# A whole farm model for quantifying total greenhouse gas emissions on South African dairy farms

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#### **Abstract**

This paper presents a model to quantify total greenhouse gas (GHG) emissions from dairy farms. The model, which is based on a whole farm management approach, accounts for the variability that occurs in GHG emissions among farm production and management practices. The variation is accommodated in six dairy farm management systems (FMS), which broadly include typical dairy production systems in South Africa. These are pasture-based with high or low stocking rates, total mixed ration with high or low stocking rates, and partial mixed ration with high or low stocking rates. Three variations of functional units that were used to evaluate the environmental impacts of various FMS are defined as per animal unit = kg CO<sub>2-eq</sub> head<sup>-1</sup> yr<sup>-1</sup>; per unit of farm area = kg  $CO_{2-eq}$  ha<sup>-1</sup> yr<sup>-1</sup>, and per unit of product = kg  $CO_{2-eq}$  kg  $FPCM^{-1}$ , where FPCM is fat and protein corrected milk. The results show a range of GHG emissions in CO<sub>2-eq</sub> among the FMS with various methodological approaches because of the large impact from different emission factors, which vary between accounting methods. The more detailed equations were recommended to effectively improve environmental impacts. These more detailed non-linear equations tended to predict more biologically realistic emissions when compared with the linear equations in which over or under-predictions of GHG were observed. The most prominent drivers for GHG emissions across all FMS were from enteric methane (CH<sub>4</sub>) and nitrous oxide (N2O) from soil management. Rankings among FMS varied according to output methodology and functional units. GHG emissions expressed per animal or per unit area differ greatly from those expressed from a given level of product. In conclusion, the accounting methodologies that are described in this paper to predict GHG emissions of animal-related origin performed sufficiently across all FMS, and could be applied to quantify the carbon footprint of dairy production systems in South Africa.

**Keywords:** Carbon dioxide equivalents, dairy production, methane, nitrous oxide

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#### Introduction

Agriculture is considered a major contributing activity to greenhouse gas (GHG) emissions (IPCC, 2013). Approximately 12.5 % of total global GHG emissions are from the livestock sector (Steinfield *et al.*, 2013), from which ruminant livestock are labelled as contributing 75 % of total livestock GHG production (Prasad *et al.*, 2015). Livestock enterprises function as holistic units that rely on resources that have an own carbon footprint ( $C_f$ ). Quantifying the total  $C_f$  of livestock enterprises is therefore important when comparing the  $C_f$  of production systems.  $CH_4$  is a direct product of fermentation processes in the rumen and reticulum, whereas  $CO_2$  is a product principally of metabolism, but is also an emission of fuel combustion, fertilizers, and concentrates, organic bedding material and dairy chemicals. Intensive and semi-intensive production systems produce huge quantities of manure that release  $N_2O$  and  $CH_4$  when decaying or composting. Quantifying the total  $C_f$  is an assessment of the emission of GHG, and could be a useful measure of production energy efficiency.

Studies to estimate the contribution of milk production to global GHG emissions do not reflect the diversity of farming systems appropriately (Hagemann *et al.*, 2012). Although more information has become available in recent years, a review of the literature and of databases prepared by the FAO (2010) revealed that it was still largely fragmented and not based on a consistent or comparable set of methodologies. This is even more apparent for countries such as South Africa, where data are scarce, often outdated, and not supported by scientific and technical publications (FAO 2010, 2015). Consequently, it became necessary to

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ISSN 0375-1589 (print), ISSN 2221-4062 (online) Publisher: South African Society for Animal Science develop a scientifically based model that would assist farmers to better understand the  $C_{\rm f}$  and the environmental impact of dairy farms with the possibility of identifying mitigation strategies. In addition, an outcome would be the ability to benchmark results with national and global GHG emissions among livestock production systems. To achieve this, a model should accommodate a large amount of data from which to extract information for logical interpretations.

Dairy farm systems include complex and integrated biological components, such as type of animal breed, the physiological status of different animal groups, type and composition of feed, various energy sources, the heterogeneity of these systems, and climate region. It is almost impossible to develop a generic methodology that would simulate all parameters equally. A proposed model would be based on a whole farm model (WFM) approach, developed through a combination of existing sub-models and various underlying simulation methodologies to calculate GHG emission. Quantification methodologies applied in developing a model would be based on variations of two widely used principles: lifecycle assessment (LCA); and the Intergovernmental Panel on Climate Change (IPCC) guideline methodology (O'Brien *et al.*, 2012). The IPCC guidelines developed a consistent standard for national-level accounting of GHG inventories in compliance with the reporting requirements of United Nations Framework Convention on Climate Change (UNFCCC) GHG emissions (IPCC, 1996).

Given the variations among farming systems and the different phases of development among farmers, a proposed model would provide various options, dictated by available detail of input parameters. Depending on the initial option chosen by the farmer, data would be harmonized throughout the model. Owing to the variety of options in the model, any farmer could optimize and improve results with refinement of input data. This could ultimately assist farmers to identify the production drivers that would have the greatest influence on environmental impacts and assist with carbon mitigation possibilities.

