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## **APPENDICES**

# Appendix 1: Results of Tukey's *post hoc* tests (cluster solution and validation) Table A4.1: Results of the Tukey's HSD test for the intervention community

Variable	Cluster	Clusters of comparison	Mean difference	Std. error	ρ
Value of assets	1	2	-226.48*	47.00	0.000
(USD)		3	-1512.93*	105.49	0.000
	2	1	226.48*	47.00	0.000
		3	-1286.45*	107.43	0.000
	3	1	1512.93*	105.49	0.000
		2	1286.45*	107.43	0.000
Farm size (acres)	1	2	-2.4111*	0.45968	0.000
		3	-2.4098	1.03171	0.056
	2	1	2.4611*	0.45968	0.000
		3	0.05128	1.05074	0.999
	3	1	2.4098	1.03171	0.056
		2	-0.5128	1.05074	0.999
Number of farm plots	1	2	-1.8353*	0.14008	0.000
		3	-0.1789	0.31441	0.837
	2	1	1.8353*	0.14008	0.000
		3	1.6564*	0.32020	0.000
	3	1	0.17895	0.31441	0.837
		2	-1.65541	0.32020	0.000

\* Statistically significant at the 5 % level

#### Table A4.2: Results of the Tukey's HSD test for the counterfactual community

Variable	Cluster	Clusters of comparison	Mean difference	Std. error	Р
Value of assets	1	2	856.86*	57.82	0.000
		3	934.63*	57.36	0.000
-	2	1	-856.86*	57.82	0.000
		3	77.77*	14.40	0.000
	3	1	-934.63*	57.36	0.000
		2	-77.77*	14.10	0.000
Farm size (acres)	1	2	7.62410*	1.18539	0.000
		3	9.90267*	1.17593	0.000
	2	1	-7.6241*	1.18539	0.000
		3	2.27857*	0.29522	0.000
	3	1	-9.90267*	1.17593	0.000
		2	-2.27857*	0.29522	0.000
Number of farm plots	1	2	2.17568*	0.51519	0.000
		3	3.38400*	0.51108	0.000
	2	1	-2.14568*	0.51519	0.000
		3	1.20832*	0.12831	0.000
	3	1	-3.38400*	0.51108	0.000
		2	-1.20832*	0.12831	0.000
Household size	1	2	-1.94595	1.11441	0.191
		3	0.27200	1.10552	0.967
	2	1	1.94595	1.11441	0.191
		3	2.21795*	0.27754	0.000
-	3	1	0.27200	1.10552	0.967
		2	-2.21795*	0.27754	0.000

\* Statistically significant at the 5 % level

#### Table A4.3: Results of the Tukey's HSD test for the intervention community (profile variables)

Variable (2008/2009)	Cluster	Clusters of comparison	Mean difference	Std. error	ρ
Total income (USD)	1	2	-387.584*	166.7296	0.057
		3	-0.01703*	374.2143	0.000
—	2	1	387.5841*	166.7296	0.057
		3	-0.01316*	381.1149	0.002
—	3	1	0.017033*	374.2143	0.000
		2	0.013158*	381.1149	0.002
Fertiliser use (number	1	2	-0.31284	0.49752	0.805
of 50 kg bags)		3	-3.51462*	1.11665	0.006
	2	1	0.31284	0.49752	0.805
		3	-3.20178*	1.13724	0.016
_	3	1	3.51462*	1.11665	0.006
		2	3.20178*	1.13724	0.016
Value of maize harvest	1	2	-77.807	261.4051	0.952
(USD)		3	-0.024*	586.7079	0.000



2	1	77.807	261.4053	0.952
	3	-0.02322*	597.5269	0.001
3	1	0.024*	586.7078	0.000
	2	0.023224*	597.5269	0.001

Statistically significant at the 5 % level

## Table A4.4: Results of the Tukey's HSD test for the counterfactual community (profile variables)

Variable (2008/2009)	Cluster	Clusters of comparison	Mean difference	Std. error	ρ
Total income (USD)	1	2	265.84*	233.28	0.000
		3	283.35*	231.42	0.000
	2	1	-265.84*	233.28	0.000
		3	175.02*	58.099	0.008
	3	1	-283.35*	231.42	0.000
		2	-175.03*	58.099	0.008
Value of maize harvest	1	2	185.98*	161.45	0.000
(USD)		3	199.67*	160.18	0.000
	2	1	-185.98*	161.45	0.000
		3	136.85*	40.27	0.002
	3	1	-199.67*	160.18	0.000
		2	-136.85*	40.27	0.002

\* Statistically significant at the 5 % level

### Table A4.5: Summary results of divisive non-hierarchical clustering for both communities

Clusters			Cluster size		
	Value of assets (USD)	Farm size (acres)	Number of farm plots	Household size	
1	653.87	5.69	4.25	5.08	12
2	101.78	3.94	2.97	4.96	83
3	1568.15	5.73	3.16	6.33	6
		C	Counterfactual community		
1	976.41	11.966	5.00	4.00	3
2	415.76	3.36	2.5	4.5	12
3	48.56	2.88	2.03	4.55	187

