# Chapter 5: Situation analysis

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.1</td>
<td>INTRODUCTION</td>
<td>72</td>
</tr>
<tr>
<td>5.2</td>
<td>THEORETICAL BACKGROUND</td>
<td>73</td>
</tr>
<tr>
<td>5.3</td>
<td>DATA GATHERING</td>
<td>74</td>
</tr>
<tr>
<td>5.3.1</td>
<td>Approach</td>
<td>75</td>
</tr>
<tr>
<td>5.3.2</td>
<td>Various types of data required</td>
<td>76</td>
</tr>
<tr>
<td>5.3.3</td>
<td>The first level of contextual information</td>
<td>77</td>
</tr>
<tr>
<td>5.3.4</td>
<td>The second level of nutritional information</td>
<td>80</td>
</tr>
<tr>
<td>5.3.5</td>
<td>The third level of information for intervention planning</td>
<td>83</td>
</tr>
<tr>
<td>5.4</td>
<td>CONCLUSION</td>
<td>86</td>
</tr>
</tbody>
</table>

---

**FIGURE 5.1: SOME OF THE MOROGO VARIETIES ON ORANJE FARM**

- **Seruwe**
- **Thepe**

“You cannot teach a man anything; you can only help him find it within himself”
(Galileo, 1564-1642 in Self Improvement Online).
5.1 INTRODUCTION

Results from the National Food Consumption Survey (NFCS) (Labadarios, 2000) show that the majority of South African households are living in poverty and consuming a limited variety of foods (mainly staples). Findings from the NFCS also indicate that one out of two children has an energy intake less than two thirds of their energy needs, and that a great number of children consume a diet with too poor a nutrient density, in order to meet their nutrient (macro and micro) requirements. Stunting and underweight (the country’s most prevalent nutritional disorders), are most severe in children one to three years of age, especially in those living in rural communities, and particularly those children living on commercial farms.

The above-mentioned findings were the main motivation behind this study and were used to demarcate the research field to rural communities on commercial farms. A research team from the University of Pretoria (Department of Consumer Science) conducted a ‘situation analysis’ in a community on a commercial farm situated between the towns of Clarens and Fouriesburg in the North Eastern Free State province. This team consisted of a senior researcher (also the supervisor of the study), the primary researcher (myself, the PhD student) and a fieldworker (a Master’s student). A convenient sampling method was used to select this particular farm, which served as a starting point for this investigation.

The aim of this study was to conduct a situation analysis, in order to verify the national finding of the existence of nutritional problems on commercial farms. Certain assumptions underscore this study. Firstly, that nutritional problems are actually present on the farm; secondly that these problems can be defined as needs within the community, and thirdly that the community members are competent and able to be persuaded to address these needs. Specific objectives were stated as (a) to describe the community, (b) to identify particular nutrition problems, (c) to translate those problems into addressable needs, and (d) to establish a basis for designing suitable interventions. The process included making contact and obtaining access to the community, becoming knowledgeable about internal and external contextual factors (culture, available structures and resources), establishing funding, and ensuring support from the participants, research team members, farm owner and other stakeholders. Assessed needs and problems were discussed with the community, and priorities were set. The specific designing process did not form part of the ‘situation analysis’ and is therefore not discussed in this chapter.
The young children (birth to 10 years) living on the selected commercial farm formed the target group, although the actual informants were the female heads of the households where these children live. Twenty children were within this age group. There were 18 homesteads on the farm, but a female head count of only eight could be made. Other adult female members of the households were also included as informants (n=15) mainly to better understand the living conditions and environmental factors influencing the child. Other key-informants who were used as data sources included the previous and current owners of the farm, staff involved in rendering local health services and the teachers from the local farm school.

5.2 THEORETICAL BACKGROUND

‘Situation analysis’ within a particular community can be defined as a process of getting involved in a community, with the aim of assisting community members to learn more about their own current situation, problems and needs, and to facilitate the development of goals and strategies for solving these problems. Problems identified within a community can only become concrete needs once they have been defined as such. Any assessment process should therefore demarcate the parameters of the how, when, and where of the identified problems. The process is also an effective means of assisting local people in their own problem-solving activities (Butler & Howell, 1980). In this study, ‘needs assessment’ was seen as part of ‘situation analysis’.

Reasons to secure accurate information about the problems or needs of a community are several. The main reason is usually to assist them in making decisions about appropriate interventions, in order to solve these problems. A ‘situation analysis’ is further considered a tool for program planning, and is necessary for establishing priorities regarding particular issues in a community, to consider various strategies, and to identify resources and obstacles to their use. While priorities are considered, goals, specific objectives and strategies are created to meet these prioritised problems (Endres, 1999:252).

The literature is replete with definitions related to the ‘needs’ concept. Various views of this concept are depicted in the literature to follow. From an educational point of view, Caffarella (1994:75) and Tyler (in Boone, Safrit & Jones, 2002:143), define a need as the difference between the present condition of an individual or group and a more acceptable norm. Needs may also be arranged from the lowest and most fundamental to the highest (Maslow, 1987:17). Within this hierarchy, a higher-level need (like self esteem) cannot be achieved until the individual has attained some level of satisfaction of the needs below it. These lower level needs
are considered basic to human existence, and include aspects relevant to this research study, such as nutrition and security. An individual cannot satisfy any higher-level need until the preceding needs are satisfied.