Multiple models that portray the estimation of individual contributing components or those models that focus on a single gas are valuable to evaluate the accuracy of individual mathematical equations that are used to predict the impact of these factors (Ellis *et al.*, 2010). A simple regression equation, such as the IPCC Tier I default values (IPCC, 2007) to predict enteric CH<sub>4</sub> emissions, which is used in some WFM, appears to be inappropriate, since it does not involve sensitivity to dietary changes (Ellis *et al.*, 2010). The implication of including more advanced equations in a WFM might result in higher accuracy of total GHG emission predictions. Despite the importance of determining the best available mathematical equation from these individual options, they are treated as isolated activities, and independent of other interacting components. Evaluating a true impact from management or nutritional impacts on the environment requires more comprehensive approaches. WFMs are useful in the innovation and exploration of cost-effective mitigation strategies for GHG emissions, given that one component is not confined to that particular subsystem, but transferred throughout the whole farm system. The hypothesis is that a variable WFM could be devised to predict total GHG emissions based on the functional units of kg CO<sub>2-eq</sub> head<sup>-1</sup> yr<sup>-1</sup>, kg CO<sub>2-eq</sub> ha<sup>-1</sup> yr<sup>-1</sup>, and kg CO<sub>2-eq</sub> kg FPCM<sup>-1</sup> (FPCM: fat and protein corrected milk), which would accommodate five input options, depending on the information available.

The aim is to draw production and input data from commercial dairy farms and to devise a descriptive model for GHG emissions that could be applied as a predictive model in various dairy farming scenarios.

## Materials and methods

The proposed WFM could be developed by quantifying the GHG emissions of six contrasting commercial dairy production systems that differ in feeding strategies, animal breeding programmes, , general management and material input programmes. Data used in the analysis presented in Table 1 was sourced from six selected commercial dairy farms for 2012 with reliable data, which practised one of these FMS, namely pasture-based with high (PHS) or low stocking rates (PLS); total mixed ration with high (TMR HS) or low stocking rates (PMR LS).

The first set of parameters was for general farm data on farm size, energy consumption, production of synthetic fertilizer, production of purchased feed, dairy chemicals and bedding material. Climate information under which the dairy production system is managed was considered when calculating emission factors (EF). The second set of parameters focused on the feeding situation, herd composition, annual milk production and milk composition, feed information and manure management systems. The six optional FMS provided distinguishable diversity in available feed data. The proposed WFM integrates direct and indirect EF from all phases of milk production, which implies a 'cradle to farm gate' approach. Direct farm emissions are those that are emitted from the farm during the production process, and indirect emissions include those from the manufacture or production of resources used in the production system, such as emissions from the production of fertilizers or concentrates off-farm.

Concentrate (kg DM cow<sup>-1</sup>yr<sup>-1</sup>)

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| Input   | Farm management systems |       |        |        |        |        |  |
|---|-------------------------|-------|--------|--------|--------|--------|--|
|   | PHS*                    | PLS   | TMR HS | TMR LS | PMR HS | PMR LS |  |
| Farm size (ha)  | 5 930                   | 1 196 | 2 360  | 580    | 1 154  | 905    |  |
| Total herd numbers                                      | 3 563                   | 720   | 3 302  | 850    | 2 632  | 709    |  |
| Milking cows  | 1 799                   | 381   | 2 000  | 433    | 1 345  | 340    |  |
| Milk production (kg cow <sup>-1</sup> yr <sup>-1)</sup> | 7 107                   | 7 499 | 11 988 | 10 948 | 8 998  | 7 985  |  |
| Milk fat (%)  | 3.78                    | 4.50  | 3.40   | 3.57   | 4.00   | 3.90   |  |
| Milk Protein (%)  | 3.30                    | 3.60  | 3.00   | 3.14   | 3.21   | 3.20   |  |
| Mature BW <sup>a</sup> (kg)                             | 558                     | 430   | 750    | 668    | 585    | 430    |  |
| N fertilizer (kg ha <sup>-1</sup> yr <sup>-1</sup> )    | 130                     | 127   | 140    | 128    | 150    | 160    |  |
| Lime (kg ha <sup>-1</sup> yr <sup>-1</sup> )            | 227                     | 242   | 347    | 346    | 300    | 195    |  |

**Table 1** Selected farm management systems and critical input parameters.

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\*PHS: pasture-based with high stocking rate; PLS: pasture-based with low stocking rate; TMR HS: total mixed ration with high stocking rate; TMR LS total mixed ration with low stocking rate; PMR HS: partially mixed ration with high stocking rate; PMR LS: partially mixed ration with low stocking rate

5 636

4 560

1 439

559

The WFM was developed using three variations of functional units to evaluate the environmental impact of different FMS, to realise global comparisons, and to assess biological efficiencies among animal categories, animal breeds and physiological parameters. The functional units were defined as per animal unit =  $kg CO_{2-eq} head^{-1} yr^{-1}$ ; per unit of farm area =  $kg CO_{2-eq} ha^{-1} yr^{-1}$ ; and per unit of product =  $kg CO_{2-eq} kg FPCM^{-1}$ . All the approaches were valuable to a certain extent. For example, unit per animal might be more suitable when farms focus on heifer growth and others on first or late lactation cows. The approach defined as FPCM could determine a fair comparison of the environmental impact of different farms and be applied in global comparisons.

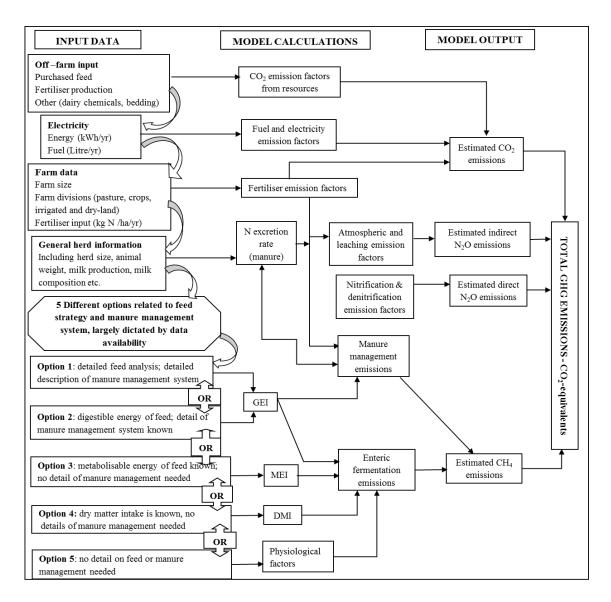
FPCM was calculated according to Thomassen & De Boer (2005), in which milk is corrected for fat and protein content to a standard of 4.0 % fat and 3.3 % protein:

