on humans and animals if consumed in significant amounts (Nelson *et al.*, 1994, Desjardins, 2003), while others can be used for pharmaceutical

purposes instead of only inducing pathogenesis (Porter *et al.*, 1990). Some examples of secondary metabolites previously discovered to be synthesised by *Fusarium* species include aflatoxins, fusaric acid, trichothecenes, fumonisins and zearalenones (Keller & Hohn, 1997, Desjardins *et al.*, 2000a, Yu & Keller, 2005, Summerell & Leslie, 2011).

Effectors

Effector proteins are known as small secretory proteins containing cysteine-rich regions (Rep *et al.*, 2004). These proteins selectively bind to proteins secreted by the host during pathogen attack. They can also regulate the biological activity of host proteins by increasing/decreasing enzyme activity, plant cell signalling or gene expression (Chisholm *et al.*, 2006, Jones & Dangl, 2006, Da Cunha *et al.*, 2007, He *et al.*, 2007, Tameling & Takken, 2008, Zhou & Chai, 2008). These proteins are capable of entering host cells and interfere with the host's basal immune responses, resulting in successful fungal colonisation (Jones & Dangl, 2006, Kamoun, 2006, Presti *et al.*, 2015). These proteins actively facilitate infection by the pathogen to derive nutrients from the host plant (Bielska *et al.*, 2014, Garnica *et al.*, 2014). Those effectors recognised by the host's resistance proteins are referred to as avirulence proteins (Avr) (Buttner & Bonas, 2002), from which some are expressed in vascular tissue or secreted in xylem (*SIX*), and target cellular processes (*e.g.*, signal transduction, transcription and vesical trafficking) (Da Cunha *et al.*, 2007, He *et al.*, 2007, Zhou & Chai, 2008).

Fusarium oxysporum f. sp. *lycopersici* is known for its release of effector/avirulence genes (*Avr*) during the induction of vascular wilt in tomatoes (Armstrong & Armstrong, 1981, Huang & Lindhout, 1997, Di Pietro *et al.*, 2003). This pathogen secretes proteins, such as *Avr3* and *Avr2*, in the host xylem during colonisation which is known to promote virulence. The genes for these proteins are present in strains causing tomato wilt but absent in the strains that are non-pathogenic on tomatoes. These genes are located on chromosome 14 that is a known lineage-specific chromosome in *F. oxysporum*. Another study was performed on the secretion of effector proteins by *F. graminearum* on wheat, where the authors analysed the transcriptome of this fungus during symptomless and symptomatic stages of infection (Brown *et al.*, 2017). During investigations into the progression of symptomless infection to symptomatic infection, there was an increase in the number of effector proteins expressed. The increase in putative effector proteins may

be due to the need to induce host cell death, hence the visible symptoms of the disease. Thus, the arsenal of virulence factors encoded by plant pathogens is thought to be an essential factor for successful host colonisation.

Effector proteins have vital roles in pathogenicity of various fungi in the FFSC, enabling them to manipulate their host for successful colonisation (Brown *et al.*, 2014, Li *et al.*, 2019). In *F. proliferatum* these proteins were found to be potentially involved in the invasion of banana fruit, cell wall degradation, metabolic and biological processes, but also likely enabled the fungus to respond to stress induced by the banana (Li *et al.*, 2019). In *F. verticillioides*, these proteins allowed the transition of the fungus from being a saprophyte on maize stalks to grow as an endophyte or parasite within the plant (Brown *et al.*, 2014, Niu *et al.*, 2015). These proteins have variable roles during different infection stages.

Peptidases

Peptidases are essential enzymes that participate in peptide bond cleavage, resulting in smaller proteins/polypeptides or single amino acids as yield (Hamin Neto *et al.*, 2018). These enzymes are essential for regulating physiological processes, such as fertilisation, embryogenesis, cell signalling and immune responses, fungal morphogenesis and metabolism (Ng *et al.*, 2009, Yike, 2011). These hydrolytic enzymes have essential roles in the turnover of proteins, as well as in the degradation of damaged, misfolded and harmful proteins (Yike, 2011). Free amino acids are released upon the hydrolysis of peptide bonds, driving the synthesis of new proteins. These enzymes exert minor proteolysis at highly specific sites for the post-translational modification of proteins and also enable enzymes to mature and participate in protein assembly and subcellular targeting (Schaller, 2004).

In *Candida albicans*, peptidases seem to play a role in pathogenicity upon colonisation and disease progression (Naglik *et al.*, 2003, Schaller *et al.*, 2005, Pietrella *et al.*, 2010). The presence of these enzymes promotes the invasion of host tissue, releasing nutrients utilised for fungal growth and alters the host immune responses. Peptidases in *Conidiobolus coronatus* promotes morphogenesis, germination and the release of conidia for the dispersal of this asexual fungus (Phadataré *et al.*, 1992, Reichard *et al.*, 2000,

Papagianni & Moo-Young, 2002). Furthermore, the inactivation of fungal peptidases by plant hosts results in a deviation in the germination and growth patterns of fungi (Dunaevskii *et al.*, 2005), pointing to the crucial role of peptidases in the development, adaptation and survival of pathogens.

The synthesis of peptidases in *Fusarium* is not uncommon (Lanubile *et al.*, 2014, Lowe *et al.*, 2015, Sharma *et al.*, 2016). The transcriptome of *F. oxysporum* f. sp. *ciceris* contain a vast arsenal of genes encoding for several factors, including peptidases, which are up-regulated at a later stage of host infection to enhance pathogenicity (Sharma *et al.*, 2016). *Fusarium graminearum* secrete peptidases for the breakdown of the structural component of the plant cell wall and the acquisition of nutrients (Lowe *et al.*, 2015). Several peptidases were expressed in *F. verticillioides* during the colonisation of maize, which emphasises the role of these proteins during pathogen invasions (Lanubile *et al.*, 2014).

COMPARTMENTALISATION OF FUNGAL GENOMES

Genome comparisons are entirely dependent on accurate genome assemblies and annotations that improve the understanding of gene functions. In the post-genomics era, comparative genomics is a fundamental part of determining the factors influencing pathogenicity of a fungal species. The diverse underlying mechanisms of pathogenicity can be explained by the genes involved in each fungal species (Ma *et al.*, 2010), *i.e.*, the species-specific genes. The organisation of a genome can give valuable information in terms of how genes involved in fungal pathogenicity are related across several fungal species which will also enable downstream studies to determine how molecular diversity and genetic transmission are mediated (Rep & Kistler, 2010). Information regarding the differences in genomes can be improved as more genome sequence data become known.

The structure and organisation of a genome provide information such as the size of the genome, the number of genes present within the genome and also the density of these genes (Croll & McDonald, 2012, Ma *et al.*, 2013). It was previously thought that more complex pathogens require more genes and simple pathogens, fewer genes (Thomas, 1971, Petrov, 2001). This hypothesis was rejected since some simple pathogens have

more genes than complex pathogens (Thomas, 1971, Shahin *et al.*, 2012). Instead, the size of the genome is dependent on the developmental and ecological need of the pathogen. Genomes vary in size, not due to pathogen complexity, but rather due to the organism's need for adaptation to novel niches and natural selection (Petrov, 2001). Some pathogens will thus require more proteins and enzymes encoded by genes to promote a pathogenic lifestyle, where these genes may be silent/absent in those pathogens that do not live this sophisticated lifestyle. Employing comparative genomics is, thus, essential for understanding how host-pathogen interactions are mediated and also how different pathogen species can adapt to specific niches (Waalwijk *et al.*, 2017). Comparative genomics could portray the presence or absence of genes in pathogens and enhance our understanding of the different gene repertoires in different fungal species.

Traditional genome comparisons performed on *Fusarium* species revealed that these fungal genomes could be divided into two compartments, known as the core and accessory compartment (Croll & McDonald, 2012, Dong *et al.*, 2015). These two compartments differ from one another in terms of evolutionary rates, gene repertoire and the levels of gene expression (Ma *et al.*, 2013, Waalwijk *et al.*, 2017). These compartments consist of genes/regions that are common to all individuals of a species (core) and those that are dispensable (or lineage-specific) or present in only some individuals (accessory) (Stukenbrock & Croll, 2014). The core compartment consists of core chromosomes/chromosomal regions containing all the housekeeping genes involved in primary metabolism and which also evolve at slower rates due to the high gene density in this region (Croll & McDonald, 2012, Raffaele & Kamoun, 2012).

Fusarium accessory genomes contain regions that may span one or more entire chromosomes or may be restricted to specific regions of one or more chromosomes (Coleman *et al.*, 2009, Ma *et al.*, 2010). These regions are subjected to horizontal gene/chromosome transfer to other chromosomes or between individuals within a species and are also known to have higher evolutionary rates as opposed to the genetic material present in the core compartment (Croll & McDonald, 2012, Raffaele & Kamoun, 2012). Some regions contain genes that participate in ecological niche exploitation, thus contributing to host specialisation, pathogenicity and virulence. These regions may also be lineage-specific, as observed in *F. oxysporum*, where it contributes to the evolution of

these devastating pathogens (Hatta *et al.*, 2002, Ma *et al.*, 2010, Croll & McDonald, 2012, Galazka & Freitag, 2014).

A comparative study between three species revealed that the genome of *F. oxysporum* f. sp. *lycopersici* is 44% bigger than the genome of *F. verticillioides* and 65% bigger than *F. graminearum* (Ma *et al.*, 2010). A total of 9000 conserved syntenic orthologs were present across all genomes that were enriched for transcription factors, hydrolytic enzymes and transmembrane transporters. These 9000 conserved orthologs were considered as the core genome of these three genomes. The remaining four out of 15 chromosomes of *F. oxysporum* that could not be mapped back to the genome of *F. verticillioides* were considered as chromosomes in the accessory genome. These chromosomes are often referred to as supernumerary chromosomes and are also well-known for the presence of lineage-specific regions and the different transposons it harbours (Huang *et al.*, 2016).

Comparative genomics, therefore, reveals that core genomes between species share high levels of synteny. The regions that are not conserved and unique to a species contain different gene content in terms of the number of genes and repetitive sequences. These non-conserved regions can also contain the lineage-specific regions as seen in *Fusarium* species, such as *F. oxysporum* and *F. solani*. The genomic regions that are not well-conserved are known to contain the genes participating in host-pathogen interactions (Coleman *et al.*, 2009, Ma *et al.*, 2010).

CONCLUSIONS

Comparative genomic studies in *Fusarium* are advancing as more whole-genome sequences become available and aids in the understanding of underlying mechanisms contributing to biological traits, such as pathogenicity and host-specificity (Ma *et al.*, 2010, Gardiner *et al.*, 2012). Currently, the available *Fusarium* genomes from the FFSC include *F. fujikuroi* (Wiemann *et al.*, 2013), *F. temperatum* (Wingfield *et al.*, 2015b), *F. verticillioides* (Ma *et al.*, 2010), *F. mangiferae* (Wiemann *et al.*, 2013), *F. circinatum* (Wingfield *et al.*, 2012), *F. nygamai* (Wingfield *et al.*, 2015a), *F. agapanthi* (Edwards *et*

al., 2016), *F. proliferatum* (Niehaus *et al.*, 2016), *F. pininemorale* (Wingfield *et al.*, 2017), *F. fracticaudum* (Wingfield *et al.*, 2018a) and *F. udum* (Srivastava *et al.*, 2018), with some species having numerous strains sequenced.

By using the genomes available, in-depth studies can be performed on the structure, function and regulation of the genes involved in host-specificity and pathogenicity in existing and new fungal genomes by performing comparative analyses. The effect of repetitive sequences on genome size and function can be investigated, along with the occurrence of pseudogenes, segmental duplications, tandem repeats and transposable elements. The factors contributing to the virulence of *Fusarium* species, such as the cell wall degrading enzymes (CAZymes), secondary metabolites, effector proteins and peptidases synthesized can be identified. The arsenal of these genes within a genome can be compared with genomes of pathogenic and non-pathogenic fungi.

The objective of the research in this dissertation is to identify genes and processes involved in host-specificity between two groups of *Fusarium* species within the American clade of the FFSC. The research aims to understand the contribution of these genes towards the biology of these two assemblages of species based on their respective hosts. The dissertation will also interrogate the ancestral origin and divergence of these genes, as well as their genomic location. Identification of these genes will infer an understanding of the molecular mechanisms of the processes involved in host-specificity within these selected *Fusarium* species, providing vital information on the biology of these economically important fungi. The provision of data retrieved from this study will be available for future use in comparative genomics.

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TABLES

Table 1. The three different clades in the *Fusarium fujikuroi* species complex (FFSC) and the *Fusarium* species belonging to these clades (O'Donnell *et al.*, 1998, Kvas *et al.*, 2009, Herron *et al.*, 2015).

Table 2. Some genome features and statistics of *Fusarium* species discussed in this study.

Table 1. The three different clades in the *Fusarium fujikuroi* species complex (FFSC) and the *Fusarium* species belonging to these clades (O'Donnell *et al.*, 1998, Kvas *et al.*, 2009, Herron *et al.*, 2015).

African clade	American clade	Asian clade
<i>Fusarium acutatum</i>	<i>Fusarium agapanthi</i>	<i>Fusarium annulatum</i>
<i>Fusarium brevicatenulatum</i>	<i>Fusarium ananatum</i>	<i>Fusarium concentricum</i>
<i>Fusarium denticulatum</i>	<i>Fusarium anthophilum</i>	<i>Fusarium fractiflexum</i>
<i>Fusarium dlamini</i>	<i>Fusarium bactridioides</i>	<i>Fusarium fujikuroi</i>
<i>Fusarium lactis</i>	<i>Fusarium begoniae</i>	<i>Fusarium fractiflexum</i>
<i>Fusarium napiforme</i>	<i>Fusarium bulbicola</i>	<i>Fusarium globosum</i>
<i>Fusarium nygamai</i>	<i>Fusarium circinatum</i>	<i>Fusarium mangiferae</i>
<i>Fusarium phyllophilum</i>	<i>Fusarium fracticaudum</i>	<i>Fusarium neoceras</i>
<i>Fusarium pseudoanthophilum</i>	<i>Fusarium guttiforme</i>	<i>Fusarium proliferatum</i>
<i>Fusarium pseudocircinatum</i>	<i>Fusarium konzum</i>	<i>Fusarium sacchari</i>
<i>Fusarium pseudonygamai</i>	<i>Fusarium marasasianum</i>	
<i>Fusarium ramigenum</i>	<i>Fusarium mexicanum</i>	
<i>Fusarium thapsinum</i>	<i>Fusarium parviorum</i>	
<i>Fusarium udum</i>	<i>Fusarium pininemorale</i>	
<i>Fusarium verticillioides</i>	<i>Fusarium sororula</i>	
<i>Fusarium xylarioides</i>	<i>Fusarium sterilihyphosum</i>	
	<i>Fusarium subglutinans</i>	
	<i>Fusarium succisae</i>	
	<i>Fusarium temperatum</i>	
	<i>Fusarium tupaense</i>	
	<i>Fusarium werrikimbe</i>	

Table 2. Some genome features and statistics of *Fusarium* species discussed in this study.

<i>Fusarium</i> species	Chromosome count	Genome size (Mb)	Repetitive element content, %	Transposable element content, %	Secondary metabolite biosynthetic genes, %	CAZymes ¹ , %	References
<i>F. circinatum</i>	12	42.5	5.81	2.94	0.30*	4.40	Unpublished data
<i>F. fracticaudum</i>	12	46.3	3.39	2.51	0.37*	4.63	Unpublished data
<i>F. fujikuroi</i>	12	48.3	4.08	2.2	0.30	4.57	Wiemann <i>et al.</i> (2013), Bashyal <i>et al.</i> (2017)
<i>F. graminearum</i>	4	36.4	1.31	0.33	0.31	4.26	Ma <i>et al.</i> (2012), Wiemann <i>et al.</i> (2013)
<i>F. mangiferae</i>	11	45.6	1.35	0.54	0.30	4.71	Wiemann <i>et al.</i> (2013), Niehaus <i>et al.</i> (2016)
<i>F. oxysporum</i>	15	61.4	21.24	4.76	0.13	3.77	Ma <i>et al.</i> (2012), Wiemann <i>et al.</i> (2013)
<i>F. pininemorale</i>	12	47.8	8.80	3.16	0.31*	4.56	Unpublished data
<i>F. solani</i> (<i>Nectria haematococca</i>)	17	51.3	5.85	1.64	0.17	4.88	Coleman <i>et al.</i> (2009), Wiemann <i>et al.</i> (2013)
<i>F. temperatum</i>	12	45.5	8.45	2.01	0.31*	4.46	Unpublished data
<i>F. verticillioides</i>	11	41.8	1.28	0.47	0.20	3.96	Ma <i>et al.</i> (2012), Wiemann <i>et al.</i> (2013)

*Secondary metabolite biosynthetic genes identified with antiSMASH (<https://fungismash.secondarymetabolites.org/>).

¹CAZymes were identified with dbCAN2 (<http://bcb.unl.edu/dbCAN2/blast.php>).

FIGURES

Figure 1. Phylogenetic relationship of closely related *Fusarium* species within the *Fusarium fujikuroi* species complex (FFSC).

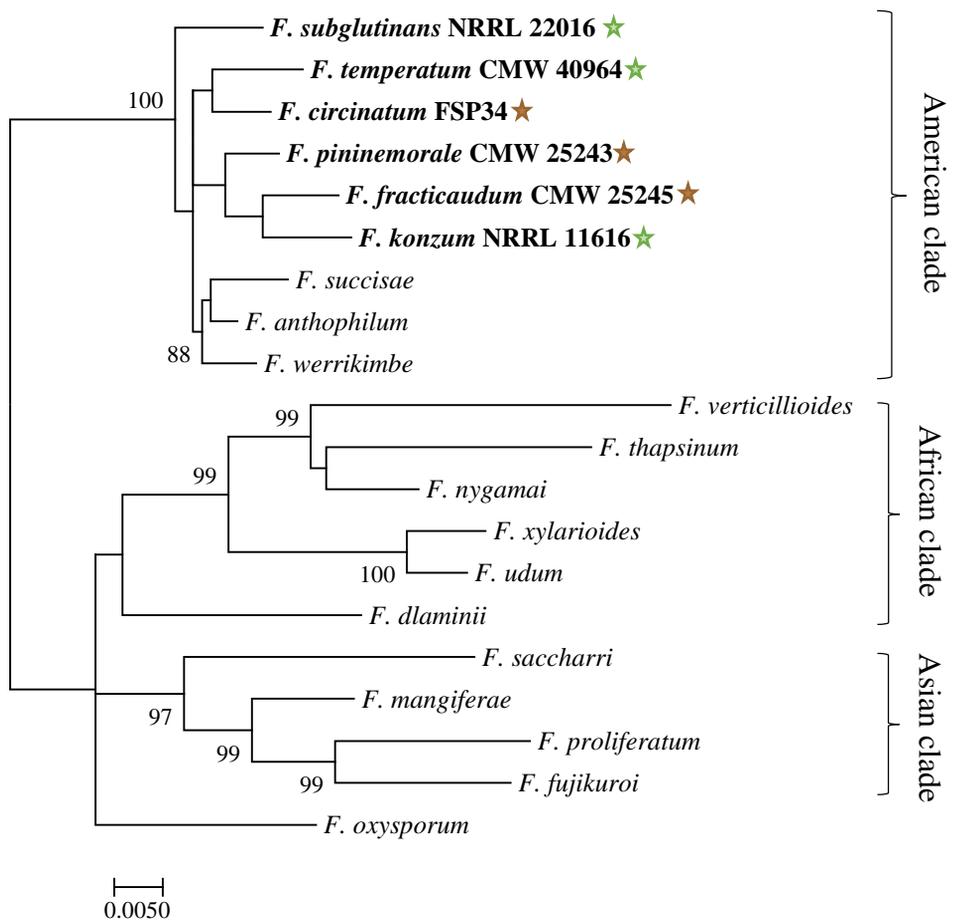


Figure 1. Phylogenetic relationship of closely related *Fusarium* species within the *Fusarium fujikuroi* species complex (FFSC). The Maximum Likelihood branch support was estimated using bootstrap analyses based on 100 pseudoreplicates. The brown stars represent the pine-associated *Fusarium* species, whereas the green stars represent the Poaceae-associates. Most genome sequences were obtained from Herron *et al.* (2015) and supplemented with the sequences of the six *Fusarium* genomes from Chapter 2 and 3. The elongation factor and β -tubulin regions of the respective genomes were aligned using MAFFT v. 7 (Kato *et al.*, 2017). The evolutionary history was inferred by using the Maximum Likelihood method based on the Tamura-Nei model (Tamura & Nei, 1993). Initial tree(s) for the heuristic search were obtained automatically by applying Neighbor-Join and BioNJ algorithms to a matrix of pairwise distances estimated using the Maximum Composite Likelihood (MCL) approach, and then selecting the topology with superior log likelihood value. A discrete Gamma distribution was used to model evolutionary rate differences among sites. The tree is drawn to scale, with branch lengths measured in the number of substitutions per site. All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA v. 7.0.26 (Kumar *et al.*, 2016).

CHAPTER 2:

Characterisation of host-specific genes from pine- and Poaceae-associated *Fusarium* species of the FFSC

ABSTRACT

Species in the *Fusarium fujikuroi* species complex (FFSC) comprise some of the most socio-economically important pathogens globally. Many of these fungi have genomic sequence data available, with some even assembled to chromosome level. In this study, we investigated the molecular basis of host-specificity by comparing the genomes of species associated with *Pinus* species (*i.e.*, *F. circinatum* FSP34, *F. pininemorale* CMW 25243 and *F. fracticaudum* CMW 25245) and members of Poaceae (*i.e.*, *F. temperatum* CMW 40964, *F. subglutinans* NRRL 22016 and *F. konzum* NRRL 11616). Genes common and unique to the two sets of species were identified and subjected to functional annotation. A total of 11 850 genes were shared amongst the six species, while 72 and 47 genes were unique to the respective sets of genomes. These two sets were enriched for genes implicated in carbohydrate and protein metabolism. Phylogenetic analysis of the unique genes suggested various evolutionary origins, indicating that they were acquired over time from numerous sources. This study found that the frequency distribution of unique genes differed significantly between telomeric and non-telomeric regions and that some tended to cluster together or were located close to another cluster. An instance of chromosome length polymorphism was found for chromosome 12. Chromosome 12 of *F. temperatum* CMW 40964 was larger than that of *F. circinatum* FSP34 and possessed more unique genes, which are potentially involved in niche-specificity amongst *Fusarium* species associated with Poaceae. These findings demonstrate the different molecular mechanisms employed by these *Fusarium* species to infect, inhabit and cause disease on their respective plant hosts.

INTRODUCTION

The genus *Fusarium* represents a diverse group of ascomycetes of significant importance in industry and agriculture (Geiser *et al.*, 2013). Species in this genus also represent essential pathogens of humans (O'Donnell *et al.*, 2004, Chang *et al.*, 2006, Sutton & Brandt, 2011), animals (Ortoneda *et al.*, 2004) and insects (Coleman *et al.*, 2011, Navarro-Velasco *et al.*, 2011). It is considered that the majority of these species are known as destructive plant pathogens (Parry *et al.*, 1995, Gordon *et al.*, 1996, Desjardins *et al.*, 2000, Bottalico & Perrone, 2002, Herron *et al.*, 2015, Armitage *et al.*, 2018). These pathogenic species affect the quality and quantity of agriculturally important crops, resulting in significant issues regarding food security (Fisher *et al.*, 2012).

Fusarium species associate with diverse plant hosts, ranging from gymnosperms through to angiosperms, including many dicots, as well as monocots such as those in the grass family Poaceae (O'Donnell *et al.*, 1998, Steenkamp *et al.*, 2002, Niehaus *et al.*, 2017). For example, *F. circinatum* is a pathogen of *Pinus* species and cause severe economic losses, whereas *F. fracticaudum* and *F. pininemorale* can also colonise the tissues of these gymnosperms, but without any apparent symptoms of a disease (Gordon *et al.*, 1996, Herron *et al.*, 2015). Amongst the grasses, *F. konzum* colonises prairie grass as an endophyte (Zeller *et al.*, 2003), whereas *F. subglutinans* and *F. temperatum* colonise and cause disease on maize (Desjardins *et al.*, 2000, Desjardins *et al.*, 2006). Furthermore, *F. circinatum* can also colonises maize (Swett & Gordon, 2012, Swett & Gordon, 2015), herbaceous plants (Hernandez-Escribano *et al.*, 2018), as well as other conifers (Martín-García *et al.*, 2018) as an endophyte.

