

Bibliography

E. Aarts and J. Lenstra. *Local Search in Combinatorial Optimization*. Princeton Universality Press, 2003.

H. Abbas and M. Fahmy. Neural Networks for Maximum Likelihood Clustering. *Signal Processing*, vol. 36, no.1, pp. 111-126, 1994.

B. Al-kazemi and C. Mohan. Multi-phase Discrete Particle Swarm Optimization. In *the Third International Workshop on Frontiers in Evolutionary Algorithms*, Atlantic City, New Jersey, USA, 2000.

N. Alldrin, A. Smith and D. Turnbull. Clustering with EM and K-means, unpublished Manuscript, 2003, http://louis.ucsd.edu/~nalldrin/research/cse253_wi03.pdf (visited 15 Nov 2003).

K. Al-Sultan. A Tabu Search Approach to Clustering Problems. *Pattern Recognition*, vol. 28, pp. 1443-1451, 1995.

M. Amadasun and R. King. Low-level Segmentation of Multispectral Images via Agglomerative Clustering of Uniform Neighborhoods. *Pattern Recognition*, vol. 21, no. 3, pp. 261-268, 1988.

M. Anderberg. *Cluster Analysis for Applications*. Academic Press, New York, USA, 1973.

P. Angeline. Evolutionary Optimization versus Particle Swarm Optimization: Philosophy and Performance Difference. In *Proceedings of the Seventh Annual Conference on Evolutionary Programming*, pp. 601-610, 1998.

- P. Angeline. Using Selection to Improve Particle Swarm Optimization. In *International Conference on Evolutionary Computation*, Piscataway, New Jersey, USA, pp. 84-89, IEEE Service Center, 1998.
- J. Antoniadis, D. Haas, P. Palmadesso, M. Baumbach and L. J. Rickard. Use of Filter Vectors in Hyperspectral Data Analysis. In *Proceedings of SPIE*, vol. 2553, pp 128-139, 1995.
- G. Babu and M. Murty. A Near-Optimal Initial Seed Value Selection in K-means Algorithm Using a Genetic Algorithm. *Pattern Recognition Letters*, vol. 14, no. 10, pp. 763-769, 1993.
- F. Bach and M. Jordan. Learning Spectral Clustering. *Neural Information Processing Systems 16 (NIPS 2003)*, 2003.
- T. Bäck. Self-Adaptation in Genetic Algorithms. In *Proceedings of the First European Conference on Artificial Life*, pp. 227-235, MIT Press, 1992.
- T. Bäck, F. Hoffmeister and H. Schwefel. A Survey of Evolution Strategies. In *Proceedings of the Fourth International Conference on Genetic Algorithms and their Applications*, pp. 2-9, 1991.
- S. Baek, B. Jeon, D. Lee and K. Sung. Fast Clustering Algorithm for Vector Quantization. *Electronics Letters*, vol. 34, no. 2, pp. 151-152, 1998.
- R. Balasubramanian and J. Allebach. A New Approach to Platte Selection for Color Images. *Image Technology*, vol. 17, pp. 284-290, 1990.
- G. Ball and D. Hall. A Clustering Technique for Summarizing Multivariate Data. *Behavioral Science*, vol. 12, pp. 153-155, 1967.
- A. Bateson and B. Curtiss. A Method for Manual Endmember Selection and Spectral Unmixing. *Remote Sensing of Enviornment*, vol. 55, pp 229-243, 1996.

J. Bezdek. A Convergence Theorem for the Fuzzy ISODATA Clustering Algorithms. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 2, pp. 1-8, 1980.

J. Bezdek. *Pattern Recognition with Fuzzy Objective Function Algorithms*. Plenum Press, 1981.

H. Bischof, A. Leonardis and A. Selb. MDL Principle for Robust Vector Quantization. *Pattern Analysis and Applications*, vol. 2, pp. 59-72, 1999.

C. Bishop. *Neural Networks for Pattern Recognition*. Clarendon Press, Oxford, 1995.

N. Boujemaa. On Competitive Unsupervised Clustering. In *the International Conference on Pattern Recognition (ICPR'00)*, vol. 1, pp. 1631-1634, 2000.

E. Bonabeau, M. Dorigo and T. Theraulaz. *From Natural to Artificial Swarm Intelligence*. Oxford University Press, New York, USA, 1999.

M. Bramlette. Initialisation, Mutation and Selection Method in Genetic Algorithms for Function Optimization. In *Proceedings of the Fourth International Conference in Genetic Algorithms*, pp. 100-107, Morgan Kaufmann, 1991.

J. Braquelaire and L. Brun. Comparison and Optimization of Methods of Color Image Quantization. *IEEE Transactions on Image Processing*, vol. 6 no. 7, pp. 1048-1052, 1997.

C. Carpineto and G. Romano. A Lattice Conceptual Clustering System and Its Application to Browsing Retrieval. *Machine Learning*, vol. 24, no. 2, pp. 95-122, 1996.

M. Celenk. A Color Clustering Technique for Image Segmentation. *Computer Vision, Graphics and Image Processing*, vol. 52, pp. 145-170, 1990.