Within the field of evaluative research, a ‘situation analysis’ answers questions about the social conditions in a community, which a program intends to address. Diagnostic activities are usually involved, such as assessing the nature, magnitude and distribution of a social problem. The extent to which there is a need for intervention to address the problem, and the implications of these circumstances for the design of the intervention are also included in this definition (Rossi et al, 1999:63).

Anthropologists differentiate between felt and real needs, where a felt need may refer to what a person would like to have. The standard or norm held by the community as being realistic to ensure a quality of life, usually influences felt needs. These needs however, are not necessarily a true reflection of the community’s real needs. Real needs are considered those, when viewed objectively, (as by an independent observer) that should be met within a community to meet a specified aim. Meister (1972: 117) claimed that there are no felt needs in traditional African communities, but rather needs that are justified or dictated by tradition. Felt needs imply a measure of independence on the part of the individual, who freely expresses what he thinks, and such freedom is taboo in traditional communities. The result is that a list of only local objectives is prepared, where joint action will be required to achieve them. People also cannot want something that they do not know exists, and the more underprivileged people are, the less able they are to formulate ideas for their own development (Batten, in Wasserman & Kriel, 1997:80; Bradshaw, 1972: 640).

The possibility does exist that community members can be educated or trained to experience an identified or assessed need as a felt need. The needs that emanate from this interaction are known as induced felt needs, persuaded needs, or educated needs (McKillip, 1987:12; Wasserman & Kriel, 1997:80). Within the assumption that community members can be assisted to develop the capacity to desire the things they really need, the process of ‘needs assessment’ as part of ‘situation analysis’ for this study was performed.

5.3 DATA GATHERING

The gathering of information is a means to an end, and can never achieve meaning as an isolated exercise. Information gathering must be directly relevant to a planned intervention and
must be done by the people participating in and carrying responsibility for the intervention. This is imperative for effective ‘situation analysis’. Through participation, local knowledge is used as a solid base for development. If people do not participate in their own development, they have no affinity for developmental efforts and their results. The huge problem of sustainability of interventions is resolved if the affected people participate, knowing that they have a stake in the effort and the results. (Swanepoel & De Beer, 1997:5). The methodological approach followed throughout the study will now be further highlighted.

5.3.1 Approach

Participation is one of the core principles of ‘Participatory Action Research’ (PAR), and implies that the subjects of study (respondents/participants) are integrated in the research by participating fully and actively in the research process. Research is thus transformed into an interactive communal enterprise (Collins, 1999:18; Fals-Borda, 1988:150). Scholars of PAR seem to agree that different degrees of participation can be discerned. At the one end of the scale, there is the position where participation means ‘consulting’ participants on the central aspects of the research study. The middle position on the scale reflects the view of PAR functioning as a ‘partnership’ between researcher and participants, with decision-making and control being shared by all stakeholders. At the other extreme of the scale is the realm of what is termed ‘participant control’ referring to the participants who are in full control of the inquiry. The degree of participation that may be possible within a particular research study, is a function of a combination of factors. It will not be reasonable to demand full scale PAR to be achieved in all cases, or even the same degree of participation. Participation should, however, always be enhanced as much as possible.

Principles that also form part of PAR which were applied in this study are the following:

- The role of researcher as change agent
- The democratic nature of the research relationship
- How local knowledge is incorporated into the research
- Knowledge, generated for purposes of action
- Ownership by the participants

PAR is further cited as a cyclical, reflective process. The different elements and aspects can therefore not be arranged in a consecutive order. It may start with the awareness of a problem, including exploring a need for inquiry and deciding what the purpose of research would be. This may evolve from interactions with members and groups in the field or community. Decisions
and findings, however, should make sense to the participants, and deployed in terms of their own language and in relation to their own perceptions and values (Seymour-Rolls & Hughes, 1995). This research study included the PAR activities, but followed the sequence as depicted in the information pyramid (Figure 5.2). The PAR activities, with its revolving principles, formed part of the data-gathering process on each level.

Recognising the values of PAR in situation analyses-assessment studies, various data-gathering activities were undertaken. The methodologies used within each data-gathering level are revealed in the next session. Data analysis was mainly interpretative, involving descriptions of the phenomena. The aim was to write objective accounts of fieldwork experiences within the specific context of nutrition intervention. Results follow subsequently.

### 5.3.2 Various types of data required

Before commencing a 'situation analysis', one has to decide which information is relevant to acquire. Various types of information can be useful to describe the nutritional situation of a community. These types of information include clinical examinations, anthropometrical data, dietary surveys, vital statistics, food availability, economic data, socio-cultural data, and scientific information relevant to food such as nutrient content, biological value and the presence of toxic or harmful factors such as aflatoxins and goitrogens (Latham, 1997:308). For this study, certain types of data were chosen and structured into a pyramid. The pyramid resembles the one used by Chopra and Cloete (2001:13) (see Figure 5.2) and was used to guide the data-gathering process of this study.