The different underlying mechanisms of host-specificity and processes involved in the host-pathogen interaction vary amongst fungi (Nirmala *et al.*, 2007). Recent advances in genomics have enhanced the discovery of and provided new insights into the molecular and genomic basis of host-pathogen interactions (Abdullah *et al.*, 2017). These insights emerged from the study of the genomes of *F. graminearum*, *F. verticillioides*, *F. oxysporum* and *Nectria haematococca* (*F. solani*) (Coleman *et al.*, 2009, Ma *et al.*, 2010, Wiemann *et al.*, 2013). These insights can vary widely from plants in the group Gymnosperms.

Genomic analyses showed that fungal genomes, including those of *Fusarium*, are divided into a core and accessory compartment (Covert, 1998, Croll & McDonald, 2012). The core compartment of *Fusarium* genomes contributes to host-specificity and pathogenicity in a way which is different from the accessory compartment (Ma *et al.*, 2010). Genes within this compartment play a part in the primary metabolism, reproductive strategies and fungal development that also enable these fungi to overcome host defence responses. The synteny of the core compartment is conserved with regards to closely related species and is rich in genes (Armitage *et al.*, 2018). This genomic region is thought to drive the longevity of these fungi to enhance the survival rate.

The accessory compartment contains genes that are non-essential for fungal growth and development but encode for niche-associated traits, including pathogenicity and virulence factors (Croll & McDonald, 2012). The accessory compartment is gene-poor, and the synteny is non-conserved with regards to closely related species (Armitage *et al.*, 2018). Genomic comparisons have shown that the non-conserved regions tend to be more variable in terms of the type and distribution of genes (Cuomo *et al.*, 2007, Coleman *et al.*, 2009, Ma *et al.*, 2010, Sperschneider *et al.*, 2015, Van der Nest *et al.*, 2019). These genomic regions tend to harbour subtelomeric regions and supernumerary/accessory chromosomes (Raffaele & Kamoun, 2012). Subtelomeric regions are often associated with a break in synteny and have also been linked to genes promoting the adaptation and survival of pathogens (Zhao *et al.*, 2014). It is, therefore, speculated that these diverse genomic regions drive pathogen adaptation and niche utilisation.

Comparative genomics promotes the understanding of how genome evolution affects host-specificity and pathogenicity (Hardison, 2003, Wittenberg *et al.*, 2009, Goodwin *et al.*, 2011). For example, comparative genomic analyses identified several factors that have been implicated in evolutionary forces that shape the outcome of the host-pathogen interaction (Chain *et al.*, 2004, Akagi *et al.*, 2009, Ma *et al.*, 2010, Bäumler & Fang, 2013). Selection is an integral part of this process and occurs when some traits within a species are preferred above others. Genes that are not under selection become either mutated or deleted and can change the ways pathogens adapt to different host environments (Kisiela *et al.*, 2012).

An additional factor that can drive evolution in fungi is genomic gains or decay (Bäumler & Fang, 2013). Genomic gains can occur in numerous ways, such as horizontal gene transfer as explained by Akagi *et al.* (2009) and the movement of accessory chromosomes (Ma *et al.*, 2010). In contrast, genomic decay is due to gene deletions or gene inactivation through point mutations (Chain *et al.*, 2004). These gains or losses are also likely a mechanism of fungal adaptation from one host to another as a result of genome expansion. For example, the transfer of chromosomes between two genetically isolated members of *F. oxysporum*, a strain pathogenic to tomato and one non-pathogenic strain, demonstrated that chromosomal transfer from the pathogenic strain to the non-pathogenic strain results in pathogenicity in the latter strain (Ma *et al.*, 2010). The acquisition of genes, therefore, may directly impact the evolution of fungal pathogens.

Chromosomal rearrangements are another evolutionary force driving genome evolution. These rearrangements alter gene order and may also negatively affect growth rate, chromosome symmetry and may induce replication-transcription conflicts (Hill & Gray, 1988, Rebollo *et al.*, 1988, Campo *et al.*, 2004). However, chromosomal rearrangements can also have a positive effect on growth and survival, with the formation of novel genes (*e.g.*, secondary metabolite biosynthesis gene clusters) (Nierman *et al.*, 2005, Chiara *et al.*, 2015, Waalwijk *et al.*, 2017). When pathogens have to maximise growth for adaptation and survival, evolutionary forces rearrange specific genes on specific chromosomes to expose these genes to selection that may also be transferred to other strains to infer virulence (Lawley *et al.*, 2008, Van Dam *et al.*, 2017).

The genus *Fusarium* consists of a range of monophyletic groups that are referred to as species complexes (Geiser *et al.*, 2013). The complex investigated in this study is the *Fusarium fujikuroi* species complex (FFSC), which is characterised by high levels of synteny amongst its species (Wiemann *et al.*, 2013, De Vos *et al.*, 2014). Also, hybridisation is not unknown (Desjardins *et al.*, 2000, Leslie *et al.*, 2004, Scauflaire *et al.*, 2011), which is indicative of their shared ancestry. For example, two species that are known to hybridise, *F. circinatum* and *F. temperatum*, are economically important plant pathogens capable of inducing disease in their respective hosts, pine trees and maize, respectively (Hepting & Roth, 1946, Scauflaire *et al.*, 2011). This hybridisation led to the hypothesis that these two species shared a common ancestor, and co-evolved with their hosts (pine and maize), which have overlapping geographic ranges (Desjardins *et al.*,

2000, Steenkamp *et al.*, 2002). Differences in the genetic make-up between these two potentially point to genes and processes involved in host-specificity obtained through genomic gain, decay or chromosomal rearrangements.

Limited information is available on host-specificity within *Fusarium*. The economic importance of *Fusarium* species emphasises the need for research focussing on the mechanisms underlying their behaviour on different hosts. Fortunately, numerous genomes of species within the FFSC are available (Ma *et al.*, 2010, Wingfield *et al.*, 2012, Wiemann *et al.*, 2013, Wingfield *et al.*, 2015a, Wingfield *et al.*, 2015b, Edwards *et al.*, 2016, Niehaus *et al.*, 2016, Aylward *et al.*, 2017, Wingfield *et al.*, 2017, Srivastava *et al.*, 2018, Wingfield *et al.*, 2018a). The overall goal of this study was to identify a set of genes unique to *Fusarium* species associated with pine (*F. circinatum*, *F. fracticaudum* and *F. pininemorale*) versus species associated with Poaceae (*F. konzum*, *F. subglutinans* and *F. temperatum*). These genes were analysed and compared in terms of identity, gene ontology terms, predicted pathways and processes, chromosomal location and potential ancestral origin. Any information thus retrieved based on the genic structure of these pathogens will contribute to the current understanding of the molecular basis of the biology, diversity and evolution of these species.

MATERIALS AND METHODS

Genome sequences and annotation

The study included the genome sequence information for six *Fusarium* species, of which three were associated with *Pinus* species and three with members of the Poaceae family. The three associated with *Pinus* species included *F. circinatum* FSP34 isolated from *P. radiata* (Gordon *et al.*, 1996, De Vos *et al.*, 2020, unpublished), *F. fracticaudum* CMW 25245 isolated from *P. maximinoi* (Herron *et al.*, 2015, Wingfield *et al.*, 2018a) and *F. pininemorale* CMW 25243 isolated from *P. tecunumanii* (Herron *et al.*, 2015, Wingfield *et al.*, 2017). The three that were associated with members of the Poaceae family included *F. konzum* NRRL 11616 isolated from prairie grass (Zeller *et al.*, 2003), *F. subglutinans* NRRL 22016 isolated from maize (Desjardins *et al.*, 2006) and *F. temperatum* CMW

40964 isolated from teosinte (Desjardins *et al.*, 2000, Wingfield *et al.*, 2015b). The Illumina Mi-Seq was performed with one 500 bp paired-end library for the sequencing of the *F. subglutinans* NRRL 22016 and *F. konzum* NRRL 11616 genomes at Peoria (Illinois) (unpublished data, kindly provided by Robert H. Proctor).

The completeness of each genome assembly was evaluated through the Benchmarking Universal Single-Copy Orthologs (BUSCO) tool v. 3.0.2. BUSCO considers the evolutionarily-informed expectations of gene content from the single-copy orthologs catalogue, OrthoDB v. 9, and using the Sordariomyceta database to identify all relevant orthologs from the input genes (Waterhouse *et al.*, 2017). Furthermore, using the significant synteny found within the FFSC (Wiemann *et al.*, 2013, De Vos *et al.*, 2014), scaffolds from the genomes of *F. pininemorale* (Wingfield *et al.*, 2017) and *F. fracticaudum* (Wingfield *et al.*, 2018a) were compared against the genomes of *F. circinatum* (Wingfield *et al.*, 2018b), *F. fujikuroi* (Wiemann *et al.*, 2013, Wingfield *et al.*, 2018a) and *F. temperatum* (Wingfield *et al.*, 2015b), as these have been assembled into chromosomes. The scaffolds were ordered and orientated into 12 contiguous pseudomolecules [representing the core chromosomes (1-11) and the accessory chromosome (12)], using the LASTZ plugin (Harris, 2007) of Geneious v. 7.0.4 (Kearse *et al.*, 2012). The genomes of *F. subglutinans* (733 contigs) and *F. konzum* (2 262 contigs) were considered too fragmented to be assembled into pseudomolecules.

A representative (reference) genome was selected that was associated with either pine trees or members of the Poaceae. The purpose of this was to achieve a detailed comparison with two well-assembled genomes between *Fusarium* species colonising different plant hosts. *Fusarium circinatum* FSP34 was chosen to represent the pine-associates and *F. temperatum* CMW 40964 represented Poaceae-associates. The abundance of the telomere-associated repeat sequence (TTAGGG/CCCTAA) (Garcia-Pedrajas & Roncero, 1996, Fulnecková *et al.*, 2013) was evaluated using a motif search performed in CLC Genomics Workbench v. 11 (CLC bio, Aarhus, Denmark), to further investigate the completeness of the two reference genomes (*F. circinatum* and *F. temperatum*). For the motif search, a window size of 10 000 bp with 5 000 bp increments was used. Only repeats with $\geq 80\%$ similarity to the telomere repeat were considered in this analysis.

The conservation of chromosome size was investigated for four genomes assembled into pseudomolecules (*F. circinatum*, *F. fracticaudum*, *F. pininemorale* and *F. temperatum*). Firstly, each chromosome size was evaluated in all four genomes to identify the biggest chromosome per genome for all twelfth chromosomes. The biggest chromosome was divided by each of the other chromosomes, from the same chromosome number, in order to obtain the chromosome fold-difference between all twelfth chromosome from the four genomes. A factor of 1.00 was obtained when the biggest chromosome was divided by itself or if the factor obtained was more than 0.999 and rounded of to 1.00.

The MAKER pipeline v. 2.31.8 (Cantarel *et al.*, 2008) was utilised for functional annotations of all six genomes in order to identify protein-coding genes. Gene prediction was performed in MAKER using SNAP (Korf, 2004), GeneMark ES (Ter-Hovhannisyan *et al.*, 2008) and AUGUSTUS (Hoff *et al.*, 2019). As additional evidence, gene model data from *F. circinatum* (Wingfield *et al.*, 2018b), *F. fujikuroi* (Wiemann *et al.*, 2013), *F. verticillioides* and *F. graminearum* (Ma *et al.*, 2010), as well as *F. mangiferae* and *F. proliferatum* (Niehaus *et al.*, 2017), were included. These isolates were selected due to the availability of their genomic information on the NCBI public database (<https://www.ncbi.nlm.nih.gov/>).

Identification and functional annotation of genes unique to pine- and Poaceae-associated *Fusarium* species

The gene content of all six *Fusarium* genomes was evaluated to determine which genes are shared amongst all six *Fusarium* species and which ones are unique to the species associated with the two groups of plant hosts. For this purpose, OrthoFinder v. 2.3.1 was implemented (Emms & Kelly, 2015). OrthoFinder identified the orthologous genes (gene pairs descended from a single gene in the last common ancestor of two or more species) present in all six *Fusarium* genomes by firstly identifying the orthogroups (groups of genes descended from a single gene in the last common ancestor of a group of species) upon built-in BLASTp searches. The genes present in all six genomes were labelled as the “shared” genes, while those occurring only in the genomes of the pine-associates were labelled as “unique” genes. Those occurring only in the genomes of Poaceae-associates were also labelled as “unique”.

Functional annotation was performed using the Blast2GO (Conesa & Gotz, 2008) plugin for CLC Genomics Workbench v. 11 (CLC bio, Aarhus, Denmark). A two-tailed Fisher exact test was implemented ($P < 0.05$) in Blast2GO (Conesa & Götz, 2008) to detect the gene ontology (GO) terms that were overrepresented in the unique genes set of both *Fusarium* representatives, using the whole genome of each as reference. Expressed Sequence Tag (EST) data for *F. circinatum* FSP34 from a previous study (Wingfield *et al.*, 2012) and RNA-seq data from a more recent study (Van Wyk *et al.*, 2019) were compared with the unique genes data set to identify which genes are expressed. All data were analysed in CLC Genomics Workbench v. 11.

Phylogenetic origins of the unique genes

The two unique gene sets were uploaded onto NCBI to perform BLASTp searches against the non-redundant database using the online position-specific iterative (psi) BLAST tool (Altschul *et al.*, 1997). All highly divergent protein sequences were excluded by considering only those sequences with at least 40% amino acid identity over 70% of the query sequence length and that had E-values $\leq 1 \times 10^{-5}$ (Van Wyk *et al.*, 2018). All the sequences were aligned using the constraint-based alignment tool (COBALT) (Papadopoulos & Agarwala, 2007) and the phylogenetic trees retrieved from COBALT were implemented to determine the ancestral origins of the unique genes. The unique genes with unclear ancestral origin were selected to manually construct phylogenetic trees. To infer phylogenies, all sequences were aligned with MAFFT (Multiple sequence Alignment based on Fast Fourier Transform) v. 7.0 with default settings (Katoh *et al.*, 2017). These alignments included the relevant *Fusarium* sequences, together with those from other Ascomycota. MEGA v. 7.0.26 (Kumar *et al.*, 2016) was used to draw initial tree(s) for the heuristic search by applying Neighbor-Join and BioNJ algorithms to a matrix of pairwise distances to estimate the best substitution model to use. The Maximum Likelihood branch support was estimated using bootstrap analyses based on 100 pseudoreplicates. The phylogenetic trees were viewed and edited in MEGA.

Genomic distribution of the unique genes

The location and distribution of the unique genes were plotted across the 12 chromosomes in each of the two reference genomes using KaryoploteR v. 3.9 (Gel & Serra, 2017).

Differences in the distribution of genes in the different genomic regions were evaluated with Chi-squared tests. These tests determine the frequency in which these genes differ significantly ($P < 0.05$) between telomeric and non-telomeric regions, assuming that the frequencies do not differ significantly as the null hypothesis. Telomeric regions were determined to be 100 000 bp (Van Wyk *et al.*, 2018) from each end of the assembled pseudomolecules representing the chromosomes.

The synteny and conservation of the location of the different unique genes were studied with SynChro which revealed the synteny breakpoints between the reference and query genomes (Drillon *et al.*, 2014). The input files for SynChro were the GFF files retrieved from MAKER, followed by the identification of the Reciprocal Best-Hits (RBH) between coding sequences to identify conserved and non-conserved syntenic blocks. The gene density per chromosome was determined based on the input GFF files.

RESULTS

Genome sequences and annotation

The corresponding BUSCO value for each genome portrayed the general completeness (97.3% for *F. circinatum* to 99.1% for *F. pininemorale*) of each of the six genomes examined (Supplemental Table 1). The genome sizes and gene density were in a range that was quite similar to one another (Table 1). The G+C content for these genomes varied from 46% for *F. pininemorale* to 49% for *F. konzum*. Comparison of the four genomes assembled into pseudomolecules (*F. circinatum*, *F. fracticaudum*, *F. pininemorale* and *F. temperatum*) showed that chromosome sizes seemed to be conserved throughout chromosomes 1-11 since they all did not differ by more than a factor of 1.00-1.10 (as evidenced in Supplemental Table 2). In comparison, chromosome 12 showed extreme chromosome length polymorphism (CLP) and differed by a factor of 2.08.

In the case of *F. pininemorale*, nine out of the 12 chromosomes were larger than similar chromosomes of the other species, and therefore this species has the largest genome size (Table 1). However, the genome of *F. pininemorale* has less protein-coding genes

compared to that of *F. fracticaudum* and *F. konzum*. The relationship between gene density and genome size was inversely proportional (Pearson product-moment correlation coefficient; $r = -0.84$) (Supplemental Table 3) since the smallest genome (*F. konzum*) had the largest gene density of 374.61 ORFs/Mb compared to the largest genome (*F. pininemorale*) with the smallest gene density of 332.35 ORFs/Mb.

For the reference genomes, the telomeric cap was present in most of the chromosomes (Table 2). *Fusarium circinatum* had seven chromosomes with telomeres at both chromosomal ends and five with a telomere at only one of the two ends. Chromosome 2 from *F. temperatum* lacked a telomere region at both chromosomal ends. In contrast, two chromosomes only had a telomere at one chromosomal end, and nine chromosomes had telomeres at both ends.

Identification and functional annotation of genes unique to pine- and Poaceae-associated *Fusarium* species

Data retrieved from OrthoFinder indicated that most genes (11 850 genes) were shared between all six *Fusarium* species, which ranged from 72.7% of all genes for *F. fracticaudum* to 76.4% of all genes for *F. circinatum* (Supplemental Table 4 and 5). Furthermore, 72 and 47 genes were uniquely identified in the *Fusarium* species associated with pine and members of Poaceae, respectively (Supplemental Table 6 and 7). Some of these unique genes were present in more than one copy. These were represented by one multiple-copy gene in *F. circinatum*, represented by FCIR_10_gene_25.58 and FCIR_10_gene_25.59. In *F. fracticaudum* there were two multiple-copy genes, with the first copy represented by FFRAC_10_gene_24.123 and FFRAC_6_gene_42.88 and the second copy by FFRAC_10_gene_17.127 and FFRAC_10_gene_17.128. *Fusarium pininemorale* had one multiple-copy gene represented by FPIN_12_gene_2.12 and FPIN_12_gene_2.24, while the multiple-copy genes in *F. konzum* were represented by FKON_2386_gene_0.6, FKON_2837_gene_0.2, and FKON_3361_gene_0.22. The two multicopy genes in *F. subglutinans* were represented by FSUB_25_gene_2.21 and FSUB_37_gene_0.24 and by FSUB_266_gene_0.11 and FSUB_309_gene_0.3. Interestingly, the multiple-copy genes were present on the same chromosome, except for FFRAC_10_gene_24.123, which was on chromosome 10, and the multiple-copy on chromosome 6. No inferences could be

made regarding the chromosomal location of the multiple-copy genes of *F. konzum* and *F. subglutinans* due to the fragmented condition of these assemblies.

Expression data for the unique genes of the pine pathogen, *F. circinatum*, were obtained from previous studies (Wingfield *et al.*, 2012, Van Wyk *et al.*, 2019) (Supplemental Table 8). This data specifically focussed on the expression of *F. circinatum* genes during carbon and nitrogen starvation versus expression on half-strength potato dextrose broth at room temperature. A gene was considered expressed if it had an RPKM (Reads Per Kilobase per Million mapped reads) value ≥ 0.2 , and having at least three unique gene reads mapping to it (Wickramasinghe *et al.*, 2012). The combined results indicated that 62 of the 72 unique genes (86.11%) were transcribed under the described conditions (Wingfield *et al.*, 2012, Van Wyk *et al.*, 2019). Overall, the results indicated that a vast majority of the unique genes from the pine-associated *Fusarium* species are likely actively transcribed and encode for a protein.

Most of the unique genes had Blast2GO descriptions available (Supplemental Table 9 and 10). In the two reference genomes, *F. circinatum* (Supplemental Table 9A) and *F. temperatum* (Supplemental Table 10C), 11 (15.28%) and five genes (10.64%) respectively, had no Blast2GO descriptions. Of the 11 genes in *F. circinatum*, six had no BLAST hits in the non-redundant protein sequence database on NCBI, compared to three of the five equivalent genes in *F. temperatum*.

The Blast2GO results for the unique genes of both host-associated sets of *Fusarium* species were illustrated at level 4 GO terms for the two reference genomes (Supplemental Figure 1A-F). The biological processes of the 72 unique genes were mostly involved in the metabolism of macromolecules, organic cyclic compounds and cellular aromatic, nitrogen and heterocyclic compounds (Supplemental Figure 1A). The molecular functions associated with the 72 unique genes were predominantly involved in cation binding activity (Supplemental Figure 1B). In contrast, most of the cellular components of these genes were associated with the intrinsic component of the membrane (Supplemental Figure 1C). Apart from the 11 genes with no Blast2GO data, 26 genes (36.11%) were either hypothetical, predicted or uncharacterised proteins. The remaining 35 genes (48.61%) could be organised into seven groups each associated with different functions and characterised as either virulence promoters, genes acting as a cytochrome

P450, genes acting as transcriptional activators, genes being members of the major facilitator superfamily (MFS) transporters, genes involved in fungal development, genes involved in metabolic pathways and genes acting as hydrolases (Supplemental Table 9A).

The biological processes of the 47 unique genes associated with members of Poaceae were mostly involved in transport and the biosynthesis of organic substances (Supplemental Figure 1D). Anion binding, coenzyme binding and nucleoside phosphate-binding were some of the significant molecular functions associated with the 47 unique genes (Supplemental Figure 1E). In contrast, all the cellular components of these genes were associated with the intrinsic component of the membrane (Supplemental Figure 1F). Apart from the five genes with no Blast2GO data, 17 genes (36.17%) were either hypothetical, predicted or uncharacterised proteins. The remaining 25 genes (53.19%) could be organised into nine groups each associated with different functions and characterised as either virulence promoters, genes acting as a cytochrome P450, genes acting as transcriptional activators, genes being members of the major facilitator superfamily (MFS) transporters, genes involved in fungal development, genes involved in chemical reactions, genes involved in cell wall biosynthesis, genes involved in the transfer of electrons and genes containing a HET-domain (Supplemental Table 10C).

The Fischer exact test showed the enrichment of GO terms within the unique gene set, compared to the rest of each respective genome. In *F. circinatum*, five GO terms were significantly ($P < 0.05$) underrepresented in comparison to the whole genome (Supplemental Table 11A). In contrast, nine GO terms were enriched significantly ($P < 0.05$) in the *F. temperatum* genome (Supplemental Table 11B). Of genes associated with these enriched GO terms within the unique gene set, one gene had a biologically relevant role (FTEMP_12_gene_4.36), namely glutamate metabolism, and four genes (FTEMP_1_gene_58.115, FTEMP_7_gene_33.90, FTEMP_10_gene_4.59 and FTEMP_12_gene_4.36) had essential molecular functions, such as glutamate decarboxylase activity, steroid dehydrogenase activity and RNA-DNA hybrid ribonuclease activity. Furthermore, one gene (FTEMP_7_gene_33.90) was influential in cellular components, such as the microtubule and kinesin complex.

Phylogenetic origins of the unique genes

The phylogenetic origins of all the unique genes have been divided into nine groups (Table 3, Figure 1-8 for a representation of the first eight groups, Supplemental Figure 2A-I for the proposed phylogenetic history of each gene). The origins of the unique genes varied, but most belonged to groups 1, 4 and 9. Interestingly, group 8 was not represented in *F. circinatum* but was overrepresented in *F. temperatum* (4.30x more), in comparison to *F. circinatum*, and group 6 was overrepresented in *F. circinatum* (3.29x more), in comparison to *F. temperatum*.

Genomic distribution of the unique genes

The Chi-squared tests determined whether the unique genes differed significantly ($P < 0.05$) in their distribution frequency between telomeric and non-telomeric regions for both *F. circinatum* and *F. temperatum*, assuming that the frequencies do not differ significantly as the null hypothesis. Results indicated that the frequency of occurrence of the unique genes and their placement in telomeric regions differed significantly ($P < 0.05$, Supplemental Table 12). All the chromosomes of *F. circinatum* contained at least one unique gene, compared to chromosome 2 and 11 from *F. temperatum* that lacked any unique genes (Figure 9 and 10). The unique genes were located in areas that were neither gene-poor nor gene-rich (Figure 9 and 10). From the conservation of synteny (Figure 9 and 10) it was also apparent that for both *F. circinatum* and *F. temperatum*, in comparison to their respective genomes, inversions were frequently found in similar positions on the chromosomes and seemed to be closer to the telomeric regions. Chromosome 8 of both reference genomes had more inversions than the other chromosomes, and the CLP of chromosome 12 observed between *F. circinatum* and *F. temperatum* was portrayed in Figure 9 and 10.