## Table A4.6: Analysis of Variance (ANOVA) results for divisive non-hierarchical clustering

	Intervention community					
Variable		Sum of squares	Degrees of freedom	Mean square	F-ratio	ρ
Value of	Between groups	2.874	2	1.392	437.228	0.000
assets	Within groups	3.120	98	3.184		
	Total	3.096	100			
Farm size	Between groups	45.790	2	22.895	3.846	0.025
(acres)	Within groups	583.427	98	5.953		
	Total	629.217	100			
Number of	Between groups	17.024	2	8.512	7.794	0.001
farm plots	Within groups	107.035	98	1.092		
	Total	124.059	100			
Household	Between groups	10.502	2	5.251	0.760	0.470
size	Within groups	677.142	98	6.910		
	Total	687.644	100			

Table A4.7: Results of the Tukey's HSD test for intervention commu	nity	(divisive non-hierarchical clustering)	
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Variable	Cluster	Clusters of comparison	Mean difference	Std. error	ρ
Value of assets	1	2	552.0914*	39.36103	0.000
		3	-914.278*	63.72419	0.000
	2	1	-552.09*	39.36103	0.000
		3	-1466.37*	53.87839	0.000
	3	1	914.278*	63.72419	0.000
		2	1466.309*	53.87839	0.000
Farm size (acres)	1	2	1.74540	0.75355	0.058
		3	-0.4167	1.21997	0.999
	2	1	-1.74540	0.75355	0.058
		3	-1.78707	1.03148	0.198
	3	1	0.04167	1.21997	0.999
		2	1.78707	1.03148	0.198



Number of farm	1	2	1.27410*	0.32276	0.000
plots		3	1.08333	0.52254	0.101
	2	1	-1.27410*	0.32276	0.000
		3	-0.19076	0.44180	0.902
	3	1	-1.08333	0.52254	0.101
		2	0.19076	0.44180	0.902

Table A4.8: Analysis of	Variance (ANOVA)	) results for divisive	non-hierarchical clustering

	Counterfactual community					
Variable		Sum of squares	Degrees of freedom	Mean square	F-ratio	ρ
Value of	Between groups	7.732	2	3.866	578.159	0.000
assets	Within groups	1.331	199	6.687		
	Total	9.062	201			
Farm size	Between groups	244.945	2	122.472	23.324	0.000
(acres)	Within groups	1044.919	199	5.251		
	Total	1289.864	201			
Number of	Between groups	27.866	2	13.933	12.734	0.000
farm plots	Within groups	217.738	199	1.094		
-	Total	245.604	201			
Household	Between groups	0.939	2	0.469	0.099	0.906
size	Within groups	941.160	199	4.729		
	Total	942.099	201			

Table A4.9: Results of the Tukey's HSD test for counterfactual (divisive non-hierarchical clustering)

Cluster	Clusters of	Mean difference	Std. error	ρ
	comparison			
1	2	560.6524*	37.70237	0.000
	3	927.8499*	33.99144	0.000
2	1	-560.652*	37.70237	0.000
	3	367.1975*	17.39359	0.000
3	1	-927.85*	33.99144	0.000
	2	-367.198*	17.39359	0.000
1	2	8.60417*	1.47914	0.000
	3	9.08431*	1.33355	0.000
2	1	-8.60471*	1.47914	0.000
	3	0.48015	0.68239	0.762
3	1	-9.08431*	1.33355	0.000
	2	-0.48015	0.68239	0.762
1	2	2.50000*	0.67520	0.001
	3	2.96257*	0.60875	0.000
2	1	-2.50000*	0.67520	0.001
	3	0.46257	0.31150	0.300
3	1	-2.96257*	0.60875	0.000
	2	-0.46257	0.31150	0.300
	Cluster           1           2           3           1           2           3           1           2           3           1           2           3           1           2           3           1           2           3           1           2           3	Cluster         Clusters of comparison           1         2           2         1           3         1           2         1           3         1           2         1           3         1           2         1           3         1           2         1           3         1           2         1           3         1           2         1           3         1           2         1           3         1           3         1           3         1           3         1           3         1           3         1           2         1           3         1           2         1	Cluster         Clusters of comparison         Mean difference           1         2 $560.6524^*$ 3 $927.8499^*$ 2         1 $-560.652^*$ 3 $367.1975^*$ 3         1 $-927.85^*$ 2 $-367.198^*$ 1         2 $8.60417^*$ 3         9.08431^*           2         1 $-8.60471^*$ 3         0.48015           3         1 $-9.08431^*$ 2         -0.48015           1         2 $2.50000^*$ 3         0.46257           3         1 $-2.96257^*$ 2         1 $-2.96257^*$ 2         1 $-2.96257^*$	$\begin{array}{c c c c c c c c c c c c c c c c c c c $