Information on a specific community and situation has little value if it is not seen against the milieu of all its external influences. Information on level one was required to place the proposed 'situation analysis' in context. Information was retrieved during contact-making sessions with the community, and was used to describe the community in terms of the indicated dimensions in Figure 5.2. These contact-making sessions were also purposeful to sensitise the community and the research team towards possible nutrition problems and needs.

Information on the next level specifically revolved around the nutrition situation within the community. Indicators used were nutritional status and health status of community members, household food security, and hygiene and sanitation practices within the community. These indicators are well accepted within the study field of community nutrition (Endres, 1999; FAO, 1997; Latham, 1997:9).
Conclusive information from *level two* was needed at *level three* to identify the felt and real/assessed needs of the community. The research team also required this information for further inquiry into these needs. The information was necessary to formulate and prioritise the different needs, and to provide direction regarding the planning and designing of a suitable, effective intervention. Level three also set the stage for the process to follow, namely to achieve consensus and agreement regarding the prioritised needs within the community. The identification and utilisation of key informants and various stakeholders was also considered to be useful to transform assessed problems into felt or real needs.

### 5.3.3 The first level of contextual information

The needs of the community were identified in a formal and in an informal way, applying various techniques and methods. The informal way was termed ‘contact-making’ and started with the researcher’s entrance into the area and becoming acquainted with the community and its members. The contact-making phase was also a natural progression from entry to intervention, a process that should evolve naturally. Contact-making usually is a crucial relationship-building period, during which the stage is set for a community-based intervention to proceed. Momentum should be generated and sustained for motivation and enthusiasm to continue. Swanepoel and De Beer (1997:71) advised to be as unobtrusive and as natural as possible, displaying empathy with, and interest in, the people’s situation.
Entrance to the farm was not disruptive but purposeful. The research team entered the community with the following strategy, namely to ensure that:

- Community members get to know and accept the research team for what they are and have come to do
- The research team get to know the people and their circumstances
- Community members get to a point where they can identify and describe their own needs
- The research team members are able to address the identified needs with a community-based intervention.

The following data-collecting methods were used during the contact-making phase of this study, namely individual interviews, observations, and focus group discussions. Ideographic research strategies attained preference, with no intention to generalise findings to a larger population.

**Individual, informal interviews**

Interviews were conducted, mainly because of the low literacy level of the study population. The interview situation is unknown to the majority of African rural people (Babbie & Mouton, 2001:249) and the interview may therefore be expected to be quite different from the typical Western situation. Introductions are usually lengthy, discursive and probing. It may for instance take some time to establish good rapport. Because the entire research project depended on this establishment, the interviewer was trained to acknowledge this, and to attempt to counter for it as far as possible.

Data gathering also presupposes a certain familiarity with the subject’s culture and language. One particular negative aspect concerning the utilization of the interview technique in this study was the aspect of language. This was a cross-cultural study, implying the presence of an interpreter for the largest part. The presence of an interpreter carries its own problems. Interpreters can obscure the true meaning of words and sentences. They can also change answers to suit whatever it is they want the interviewer to hear, especially if they have an interest in the matter. The research team chose and trained a postgraduate student from the same cultural group to act as a field worker and interpreter. The student, as part of the research team, also collected data for her own research project; therefore she also had an interest in the building of good relations with community members. She was further considered independent and unbiased, with a practical knowledge of the content of the study and of the interview technique.
A positive aspect of using in-depth interviews, however, is the objectivity of knowledge acquired with specific regard to freedom from bias, inter-subjective knowledge, and the nature of the object. Other strengths and weaknesses associated with this type of interview are functions of the competencies and skills of the person using this tool to elicit the required information. A good relationship with the participants is fundamental to good quality data. Aspects that received specific attention were the development of mutual respect, and being sensitive to differences in social class, gender, and ethnicity. A reciprocal relationship developed, whereby the research team helped some community members with personal problems. A young girl was raped during one of the visits, and the research team assisted them in arresting the offender.

Babbie and Mouton (2001:291) describes this process of understanding the meaning construction of others as a slow and delicate process. The researcher was sensitive to non-verbal cues from the participants, and noted any change in behaviour, for instance becoming uncomfortable or aggressive. The research team also gave attention to specific accentuated words, the interviewee's attitudes, reactions to what was said, and actions taken. The interviews were also recorded and analysed afterwards, with the assistance of a second interpreter. Results obtained during the contact-making phase are summarised in Box 5.1.

Eight women (female heads of the households) voluntarily participated in the interviews. These women represented eight of the eighteen households on the farm. Fifteen other adult females were also included as informants. These women were part of a second or third family living with the main household and also seen as caretakers of the young children. They were specifically invited to participate in the group discussions.