The unique gene density per chromosome revealed some differences between the chromosomes for both *F. circinatum* and *F. temperatum* (Supplemental Table 13). Most chromosomes, except for chromosome 5, 10 and 12, had higher unique gene densities when the two assemblies were compared. Most chromosomes also had similar densities of unique genes, ranging from 1.0x (chromosome 4) – 1.97x (chromosome 7). Some differences appeared in the gene density (more than two-fold) of chromosome 9 and 12,

where *F. circinatum* contained more than *F. temperatum*, and vice versa, for these two chromosomes. Lastly, a major difference (more than seven-fold) occurred on chromosome 3 and 6, where *F. circinatum* contained more unique genes compared to the same chromosomes in *F. temperatum*. Chromosome 6 of *F. circinatum* did not have a telomeric cap at the “end” portion. It is also worth noting that chromosome 12 in *F. temperatum* contained much more unique genes compared to the same chromosome in *F. circinatum*.

Figure 11 and 12 illustrates that unique genes can cluster together, or be located in close proximity to one another, and either share the same ancestral origin or differ in their origins. This was the case for 44 of 72 (61.11%) unique genes from *F. circinatum*, and 17 of 47 (36.17%) unique genes from *F. temperatum*. Figure 11A shows that two unique genes (FCIR_6_gene_2.2 and FCIR_6_gene_2.142) are located next to each other, both having ancestral origins from group 3 (Table 3). Unique genes FCIR_6_gene_2.26 and FCIR_6_gene_2.131 were located next to each other close to the other two unique genes but had different ancestral origins, group 5 and 6, respectively. A similar trend was observed in Figure 11B and Figure 12B. Figure 12A is almost similar to 11A, except that FTEMP_10_gene_5.23 and FTEMP_10_gene_5.111 are located directly next to each other with origins from group 1, while FTEMP_10_gene_4.59 is nearby these two genes and also share an origin from group 1.

Investigations into the percentage of syntenous genes in the genomic location around each unique gene (this includes the unique gene, along with five genes upstream and downstream), shared by *F. circinatum* towards *F. temperatum*, and vice versa, indicated that these genomic locations in the pine- and Poaceae-associates, were normally distributed (Shapiro-Wilk’s test for departure from normality; $P > 0.05$; $W = 0.95$ and $P > 0.05$; $W = 0.86$, respectively) (Figure 13, Supplemental Table 14 and 15). Here, the clusters displaying 5 out of 11 genes shared have been severely depleted.

DISCUSSION

Access to genomic data has enabled whole-genome comparisons, particularly focussing on *in silico* investigations into host-specificity (Chiara *et al.*, 2015, King *et al.*, 2015, Maphosa *et al.*, 2016, Bashyal *et al.*, 2017). With this study, we were able to examine host-specificity for two assemblages of *Fusarium* species within the FFSC, *i.e.*, those associated with pines and Poaceae, respectively. We identified two groups of genes unique to each of the two different groups of hosts. These genes differed between the two host groups in terms of identity and processes they are involved in. They also showed differences in their ancestral origins. Therefore, the processes that were involved in the adaptation of these two groups of *Fusarium* species to these two hosts (pine and Poaceae), likely involved different means of evolutionary acquisition. Genomic locations and distributions of these unique genes showed that they tended to cluster in telomeric regions, and they often also clustered together or close to each other. However, these two sets of unique genes could be grouped into functionally related genes, indicative of related processes that these genes are involved in.

The two sets of unique genes were identified and characterised, with 72 and 47 unique genes for the pine- and Poaceae-associated *Fusarium* species found. Investigations into the enrichment of GO terms indicated that glutamate decarboxylase activity, steroid dehydrogenase activity and RNA-DNA hybrid ribonuclease activity were representatives of these in *F. temperatum* indicative of the potential importance of these genes in the interaction between *Fusarium* and members of Poaceae. The presence of glutamate decarboxylase is known to play a role in fungal development during conidiation and germination and plays a role in oxidative stress tolerance in yeast (Christensen & Schmit, 1980, Coleman *et al.*, 2001). Steroid dehydrogenase is involved in the synthesis of active steroids, such as androgens and estrogens, where these steroids lead to increased virulence in bacteria (García-Gómez *et al.*, 2013). The enzyme RNA-DNA hybrid ribonuclease plays a role in bacteria by promoting cold growth, expression of virulence genes and acute infection (Cerritelli & Crouch, 1995, Lawal *et al.*, 2011). It has also been shown that *F. temperatum* CMW 40964 grows significantly faster at lower temperatures (10°C) than *F. circinatum* FSP34 (De Vos *et al.*, 2011). The presence of these enzymatic activities in other pathogens, such as the *Fusarium* species in this study, suggests that a

similar response could be expected in *Fusarium* species associated with members of Poaceae.

The identity of the unique genes itself could mostly be grouped as virulence factors, genes acting as a cytochrome P450 (CYP), genes acting as transcriptional activators, genes that are members of the major facilitator superfamily (MFS) transporters and genes involved in fungal development. Furthermore, *F. temperatum* had unique genes containing a heterokaryon incompatibility (HET)-domain, which was absent from *F. circinatum*. Virulence factors are molecules whose function is directly linked to damage and are produced by a range of pathogenic organisms, such as viruses, bacteria, parasites and fungi (Brunke *et al.*, 2016). These molecules enable pathogens to colonise a specific niche by promoting attachment to host cells, evade host immunity, produce toxic compounds for robustness, stress resistance and inflammation (Rhodes, 1988, Brunke *et al.*, 2016). These activities allow pathogen growth in the host upon nutrient acquisition and to perform pathogen morphological transition in the host to survive during different life-cycle phases. Additionally, fungal CYPs contribute to the developmental processes and pathogenesis of fungi (Fan *et al.*, 2013, Shin *et al.*, 2017). CYP genes are involved in the production of secondary metabolites to enable fungi to outcompete other pathogens localised in the same ecological niche. This competition between fungi, other pathogens and the respective host are mediated upon the secretion of toxins that promote virulence factors during the host-pathogen interaction (Fu & Viraraghavan, 2001, Casadevall, 2007, Evelin *et al.*, 2009, Gao *et al.*, 2010).

Transcriptional activators are transcription factors that increase the expression of genes. These are wide-ranging, including effector proteins (Heimel *et al.*, 2010, IpCho *et al.*, 2010, Wahl *et al.*, 2010, Zahiri *et al.*, 2010, Soyer *et al.*, 2015), other genes involved in plant-pathogen interactions, such as those genes encoding for cutinases, lipases and cellulases (Coradetti *et al.*, 2012, Van der Does *et al.*, 2016), and are even known to regulate a morphological switch between different lifestyles, which allows fungi to switch from being saprophytes to pathogens (Cain *et al.*, 2012). Furthermore, the major facilitator superfamily (MFS) is the largest of secondary transporters and includes members that function as uniporters, symporters or antiporters. Some MFS proteins in fungi are known for the role they play in resistance to natural toxic compounds and fungicides (Alexander *et al.*, 1999, Prasad & Kapoor, 2005, Roohparvar *et al.*, 2007) and

also to virulence (Pitkin *et al.*, 1996, Callahan *et al.*, 1999, Coleman & Mylonakis, 2009). Also, MFS proteins isolated from *Penicillium funiculosum* seem to be involved in acid resistance and intracellular pH homeostasis (Xu *et al.*, 2014).

Finally, genes with a HET-domain create a system for self/nonself recognition. This system allows for the prevention of heterokaryon formation between dissimilar individuals to restrict the horizontal transfer of cytoplasmic infectious elements (Saupe, 2000). These domains are also linked to programmed cell death in eukaryotes where it can be extremely beneficial to infected fungal cells, as a result of host defence responses, to prevent the spread of the infection to surrounding tissue (Gilchrist, 1998, Greenberg & Yao, 2004, Huckelhoven, 2007). The absence of HET-domains in pine-associated unique genes emphasises the different host defence responses between pines and members of Poaceae. It also emphasises the evolutionary changes Poaceae-associated *Fusarium* species had to undergo in order to acquire these genes to be successful colonisers of members of Poaceae. The presence of these groups of genes is indicative of the role in the survival and adaptation of these *Fusarium* species, likely providing a competitive advantage as well as providing a protective advantage against the host defence responses.

When examining the potential ancestral origins of the unique genes, most shared an evolutionary trajectory similar to the *Fusarium* species harbouring them. A few genes appear to have emerged from duplications of existing genes within the FFSC, or some facet of the *Fusarium* clade. Various genes had diverse and non-orthologous origins, such as other ascomycetous species, with others currently having no clear ancestral origin. It can be suggested that the evolution of these two unique gene sets involved multiple acquisitions of genes, possibly utilising horizontal gene transfer, from sources outside of the FFSC. Furthermore, it also appears as if some unique genes cluster together and have diverse ancestral origins, suggesting that certain genomic regions are more likely to harbour the acquisition of these unique genes. Some genomic regions are known for being “hotspots” for niche- or host-specific genes, such as subtelomeric regions. Virulence genes involved in host-specificity in *F. graminearum* (Galagan *et al.*, 2005, Perrin *et al.*, 2007, Zhao *et al.*, 2014) and *F. fujikuroi* (Wiemann *et al.*, 2013) have been detected to be located in subtelomeric regions. Furthermore, unique genes potentially involved in growth in *F. circinatum* (species-specific genes), were located in the subtelomeric region (Van Wyk *et al.*, 2019). In this study, some of the unique genes are significantly located

towards the chromosomal ends and are likely located within the subtelomeric regions. This suggests that these subtelomeric regions possessing unique genes involved areas where the frequent break in genic synteny is often indicative of “hotspots” for niche- and host-specific genes. These regions allowed the gain of new gene functions which could enable fungal colonisation on their respective hosts.

The variability of the two reference genomes was most evident when comparisons of inversions were investigated. More inversions were closer to the ends of chromosomes. This was known from other literature (Zhao *et al.*, 2014, Van Dam *et al.*, 2017, King *et al.*, 2018). Also, chromosome 8 was the most variable, in comparison to the other core chromosomes (1-11). Chromosome 8 is also known to possess a sizable reciprocal translocation with chromosome 11 within species of the American clade of the FFSC (De Vos *et al.*, 2014, Wingfield *et al.*, 2015b, Wingfield *et al.*, 2017, Wingfield *et al.*, 2018a, Wingfield *et al.*, 2018b). This suggests that this chromosome has a larger predisposition to chromosomal architectural changes.

The importance of chromosome 12 in possessing unique genes poses an interesting question. This chromosome is thought to be a dispensable chromosome within the FFSC (Xu *et al.*, 1995, Jurgenson *et al.*, 2002, Ma *et al.*, 2010, Van der Nest *et al.*, 2014) and can be strain-specific in members of the *F. fujikuroi* complex (Wiemann *et al.*, 2013, Van der Nest *et al.*, 2014). In *F. oxysporum* f. sp. *lycopersici* it is known that dispensable chromosomes impart pathogenicity to the strains possessing them, and being enriched in, amongst others, genes involved in virulence and transcription factors which contribute to niche-specificity in these species (Han *et al.*, 2001, Coleman *et al.*, 2009, Ma *et al.*, 2010). Chromosome 12 is not essential for pathogenicity (Wiemann *et al.*, 2013, Van der Nest *et al.*, 2014, Slinski *et al.*, 2016) and the role of this chromosome in niche-specificity is unknown. However, what is clear is that the larger chromosome 12 of *F. temperatum* possessed more unique genes potentially involved in niche-specificity amongst *Fusarium* species that are associated with Poaceae, which implies that the CLP between *F. circinatum* and *F. temperatum* accounts for the addition of unique genes. Furthermore, chromosome 12 harbours more unique genes than the core chromosomes. Taken together, this suggests that the variability of chromosome 12 contributes to genes involved in niche-specificity.

CONCLUSIONS

This study has provided new insights into the host-specificity of agriculturally important *Fusarium* species. Comparative genomics was utilised with near-complete and high-quality genomes. This allowed for the understanding of the different relationships employed by a host and a pathogen during their interaction. From this, a group of genes were identified and characterised with regards to their potential roles in host-specificity. In this study, two different gene sets were identified, one unique to pine-associated *Fusarium* species, and another to Poaceae-associated *Fusarium* species. These genes are hypothesised to play a role in niche-specificity between these two different groups. These genes differed in function, products and processes as they are involved in fungal adaptation and survival. The ancestral origins of these genes showed to have polyphyletic origins with some possibly acquired through horizontal gene transfer from sources outside the FFSC.

The CLP of chromosome 12 between the four *Fusarium* species assembled to chromosomal level, in comparison to the core chromosomes, suggest that this chromosome is rapidly evolving. Chromosome 12 may or may not directly or indirectly contribute to pathogenicity. It is likely that the smallest chromosomes of *Fusarium* species from the FFSC forms part of the accessory genome and serve as an adaptive region of the genome where new genes can rapidly evolve to promote, amongst others, host-specificity. Since previous studies show that chromosome 12 is not involved in virulence, the presence of this chromosome likely contributes to niche-specificity in *Fusarium* species associated with members of Poaceae, specifically the part of the chromosome displaying the length polymorphism. In particular, chromosome 12 from *F. circinatum* is much smaller in comparison to *F. temperatum*, and the synteny corresponds to the internal portion of *F. temperatum* of chromosome 12. The synteny would imply that the extension in the distal and proximal ends of the chromosome in *F. temperatum* has driven the formation of novel genes, particularly the niche-specific genes of this study. The presence of unique genes and the length polymorphism on *F. temperatum* chromosome 12 might be a recent evolutionary event, in comparison to *F. circinatum*.

This study discovered that the frequency of genes potentially involved in host-specificity, niche-specificity or virulence differ significantly between telomeric and non-telomeric regions. Future work from this dissertation may include the studying of the functional relevance of the unique genes and their gene products associated with *Fusarium* species colonising pines and members of Poaceae. Furthermore, investigations into the expression of these genes in these two hosts and at different time points would allow for better quantification of how the pathogen interacts with its host, using the unique genes identified in this study.

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TABLES

Table 1. Genome statistics for the *Fusarium* genomes examined in this study.

Table 2. Presence of telomeres at chromosomal ends for the two representative *Fusarium* species examined.

Table 3. The number of unique *Fusarium* genes associated with pine and members of the Poaceae, belonging to each proposed group based on the most probable phylogenetic origin of the respective genes.

Table 1. Genome statistics for the *Fusarium* genomes examined in this study.

<i>Fusarium</i> species ^{1,2}	Genome size (bp)	Number of scaffolds	Number of orientated chromosomes	Gene density (ORFs/Mb)	Genes with coding sequences ³	GC content (%)
<i>F. circinatum</i> FSP34 (CMW 51752)	45 018 643	49	12	344.50	15 509	47
<i>F. fructicaudum</i> CMW 25245	46 252 763	50	12	352.28	16 294	48
<i>F. pininemorale</i> CMW 25243	47 778 485	153	12	332.35	15 879	46
<i>F. konzum</i> NRRL 11616	43 487 959	2 262	N/A	374.49	16 286	49
<i>F. subglutinans</i> NRRL 22016	44 190 517	733	N/A	356.23	15 742	48
<i>F. temperatum</i> CMW 40964	45 458 781	43	12	341.67	15 532	47

¹CMW: Culture collection of the Forestry and Agricultural Biotechnology Institute (FABI), University of Pretoria.

²NRRL: Northern Regional Research Laboratory, United States Department of Agriculture (USDA), Illinois.

³As determined using MAKER v. 2.31.8 (Cantarel *et al.*, 2008).

Table 2. Presence of telomeres at chromosomal ends for the two representative *Fusarium* species examined.

Chromosome number	<i>F. circinatum</i> FSP34		<i>F. temperatum</i> CMW 40964	
	B ¹	E ²	B ¹	E ²
1	✓	✗	✓	✓
2	✗	✓	✗	✗
3	✓	✓	✓	✓
4	✓	✓	✓	✓
5	✗	✓	✓	✗
6	✓	✗	✓	✓
7	✓	✓	✓	✓
8	✓	✗	✓	✗
9	✓	✓	✓	✓
10	✓	✓	✓	✓
11	✓	✓	✓	✓
12	✓	✓	✓	✓

¹Beginning of chromosome.

²End of chromosome.

Table 3. The number of unique *Fusarium* genes associated with pine and members of the Poaceae, belonging to each proposed group based on the most probable phylogenetic origin of the respective genes.

Group no.	Classification based on ancestral origin*	<i>F. circinatum</i> FSP34	<i>F. temperatum</i> CMW 40964
1	Emerged within the FFSC and were retained only in certain of its lineages	18 (25.0%)	13 (27.7%)
2	Emerged due to a duplication in the FFSC but retained/acquired only in a few FFSC species	3 (4.2%)	5 (10.6%)
3	Originated in the FOOSC	5 (6.9%)	1 (2.1%)
4	Originated within the broader FFSC+FOOSC clade but only retained in certain species	15 (20.8%)	14 (29.8%)
5	Originated in the <i>Fusarium</i> F2 clade but retained/acquired only in certain <i>Fusarium</i> species	5 (6.9%)	1 (2.1%)
6	Originated in <i>Fusarium</i> F3 clade that excludes <i>F. solani</i> (<i>Nectria haematococca</i>)	4 (5.6%)	3 (6.4%)
7	Ascomycetous origin outside the <i>Fusarium</i> F2 clade	7 (9.7%)	2 (4.3%)
8	Emerged due to a duplication in the broader <i>Fusarium</i> F2 clade but retained/acquired only in certain species complexes	0 (0.0%)	2 (4.3%)
9	No significant hits based on blast searches against the NCBI database	15 (20.8%)	6 (12.8%)
Total genes		72	47

*FFSC = *Fusarium fujikuroi* species complex.

FOOSC = *Fusarium oxysporum* species complex.

F2 and F3 clade = Refer to article by Geiser *et al.* (2013).

FIGURES

Figure 1. A representative phylogenetic tree from the group 1 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*).

Figure 2. A representative phylogenetic tree from the group 2 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*).

Figure 3. A representative phylogenetic tree from the group 3 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*).

Figure 4. A representative phylogenetic tree from the group 4 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*).

Figure 5. A representative phylogenetic tree from the group 5 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*).

Figure 6. A representative phylogenetic tree from the group 6 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*).

Figure 7. A representative phylogenetic tree from the group 7 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*).

Figure 8. A representative phylogenetic tree from the group 8 ancestral origin classification system (unique gene investigated is highlighted in yellow; FTEMP = *Fusarium temperatum*).

Figure 9. Pine-associated unique genes distribution across each of the chromosomes as indicated by the blue lines. The conservation of synteny and inversion between the relevant genomes are indicated in the brown blocks and red lines. FCIR = *Fusarium circinatum*.

Figure 10. Poaceae-associated unique genes distribution across each of the chromosomes as indicated by the blue lines. The conservation of synteny and inversion between the relevant genomes are indicated in the brown blocks and red lines. FTEMP = *Fusarium temperatum*.

Figure 11. Integration of SynChro and phylogenetic results of the pine-associated genes clustering together or those in close proximity to each other.

Figure 12. Integration of SynChro and phylogenetic results of the Poaceae-associated genes clustering together or those in close proximity to each other.

Figure 13. Data retrieved from the Shapiro-Wilk's test for departure from normality for the unique genes of the pine- and Poaceae-associated *Fusarium* species, represented by *F. circinatum* FSP34 and *F. temperatum* CMW 40964, respectively.

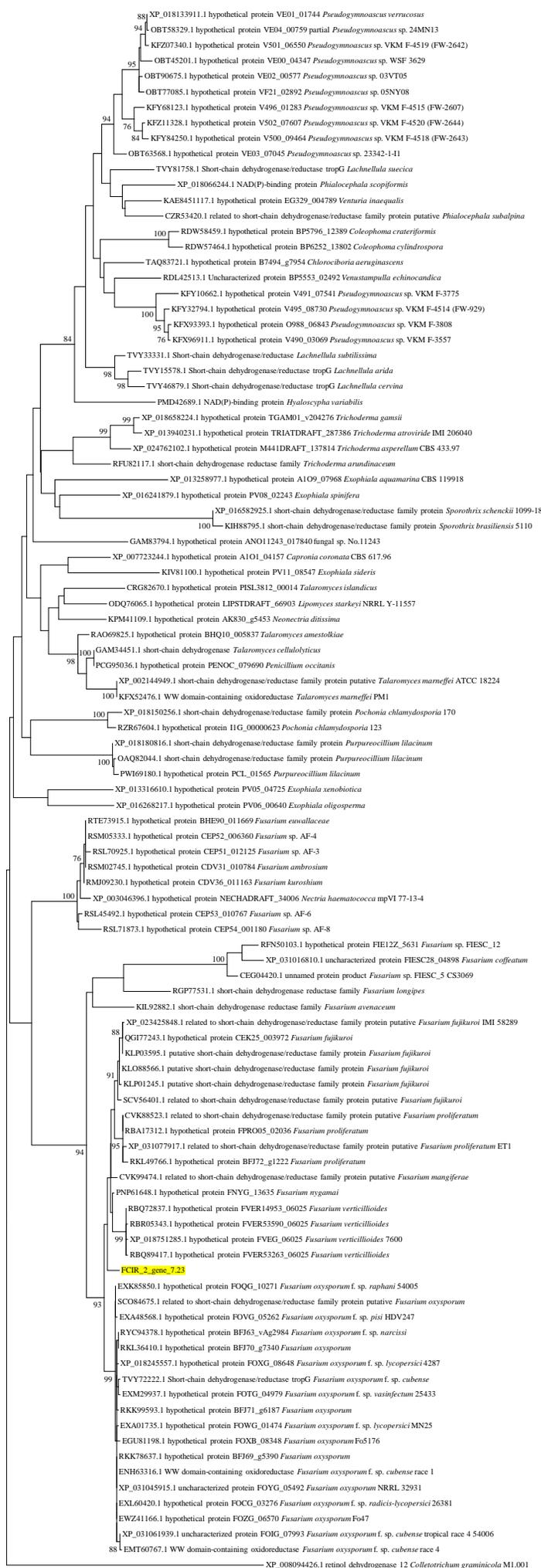


Figure 1. A representative phylogenetic tree from the group 1 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*). The evolutionary history was inferred by using the Maximum Likelihood method based on the JTT matrix-based model (Jones *et al.*, 1992), along with a discrete Gamma distribution to model evolutionary rate differences amongst sites. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA 7 (Kumar *et al.*, 2016).

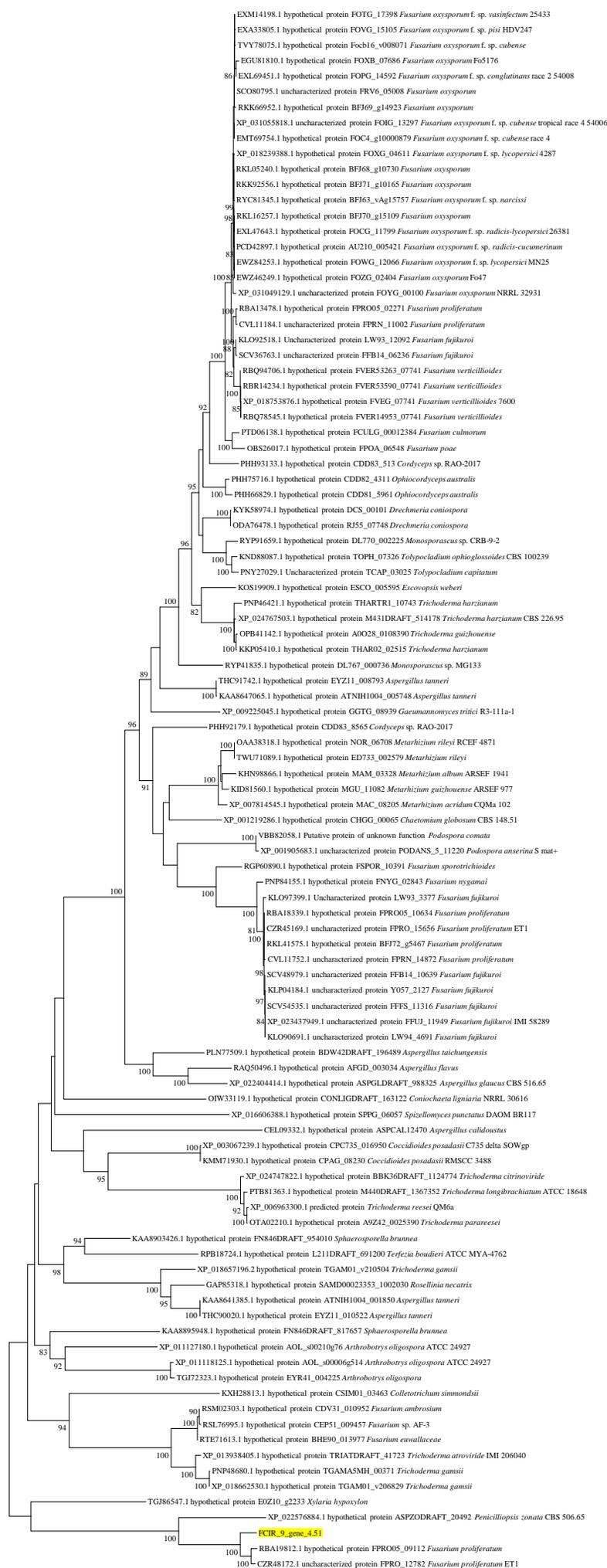


Figure 2. A representative phylogenetic tree from the group 2 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*). The evolutionary history was inferred by using the Maximum Likelihood method based on the Le Gascuel model (Le & Gascuel, 2008), along with a discrete Gamma distribution to model evolutionary rate differences amongst sites. The rate variation model allowed for some sites to be evolutionarily invariable. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA 7 (Kumar *et al.*, 2016).