# Appendix 2: Data sheet for the Malawi maize sector Table A5.1: Data sheet for Malawi maize sector

				1988	1989	1990	1991	1992	1993	1994
Supply and demand										
Area harvested	MOA	Hectares	MZARE	1122.52	1227.14	1246.61	1391.87	1368.09	1380.8	1369.14
Yield per unit area	MOA	Tons/Hectare	YLD	1.09	1.03	1.03	0.926	0.55	0.929	0.92
Maize Imports	MOA	1000 Tons	IMMZ	197	200.58	203.23	205.89	760.37	248.79	271.97
Beginning stock				1.8	0.8	1.69	2.65	3.07	2.41	2.42
Domestic maize consumption	MOA	1000 Tons	DMZC	1421	1464	1484	1494.847	1518.03	1528.62	1531.57
Maize Exports	MOA	1000 Tons	EXMZ	0	0.2	1.088	0	0	4.11	1.25
Ending Stock	Computed	1000 Tons	ENDS	0.8	1.69	2.65	3.07	2.41	2.42	2.43
Net Exports	Computed	1000 Tons	NIMP	-197	-200.38	-202.142	-205.89	-760.37	-244.68	-270.72
Production/maize consumption	Computed	Index	PROD/CON	0.865	0.863	0.864	0.862	0.498	0.839	0.823
Per capita maize consumption	Computed	1000 Tons/capita	PCC	170.65	166.35	161.04	156.53	153.77	152.91	156.81
Local Economy	1									
Local maize production (Ukwe)	Ukwe EPA	1000 Tons	PROD		19.263	16.668	10.327	16.096	24.323	18.746
Local maize consumption (Ukwe)	Ukwe EPA	1000 Tons	AREA_CSP	95	96.8	97	97.8	98.5	98.5	99.8



	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
Supply and demand													
Area harvested	993.019	1134.39	1252.05	1292.66	1039.03	1099.13	1108.51	1488.44	1218.75	1278.02	1173.77	1482.39	1139.95
Yield per unit area	1.27	1.201	1.112	0.98	1.22	1.185	1.184	0.71	1.102	1.078	1.2056272	1.141	1.49
Maize Imports	270.1	193.97	168.32	299.88	273.14	266.53	256.77	550.35	276.59	271.53	234.15	10.53	74.83
Beginning stock	2.43	1.73	2.78	2.2	2.29	2.88	2.75	2.18	1.56	2.49	1.81	2.18	4.93
Domestic maize consumption	1528.41	1553.88	1558.67	1570.66	1545.94	1567.33	1570.41	1607	1619.1	1650.14	1646.34	1687.76	1722.68
Maize Exports	3.84	2.45	2.67	1.52	1.1	2.24	0	0.94	0	0.41	2.57	11.5	50.62
Ending Stock	1.73	2.78	2.2	2.29	2.88	2.75	2.18	1.56	2.49	1.81	2.18	4.93	4.99
Net Exports	-266.26	-191.52	-165.65	-298.36	-272.04	-264.29	-256.77	-549.41	-276.59	-271.12	-231.58	0.97	-24.21
Production/maize consumption	0.825	0.877	0.893	0.810	0.824	0.831	0.836	0.657	0.829	0.835	0.859	1.00	0.985
Per capita maize consumption	158.287	157.67	154.27	151.6	145.65	144.14	141.04	141.04	138.96	130.88	128.00	128.62	128.69
Local Economy													
Local maize production (Ukwe)	15.936	19.312	18.898	21.679	24.772	20.113	23.481	19.967	20.853	21.372	10.564	19.795	27.283
Local maize consumption (Ukwe)	88.9	90	91.3	91.3	92.5	92.5	93.5	85	95.9	96.5	95.8	98.7	95.6



## Appendix 3: Units root tests for time series data

## Table A5.2: ADF test results for time series data used in model

Time series variable	ADF	MacKinnon Critical	Durban-Watson	Levels/differences
	Statistic	value	Statistic	
Domestic maize production	-4.32	-3.82***	1.98	2 <sup>nd</sup> differences
Domestic maize consumption	-2.70	-2.66*	1.36	2 <sup>nd</sup> differences
Ending stock	-4.14	3.83***	1.96	1 <sup>st</sup> differences
Local production	-5.07	-3.85***	1.76	1 <sup>st</sup> differences
Local consumption	-4.12	-3.83***	1.96	1 <sup>st</sup> differences
Area of maize	-2.87	-2.65*	2.00	Levels
Yield of maize	-3.16	-3.02**	1.96	1 <sup>st</sup> differences
Local yield of maize	-3.82	-3.03**	1.98	1 <sup>st</sup> differences
Local area of maize	-3.88	-3.85***	1.45	1 <sup>st</sup> differences
Population	-3.92	-3.85***	2.07	2 <sup>nd</sup> differences
Exports	-3.14	-3.03**	2.03	1 <sup>st</sup> differences
Imports	-4.55	-3.83***	2.01	1 <sup>st</sup> differences
Price of fertiliser	-2.97	-2.65*	1.67	1 <sup>st</sup> differences
Rainfall	-3.26	-3.02**	2.11	1 <sup>st</sup> differences
Local rainfall	-2.89	-2.65*	2.05	Levels



# **Appendix 4: Correlation matrices for maize market regression models** Table A5.3: Correlation matrix for model of area of maize planted

