Open Access policy development @ the University of Pretoria (South Africa) : why, what and how?

Open Education Week, 11-15 March 2013
Contents

- University of Pretoria
- Why do we have a policy?
- What is the content of our policy?
- How do we implement it?
The University of Pretoria, South Africa
More about the University of Pretoria

- Founded in 1908
- One of the leading & largest universities
- 9 faculties & Business School spread over 6 campuses
- Three official languages: Afrikaans, English & Sepedi
- Culturally diverse students
- Offers 230 qualifications
- In 2011 - 1343 postgraduate programmes
- 62 500 Students - 45 000 contact students
- 1281 Permanent staff members
Open access timeline

- 2000
  - UPeTD repository launched

- 2003
  - Mandatory submission policy for ETDs

- 2006
  - UPSpace repository launched

- 2009
  - Mandatory submission policy for research papers

- 2010+
  -
Why do we have a policy in place?

We want to change scholarship practice at UP towards becoming an Open Scholarship institution based on the philosophy...

..the job of research is only half-done if the results of that research cannot reach the widest audience

(Wellcome Trust)
Characteristics of an Open scholarship institution
http://www.biomedcentral.com
Characteristics of an Open scholarship institution 4

Pretoria University Law Press (PULP)

The Pretoria University Law Press (PULP) is based at the Faculty of Law, University of Pretoria, South Africa. PULP endeavours to publish and make available innovative, high-quality scholarly texts on law in Africa. PULP also publishes a series of collections of legal documents related to public law in Africa, as well as textbooks from African countries other than South Africa.

Click here to read reviews on some of PULP’s publications.

Download the PULP style guidelines in PDF

http://www.pulp.up.ac.za/
Characteristics of an Open scholarship institution 5

UNIVERSITY OF PRETORIA

AUTHOR’S ADDENDUM TO PUBLICATION AGREEMENT

1. THIS ADDENDUM hereby modifies and supplements the attached Publication Agreement concerning the following Article:

______________________________

(manuscript title)

______________________________

(journal name)

2. The parties to the Publication Agreement as modified and supplemented by this Addendum are:

______________________________

(the Publisher)

______________________________

______________________________

______________________________

(corresponding author, Individually or, if more than more author, collectively, Author)

http://www.library.up.ac.za/openup/docs/Addendum.pdf
The advantages of a policy

- Provides direction for an institution’s open access initiatives
- Indicates an organization’s commitment to Open Access
- Ensures more dedication
- Reduces barriers to sharing scientific research
- Asserts researcher control over their publications
- Recognizes an institution’s responsibility for dissemination of its own research
What is the content of the UP OA policies?

- **UPeTD**

  3. Policy statement

  3.1 Each student should submit paper and electronic copies of his/her thesis/dissertation to the Postgraduate Office of the relevant faculty (Yearbook General Regulation G57.4(i)). Alternatively students may self-submit their theses/dissertations to the UPeTD system ([http://upetd.up.ac.za](http://upetd.up.ac.za)).

- **UPSpace**

  3. Policy statement

  1. To assist the University of Pretoria in providing open access to scholarly articles and conference papers resulting from research done at the University, and which are wholly or in part supported by public funding, staff and students are **required** to
   - submit peer-reviewed postprints* of their articles and published conference papers to UPSpace, the University’s institutional repository ([https://www.up.ac.za/dspace/](https://www.up.ac.za/dspace/)), **AND**
   - give the University permission to a) make the content freely available and b) to take necessary steps to preserve files in perpetuity.
How is the policy implemented?

- Implementation does not follow automatically
- A Policy does not necessarily result in adoption
- Plan your implementation strategy carefully
- Pro-active approach
- Policy was accepted by Deans of the faculties
- But followed by little action from researchers
- Advice:
  - Find partners & involve as many as possible
  - Think creatively!
Implementation strategy 1: Research Report

http://web.up.ac.za/default.asp?ipkCategoryID=12883&subid=12883&ipklookid=14
Implementation strategy 2: Prominent researchers

Jonathan Jansen Collection (197 items)

Browse by
- By Issue Date
- Authors
- Titles
- Subjects

Search within this community and its collections: [ ] Go

http://repository.up.ac.za/handle/2263/108
Implementation strategy 3: Personalise approach

---

Mail Properties Personalize Discussion Thread

From: Elsbie Olivier
To: Sheryl Hendriks

Subject: Requesting the final accepted version of Will Renewed Attention and Investment in African Agriculture Ensure Sound Nutrition?

Dear Researcher,

According to the records of the Open Scholarship Office the above-mentioned article was either authored or co-authored by you and a UP researcher and published in 2013 and you are indicated as the corresponding author.

In 2009 the University of Pretoria accepted a policy of [mandatory submission of research articles](http://repository.up.ac.za/) requiring all UP researchers to submit the post-print versions of their article/s to the repository UoPSpace [http://repository.up.ac.za/](http://repository.up.ac.za/) but if you forward the post-print of this article to me (including all graphics, figures and tables), my office will submit it on your behalf.

The post-print is the accepted version that incorporates all amendments made during peer review, but it is the version prior to the publisher’s copyediting and stylistic edits, online and print formatting, citation and other linking (please note, the post-print is not the final, publisher-generated PDF version). Publishers do allow post-print versions in institutional repositories and the Open Scholarship Office has checked this already, but you are welcome to do this yourself if in doubt: [http://www.sherpa.ac.uk/romeo/](http://www.sherpa.ac.uk/romeo/)

Please forward the final submitted manuscript to me and assist us in enhancing your research impact!

Regards,

Elsabé
Implementation strategy 4: Simplify processes

http://www.sherpa.ac.uk/romeo/

http://www.library.up.ac.za/aoaajsa.htm
Implementation strategy 5: Manage resistance

Dear Carien

In the mathematical world your scheme cannot work. Articles are submitted electronically and processed electronically. Finally a pdf-version of the published article is what you end up with, and that after the paper had been published electronically. There is no such thing as a final draft. The formatting takes place when the paper is written.

Furthermore, one should take cognisance of the following:

1. Upon submission an author agrees that the paper will not be submitted elsewhere.

2. Once the paper is submitted the copyright of it is signed over to the publishers. If I even gave you the pdf-version, the University and I could be liable to copyright infringements.

I have serious personal ethical and moral issues with the pre-publishing of papers by the university.
Implementation strategy 6: Ongoing advocacy

http://hdl.handle.net/2263/17461
Implementation strategy 7: Dedicated team
Implementation strategy 8: Involve staff/students

http://vimeo.com/24171277
Implementation strategy 9: Training & tools
Implementation strategy 10: Network & read
Final word on implementation

“Implementing open access is a tough job. Legitimate authority, sufficient resources and the right timing are crucial. Pioneers, role models and flagship institutions all have faced considerable challenges in meeting their own aims and achieving a recognized success. Professionals charged with implementing policy typically need several years to accomplish significant progress” (Armbruster 2010)
In conclusion

- A mandatory policy encourages participation
- Implementation however is multi-faceted
- Focus on
  - Your ultimate goal
  - Involve the right role players
  - Be creative
  - Be patient
  - Try different strategies
  - Be persistent and most of all
  - Be passionate about what you believe in!
References