- M. Chang, I. Sezan and M. Tekalp. Adaptive Bayesian Segmentation of Color Images. *Journal of Electronic Imaging*, vol. 3, no. 4, pp. 404-414, 1994.
- C. Chen, J. Luo and K. Parker. Image Segmentation via Adaptive K-means Clustering and Knowledge-Based Morphological Operations with Biomedical Applications. *IEEE Transactions on Image Processing*, vol. 7, no. 12, pp. 1673-1683, 1998.
- H. Cheng, X. Jaing, Y. Sun and J. Wang. Color Image Segmentation: Advances & Prospects. *Pattern Recognition*, vol.34, pp. 2259-2281, 2001.
- S. Cheng and C. Yang. A Fast and Novel Technique for Color Quantization using Reduction of Color Space Dimensionality. *Pattern Recognition Letters*, vol. 22, pp. 845-856, 2001.
- J. Chinneck. Practical Optimization: a Gentle Introduction, 2000.
<http://www.sce.carleton.ca/faculty/chinneck/po.html> (visited 1 July 2004).
- S. Chu and J. Roddick. A Clustering Algorithm Using Tabu Search Approach with Simulated Annealing for Vector Quantization. *Chinese Journal of Electronics*, vol. 12, no. 3, pp. 349-353, 2003.
- F. Chung. *Spectral Graph Theory*. Society Press, 1997.
- M. Clerc. The Swarm and the Queen: Towards a Deterministic and Adaptive Particle Swarm Optimization. In *Proceedings of the Congress on Evolutionary Computation*, Washington DC, USA, vol. 3, pp. 1951-1957, IEEE Press, 1999.
- M. Clerc and J. Kennedy. The Particle Swarm: Explosion, Stability and Convergence in a Multi-Dimensional Complex Space. *IEEE Transactions on Evolutionary Computation*, vol. 6, pp. 58-73, 2001.
- G. Coath and S. Halgamuge. A Comparison of Constraint-handling Methods for the Application of Particle Swarm Optimization to Constrained Nonlinear Optimization

Problems. In *Proceedings of IEEE Congress on Evolutionary Computation 2003 (CEC 2003)*, Canbella, Australia. pp. 2419-2425, 2003.

C.A. Coello Coello. *An Empirical Study of Evolutionary Techniques for Multiobjective Optimization in Engineering Design, PhD Thesis*. Tulane University, 1996.

C. Coello Coello and M. Lechuga. MOPSO: A Proposal for Multiple Objective Particle Swarm Optimization. In *Congress on Evolutionary Computation*, Piscataway, New Jersey, USA, vol. 2, pp. 1051-1056, IEEE Service Center, 2002.

G. Coleman and H. Andrews. Image Segmentation by Clustering. In *Proceedings of IEEE*, vol. 67, pp. 773-785, 1979.

D. Comaniciu and P. Meer. Robust Analysis of Feature Spaces: Color Image Segmentation. In *Proceedings of IEEE Conference on Computer Vision and Pattern Recognition*, pp. 750-755, 1997.

D. Comaniciu and P. Meer. Mean Shift: A Robust Approach Toward Feature Space Analysis. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 24, no. 5, pp. 603-619, 2002.

E. Davies. *Machine Vision: Theory, Algorithms, Practicalities*. Academic Press, 2nd Edition, 1997.

D. Davies and D. Bouldin. A Cluster Separation Measure. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 1, no. 2, 1979.

A. Dekker. Kohonen Neural Networks for Optimal Colour Quantization. *Network: Computation in Neural Systems*, vol. 5, pp. 351-367, 1994.

M. Delgado, A. Skarmeta and H. Barberá. A Tabu Search Approach to the Fuzzy Clustering Problem. In *the Sixth IEEE International Conference on Fuzzy Systems*, Barcelona, 1997.

J. Devore. *Probability and Statistics for Engineering and the Sciences, fourth edition*. Duxbury Press, 1995.

L. Diaz and T. Milligan. *Antenna Engineering Using Physical Optics: Practical CAD Techniques and Software (Artech House Antenna and Propagation Library)*, Artech House Publishers, 1996.

M. Dorigo. *Optimization, Learning and Natural Algorithms (in Italian), PhD thesis*. Dipartimento di Elettronica, Politecnico di Milano, Italy, 1992.

M. Dorigo and G. Di Caro. *The Ant Colony Optimization Meta-Heuristic. New Methods in Optimization*, D. Corne, M. Dorigo and F. Glover, Eds., McGraw-Hill, 1999.

M. Dorigo, V. Maniezzo and A. Colomi. Positive Feedback as a Search Strategy. Technical Report, Report no. 91-016, Dipartimento di Elettronica, Politecnico di Milano, Italy, 1991.

J. C. Dunn. Well Separated Clusters and Optimal Fuzzy Partitions. *Journal of Cybernetics*, vol. 4, pp. 95-104, 1974.

R. Eberhart, P. Simpson and R. Dobbins. *Computational Intelligence PC Tools*. Morgan Kaufmann, 1996.

R. Eberhart and Y. Shi. Comparison between Genetic Algorithms and Particle Swarm Optimization. In *Proceedings of the Seventh Annual Conference on Evolutionary Programming*, pp. 611-619. Springer-Verlag, 1998.

R. Eberhart and Y. Shi. Evolving Artificial Neural Networks. In *Proceedings of the International Conference on Neural Networks and Brain*, Beijing, China, PL5-PL13, 1998.

- R. Eberhart and Y. Shi. Comparing Inertia Weights and Constriction Factors in Particle Swarm Optimization. In *Proceedings of the Congress on Evolutionary Computing*, San Diego, USA, pp. 84-89, 2000.
- A. El-Gallad, M. El-Hawary and A. Sallam. Swarming of Intelligent Particles for Solving the Nonlinear Constrained Optimization Problem. *Engineering Intelligent Systems for Electrical Engineering and Communications*, vol. 9, no. 3, pp. 155-163, 2001.
- A. Engelbrecht. *Computational Intelligence: An Introduction*. John Wiley and Sons, 2002.
- S. Esquivel and C. Coello Coello. On the use of Particle Swarm Optimization with Multimodal Functions. In *Proceedings of IEEE Congress on Evolutionary Computation*, pp 1130-1136, 2003.
- B. Everitt. *Cluster Analysis*. Heinemann Books, London, 1974.
- J. Fieldsend and S. Singh. A Multi-objective Algorithm based upon Particle Swarm Optimization, an Efficient Data Structure and Turbulence. In *The 2002 UK Workshop on Computational Intelligence*, UK, pp. 34-44, 2002.
- E. Fiume and M. Quellette. On Distributed, Probabilistic Algorithms for Computer Graphics. *Graphics Interface '89*, pp. 211-218, 1989.
- R. Fletcher. *Practical Methods of Optimization, second edition*. John Wiley & Sons, 2000.
- C. Floudas and P. Pardalos. *Recent Advances in Global Optimization*. Princeton University Press, 1992.
- L. Fogel. *Evolutionary Programming in Perspective: The Top-down View*. *Computational Intelligence: Imitating Life*, J.M. Zurada, R. Marks II and C. Robinson, Eds., Piscataway, New Jersey, USA, IEEE Press, 1994.