**Observations**

While the interpreter directed the interviews, the other members of the research team were involved in observations following a structured observation schedule. Items on this schedule included environmental safety aspects such as the presence of rodents and insects in the food preparation area, personal hygienic practices when preparing food, and the cleanliness of clothes, washing water, and hands. It was also considered vital to make full and accurate notes during these observations (Babbie & Mouton, 2001:294). Recordings of empirical observations, as well as the team members’ possible interpretation of it therefore also formed part of the observation technique.
**Group interview technique**

This was employed as a summative activity and to elaborate on the purpose of the study and further planning. This technique was chosen from the normal repertoire of qualitative research, because it would encourage the involvement of participants, and provided the opportunity for the researcher to investigate further and deeper into the phenomena under study (Babbie & Mouton, 2001:292). It was therefore compulsory for all the participants in the study to also take part in the group discussions. In the true spirit of PAR, all the women from the village were invited to a group gathering in the school classroom. Fifteen women attended, and each one received an incentive in the form of a vegetable package afterwards. An interview guide provided direction to the discussions. Expression of opinions was encouraged, and the entire process was recorded. The outcome of the discussion was a keen interest in the proposed intervention, and everybody indicating a willingness to participate. The researcher did not create any expectations, but did indicate that the team would come back for feedback and for further planning.

**5.3.4 The second level of nutritional information**

The nutrition situation within the community was determined in terms of the children’s *nutritional and health status, household food security, and hygiene and sanitation practices of caretakers and other community members*. Individual interviews and observations were once again deployed as research techniques, with caretakers being the main information source.

**Nutritional status**

The nutritional status of the target group was determined in terms of *dietary patterns, clinical examination, and anthropometric measurements*.

**Dietary patterns** were investigated using an interview schedule. The following aspects were included:

- Food production and availability
- Food practices (purchasing, preparation, preferences, distribution, serving, storage)
- Food preservation.

See Box 5.2 for results.

**Basic clinical examinations** were done to screen the children for any prominent physical signs and symptoms of nutritional deficiencies. A framework, as proposed by Charney and Malone (2004:42-44; 54-60) and Latham (1997:209-210), was used to guide this activity. Results are summarised in Box 5.2.
**Anthropometric measurements** were used to reflect the adequacy of food intake, growth and overall health and welfare. Weight-for-age (w-a), height-for-age (h-a), and weight-for-height (w-h) indices were used to evaluate the extent and magnitude of malnutrition in the group of young children. These indices were expressed in terms of percentiles, which is the rank position of an individual on a given reference distribution, stated in terms of what percentage of the group is equalled or exceeded by the individual (Lee & Nieman, 2003:172).

International reference data, developed by the US Centers for Disease Control and Prevention (CDC), were used. The w-a of ten of the nineteen children (53%) fell under the 50\textsuperscript{th} percentile, with two under the 5\textsuperscript{th} percentile. A low w-a is indicative of underweight. The h-a of 12 children (63\%) was under the 50\textsuperscript{th} percentile, indicative of moderate stunting, whilst three children (15\%) fell under the 5\textsuperscript{th} percentile, indicating severe stunting. The w-h of five children younger than three years were plotted and two of them were under the 25\textsuperscript{th} percentile, indicative of moderate wasting.

**Health status**
Probing regarding health status was also included in the interview schedule. The probes revolved around deaths and diseases within the community, availability of growth charts, and breastfeeding practices. The available growth charts of the children were also assessed for birth weight, growth patterns, and major health problems. The nursing sister of the visiting mobile health clinic was also interviewed regarding vital statistics of the district. Results are also revealed in Box 5.2.

**Household food security**
Food security at household level implies physical and economic access to foods that are adequate in terms of quantity, quality, safety and cultural acceptability, to meet each member’s nutritional needs. Household food security depends also on an adequate income and assets, including land and other productive resources. It is ultimately associated with the ability of households or individuals to acquire a nutritionally adequate diet at all times (FAO, 1997:6). This definition therefore implies that safe and nutritious foods should be available and that household resources should be sufficient to meet costs. Household food security therefore relates to aspects of food production and availability, food practices, and food preservation. Secondly, household food security relates to household income and assets, and thirdly it can be described in terms of food safety, including hygiene and sanitation practices.
Household members were asked about their perception of food insecurity, using an assessment scale (see Addendum A). This scale was originally constructed by Kendall et al, (1995), adapted and used in the NFCS (Labadarios, 2000). The tool is described as a sound national measure for food insecurity and hunger and appropriate for standard, consistent use on national and local levels. The scale is composed of eight questions that investigate whether adults and/or children in the household are affected by food insecurity, food shortages, perceived insufficiency, or altered food intake due to constraints on resources. Answers on each of the eight questions were scored, then summed and converted to percentage. The outcome of the assessment appears in Box 5.2.

**Hygiene and sanitation practices**

These practices were uncovered mainly by observations. The first observations were done in an unstructured way, by merely making field notes. These observations are indicated in Box 5.2. On another occasion, hygiene and sanitation practices were more structurally measured by using three dimensions of personal, household and environmental hygiene. Indicators relevant to each of these dimensions were based on the first observations but also compiled from the literature (Ahmed et al, s.a.; Almedon et al, 1997; Billig et al, 1999:22; Curtis et al, 2000:23). A score was attached to each indicator. Table 5.1 depicts the scoring guideline. A maximum of 10 marks could be scored within each category, revealing excellent hygienic practices. More than eight marks were considered to be very good, and between five and seven as good. A score of three or four were indicative of poor hygienic practices, and less than three as very poor.