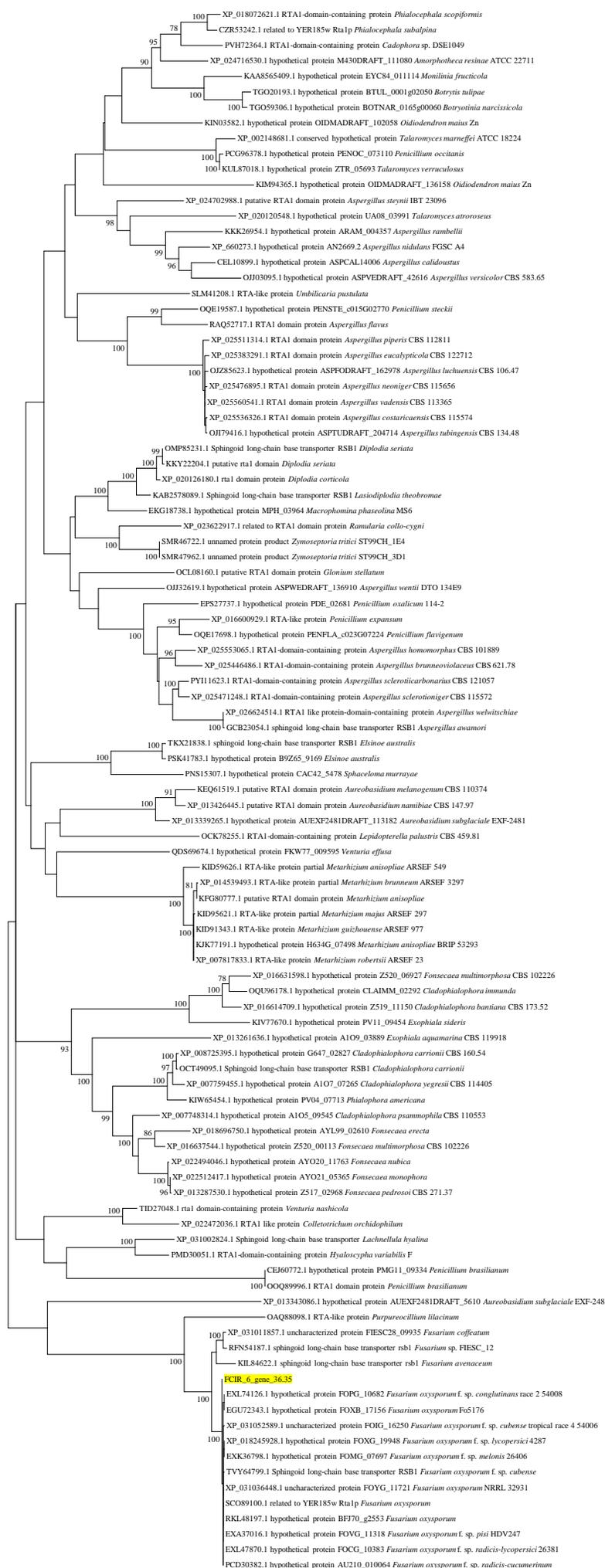
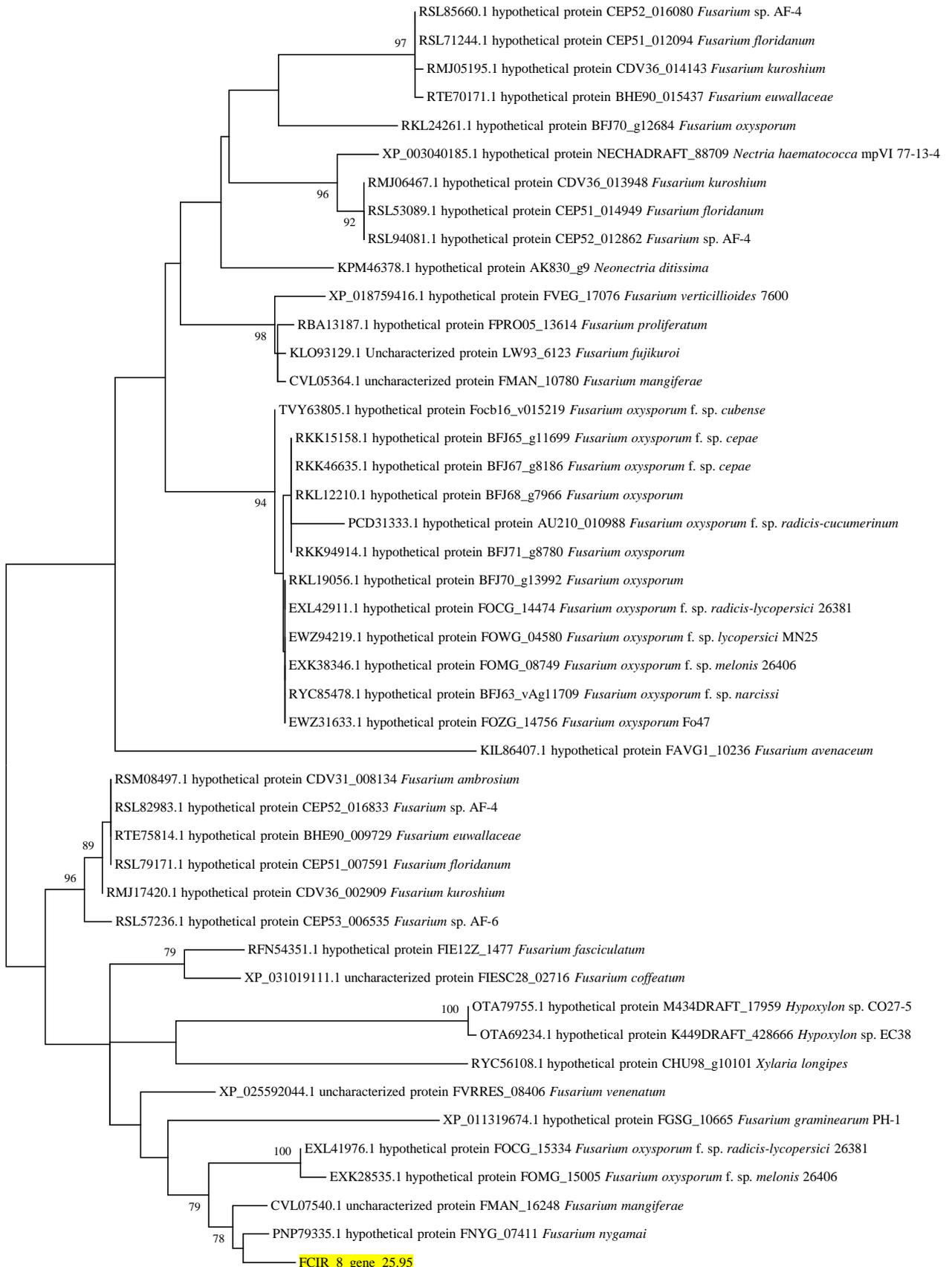


Figure 3. A representative phylogenetic tree from the group 3 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*). The evolutionary history was inferred by using the Maximum Likelihood method based on the Le Gascuel model (Le & Gascuel, 2008), along with a discrete Gamma distribution to model evolutionary rate differences amongst sites. The rate variation model allowed for some sites to be evolutionarily invariable. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA 7 (Kumar *et al.*, 2016).

Figure 4. A representative phylogenetic tree from the group 4 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*). The evolutionary history was inferred by using the Maximum Likelihood method based on the JTT matrix-based model (Jones *et al.*, 1992), along with a discrete Gamma distribution to model evolutionary rate differences amongst sites. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA 7 (Kumar *et al.*, 2016).



0.20

Figure 5. A representative phylogenetic tree from the group 5 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*). The evolutionary history was inferred by using the Maximum Likelihood method based on the Le Gascuel model (Le & Gascuel, 2008), along with a discrete Gamma distribution to model evolutionary rate differences amongst sites. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA 7 (Kumar *et al.*, 2016).

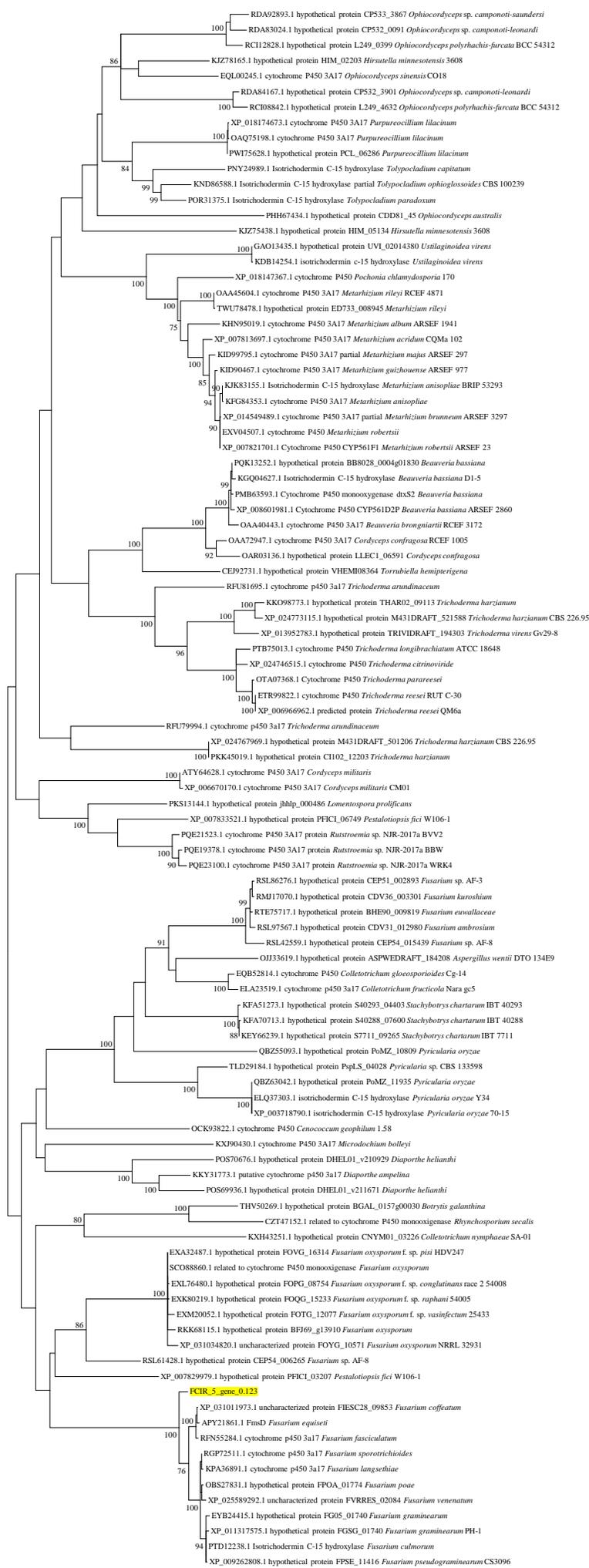


Figure 6. A representative phylogenetic tree from the group 6 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*). The evolutionary history was inferred by using the Maximum Likelihood method based on the Le Gascuel model (Le & Gascuel, 2008), along with a discrete Gamma distribution to model evolutionary rate differences amongst sites. The rate variation model allowed for some sites to be evolutionarily invariable. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA 7 (Kumar *et al.*, 2016).

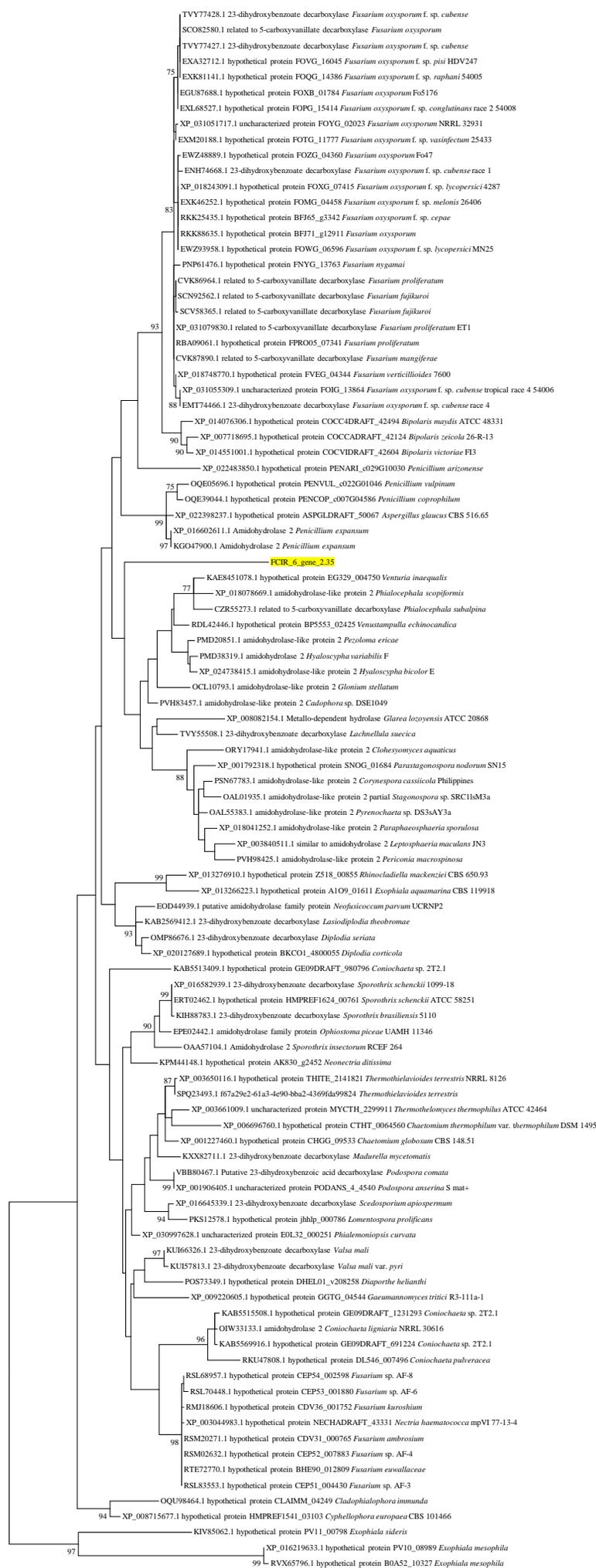


Figure 7. A representative phylogenetic tree from the group 7 ancestral origin classification system (unique gene investigated is highlighted in yellow; FCIR = *Fusarium circinatum*). Evolutionary history was inferred by using the Maximum Likelihood method based on the Le Gascuel model (Le & Gascuel, 2008), along with a discrete Gamma distribution to model evolutionary rate differences amongst sites. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA7 (Kumar *et al.*, 2016).

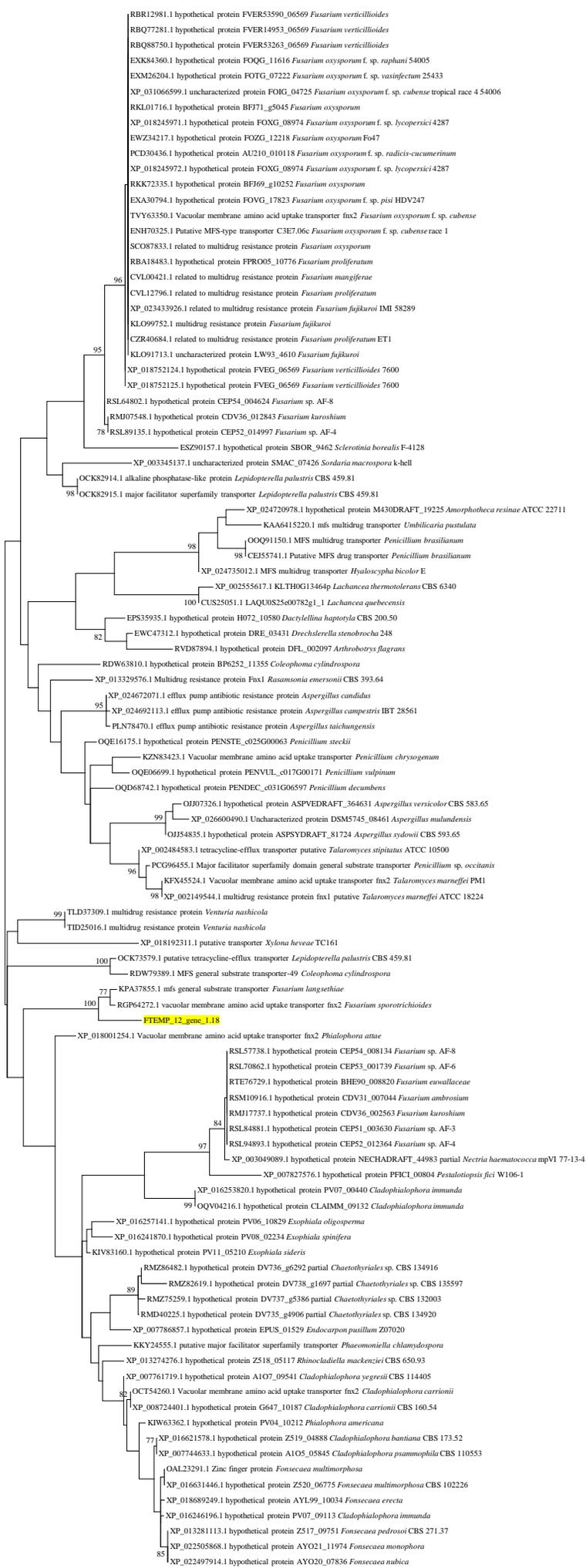
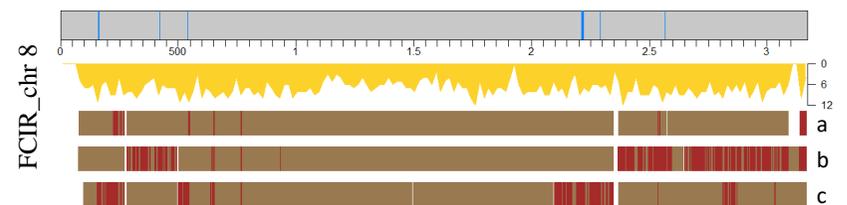
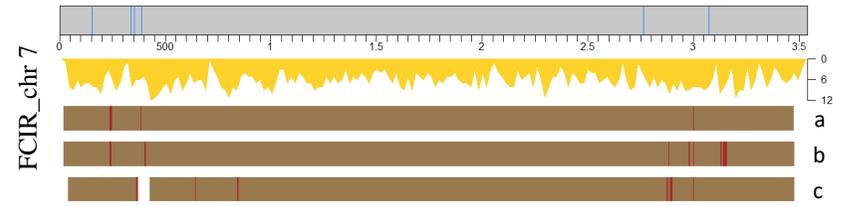
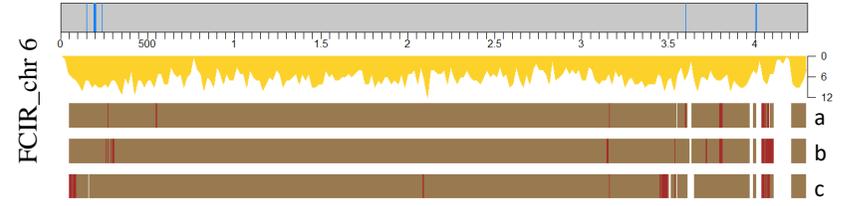
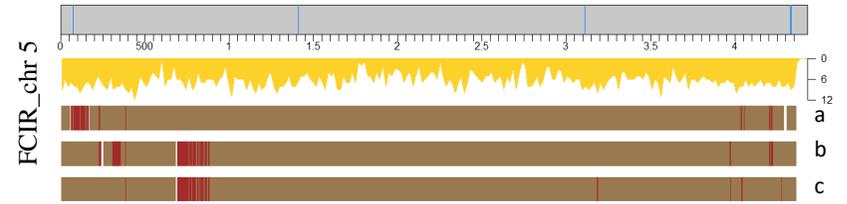
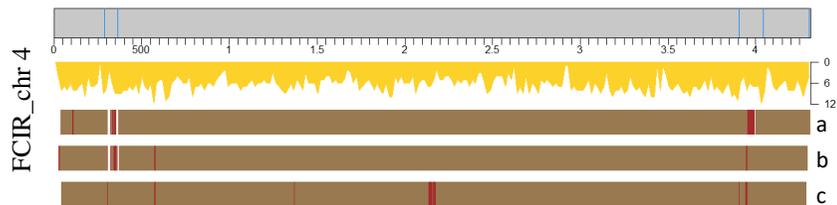
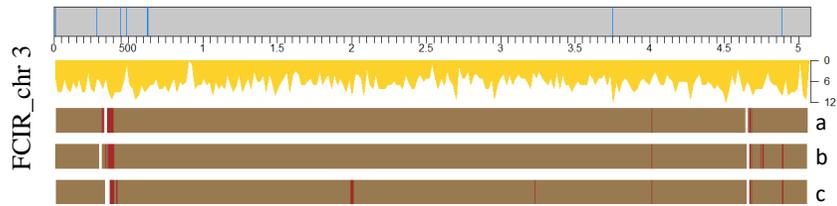
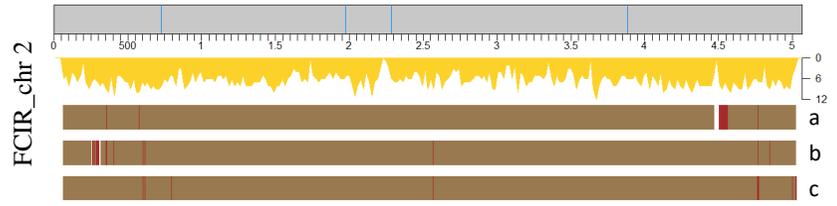
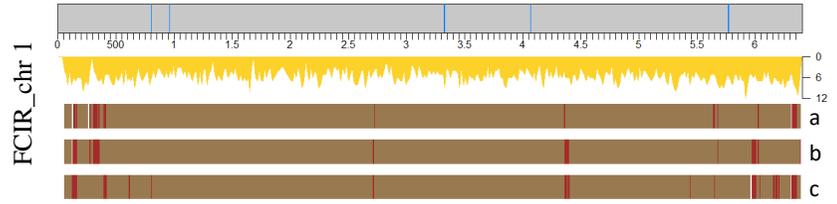


Figure 8. A representative phylogenetic tree from the group 8 ancestral origin classification system (unique gene investigated is highlighted in yellow; FTEMP = *Fusarium temperatum*). The evolutionary history was inferred by using the Maximum Likelihood method based on the Le Gascuel model (Le & Gascuel, 2008), along with a discrete Gamma distribution to model evolutionary rate differences amongst sites. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA 7 (Kumar *et al.*, 2016).