FPCM = raw milk (kg) \* (0.337 + 0.116 \* fat content (%) + 0.06 \* protein content (%))

Total GHG emissions were reported in carbon dioxide equivalents ( $CO_{2-eq}$ ), in which the major GHG were multiplied by their global warming potential (GWP) for a 100-year horizon according to the GWP100 factors of the IPCC (2007). Compared with  $CO_2$ , the GWP conversion factors for  $CH_4$  and  $N_2O$  are 25 and 298 times greater, respectively.

Owing to large differences in the available data, types of diets fed to cows and differences in farm management types, a comparison of methodologies to simulate gaseous emissions from livestock is provided in the proposed WFM. Depending on the available data, the options are ranked from Option 1, with the highest level of data input, to Option 5, with lowest level of data input. Figure 1 is a schematic illustration of the key parameters for GHG modelling used in this study. Input data from the selected farms were used across all five options in the WFM to evaluate the performances of the prediction equations.

The WFM includes statistical and mechanistic models and estimates calculated from EF. The mechanistic models from Options 1 and 2 in the model simulate  $CH_4$  and  $N_2O$  emissions from livestock based on a mathematical description of rumen fermentation biochemistry and have been successfully applied in the past (Mills *et al.*, 2001). The statistical models in Options 3, 4, and 5 relate directly to nutrient intake, with enteric and manure-produced  $CH_4$  and manure-produced  $N_2O$ . The summary of equations (Table 2) used in the five options provided in the WFM to predict  $CH_4$  and  $N_2O$  EF directly related to animal production gives an impression of the considerable differences between the existing models in terms of the complexities of the required inputs.



**Figure 1** Schematic overview of the proposed model, presenting the options, calculations and output generated from the options to calculate greenhouse gas emissions at farm level GEI: gross energy intake, MEI: metabolizable energy intake; DMI: dry matter intake

**Table 2** Summary of prediction equations used to calculate emission factors among the options provided in a whole farm model

| Emission factors                    | Equation  | Reference                 |  |
|-------------------------------------|---|---------------------------|--|
| Option 1                            |   |                           |  |
| CH <sub>4</sub> : enteric           | $CH_4 (g CH_4 day^{-1}) = [GEI^a (MJ day^{-1}) * (Y_m^b/100)]/0.05565$  | IPCC (2006) Tier III      |  |
| CH <sub>4</sub> : manure            | $CH_4$ (kg $CH_4$ /animal/yr) = (VS <sup>c</sup> * 365) * [B <sub>o</sub> <sup>d</sup> * 0.67 kg m <sup>-3</sup> * $\Sigma$ (MCF <sup>e</sup> / 100) * MS <sup>f</sup> ]              | IPCC (2006) Tier III      |  |
| N <sub>2</sub> O direct: manure     | $N_2O (kg N_2O/animal/yr) = [\Sigma [\Sigma (N * Nex^9 * MS) * EF_3^h] * (44/28)$   | IPCC (2006) Tier III      |  |
| N₂O indirect:<br>volatilization     | $N_2O$ (kg $N_2O$ /animal/yr) = $(N_{volatilization-MS} \times EF_4^i) * (44/28)$   | IPCC (2006) Tier III      |  |
| N <sub>2</sub> O indirect: leaching | $N_2O$ (kg $N_2O$ /animal/yr) = ( $N_{leaching-MS} \times EF_5^j$ ) * (44/28)   | IPCC (2006) Tier III      |  |
| Option 2                            |   |                           |  |
| CH <sub>4</sub> : enteric           | $CH_4 (g CH_4 day^{-1}) = [GEI (MJ day^{-1}) * (Y_m/100)]/0.05565$  | IPCC (2006) Tier III      |  |
| CH <sub>4</sub> : manure            | CH <sub>4</sub> (kg CH <sub>4</sub> /animal/yr) = (VS * 365) * [B <sub>0</sub> * 0.67 kg m <sup>-3</sup> * $\Sigma$ (MCF/ 100) * MS]  | IPCC (2006) Tier III      |  |
| N <sub>2</sub> O direct: manure     | $N_2O$ (kg $N_2O$ /animal/yr) = [ $\Sigma$ [ $\Sigma$ (N * Nex * MS) * EF <sub>3</sub> ] * (44/28)  | IPCC (2006) Tier III      |  |
| N₂O indirect:<br>volatilization     | $N_2O$ (kg $N_2O$ /animal/yr) = ( $N_{volatilization-MS} \times EF_4$ ) * (44/28)   | IPCC (2006) Tier III      |  |
| N <sub>2</sub> O indirect: leaching | $N_2O$ (kg $N_2O$ /animal/yr) = ( $N_{leaching-MS} \times EF_5$ ) * (44/28)   | IPCC (2006) Tier III      |  |
| Option 3                            |   |                           |  |
| CH <sub>4</sub> : enteric           | $CH_4 (MJ day^{-1}) = 8.25 (\pm 1.63) + 0.07 (\pm 0.007) * MEI^k (MJ day^{-1})$   | Mills et al., 2003        |  |
| CH₄: manure                         | Cows: CH <sub>4</sub> (kg CH <sub>4</sub> /animal/ yr <sup>-1</sup> ) = 'LU' <sup>1</sup> * 21 Heifers: CH <sub>4</sub> (kg CH <sub>4</sub> /animal/ yr <sup>-1</sup> ) = 'LU' * 10.5 | Van Eerdt & Fong., 1998   |  |
| N₂O manure: cows                    | $N_2O$ (kg $N_2O$ /animal/yr) = Nex (kg N animal/ yr) * 47 (kg N/yr)  | Kirchgessner et al., 1991 |  |
| N₂O manure: heifers                 | $N_2O$ (kg $N_2O$ /animal/yr) = Nex (kg N animal/ yr) * 22 (kg N/yr)  | Kirchgessner et al., 1991 |  |
| Option 4                            |   |                           |  |
| CH <sub>4</sub> : enteric           | $CH_4 (MJ day^{-1}) = 3.23 (\pm 1.12) + 0.809 (\pm 0.0862) * DMI^m (kg day^{-1})$   | Ellis et al., 2007        |  |
| CH <sub>4</sub> : manure            | Cows: CH <sub>4</sub> (kg CH <sub>4</sub> /animal/ yr <sup>-1</sup> ) = 'LU' * 21 Heifers: CH <sub>4</sub> (kg CH <sub>4</sub> /animal/ yr <sup>-1</sup> ) = 'LU' * 10.5              | Van Eerdt & Fong., 1998   |  |
| N₂O manure: cows                    | $N_2O$ (kg $N_2O$ /animal/yr) = Nex (kg N animal/ yr) * 47 (kg N/yr)  | Kirchgessner et al., 1991 |  |
| N₂O manure: heifers                 | $N_2O$ (kg $N_2O$ /animal/yr) = Nex (kg N animal/ yr) * 22 (kg N/yr)  | Kirchgessner et al., 1991 |  |
| Option 5                            |   |                           |  |
| CH₄: enteric                        | CH <sub>4</sub> (g CH <sub>4</sub> day <sup>-1</sup> ) = 55 + 4.5 kg milk yield (kg day <sup>-</sup> ) + 1.2 * BW <sup>n</sup> (kg) <sup>0.75</sup>                                   | Kirchgessner et al., 1991 |  |
| CH₄: manure                         | Cows: CH <sub>4</sub> (kg CH <sub>4</sub> /animal/ yr <sup>-1</sup> ) = 'LU' * 21 Heifers: CH <sub>4</sub> (kg CH <sub>4</sub> /animal/ yr <sup>-1</sup> ) = 'LU' * 10.5              | Van Eerdt & Fong., 1998   |  |
| N <sub>2</sub> O manure: cows       | $N_2O$ (kg $N_2O$ /animal/yr) = Nex (kg N animal/ yr) * 47 (kg N/yr)  | Kirchgessner et al., 1991 |  |
| N₂O manure:<br>heifers              | $N_2O$ (kg $N_2O$ /animal/yr) = Nex (kg N animal/ yr) * 22 (kg N/yr)  | Kirchgessner et al., 1991 |  |

