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ATTENTION: Mr. I.S. Molale

REQUEST TO CONDUCT RESEARCH AROUND SCHOOLS IN THE NORTH WEST PROVINCE FOR DOCTORAL STUDIES

With reference to the above-mentioned issue, I hereby request your office to grant me permission to conduct research in the North West Province. The topic of the study is “THE EFFECTS OF DEVELOPMENTAL APPRAISAL POLICY ON TEACHER LEARNING”.

I do commit myself to be ethical and professional during the period of my interaction with the schools for obtaining information.

Yours sincerely

……………………
MA Mokoena
APPENDIX B

A CONSENT FORM

Data collection for the study

Kindly be informed that I obtained written permission from the Chief Directorate Quality Assurance to conduct research for my studies i.e. Doctoral Degree. The study is on the Implementation of DAS Policy in Schools. You are therefore requested to participate in this study of policy implementation. The following details are provided for you to make a decision to participate:

- Should you willingly decide to take part, your involvement will be in the semi-structured interview, maintaining a diary, writing a critical incident report and a free writing schedule. With your agreement, I will use a recording device for the interaction together with a notepad for the purpose of getting accurate information. Furthermore, I will ensure that your name and that of your school are not disclosed.

- Your participation in this research will be highly appreciated. Please note that I conduct the research as a doctoral student in the University of Pretoria.

- Having read the contents of this communication, you are requested to attach your signature as proof of consent. If you have any other problems or information pertaining to any studies, feel free to contact me at the address or telephone number given below as follows:

  Ms MA Mokoena
  University of North West
  Faculty of Education
  P/Bag X2046
  Mmabatho
  2735

  8 Rugby Street
  Riviera Park
  Mafikeng
  2745

  Tel: 018 – 3892080
  Cell 0726341056
Dear Teacher: Please write down your responses to each of the following questions. Please write down whatever you think or feel is an appropriate answer to each question.

1. What is your understanding of the Developmental Appraisal System (DAS)?
   ---------------------------------------------------------------------------------------------------
   ---------------------------------------------------------------------------------------------------
   ---------------------------------------------------------------------------------------------------
   ---------------------------------------------------------------------------------------------------

2. In your view, what was the Developmental Appraisal System as a policy responding to (or, Why was DAS necessary)?
   ---------------------------------------------------------------------------------------------------
   ---------------------------------------------------------------------------------------------------
   ---------------------------------------------------------------------------------------------------
   ---------------------------------------------------------------------------------------------------

3. What in your opinion are the main goals of the DAS policy?
   ---------------------------------------------------------------------------------------------------
   ---------------------------------------------------------------------------------------------------
   ---------------------------------------------------------------------------------------------------
   ---------------------------------------------------------------------------------------------------
4. What do you regard as the main challenges facing the implementation of DAS?

5. What opportunities (if any), do you think DAS provides for teacher learning and development?
TEACHER BIOGRAPHICAL DATA

The purpose is to explore teachers’ professional biography. The information teachers provide will be treated with absolute confidentiality and will be used for research purposes only.

PART A
FILL IN OR CROSS THE APPROPRIATE OPTION

1. Designation of teacher

<table>
<thead>
<tr>
<th>Teacher level</th>
<th>Principal</th>
<th>Deputy - Principal</th>
<th>Head of Department</th>
<th>Other (Specify)</th>
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</thead>
<tbody>
<tr>
<td>1</td>
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<td>3</td>
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2. Main teaching subject area

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<th>Humanities</th>
<th>Maths/Science</th>
<th>Technical/Skills</th>
<th>Languages</th>
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</tbody>
</table>

3. Age

<table>
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<tr>
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<th>25 - 29</th>
<th>30 - 34</th>
<th>35 - 39</th>
<th>40 - 49</th>
<th>50 - 59</th>
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<td>3</td>
<td>4</td>
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<td>6</td>
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4. Teaching experience in years

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<th>6-10</th>
<th>11-15</th>
<th>16-20</th>
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<td>4</td>
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5. Gender

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<th>Female</th>
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<tbody>
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6. Formal qualifications (completed)

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<th>3 year Diploma only</th>
<th>Degree only</th>
<th>Degree and Diploma</th>
<th>More than one degree</th>
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<td>4</td>
<td>5</td>
<td>6</td>
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7. Type of school

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<th>Middle</th>
<th>Secondary/High</th>
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<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
</tbody>
</table>

8. Description of school

<table>
<thead>
<tr>
<th>Urban</th>
<th>Rural</th>
<th>Farm</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
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</tbody>
</table>
The purpose of this schedule is to elicit teachers’ understanding on Developmental Appraisal System (DAS) policy and to establish how these understandings influenced their learning, which may contribute to changes in classroom practice during the implementation of the policy in the school context.

