THERMAL AND ELECTRICAL STUDY ON AC LIGHT-EMITTING DIODE WITH QUANTUM WELLS UNDER VARIOUS COOLING RATE

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ABSTRACT
The conventional Shockley equation is inappropriate to describe the relationship between the current density and the forward voltage drop across the p-n junction in LED (light-emitting diode) with multiple quantum wells. In the present study, a semi-empirical model based on the existing experimental measurements is proposed to evaluate the forwards voltage drop under given current density and temperature. The numerical model then is employed to investigate the electrical and temperature fields on ac LED with multiple quantum wells under various cooling rate. The numerical results reveal that the temperature of the LED oscillates under ac electrical potential. The temperature increases due to the heat generation arising from the electrical current across the p-n junction when the electrical potential exceeds the threshold voltage. Otherwise, there is no electrical current and thus the temperature decreases due to the effect of the cooling device. Both light-emitting power and maximum temperature increase as expected when the applied ac electrical potential increases. Fortunately, the temperature of the LED can be efficiently controlled by increasing the cooling rate. Although increasing the cooling rate would decrease the light-emitting power, the influence is not significant.

INTRODUCTION
The light-emitting diodes (LEDs) have recently been commercialized in general lighting, architecture decoration, and backlight modules. As compared to traditional light sources, LEDs have some major advantages such as longer lifetime, narrow spectrum, good mechanical stability, high color rendering index, and most importantly high energy efficiency [1-3]. However, the typical high current density occurring in high-power LEDs produces high temperature in the p-n junction of the LEDs that creates the droop effect [1, 4]. The droop effect causes great degrade in the device performance.

The relationship between the current density J and the forward voltage drop V_f across the p-n junction in a diode is known as JV curve [5, 6]. The JV curve for conventional LEDs without quantum wells is described by

\[ J = J_s \left( \exp \left( \frac{eV_f}{n k (T + 273)} \right) - 1 \right) \]  

where \( J_s \) is the saturation current density, \( e \) is the elementary charge, \( k \) is the Boltzmann constant, and \( T \) is the temperature (in Celsius) at the p-n junction. This is the well-known Shockley equation if the ideality factor \( n \) is dropped from Eq. (1). The Shockley equation was derived by Shockley [7] under the assumption of no carrier recombination in the depletion region in 1949. Sah et al. [8] subsequently modified the equation by adding the ideality factor \( n \) to Eq. (1) with \( 1 < n \leq 2 \) to take the effect of carrier recombination into account. The modified Shockley equation is often extended to LEDs with quantum wells [9-13]. The most popular approach is to use the modified Shockley equation with a value of \( n \) that best-fits the measured JV curve.

Lee and coworkers [5, 6, 14] pointed out that the modified Shockley equation does not apply to LEDs with quantum wells for the following reasons. For LEDs without quantum wells, the current arising from the carrier recombination is significant only when the forward bias voltage is small. However, LEDs are forward-biased heavily in typical operation. Under this condition, the carrier recombination current can be entirely ignored. By contrast, for LEDs with quantum wells holes and electrons are injected into the quantum wells where one electron recombines with a hole and releases a photon. The current in LEDs with quantum wells is essentially the recombination current. The modified Shockley equation [8] formulates almost only the diffusion current, and thus cannot be used for LEDs with quantum wells.

The previous works on the modeling of the LEDs have mainly concentrated on the current transport, light extraction, optical spectrum, whereas the thermal effects, including the heat generation in the p-n junction and the heat energy extracted by the cooling device, have attained less attention. In
Figure 1 The proposed JV curve for the LED [6] based on the K-factor from Xi and Schubert [15].

Figure 2 Geometry of the GaN-based LED under study.