 $<sup>^{</sup>a}$  GEI: gross energy intake,  $^{b}$  Y<sub>m</sub>: methane conversion factor, per cent of gross energy in feed converted to methane,  $^{c}$  VS: daily volatile solid excreted per livestock category, (kg dry matter/animal/ day),  $^{d}$  B<sub>o</sub>: maximum CH<sub>4</sub> producing capacity for manure produced per animal, (m³ CH<sub>4</sub>/kg VS excreted),  $^{e}$  MCF: methane conversion factors for each manure management system by climate region ,  $^{f}$  MS: fraction of livestock category with manure handled using manure management system in climate region,  $^{g}$  Nex: annual N excretion rates ,  $^{h}$  EF<sub>3</sub>: default emission factors for N<sub>2</sub>O from animal manure per animal waste management system,  $^{i}$  EF<sub>4</sub>: default emission factor of 001 kg N<sub>2</sub>O-N per kg NH<sub>3</sub>-N and NO-N from volatilization ,  $^{j}$  EF<sub>5</sub>: default emission factor of 0,0075 kg N<sub>2</sub>O-N per kg N leached or runoff,  $^{k}$  MEI: metabolizable energy intake ,  $^{h}$  LU: livestock units equivalent to 650 kg live mass for cows and 350 kg for heifers,  $^{m}$  DMI: dry matter intake ,  $^{n}$  BW<sup>0.75</sup>: metabolic mass (live mass in kg)<sup>0.75</sup>

A mechanistic model approach was used to estimate enteric  $CH_4$  emissions from the first two options, in which Option 1 will have the highest level of data availability. The IPCC Tier III approach that is used to estimate  $CH_4$  (enteric fermentation and manure management) and  $N_2O$  emissions (manure management) in Options 1 and 2 is the most complex and data-dependent method described by the IPCC. The Tier III approach calculates year-specific values of the  $CH_4$  EF, expressed in kg  $CH_4$ /cow/year. The  $CH_4$  conversion factor is expressed as a percentage of the GEI by dairy cows (Bannink, 2011). For each representative animal category, a number of general data were collected to estimate feed intake (FI), as recommended by the IPCC (2006). These parameters include body mass (BW), average daily mass gain (ADG), feeding situation (confined, grazing or pasture conditions), milk production (MP) and composition (MC), average amount of work performed per day replacement rate and percentage feed digestibility (DE %). Annual averages for daily feed (kg DM), milk yield (kg fat-corrected milk), and the effects of the dry period and gestation were taken into account.