	DUM: a	gri La	gged ADMAR	C price	Lagged area	a planted	d SUBSIDY
DUM: agri	1.	.00		-0.096		-0.203	3 0.432
Lagged ADMARC price				1.00		0.033	3 -0.387
Lagged area planted						1.00	-0.290
SUBSIDY							1.00
Table A5 4: Correlation mat	riv for the model of		VPC maize pr	ice			
Table A5.4. Conclation mat	Dum:INT	Imp	ort parity price	e E	OUM:Reforms	Produ	ction/consumption
Dum:INT	1.00		-0.107	/	-0.306		0.113
Import parity price			1.00	)	0.251		-0.537
DUM:Reforms					1.00		-0.216
Production/consumption							1.00
Table A5.5: Correlation mat	rix for the model fo	r the lo	cal price of m	aize (N	sundwe)		
	ADMARC pric	e	DUM02	Local n	naize consumpt	ion	DUM:Ukwe
ADMARC price	1.0	0	0.491		-0.3	350	0.358
DUM02			1.00		-0.3	374	-0.213
Local maize consumption					1	.00	0.126
DUM:Ukwe							1.00
Table A5.6: Correlation mat	rix for the yield of 1	maize					
	Rainfall	P	FERT	D	UM:agri2		Shift 06
Rainfall	]	1.00	-0.165		0.254		-0.758
PFERT			1.00		-0.702		0.451
DUM:agri2					1.00		-0.679
Shift 06							1.00
Table A5.7: Correlation mat	rix for the local mai	ize prod	luction				
	Lagged local m	aize pric	e	DUM:U	Jkwe2		Local rainfall
Lagged local maize price		1.0	0	-	-0.107		-0.294
DUM:Ukwe2					1.00		-0.114
Local rainfall							1.00
Table A5.8: Correlation mat	rix for the local ma	ize cons	sumption				
	Local	maize p	roduction		DUM:B	RDG	Local maize price
Local maize production			1.00		-(	).144	-0.206
DUM:BRDG						1.00	-0.108
Local maize price							1.00
Table A5.9: Correlation mat	trix for per capita m	naize co	nsumption				
	DU	M:Agri	Shif	t 06	Rainfall	_	PFert
DUM:Agri		1.00	-0	.165	-0.702		0.451
Shift 06				1.00	0.254		-0.758
Rainfall					1.00		-0.678
PFert							1.00
Table A5.10: Correlation ma	atrix for maize impo	orts					
	Net exports		Policy:NI	FRA	SH	IFT06	DUM:Pvt
Net exports	1.00		0.	.737		-0.812	0.940
Policy:NFRA				1.00		-0.518	0.710
SHIFT06				-		1.00	-0.734
DUM:Pvt							1.00
Table 15 11: Correlation m	atrix for anding sta	eke					
Table A3.11. Correlation m	Beginning stock	UKS	Domestic pr	duction			ARC maize price
Beginning stock			Domestic pro	0 229		ADN	
Domestic production	1.00			1.00			-0.098
ADMARC maize price				1.00			-0.204
ADWARC maize price							1.00



## **Appendix 5: ADMARC reforms and impacts**

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Time line	ADMARC Reform
1971	Formation of ADMARC (mandated to market agricultural inputs, produce; facilitate the
	development of smallholder sector; and food security role-to buy and sell maize in remote areas)
1987	Monopoly of ADMARC as sole agent responsible for importation, storage and marketing of
	maize removed. Private sector allowed to engage in maize trade
1987	Partial liberalization of other agricultural commodities (previously only traded by ADMARC)
1990	ADMARC operating 1300 seasonal markets, 3 regional offices and 18 storage depots
1990	ADMARC storage capacity at 468,000 metric ton
Mid-1990's	Maize price band defended by ADMARC revised/removed
2001	Strategic grain reserve function of ADMARC removed and given to National Food Reserve
	Agency
2002	ADMARC subsidiaries taken over and subsequently taken over by the Ministry of Finance
2002	Disaster and emergency relief function of ADMARC moved to the Office of the President an
	Cabinet
2002	ADMARC storage capacity reduced to 200,000 metric tons
2004	ADMARC operating 300 seasonal markets, 400 unit markets, 3 regional markets and 9 storage
	deports

### Table A6.1: Historical overall of ADMARC reforms in Malawi

Several studies conducted to access the reforms in ADMARC have shown that there were many impacts. These are summarized in Table below.

Table A6.2: Impact of the ADMARC reforms

	Impact of ADMARC reforms											
Impact on private	Impact on markets/prices	Impacts on households	Other impacts									
sector		-	-									
Increase in number	Differential increase in number	Reduced profitability for	Poor quality of									
of input wholesalers	of input sellers (number of	smallholder famers due to	business practice by									
and retailers	private traders not positively	lack of organization and	private traders arising									
	correlated with absence of	inability to negotiate with	from the lack of									
	ADMRC markets)	private traders	regulation and									
		-	enforcement for fair									
			trading practices									
Increase in number	High price volatility within and	Higher transaction and	Widespread claims of									
of crop buyers	between communities. High	transport costs for input	cheating on weights									
	price volatility in prices	procurement as majority of	and measures by									
	between pre-harvest and post	private traders not engaged	smallholders									
	harvest periods. Both due to	in input sales										
	lack of competition	-										
Increase in number	Interregional and inter-seasonal	Smallholders forced to use										
of crop wholesalers	arbitrage function of ADMARC	ADMARC markets in										
_	not successfully taken over by	other communities after										
	private sector	closure of their own										
		deports										
	Higher margins for traders; and											
	lower competition and											
	efficiency in markets. Lower											
	prices for producers											