THORETICAL ANALYSIS

To investigate the characteristics of the JV curve for LEDs with multiple quantum wells, Lee et al. [6] fabricated a LED with multiple quantum wells, and then measured the JV curve for this particular LED. For convenience, their measured JV curve (the effect of the series resistance has been extracted) is plotted in Fig. 1. The temperature at the p-n junction of the LED estimated from the measured thermal resistance (166 K/W) between the p-n junction and the case is expressible as

$$ T = 166 J V_f + 27 $$  

where $T$ is in Celsius. The seven black dots on the JV curve in Fig. 1 denote the temperature at $T = 30^\circ C$, $40^\circ C$, $...$, $90^\circ C$ according to Eq. (2). Due to the lack of more reliable experimental data, the derivative of the junction voltage with respect to the junction temperature (known as K-factor) for a GaN ultraviolet LED

$$ \frac{\partial V_f(T,J)}{\partial T} = -0.0023 \frac{V}{K} $$  

from Xi and Schubert [15] is assumed valid for the present LED with multiple quantum wells. This leads to a system of temperature dependent JV curves as shown in Fig. 1.

On introducing the dimensionless transformation

$$ \xi = 25(\frac{V_f}{V_{f0}} - 1) \cdot \frac{J}{J_0} $$  

the JV curves in Fig. 1 essentially merge into a single function (Bernoulli function) in the low current density limit

$$ j = B(\xi) = \frac{\xi}{1 - \exp(-\xi)} $$  

while become linear functions in the high current density limit

$$ j = a\xi $$  

where

$$ V_{f0} = 3.443 + (13.2 T^2 - 4440 T) \times 10^{-6} V $$  

$$ J_0 = 10.53 T^2 - 1458 T + 296600 \ A/m^2 $$  

$$ a = (3.983 T^2 - 406.3 T + 111200) \cdot \frac{V_{f0}}{J_0} $$

In the formulation, $a$ is dimensionless while $T$ is in Celsius. To achieve a smooth transition between the Bernoulli function (5) and the linear function (6), the JV curve at a constant temperature is approximated with a Hermite polynomial by letting $\xi$ be a cubic polynomial of $j$ in the interval $\gamma_1 \leq j \leq \gamma_2$, where $\gamma_1 = j_b - 0.48$, $\gamma_2 = j_b + 0.48$, $j_b = a\xi_0$ with $\xi_0 = \ln(\frac{a}{a-1})$ being the intersection of the Bernoulli function (5) and the linear function (6).

Figure 2 shows a schematic diagram of typical LEDs with multiple quantum wells. The LED analyzed in the present study is a square chip of dimension $L = 100 \ \mu m$. The multiple quantum wells are located inside the active layer. The electrical and thermal conductivities for the n-GaN, p-GaN, and ITO from the literature and that employed in the present study are $\sigma_{ITO} = 400 \ \text{unit} \ 10^5 \text{s/m}$, and $k_n = 120 \ W/mK$. Use of the ITO layer is to achieve a good current spreading. It is important to note that the electrical conductivity of the p-GaN layer is very small as compared to that of the n-GaN layer and the ITO layer. Moreover, there is a great electrical potential drop across the active layer. Hence, the electrical current would spread along the ITO layer, and then goes through the p-GaN layer and active layer normally (vertically) on its way to the n-GaN layer [16], if an electrical potential is applied on the p-electrode while the n-electrode is grounded. This implies that the p-GaN layer and active layer can be treated as a contact resistance between the ITO and the n-GaN layer.
Let an ac electrical potential of frequency \( f \) and amplitude \( V_0 \) be applied on the p-electrode, while the n-electrode is grounded. The electrical field is insulated on all of the boundaries except for the electrodes. In the thermal field, one assumes that 25% of the energy consumption in the active layer (conversion efficiency \( \eta_{ce} = 0.25 \)) transfers to the environment by light-emitting through the p-GaN layer and ITO layer\([17, 18]\). The other 75% of the energy (including the heat generated by light absorption inside the LED chip) as well as the joule heating is extracted with the cooling device. Effect of natural convection on all of the boundaries is neglected.