Variations in diet digestibility result in critical differences when estimating animal dietary requirements and the associated CH<sub>4</sub> emissions and amounts of manure excreted (IPCC, 2006). The chemical composition of the diet greatly determines the microbial fermentation processes in the gastrointestinal tract of the cow, which has an impact on the fraction of GE emitted as CH<sub>4</sub>. Consequently, the input of the derived percentage feed digestibility (DE %) distinguishes between Options 1 and 2. Option 1 used laboratory chemical results for feedstuffs supplied by the milk producer and feed manufacturer based on analyses for South African feeds. Values for neutral detergent fibre, acid detergent fibre, crude protein (CP) and antinutritional factors such as ash percentage are prerequisite for Option 1. The DE % of the feed was derived from these values.

The NRC (2001) table is provided in the model as an alternative if feed composition is known, but without detailed laboratory feed analysis. However, this could result in less accurate predictions of the average yearly ration. The chemical characteristics of grass herbage, grass silage, maize silage, concentrates and protein are derived from analytical analyses. The concentration of CP in the feed could be used in the process of estimating  $N_2O$  emissions by determining N excretion rates. The DE % and CP % of the feed are known for Option 2. Since these data are obtained from specific laboratory feed analyses or from consulting the feed suppliers, a high level of accuracy is assumed. Therefore, this option qualifies as a Tier III approach. In addition, Tier III methodology requires more information to determine the  $CH_4$  conversion factor,  $Y_m$ , in relation to the digestibility and nutrient content of the feed. Included in the model was the approach based on DMI that was suggested by Dämmgen *et al.* (2009), and investigated by Ellis *et al.* (2007), in a study of ten regression approaches relating  $Y_m$  to feed that yielded the smallest root mean square prediction error.

The approach for Options 1 and 2 of the model to determine EF from manure management (MCF), involved the calculation of a massed average MCF using the estimates of the manure managed by each waste system within each climate region. The average MCF was then multiplied by the volatile solid (VS) excretion rate and the maximum  $CH_4$  producing capacity for manure per animal ( $B_o$ ). The Tier III method described by the IPCC (2006) to estimate  $N_2O$  emissions from manure management systems entails tracking N throughout the system, starting with feed input for each animal category, followed by different manure management systems for each animal category.

A statistical approach is used to estimate enteric  $CH_4$  emissions from metabolizable energy intake (MEI) in Option 3. Since MEI is accessible for most diets, the linear equation described by Mills *et al.* (2003) was used to determine enteric  $CH_4$  emissions. On high-fibre and forage-based diets, a higher proportion of feed energy was lost as  $CH_4$  when using MEI to describe intake. The advantage of using a MEI equation is that minimal dietary information is required, although nonlinear models would give a more accurate prediction of  $CH_4$  emissions from enteric fermentation, since the percentage of gross energy lost as  $CH_4$  decreases as feed intake (FI) increases. These emissions were converted from MJ to kg  $CH_4$ , with the formula from Chianese *et al.* (2009), in which  $F_{kg,CH4} = 0.018$  kg  $CH_4$  per MJ.

CH<sub>4</sub> emissions from manure management were calculated with the method investigated by Hagemann *et al.* (2011) and reported by Van Eerdt and Fong (1998). The BWs of cows and heifers on the farm were adjusted to 'livestock units' of 650 kg for cows and 350 kg for heifers. The number of adjusted animals was then multiplied by 21 kg CH<sub>4</sub> emissions per cow and year, and 10.5 kg CH<sub>4</sub> per heifer and year, respectively.

Losses of  $N_2O$  emission from manure were calculated by multiplying the quantity of N excrements of cows, calves and heifers by an  $N_2O$  EF of 0.0125 kg  $N_2O$  per kg N excreted (Cederberg & Flysjö, 2004). The amount of N excrements of cows is a function of milk yield (Cederberg & Flysjö, 2004). The calculation of N excretion from heifers and calves was based on the formation of age cluster. Animals between the ages of 2 and 12 months are assumed to excrete 22 kg N per year, and those between 12 and 24 months to excrete 47 kg N per year (Kirchgessner *et al.*, 1991).

Option 4 of the model was based on DMI, and used the linear approach investigated by Ellis *et al.* (2007) and converted enteric  $CH_4$  emissions from MJ to kg of  $CH_4$  with the approach from Chianese *et al.* (2009) that is described in Option 3. This option could be used to predict enteric  $CH_4$  when only the basic dietary profile is available. However, previous studies have shown limitations when DMI is used to predict  $CH_4$  over a range of intakes outside those used to develop the relationship (Axelsson, 1949; Wilkerson *et al.*, 1995). Hence the model makes provision for separate input parameters for accuracy of data in different animal categories.  $CH_4$  and  $N_2O$  emissions from manure management in Option 4 follow exactly the approach described in Option 3, based on the formation of animal clusters.

This option is used for enteric CH<sub>4</sub> emissions when minimal data are available, because the required input data for this estimator should be readily available for all commercial farms. Prediction of enteric CH<sub>4</sub> emissions used a formula initially developed by Kirchgessner *et al.* (1991), based on physiological parameters, milk yield and metabolic mass. Estimations of CH<sub>4</sub> and N<sub>2</sub>O from manure management were established from the formation of animal clusters, similar to the methods described in Option 3.

The model used a simplified approach based on the IPCC (2006) guidelines and accounted only for the total amount of N applied. Based on the general input data for fertilizer in the model for each farm,  $N_2O$  emissions from soil were calculated, and therefore only one methodology was available for all options. Direct  $N_2O$  emissions from soils owing to synthetic fertilizer applications were estimated using the methodological tool described by the UNFCCC CDM Executive Board (UNFCCC/CCNUCC., 2007). Volitilization of ammonia and leaching of  $N_3$  result in indirect emissions of  $N_2O$ . These emissions were calculated using the default EF from the IPCC (2006a) of 0.01 kg  $N_2O$ -N per kg  $N_3$ -N for N losses due to volitilization and 0.0075 kg  $N_2O$ -N per kg  $NO_3$ -N for N losses due to leaching and run-off.