Before the appraisal process
1. What experiences did you have of teacher appraisal or teacher evaluation before DAS?
   - What did those earlier experiences of appraisal mean to you?
   - How did they affect your work?
   - In what way did they contribute / not to your development as a teacher?
2. What is your understanding of DAS?
   - What does it mean to you?
   - Did it broaden your understanding of appraisal?
   - In what way did it change you?
3. The DAS policy states that DAS is to bring about teacher effectiveness and professional development. What do you understand by teacher effectiveness and professional development?
4. What do you regard as the major problems facing the implementation of DAS?

Self – Appraisal
5. What does self – appraisal mean to you?
6. Did you receive any training for the process of self – appraisal?
   - If yes, by whom and for how long?
   - When and where was the training?
   - Describe the nature of the training received
   - How useful was the training in preparing you for the process of self – appraisal?
7. Did the process of self – appraisal affect your work?
   - Did it change your teaching?
Did it assist in your development?
What difficulties did you experience?
What specifically did you learn as a result of the self-appraisal?

After the Self – Appraisal
9. What actually happened during the process of self – appraisal?
   - Principal conducted classroom observations
   - Specially prepared lessons were presented
10. What are the effects of what happened during the self – appraisal phase?
   - Teachers began to prepare more seriously for panel appraisal
   - Some teachers became motivated/ de-motivated

Peer Appraisal
10. What did peer appraisal mean to you?
11. What kind of preparation did you receive for the process of peer appraisal?
12. Did the process of peer appraisal affect your work?
   - Did it change your teaching?
   - Did it assist in your development?
   - What difficulties did you experience?

After Peer Appraisal
13. What happened during the process of peer appraisal?
14. What specifically did you learn as a result of peer appraisal?

Appraisal by Panel Members
15. What did appraisal by panel members mean to you?
16. Did you receive any training in preparation for appraisal by panel members?
   - If yes, by whom and for how long?
   - When and where was the training?
   - Describe the nature of the training received
   - How useful was the training in preparing you for the appraisal by the panel?
17. Did you receive sufficient support from your colleagues/principal/governing body/ in preparation for the process?
18. Did the appraisal by the panel affect your work?
   - Did it change your teaching?
   - Did it assist in your development?
   - What difficulties did you experience?
What specifically did you learn as a result of the panel appraisal?

After the appraisal by panel members
19. What happened during the appraisal by panel members?
20. How did you feel about the review that you had to complete in preparation for the post appraisal meeting?
21. What was said with regard to the focus areas during the oral report stage?
22. How did you feel during the period following the appraisal, waiting to receive the formal report?
23. How useful were the comments received from the formal report?
   - What are your views with regard to the issues raised by the panel members?
   - Lack of comfort or knowledge of DAS, how did it constrain your ability to use it as a site for teaching and learning (too abstract/too confusing)?
24. How did the comments from the panel impact on your learning as a teacher?
The purpose of the diary is to capture teachers’ understanding of the Developmental Appraisal System as a policy and how the policy might have influenced their learning. Teachers are requested to maintain a (weekly) diary where they note the successes, failures, concerns, thoughts etc experienced as they are exposed to the implementation of DAS.

TEACHER DIARY COMPOSITION AND CONSTRUCTION

The process of Developmental Appraisal System has the following phases: preparation phase for appraisal; self-appraisal, peer appraisal, appraisal by panel members and post appraisal by panel members

GUIDELINES

- You are requested to keep a diary for each of the 5 phases of the Developmental Appraisal System
- You are expected to make entries for each phase: one before, one during, one after each phase event (see diagram)
- Each diary entry must be 3-5 pages long

<table>
<thead>
<tr>
<th>Entries</th>
<th>Phase</th>
<th>before</th>
<th>during</th>
<th>after</th>
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<tbody>
<tr>
<td>ONE</td>
<td>Preparation for Appraisal</td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>TWO</td>
<td>Self-Appraisal</td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>THREE</td>
<td>Peer Appraisal</td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>FOUR</td>
<td>Appraisal by Panel Members</td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>FIVE</td>
<td>Post Appraisal by Panel Members</td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
</tbody>
</table>
• Guiding questions for the “entries” per phase are provided below
• It is expected that your diary entries will focus on these questions

• It is important that you report on your conversations with others, your observations, and personal reflections of the processes as they unfolded. Emphasis should be on how these might have influenced your learning, and development as a teacher and led to changes in classroom practice.