Figure 4 illustrates a commercial ac LED bulb with fin cooling device. The electrical circuit is precisely designed such that each LED receives only forward electrical current. All of the LED chips share the same cooling device. The overall heat transfer coefficient over the substrate and the cooling device is defined by

\[
U = \frac{\overline{q}}{T - T_x}, \quad \overline{q} = \frac{A_{\text{fin}}}{A} h_e (T_{\text{fin}} - T_x) ds
\]

(9)

where \( \overline{q} \) represents the mean heat flux on the fin surface, \( \overline{T} \) is the mean temperature on the bottom surface of the n-GaN layer, \( h_e \) is heat transfer coefficient on the fin surface, \( T_{\text{fin}} \) is the fin surface temperature, and \( T_x \) is the ambient temperature. The fin surface area is far greater than that of the n-GaN layer \( (A_{\text{fin}} \gg A) \). The typical overall heat transfer coefficient \( U \) is in the range of \( 200 - 1000W/m^2K \). Some particular design in laboratory was reported to reach \( 40,000W/m^2K \)\([19]\). Due to the temperature dependence of the JV curve, the heat transfer in LEDs is a thermal-electrical coupling problem.

After making the dimensionless transformation

\[
x = \frac{X}{L}, \quad z = \frac{Z}{L}, \quad \hat{\sigma} = \frac{\sigma}{\sigma_{\text{ITO}}}, \quad \hat{\phi} = \frac{V}{V_0}, \quad \hat{J} = \frac{JL}{\sigma_{\text{ITO}}V_0}
\]

(10)

the electrical and energy equations are, respectively,

\[
\frac{\partial}{\partial x} (\hat{\sigma} \frac{\partial \hat{\phi}}{\partial x}) + \frac{\partial}{\partial z} (\hat{\sigma} \frac{\partial \hat{\phi}}{\partial z}) = 0
\]

(11)

\[
\frac{\partial \hat{\theta}}{\partial \hat{z}} = \frac{\hat{\theta}^2}{\hat{z}^2} + \frac{\hat{\phi}^2}{\hat{z}^2} + \frac{\hat{\phi}^2}{\hat{z}^2}
\]

(12)

where the source term in the RHS of Eq. (12) is the joule heating. The electrical conductivity \( \hat{\sigma} \) is a step function. It has the value of unity in the ITO layer and jumps to \( \beta_n \) in the n-GaN layer. The “contact resistance” between the ITO layer and the n-GaN layer is

\[
\mathcal{R}_c = \frac{h_p}{\beta_p} \frac{\phi_f}{j_z} \quad \text{at} \ 0 \leq x \leq 0.85 \quad \text{and} \quad z = 0
\]

(13)

where \( h_p \) is the thickness of the p-GaN layer, \( j_z = \hat{\phi} / \hat{z} \) is the dimensionless downward vertical current density on the ITO side of the “interface” and \( \phi_f = V_f / V_0 \) is the forward voltage drop across the p-n junction. The associated boundary conditions on the electrodes are

\[
\hat{\phi} = \sin(\Omega t) \quad \text{at} \ 0 \leq x \leq 0.1 \quad \text{and} \quad z = 0.00018
\]

\[
\hat{\phi} = 0 \quad \text{at} \ 0.9 \leq x \leq 1 \quad \text{and} \quad z = -0.00010
\]

(14)

For direct current, the function \( \sin(\Omega t) \) should be replaced by unity. Other boundaries are insulated as mentioned earlier. For thermal analysis, one needs to solve only the heat transfer inside the n-GaN layer, since the ITO layer is a thin and good electrical conductor. Moreover, the natural convection above the LED is negligible. In this connection, the heat generation in the p-GaN layer as well as the active layer is treated as a flat plane of natural convection on all of the boundaries is neglected.

\[
\overline{q} = \frac{h_p}{\beta_p} j_z
\]

(15a)

\[
\frac{\partial \hat{\theta}}{\partial \hat{z}} - Bi \hat{\theta} = 0 \quad \text{at} \ 0 \leq x \leq 1 \quad \text{and} \quad z = -0.00200
\]

(15b)
All of other boundary conditions are insulated.

Figure 5 The grid system employed in the computation.