E. Forgy. Cluster Analysis of Multivariate Data: Efficiency versus Interpretability of Classification. *Biometrics*, vol. 21, pp. 768-769, 1965.

B. Freisleben and A. Schrader. An Evolutionary Approach to Color Image Quantization. In *Proceedings of IEEE International Conference on Evolutionary Computation*, pp. 459-464, 1997.

H. Frigui and R. Krishnapuram. Clustering by Competitive Agglomeration. *Pattern Recognition Letters*, vol. 30, no. 7, pp. 1109-1119, 1997.

H. Frigui and R. Krishnapuram. A Robust Competitive Clustering Algorithm with Applications in Computer Vision. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 21, no.5, pp. 450-465, 1999.

P. Frnti, J. Kivijrvi and O. Nevalainen. Tabu Search Algorithm for Codebook Generation in Vector Quantization. *Pattern Recognition*, vol. 31, no. 8, pp. 1139-1148, 1998.

C. Fuh, S. Cho and K. Essig. Hierarchical Color Image Region Segmentation for Content-Based Image Retrieval Systems. *IEEE Transactions on Image Processing*, vol. 9, no. 1, pp. 156-162, 2000.

Y. Fukuyama and H. Yoshida. A Particle Swarm Optimization for Reactive Power and Voltage Control in Electric Power Systems. In *Proceedings of the IEEE Congress on Evolutionary Computation*, Seoul, S. Korea, pp. 87-93, 2001.

K. Gabarro. Tabu Search Algorithm,
<http://www.lsi.upc.es/~mallba/public/library/firstProposal-BA/node11.html> (visited 18 August 2004).

Z. Gaing. Particle Swarm Optimization to Solving the Economic Dispatch Considering the Generator Constraints. *IEEE Transactions on Power Systems*, vol. 18, no. 3, pp. 1187-1195, 2003.

I. Gath and A. Geva. Unsupervised Optimal Fuzzy Clustering. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 11, no. 7, pp. 773-781, 1989.

M. Gervautz and W. Purgathofer. *A Simple Method for Color Quantization: Octree Quantization*. Graphics Gems, Academic Press, N.Y., 1990.

F. Glover. Tabu Search – Part I. *ORSA Journal on Computing*, vol. 1, no. 3, pp. 190-206, 1989.

F. Glover. Tabu Search – Part II. *ORSA Journal on Computing*, vol. 2, no. 1, pp. 4-32, 1990.

D. Goldberg. *Genetic Algorithms in search, optimization and machine learning*. Addison-Wesley, 1989.

R. Gonzalez and R. Woods. *Digital Image Processing*. Addison-Wesley, 1992.

P. Gray, W. Hart, L. Painton, C. Phillips, M. Trahan and John Wagner. A Survey of Global Optimization Methods, Sandia National Laboratories, 1997, <http://www.cs.sandia.gov/opt/survey> (visited 2 July 2004).

M. Halkidi, Y. Batistakis and M. Vazirgiannis. On Clustering Validation Techniques. *Intelligent Information Systems Journal*, Kluwer Publishers, vol. 17, no. 2-3, pp.107-145, 2001.

M. Halkidi and M. Vazirgiannis. Clustering Validity Assessment: Finding the Optimal Partitioning of a data set. In *Proceedings of ICDM Conference, CA, USA*, 2001.

M. Halkidi and M. Vazirgiannis. Clustering Validity Assessment using Multi representative. In *Proceedings of the Hellenic Conference on Artificial Intelligence, SETN*, Thessaloniki, Greece, 2002.

G. Hamerly. Learning Structure and Concepts in Data using Data Clustering, *PhD Thesis*. University of California, San Diego, 2003.

G. Hamerly and C. Elkan. Alternatives to the K-means Algorithm that Find Better Clusterings. In *Proceedings of the ACM Conference on Information and Knowledge Management (CIKM-2002)*, pp. 600-607, 2002.

G. Hamerly and C. Elkan. Learning the K in K-means. In *The Seventh Annual Conference on Neural Information Processing Systems*, 2003.

P. Heckbert. Color Image Quantization for Frame Buffer Display. *ACM Computer Graphics*, vol. 16, no. 3, pp. 297-307, 1982.

N. Higashi and H. Iba. Particle Swarm Optimization with Gaussian Mutation. In *Proceedings of the IEEE Swarm Intelligence Symposium 2003 (SIS 2003)*, Indianapolis, Indiana, USA. pp. 72-79, 2003.

A. Hlavka and M. A. Spanner. Unmixing AVHRR Imagery to Access Clearcuts and Forest Regrowth on Oregon. *IEEE Transactions on Geoscience and Remote Sensing*, vol. 33, pp 788-795, 1995.

J. Holland. Outline for a Logical Theory of Adaptive Systems. *Journal of the ACM*, vol. 3, pp. 297-314, 1962.

J. Holland. *Adaptation in Natural and Artificial Systems*. University of Michigan Press, Michigan, USA, 1975.

F. Hoppner, F. Klawonn, R. Kruse and T. Runkler. *Fuzzy Cluster Analysis, Methods for Classification, Data Analysis and Image Recognition*. John Wiley & Sons Ltd, 1999.