Hygienic conditions were also determined using total microbiological counts on Rodac plates from various surfaces in all eighteen households, including mugs, plates, dining room tables, toilet seats, kitchen cloths, hands, clothes, and food preparation bowls. Staff from the Department of Food Science and Technology, University of Pretoria, was involved in these measurements. All the plates were overgrown (see Figure 5.3), that is too numerous to count, but indicating more than 300 bacteria per cm² for all surfaces. The measurements were repeated two months later where samples were taken from three households. All the samples were analysed at the analytical laboratory of the ARC. The environmental samples (tap handle at the borehole, inside of tap, inside of water containers, table surface, inner and outer surfaces of refrigerators) showed high coliform counts (>300cfu/ml) as well as presence of *Escherichia coli*, (E. coli) indicating a lack of general and personal hygiene practices (Prinsloo, 2003).
TABLE 5.1: HYGIENE SCORING GUIDELINE

<table>
<thead>
<tr>
<th>Dimension</th>
<th>Max score</th>
<th>Typologies</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Personal hygiene</strong></td>
<td>10</td>
<td>Clean nails =2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Clean clothes =2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Good body odour =2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>No reported illnesses =2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>No visible sores =2</td>
</tr>
<tr>
<td><strong>Household hygiene</strong></td>
<td>10</td>
<td>General clean appearance =2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Good ventilation =2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Washing facilities available =2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Clean kitchen cloth =2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Presence of soap =2</td>
</tr>
<tr>
<td><strong>Environmental hygiene</strong></td>
<td>10</td>
<td>Children use pit latrines =3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Rubbish removal in place =3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Pets at bay =2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Chicken kept in run =2</td>
</tr>
</tbody>
</table>

FIGURE 5.3: EXAMPLES OF BACTERIAL OVERGROWTH ON PLATES TAKEN FROM VARIOUS SURFACES IN 18 HOMESTEADS

5.3.5 The third level of information for intervention planning

As indicated in Figure 5.2, information on the third level of the pyramid included (1) nutritional information (from level two), (2) further inquiry into assessed problems, (3) felt problems, and (4) transformation of assessed needs into a relevant intervention.

Nutritional problems

Analysis of information from the second level resulted in the identification of specific nutritional problems. Results are summarised in Box 5.2. Assessed needs were identified as hygiene and sanitation practices, perceived food insecurity, insufficient dietary diversity, and insufficient food coping strategies. Although sufficient food in terms of quantity were available in the village, the
quality and variety was suspected to be a concern. This phenomenon is referred to as dietary diversity. Dietary diversity refers to the number of individual foods or the number of food groups consumed over a given period. The specific measurement and analysis of this problem was the project of another postgraduate student (Matla, 2004).

Food coping strategies refer to those strategies that are employed when there is insufficient food within the household or insufficient money to buy food. These can include altering the habitual diet (changing to cheaper/other food), rationing (skipping meals, skipping the whole day without food, maternal buffering), food seeking (borrowing food or money to buy food) or altering household composition (sending household members away to other family). The specific measurement and analysis of this problem was also assigned to another postgraduate student (Moopa, 2004). The problem of food insecurity was seen as part of all the other identified problems and would therefore be addressed as such.

Further inquiry

The research team decided to do further inquiry into the hygienic and sanitary conditions of the community. The group’s knowledge and behaviour regarding these issues were assessed, based on items identified from the literature. The assessment was done informally as a group discussion on the pertinent issues. These assessment forms are included in the thesis as Addenda C and D. Thirteen women voluntarily participated. The sanitation knowledge test consisted of 25 questions on which they could simply respond with a yes or no answer. The sanitation behaviour scale consisted of 25 statements to which they could indicate the degree of agreement (always, sometimes, or never). The possible responses were kept very simple, because all these participants were illiterate. They were assisted to indicate their answers with either a cross or a right mark directly onto the paper.

The responses were scored and calculated to percentage. The average total score achieved in the sanitation knowledge test was 78%, and 77% on the behavioural scale indicating a fairly good knowledge and well as good behavioural intentions. All the participants scored more than 72% in the knowledge test and more than 62% on the behavioural scale. The overgrowth of bacteria on the Rodac plates, however, contradicts these scores. An observation technique was employed on a separate, unannounced occasion, whereby the fieldworker did friendly, informal household visits. She was instructed to observe the hygiene and sanitation practices of all the households without recording anything directly on paper. Recordings only took place after each visit. The findings and the analysis thereof are presented as part of Chapter 6.
Felt problems
The community members also expressed other felt needs and concerns. Felt or perceived problems often evolve around improving the economic structure in the short term (Endres, 1999:253), for instance to have or earn more money. Most of the interviewees (n=10) expressed their needs in monetary terms but also indicated a desire for the availability of water directly in their houses, a preschool, and to learn how to sew and make clothes. They also perceived the land available to be insufficient for agricultural purposes. The reason indicated was that the land is against a slope and therefore not good for irrigation.

Transformation of assessed needs into a relevant intervention
Level three also set the stage for the next process to follow, namely to verify the findings with the community, to prioritise and to obtain consensus and agreement regarding the prioritised needs within the community. We organised a group meeting, during which feedback was given to the participating community members. The further inquiry clearly showed a need for behavioural change with regard to hygiene and sanitation practices. The need for improved hygiene and sanitation practices was therefore proposed, as the most urgent need to be addressed. This identified need, however, has to be transformed into a real need that can be addressed. This process cannot commence until at least some people have a positive attitude towards it. Therefore, some of the objectives of the transformation process were to be positive about the upcoming intervention, not to make empty promises, but to be enthusiastic about what the research team is in the position to offer. A key informant was identified to assist the transformation process. The community members unanimously elected a group leader during one of the group discussions. The research team considered this lady to be a key informant, and one who was also able to assist in the process of translating the assessed problem into felt need.