GUIDING QUESTIONS FOR ENTRIES PER PHASE
Phase One: Preparation for appraisal
• What training has been received for doing/participating in the preparation for appraisal? (When; duration; nature of training)?
• How did participation in formal professional development workshops on DAS assist in acquiring knowledge useful to both classroom practice and professional development?
• How did collaboration or interaction with other teachers during the preparatory workshops reinforce your learning and allowed you to reflect on own practice?
• How did the workshop sessions reflect the ways you learn and improve practice?
• How does your own learning compare with the official rhetoric of DAS?
• When were you informed about the appraisal (who informed you (staff), by what means?
• What were your expectations about DAS?
• Is there an implementation plan to inform teachers who will be appraised?
• How did you prepare for it?
• What staff room discussions surfaced during that time? What were the effects on you?
• What did you as a teacher learn during the preparatory phase for appraisal?

Phase Two: Self-Appraisal
• How did you prepare for self-appraisal?
• What discussions surfaced during that time?
University of Pretoria etd – Mokoena, M A (2005)

• How often are you expected to engage in the process?
• What did you learn during and after the processes?

Phase Three: Peer Appraisal
• How did you prepare for peer appraisal?
• What discussions surfaced during that time?
• How often are you expected to engage in the process?
• What did you as a teacher learn during the process of peer appraisal?

Phase Four: Appraisal by Panel Members
• Describe the panel members (size, areas of expertise etc)

• What was the duration of the appraisal?

• What staff room discussions surfaced during that time and how did they affect you?

• What were the challenges that were experienced during and after the process?

• How did they contribute to your development as a teacher?

• How did you feel about DAS as a policy considering or not considering context or implications for teaching and learning?

• What specifically did you learn during the appraisal by panel members?

Phase Five: Post Appraisal by Panel Members
• In what way did the appraisal by panel enable you to think about different teaching strategies/change in approach to communicate with learners/assist you in strengthening relationship with learners and colleagues?
• To what extent have you managed to improve your skills?
• How did the school environment/work context and DAS influence your development and professional self?
• How did the appraisal assist you to relate your learning to your work?
• In what way did they make it possible for you to access resources, which could improve or strengthen your learning?
• What have been the most difficult problems you have had to cope with during that period?
• Is there anything you need that could help you develop and become more effective?
• How do you feel about DAS policy considering context and or implications for teaching and learning?
• What did you as a teacher learn during the post appraisal by panel members?

NB:  
A template will be used for each phase of DAS

TEACHER DIARY FOR DEVELOPMENTAL APPRAISAL SYSTEM

PHASE ONE: PREPARATION FOR APPRAISAL

Entry number:…………………………………………………………

Date:…………………………………………………………………..

Day:………………………………………………………………….

Time:……………………………………………………………….

TEACHER REFLECTIONS

__________________________________________________________________________________________________________

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The purpose of the Critical Incident Report (CIR) is to determine how the Developmental Appraisal System as a policy influenced teachers’ development and the way they learn and its effect on classroom practice. Teachers are requested to write critical incident reports of the process of DAS as it unfolded. These will be in-depth descriptions of specific events or experiences in order to gain an understanding of their thoughts, feelings and behaviours.

GUIDELINES FOR TEACHERS

- You are requested to write a 2-3 page “report” on a critical incident in DAS
- Please write a full description on one significant or important experience or event (positive or negative) that influenced your learning and development as a teacher during the implementation of DAS
- Describe the experience or event in terms of circumstances before the experience or event, what exactly happened and how it affected you and what was the outcome or result, especially in terms of your learning as a teacher
- Provide instances of learning for you as a teacher that had really made a difference.

NB: Different numbers will be used for different teachers

TEACHER ‘CRITICAL INCIDENT REPORT” FOR DEVELOPMENTAL APPRAISAL SYSTEM

Entry number: 1A

Date:………………………………………………………………………………

Day:………………………………………………………………………………
CRITICAL INCIDENT REPORT (ADDENDUM)

This is a story of your experiences with Developmental Appraisal System.