Although ADMARC was not cost effective during its full operation; it played a social function by providing the following services which the private sector did not efficiently take over (Kutengule *et al.*, 2006):

- Provided a distribution network for affordable maize in the lean season and in times of famine
- Provided benchmark prices and access to information for smallholder farmers
- Acted as reliable source of inputs
- Provided producers with an outlet market to sell their produce
- Provided the only form of market for households in very remote rural areas

Private sector failed to fully step in where ADMARC was withdrawn because of inadequate infrastructure, inefficient factor input markets, market information; credit delivery systems (Kutengule *et al.*, 2006)



Appendix 6: Household/farmer survey questionnaire



Department of Agricultural Economics, Extension and Rural Development

Household/farmer questionnaire of the study of the macro-micro linkages between rural livelihoods, agricultural innovation systems and agricultural policy changes in Malawi

## A) GENERAL INFORMATION

Respondent's name:	Sex: 1=Female	2=Male
Relationship to household head: 1. Spouse	2. Relative of one of the spouses 3. NA (Head)	
District: 1.Lilongwe EPA:	Community: 1.Katundulu 2 Ukwe	
Name of Village:	Type of village: 1) Intervention 2) Counterfactual	
Interviewer:	Date:	
GPS Coordinates of Household: Northings: Eastings: Elevation (m	n.a.s.l)	

Checked by supervisor/Team leader: Mariam Mapila Date \_\_\_\_\_ Comments:



#### B) CROP PRODUCTION AND MARKETING

1) a. How	1) a. How many plots (pieces of land/minda) does the household currently own?       1b) Please fill in table below											
a) Plot	b)Size (acres)	c)Location of plot 1) By	d)Distance from	e)Farming system 1.Mixed/intercropping	<li>f) Person responsible for</li>	g)Ownership of plot	h)How did the household acquire the					
No.		home 2) Far from home	homestead KM	2.Pure stand 3.Fallow 4) partially	managing plot 1. Husband 2.	1. Own the plot 2.	plot 1) inherited from wife's family					
		3) Dimba		cultivated with some sides under fallow	Wife 3) Both	Rented 3. borrowed	2)Inherited from husband family 3)					
		,					bought the plot					
1												
2												
3												
4												
5												
6												

1c) in which years did you cultivate the plots named in 1b above? Please fill table below

a).Plot No.		Please tick all the years that this plot was under cultivation									
	2008/09	2007/08	2006/07	2005/06	2004/05	2003/04	2002/03	2001/02	2000/01	1999/00	1998/99
1											
2											
3											
4											
5											
6											
7											
Total number of plots cultivated in season (add all ticked above)											

#### 2a) What major crops did the household cultivate in the 2008/2009 agricultural season? Fill Table Below

a) Crop cultivated in b)Total harvest c).Harvest units 1. 50 kg bags 2008/09 (Amount) 2. 90 kg bags 3. Oxcarts 4. Weaved bit heaved	d)Total harvest (equivalent in KG)	e)Type of farming practice 1) Mixed	f)Total harvest sold (equivalent KG)	g)Average price per	h)Total amount of money earned ( <b>MK</b> )		
	weaved big basket 5. I in pails		cropping 2) pure stand		МК	Unit 1. 50 kg bags 2. 90kg bags 3. Oxcarts 4. Weaved big basket 5. Tin pails	
Maize (chimanga)							
Beans (nyemba)							
Groundnuts (mtedza)							
Sweet potatoes (mbatata yakholowa)							
Tobacco (fodya)							
Cowpeas (khobwe)							



#### 2b) What major crops did the household cultivate in the 2007/2008 agricultural season? Fill Table Below

a) Crop cultivated in 2008/09	b)Total harvest (local measure used)	c). Harvest units 1. 50 kg bags 2. 90kg bags 3. Oxcarts 4. Weaved big basket 5. Tin pails	d)Total harvest ( <b>equivalent in kg)</b>	e)Type of farming practice 1) Mixed cropping 2) pure stand	f)Total harvest sold ( <b>equivalent kg</b> )	g)Average	g)Average price per unit in local market	
						МК	Unit 1. 50 kg bags 2. 90kg bags 3. Oxcarts 4. Weaved big basket 5. Tin pails	
Maize (chimanga)								
Beans (nyemba)								
Groundnuts (mtedza)								
Sweet potatoes (mbatata yakholowa)								
Tobacco (fodya								
Cowpeas (khobwe)								

3a) Do you own a wetland (dimba)? 0) No 1) Yes 3b) if No do you rent one? 0) No 1) Yes

#### 3c) In the 2009 winter season did you cultivate a wetland (dimba)? 0) No 1) Yes

3d) If Yes to 4c fill in table below with 2009 winter season production

a)Dimba Crop	b)Total dimba harvest Produced			c)Total dimba harvest sold				
	Amount harvested	Unit 1. 50 kg bags 2. 90kg bags 3. Oxcarts 4. Weaved big basket 5. Big tin pail 6. Small tin pail	kg equivalent	Amount sold	Unit 1. 50 kg bags 2. 90kg bags 3. Oxcarts 4. Weaved big basket 5. Big tin pail 6. Small tin pail	<b>kg</b> equivalent	d)Total amount of money obtained from dimba in 2009 MK	
Maize								
Green leafy vegetables								
Onions								
Sweet potatoes								
Beans								