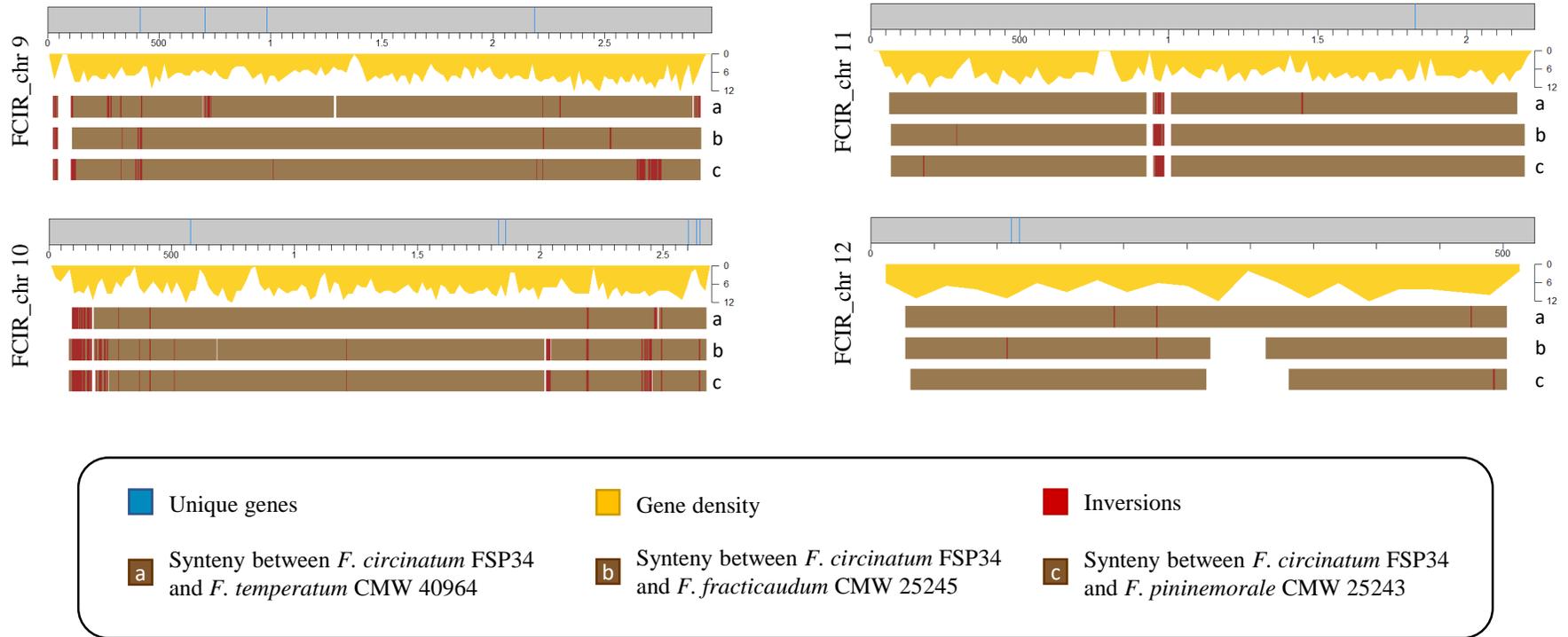
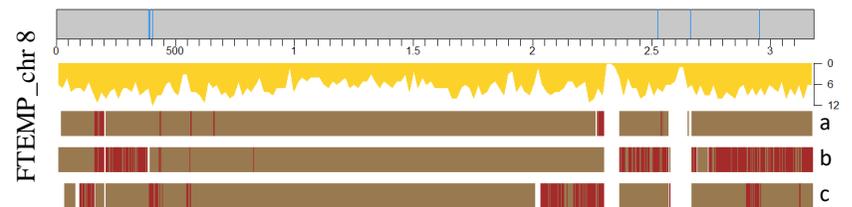
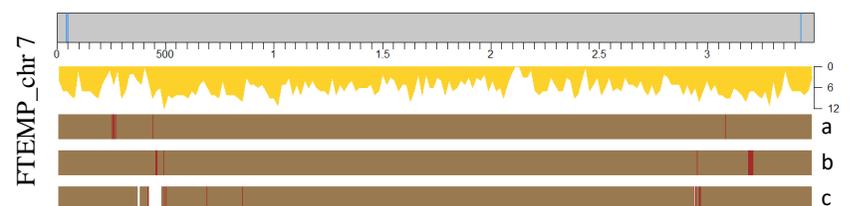
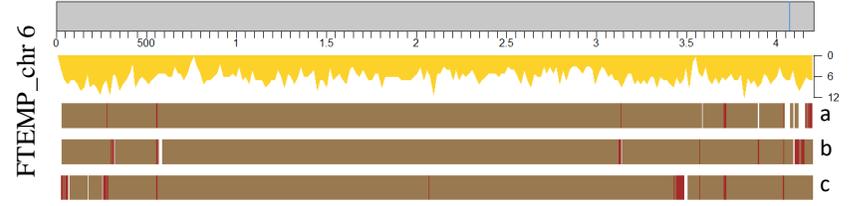
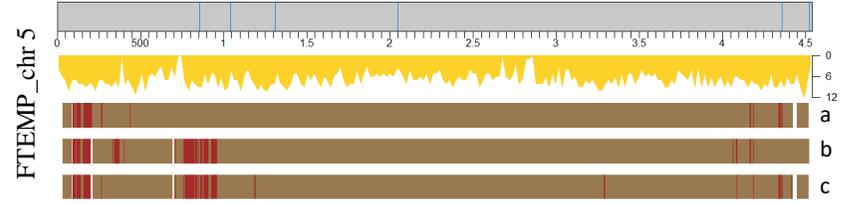
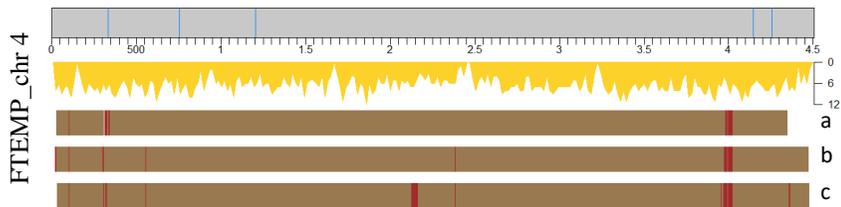
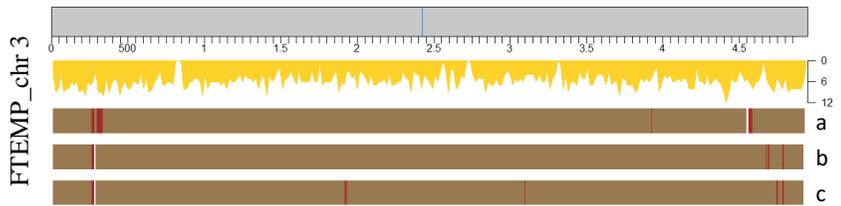
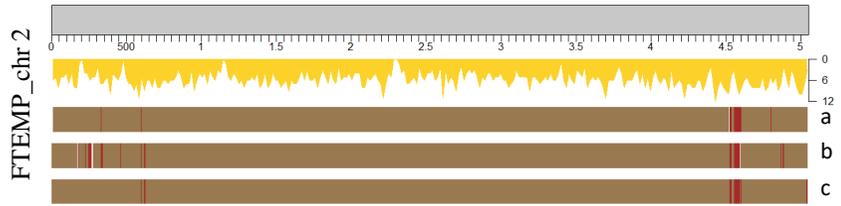
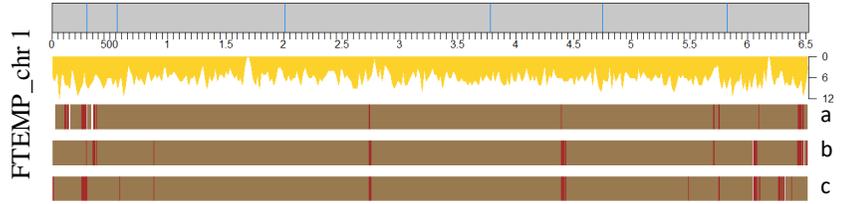


Figure 9. Pine-associated unique genes distribution across each of the chromosomes as indicated by the blue lines. The conservation of synteny and inversion between the relevant genomes are indicated in the brown blocks and red lines. FCIR = *Fusarium circinatum*.



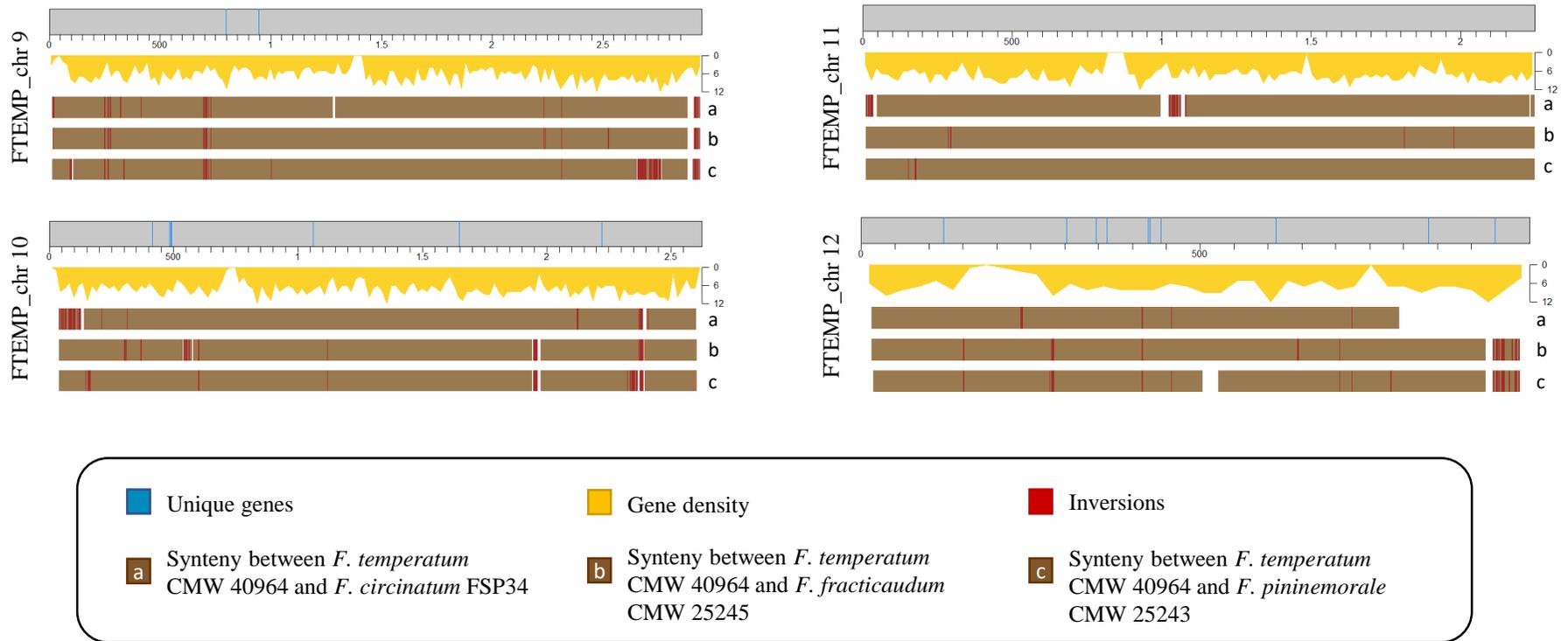
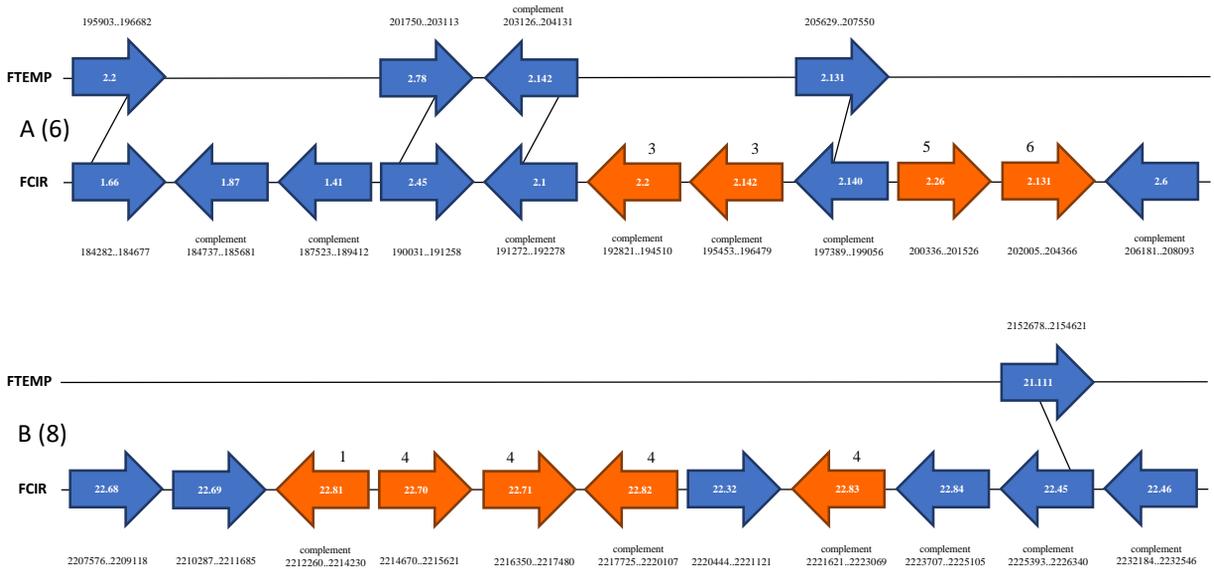
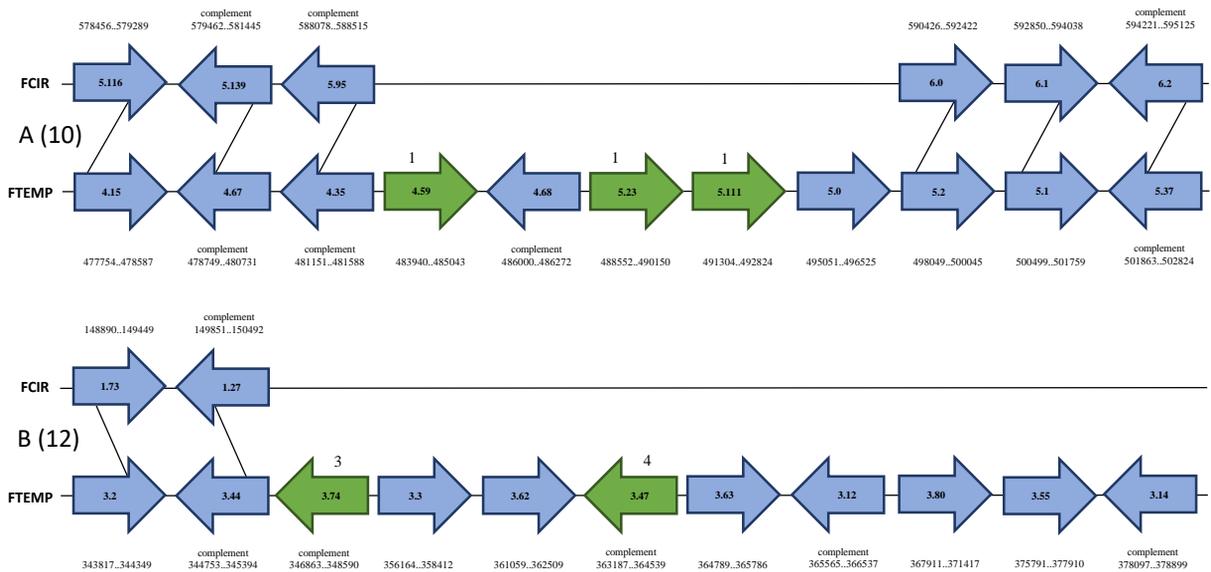


Figure 10. Poaceae-associated unique genes distribution across each of the chromosomes as indicated by the blue lines. The conservation of synteny and inversion between the relevant genomes are indicated in the brown blocks and red lines. FTEMP = *Fusarium temperatum*.



■	Unique genes of FC with gene name	FCIR	<i>F. circinatum</i> FSP34
■	Genes upstream/downstream from unique gene with the corresponding match in FT	FTEMP	<i>F. temperatum</i> CMW 40964
(n)			Chromosome number indication between the brackets
\xrightarrow{n}	Numbers above arrows indicate the ancestral origin group from Table 3	—	Link orthologous genes between FC and FT

Figure 11. Integration of SynChro and phylogenetic results of the pine-associated genes clustering together or those in close proximity to each other. This is a representation of numerous *F. circinatum* FSP34 unique genes that are clustered. The *F. temperatum* CMW 40964 genes shared 36.4% synteny in (A) and 9.1% in (B), towards the *F. circinatum* FSP34 genes. Unique genes can be located next to each other or in close proximity to each other, and can have the same or different ancestral origins.



 Unique genes of FT with gene name	FCIR <i>F. circinatum</i> FSP34
 Genes upstream/downstream from unique gene with the corresponding match in FC	FTEMP <i>F. temperatum</i> CMW 40964
 \rightarrow Numbers above arrows indicate the ancestral origin group from Table 3	(n) Chromosome number indication between the brackets
	— Link orthologous genes between FC and FT

Figure 12. Integration of SynChro and phylogenetic results of the Poaceae-associated genes clustering together or those in close proximity to each other. This is a representation of numerous *F. temperatum* CMW 40964 unique genes that are clustered. The *F. circinatum* FSP34 genes shared 54.5% synteny in (A) and 18.2% in (B), towards the *F. temperatum* CMW 40964 genes. Unique genes can be located next to each other or in close proximity to each other, and can have the same or different ancestral origins.

Normal distribution of unique genes

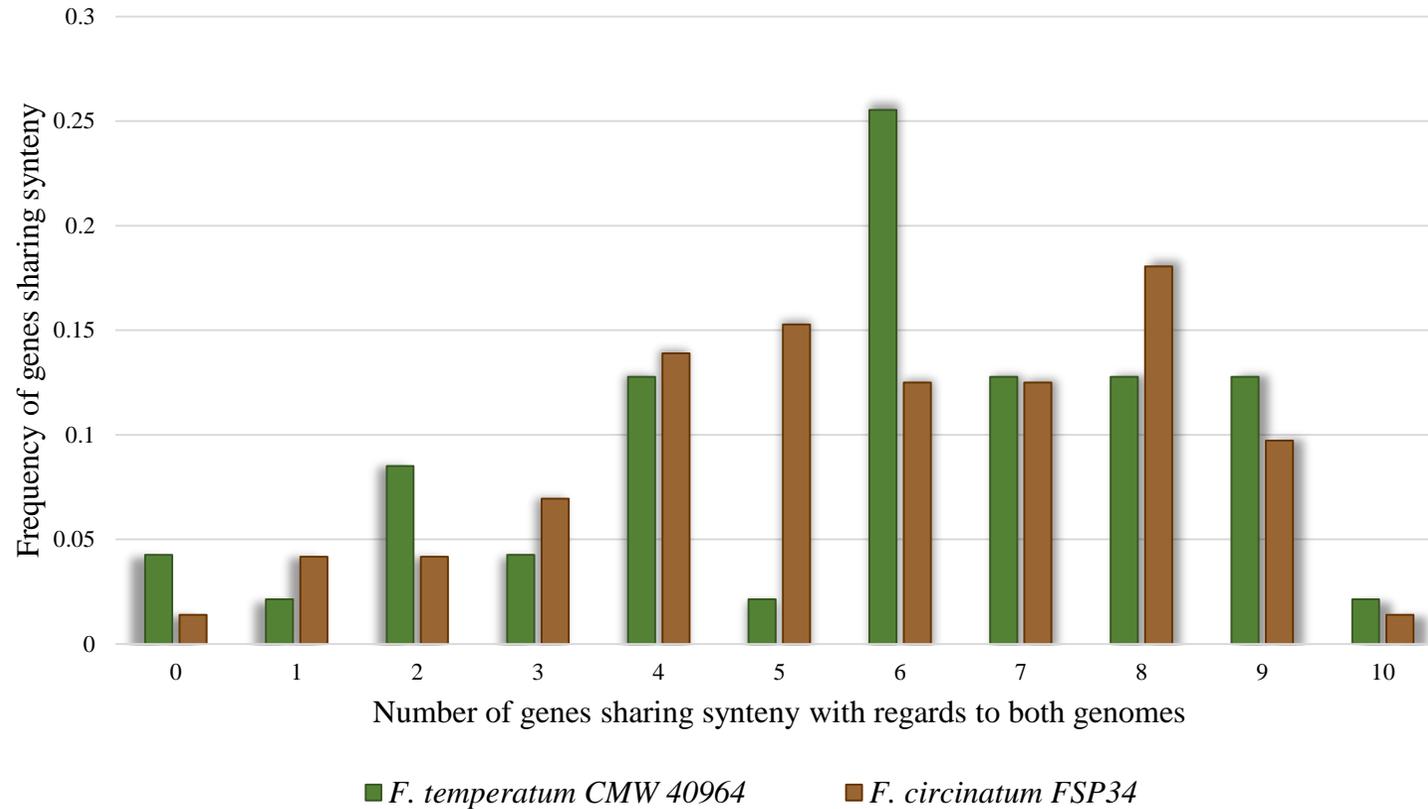


Figure 13. Data retrieved from the Shapiro-Wilk's test for departure from normality for the unique genes of the pine- and Poaceae-associated *Fusarium* species, represented by *F. circinatum* FSP34 and *F. temperatum* CMW 40964, respectively.

SUPPLEMENTAL TABLES

All the supplemental data tables can be retrieved from the following Google drive:

<https://drive.google.com/drive/folders/1eK5hGcNRkECBVHwOno6XlOKbKUI43ZLg?usp=sharing>

Supplemental Table 1. BUSCO results for the relevant *Fusarium* genomes.

Supplemental Table 2. The chromosome fold-difference between four of the six *Fusarium* species. Indicated in red is the chromosome which is the largest amongst the four investigated species.

Supplemental Table 3. Pearson product-moment correlation coefficient calculation.

Supplemental Table 4. The output retrieved from OrthoFinder, including all six *Fusarium* genomes. The number indicated after the species identifier is the chromosomal location of this gene (chromosome 1-12). In *F. konzum* and *F. subglutinans* it indicates the scaffold number.

Supplemental Table 5. The shared genes across all six *Fusarium* genomes, along with the amino acid (AA) sequence for each gene. The number indicated after the species identifier is the chromosomal location of this gene (chromosome 1-12). In *F. konzum* and *F. subglutinans* it indicates the scaffold number.

Supplemental Table 6. The 72 unique genes, along with their multiple copies, shared between all the pine-associated *Fusarium* species. The number indicated after the species identifier is the chromosomal location of this gene (chromosome 1-12).

Supplemental Table 7. The 47 unique genes, along with their multiple copies, shared between all Poaceae-associated *Fusarium* species. The number indicated after the species identifier is the chromosomal location of this gene (chromosome 1-12). In *F. konzum* and *F. subglutinans* it indicates the scaffold number.

Supplemental Table 8. The EST data for *F. circinatum*, obtained from Wingfield *et al.* (2012) and van Wyk *et al.* (2019).

Supplemental Table 9. The Blast2GO data for the 72 unique pine-associated genes, specifically for (A) *Fusarium circinatum*, (B) *F. fracticaudum* and (C) *F. pininemorale*.

Supplemental Table 10. The Blast2GO data for the 47 unique Poaceae-associated genes, specifically for (A) *Fusarium konzum*, (B) *F. subglutinans* and (C) *F. temperatum*.

Supplemental Table 11. The Fischer exact test data for (A) the 72 unique pine-associated genes and (B) the 47 unique Poaceae-associated genes.

Supplemental Table 12. The Chi-squared test calculations for the genomic locations of the unique genes from both *F. circinatum* and *F. temperatum*.

Supplemental Table 13. The unique gene density for both *F. circinatum* and *F. temperatum*.

Supplemental Table 14. The SynChro data for genes downstream and upstream of the unique genes of both the pine- and Poaceae-associated *Fusarium* species. FCIR = *Fusarium circinatum* FSP34 and FTEMP = *Fusarium temperatum* CMW 40964.

Supplemental Table 15. The Shapiro-Wilk's test for departure from normality.

SUPPLEMENTAL FIGURES

All the supplemental figures can be retrieved from the following Google drive:

<https://drive.google.com/drive/folders/1eK5hGcNRkECBVHwOno6XlOKbKUI43ZLg?usp=sharing>

Supplemental Figure 1A. A pie chart of the level 4 GO terms involved with the biological processes of the 72 unique genes from the pine-associated *Fusarium* species.

Supplemental Figure 1B. A pie chart of the level 4 GO terms involved with the molecular functions of the 72 unique genes from the pine-associated *Fusarium* species.

Supplemental Figure 1C. A pie chart of the level 4 GO terms involved with the cellular components of the 72 unique genes from the pine-associated *Fusarium* species.

Supplemental Figure 1D. A pie chart of the level 4 GO terms involved with the biological processes of the 47 unique genes from the Poaceae-associated *Fusarium* species.

Supplemental Figure 1E. A pie chart of the level 4 GO terms involved with the molecular functions of the 47 unique genes from the Poaceae-associated *Fusarium* species.

Supplemental Figure 1F. A pie chart of the level 4 GO terms involved with the cellular components of the 47 unique genes from the Poaceae-associated *Fusarium* species.