NUMERICAL METHOD

The thermal-electrical problem is solved on a uniform rectangular grid system with the grid mesh

\[ x_i = (i - 1)\Delta x, \quad z_i = (l - 106.5)\Delta z \]  \hspace{1cm} (16)

where \( \Delta x = 0.01, \ i = 1, 2, ..., m, \ m = 101, \) and \( \Delta z = 0.00001895, \ l = 1, 2, ..., n, \ n = 116. \) After discretized with the central difference scheme, the electrical equation (11) becomes

\[ (a_W)_k \phi_{k-n} + (a_S)_k \phi_{k-1} + (a_F)_k \phi_k + (a_N)_k \phi_{k+1} + (a_E)_k \phi_{k+n} = (a_R)_k \]  \hspace{1cm} (17a)

where \( \phi_k \) represents the electrical potential at the grid point \((x_i,z_j)\). It is the \(k\)-th grid point if the grid points are numbered with \(k = (i - 1) + l\) [20]. The active layer \((z = 0)\) is located in between \(z_{106}\) and \(z_{107}\), and thus the conductivity \(\hat{\sigma}\) is unity for \(l \geq 107\), and \(\beta_n\) for \(l \leq 106\). The electrical resistance \((ER)\) between \(l = 106\) and \(l = 107\) is

\[ ER = \frac{\Delta z}{2\beta_n} + 9\epsilon_c + \frac{\Delta z}{2} \]  \hspace{1cm} (18)

This gives rise to the weighting factors

\[ (a_N)_k = (a_S)_{k+1} = \frac{\hat{\sigma}_{ex}}{(\Delta z)^2} \]  \hspace{1cm} (19)

\[ \hat{\sigma}_{ex} = \frac{1}{2\beta_n} + \frac{9\epsilon_c}{\Delta z} + \frac{1}{2} \]  \hspace{1cm} (20)

at \(k = (i - 1)n + 106\) (see Fig. 5). The notation \(\hat{\sigma}_{ex}\) will be referred to as the extended electrical conductivity in the present work. Similarly, the discretized energy equation (12) can be written as

\[ (b_W)_k \theta_{k-n} + (b_S)_k \theta_{k-1} + (b_P)_k \theta_k + (b_N)_k \theta_{k+1} + (b_E)_k \theta_{k+n} = (b_R)_k \]  \hspace{1cm} (21a)

\[ (b_W)_k = \frac{1}{(\Delta x)^2}, \quad (b_E)_k = \frac{1}{(\Delta x)^2}, \quad (b_S)_k = \frac{1}{(\Delta z)^2}, \]

\[ (a_N)_k = \frac{1}{(\Delta z)^2} \]

\[ (b_P)_k = -(b_W)_k - (b_E)_k - (b_S)_k - (b_N)_k - \frac{1}{\Delta t} \]

\[ (b_R)_k = -\left(\frac{\phi_{k+n} - \phi_{k-n}}{2\Delta x}\right)^2 - \left(\frac{\phi_{k+1} - \phi_{k-1}}{2\Delta z}\right)^2 - \frac{\theta_0}{\Delta t} \]  \hspace{1cm} (21b)

In the discretization procedure, the unsteady term has been discretized with the implicit scheme, i.e.

\[ \frac{\partial \theta}{\partial t} = \frac{\theta - \theta_0}{\Delta t} \]  \hspace{1cm} (22)

where \(\theta_0\) stands for the temperature at the previous time step \(\hat{t}_0 = \hat{t} - \Delta \hat{t}\). As mentioned earlier, one solves only the n-GaN layer for the temperature. The active layer along with the p-GaN layer is treated as a Neumann boundary condition (15a) at \(z = 0\).

For convenience, the numerical algorithm is described as follows.

1. Set the initial temperature \(\theta_0\) for the initial time \(\hat{t}_0 = 0\).
2. Guess the electrical potential \(\phi\) and the temperature \(\theta\) for the present time \(\hat{t} = \hat{t}_0 + \Delta \hat{t}\).
3. Compute \(j_z = \partial \phi/\partial z\) on the ITO side.
4. Determine \(\phi_f\) from the JV curve revealed in Fig. 1.
5. Evaluate \(\hat{\sigma}_{ex}\) from Eqs. (13) and (20).
6. Renew the electrical potential \(\phi\) by solving the matrix equation constituted by Eqs. (17), (19) and the associated boundary conditions.
7. Renew the temperature \(\theta\) by solving Eq. (12) with the boundary condition (15) and the other insulation boundary conditions.
8. Return to Step 3 and repeat the computations until both \(\phi\) and \(\theta\) converges within a prescribed tolerance.
9. Stop computation if the prescribed time limit is reached. Otherwise, set the “previous” temperature by letting \(\theta_0 = \theta\), and then go back to Step 3 for the next time step.