Four discussions at different times were held. With the help of the field worker and key informant, the process of sorting and prioritising problems and needs were set forth. Felt needs and problems (more money, making clothes, water directly available in their houses, a crèche and more land) were beyond the scope of the study as well as the capacity and skills of the research team. These needs, however, were discussed with the farm owner. He had certain logistical problems with meeting these needs. The community members were told why certain needs could not be addressed. We explained to them what we could do. They agreed on addressing the following identified needs - hygiene and sanitation practices, food security, dietary diversity and food coping strategies from which hygiene and sanitation was addressed first. The planning of this intervention is the theme of the next chapter.
5.4 CONCLUSION

“If a way can be found to meet the identified needs of the community while also satisfying their perceived needs, interventions will succeed” (Endres, 1999:253).

Within the realm of this quotation, a ‘situation analysis’ was conducted. The initial aim was to conduct a situation analysis, in order to verify the national finding of the existence of nutritional problems on commercial farms. Certain assumptions underscored this study. Firstly, that nutritional problems were actually present on the farm, secondly, that these problems could be defined as needs within the community, and thirdly, that the community members were willing and competent to be persuaded to address these needs. Specific objectives were stated as to a) describe the community, (b) identify particular needs and problems, (c) translate the nutritional problems into addressable needs, and (d) to establish a basis for designing a suitable intervention.

The community was described in terms of demography, socio-economic profile, geography, resources, infrastructure, and services available (see Box 5.1). Given the data from the ‘needs assessment’, the premise was made that nutritional problems are existent on the particular commercial farm under study. These problems were the poor hygienic and sanitary conditions and practices in the community, perceived food insecurity, insufficient dietary diversification, and insufficient food coping strategies (see also Box 5.2).

Findings from the National Food Consumption Survey (Labadarios, 2000) show that a great number of children consume a diet deficient in energy and of poor nutrient density. Thirteen percent of children in South Africa are severely stunted. On Oranje farm 15% were severely stunted. The average number of food items procured by the lower income households (< R1 200 per month) surveyed during the National Food Consumption Survey, was 8 and varied from 4 in the Free State to 13 in the Western Cape indicating widespread food insecurity. In this study on Oranje farm, the number of food groups consumed by female adults was measured (food group diversity score) as well as the number of portions eaten per day (food variety score) (Matla, 2004). The mean food group variety score was 9.48 (ranging from 4-12) and the mean food variety score 17.76 (ranging from 6-67). These findings indicated limited dietary diversity.

Food insecurity on national level was confirmed by results on the Hunger Scale questionnaire, which showed that food insecurity ranged from 48 - 91, 40 - 84 and 26 - 66% at the level of
the household, the individual and the child, respectively. In this study food insecurity were 59% on the household level as measured on the Hunger Scale questionnaire, ranging from 47 - 69%. The foods most frequently consumed by the low-income households on national level were maize (83%), salt (63%), white sugar (62%), tea (51%), fat (poly-unsaturated fatty acid oils) (42%), white rice (36%) and white bread (35%) (Maunder et al, 2000). On Oranje farm these foods were maize porridge (mealy meal), cow’s milk, poultry (only on weekends or during special occasions), and certain vegetables (spinach, pumpkin, potatoes, beetroot and cabbage).

Felt problems were to have or earn more money, and to learn how to sew and make clothes. Wanting water directly available in their houses, the organisation and construction of a preschool as well as the perceived land insufficiency were also mentioned. Through a series of discussions and the assistance of the field worker and key informant, community members came to understand and accept the prioritising of the various problems. The focus of the research team on nutritional problems and the context within which an intervention would be implemented were also clearly explained.

One of the most important outcomes of this ‘situation analysis’ was a commitment by the research team to actually use the identified needs in the intervention planning process. The research team also needed to find out how the people perceived the assessed needs and started to change any negative feelings people have about their circumstances and their capacity to do something about these needs. Swanepoel and De Beer (1997:78) said that identifying needs could be a very negative act. People should be led to understand that they should not accept their abjection, but that they should start thinking positively about using their abilities and resources to do something about it. As soon as felt needs are expressed, the urgency of meeting these needs increased. Through the mere act of expressing a need, a person becomes sensitive and aware of such a need. The needs of all members of the community cannot be satisfied at the same time; the result is that several persons become increasingly more dissatisfied with their existing circumstances.

The following step was to design and implement a suitable intervention to address the identified needs. The findings revealed from this ‘needs assessment’ (situation analysis), were used to give direction to the designing and implementing process. The hygiene and sanitation situation within the community received priority. A food-based strategy to address perceived food insecurity, insufficient dietary diversity, and insufficient food coping strategies was also suggested, but was assigned to other postgraduate students.
**BOX 5.1: CONTEXTUAL INFORMATION**

The farm covers an area of 1500 hectares, producing a variety of commodities like crops (maize, wheat), cattle (milk and meat), sheep (meat), fruit (apricots, peaches, cherries), and walnuts.