Supplemental Figure 2A. Unique genes with ancestral origins that emerged within the FFSC and were retained only in certain lineages.

Supplemental Figure 2B. Unique genes with ancestral origins that emerged due to a duplication in the FFSC but were retained/acquired only in a few FFSC species.

Supplemental Figure 2C. Unique genes with ancestral origins that originated in the FOOSC.

Supplemental Figure 2D. Unique genes with ancestral origins within the broader FOOSC+FFSC clade but were only retained in certain species.

Supplemental Figure 2E. Unique genes with ancestral origins that originated in the *Fusarium* F2 clade but were retained/acquired only in certain *Fusarium* species.

Supplemental Figure 2F. Unique genes with ancestral origins that originated in the *Fusarium* F3 clade, excluding *Fusarium solani* (*Nectria haematococca*).

Supplemental Figure 2G. Unique genes with ancestral origins that emerged from ascomycetes outside the *Fusarium* clade.

Supplemental Figure 2H. Unique genes with ancestral origins that emerged due to a duplication in the *Fusarium* clade but were retained/acquired only in certain species complexes.

Supplemental Figure 2I. Unique genes with ancestral origins that have no significant hits based on NCBI results and phylogenetic analyses.

CHAPTER 3:

CAZyme gene repertoire comparisons of selected *Fusarium* species from the American clade of the FFSC

ABSTRACT

The genus *Fusarium* is diverse and harbour species that can be organised into different species complexes. One well-known species complex is the *Fusarium fujikuroi* species complex (FFSC) that contains economically and agriculturally important pathogens. Fungi, in general, are known to occupy diverse niches and utilise many different strategies for survival and reproduction. The host-pathogen interaction between plants and fungi is dynamic, complex and co-dependent. The initial phase of host cell penetration initiates on the epidermal cells, followed by entry into intracellular spaces. The process of penetration is often facilitated by Carbohydrate-Active enZymes (CAZymes). In this study, we investigated the CAZyme repertoire of species associated with *Pinus* species (*F. circinatum* FSP34, *F. pininemorale* CMW 25243 and *F. fracticaudum* CMW 25245) and members of Poaceae (*F. temperatum* CMW 40964, *F. subglutinans* NRRL 22016 and *F. konzum* NRRL 11616). This study aims to identify CAZymes that could play a role in host defence mechanisms, but that also differ between the *Fusarium* species belonging to each host group. For this, we implemented comparative genomics to illustrate the difference in the CAZyme repertoire between the two groups of *Fusarium* species. We found that the glycoside hydrolases (GHs) class was the most prevalent in all six genomes, followed by the carbohydrate esterases (CEs), auxiliary activities (AAs) and glycosyltransferases (GTs) classes with an almost similar repertoire of genes, emphasising the carbohydrate degradation and utilisation potential of these fungi, irrespective of host. The similarities of the CAZyme families between the *Fusarium* species associated with monocots and gymnosperms were striking, emphasising the close relatedness of these fungi. Despite this correspondence, two CAZyme families were identified as different amongst the two groups of *Fusarium* species (GH43 and GH109). These genes differ in ancestral origins, where GH43 have originated within the *Fusarium oxysporum* species complex (FOSC), and GH109 originated within the FOSC+FFSC. We found that these two genes were possibly acquired through horizontal gene transfer.

INTRODUCTION

Some fungi employ cell-wall degrading enzymes, often referred to as Carbohydrate-Active enZymes (CAZymes), to penetrate host cells for nutrients (Jones & Dangl, 2006, Cantarel *et al.*, 2009, Borah *et al.*, 2018, Dracatos *et al.*, 2018). The secretion of these enzymes is especially prevalent in fungi lacking penetration structures. CAZymes permits the degradation of host macromolecules and play a part in the host-pathogen interaction. For example, these enzymes degrade host macromolecules to release nutrients for the pathogen (Brunner *et al.*, 2013). Host cell walls are enriched in carbon (in the form of carbohydrates) and nitrogen and provide the pathogen with a sustainable diet to develop to its full potential (Lowe *et al.*, 2015). Pathogens require carbon as a source of cellular energy and cell wall remodelling, and nitrogen for the synthesis of proteins and nucleic acids. Several CAZymes, such as pectinases (Babalola, 2010, Walter *et al.*, 2010), xylanases (Husaini *et al.*, 2018, Yang *et al.*, 2018, Yu *et al.*, 2018) and cellulases (Babalola, 2010, Quoc & Chau, 2017, Husaini *et al.*, 2018) are frequently involved in the host-pathogen interaction. Thus, the nutritional and survival needs of a pathogen are, therefore, dependant on a successful interaction between the host and a pathogen.

The diverse ecological functions associated with CAZymes allow fungi to adapt to a specific lifestyle (Zhao *et al.*, 2013b). Alternatively, their diverse nature allows a lifestyle, which can either be pathogenic or endophytic (Zhao *et al.*, 2013b, Bashyal *et al.*, 2017, Brown *et al.*, 2017, Sista Kameshwar & Qin, 2018, Yang *et al.*, 2019, Roy *et al.*, 2020). The contrasting lifestyles employed by fungi can be brought about by differing circumstances. During evolution, additional genes that were acquired from either external (*e.g.*, horizontal gene transfer) (Coleman *et al.*, 2009, Ma *et al.*, 2010, Stewart *et al.*, 2014, Glenn *et al.*, 2016, Van Wyk *et al.*, 2018) or internal (*e.g.*, mutations, duplications and translocations) (Coleman *et al.*, 2009, De Vos *et al.*, 2014, King *et al.*, 2015) processes possibly enabled various *Fusarium* species to contain a more extensive arsenal of genes. Differential expression of genes is also known to play a role in the ability of fungi to colonise different hosts, as observed for *F. graminearum* (Brown *et al.*, 2017).

The role of CAZymes has been investigated in different *Fusarium* species, such as *F. graminearum* (Zhao *et al.*, 2013b), *F. fujikuroi* (Bashyal *et al.*, 2017), *F. oxysporum*

(Chang *et al.*, 2016) and *Nectria haematococca* (*F. solani*) (Coleman *et al.*, 2009), amongst others. Identified CAZyme-encoding genes from these *Fusarium* species indicated that many of these genes are up-regulated during host-pathogen interactions, emphasising the importance of these enzymes during initial infection towards successful pathogen colonisation. Genomic analyses have shown that fungi are enriched for a variety of CAZyme families belonging to the glycoside hydrolases (GHs), carbohydrate esterases (CEs) and polysaccharide lyases (PLs) classes (Zhao *et al.*, 2013b, Kubicek *et al.*, 2014). For example, in *F. fujikuroi*, these enzymes promote the colonisation of root tissue and the degradation of plant biomass (Bashyal *et al.*, 2017). In *F. graminearum* these enzymes induce the degradation of plant cell walls and host cell death (Brown *et al.*, 2010, Brown *et al.*, 2012), whereas these enzymes promote successful host invasion in *F. oxysporum* (Roy *et al.*, 2020). These studies emphasise the role of CAZymes in overcoming the host's defence responses, occupying a niche, which will, in turn, promote the survival and reproduction of fungi.

CAZymes are considered CWDE (cell wall degrading enzymes), which contribute to the degradation of cellulose, hemicellulose and pectin. Fungi are also more likely to produce a bigger arsenal of CAZymes at later stages of host infection (Gibson *et al.*, 2011). The type and quantity of CAZymes produced are dependent on the host plant since the arsenal of these enzymes will differ for monocots and dicots (Cuomo *et al.*, 2007, King *et al.*, 2011). CWDEs can be divided into enzymes focussing on fungal cell wall degradation (FCWDEs) versus plant cell wall degradation (PCWDEs). The FCWDEs are more focussed on the fungal interaction between the environment and a host, whereas PCWDEs focuses on the degradation of plant cell wall components (Coutinho *et al.*, 2009, Battaglia *et al.*, 2011).

Gymnosperms and monocots have plant cell walls that differ significantly in their composition and structure and have evolved differently to protect them from pathogen attack (Malinovsky *et al.*, 2014, Houston *et al.*, 2016, Bacete *et al.*, 2018). Plant cell walls consist of a range of components, which include cellulose, non-cellulose and pectic polysaccharides, proteins, phenolic compounds and water (Rose *et al.*, 2004, Cosgrove, 2005, Houston *et al.*, 2016). Cell walls of grass monocots and gymnosperms differ in their amounts of non-cellulose polysaccharides and their associations/linkages (Carpita,

1996, Vogel, 2008). For example, primary cell walls in grasses are more abundant in hemicellulose, glucuronoarabinoxylan and glucan, and less abundant in xyloglucan, pectin and structural proteins (e.g., arabinogalactan). In contrast, primary cell walls in gymnosperms are highly abundant in mannan and glucomannan as the predominant hemicelluloses, along with high abundances of pectin and structural proteins (Vogel, 2008). Secondary cell walls differ from primary cell walls in terms of structure and composition, typically containing more cellulose and less pectin, with the predominant non-cellulose components in secondary cell walls of grasses being xylan and lignin. The major non-cellulose components of secondary cell walls in gymnosperms are lignin and hemicellulose, with galactomannan and glucomannan as the more prominent hemicelluloses in these cell walls, in addition to xylan (Aspinall, 1980, Capek *et al.*, 2002, Pauly & Keegstra, 2008). Due to these differences in primary and secondary cell walls, the expectation is that differing CAZymes would be necessitated by fungal pathogens attacking these dissimilar plant hosts.

To complement the study into host-specificity, investigations into CAZymes were undertaken. This study aimed to implement comparative genomics to illustrate the difference in the CAZyme repertoire between six *Fusarium* species, all belonging to the American clade of the *Fusarium fujikuroi* species complex (FFSC). These *Fusarium* species associate either with pine trees or members of Poaceae. The results will provide insight into the carbohydrate degradation and utilisation potential of these two groups of fungi. The correlation between the diversity and specificity of CAZyme families between the *Fusarium* species associated with gymnosperms and monocots will be established. Genes that differ between two CAZyme families will be considered at a phylogenetic level. This is the first study focussing on CAZymes encoded by these *Fusarium* species. The results from this study will contribute to the current understanding of how these enzymes play a role in host colonisation between gymnosperms and monocots.

MATERIALS AND METHODS

CAZyme identification and distribution across the relevant *Fusarium* species

A total of six *Fusarium* genomes were used in this study. Three of these genomes were from *Fusarium* species associated with *Pinus* species [*F. circinatum* FSP34 isolated from *P. radiata* (Gordon *et al.*, 1996, De Vos *et al.*, 2020, unpublished), *F. fracticaudum* CMW 25245 isolated from *P. maximinoi* (Herron *et al.*, 2015, Wingfield *et al.*, 2018a) and *F. pininemorale* CMW 25243 isolated from *P. tecunumanii* (Herron *et al.*, 2015, Wingfield *et al.*, 2017)]. The remaining three genomes were from *Fusarium* species associated with members of the Poaceae family [*F. konzum* NRRL 11616 isolated from prairie grass (Zeller *et al.*, 2003), *F. subglutinans* NRRL 22016 isolated from maize (Desjardins *et al.*, 2006) and *F. temperatum* CMW 40964 isolated from teosinte (Desjardins *et al.*, 2000, Wingfield *et al.*, 2015b)]. The sequencing of the *F. subglutinans* NRRL 22016 and *F. konzum* NRRL 11616 genomes were performed by the Illumina Mi-Seq at Peoria (Illinois) with one 500 bp paired-end library (unpublished data, kindly provided by Robert H. Proctor).

The protein sequences predicted for the genes of each of the six *Fusarium* genomes were analysed for CAZymes identified by the DataBase for automated Carbohydrate-active enzyme Annotation (dbCAN2, <http://bcb.unl.edu/dbCAN2/>) (Zhang *et al.*, 2018). The putative CAZyme genes were grouped into different classes, based on their catalytic activities (Ferreira Filho *et al.*, 2017). The Carbohydrate-Active enZymes (CAZy) database currently covers five CAZyme classes, each containing multiple families (*i.e.*, GH43, GH109) that are classified based on amino acid sequences and structural similarities (Lombard *et al.*, 2014). These classes include glycoside hydrolases (GHs) that hydrolyse glycosidic bonds and degrade plant biomass (Berlemont & Martiny, 2016), glycosyltransferases (GTs) that form glycosidic bonds, resulting in the biosynthesis of oligosaccharides, polysaccharides and glycoconjugates (Breton *et al.*, 2005), polysaccharide lyases (PLs) that cleave uronic acid-containing polysaccharide chains into unsaturated polysaccharides (Lombard *et al.*, 2010), carbohydrate esterases (CEs) involved in the catalysation of de-*O* or de-*N*-acylation to remove esters of substituted saccharides (Cantarel *et al.*, 2009) and the auxiliary activities (AAs) that depolymerise

non-carbohydrate structural components (Rytioja *et al.*, 2014). Lastly, the carbohydrate-binding modules (CBMs) are not considered an official enzyme class but consist of polypeptides that adhere to carbohydrates (Boraston *et al.*, 2004).

The CAZyme-encoding genes will be compared with the unique genes identified. This comparison will allow for the identification of any unique genes encoding for CAZymes which aid in the host-specificity of these *Fusarium* species. Furthermore, the chromosomal location of the unique CAZyme-encoding genes will also be investigated.

Hierarchical clustering was performed using ClustVis to visualise the clustering of multi-variate data and to visualise groups of species with similar enzymatic profiles (Metsalu & Vilo, 2015). The Euclidean distance was used as the distance metric and average lineage clustering as the linkage method for the columns. In contrast, the rows were correlated, and complete linkage was applied. A heatmap was also created using ClustVis, where CAZyme families with the same number of genes in all species were automatically removed.

Availability of EST data

Expressed Sequence Tag (EST) data for *F. circinatum* FSP34 genes were implemented from previous studies. These include genes expressed during carbon and nitrogen starvation (Wingfield *et al.*, 2012) and genes expressed on half-strength potato dextrose broth at room temperature (Van Wyk *et al.*, 2019). These results were compared with the CAZyme genes in *F. circinatum* to identify which genes are transcribed. All data were analysed in CLC Genomics Workbench v. 11. Genes with RPKM (Reads Per Kilobase per Million mapped reads) values ≥ 0.2 and at least three unique gene reads mapping to it were considered transcribed (Wickramasinghe *et al.*, 2012).

CAZyme family-based phylogeny of selected genes

CAZyme families with constant (similar for all species in a group) and differing (different between the two groups) gene counts were selected and studied on a phylogenetic level. To infer phylogenies, all sequences were aligned with MAFFT (Multiple sequence

Alignment based on Fast Fourier Transform) v. 7.0 with default settings (Kato *et al.*, 2017). MEGA v. 7.0.26 (Kumar *et al.*, 2016) was used to draw trees using the Maximum Likelihood branch support using bootstrap analyses based on 100 pseudoreplicates.

RESULTS

CAZyme identification and characterisation

Many putative CAZymes with similarity towards those previously characterised from the five main CAZyme classes, along with the carbohydrate-binding modules, have been identified in the six *Fusarium* genomes (Figure 1, Table 1, Supplemental Table 1-6). These included genes from 302-342 GHs, 107-115 GTs, 112-134 CEs, 113-121 AAs, 23-26 PLs families and 17-22 CBMs. The percentage of genes encoding for CAZymes in each genome was relatively similar, ranging from 4.40% of genes in *F. circinatum* compared to the 4.63% of genes in *F. fructicaudum* (Table 2).

Amongst all CAZyme classes, the GHs class was the most prevalent in all six genomes, followed by the classes CEs, AAs, GTs, PLs and CBMs (Figure 1). GH was also the class that had the most variation amongst the six *Fusarium* species (Table 2). All CAZyme families shared similar profiles amongst the six *Fusarium* species (Table 1). *Fusarium fructicaudum* was the most prevalent genome in the GHs class, having 19 GH-encoding genes more than the next prevalent genome, *F. konzum* (Figure 1). This increase extended over numerous GHs families, so it was not due to an increase in a specific family. *Fusarium fructicaudum* was also the most prevalent in the GTs and PLs classes, which accounts for the larger proportion of its genome encoding for CAZymes (Table 2), compared to the other *Fusarium* species. Conversely, the increase in GTs within *F. fructicaudum* was in one family (GT1) compared to the other *Fusarium* species. Similarly, the increase in PLs was due to the presence of one PL11_2 not found in any of the other *Fusarium* species.

CAZyme genes potentially involved in fungal cell wall degradation and plant cell wall degradation have also been identified (Zhao *et al.*, 2013b, Kubicek *et al.*, 2014). Potential

FCWDEs (between 63-70 total genes in each genome) involved in the degradation of β -glycan includes the GH16, GH17, GH55, GH71, GH72 and GH81 families. Families GH18, GH20, GH75 and GH76 involve the degradation of chitin. The genes in families GH16 and GH18 were much more abundant compared to the other families involved in FCWDE. The PCWDEs (between 166-184 total genes in each genome) included CAZyme families playing a role in pectin degradation (*e.g.*, rhamnogalacturonan exolyase, PL26; β -galactosidases, GH2; galacturonases, GH28; endo-arabinases, GH43; α -arabinofuranosidases, GH51; endo- β -1,4-galactanases, GH53; α -L-rhamnosidases, GH78; exo- α -L-1,5-arabinanases, GH93 and pectin methylesterase, CE8), cellulose degradation (*e.g.*, GH5, GH12 and GH45, β -1,4-endoglucanases; GH6 and GH7, endoglucanases/cellobiohydrolases; GH1 and GH3, β -glucosidases) and hemicellulose degradation (*e.g.*, GH1 and GH3, β -1,4-glucosidases; GH2, β -1,4-mannosidases; GH10 and GH11, β -1,4-endoxylanases; GH3 and GH43, β -1,4-xylosidases; GH5, β -1,4-endomannanases; CE3, CE4 and CE5, acetylxylylan esterases; GH3, GH10, GH43 and GH51, α -L-arabinofuranosidases and GH74, β -1,4-endoglucanases). Genes from families AA3 (cellobiose dehydrogenase, glucose 1-oxidase, aryl alcohol oxidase, alcohol oxidase and pyranose oxidase) and AA7 (glucooligosaccharide oxidase) are involved in the degradation of lignin. Genes within families AA7 and GH3 were much more abundant compared to genes from families CE3, CE4, CE5, GH2 and GH78 which was less abundant but still more abundant compared to the rest of the PCWDEs.

CAZyme families that are present in all ascomycetes were also present in this study (Zhao *et al.*, 2013). These families include GH2, GH72, GH76, GH5, GH79, CE3 and CE5. The number of genes within these families displayed similar patterns to other CAZymes, with either the same number for each genome or slight variation amongst the genomes.

Distribution of CAZymes across the relevant *Fusarium* species

Putative CAZyme-encoding genes belonging to specific classes were found to be dispersed amongst chromosomes and were not necessarily located on the same chromosome (Supplemental Table 1-3, 6). Graphical distribution of genes in some CAZyme families is given in Figure 2A-F. The abundance of the different enzymes within a family was represented by a colour scale from blue to red. The darker the blue,

the more depleted the enzymes are in a specific family, while a darker red represented an enrichment of enzymes in a specific family. *Fusarium circinatum* was generally depleted in AAs and CBMs compared to the rest of the genomes (Figure 2A and 2B). There was an enrichment in AA1_2 and AA3 for *F. subglutinans* and *F. temperatum*, while *F. subglutinans* was enriched in AA1 and *F. konzum* enriched in AA1_3, AA3_2, AA4 and AA7, both species as outliers in comparison to the other Poaceae-associates. An enrichment for CBM24 was observed in *F. subglutinans* and *F. konzum*, while *F. pininemorale*, *F. subglutinans* and *F. temperatum* were enriched for CBM32, CBM1 and CBM67, respectively, as outliers in comparison to the remaining pine- and Poaceae-associates. *Fusarium temperatum* was generally depleted in CEs, except for CE1, which was enriched in the genomes of the Poaceae-associated *Fusarium* species (Figure 2C). The two pine-associates (*F. fracticaudum* and *F. pininemorale*) were generally more enriched in CE3, CE4, CE5 and CE10. *Fusarium konzum* and *F. subglutinans* were enriched in CE5 as outliers towards *F. temperatum*. The genome of *F. fracticaudum* was generally more enriched in PLs compared to the rest of the genomes (Figure 2D). Figure 2E indicated the severe depletion of GH5_22, GH10, GH12, GH16, GH28, GH29, GH43_29, GH43_36, GH54, GH67, GH78, GH114 and GH142, which clustered in *F. circinatum*. These genes are likely involved in PCWD (GH10, GH12, GH28, GH29, GH43_29, GH43_36, GH54, GH67, GH78, GH114 and GH142) and FCWD (GH5_22 and GH16). In *F. fracticaudum*, GH35, GH39, GH43_1, GH43_14, GH106, GH127 and GH145 was enriched and clustered, where these genes participate in PCWD. The Poaceae-associated *Fusarium* species were depleted in GH3, GH5, GH20, GH36, GH43_13, GH88 and GH106, compared to the remaining genomes. A clear difference in the CAZyme repertoire existed in GH43 and GH109 between the pine- and Poaceae-associated *Fusarium* species. Furthermore, compared to the rest of the genomes, a depletion of GT8, GT17 and GT64 was observed in *F. circinatum*, *F. temperatum* and *F. fracticaudum*, respectively (Figure 2F). In contrast, the latter genome was highly enriched in GT1 compared to the remaining genomes.

Availability of EST data

Expression data for the genes of the pine pathogen, *F. circinatum*, were obtained from previous studies (Wingfield *et al.*, 2012, Van Wyk *et al.*, 2019) (Supplemental Table 7).

The combined results indicated that 647 of the 665 putative CAZyme genes (97.29%) were transcribed under the described conditions. Overall, the results indicated that a vast majority the unique genes from the pine-associated *Fusarium* species are likely actively transcribed.

CAZyme family-based phylogeny of selected genes

The results from Table 1 and Figure 2E highlighted two CAZyme families (GH43 and GH109) that differ in genic repertoire between the two host groups. The putative CAZyme-encoding genes from this study correlated with three of the unique genes. Of the 72 unique genes from the pine-associated *Fusarium* species, two genes have been identified to encode for the CE10 and GH43 CAZyme families. The single gene (FCIR_3_gene_4.30, FFRAC_3_gene_4.125 and FPIN_3_gene_4.79) within the CE10 family were located on chromosome 3 in all three *Fusarium* species associated with pine. Similarly, the gene (FCIR_6_gene_2.142, FFRAC_6_gene_2.15 and FPIN_6_gene_2.27) within the GH43 family were located on chromosome 6. The only CAZyme family identified in the 47 unique genes from the Poaceae-associated *Fusarium* species was a CE3 which was located on chromosome 1 of *F. temperatum* (FTEMP_1_gene_3.65). The location of this gene in *F. konzum* and *F. subglutinans* could not be traced back to a specific chromosome due to the fragmented condition of these genomes.

The potential phylogenetic origin of these genes was demonstrated (Figure 3 and 4). A classification system for ancestral origins was constructed. The ancestral origin for the GH43 gene falls in group 3 of this system, meaning that this gene has likely originated from the *Fusarium oxysporum* species complex (FOSC). In contrast, the GH109 gene falls into group 4 of the classification system, indicating that this gene likely originated from the broader FFSC and FOSC, but was only retained in specific lineages (Figure 3 and 4).

DISCUSSION

This study comprehensively investigates the CAZyme repertoire of *Fusarium* species associated with *Pinus* species and members of Poaceae. CAZymes analyses found remarkable similarities between all the species investigated, with similar proportions of the genome encoded by CAZymes. CAZymes were found to be distributed across all chromosomes and were generally not localised to specific chromosomes. Furthermore, only two CAZyme families (GH43 and GH109) showed differences between the *Fusarium* species associated with the two different host groups. Phylogenetic analyses revealed that these genes were likely as a result of gene loss and/or gain.