Several sources from dairy farms relate directly to  $CO_2$  emissions, such as fuel combustion, fertilizers, concentrates, bedding material and dairy chemicals. The model used a Tier I approach to calculate direct  $CO_2$  emissions for all Options. Table 3 shows the EF used in the calculations. For this section,  $CO_2$  emissions from concentrate feeds accounted for feed not produced on the farm.

**Table 3** Carbon dioxide emission factors related to various resource input parameters for dairy production incorporated in the model to calculate direct emissions

| Input                                      | Emission factor | Reference                       |  |  |
|--|-----------------|---------------------------------|--|--|
| Diesel (kg CO <sub>2</sub> /L)             | 2.677           | (Defra, 2012)                   |  |  |
| Electricity (kg CO <sub>2</sub> /kWh)      | 0.98            | (Eskom, 2013)                   |  |  |
| Nitrogen (kg CO <sub>2</sub> /kg nutrient) | 0.61            | (Wood & Cowie, 2004)            |  |  |
| Lime (kg CO <sub>2</sub> /kg nutrient)     | 0.12            | (IPCC, 2006a)                   |  |  |
| Concentrate ingredients                    |                 |                                 |  |  |
| Corn (g CO <sub>2</sub> /kg)               | 0.445           | (Kelm et al., 2004)             |  |  |
| Minerals (g CO <sub>2</sub> /kg)           | 111             | (Nagy, 1999)                    |  |  |
| Soy (g CO <sub>2</sub> /kg)                | 224             | (Hagemann et al., 2012)         |  |  |
| Bedding material (g CO <sub>2</sub> /kg)   | 0.05            | (Hagemann <i>et al.</i> , 2012) |  |  |
| Dairy chemicals (g CO <sub>2</sub> /kg)    | 0.1             | (Hagemann et al., 2012)         |  |  |

The model made provision for input values of three typical feed classes, namely protein sources (e.g. soybeans), carbohydrates (e.g. wheat, barley, corn) and minerals. It was assumed that the concentrate feed used on a farm contains 600 g per kg carbohydrate sources, 300 g per kg protein sources, and 100 g per kg minerals and vitamins (Hagemann *et al.*, 2011). Emissions from fertilizer production, lime application, energy combustion from electricity and fuel, bedding and dairy chemicals were also accounted for in the model.

## **Results and Discussion**

The contributions of enteric fermentation and manure management (Table 4) as CH₄ to GHG varied distinctly between results from different methodologies. The variation due to manure increased markedly with

TMR HS, TMR LS, PMR HS, and PMR LS. The high variation may be ascribed to the combination of pasture and a high energy mixed ration, with residual feed passing through to the manure. This scenario offers the most likely opportunity for mitigating strategies.

**Table 4** Prediction results for methane emissions from enteric fermentation and manure management, expressed in kilogram carbon dioxide equivalents per head per year between the farm management systems: pasture-based with high stocking rate, pasture-based with low stocking rate, total mixed ration high stocking rate, total mixed ration low stocking rate, partially mixed ratio high stocking rate, partially mixed ratio high stocking rate and different accounting methodologies as analysed in the proposed model

| CH <sub>4</sub> emissions per FMS       | Option 1 | Option 2 | Option 3 | Option 4 | Option 5 | Mean  | CV % |
|---|----------|----------|----------|----------|----------|-------|------|
|   |          |          |          |          |          |       |      |
| PHS (kg CO <sub>2-eq</sub> /head/yr)    |          |          |          |          |          |       |      |
| Enteric CH <sub>4</sub>                 | 2 262    | 2 279    | 3 020    | 2 283    | 1 999    | 2 368 | 14.5 |
| Manure CH₄                              | 273      | 273      | 339      | 339      | 339      | 313   | 10.3 |
| PLS (kg CO <sub>2-eq</sub> /head/yr)    |          |          |          |          |          |       |      |
| Enteric CH <sub>4</sub>                 | 2 006    | 2 004    | 2 743    | 2 007    | 1 899    | 2 132 | 14.5 |
| Manure CH <sub>4</sub>                  | 240      | 240      | 286      | 286      | 286      | 268   | 8.4  |
| TMR HS (kgCO <sub>2eq</sub> /head/yr)   |          |          |          |          |          |       |      |
| Enteric CH <sub>4</sub>                 | 3 036    | 3 036    | 3 647    | 3 041    | 2 862    | 3 125 | 8.64 |
| Manure CH <sub>4</sub>                  | 892      | 892      | 523      | 523      | 523      | 670   | 26.9 |
| TMR LS (kg CO <sub>2-eq</sub> /head/yr) |          |          |          |          |          |       |      |
| Enteric CH <sub>4</sub>                 | 2 493    | 2 493    | 3 154    | 2 498    | 2 370    | 2 602 | 10.8 |
| Manure CH <sub>4</sub>                  | 925      | 925      | 400      | 400      | 400      | 610   | 42.2 |
| PMR HS (kg CO <sub>2-eq</sub> /head/yr) |          |          |          |          |          |       |      |
| Enteric CH <sub>4</sub>                 | 2 380    | 2 380    | 3 289    | 2 384    | 2 236    | 2 534 | 15.1 |
| Manure CH <sub>4</sub>                  | 756      | 756      | 383      | 383      | 383      | 532   | 34.3 |
| PMR LS (kg CO <sub>2-eq</sub> /head/yr) |          |          |          |          |          |       |      |
| Enteric CH <sub>4</sub>                 | 1 905    | 1 905    | 2 780    | 1 908    | 1 843    | 2 068 | 17.3 |
| Manure CH <sub>4</sub>                  | 522      | 522      | 259      | 259      | 259      | 364   | 35.4 |