CRITICAL EVENTS

- Concentrate on a few key events that may stand out in your story. This may be an important episode in your experiences with DAS. Please write about three specific events *(see 1, 2, and 3).
- Describe each event/experience in detail, e.g. what happened, where were you, who were involved, what you did, and what were you thinking and feeling during the event. How did the event affect you as a person and as a teacher?

EVENT 1: PEAK EXPERIENCE

- A peak experience would be a high point in your story about DAS. It may be positive emotions, or something uplifting.
- Describe the event
- What did you learn from the experience?
- How did it affect your classroom practice?
EVENT 2: A LOW POINT

- This is a low point in your experiences with DAS. Try to remember a specific experience in which you felt extremely negative emotions regarding DAS.
- Describe the event
- What impact has the event had on you?
- What does it say about who you are as a teacher?

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EVENT 3: TURNING POINT

- Turning points are episodes through which a person undergoes substantial change.
- Describe a particular episode in your story that you see as a turning point

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CHALLENGES

- Describe the single greatest challenge that you have faced in your interaction with DAS
- How did you handle/deal with the challenge?
- Did you get any support in handling the challenge?
- Explain the impact of the challenge on you as a teacher, and what you learnt from it.

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## APPENDIX D

### FORMS

<table>
<thead>
<tr>
<th>NAME</th>
<th>PURPOSE</th>
<th>COMPLETED BY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Personal Details Form</td>
<td>Record of personal particulars, qualifications, teaching/management/other experience.</td>
<td>Appraisee</td>
</tr>
<tr>
<td>Needs Identification and Prioritisation Form</td>
<td>Self-appraisal</td>
<td>Appraisee</td>
</tr>
<tr>
<td></td>
<td>Other Panel Members</td>
<td>Other Panel Members</td>
</tr>
<tr>
<td></td>
<td>Appraisal</td>
<td>Panel</td>
</tr>
<tr>
<td></td>
<td>Panel Appraisal</td>
<td></td>
</tr>
<tr>
<td>Professional Growth Plan (PGP) Form</td>
<td>Shows plan for development in a cycle. Reflects objectives, activities, resources and key performance indicators. One form for each cycle. Motivation for reclassification of core criteria as optional has to be recorded.</td>
<td>Finalised in Panel</td>
</tr>
<tr>
<td>Discussion Paper</td>
<td>To review successes/difficulties of PGP in this cycle.</td>
<td>Panel</td>
</tr>
<tr>
<td>Appraisal Report</td>
<td>A signed record of the entire appraisal process for the cycle, including identified needs, strengths and development plan</td>
<td>Appraisee and appraisal panel members</td>
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</table>

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# PERSONAL DETAILS

<table>
<thead>
<tr>
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<td>First Name</td>
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</tr>
<tr>
<td>Employing Department</td>
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<tr>
<td>Persal Number</td>
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</tr>
<tr>
<td>Name of Institution</td>
<td></td>
</tr>
<tr>
<td>Rank/Post Level</td>
<td></td>
</tr>
<tr>
<td>Nominal Date of Appointment</td>
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</tr>
<tr>
<td>Type of Appraisal:</td>
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</tr>
<tr>
<td>Probation</td>
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<tr>
<td>In-service Development</td>
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## Qualifications

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<th>When obtained (Year)</th>
<th>Major learning area(s) Direction(s)</th>
<th>Secondary learning area(s) (at least second year courses)</th>
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## Learning area and Grade currently being taught (School based only)

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<th>Grade</th>
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</tbody>
</table>
### Other relevant certificates / diplomas / credits

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<thead>
<tr>
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<th>Where obtained</th>
<th>When obtained</th>
<th>Content and nature of qualification</th>
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### Teaching experience

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<th>Period (Dates)</th>
<th>Department / Institution / School / Other</th>
<th>Nature of experience (Primary/Secondary/Other)</th>
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</thead>
<tbody>
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</table>

### Management and administration experience

<table>
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<th>Period (Dates)</th>
<th>Department / Institution / School / Other</th>
<th>Nature of experience (Primary/Secondary/Other)</th>
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<tbody>
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<tr>
<td>Period (Dates)</td>
<td>Department / Institution / School / Other</td>
<td>Nature of experience (Primary/Secondary/Other)</td>
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</table>
NEEDS IDENTIFICATION AND PRIORITISATION FORM FOR PL1 EDUCATORS