<table>
<thead>
<tr>
<th>Demography</th>
<th>There were 18 homesteads on the farm, from which only 10 inhabited young children. Nineteen children younger than 10 years were present. All the participants (n=23) were Southern Sotho, adult females, living within the community, and also speaking the local language. Only two women could understand Afrikaans and three English. The women were between 24 and 64 years of age. All except two interviewees had some formal education. The highest qualification varies between grade three (on primary school level) and one respondent’s daughter-in-law who finished grade twelve (secondary school level). Households consisted of seven members on average.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Socio-economic profile</td>
<td>Labour on the farm is the main source of income providing jobs for 4 women and 12 men. Average incomes of R600 per month per household were calculated. These incomes included pensions and other maintenance received. In the households where members are working on the farm, they also received an additional allowance of an eighty-kilogram bag of maize meal per month. Household incomes are mainly from wages, as at least one of the household members is employed on the farm. Farmers also are not in favour of people living on the farm if they are not employed there, but do tend to make exceptions for family members and people who have already been living there for a long time. The few products produced by them (vegetables, fruit) contributed to their income in an indirect way. Outside funds were also received. Three women received maintenance from their children’s fathers who work in the cities, and two older people taking care of grandchildren received money from their children. Three pensioners received a grant of R650.00 per month, whilst the mothers (n=8) with children aged less than 7 years received maintenance of R140.00. The people do not have many assets. Apart from the house they live in, a television, maybe a fridge and a radio, they do not own anything else. Nobody reported having any savings.</td>
</tr>
<tr>
<td>Geography</td>
<td>The farm receives an average rainfall of 780mm per year, mainly during the months of October until March. The climate is moderate. The farm is considered the warmest in the district. Constraints to farming are either droughts or hail. Frost only occurs on the lowest parts of the farm and snow about every year during June, July and August.</td>
</tr>
<tr>
<td>Resources</td>
<td>All village members (farm residents) have access to land for cultivation on a small/household scale. There are also sheds for cattle, and poultry runs. Some members do own some implements like forks, spades, wheelbarrows, and hoes. A well/borehole 200m away is the only communal water source, and although they have unlimited access, the only way is to fetch it with buckets and carrying it back home. Electricity is available, although the people have to buy coupons. They mainly use it for light during the evenings. Wood fires are used for cooking in separate cooking rooms (kitchens).</td>
</tr>
<tr>
<td>Infrastructure</td>
<td>The village is situated next to a secondary road (R711) between Fouriesburg and Clarens, and community members make use of public transport to visit either of the towns.</td>
</tr>
<tr>
<td>Services available</td>
<td>An informant revealed that a crèche for the farm labourers’ children existed previously, but unfortunately is no longer operational. There is a primary school on the premises, the nearest high school being in Fouriesburg. The primary school serves 48 children from different surrounding farms, teaching grades 1 to 6. After-school care is non-existent, but also not necessary since the school is next to the village with the majority of adult women unemployed, staying at home. Grandparents take care of children when mothers are working. There are primary health care clinics in both towns, and a mobile clinic visits the farm regularly. The following services are rendered at these clinics: treatment of minor ailments, tuberculosis, chronic diseases, family planning, integrated management of childhood illnesses, voluntary testing and counselling for HIV/AIDS and sexually transmitted diseases, immunizations, health education, mental health services, and maternity services. Routine vitamin A supplementation and deworming of children are also done. The nearest hospital is in Bethlehem, 60 km away. A spaza shop is available, selling sweets and icicles to the children as well as other commodities (soap, matches, dry beans).</td>
</tr>
</tbody>
</table>
BOX 5.2: NUTRITIONAL INFORMATION

NUTRITIONAL STATUS

Dietary patterns

Food production and availability
Household food gardens are present. Foods planted include mainly vegetables (spinach, pumpkin, potatoes, beetroot, cabbage), fruit (peaches, apricots), and maize. When drought is not a restriction, they also collect indigenous green leafy vegetables (*morogo*) from the field. Agricultural varieties like *thepe*, *sepïsê*, and *sethokojane* (see Figure 5.1) are present, as well as roots like *moputswe* and *sewediwetla*. The men hunt rabbit with their dogs, but these and other wildlife have become scarce. Guinea fowls (*gaka*) are present on the farm but eaten only during dry and hungry seasons.

Food practices
Foods most often eaten are maize porridge (mealy meal), cow’s milk, poultry (only on weekends or during special occasions), and certain vegetables (spinach, pumpkin, potatoes, beetroot, cabbage). Some households do own cattle, but the farm owner limits them to only four animals per household. Some of the households (n=3) indicated that they do milk the cows, but more often buy milk from the farm owner. If ever a surplus cow’s milk is present, they sell it to the Basothos from Lesotho, the neighbouring country. All farm workers receive a bag of maize meal (mealy meal) as part of their allowance/wage. Large families receive eighty kilograms and smaller ones receive 60 kg. All households own chickens. Although chicken eggs are available, the household members rather hatch them, and buy new chickens from one of the nearby farms.