In Step 4, the forward voltage drop \(V_f\) across the p-n junction according to the current density

\[ J = \frac{\sigma_{irr} V_0}{L} j_z \]  \hspace{1cm} (23)

is determined by the JV curve of the LED under given temperature. During the loop of Steps 3-8, successive-over-relaxation factors \((SOR)\) for the electrical potential in Step 6 and \(SOR_\theta\) for the temperature in Step 7) might be needed. The value of \(SOR = SOR_\theta = 1\) with a tolerance of \(5 \times 10^{-6}\) is employed in the present study. To gain a good numerical stability, the finite difference equation (21) is solved with the SIS solver [20].
RESULTS AND DISCUSSION

The characteristics of LED depend strongly on the material and structure of the diode. In this section the LED fabricated by Lee et al. [6] is investigated for a better understanding on LEDs with multiple quantum wells. All of the test cases are based on the ambient temperatures of \( T_{\infty} = 26^\circ C \). The frequency of the alternating current applied on the p-electrode is \( f = 60 \text{Hz} \). Figure 6 shows the resulting electrical potential and isotherms when a dc electrical potential \( V_0 = 2.9 \text{V} \) is applied on the p-electrode. The overall heat transfer coefficient of the cooling device is \( U = 1000 \text{W/m}^2\text{K} \). It is important to see that the electrical current spreads through the ITO layer with only minor voltage drop (from 2.90V to 2.81V). Similarly, the electrical potential in the n-GaN layer decreases from 0.20V to zero. The major voltage drop seems to occur in the active layer and p-GaN layer. The temperature in the n-GaN layer is found to be in the range of \( 57 - 64.5^\circ C \). The maximum temperature occurs at the point \((X,Z) = (0,0)\).

Figure 7 reveals the maximum temperature for an alternating current of amplitude \( V_0 = 3.3 \text{V} \) under two representative overall heat transfer coefficients, i.e. \( U = 200 \text{W/m}^2\text{K} \) and \( 1000 \text{W/m}^2\text{K} \). The maximum temperature is seen to oscillate at frequency \( f = 60 \text{Hz} \) when the alternating current is applied on the p-electrode. For \( U = 200 \text{W/m}^2\text{K} \), the maximum temperature reaches the dynamic “steady-state” approximately 0.2 s after the electrical power is turned on. It takes less time for a larger cooling rate (e.g. 0.02 s for \( U = 1000 \text{W/m}^2\text{K} \)). For the case of \( V_0 = 3.3 \text{V} \) and \( U = 1000 \text{W/m}^2\text{K} \), the light-output power

\[
P = (\sigma_{\text{ITO}} V_0^2 L) \eta_{\text{diod}} \int_{0.10}^{0.85} J_x \delta_f dx
\]

(24)
generated in the p-n junction under dynamic steady-state is shown in Fig. 8 for a period of oscillation \( 0 \leq t^* \leq 0.01667 \text{s} \) with \( t^* \) being the time counting from the beginning of each period. Note that the light-emitting in the region beneath the p-electrode \((0 \leq x < 0.10)\) has been excluded from the integration (24) because the electrode is opaque. The dashed lines in Fig. 8 denote the times when the alternating current reaches the voltage peaks. The corresponding maximum temperature variation is also provided in Fig. 8. As expected, light emits only in the time interval \( 0.002154 \text{s} \leq t^* \leq 0.006419 \text{s} \) when the forward voltage drop exceeds the threshold value. The maximum temperature increases rapidly during this particular time interval, and gradually decreases thereafter. It is interesting to note that there is a time lag of \( 0.3125 \times 10^{-3} \text{s} \) between the peaks of the light-emitting power and the alternating current due to the temperature dependence of the JV curve. The forward voltage drop \( V_F \) will decrease when the temperature increases (see Fig. 1). This gives rise to a sharply increasing current density and
Figure 9 The value of $\hat{T}_{\text{max}}$ as a function of $V_0$ under various cooling rate.

Figure 10 The peak-to-peak amplitude of the maximum temperature $\Delta T$ as a function of $V_0$ under various cooling rates.