R. Horst, P. Pardalos and N. Thoai. *Introduction to Global Optimization*, second edition. Kluwer Academic Publishers, 2000.

- X. Hu. Particle Swarm Optimization: Bibliography, 2004.
<http://www.swarmintelligence.org/bibliography.php> (visited 8 February 2005).
- X. Hu and R. Eberhart. Adaptive Particle Swarm Optimization: Detection and Response to Dynamic Systems. In *Proceedings of congress on Evolutionary Computation*, Hawaii, USA, pp. 1666-1670, 2002.
- X. Hu and R. Eberhart. Multiobjective Optimization using Dynamic Neighborhood Particle Swarm Optimization. In *Proceedings of congress on Evolutionary Computation*, Hawaii, USA, pp. 1677-1681, 2002.
- X. Hu and R. Eberhart. Solving Constrained Nonlinear Optimization Problems with Particle Swarm Optimization. In *the Sixth World Multiconference on Systemics, Cybernetics and Informatics (SCI 2002)*, Orlando, USA, 2002.
- K. Huang. A Synergistic Automatic Clustering Technique (Syneract) for Multispectral Image Analysis. *Photogrammetric Engineering and Remote Sensing*, vol. 1, no.1, pp. 33-40, 2002.
- A. Ismail and A. Engelbrecht. Global Optimization Algorithms for Training Product Unit Neural Networks. In *IEEE International Conference on Neural Networks*, Como, Italy, 2000.
- A. Jain and R. Dubes. *Algorithms for Clustering Data*. Prentice Hall, New Jersey, USA, 1988.
- A. Jain, R. Duin and J. Mao. Statistical Pattern Recognition: A Review. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 22, no.1, pp. 4-37, 2000.
- R. Jain, R. Kasturi and B. Schunck. *Machine Vision*. McGraw-Hill, Inc., New York, USA, 1995.

- A. Jain, M. Murty and P. Flynn. Data Clustering: A Review. *ACM Computing Surveys*, vol. 31, no. 3, pp. 264-323, 1999.
- C. Janikow and Z. Michalewicz. An Experimental Comparison of Binary and Floating Point Representations in Genetic Algorithm. In *Proceedings of the Fourth International Conference in Genetic Algorithms*, pp. 31-36, Morgan Kaufmann, 1991.
- A. Jensen and S. Kristensen. Basic PSO versus Multi Swarm PSO. Topics of Evolutionary Computation, EVALife, Department of Computer Science, University of Aarhus, Denmark, 2002.
- D. Judd, P. Mckinley and A. Jain. Large-scale Parallel Data Clustering. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 20, no. 8, pp. 871-876, 1998.
- R. Kass and L. Wasserman. A Reference Bayesian Test for Nested Hypotheses and its Relationship to the Schwarz Criterion. *Journal of the American Statistical Association*, vol. 90, no. 431, pp. 928-934, 1995.
- T. Kaukoranta, P. Fränti and O. Nevalainen. A New Iterative Algorithm for VQ Codebook Generation. *International Conference on Image Processing*, pp. 589-593, 1998.
- J. Kennedy. Small Worlds and Mega-Minds: Effects of Neighborhood Topology on Particle Swarm Performance. In *Proceedings of the Congress on Evolutionary Computation*, pp. 1931-1938, 1999.
- J. Kennedy and R. Eberhart. Particle Swarm Optimization. In *Proceedings of IEEE International Conference on Neural Networks*, Perth, Australia, vol. 4, pp. 1942-1948, 1995.
- J. Kennedy and R. Eberhart. A Discrete Binary Version of the Particle Swarm Algorithm. In *Proceedings of the Conference on Systems, Man, and Cybernetics*, pp. 4104-4109, 1997.

- J. Kennedy and R. Eberhart. *Swarm Intelligence*. Morgan Kaufmann, 2001.
- J. Kennedy and R. Medes. Population Structures and Particle Swarm Performance. In *Proceedings of the IEEE Congress on Evolutionary Computation*, Hawaii, USA, 2002.
- J. Kennedy and W. Spears. Matching Algorithms to Problems: An Experimental Test of the Particle Swarm and Some Genetic Algorithms on the Multimodal Problem Generator. In *IEEE International Conference on Evolutionary Computation*, Anchorage, Alaska, USA, 1998.
- R. Klein and R. Dubes. Experiments in Projection and Clustering by Simulated Annealing. *Pattern Recognition*, vol. 22, pp. 213-220, 1989.
- T. Kohonen. *Self-Organizing Maps*. Springer Series in Information Sciences, 30, Springer-Verlag, New York, USA, 1995.
- B. Korte and J. Vygen. *Combinatorial Optimization: Theory and Algorithms, second edition*. Springer-Verlag, Berlin, 2002.
- C. Kotropoulos, E. Augé and I. Pitas. *Two-layer Learning Vector Quantizer for Color Image Quantization*. *Signal Processing IV: Theories and Applications*, J. Vandewalle, R. Boite, M. Moonen, A. Oosterlinck, Eds., pp. 1177-1180, 1992.
- J. Koza. *Genetic Programming: On the Programming of Computers by means of Natural Selection*. MIT Press, Cambridge, Massachusetts, 1992.
- T. Krink, and M. Løvbjerg. The LifeCycle model: Combining Particle Swarm Optimisation, Genetic Algorithms and HillClimbers. In *Proceedings of Parallel Problem Solving from Nature VII*, pp. 621-630, 2002.

T. Krink, J. Vesterstrøm, J. Riget. Particle Swarm Optimization with Partial Particle Extension. In *Proceedings of the Fourth Congress on Evolutionary Computation*, 2002.

Krishnapuram and Keller. A Possibilistic Approach to Clustering. *IEEE Transactions on Fuzzy Systems*, vol. 1, no. 2, pp. 98-110, 1993.