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<thead>
<tr>
<th>CRITERIA</th>
<th>SYMBOLS A or B</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>- Rating by appraisee, 2 identified appraisers and members of the panel</td>
</tr>
<tr>
<td></td>
<td>- Needs identification</td>
</tr>
<tr>
<td></td>
<td>- Prioritize the identified needs in the order of importance for the PGP</td>
</tr>
</tbody>
</table>

**1. CORE**

<table>
<thead>
<tr>
<th>APPRAISE</th>
<th>PEER/HOD/DP/PRIN</th>
<th>PANEL</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.1 Curriculum development</td>
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<td>1.2 Creation of a learning environment</td>
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<tr>
<td>1.3 Lesson presentation and methodology</td>
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<td>1.4 Classroom management</td>
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<td>1.5 Learner assessment</td>
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<td>1.6 Recording and analyzing data</td>
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<td>1.7 Development of learning field competency</td>
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<td>1.8 Professional development in field of work/career and participation in professional bodies</td>
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<td>1.9 Human Relations</td>
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<td>1.10 Leadership</td>
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<td>1.11 Community</td>
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<td>1.12 Extra-curricular work</td>
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<td>1.13 Contribution to school development</td>
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**2. OPTIONAL**

<table>
<thead>
<tr>
<th>APPRAISE</th>
<th>PEER/HOD/DP/PRIN</th>
<th>PANEL</th>
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237
<table>
<thead>
<tr>
<th>MOTIVATION FOR CHANGING CORE CRITERIA TO OPTIONAL CRITERIA</th>
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PROFESSIONAL GROWTH PLAN (PGP)

This section is to be completed by the appraisee and finalized in consultation with the appraisal Panel. A new form will be used for each cycle.

- Formulate objectives
- Identify specific activities that will be necessary to achieve these objectives
- State resources needed to achieve these objectives
- State your key performance indicators

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<th>PERIOD</th>
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<tbody>
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<td>OBJECTIVES</td>
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<td>PROFESSIONAL DEVELOPMENT ACTIVITIES</td>
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<td>RESOURCES NEEDED</td>
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<td>KEY PERFORMANCE INDICATORS</td>
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DISCUSSION PAPER WITH PANEL

Form to be completed by appraisee before the post appraisal meeting

1. Were your objectives for the period under review realistic?
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________

2. Given your programme, what has not been completed?
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________

3. What are the reasons for the backlog or shortfall if any?
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________

4. What have been the most difficult problems you have had to cope with during this period?
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________

5. To what extent have you managed to improve your skills?
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
6. Is there anything you need that could help you develop your job and become more effective?

__________________________________________________________________
__________________________________________________________________
__________________________________________________________________

7. Do you receive sufficient support from your colleagues/senior staff/principal/governing body / departmental officials?

__________________________________________________________________
__________________________________________________________________
__________________________________________________________________

8. Are there any other general matters you would like to discuss? E.g. factors affecting your work? Refer to Contextual Factors

__________________________________________________________________
__________________________________________________________________
__________________________________________________________________

THE APPRAISAL REPORT

- All forms that have been filled during appraisal form part of the Appraisal Report
- The following information must also be filled
- This Report must be signed by all parties to the Appraisal panel

1. Prioritised Criteria

__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
2. Identified Needs

__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
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3. Strengths of the Educator

__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
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4. Suggested Development Programme

__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
__________________________________________________________________
5. **Suggested Provider of Developmental Programme**

6. **Dates for developmental programme delivery**
APPENDIX E

PROTOCOL AND INSTRUMENT FOR USE WHEN OBSERVING EDUCATORS IN PRACTICE FOR THE PURPOSE OF WHOLE SCHOOL EVALUATION (WSE) AND DEVELOPMENTAL APPRAISAL SYSTEM (DAS)

PROTOCOL
The Protocol is a set of step-by-step processes and procedures to be followed in order to harmonize both the internal and external evaluation for the purpose of DAS and WSE. This protocol should be read and applied in conjunction with WSE and DAS policies.

PLANNING AND TIMING
It is advisable that departments and schools establish a procedure that allows schools to prepare their programmes during external evaluation. There should be adequate time given to schools, through giving them notices of external evaluations as early as possible. This will assist schools to make sure the two processes coincide.

Process A: Internal Appraisal and Evaluations

Step 1
The District and principal of a school should facilitate the establishment of DAS structures in the school and its implementation.