The results of this study demonstrated that these species encode for more CAZymes compared to other fungi and *Fusarium* species. The six *Fusarium* species consist of 683-754 CAZyme-encoding genes, which differed from other plant pathogens, such as *Sclerotinia sclerotiorum* (415 genes) and *Botrytis cinerea* (441 genes), as well as from non-pathogenic fungi, such as *Neurospora crassa* (322 genes) and *Aspergillus niger* (439 genes) (Coutinho *et al.*, 2009, Amselem *et al.*, 2011). *Fusarium* species, such as *F. graminearum* (biotroph) and *F. fujikuroi* (necrotroph) contain 109 and 356 CAZyme-encoding genes, respectively (Bashyal *et al.*, 2017, Brown *et al.*, 2017). The number of CAZymes per class might differ between different pathogens depending on the host and pathogen lifestyle (Zhao *et al.*, 2013b, Kubicek *et al.*, 2014). *Fusarium circinatum* is considered a hemibiotroph (Martin-Rodrigues *et al.*, 2013, Swett *et al.*, 2016, Swett & Gordon, 2017, Swett *et al.*, 2018), *F. subglutinans* and *F. temperatum* are necrotrophs (Desjardins *et al.*, 2000, Scauflaire *et al.*, 2011) and *F. konzum* is an endophyte on prairie grass (Zeller *et al.*, 2003). The pathogenic status linked to *F. fracticaudum* and *F. pininemorale* is not yet known. Further research can improve current knowledge of how different lifestyles affect CAZyme gene expression, especially for those pathogens colonising one host as an endophyte and another as a pathogen.

CAZymes vary slightly in distribution and abundance amongst the *Fusarium* species of this study. For example, it was observed that *F. subglutinans* (AA1), *F. pininemorale* (CBM32 and GH43_28) and *F. fracticaudum* (PL11_2) contained a single gene in the specific families and was highly enriched in the respective genomes but these genes were

absent in all the other genomes from this study. The presence of laccase (p-diphenol oxygen oxidoreductase) (AA1) is expected since this enzyme catalyses the oxidative gelation of feruloylated arabinoxylans (hemicellulosic polysaccharides covalently linked to each other and lignin) upon the dimerization of their ferulic esters (Rosell & Marco, 2008). Arabinoxylans are present in the cell walls of monocots, hence the need for this specific enzyme (Hatfield *et al.*, 2017). CBM32 binds to galactose and lactose as a form of nutrient acquisition for the pathogen (*e.g.*, *F. pininemorale*) and can also bind to polygalacturonic acid for the breakdown of plant tissues into simpler molecules which may also serve as a source of nutrients to a pathogen (Newstead *et al.*, 2005, Abbott *et al.*, 2007). In addition, β -xylosidase (GH43_28) participates in the biodegradation of xylan, which is also the main hemicellulose component in the secondary cell walls of gymnosperms (*F. pininemorale*) (Aspinall, 1980, Capek *et al.*, 2002, Pauly & Keegstra, 2008). Rhamnogalacturonan endolyase (PL11_2) degrades the rhamnogalacturonan from plant cell walls to releases oligosaccharides, such as sucrose, lactose and maltose, which may act as an additional nutrient source for *F. fracticaudum* (Ochiai *et al.*, 2006). The absence of the genes mentioned in this paragraph possibly emphasises the diverse ecological needs and adaptation of different fungal species.

Genes from CBM38, GH43_29 and GH142 were absent in *F. circinatum* while being present in the remaining genomes. The CBM38 enzymes bind inulin that promotes the storage of carbohydrates (Lee *et al.*, 2004). Inulin reduces the energy density of food and is used to enrich food with dietary fibre or to replace sugar and fat (Lee *et al.*, 2004). The absence of this enzyme in *F. circinatum* suggests that this pathogen can survive in the absence of this enzyme and uses sugars other than inulin as sources of energy. The enzyme β -xylosidase, encoded by members of the GH43 family, are important in fungi and bacteria for providing thermostability, increased specificity and more tolerance to xylose (Zhao *et al.*, 2013a, Mustafa *et al.*, 2016). *Fusarium circinatum* does not necessarily require thermostability as much as increased specificity and tolerance to xylose. The absence of β -xylosidase (GH43_29) from *F. circinatum* suggests that this pathogen uses other β -xylosidases from different CAZyme families to degrade xylan and overcome the pine host's defence response (secretion of xylose). Furthermore, β -L-arabinofuranosidase (GH142) degrade arabinoxylans and arabinogalactans, which are present in the cell walls of monocots (Hatfield *et al.*, 2017). The absence of GH142

probably would not significantly affect pathogens associated with gymnosperms. The minor gains and losses of CBM38, GH43_29 and GH142 genes do not seem to be involved with host-specificity, as their gain/loss are not uniformly distributed.

Many putative GH families were identified from this study and are predicted to have activities in cell wall degradation (*i.e.*, PCWDE and FCWDE) (Van den Brink & De Vries, 2011, Kubicek *et al.*, 2014). This could potentially be due to the multifunctionality of these enzymes, as well as the variation in function and substrate specificity of enzymes in the GHs class (Zhao *et al.*, 2013b, Kubicek *et al.*, 2014). Several GH families from this study have been identified from previous literature to be involved in host cell wall modification, plant biomass degradation and fungal virulence (McDonough *et al.*, 2004, Kubicek *et al.*, 2014, Bashyal *et al.*, 2017, Brown *et al.*, 2017).

A large group of putative PCWDEs, potentially targeting pectin, have been identified in the six *Fusarium* genomes. Polygalacturonase (GH28) synthesised by fungi are considered important for pectin degradation and host infection. In this study, all six genomes contained multiple copies of these genes (8-10). No correlation could be made with regards to differences in these genes between the *Fusarium* species associated with the two host groups. Some CAZyme families involved in pectin degradation, such as PL1, PL3, PL4 and GH13, were absent from the genomes investigated. However, there are subfamilies in these categories and the enzymes in these subfamilies have activities which have the potential to replace these missing enzyme families (Zhao *et al.*, 2013b, Kubicek *et al.*, 2014).

Some putative PCWDEs have been associated with the degradation of celluloses and were mainly classified into three groups (*i.e.*, β -1,4-endoglucanases, exoglucanases/cellobiohydrolases and β -glucosidases). These enzymes are all important for the internal/external cleavage of a cellulose chain and to convert cellobiose to glucose monomers, respectively (Vlasenko *et al.*, 2010, Van den Brink & De Vries, 2011). The CAZyme families involved in cellulose degradation consist of 1-26 copies of these genes, depending on the family. Differences in this study, in comparison to other studies (Amselem *et al.*, 2011, Gibson *et al.*, 2011, Van den Brink & De Vries, 2011, Kubicek *et al.*, 2014), emphasised the differences amongst the species in the genes involved in the

enzymatic activity of cellulose degradation. This emphasises that pathogens adopt a lifestyle and synthesise proteins promoting this lifestyle.

The *Fusarium* species from this study had some CAZyme profiles potentially involved in the degradation of hemicellulose. After cellulose, hemicellulose is the second most abundant polysaccharide in plants. Hemicellulose consists of a variety of polysaccharides, including xylan, xyloglucan, glucogalactomannan and galactan (Amselem *et al.*, 2011, Kubicek *et al.*, 2014). The *Fusarium* species from this study had similar β -1,4-endoxylanases (GH10 and GH11) profiles compared to other pathogens (*e.g.*, *Sclerotinia sclerotiorum*, *Verticillium dahlia*, *F. verticillioides* and *F. graminearum*) (Kubicek *et al.*, 2014), which suggest a role for these enzymes during host colonisation for a wide range of fungi.

The putative CAZymes involved in the degradation of fungal cell walls are predicted to participate in various metabolic pathways and processes to modify fungal cell walls (Zhao *et al.*, 2013b, Kubicek *et al.*, 2014). These enzymes have diverse functions, such as providing protection to cells during host infection and inferring pathogenicity and virulence. The β -glucanases from GH16 and chitinases from GH18 were the most abundant amongst the other identified FCWDEs. This correlates with literature since fungal cell walls are enriched in polysaccharides such as glucan and chitin (Karlsson & Stenlid, 2009, Mouyna *et al.*, 2013, Kang *et al.*, 2019). FCWDEs play a crucial part in host-pathogen interactions since components of the fungal cell wall trigger host defence responses upon recognition by host immune cells (Geoghegan *et al.*, 2017).

In the current study, investigations focussed into the two classes of CAZymes (GH43 and GH109) that varied between the two groups of investigated *Fusarium* genomes. The GH43 class is prevalent in most plant pathogens and plays a crucial role in biomass degradation (Kohler *et al.*, 2015). Compared to other pathogens, the *Fusarium* species from this study contain very few genes (1-2). For example, *N. haematococca*, *S. sclerotiorum*, *V. dahlia*, *F. graminearum* and *F. verticillioides* contain 32, 21, 22, 19 and 22 genes, respectively (Kubicek *et al.*, 2014). Pathogens associated with gymnosperms, such as *Dichomitus squalens* and *Heterobasidion annosum* contain less GH43 genes (7 and 5 genes, respectively), which is still more than what was found from this study

(Kubicek *et al.*, 2014). The GH43 family consists of different enzymatic activities, and some are known to play roles in host-pathogen interactions (Knoch *et al.*, 2014). The second CAZyme class, GH109, plays a role in the hydrolysis of the terminal α -*O*-glycoside-bonded residues of *N*-acetylgalactosamine (NAG) from the non-reducing ends of various complex carbohydrates and glycoconjugates (Bakunina *et al.*, 2013). This enzyme has some degree of specificity towards substrates, such as glycolipids, glycopeptides, and glycoproteins containing the structures with the *O*-glycoside core, and also oligosaccharides. These enzymes promote the integrity of cell structures, energy storage, pathogen defence, viral penetration, cellular signalling, fertilization, development of carcinomas, inflammatory events and lysosomal storage diseases, which all contribute to the physiological and pathological processes of organisms (Intra *et al.*, 2008).

The potential ancestral origins of the GH43 and GH109 genes identified in this study differed markedly. The evolution of these genes is likely as a result of gene gains and/or losses. The pine-associated *Fusarium* genes belonging to the GH43 family likely lost genes with ancestral origins in the FFSC or acquired genes with ancestral origins in the FOOSC, over time. If these genes were lost, it could be suggested that these genes were not selected for anymore, however, if these genes were gained, then these genes were possibly selected for. If the GH43 genes were gained, then it emphasises the need of the pathogen to synthesise the enzymes encoded by these genes in order to adopt a lifestyle. In contrast, the GH109 genes still share origins in the FFSC and FOOSC (Figure 3 and 4). It remains unsure whether these origins were as a result of gene gains. These two genes also probably co-evolved over time. Genes that co-evolved and selected for can generate biological diversity, as observed for the pine- and Poaceae-associated *Fusarium* species containing these GH43 and GH109 genes.

CONCLUSIONS

Comparative analysis of the six *Fusarium* species identified the genes encoding for gene products involved in plant cell wall alteration, penetration and degradation of and plant

biomass, which in turn, may significantly impact fungal virulence. CAZymes utilise plant material as a source of nutrition to a pathogen. Differences in the number of genes, as well as the presence/absence of particular CAZyme families, are possibly linked to the lifestyle to be maintained by a pathogen.

In this study, the *in silico* CAZyme analyses alone was not enough to determine the role these enzymes play in host-specificity. Downstream applications can be implemented in this study, such as the integration of RNA sequencing in order to understand the expression of genes at different stages of infection, but also to determine gene regulation and the prediction of biological processes encoded by the respective genes.

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TABLES

Table 1. CAZyme identification and gene count.

Table 2. Statistics for the genes encoding for CAZymes.

Table 1. CAZyme gene identification and count. Genes highlighted in yellow represent FCWDEs, green represent PCWDEs. Red blocks identify differing CAZyme repertoire between the species from each host group.

	FCIR ¹	FFRAC ¹	FPIN ¹	FKON ¹	FSUB ¹	FTEMP ¹		FCIR ¹	FFRAC ¹	FPIN ¹	FKON ¹	FSUB ¹	FTEMP ¹		FCIR ¹	FFRAC ¹	FPIN ¹	FKON ¹	FSUB ¹	FTEMP ¹
AUXILIARY ACTIVITIES (AAs)																				
AA1	0	0	0	0	1	0	AA2	4	4	4	4	4	4	AA5	1	1	1	1	1	1
AA1_2	3	3	4	3	4	4	AA3	8	10	10	9	11	11	AA5_2	4	4	4	4	4	4
AA1_3	7	7	7	8	7	6	AA3_1	5	5	5	5	5	5	AA6	1	1	1	1	1	1
AA11	4	4	4	4	4	4	AA3_2	12	13	13	13	11	12	AA7	41	41	42	43	40	38
AA12	2	3	3	3	3	3	AA3_3	2	2	2	2	2	2	AA9	13	13	13	13	13	13
AA13	1	1	1	1	1	1	AA3_4	1	1	1	1	1	1							
AA14	1	1	1	1	1	1	AA4	3	5	4	5	4	4							
CARBOHYDRATE-BINDING MODULES (CBMs)																				
CBM1	1	0	1	1	2	1	CBM32	0	0	1	0	0	0	CBM43	1	1	1	1	1	1
CBM20	2	2	2	2	2	2	CBM35	1	1	1	1	1	1	CBM6	1	1	1	1	1	1
CBM21	1	1	1	1	1	1	CBM38	0	1	1	1	1	1	CBM63	2	2	2	2	2	2
CBM24	1	1	1	2	2	1	CBM42	2	3	3	3	3	3	CBM67	5	7	5	6	6	7
CARBOHYDRATE ESTERASES (CEs)																				
CE1	4	5	5	6	6	6	CE2	1	1	1	1	1	1	CE8	4	4	4	4	4	4
CE10	73	80	74	81	70	64	CE3	8	11	11	10	10	8	CE9	1	1	1	1	1	1
CE12	4	4	4	4	4	4	CE4	11	10	11	10	10	9							
CE16	6	6	6	6	6	6	CE5	9	10	11	11	11	9							
GLYCOSIDE HYDROLASES (GHs)																				
GH1	5	6	4	5	6	5	GH28	8	10	10	10	9	9	GH5_16	1	1	1	1	1	1
GH10	3	5	5	5	5	5	GH29	2	3	3	3	3	3	GH5_22	1	2	2	2	2	2
GH105	4	4	4	4	4	4	GH3	25	26	25	23	22	24	GH5_23	2	2	2	2	2	2
GH106	1	2	1	1	0	1	GH30_7	3	3	3	3	3	3	GH5_24	1	1	1	1	1	1
GH109	2	2	2	1	1	1	GH31	8	9	8	10	9	9	GH5_27	1	1	1	1	1	1
GH11	3	3	3	3	3	3	GH32	8	7	8	7	8	8	GH5_31	2	2	2	2	2	2

Table 1 (continued). CAZyme gene identification and count. Genes highlighted in yellow represent FCWDES, green represent PCWDES. Red blocks identify differing CAZyme repertoire between the species from each host group.

	FCIR ¹	FFRAC ¹	FPIN ¹	FKON ¹	FSUB ¹	FTEMP ¹		FCIR ¹	FFRAC ¹	FPIN ¹	FKON ¹	FSUB ¹	FTEMP ¹		FCIR ¹	FFRAC ¹	FPIN ¹	FKON ¹	FSUB ¹	FTEMP ¹	
GLYCOSIDE HYDROLASES (GHs)																					
GH115	2	2	2	2	2	2	GH35	5	8	3	4	3	3	GH5_7	2	2	2	2	2	2	
GH12	4	6	5	5	5	5	GH36	3	3	3	3	2	2	GH5_9	1	1	1	1	1	1	
GH125	3	3	3	3	3	3	GH37	2	2	2	2	2	2	GH51	2	2	2	2	2	3	
GH127	2	4	1	1	1	1	GH38	1	1	1	1	1	1	GH53	1	1	1	1	1	1	
GH128	4	4	4	5	4	4	GH39	0	3	1	1	0	0	GH54	2	3	3	3	3	3	
GH13_1	1	1	1	1	1	1	GH43	2	2	2	1	1	1	GH55	2	2	3	3	3	2	
GH13_25	1	1	1	1	1	1	GH43_1	2	3	2	2	2	2	GH6	1	1	1	1	1	1	
GH13_40	8	7	7	9	7	7	GH43_11	2	2	2	2	2	2	GH62	1	1	1	1	1	1	
GH13_8	1	1	1	1	1	1	GH43_13	2	2	2	1	2	2	GH63	1	1	1	1	1	1	
GH131	1	1	1	1	1	1	GH43_14	2	4	2	2	2	2	GH64	2	2	2	2	2	2	
GH132	2	2	2	2	2	2	GH43_21	1	1	1	1	1	1	GH65	1	1	1	1	1	1	
GH134	1	1	1	1	1	1	GH43_22	3	4	4	4	4	3	GH67	2	3	3	3	3	3	
GH139	1	1	1	1	1	1	GH43_24	3	3	3	3	3	3	GH7	3	3	3	3	3	3	
GH141	1	2	1	2	2	2	GH43_26	2	2	2	2	2	2	GH71	2	2	2	2	2	2	
GH142	0	1	1	1	1	1	GH43_28	0	0	1	0	0	0	GH72	3	3	3	3	3	3	
GH145	2	3	2	2	2	2	GH43_29	0	1	1	1	1	1	GH74	1	1	1	1	1	1	
GH146	1	1	1	1	1	1	GH43_30	3	3	3	3	3	3	GH75	2	2	2	2	2	2	
GH15	3	3	3	3	3	3	GH43_33	1	1	1	1	1	1	GH76	9	9	9	9	9	9	
GH152	1	1	1	1	1	1	GH43_36	1	4	3	3	3	3	GH78	9	11	10	11	10	10	
GH16	23	28	25	25	26	26	GH43_5	1	1	1	1	1	1	GH79	1	2	2	2	1	1	
GH17	5	4	4	4	5	4	GH45	1	1	1	1	1	1	GH81	3	2	3	3	3	3	
GH18	17	16	19	20	22	18	GH47	10	10	10	10	10	10	GH88	3	3	3	2	3	3	
GH2	10	12	11	11	11	9	GH49	1	1	1	1	1	1	GH93	6	6	6	6	6	5	
GH20	3	3	3	3	2	3	GH5	1	1	1	1	0	0	GH95	2	3	2	2	3	2	

Table 1 (continued). CAZyme gene identification and count. Genes highlighted in yellow represent FCWDES, green represent PCWDES. Red blocks identify differing CAZyme repertoire between the species from each host group.

	FCIR ¹	FFRAC ¹	FPIN ¹	FKON ¹	FSUB ¹	FTEMP ¹		FCIR ¹	FFRAC ¹	FPIN ¹	FKON ¹	FSUB ¹	FTEMP ¹		FCIR ¹	FFRAC ¹	FPIN ¹	FKON ¹	FSUB ¹	FTEMP ¹	
GH24	1	1	1	1	1	1	GH5_12	2	2	2	2	2	2								
GH27	1	1	2	1	1	2	GH5_15	3	3	3	3	3	3								
GLYCOSYLTRANSFERASES (GTs)																					
GT1	11	18	13	14	13	13	GT3	1	1	1	1	1	1	GT58	1	1	1	1	1	1	1
GT15	5	5	5	5	5	5	GT32	5	5	5	5	5	5	GT59	1	1	1	1	1	1	1
GT17	2	2	2	2	2	1	GT33	1	1	1	1	1	1	GT62	3	3	3	3	3	3	3
GT2 Chitin synth_1	5	5	5	5	5	5	GT34	3	3	3	3	3	3	GT64	3	2	3	3	3	3	3
GT2 Chitin synth_2	13	12	13	13	13	12	GT35	1	1	1	1	1	1	GT66	1	1	1	1	1	1	1
GT2 Glyco tranf_2_3	8	9	8	9	9	8	GT39	3	3	3	3	3	3	GT69	3	3	3	3	3	3	3
GT2 Glyco transf_2	2	2	2	2	2	2	GT4	5	5	5	5	5	5	GT71	3	3	3	3	3	3	3
GT20	3	3	3	3	3	3	GT48	1	1	1	1	1	1	GT76	1	1	1	1	1	1	1
GT21	1	1	1	1	1	1	GT50	1	1	1	1	1	1	GT8	5	7	7	7	7	7	7
GT22	4	4	4	4	4	4	GT54	1	1	1	1	1	1	GT90	7	7	6	6	7	7	7
GT24	1	1	1	1	1	1	GT57	2	2	2	2	2	2								
POLYSACCHARIDE LYASES (PLs)																					
PL1_10	1	1	1	1	1	1	PL1_9	1	1	1	1	1	1	PL4_1	1	1	1	1	1	1	1
PL1_2	1	1	1	1	1	0	PL11_2	0	1	0	0	0	0	PL4_3	2	2	2	2	2	2	2
PL1_4	5	7	6	6	6	6	PL26	1	1	1	1	1	1	PL9_3	2	2	2	2	2	2	2
PL1_7	3	3	3	3	3	3	PL3_2	6	6	6	6	6	6								

¹FCIR: *Fusarium circinatum*; FFRAC: *Fusarium fracticaudum*; FPIN: *Fusarium pininemorale*; FKON: *F. konzum*; FSUB: *Fusarium subglutinans*; FTEMP: *Fusarium temperatum*.

Table 2. Statistics for the genes encoding for CAZymes.

<i>Fusarium</i> species	AA ¹ , %	CBM ² , %	CE ³ , %	GH ⁴ , %	GT ⁵ , %	PL ⁶ , %	Total, %
<i>F. circinatum</i> FSP34 (CMW 51752)	0.73	0.11	0.78	1.95	0.69	0.15	4.40
<i>F. fracticaudum</i> CMW 25245	0.73	0.12	0.81	2.10	0.71	0.16	4.63
<i>F. pininemorale</i> CMW 25243	0.76	0.13	0.81	2.03	0.69	0.15	4.56
<i>F. konzum</i> NRRL 11616	0.74	0.13	0.82	1.98	0.69	0.15	4.51
<i>F. subglutinans</i> NRRL 22016	0.75	0.14	0.78	2.02	0.71	0.15	4.55
<i>F. temperatum</i> CMW 40964	0.74	0.14	0.72	2.01	0.70	0.15	4.46

¹AA: Auxiliary activities.

²CBM: Carbohydrate-binding modules.

³CE: Carbohydrate esterases.

⁴GH: Glycoside hydrolases.

⁵GT: Glycosyltransferases.

⁶PL: Polysaccharide lyases.

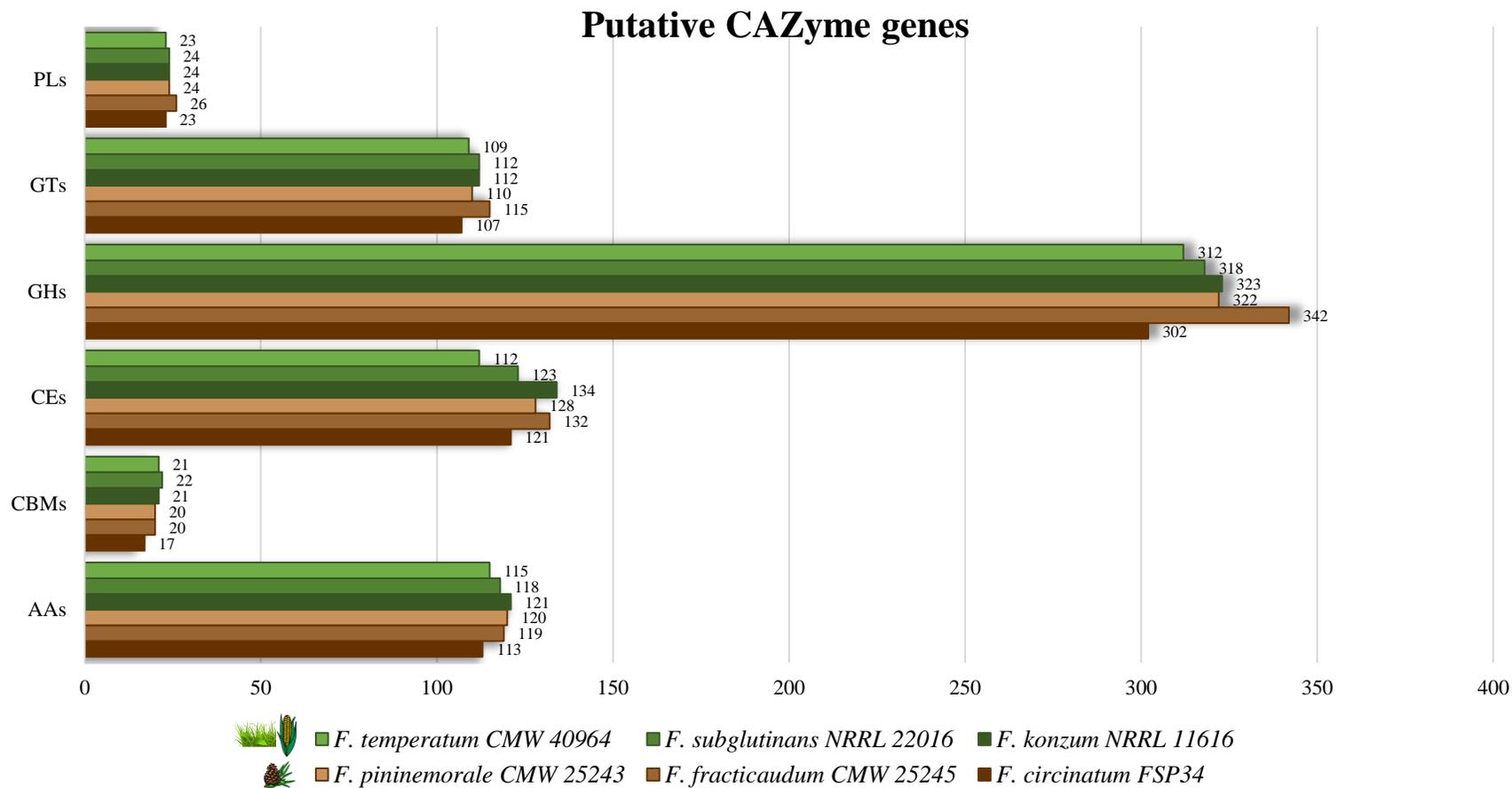
FIGURES

Figure 1. Identification of the number of putative CAZyme-encoding genes within each CAZyme class for all six *Fusarium* species.