Figure 11 The average light-emitting power $\bar{P}$ as a function of $V_0$ under various cooling rates.

Figure 12 The system efficiency $\eta_{\text{sys}}$ as a function of $V_0$ under various cooling rates.

thus an increasing light-emitting power (24), if the total voltage drop between the electrodes maintains constant.

As illustrated in Figs. 7 and 8, the maximum temperature oscillates all the time when the alternating current is turned on. For convenience, the maximum value and the peak-to-peak amplitude of the maximum temperature are denoted by $\hat{T}_{\text{max}}$ and $\Delta T$, respectively. Both $\hat{T}_{\text{max}}$ and $\Delta T$ possess constant value at dynamic steady-state. Figure 9 shows the value of $\hat{T}_{\text{max}}$ as a function of $V_0$ under various cooling rates. The dashed curves represent the average light-output power over the whole time period at dynamic steady-state

$$\bar{P} = f \int_0^{1/f} P \, dt$$

(25)

It is evidenced from Fig. 9 that the maximum temperature can be efficiently controlled by the cooling rate. For instance, $\hat{T}_{\text{max}}$ decreases from $138^\circ \text{C}$ to $62^\circ \text{C}$ at $V_0 = 3.3V$ when the overall heat transfer coefficient increases from 200 to 800 $\text{W/m}^2\text{K}$ at essentially the same light-emitting power.

Figures 10-12 show, respectively, the peak-to-peak amplitude of the maximum temperature $\Delta T$, the average light-emitting power $\bar{P}$, and the system efficiency $\eta_{\text{sys}}$ as functions of $V_0$ under various cooling rates. The dashed curves denote three representative maximum temperatures ($\hat{T}_{\text{max}}$) of $60^\circ \text{C}$, $100^\circ \text{C}$, and $150^\circ \text{C}$. The energy consumption and its mean value over an oscillation period are, respectively,

$$E = (\sigma \cdot \text{ITO} \cdot V_0^2 \cdot L) \left(2\pi f \right) \int_0^{0.85} j_2 \, dx$$

$$\bar{E} = f \int_0^{1/f} E \, dt$$

(26)

The system efficiency $\eta_{\text{sys}}$ is defined by the ratio of $\bar{P}$ and $\bar{E}$. The numerical results reveal that increasing the cooling rate
increases the system efficiency $\eta_{sys}$ and decreases both maximum value $\hat{T}_{\text{max}}$ and peak-to-peak amplitude $\Delta T$ of the maximum temperature. It seems very good for the LEDs by increasing the cooling rate, although the light-emitting power might be slightly decreased (see Fig. 11).

Finally, it is mentioned that the JV curve for LEDs with multiple quantum wells vary from one LED to another depending on the material and structure of the LED that the conventional Shockley equation cannot predict. Unfortunately, no simple algebraic function is available to predict the JV curve for all of LEDs with multiple quantum wells so far. The present study illustrates a simple numerical method for the LED fabricated by Lee et al. [6] successfully. This numerical technique is believed to apply to most LEDs as well. Note also that a slight change in the forward voltage drop $V_f$ during the solution procedure might cause a great deal of variation for the current density according to the JV curve (see Fig. 1). To achieve a good numerical stability, the forward voltage drop $V_f$ is determined from a given current density in the numerical algorithm Step 4, and thus a shooting method is needed when $j < \gamma_1$ owing to the nonlinear Bernoulli function (5).

CONCLUSION
In the present study, a semi-empirical model based on the existing experimental measurements is proposed to evaluate the forwards voltage drop under given current density and temperature. The numerical model then is employed to investigate the electrical and temperature fields on ac LED with multiple quantum wells under various cooling rate. Based on the numerical results, the following conclusions are dawn.
1. The temperature of the LED oscillates under ac electrical potential. The temperature increases due to the heat generation arising from the electrical current across the p-n junction when the electrical potential exceeds the threshold voltage. Otherwise, there is no electrical current and thus the temperature decreases due to the effect of the cooling device.
2. Both light-emitting power and maximum temperature increase when the applied ac electrical potential increases.
3. The temperature of the LED can be efficiently controlled by increasing the cooling rate. Although increasing the cooling rate would decrease the light-emitting power, the influence is not significant.

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