#### 3e) In 2008 did you cultivate a wetland (dimba)? 0) No 1) Yes 3f) If Yes fill in table below: Wetland (dimba) Production for 2008 winter season

a)Dimba Crop		b)Total harvest			c)Total harvest sold				
	Amount sold	Unit 1. 50 kg bags 2. 90kg bags 3. Oxcarts 4. Weaved big basket 5. Big tin pail 6. Small tin pail	<b>kg</b> equivalent	Amount sold	Unit 1. 50 kg bags 2. 90kg bags 3. Oxcarts 4. Weaved big basket 5. Big tin pail 6. Small tin pail	<b>kg</b> equivalent	d)Total amount of money obtained from dimba in 2009 MK		
Maize									
Green leafy vegetables									
Onions									
Sweet potatoes									
Beans									

4a) During the last hunger season (February to March 2009) did you sell any fresh produce from your field (mbeu zamunda)? 0) No 1) Yes

4b) If yes, fill table below?

a)Fresh Crop sold during hunger seasons (2009)	b)Total sold during hunger seasons	c).Unit 1. 50 kg bags 2. 90kg bags 3. Oxcarts 4. Weaved	d)Total sold during hunger season (Equivalent in kg)	e)Total amount of money
		big basket 5. Big tin pail 6. Small tin pail		earned (MK)
Fresh Maize (chimanga chachiwisi)				
Green Beans (zitheba)				
Fresh groundnuts				

4c) During the last hunger season did you sell any dry produce that had been kept for your own consumption (produce from 2007/08 harvest)? 0) No 1) Yes

4d) If yes, fill table below?



a)Dry produce from 2007/08 season sold in hunger season	b)Total sold during hunger seasons	c).Unit 1. 50 kg bags 2. 90kg bags 3. Oxcarts 4. Weaved big basket 5. Big tin pail 6. Small tin pail	d)Total sold during hunger season (equivalent in kg)	e)Total amount of money earned (MK)
Maize				
Beans				
Groundnuts				
Cowpeas (Khobwe)				

#### 4 f) Market access: If you sell your crop produce please fill in table below

a)Name of market where you sell your	b)Type of market 1)local village	c)Mode of transport to market	d)Distance to market	e) Transport	f)Time it takes to	g)Type of road to market 1)all season		
crop produce	market 2) Local community	1)by foot 2)by own bicycle	in <b>KM</b>	cost to Market	travel to market	dirt road 2)Dry season dirt road	Other costs (please	e list and put amount in
	market 3)District market	3)by hired bicycle 4)by public		in MK	(hours)	3)Tarmac 4) Combination of dirt road	MK in last column	
	4)moving market (kabandule) 6.	transport (minibus)4) by				and tarmac	Type of Cost	Amount in MK
	Market in another community	hitchhiking (matola)					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Ngwangwa								
Lilongwe district market								
Area 25 market								
Lumbadzi market								
Katundulu								
Ukwe								
Msundwe								

4g).When selling maize, who sets the prices?? 1) Self 2) Buyer 3) Both negotiate until reach a price 4) use set government price

4h) When selling other crops who sets the prices 1) Self 2) Buyer 3) Both negotiate until reach a price 4) use set government price \_\_\_

#### C) FERTILIZER USE AND PERCEPTIONS OF FERTLIZER SUBSIDY

5a) Please fill table below with fertilizer use information:

ea) i loade ini table										
a) Cropping season	<ul><li>b) Did your</li><li>household</li><li>apply fertilizer?</li><li>0) No 1) Yes</li></ul>	c) If yes, Total amount of fertilia	zer applied ( <b>No. of 50kg bags</b>	of each type applied )	<ul> <li>d) What was the source of this fertilizer? 1)Subsidized coupons 2)Purchased at full price 3) Received from relatives 4) Received from other sources 5)Other (specify)</li> </ul>			Total amount of money spent on all fertilizer for the season ( <b>MK</b> )		
		23:21:0 (Urea)	CAN	Other (specify)	23:21:0 (Urea)	CAN	Other (specify)			
2004/05										
2005/06										
2006/07										
2007/08										
2008/09										

5b) Please fill table below if you received coupons for subsidized fertilizer

a)Cropping season	<li>b) Is the amount of coupons received for fertilizer sufficient for your household fertilizer</li>	If no, what do you do to ensure you get sufficient fertilizer 1) Buy coupons from fellow villagers 2) buy coupons from chiefs 3) buy coupons
	needs? 0) No 1) Yes	from civil servants 4) Buy extra fertilizer at full price 5) do not apply fertilize to remaining filed
2004/05		
2005/06		
2006/07		
2007/08		
2008/09		



#### 5c). Apart from fertilizer, did the household incur any other production costs in either the 2008/09 or 2007/08 seasons? 0)No 1) Yes If yes fill table below?