Step 2
Self-appraisal of individual educators should take place before any lesson observation of educators in practice.

Step 3
Lesson observation of educators in practice for purposes of both DAS and WSE must coincide to utilize human resources and time efficiently. The Principal, the School Management Team (SMT) and the Staff Development Team (SDT), in consultation with staff members, develop an implementation plan for lesson observation of educators in practice as required by these two processes. This implementation plan must indicate clearly who should be evaluated/appraisal, by whom and when.
information must be reflected in the school composite timetable well in advance of implementation.

**Step 4**
The DAS panelist observes the lesson using the prescribed instrument and discuss the outcomes of the lesson observation with the educator observed/appraisee. The appraisee may request copies of the lesson observation records and should not be denied access to this information.

**Step 5**
The DAS panelist will make the information on lesson observation available for WSE internal processes.

**Process B: External Appraisal and Evaluations**

**Step 1**
The WSE team leader to determine a suitable date for the external evaluation, after consultation with the Principal and SMT of the school. Schools to be informed timeously (at least 4 weeks in advance – excluding recess) of the dates of a forthcoming visit for the purpose of conducting WSE. When necessary, the Department and the principal of a school will facilitate the establishment of DAS structures in the school and its implementation.

**Step 2**
If not already done, the WSE team leader to request the District to provide advocacy and training around WSE and DAS. The District to make the necessary arrangements with the school principal to do so, and to inform the principal of documentation required before the visit, including assessment reports, learner profiles, learning programmes, timetables, school policies and DAS documentation. The school management should also inform parents, educators and learners of the forthcoming evaluation, and its purpose.
Step 3
Pre-evaluation visit by team leader to the school, to meet with SMT and SDT and:

- Collect documentation – self-evaluation forms, professional growth plans, DAS reports etc.
- Finalise dates of the school evaluation.
- Confirm the appointment of a school-based WSE coordinator (does not need to be the principal) in accordance with WSE Policy.
- Discuss the process to be followed, and impress the need to maintain the normal routine of the school.

Step 4
On the basis of documentation received, and their own priorities, the team leaders and supervisors to identify a representative cross-section of educators for observation in practice, and communicate this to the school as soon as possible, preferably during the week prior to the external evaluation. The WSE team should consist of supervisors with appropriate knowledge of learning areas to be evaluated.

Step 5
The external evaluation

- School management to introduce the WSE team to the staff, and remind them of the purpose of the visit;
- The supervisors to confirm which educators to be observed and finalise a timetable for the week with the SMT and SDT.
- Evaluation of the other 8 areas goes on simultaneously with the lesson observations;
- Supervisors involved in observations to meet with DAS panels and appraisees to consider/complete the pre-evaluation educator profile checklist and collect other significant information on the individual educator, including the professional growth plans;
- A member of the DAS panel with appropriate learning area knowledge to accompany the supervisor in relevant lesson observations;
- DAS panelist and WSE supervisor to observe the lesson using the same instrument (each completing a separate form); compare findings and discuss
these with the appraisee. The appraisee may request copies of evaluation forms.

- Confidentiality regarding the identity of the appraisee is assured in any documentation leaving the school as part of the WSE (the name of the appraisee is written in the form for DAS purposes only).

**Step 6a**
The DAS processes must inform the professional development of individual educators.

- The Professional Development Plan (PDP) is prepared after the observation.

**Step 6b**
The supervisor prepares a written report after the observation to include:

- WSE evaluation of the quality of learning and teaching
- WSE evaluation of the quality of DAS processes

A consolidated report on the quality of teaching and learning is to be incorporated into the final WSE report for the school.

**THE PRE-LESSON OBSERVATION CHECKLIST**

**PRE-EVALUATION EDUCATOR PROFILE CHECKLIST**
To be used for establishing the profile of each educator selected for classroom observation. The questions could be used as a framework for a professional discussion between the WSE supervisor, the DAS panelist and the educator.

No written responses required.
Wherever appropriate documentary evidence should be provided.
University of Pretoria etd – Mokoena, M A (2005)

- Have you been appraised through the Developmental Appraisal System?
- What is your projected Professional Growth Plan?
- To what extent have you managed to acquire new knowledge and additional skills to address your professional needs?
- Do you stay informed regarding policies and regulations applicable to your position?
- Do you receive support from your colleagues, school managers, governing body and departmental officials?
- Do you share information with colleagues?
- Is there anything you need that could help you develop and become more effective?
- How do you contribute to extra-curricular activities at the school?
- Do you participate in professional activities?
- What type of community activities are you involved in?
- What role do you play in formulating and implementing the school’s policies?
- Are there any other matters you would like to bring to the attention of the supervisor before you are observed in practice?