Figure 2. Heatmaps generated by ClustVis to demonstrate gene distribution amongst the six *Fusarium* species.

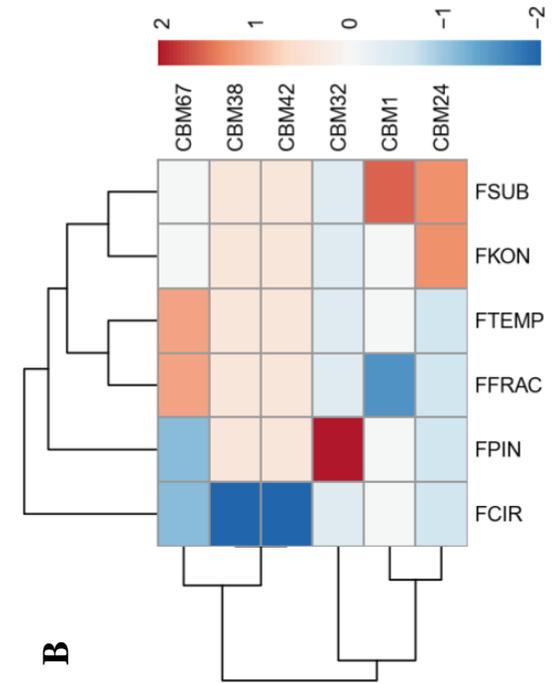
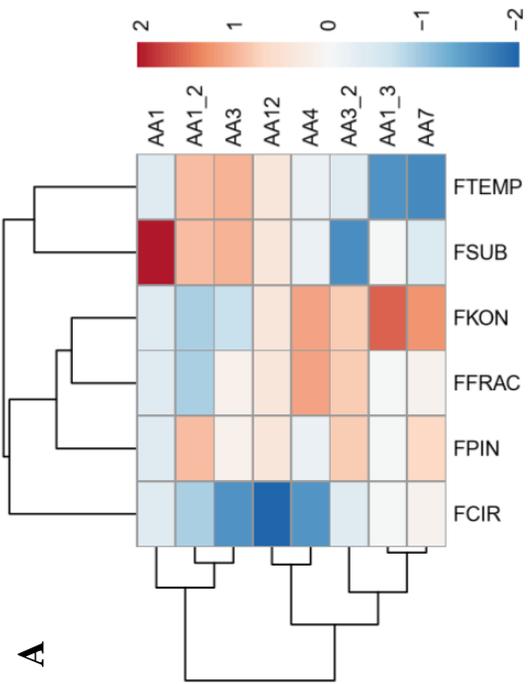
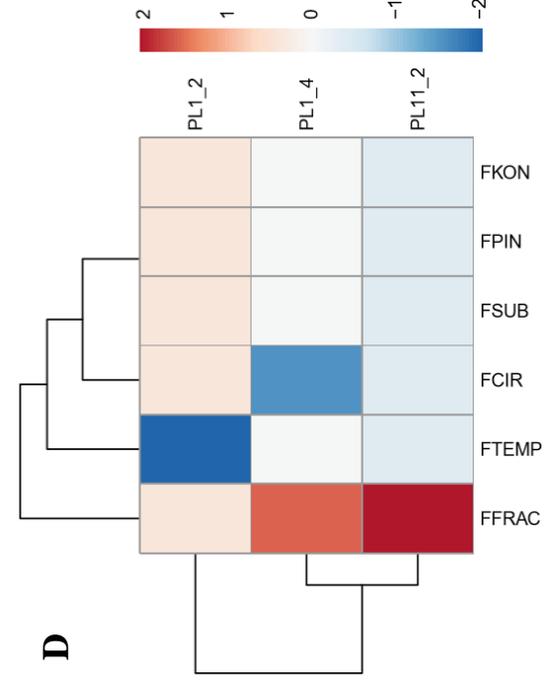
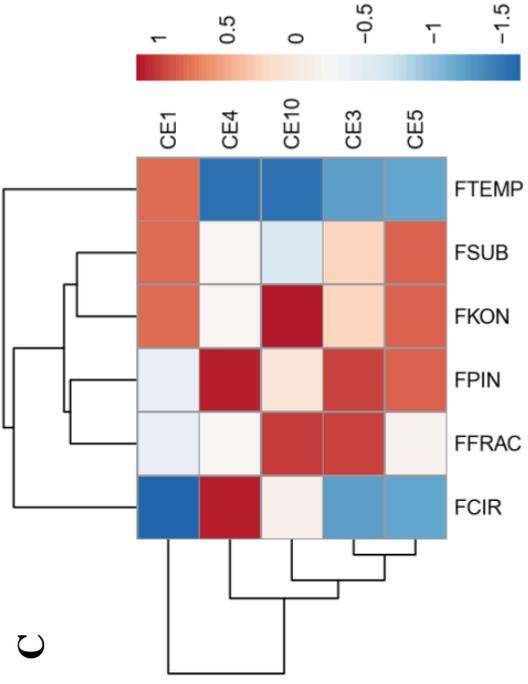
Figure 3. A representative phylogenetic tree for GH43.

Figure 4. A representative phylogenetic tree GH109.



AAs: Auxiliary activities; CBMs: Carbohydrate-binding modules; CEs: Carbohydrate esterases; GHs: Glycoside hydrolases; GTs: Glycosyltransferases; PLs: Polysaccharide lyases

Figure 1. Identification of the number of putative CAZyme-encoding genes within each CAZyme class for all six *Fusarium* species.



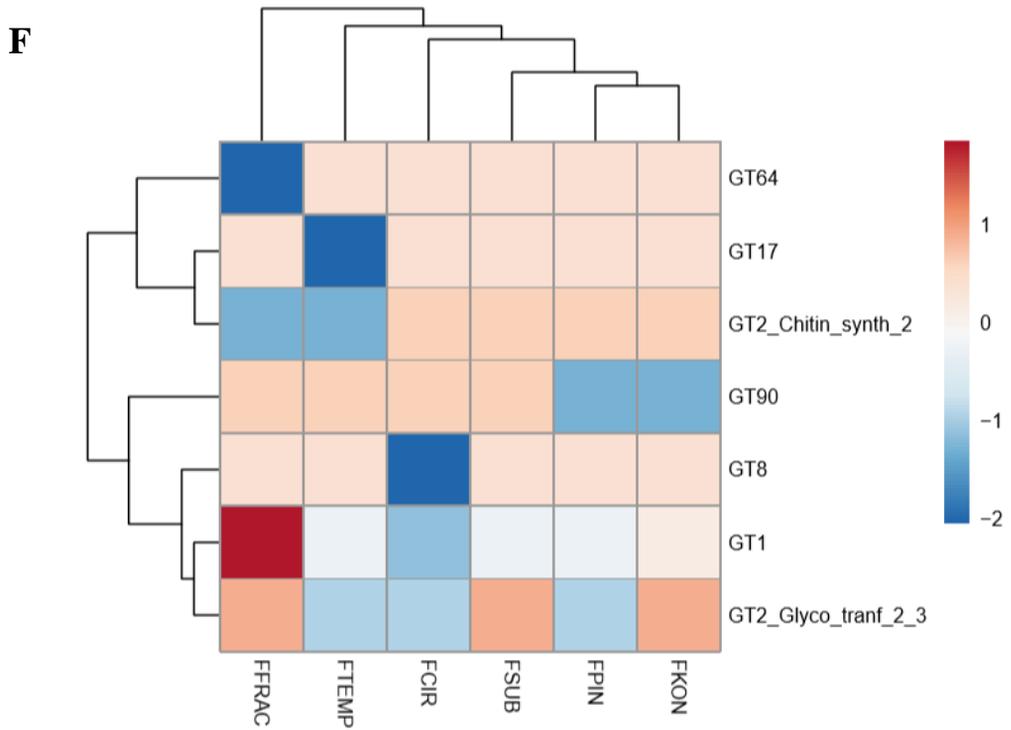
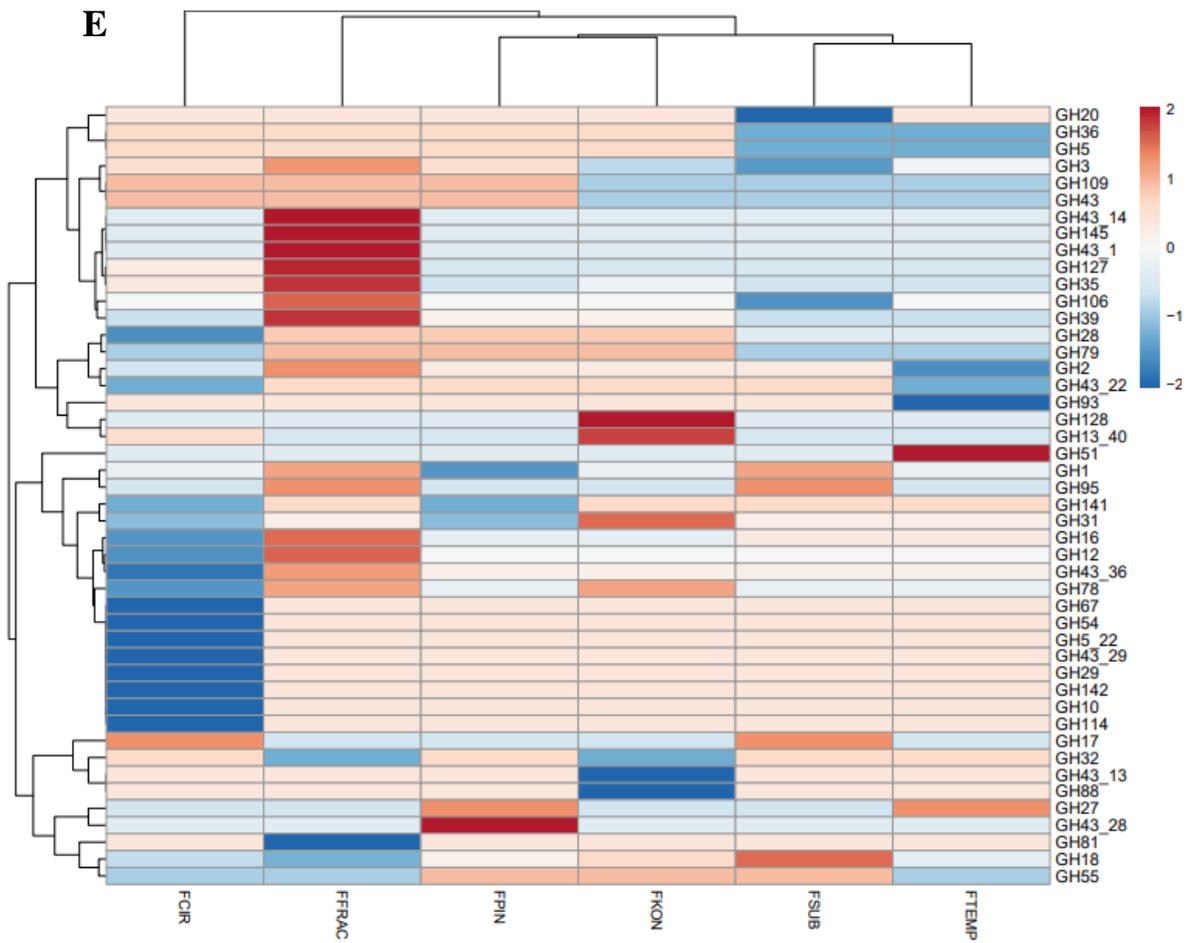


Figure 2. Heatmaps generated by ClustVis to demonstrate gene distribution among the six *Fusarium* species. The graphical representation follows for genes grouped in the A) Aas class, B) CBMs class, C) CEs class, D) PLs class, E) GHs class and F) GTs class, where red blocks indicate CAZymes that are highly enriched with genes compared to the depletion of families indicated in blue blocks. All heatmaps contain only the CAZyme families with genic variation between the six species. FCIR = *F. circinatum*; FPIN = *F. pininemorale*; FFRAC = *F. fracticaudum*; FKON = *F. konzum*; FSUB = *F. subglutinans*; FTEMP = *F. temperatum*.

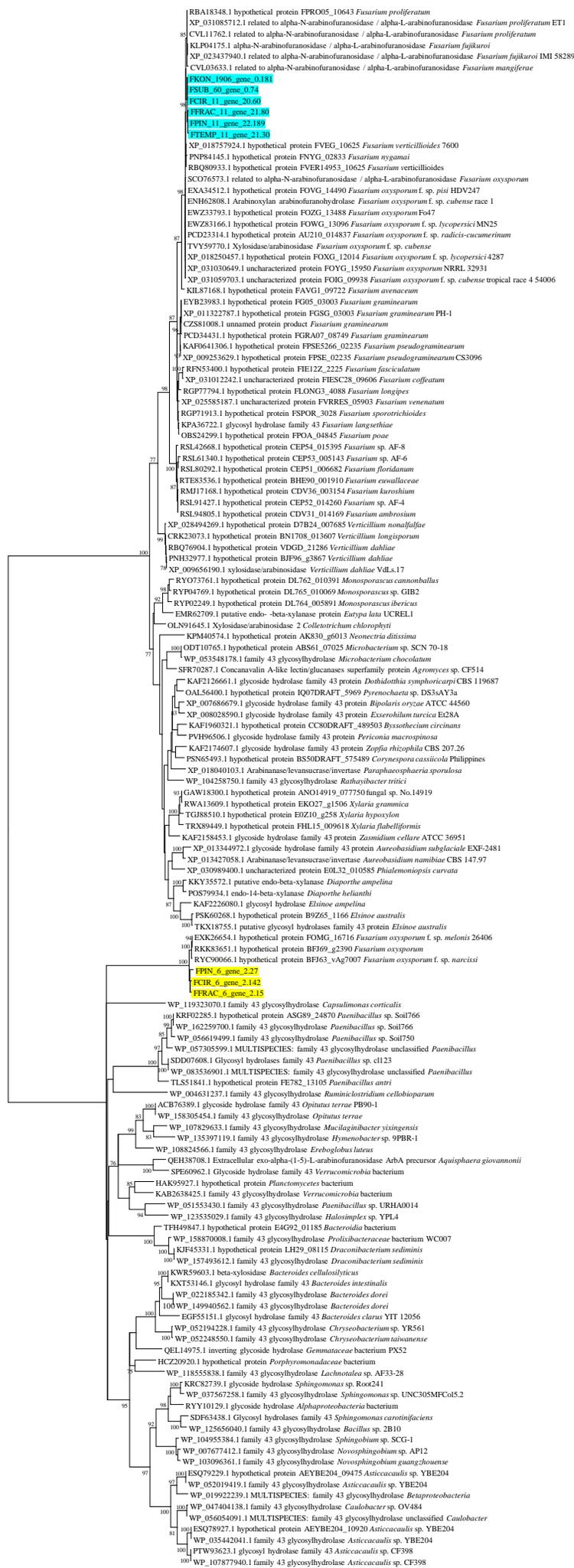


Figure 3. A representative phylogenetic tree for GH43. The genes investigated are highlighted in yellow and blue; yellow represent the genes unique to pine-associated *Fusarium* species, whereas blue represent the genes shared by pine- and Poaceae-associated *Fusarium* species. The evolutionary history was inferred by using the Maximum Likelihood method based on the Le Gascuel model (Le & Gascuel, 2008), along with a discrete Gamma distribution to model evolutionary rate differences among sites. The rate variation model allowed for some sites to be evolutionarily invariable. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA 7 (Kumar *et al.*, 2016). FCIR = *F. circinatum*; FFRAC = *F. fracticaudum*; FPIN = *F. pininemorale*; FKON = *F. konzum*; FSUB = *F. subglutinans*; FTEMP = *F. temperatum*.

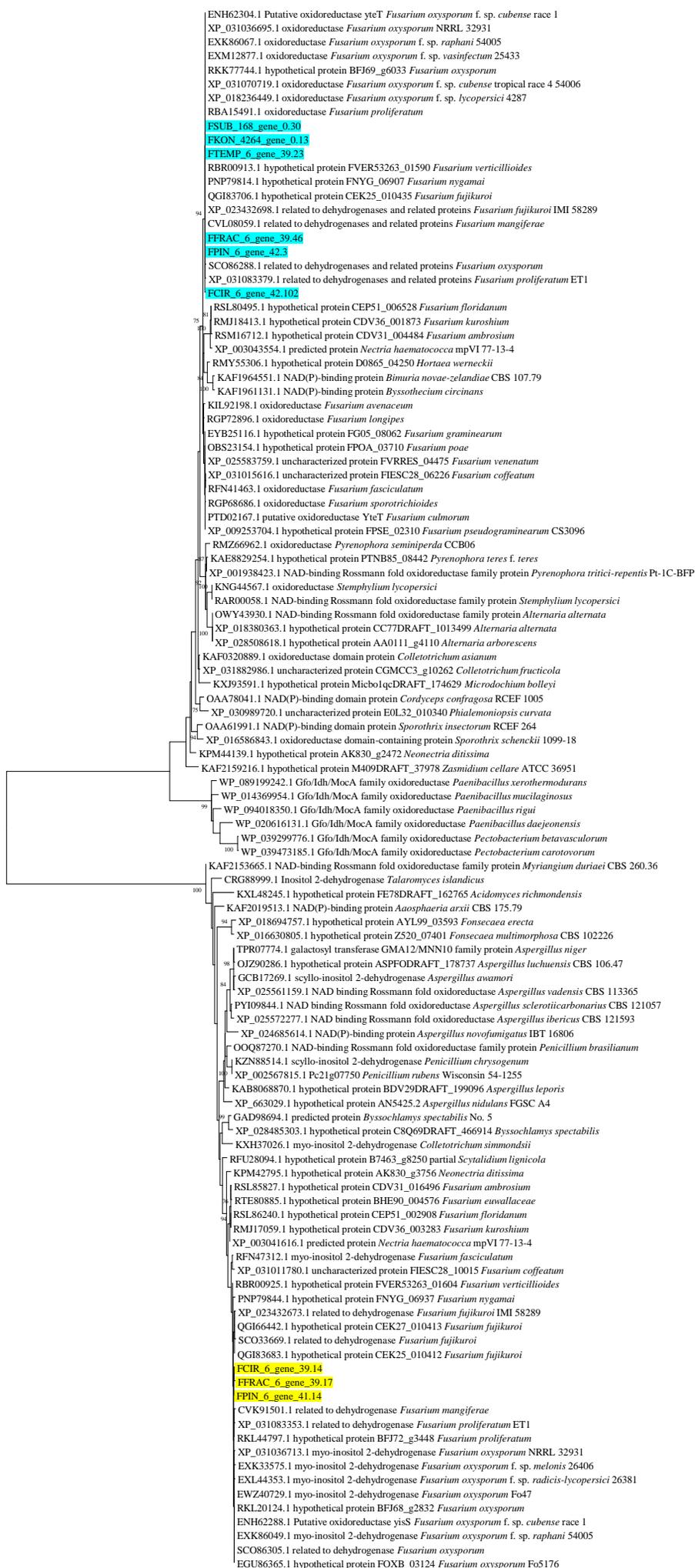


Figure 4. A representative phylogenetic tree for GH109. The genes investigated are highlighted in yellow and blue; yellow represent the genes unique to pine-associated *Fusarium* species, whereas blue represent the genes shared by pine- and Poaceae-associated *Fusarium* species. The evolutionary history was inferred by using the Maximum Likelihood method based on the Le Gascuel model (Le & Gascuel, 2008), along with a discrete Gamma distribution to model evolutionary rate differences among sites. The rate variation model allowed for some sites to be evolutionarily invariable. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (100 replicates) were shown next to the branches ($\geq 75\%$). All positions containing gaps and missing data were eliminated. Evolutionary analyses were conducted in MEGA 7 (Kumar *et al.*, 2016). FCIR = *F. circinatum*; FFRAC = *F. fracticaudum*; FPIN = *F. pininemorale*; FKON = *F. konzum*; FSUB = *F. subglutinans*; FTEMP = *F. temperatum*.

SUPPLEMENTAL TABLES

All the supplemental data tables can be retrieved from the following Google drive:

<https://drive.google.com/drive/folders/1eK5hGcNRkECBVHwOno6XIOKbKUI43ZLg?usp=sharing>

Supplemental Table 1. Putative CAZyme-encoding genes from *F. circinatum* as identified by dbCAN2. The number indicated after the species identifier is the chromosomal location of this gene (chromosome 1-12).

Supplemental Table 2. Putative CAZyme-encoding genes from *F. fructicaudum* as identified by dbCAN2. The number indicated after the species identifier is the chromosomal location of this gene (chromosome 1-12).

Supplemental Table 3. Putative CAZyme-encoding genes from *F. pininemorale* as identified by dbCAN2. The number indicated after the species identifier is the chromosomal location of this gene (chromosome 1-12).

Supplemental Table 4. Putative CAZyme-encoding genes from *F. konzum* as identified by dbCAN2. The number indicated after the species identifier is the scaffold number of this gene.

Supplemental Table 5. Putative CAZyme-encoding genes from *F. subglutinans* as identified by dbCAN2. The number indicated after the species identifier is the scaffold number of this gene.

Supplemental Table 6. Putative CAZyme-encoding genes from *F. temperatum* as identified by dbCAN2. The number indicated after the species identifier is the chromosomal location of this gene (chromosome 1-12).

Supplemental Table 7. The EST data for *F. circinatum*, obtained from Wingfield *et al.* (2012) and van Wyk *et al.* (2019).

SUMMARY

The *Fusarium fujikuroi* species complex (FFSC) contain a diversity of species associating with a variety of hosts. These species are economically important due to the disease caused on respective hosts. Genomic sequences make it possible to study these fungi using comparative genomics. Some genomic regions tend to be more variable in terms of genes and genetic structure. These regions are more subjected to the acquisition of novel genes through horizontal gene transfer, internal mutations, duplications and translocations. Genes within these regions may also promote host-specificity of these fungi by encoding for specific gene products and participate in processes and pathways. This study has provided evidence for two sets of unique genes promoting host-specificity in *Fusarium* species associated with pine trees and members of Poaceae, respectively. The location of these genes was more likely to be found in the telomeric regions and they were inclined to cluster together or were near another cluster. This corresponds to what is known about host specificity genes and their location in variable regions, of which the telomere is considered a part of. The variable nature of the accessory chromosome in the *Fusarium* species associated with Poaceae (chromosome 12) also plays a role in host-specificity, as it possessed more unique genes than the core chromosomes. These findings emphasise that *Fusarium* species employ different molecular mechanisms to successfully infect and colonise pine and members of Poaceae, respectively.

We furthermore provided evidence for the differences and similarities in the repertoire of CAZyme genes between the *Fusarium* species associated with different hosts. Some CAZyme classes were more prevalent than others and likely have vital roles in fungal colonisation, nutrient utilisation and plant biomass degradation. Fungi are known to also utilise different sets of CAZymes depending on the cell wall composition of the host plant. However, the CAZymes results from this study were similar between *Fusarium* species associated with gymnosperms (pine trees) and monocots (members of Poaceae) which would imply that CAZymes are not intrinsically involved in host-specificity. Notwithstanding, two glycoside hydrolases (GHs) were identified as different amongst the two groups of *Fusarium* species. The roles of each in host-specificity were not clear and further investigations into these are warranted.

Future studies investigating the functional characterisation of the host-specific unique genes identified in this study are merited. Expression analyses into the unique genes and CAZymes highlighted in this study at different points of infection and in different hosts would shed some light on their respective roles. Furthermore, the *Fusarium* population can be broadened by supplementing this study with additional and complete genomes. The findings from this study advance the current understanding of the diversity and evolution of species within the FFSC.