Krishnapuram and Keller. The Possibilistic C-Means algorithm: Insights and Recommendations. *IEEE Transactions on Fuzzy Systems*, vol. 4, no. 3, pp. 385-393, 1996.

L. Kuncheva and J. Bezdek. Nearest Prototype Classification: Clustering, Genetic Algorithms, or Random Search?. *IEEE Transactions on Systems, Man, and Cybernetics-Part C: Applications and Reviews*, vol. 28, no. 1, pp. 160-164, 1998.

S. Kwok and A. Constantinides. A Fast Recursive Shortest Spanning Tree for Image Segmentation and Edge Detection. *IEEE Transactions on Image Processing*, vol. 6, no. 2, pp. 328-332, 1997.

C. Lee and E. Antonsson. Dynamic Partitional Clustering Using Evolution Strategies. In *The Third Asia-Pacific Conference on Simulated Evolution and Learning*, 2000.

A. Leon-Garcia. *Probability and Random Processes for Electrical Engineering*, second edition. Addison Wesley, 1994.

Y. Leung, J. Zhang and Z. Xu. Clustering by Space-Space Filtering. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 22, no.12, pp. 1396-1410, 2000.

A. Liew, S. Leung and W. Lau. Fuzzy Image Clustering Incorporating Spatial Continuity. In *IEE Proceedings Vision, Image and Signal Processing*, vol. 147, no. 2, 2000.

T. Lillesand and R. Kiefer. *Remote Sensing and Image Interpretation*, John Wiley & Sons Publishing, New York, USA, 1994.

A. Lorette, X. Descombes and J. Zerubia. Fully Unsupervised Fuzzy Clustering with Entropy Criterion. In *International Conference on Pattern Recognition (ICPR'00)*, vol. 3, pp. 3998-4001, 2000.

M. Løvberg. *Improving Particle Swarm Optimization by Hybridization of Stochastic Search Heuristics and Self Organized Critically*, Master's Thesis. Department of Computer Science, University of Aarhus, Denmark, 2002.

M. Løvberg, T. Rasmussen and T. Krink. Hybrid Particle Swarm Optimiser with Breeding and Subpopulation. In *Proceedings of the Third Genetic and Evolutionary Computation Conference*, vol. 1, pp. 469-476, 2001.

M. Løvberg and T. Krink. Extending Particle Swarm Optimizers with Self-Organized Criticality. In *Proceedings of the Fourth Congress on Evolutionary Computation*, vol. 2, pp. 1588-1593, 2002.

S. Lu and K. Fu. A Sentence-to-Sentence Clustering Procedure for Pattern Analysis. *IEEE Transaction on Systems, Man and Cybernetics*, vol. 8, pp. 381-389, 1978.

L. Lucchese and S. Mitra. Color Image Segmentation: A State-of-the-Art Survey. In *Proceedings of the Indian National Science Academy (INSA-A)*, New Delhi, India, vol. 67, no. 2, pp. 207-221, 2001.

J. MacQueen. Some Methods for Classification and Analysis of Multivariate Observations. In *Proceedings Fifth Berkeley Symposium on Mathematics, Statistics and Probability*, vol. 1, pp. 281-297, 1967.

F. Maselli. Multiclass Spectral Decomposition of Remotely Sensed Scenes by Selective Pixel Unmixing. *IEEE Transactions on Geoscience and Remote Sensing*, vol. 36, no. 5, pp. 1809-1819, 1998.

U. Maulik and S. Bandyopadhyay. Genetic Algorithm-Based Clustering Technique. *Pattern Recognition*, vol. 33, pp. 1455-1465, 2000.

G. McLachlan and T. Krishnan. *The EM algorithm and Extensions*. John Wiley & Sons, Inc., 1997.

K. Mehrotra, C. Mohan and Rakka. *Elements of Artificial Neural Networks*. MIT Press, 1997.

Z. Michalewicz. *Genetic Algorithms + Data Structures = Evolution Programs*, third edition. Springer-Verlag, Berlin, 1996.

Z. Michalewicz and D. Fogel. *How to Solve It: Modern Heuristics*. Springer-Verlag, Berlin, 2000.

C. Mohan and B. Al-Kazemi. Discrete Particle Swarm Optimization. In *Proceedings Workshop on Particle Swarm Optimization*, Purdue School of Engineering and Technology, USA, 2001.

F. Murtagh, A. Raftery and J. Starck. Bayesian Inference for Color Image Quantization via Model-Based Clustering Trees. Technical Report no. 402. Department of Statistics, University of Washington, USA, 2001.

A. Ng, M. Jordan and Y. Weiss. On Spectral Clustering: Analysis and an Algorithm. In *Proceedings of Neural Information Processing Systems (NIPS 2001)*, 2001.

J. Oliver and D. Hand. Introduction to Minimum Encoding Inference. Technical Report no. 94/205. Department of Computer Science, Monash University, Australia, 1994.

E. Ozcan and C. Mohan. Analysis of a Simple Particle Swarm Optimization System. *Intelligent Engineering Systems Through Artificial Neural Networks*, vol. 8, pp. 253-258, 1998.