	2008/09 a	gricultural season	2007/08 agricultural season		
Name of Inputs	Total amount paid (MK) Source of input 1. Buy from market 2. Buy from agro Tota		Total amount paid (MK)	Source of input 1. Buy from market 2. Buy from agro dealer	
		dealer shops 3) Buy from fellow villagers 4). Receive		shops 3) Buy from fellow villagers 4). Receive from relatives 5)	
		from relatives 5) Receive from NGO		Receive from NGO	
Pesticides					
Herbicides					
Sacks/storage bags					

#### D). SEED ACQUISITION AND COSTS

5d). Where do you normally obtain seeds for planting your crops and what costs do you incur? Please in table below

	2008/09 agricultural sea	son	2007/08 agricultural season			
Item	Source of Seed 1. Buy from market 2. Buy from agro dealer	In the 2008/09 season	If you bought, total	Source of Seed 1. Buy from market 2. Buy from agro	In the 2008/09 season	If you bought, total
	shops 3) Buy from fellow villagers 4). Receive from relatives 5)	did you buy this seeds	amount spent on	dealer shops 3) Buy from fellow villagers 4). Receive	did you buy this seeds	amount spent on
	Receive from NGO 6. Bought with subsidized coupons 7.	0. No. 1. Yes	seeds (MK)	from relatives 5) Receive from NGO 6. Bought with	0. No. 1. Yes	seeds (MK)
	Received from farmer organizations			subsidized coupons 7. Received from farmer		
				organizations		
Cereals (maize)						
Grain legumes (beans, groundnuts,						
cowpeas)						
Cash Crops (Tobacco, Paprika,						
Cotton)						

#### E) LIVESTOCK OWNERSHIP AND MARKETING

6a) Do you own livestock? 0) No 1) Yes 6b) If yes, fill in table below

a)Type of livestock	b)Mark X on all owned by household	c) Number	<ul> <li>d) How did you acquire the first livestock? 1) bought 2) given by relatives</li> <li>3) both 1 and 2 4) group merry go round 5) from NGO</li> </ul>	What is the current price of livestock in the local market? <b>MK</b>	If you have sold any livesto please indicate below	ock in 2008/09 seasons
					Number sold	Price per unit
Chickens (Nkuku)						
Pigs (Nkumba)						
Goats (Mbuzi)						
Beef Cattle (N'gombe)						
Dairy cattle						

#### F) LABOUR AVAILABILITY

7a) How many people in your household (including other relatives) were involved in agricultural production in the last two seasons on a full time basis?

	Number involved in full time cultivation: 2008/09	Number involved in full time cultivation:2007/08	Number involved in part time cultivation: 2008/09	Number involved in part time cultivation:2007/08
Male adults over 18 yrs				
Female adults over 18 yrs				
Teenage boys under 18 yrs				
Teenage girls under 18 yrs				

7b) Did you hire any laborers to work on your farm during the 2008/09 agricultural year? 0) No 1) yes 7c)

7c) If yes fill in table below?

	J	· · · · · · · · · · · · · · · · · · ·	., ,			
	Total number hired (for group	Number of times that they	Activities for which they were hired 1) Land Preparation 2)	Form of payment 1) cash	If cash, total cash paid <b>MK</b>	If crops, total value of
	specify type of group here)	were hired during the	Ridge Making 3) Weeding 4)Harvesting	2) food/crops 3) clothes		crops paid MK
		season	5)decobbing/desheling 6) winnowing			
Male laborers						
Female laborers						
Groups (church/community/ school groups)						



7d) if community/church/school group what was the purpose of them doing this? 1) To raise funds 2) Is normal cultural practice in the area 3) Other reason (specify)

#### G) INCOME SOURCES

8a) Apart from farming, do you have any other income generating activity (IGA)? 0) No 1) Yes	8b) If yes, fill in th	e table below	
a)Type of Income generating activity	b)Year started?	c)Total amount of money made	d) Were do you normally conduct your business or were do you engage for employment? 1) By
		in the last 12 months MK	Home/farm 2) In local market 3) In district market 4)by main tarmac road 5. In nearby town 6. In nearby
			village 7. in other districts 8. In nearby urban area
1. Full time salaried employment (ntchito)			
2. On farm seasonal employment (ganyu)			
<ol><li>off farm seasonal employment (Kuponda matope, kumang nyumba etc)</li></ol>			
4. Non agricultural commercial enterprise (e.g grocery)			
5. Agro based commercial enterprise (brewing alcohol, selling cooked food items, baking etc)			
6. Piggery			
8c) Do you receive remittances from relatives? 0) No 1) Yes			

#### 8d) If you said yes to 8c state amount of remittances received in total below.

Cropping season	Estimated total amount (MK)	What percentage was this of the total money you had that year?
2008/09		
2007/08		

## 8f) Do you have access to credit? 0) No 1) Yes If yes please fill table below Name of lending Who accessed the credit? Type of credit received If cash, amount If inputs amount How do you receive the credit Rate the repayment conditions 1) Not at all

