

# THE ROLE OF THE AFRICAN PEER REVIEW MECHANISM IN INDUCING COMPLIANCE WITH HUMAN RIGHTS

by

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### **DECLARATION**

I declare that the thesis, which I hereby submit for the degree Doctor Legum (LLD), at the University of Pretoria, is my own work and has not previously been submitted by me for a degree at this or any other tertiary institution.

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#### **SUMMARY**

The African Peer Review Mechanism (APRM) was developed under the New Partnership for Africa's Development (NEPAD), the development framework of the African Union (AU) which replaced the Organization of African Unity (OAU) in 2002. Through the APRM, the AU has established a system for assessment of governance in participating countries and for the development of programmes of action to address identified shortcomings.

The APRM is a voluntary, 'soft' mechanism of supervision which combines self-assessment with regional monitoring. The APRM takes a holistic approach to governance with a mandate covering democracy and political governance, economic governance, corporate governance and socio-economic development.

This study considers the role that the APRM plays in the realisation of human rights. It examines the manner in which human rights are reflected in the APRM framework documents as well as the manner in which rights-based principles such as participation, accountability and transparency are reflected in the process. The strengths and weaknesses of various methods of international monitoring to ensure compliance with human rights are examined. The APRM country review reports and implementation reports of Ghana, Rwanda and Kenya are studied in conjunction with reports from domestic and international human rights monitoring bodies and national development plans. The aim of the study is to ascertain whether the APRM adds value to mechanisms established with the purport of assisting in the realisation of human rights.

This study illustrates that the APRM plays a complementary role in human rights monitoring. It is clear, however, that it is only able to play a meaningful role if the state under review is motivated to undertake reform. Human rights have a role to play with regard to the APRM process itself and in identifying and addressing



governance shortcomings. The specific and time-bound commitments in the Programme of Action are unique to the APRM. If these commitments are developed through a rights-based approach and their implementation adequately monitored the APRM could play an important role in inducing compliance with human rights.

**Keywords:** accountability, compliance, democracy, development, human rights, governance, impact, indicators, monitoring, participation, peer review, poverty, state reporting, supervision, transparency

#### ABBREVIATIONS AND ACRONYMS

ADB African Development Bank

AEC African Economic Community

AAF-SAP African Alternative to Structural Adjustment Programmes

AIDS Acquired Immune Deficiency Syndrome

APPER Africa's Priority Position on Economic Recovery

AU African Union

ARPM African Peer Review Mechanism

CAT Convention against Torture and Other Cruel, Inhuman or Degrading

Treatment or Punishment

CESCR International Covenant on Economic, Social and Cultural Rights

CERD International Convention on the Elimination of All Forms of Racial

Discrimination

CHRAJ Commission on Human Rights and Administrative Justice

CMW International Convention on the Protection of the Rights of All

Migrant Workers and Members of their Families

COMESA Common Market for Eastern and Southern Africa

CRC Convention on the Rights of the Child

CEDAW Convention on the Elimination of All Forms of Discrimination

against Women

CSSDCA Conference on Security, Stability, Development and Cooperation in

Africa

DBSA Development Bank of Southern Africa

DRC Democratic Republic of the Congo

EAC East African Community

ECCAS Economic Community of Central African States

ECOSOC Economic and Social Council

ECOSOCC Economic, Social and Cultural Council

ECOWAS Economic Community of West African States



EDPRS Economic Growth and Poverty Reduction Strategy (Rwanda)

EPA Economic Partnership Agreement

EU European Union

FGM Female Genital Mutilation

GNI Gross National Income

GPRS II Growth and Poverty Reduction Strategy 2006-2009 (Ghana)

HDI Human Development Index

HIV Human Immunodeficiency Virus

ICCPR International Covenant on Civil and Political Rights

ICESCR International Covenant on Economic, Social and Cultural Rights

ICT Information and Communications Technology

IDPs Internally Displaced Persons

IGAD Intergovernmental Authority on Development

ILO International Labour Organisation

IMF International Monetary Fund

MAP Millennium Africa Renaissance Programme

MDGs Millennium Development Goals

MOU Memorandum of Understanding

NAI New African Initiative

NEPAD New Partnership for Africa's Development

NGOs Non-governmental organisations

OAU Organisation of African Unity

OECD Organisation for Economic Co-operation and Development

OHCHR Office of the High Commissioner for Human Rights

OMC Open Method of Co-ordination

OPCAT Optional Protocol to the Convention against Torture and Other

Cruel, Inhuman or Degrading Treatment or Punishment

OSCE Organisation for Security and Cooperation in Europe

OSCI Objectives, Standards, Criteria and Indicators for the African Peer

Review Mechanism

PAP Pan-African Parliament



POA Programme of Action

PRSP Poverty Reduction Strategy Paper

PSC Peace and Security Council

RECs Regional Economic Communities

SADC Southern African Development Community

SAIIA South African Institute of International Affairs

SAPs Structural Adjustment Programmes

TRI Technical Research Institute

UN United Nations

UNDAF United Nations Development Assistance Framework 2008-2012

(Rwanda)

UNDP United Nations Development Programme

UNECA United Nations Economic Commission for Africa

UNESCO United Nations Educational, Scientific and Cultural Organization

UNHCR UN High Commissioner for Refugees

UNICEF United Nations Children's Fund

UN-NADAF United Nations New Agenda for Development in Africa

UN-PAAERDUnited Nations Programme of Action for African Recovery and

Development

UPR Universal Periodic Review



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