PHS: pasture-based with high stocking rate; PLS: pasture-based with low stocking rate; TMR HS: total mixed ration with high stocking rate; TMR LS total mixed ration with low stocking rate; PMR HS: partially mixed ration with high stocking rate; PMR LS: partially mixed ration with low stocking rate

Results show that emissions predicted by Ellis *et al.* (2007) based on MEI (Option 3) estimated higher for enteric fermentation than the other four methodologies, predicted as 47 % of total GHG emissions. As DMI increases, linear models tend to give unrealistically high emission values, while non-linear models incline to predict more biologically realistic emissions related to a theoretical maximum value (Kebreab *et al.*, 2006). Option 3 in the model would probably result in a greater prediction error with increasing DMI. The relative proportion of enteric emissions based on DMI (Ellis *et al.*, 2007) and methods based on the IPCC Tier III predicted similar proportions of enteric CH<sub>4</sub>, relatively estimated as 40 % of total GHG emissions. Physiological parameters (Kirchgessner *et al.*, 1991) predicted proportionally lower CH<sub>4</sub> emissions from enteric fermentation (36 %). The same trend for the Kirchgessner *et al.* (1991) approach was observed by Ellis *et al.* (2010) and Hagemann *et al.* (2011) when various methodologies were compared and underprediction of CH<sub>4</sub> emissions was indicated using this method.

The detailed equations used in Options 1 and 2 are the preferred methods when considering abatement strategies. However, difficulty in obtaining detailed feed information when using the first two

options with details on dietary factors for prediction of CH<sub>4</sub> requires reliable model input variables, which might compromise the predictive ability of the model. The development of individual CH<sub>4</sub> EF from Options 1 and 2 to predict CH<sub>4</sub> production in the rumen and large intestine in kg CH<sub>4</sub> cow<sup>-1</sup> yr<sup>-1</sup> may be a useful factor when comparing biological efficiencies between animals and FMS. CH<sub>4</sub> EF increased at a lower rate (20 %) compared with the rates of DMI (24 %) and milk production (36 %) increases when results are compared per kg FPCM. This might suggest that increased DMI and higher milk yield could result in a reduction of CH<sub>4</sub> emissions per kg FPCM. However, contrasting results are simulated when comparing CH<sub>4</sub> EF between animals in kg CH<sub>4</sub> cow<sup>-1</sup> yr<sup>-1</sup>, where EF increased at the same rate as DMI and MP. These contrasting results need further investigation, especially in search of optimal predictions of cow efficiencies and comparative results among different FMS when GHG emissions are predicted.

Manure management yielded similar results on  $CH_4$  predicted from the IPCC Tier III methodology for Options 1 and 2. In the same way, only one approach was adopted for Options 3, 4 and 5. With the exception of the pasture-based systems, predictions using IPCC (2006) Tier III methodology were almost twice as high as predictions using the equation described by Van Eerdt and Fong (1998) (Table 4). Predicted MCF values (methane conversion factors for each manure management system by climate region) as a fraction of GEI in Options 1 and 2 in the model ranged from 0.0445 to 0.0588. A small decline in MCF was predicted with increased FI per cow. The same observation on DMI affecting MCF is described by Ellis *et al.* (2008). When  $CH_4$  was expressed per unit MP, its emission declined.

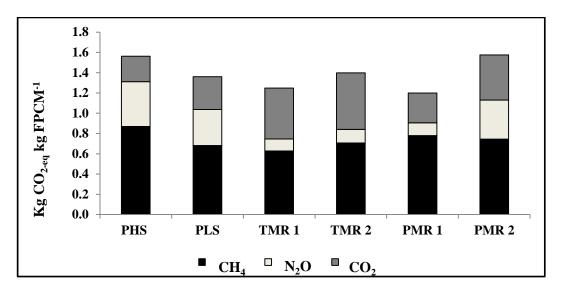
The amount of  $N_2O$  emitted from manure management is highly dependent on the fraction of N excreted in manure and the type of manure management system. The equation described by Cederberg & Flysjö (2004) that is used in Options 3, 4 and 5 of the model presented insignificant differences in  $N_2O$  emitted from manure. Although the equation calculates the N excretion per animal, based on MP (kg energy corrected milk) for lactating cows, results were similar among all farms observed in the model (220 kg  $CO_{2\text{-eq}}$  /head/yr<sup>-1</sup>). The equation does not provide for direct dietary changes or changes in manure management systems.  $N_2O$  predictions from manure using Options 1 or 2 accounted for N excretion rates, different manure management systems and indirect N losses based volatilization and leaching from manure management systems. The effect of including these variables demonstrates larger variations between observed farms, and opens opportunities in terms of mitigation strategies.

The methodology to calculate  $N_2O$  emissions from soil management and fertilizer application used default EF as guided by the IPCC (2006a). Further refinement of EF to obtain country specific values might have an impact on the output for  $N_2O$  emissions. Direct  $N_2O$  emissions contribute substantially to total GHG emission at farm gate and provide opportunities to apply abatement strategies and higher accuracy for predictions. Indirect  $N_2O$  emissions from fertilization that are calculated in the model produced higher emission when Options 1 and 2 were chosen. This is because N excretion rates are included the equations to estimate atmospheric deposition and leaching from soil management.