, ,	, ,						
Name of lending	Who accessed the credit?	Type of credit received	If cash, amount	If inputs amount	How do you receive the credit	Rate the repayment conditions 1) Not at all	Type of credit institutions 1) local informal 2) Local
institute	1) Wife 2) Husband	1)Cash 2) Inputs	received (MK)	received (use Unit)	1) Individual 2) group	satisfactory 2) satisfactory 3) very satisfactory	formal 3). Local individual 4)Formal national
							organization
FINCA							
MRFC							
Katapila							
NASFAM							

#### 9 c) Please fill Table below

	In 2008/09 sea	ason			In 2007/200	8 season?			Five years	s ago?		
Total number of agricultural related trainings and meetings attended by household												
Total number of agricultural trainings and meetings attended by female member of household?												
Total number of agricultural trainings and meetings attended by male member of household?												
ENUMERATOR: Please tick all types of organizations whose trainings household members have attended	CGIAR	NGO	MOA	FO	CGIAR	NGO	MOA	FO	CGIAR	NGO	MOA	FO

#### ACCESSIBILITY AND AVAILABILITY OF PUBLIC CAPITAL G)

11) How long does it take to travel from the household to access the following facilities? Fill in table below with shortest travel time and estimated distance

Public Capital Resource	Shortest travel time (hours)	Distance (km)	Public Capital Resource	Shortest travel time (hours)	Distance (km)
Primary school			Mobile phone network		
Public Secondary school			An operational animal dip tank		
Heath clinic			Agricultural extension offices		
District hospital			Agricultural extension officer house		
VCT centre			Permanent market		
Borehole			Moving market venue (kabandule)		
Piped water tap			Post office		
Dug well			Public telephone (ground line)		
Public telephone			Public telephone (private phone bureau)		
Tarmac road			Agrodealer		
All year ploughed dirt road			ADMARC		
Public bus depot (stop)			Research Station		



#### I) ASSETS 12) Please indicate how many of these assets the household owns

#### Asset No. of assets Amount paid per unit (MK) Perceived current market value MK No. of assets Amount paid per unit MK Perceived current market value MK Asset Bicycles Spades Motor cycle Ox cart (Ngolo) Granaries with food Panga Knives (zikwanje) Hoe (makasu) Mobile phones Radios Television Beds Sofa chairs Blankets Car Mattresses Wheel barrow Chairs Iron sheets roof Mats Window panes on house

#### J) HOUSEHOLD CHARACTERISTICS

<ol><li>Fill in table be</li></ol>	elow
------------------------------------	------

Questions	Response
1. Age in number of years of household head	
1b) Age in number of years of spouse	
2. Marital status 1=Married; 2=Single (never married); 3=Divorced; 4=Widowed	
2b. Type of Marriage: 1= Monogamy 2= Polygamy (mitala)	
3. Level of education of head of HH? 0=no formal education; 1= Attended and finished primary education (Std1-Std 8); 2. Attended but did not finish primary (dropped out) 3=Attended and finished secondary education (F1-F4) (failed MSCE or did not write exams); 4. Attended but did not complete secondary school (dropped out) before exam) 5 = Completed and passed MSCE 6=Certificate 7= diploma 8= Adult Literacy	
3b. Level of education of spouse?? 0=no formal education; 1= Attended and finished primary education (Std1-Std 8); 2. Attended but did not finish primary (dropped out) 3=Attended and finished secondary education (F1-F4) (failed MSCE or did not write exams); 4. Attended but did not complete secondary school (dropped out before exam) 5 = Completed and passed MSCE 6=Certificate 7= diploma 8= Adult Literacy	
4. How many people are currently leaving with you? Adult (F+M) aged 60+	
Adult females (18-59)	
Adult males (18-59)	
Children (7-17)	
Young children below 6 years	
5. Do you have any other occupation other than farming? 0=No 1=Yes	
6. If yes, which one? 1=School teacher; 2=Village technician (agric); 3 = Money lender 4. Traditional doctor 5. Churhc preacher/pastor 6. Agrodealer 7. Business (grocery) 8. Business (agro base) 9) Business (bicycle hire and carry) 10) More than one of these (out all numbers)	
7. I s the household head. member of any farmer group? 0) No 1) Yes	
8. Are other people in the household members of farmer groups? 0) No 1) Yes	
9.Is the household head a member of more than one farmer group? 0) No 1) Yes	
10. Is the spouse a member of more than one farmer group? 0) No 1) Yes	
11a.Give total number of groups that all household members have membership into	
11b. Does head of household hold any leadership position in the village? 0)No 1) Yes	
11c. If yes to 13a state position 1) Traditional leader 2) Advisor to traditional leader 3) Leader of farmer group 4) church leadership 5) Traditional healer 6) Traditional Birth Attendant	
11d. Does spouse hold leadership position in the village? 0) No. 1) Yes	
11e. If yes to 13c state position 1) Traditional leader 2) Advisor to traditional leader 3) Leader of farmer group 4) church leadership 5) Traditional healer 6) Traditional birth attendant	
12.Do you have a public extension officer in your village/community? 0 = No 1) Yes	
13. Do you have a private extension officer in your village/community? 0 = No 1) Yes	
14. Do you have a village technician in your village/community 0 = No 1= Yes	
15. How often do you come into contact with an extension agent: 0) Never 1)daily 2)Weekly 3) Monthly 4) quarterly 5) annually	

Zikomo Kwambili

Thank you very much