- N. Pal and J. Biswas. Cluster Validation using Graph Theoretic Concepts. *Pattern Recognition*, vol. 30, no. 6, 1997.
- A. Pandya and R. Macy. *Pattern Recognition with Neural Networks in C++*. CRC Press, 1996.
- T. Pappas. An Adaptive Clustering Algorithm for Image Segmentation. *IEEE Transactions on Signal Processing*, vol. 40, no. 4, pp. 901-914, 1992.
- P. Pardalos, A. Migdalas and R. Burkard. *Combinatorial and Global Optimization*. World Scientific Publishing Company, 2002.
- L. Parra, C. Spence, P. Sajda, A. Ziehe and K. Müller. Unmixing Hyperspectral Data. In *Advances in Neural Information Processing Systems 12*, MIT Press, pp. 942-948, 2000.
- K. Parsopoulos and M. Vrahatis. *Particle Swarm Optimization Method for Constrained Optimization Problems. Intelligent Technologies - Theory and Applications: New Trends in Intelligent Technologies*, P. Sincak, J. Vascak, V. Kvasnicka and J. Pospichal, Eds., IOS Press, 2002.
- E. Peer, F. Van den Bergh and A. Engelbrecht. Using Neighborhoods with the Guaranteed Convergence PSO. In *Swarm Intelligence Symposium*, Piscataway, New Jersey, USA, pp. 235-242, IEEE Service Center, 2003.
- D. Pelleg and A. Moore. X-means: Extending K-means with Efficient Estimation of the Number of Clusters. In *Proceedings of the 17th International Conference on Machine Learning*, pp. 727-734, Morgan Kaufmann, San Francisco, CA, 2000.
- J. Puzicha, T. Hofmann and J. M. Buhmann. Histogram Clustering for Unsupervised Image Segmentation. In *IEEE Proceedings of the Computer Vision and Pattern Recognition*, vol. 2, pp. 602-608, 2000.

V. Raghavan and K. Birchard. A Clustering Strategy Based on a Formalism of the Reproductive Process in a Natural System. In *Proceedings of the Second International Conference on Information Storage and Retrieval*, pp. 10-22, 1979.

R. Rardin. *Optimization in Operations Research*. Prentice Hall, New Jersey, USA, 1998.

A. Ratnaweera, S. Halgamuge and H. Watson. Particle Swarm Optimization with Self-adaptive Acceleration Coefficients. In *Proceedings of the 1st International Conference on Fuzzy Systems and Knowledge Discovery 2002 (FSKD 2002)*, pp. 264-268, 2003.

R. Rendner and H. Walker. Mixture Densities, Maximum Likelihood and the EM Algorithm. *SIAM Review*, vol. 26, no. 2, 1984.

R. Reynolds, B. Peng and J. Brewster. Cultural swarms II: Virtual algorithm emergence. In *Proceedings of IEEE Congress on Evolutionary Computation 2003 (CEC 2003)*, Canbella, Australia, pp. 1972-1979, 2003.

J. Riget and J. Vesterstrøm. A Diversity-Guided Particle Swarm Optimizer – The ARPSO. EVALife Technical Report no. 2002-2, 2002.

Rissanen. Modeling by Shortest Data Description. *Automatica*, vol. 14, pp. 465-471, 1978.

J. Robinson, S. Sinton and Y. Rahmat-Samii. Particle Swarm, Genetic Algorithm, and their Hybrids: Optimization of a Profiled Corrugated Horn Antenna. In *IEEE International Symposium on Antennas & Propagation*. San Antonio, Texas, USA, 2002.

C. Rosenberger and K. Chehdi. Unsupervised Clustering Method with Optimal Estimation of the Number of Clusters: Application to Image Segmentation. In *The International Conference on Pattern Recognition (ICPR'00)*, vol. 1, pp. 1656-1659, 2000.

X. Rui, C. Chang and T. Srikanthan. On the initialization and Training Methods for Kohonen Self-Organizing Feature Maps in Color Image Quantization. In *Proceedings of the First IEEE International Workshop on Electronic Design, Test and Applications*, 2002.

E. Saber, A. Tekalp and G. Bozdagi. Fusion of Color and Edge Information for Improved Segmentation and Edge Linking. In *Proceedings of IEEE International Conference on Acoustics, Speech, and Signal Processing*, vol. 4, pp. 2176-2179, 1996.

J. Saghri, A. Tescher, F. Jaradi and M. Omran. A Viable End-Member Selection Scheme for Spectral Unmixing of Multispectral Satellite Imagery Data. *Journal of Imaging Science and Technology*, vol. 44, no. 3, pp. 196-203, 2000.

J. Saghri, A. Tescher and M. Omran. Class-Prioritized Compression of Multispectral Imagery Data. *Journal of Electronic Imaging*, vol. 11, no. 2, pp. 246-256, 2002.

A. Salman. *Linkage Crossover Operator for Genetic Algorithms, PhD Dissertation*. School of Syracuse University, USA, 1999.

P. Scheunders. A Comparison of Clustering Algorithms Applied to Color Image Quantization. *Pattern Recognition Letters*, vol. 18, no. 11-13, pp. 1379-1384, 1997.

P. Scheunders. A Genetic C-means Clustering Algorithm Applied to Image Quantization. *Pattern Recognition*, vol. 30, no. 6, 1997.

P. Scheunders and S. De Backer. Joint Quantization and Error Diffusion of Color Images using Competitive Learning. In *International Conference on Image Processing*, vol. 1, pp. 811, 1997.

L. Schoofs and B. Naudts. Swarm Intelligence on the Binary Constraint Satisfaction Problem. In *Proceedings of the IEEE Congress on Evolutionary Computation (CEC 2002)*, Honolulu, Hawaii USA, 2002