Only two household members reported that they followed the practice of giving preference to adult males in a household regarding food distribution. Males feel, especially when they are working (doing physical labour on the farm), that there should be meat present in their lunch boxes. “We feel ashamed if there is no meat”, was one response. No meat to eat is seen as an indication of inferiority and that "things are not well at home”.

Some food taboos are still practiced, the main one being the exclusion of eggs from the diets of young girls during their initiation stage. Only married women are allowed to eat eggs. When pregnant and breastfeeding, women again abstain from eggs. Eating chicken intestines, especially chicken kidneys, is also not allowed during these life stages. Special food is usually prepared for funerals. They incur huge expenses for the slaughtering of sheep or cattle for the occasion.

Food preservation
The interviewees reported to practice solar drying of leafy vegetables, mainly for the winter season, and if there is any surplus left. The elderly women in the community also bottle and dry peaches at the end of the season. Food is preserved mainly for household consumption. The quantities involved do not allow any selling.

Clinical examination

Apart from symptoms of iron-deficiency (as indicated by a pale conjunctiva of lower eyelid, and pale nail beds), no other prominent physical signs and symptoms were observed in the children.

Anthropometric indicators for children between birth and 10 years (n=20)

The w-a of seven children (35%) fell under the 50th percentile, with only one under the 5th percentile. The h-a of 10 children (50%) was under the 50th percentile. Three children (15%) fell under the 5th percentile. The w-h of three children (15%) was under the 25th percentile.

(continued)
**BOX 5.2: NUTRITIONAL INFORMATION** (continued)

<table>
<thead>
<tr>
<th>Body mass index</th>
<th>%</th>
<th>Status</th>
<th>Body mass index</th>
<th>%</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>&gt;30</td>
<td>47.6</td>
<td>Obese</td>
<td>17-18.4</td>
<td>4.8</td>
<td>Possibly underweight</td>
</tr>
<tr>
<td>25-29.9</td>
<td>19</td>
<td>Overweight</td>
<td>16-16.9</td>
<td>9.6</td>
<td>Underweight</td>
</tr>
<tr>
<td>18.5-24.5</td>
<td>19</td>
<td>Normal</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**HEALTH STATUS**

The community members reported two deaths during the visited period – a male who had a stroke and a teenager with kidney failure. Growth charts of children were available, except in cases where the families had migrated from the cities. The problems indicated on the growth charts were an outbreak of scabies 5 years ago, some infections, and only one case of severe diarrhoea and vomiting. One woman (67 years of age) was very underweight. Nobody seemed to know what exactly was wrong with her.

No other cases of severe illnesses and diseases were observed or reported.

The mothers of young children reported to have breastfed their infants up to an age of two years. In two cases, the mothers indicated that they had given infant formula as supplements.

A baby was born during the research period - the mother also breastfed her exclusively up to the age of six months.

**HOUSEHOLD FOOD SECURITY** (n=13)

All 13 participants reported feelings of food insecurity, as indicated by an average score of 59% in the assessment scale (see Addendum A).

Average incomes of R600 per month per household were calculated.

The people do not have many assets. Apart from the house they live in, a television, and perhaps a fridge and a radio, they do not own anything else. Nobody reported having any savings.

**HYGIENE AND SANITATION PRACTICES**

**Scoring** (n=13)

Instruments were constructed from the literature according to criteria to measure and observe practices. All participants obtained a very low personal hygiene score (x=2.6/10); a low household hygiene score (x=4/10); and a low environmental hygiene score (x=4.7/10).

Participants attained a good sanitation knowledge score (x=78%), as well as a good sanitation behavioural score (x=77%) as measured by separate tests (see Addenda C and D).

No particular illnesses related to food consumption were reported.

**Observations**

The following practices regarding hygiene and sanitation were observed and noted:

- Pit system toilets were present in the village, and were built by household members themselves. Most of the household members own dogs, which appeared undernourished. Chickens were allowed free access to most areas. Very small children were not wearing any nappies, and the smell of urine was very strong in certain houses. All children appeared very dirty. All the houses, except one, were filthy and desperately in need of cleaning. Washing of clothes was done either directly at the borehole, or in front of their houses in tin baths. Nearby wire fences were used for hanging out washing to dry. Garbage was disposed mainly by dumping on a communal site. No signs of burned garbage were observed, although the respondents indicated that they do practice burning and burying of disposed material. The environment around and close to the village was not kept neat and clean. Paper, plastic bags, old toys, implements and pieces of wire fencing were polluting the area.

**Microbiological tests**

Rodac plates were all overgrown, indicating more than 300 bacteria per cm² for various surfaces in the households, including mugs, plates, dining room tables, toilet seats, kitchen cloths, hands, clothes and food preparation bowls.

A second microbiological analysis assessed high coliform counts (>300 cfu/ml) as well as the presence of *E. coli*. Analysis of water samples did not indicate any contamination, either from the source of water, or from the containers being used. Some food samples were also analysed to determine the presence of the most commonly recognized pathogens associated with food. *Listeria monocytogenes* was detected on one of the eggshells, while *Bacillus cereus* was detected in one of the eggs. The presence of *Staphylococcus aureus* on the chicken meat also indicated a lack of personal hygiene practices (Prinsloo, 2003).