LESSON OBSERVATION OF EDUCATORS IN PRACTICE

Name …………………………………………………….. (NB: only for DAS purposes)

School: ..............................................................................................................

Address: ...........................................................................................................

..............................................................................................................

E-mail: ...........................................................................................................

Date of Observation: ......................................................................................

Names of Observer/s: ....................................................................................

Signature of Observer/s: ...................................................................................
The Lesson Observation Instrument

The instrument is designed for lesson observation of educators in practice for both Whole School-Evaluation and Development Appraisal System.

The instrument has four focus areas, which should be assessed:

- The creation of a positive learning environment
- Knowledge of curriculum and learning programmes
- Lesson planning, preparation and presentation
- Learner assessment

Each focus area asks a question:

- Does the educator create a suitable environment for teaching and learning?
- Does the educator demonstrate adequate knowledge of the learning area and does s/he use this knowledge effectively to create meaningful experiences for learners?
- Is lesson planning clear, logical and sequential, and is there evidence that individual lessons fit into a broader learning programme?
- Is assessment used to promote teaching/learning?

Each of these questions is assessed in terms of four levels of performance. They are:

- **Rating 1**: Unacceptable. This level of performance does not meet minimum expectations and requires urgent intervention and support.
- **Rating 2**: Satisfies minimum expectations. This level of performance is acceptable and is in line with minimum expectations, but development and support are still required.
- **Rating 3**: Good. Performance is good and meets expectations, but some areas are still in need of development and support.
Rating 4: Outstanding. Performance is outstanding and exceeds expectations. Although performance is excellent, continuous self-development and improvement are advised.

A GUIDE ON HOW TO USE THE LESSON OBSERVATION INSTRUMENT

1. The focus area appears at the top of the instrument and is followed by a broad statement of what the expectation is.
2. The question to be answered from the observation is given.
3. The supervisor/appraiser is required to record observations as clearly as possible in the appropriate columns as follows:

   3.1 In the column “Strengths”, record the strengths that have been taken into account in the assessment rating.
   3.2 In the column “Notes on contextual factors”, record the contextual factors that have influenced the assessment rating. These can consist of personal, social, economic and political factors. The assessment of contextual factors is intended to assess not only their effect on performance, but also the manner in which the educator addresses these issues. The comments should, therefore, reflect the following:

      3.2.1 To what extent do contextual factors influence performance?
      3.2.2 To what extent does the educator attempt to overcome negative influences in their teaching?

3.3 Rate the performance of the educator in each of the four focus areas by placing a cross in ONE of the blocks marked “Rating”.

Example:  

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3.4 Make recommendations in the column “Recommendations for Development”. These are based on the overall rating in the light of
what the educator did in practice and the contextual observations as well as post-observation interview with the educator.

**Focus Area: Creation of a learning environment**

| Expectation: The educator creates a positive learning environment that enables the learners to actively participate and achieve success in the learning process |

**DOES THE EDUCATOR CREATE A SUITABLE CLIMATE FOR TEACHING AND LEARNING**

<table>
<thead>
<tr>
<th>Level of Performance</th>
<th>Strengths</th>
<th>Notes on contextual factors</th>
<th>Recommendations for development</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Unacceptable:</td>
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<tr>
<td>o No effort to create a learning space conducive to teaching and learning.</td>
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<tr>
<td>o Organization of learning space hampers teaching and learning.</td>
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<tr>
<td>o Educators and learners appear disinterested.</td>
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<tr>
<td>o No discipline, much time is wasted.</td>
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<tr>
<td>o Educator insensitive to racial, cultural and gender diversity.</td>
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<tr>
<td>2. Satisfies minimum expectations:</td>
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<tr>
<td>o There is evidence of some attempt at creating a suitable environment.</td>
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<tr>
<td>o Environment supports group and/or individual learning.</td>
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<tr>
<td>o Learners are engaged in activities for most of the lesson.</td>
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<tr>
<td>o Environment is disciplined.</td>
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<tr>
<td>o Environment is free of obvious discrimination.</td>
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<tr>
<td>3. Good:</td>
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<tr>
<td>o Organisational learning space makes use of relevant resources to aid teaching and learning.</td>
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<td>o Organisational learning space encourages group and individual activity.</td>
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<tr>
<td>o There is a lively and stimulating environment with purposeful activity.</td>
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<tr>
<td>o There is positive reinforcement encouragement and appropriate admonition of.</td>
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</table>
Educator uses inclusive strategies, acknowledges and promotes respect for individuality and diversity.