- J. J. Settle and N. A. Drake. Linear Mixing and Estimation of Ground Cover Proportions. *International Journal in Remote Sensing*, vol. 14, no. 6, pp 1159-1177, 1993.
- S. Shafer and T. Kanade. Color Vision. *Encyclopedia of Artificial Intelligence*, pp. 124-131, Wiley, 1987.
- Y. Shi and R. Eberhart. A Modified Particle Swarm Optimizer. In *Proceedings of the IEEE International Conference on Evolutionary Computation*, Piscataway, New Jersey, pp. 69-73, 1998.
- Y. Shi and R. Eberhart. Parameter Selection in Particle Swarm Optimization. *Evolutionary Programming VII: Proceedings of EP 98*, pp. 591-600, 1998.
- Y. Shi and R. Eberhart. Fuzzy Adaptive Particle Swarm Optimization. In *Proceedings Congress on Evolutionary Computation*, Seoul, S. Korea, 2001.
- J. Shi and J. Malik. Normalized Cuts and Image Segmentation. In *Proceedings of IEEE International Conference on computer Vision and Pattern Recognition*, pp. 731-737, 1997.
- P. Sneath and R. Sokal. *Numerical Taxonomy*. Freeman, London, UK, 1973.
- J. Spall. *Introduction to Stochastic Search and Optimization*, first edition. Wiley-Interscience, 2003.
- R. Storn and K. Price. Differential Evolution – A Simple and Efficient Heuristic for Global Optimization over Continuous Spaces. *Global Optimization*, vol. 11, pp. 341-359, 1997.
- M. Su. Cluster Analysis: Chapter two Lecture notes, 2002, <http://selab.csie.ncu.edu.tw/~muchun/course/cluster/CHAPTER%202.pdf> (visited 15 August 2004).

- P. Suganthan. Particle Swarm Optimizer with Neighborhood Optimizer. In *Proceedings of the Congress on Evolutionary Computation*, pp. 1958-1962, 1999.
- S. Theodoridis and K. Koutroubas. *Pattern Recognition*. Academic Press, 1999.
- J. Tou. DYNOC – A Dynamic Optimal Cluster-seeking Technique. *International Journal of Computer and Information Sciences*, vol. 8, no. 6, pp. 541-547, 1979.
- J. Tou and R. Gonzalez. *Pattern Recognition Principles*. Addison-Wesley, Massachusetts, USA, 1974.
- I. Trelea. The Particle Swarm Optimization Algorithm: Convergence Analysis and Parameter Selection. *Information Processing Letters*, vol. 85, no. 6, pp. 317-325, 2003.
- M. Trivedi and J. Bezdek. Low-level Segmentation of Aerial Images with Fuzzy Clustering. *IEEE Transactions on Systems, Man and Cybernetics*, vol. 16, no. 4, pp. 589-598, 1986.
- D. Tsou and C. MacNish. Adaptive Particle Swarm Optimisation for High-dimensional Highly Convex Search Spaces. In *Proceedings of IEEE Congress on Evolutionary Computation 2003 (CEC 2003)*, Canbella, Australia. pp. 783-789, 2003.
- R.H. Turi. *Clustering-Based Colour Image Segmentation, PhD Thesis*. Monash University, Australia, 2001.
- T. Uchiyama and M. Arbib. Color Image Segmentation using Competitive Learning. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 16, no. 12, pp. 1197-1206, 1994.
- F. Van den Bergh. *An Analysis of Particle Swarm Optimizers, PhD Thesis*. Department of Computer Science, University of Pretoria, South Africa, 2002.

F. Van den Bergh and A. Engelbrecht. Cooperative Learning in Neural Networks using Particle Swarm Optimizers. *South African Computer Journal*, vol. 26, pp. 84-90, 2000.

F. Van den Bergh and A.P. Engelbrecht. Effects of Swarm Size on Cooperative Particle Swarm Optimizers. In *Proceedings of the Genetic and Evolutionary Computation Conference*, San Francisco, USA, pp. 892-899, 2001.

F. Van den Bergh and A.P. Engelbrecht. A New Locally Convergent Particle Swarm Optimizer. In *Proceedings of the IEEE Conference on Systems, Man, and Cybernetics*, Hammamet, Tunisia, 2002.

D. Van der Merwe and A. Engelbrecht. Data Clustering using Particle Swarm Optimization. In *IEEE Congress on Evolutionary Computation*. Canberra, Australia, pp. 215-220, 2003

P. Van Laarhoven and E. Aarts. *Simulated Annealing: Theory and Applications*. Kluwer Academic Publishers, 1987.

C. Veenman, M. Reinders and E. Backer. A Maximum Variance Cluster Algorithm. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 24, no. 9, pp. 1273-1280, 2002.

C. Veenman, M. Reinders and E. Backer. A Cellular Coevolutionary Algorithm for Image Segmentation. *IEEE Transactions on Image Processing*, vol. 12, no. 3, pp. 304-316, 2003.

K. Veeramachaneni, T. Peram, C. Mohan and L. Osadciw. Optimization Using Particle Swarm with Near Neighbor Interactions. *Lecture Notes Computer Science*, vol. 2723, Springer Verlag, 2003.

L. Velho, J. Gomes and M. Sobreiro. Color Image Quantization by Pairwise Clustering. In *Proceedings of the Tenth Brazilian Symposium on Computer Graphics and Image Processing*, pp. 203-207, 1997.

- G. Venter and J. Sobieszczanski-Sobieski. Particle Swarm Optimization. In *the 43rd AIAA/ASME/ASCE/AHA/ASC Structures, Structural Dynamics and Materials Conference*, Denver, Colorado, USA, 2002.
- C. Wallace. An Improved Program for Classification. Technical Report no. 47. Department of Computer Science, Monash University, Australia, 1984.
- C. Wallace and D. Boulton. An Information Measure for Classification. *The Computer Journal*, vol. 11, pp. 185-194, 1968.
- C. Wallace and D. Dowe. Intrinsic Classification by MML – the snob program. In *Proceedings Seventh Australian Joint Conference on Artificial Intelligence, UNE*, Armidale, NSW, Australia, pp. 37-44, 1994.
- S. Wan, P. Prusinkiewicz and S. Wong. Variance-based Color Image Quantization for Frame Buffer Display. *Color Research and Application*, vol. 15, no. 1, pp. 52-58, 1990.
- A. Watt. *Three-Dimensional Computer Graphics*. Addison-Wesley, 1989.
- H. Weiss. Genetic Algorithms and Optimum Robot Design, Institute of Robotics and Mechatronics, 2003, <http://www.robotic.dlr.de/Holger.Weiss/garep/node3.html> (visited 6 July 2004).
- D. Whitley and S. Rana. Search, Binary Representations, and Counting Optima. In *Proceeding of a Workshop on Evolutionary Algorithms*, Sponsored by the Institute for Mathematics and its Applications, 1998.
- J. Wu, H. Yan and A. Chalmers. Color Image Segmentation Using Fuzzy Clustering and Supervised Learning. *Journal of Electronic Imaging*, vol. 3, no. 4, pp. 397-403, 1994.