The largest portion of direct  $CO_2$  emissions is from secondary sources (emissions from manufacturing of recourses used in the production system). One of the most important secondary resources is from purchased feed. Results demonstrate that emissions from feed manufacturing are highest in the TMR systems at 32–34% of total emissions. Energy is an important input for dairy production, whether direct or indirect. Direct costs of energy such as fuel and electricity, together with indirect costs in the form of fertilizers and chemicals, can affect farm revenues significantly, especially as the price of energy continues to rise. Furthermore, the use of these fuels has two major problems: it depletes the natural supply, which will eventually run out; and it creates vast pollution in the form of GHG, by burning the fuel (i.e. coal).

The most prominent GHG drivers from the farms investigated were enteric  $CH_4$  production, direct  $N_2O$  emissions from soil management and  $CO_2$  emissions from off-farm production. Variations among farms were observed because of input-related differences in characteristics of farming systems. The application of various methodologies to predict GHG emissions from animal-related origin performed sufficiently across all farms observed. Different functional units ranked dairy systems differently. The results suggested that dairy systems that emitted the lowest GHG emissions per animal or unit area did not necessarily emit lowest GHG emissions for a given level of product. Emissions from the model expressed as kg FPCM ranged from 1.15 kg  $CO_{2\text{-eq}}$  to 1.56 kg  $CO_{2\text{-eq}}$  (Figure 2). The largest emissions among FMS investigated in the model are from the PHS system and lowest predictions from the PMR HS system, namely 1.56 kg  $CO_{2\text{-eq}}$  per kg FPCM and 1.15 kg  $CO_{2\text{-eq}}$  per kg FPCM, respectively. The estimated average was 1.36 kg  $CO_{2\text{-eq}}$  per kg FPCM, which was below the FAO estimate of 2.4 kg  $CO_{2\text{-eq}}$  per kg FPCM (FAO, 2010). Results predicted by the model were consistent with some studies in the literature across all farms analysed.

These studies include the results from Hagemann *et al.* (2011) and USA national GHG emissions at farm gate (Thoma *et al.*, 2012), at 1.5 kg and 1.3 kg  $CO_{2-eq}$  per kg FPCM, respectively, and the average for SASDM at 1.36 kg  $CO_{2-eq}$  per kg FPCM.



**Figure 2** Total greenhouse gas emissions and individual sources of main GHG in kg CO<sub>2-eq</sub> per kg for the various farm management systems

Given the multifaceted factors associated with the biogenic emissions CH₄ and N₂O and the natural diversity from biological processes, in addition to variations in accounting methodologies to estimate GHG emissions at farm level, considerable uncertainties have yet to be fully elucidated. Scientists and industry will continuously be challenged to improve and develop reliable tools to accurately predict GHG emissions, to provide appropriate information and to encourage practices to mitigate GHG emissions. Various EF are important according to each environmental impact through estimation of individual GHG sources, which may complicate achieving improved methodologies. To improve environmental impacts of farms effectively, the more detailed, holistic, and individual analysis from Options 1 and 2 in the model was the recommended method in this study. An over-estimation of emissions might occur with the method from Mills et al. (2003) to predict enteric CH<sub>4</sub> emissions from MEI, while under-prediction of CH<sub>4</sub> from enteric fermentation could result from the methodology of Kirchgessner et al. (1991). In contrast, a high correlation was observed in the methods used in Options 1, 2, and 4, between the guidelines from the IPCC methodology and the method described by Ellis et al. (2007). Empirical and mechanistic models have important applications in understanding rumen fermentation and the utilization and excretion of energy and nutrients. In describing the relationships between nutrient intake and excretion, empirical models could be useful, particularly if input data are limited.

Different functional units ranked dairy systems differently. The results suggested that dairy systems that emitted the lowest GHG emissions per animal or unit area did not necessarily emit the lowest GHG emissions for a given level of product. Emissions expressed as FPCM across all farms were 1.36 kg  $CO_{2\text{-eq}}$  per kg FPCM, which is lower than the FAO's estimate of 2.4 kg  $CO_{2\text{-eq}}$  per kg FPCM. The methodology to calculate  $N_2O$  emissions from soil management and fertilizer application used default EF as guided by the IPCC. Further refinement of EF to obtain country-specific values might affect the output for  $N_2O$  emissions. Direct  $N_2O$  emissions contribute substantially to total GHG emission at farm gate, and provide opportunities to apply abatement strategies and higher accuracy for predictions. Consequently, the model was able to estimate individual and net GHG emissions from various South African dairy FMS, using different accounting methodologies. The equations used in the WFM to quantify GHG emissions could be useful to the South African dairy industry to predict GHG emissions from a minimum set of inputs.

## Conclusion

Ranking between various FMS differs according to output methodology or functional unit, which emphasizes the importance of distinguishing among these methods when comparisons are made between GHG emissions from various farms, especially when aiming for mitigation strategies. Further refinement and research, together with raising awareness and sharing knowledge, are therefore critical components in the adaption strategy for dairy systems in the future. Owing to the development and application presented, the model may be designated the South African sustainable dairy model (SASDM). The South African dairy industry should adopt the SASDM to estimate the emission of GHG and thereby the  $C_f$  of the dairy industry.

It offers a mechanism for auditing GHG emissions in the industry, and provides a template that can be modified for calculating GHG emissions of other sectors of the South African livestock industry.

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#### **Authors' Contributions**

This article was extracted from RR's MSc dissertation and was initially drafted by RR. NHC provided a critical review of the article. This paper was developed with much sending back and forth, adding references, rearranging and shortening to focus on the core issues. Both authors approved the final manuscript.

#### **Conflict of Interest Declaration**

The authors declare they have no conflict of interest regarding this work.

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