4. Outstanding:
   - Organisation of learning space encourages questions, exchange of ideas and experiences, cooperative learning and productive activity on the part of all learners.
   - Relevant resources are continuously updated as a resource in teaching and learning.
   - As above learners are motivated and self-disciplined.

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<tr>
<td>Unacceptable = 1</td>
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<tr>
<td>Satisfies Minimum Expectation = 2</td>
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<tr>
<td>Good = 3</td>
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<tr>
<td>Outstanding = 4</td>
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**Focus Area: Knowledge of curriculum and learning programmes**

Expectation: The educator possesses appropriate content knowledge which is demonstrated in the creation of meaningful learning experiences

DOES THE EDUCATOR DEMONSTRATE ADEQUATE KNOWLEDGE OF THE LEARNING AREA AND DOES HE/SHE USE THIS KNOWLEDGE EFFECTIVELY TO CREATE MEANINGFUL EXPERIENCES FOR LEARNING

<table>
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<tr>
<th>Level of Performance</th>
<th>Strengths</th>
<th>Notes on contextual factors</th>
<th>Recommendations for development</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Unacceptable:</td>
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<tr>
<td>- Educator conveys inaccurate and limited knowledge of learning area.</td>
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<tr>
<td>- No skill in creating enjoyable learning experiences for learners.</td>
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<tr>
<td>- Little or no evidence of goal-setting to achieve curriculum outcomes.</td>
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<tr>
<td>- Makes no attempt to interpret the learning programmes for the benefit of learners.</td>
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<tr>
<td>2. Satisfies minimum expectations:</td>
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<tr>
<td>- Educators knowledge is adequate but not comprehensive.</td>
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<td>- Has some skill in engaging learners and relating the learning programme to learners’ needs and</td>
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3. Good:
   - Educator is able to use knowledge and information to extend the knowledge of learners.
   - Educator skillfully involves learners in learning area.
   - Good balance between clarity of goals of learning programme and expression of learner needs interests and background.

4. Outstanding:
   - Inspires learners through our engagement with learning area to further reading, activity and involvement outside school hours.
   - Excellent balance between clarity of goals of learning programme and expression of learner needs, interests and background.

Rating

| Unacceptable = 1 | Satisfies Minimum Expectation = 2 | Good = 3 | Outstanding = 4 |

Focus Area: Lesson Planning, Preparation and Presentation

Expectation: The educator demonstrates competence in planning, preparation, presentation and management of learning programmes

SOME PLANNING CLEAR, LOGICAL AND SEQUENTIAL, AND IS THERE EVIDENCE THAT INDIVIDUAL LESSONS FIT INTO A BROADER LEARNING PROGRAMME

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<th>Level of Performance</th>
<th>Strengths</th>
<th>Notes on contextual factors</th>
<th>Recommendations for development</th>
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<tbody>
<tr>
<td>1. Unacceptable:</td>
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<tr>
<td></td>
<td>- Little or no evidence of planning</td>
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<td>- Lesson not presented clearly</td>
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<td>- No records are kept</td>
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<tr>
<td>2. Satisfies minimum expectations:</td>
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<tr>
<td></td>
<td>- Lessons have structure and are relatively clearly</td>
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</table>
Essential records of planning and learner progress are maintained

3. Good:
   - Lesson planning is generally clear, logical and sequential
   - Lesson planning clearly fits into a broader learning programme by building on previous lessons and anticipates future learning activities
   - Essential records of planning and learner progress are maintained

4. Outstanding:
   - Lesson planning abundantly clear, logical, sequential and developmental
   - Essential records of planning and learner progress are maintained
   - There is a clear sense of purpose in achieving the goals of the overall learning programme

<table>
<thead>
<tr>
<th>Rating</th>
<th>Unacceptable = 1</th>
<th>Satisfies Minimum Expectation = 2</th>
<th>Good = 3</th>
<th>Outstanding = 4</th>
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