- X. Wu and K. Zhang. A Better Tree-Structured Vector Quantizer. In *Proceedings IEEE Data Compression Conference*, pp. 392-401, 1991.
- Z. Xiang. Color Image Quantization by Minimizing the Maximum Inter-cluster Distance. *ACM Transactions on Graphics*, vol. 16, no. 3, pp. 260-276, 1997.
- Z. Xiang and G. Joy. Color Image Quantization by Agglomerative Clustering. *IEEE Computer Graphics and Applications*, vol. 14, no. 3, pp. 44-48, 1994.
- X. Xie, W. Zhang and Z. Yang. A Dissipative Particle Swarm Optimization. In *IEEE Congress on Evolutionary Computation*, Honolulu, Hawaii, USA, 2002.
- K. Yasuda, A. Ide and N. Iwasaki. Adaptive Particle Swarm Optimization. In *Proceedings of IEEE International Conference on Systems, Man and Cybernetics*, pp. 1554-1559, 2003.
- H. Yoshida, K. Kawata, Y. Fukuyama and Y. Nakanishi. A Particle Swarm Optimization for Reactive Power and Voltage Control Considering Voltage Stability. In *Proceedings of the International Conference on Intelligent System Application to Power Systems*, Rio de Janeiro, Brazil, pp. 117–121, 1999.
- B. Zhang. Generalized K-Harmonic Means - Boosting in Unsupervised Learning. Technical Report HPL-2000-137. Hewlett-Packard Labs, 2000.
- B. Zhang, M. Hsu and U. Dayal. K-Harmonic Means - A Data Clustering Algorithm. Technical Report HPL-1999-124. Hewlett-Packard Labs, 1999.
- W. Zhang, Y. Liu and M. Clerc. An Adaptive PSO Algorithm for Reactive Power Optimization. In *Advances in Power System Control Operation and Management*, Hongkong, 2003.
- W. Zhang and X. Xie. DEPSO: Hybrid Particle Swarm with Differential Evolution Operator. In *IEEE International Conference on Systems, Man and Cybernetics*, Washington DC, USA, pp. 3816-3821, 2003.

Y. Zhang, M. Brady and S. Smith. Segmentation of Brain MR Images Through a Hidden Markov Random Field Model and the Expectation-Maximization Algorithm. *IEEE Transactions on Medical Imaging*, vol. 20, no. 1, pp. 45-57, 2001.

Y. Zheng, L. Ma, L. Zhang and J. Qian. Robust PID Controller Design using Particle Swarm Optimizer. In *Proceedings of IEEE International Symposium on Intelligence Control*, pp. 974-979, 2003.

Appendix A

Definition of Terms and Symbols

This appendix lists the terms and symbols frequently used in this thesis.

pattern is a single object or data point used by the clustering algorithm.

cluster is a set of similar patterns, and patterns from different clusters are not similar.

N_c is the maximum number of clusters.

N_d is the dimension of the data set.

N_p is the number of patterns to be clustered. If the data set is an image (or a set of images) N_p denotes the number of image pixels.

\mathfrak{R} is the set of all real numbers

Z denotes the dataset being clustered (i.e. the set of patterns).

z_p denotes the coordinates of pattern (or pixel) p .

C_k denotes the k^{th} cluster.

m_k denotes the centroid of C_k .

K denotes the number of clusters.

x_i is the current position of particle i .

v_i is the current velocity of particle i .

y_i is the personal best position of particle i .

\hat{y}_i is the neighborhood best position of particle i .

\hat{y} is the position of the global best particle.

f denotes the function being optimized.

t denotes time or time steps.

Appendix B

Derived Publications

This appendix provides a list of publications that have been published, or are currently being reviewed, that were derived from the work introduced in this thesis.

Journal Publications:

1. M. Omran, A. Engelbrecht and A. Salman. Particle Swarm Optimization Method for Image Clustering. To appear in the in the *International Journal of Pattern Recognition and Artificial Intelligence*.
2. A. Salman, M. Omran and A. Engelbrecht. SIGT: Synthetic Image Generation Tool for Clustering Algorithms. To appear in the *ICGST International Journal on Graphics, Vision and Image Processing (GVIP)*, vol. V2, pp. 33-44, January, 2005.
3. M. Omran, A. Salman and A. Engelbrecht. Dynamic Clustering using Particle Swarm Optimization with Application in Image Segmentation. *Pattern Recognition*, submitted 2004.
4. M. Omran, A. Engelbrecht and A. Salman. A PSO-based End-Member Selection Method for Spectral Unmixing of Multispectral Satellite Images, *Pattern Recognition*, submitted, 2004.
5. M. Omran, A. Engelbrecht amd A. Salman. A PSO-based Color Image Quantizer, *Special issue of Soft Computing Journal in image processing*, submitted, 2004.

Book Chapter:

6. M. Omran, A. Engelbrecht and A. Salman. Image Classification using Particle Swarm Optimization. *Recent Advances in Simulated Evolution and Learning*, K. Tan, M. Lim, X. Yao and L. Wang (Editors), World Scientific, Series on Advances in Natural Computation, 2004.

Conference Publications:

7. M. Omran, A. Salman and A. Engelbrecht. Image Classification using Particle Swarm Optimization. In *Conference on Simulated Evolution and Learning*, Singapore, pp. 370-374, November 2002.