

## University of Pretoria's Electronic Theses and Dissertations programme (UPeTD)

Open Access and the Evolving Scholarly Communication Environment Workshop September 1 - 2, 2010





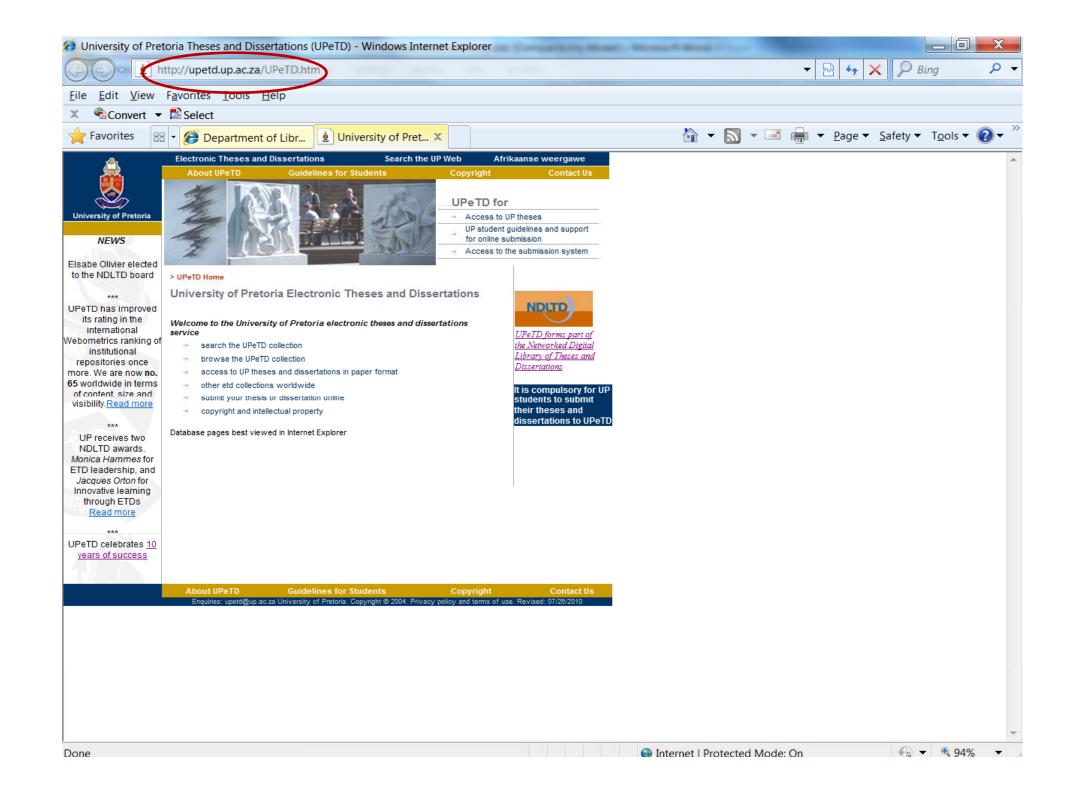


## History of UPe7D

- Started in 2000
- Visit by Prof Ed Fox of Virginia Tech
- Principal Prof Johan Van Zyl tasked library
- Charles Ceronio downloaded ETD-db software
- Staff created a web site, help files & tutorials
- End of 2002 12 dissertations & 2 theses
- 2003 policy for compulsory submissions







## Open Scholarship Programme

- OSP implemented in 2007
- UP supports free access to information
- Etds are not formally published
- UPeTD:
  - Worldwide access
  - Complement the Research Report
  - Long-term preservation





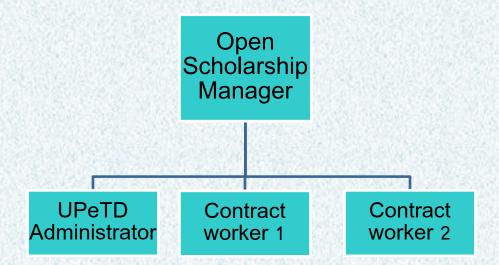
## Policy statement

- Each student should submit
  - Paper copy & electronic copy of his/her etd
  - Or self-submit his/her etd
- Completed & signed Submission form
- Administration is handled by the Faculty Administration Officers tasked with Postgraduate Studies
- Delivered at the library





#### Staff









## Workflow

Submit

- Students self-submissions
- UPeTD administrator dissertations
- Contract worker theses

Review

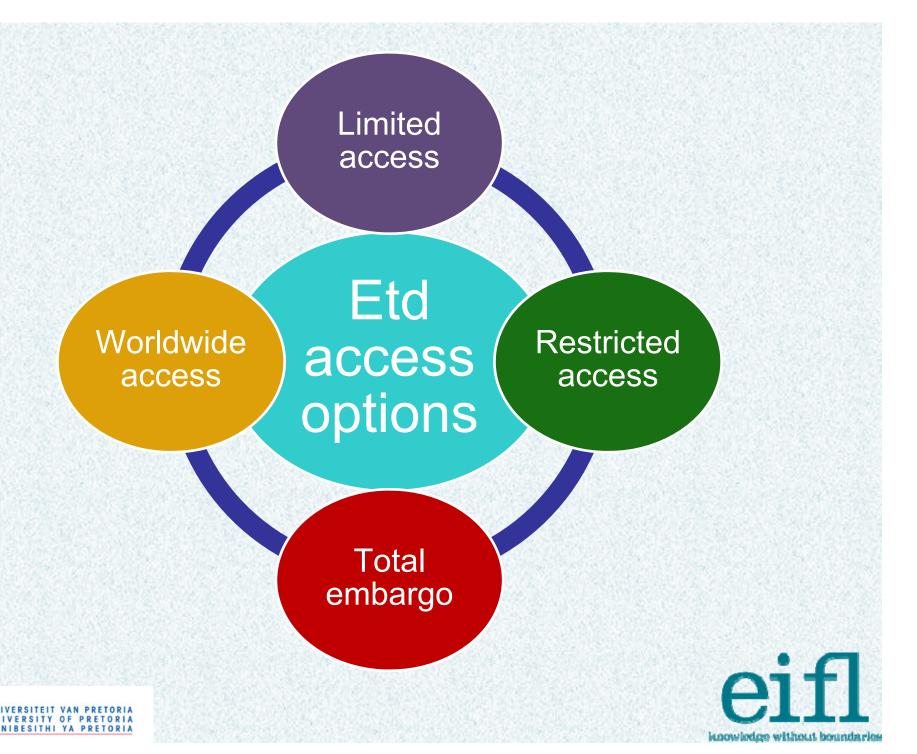
- Editing & review
- Communication with students

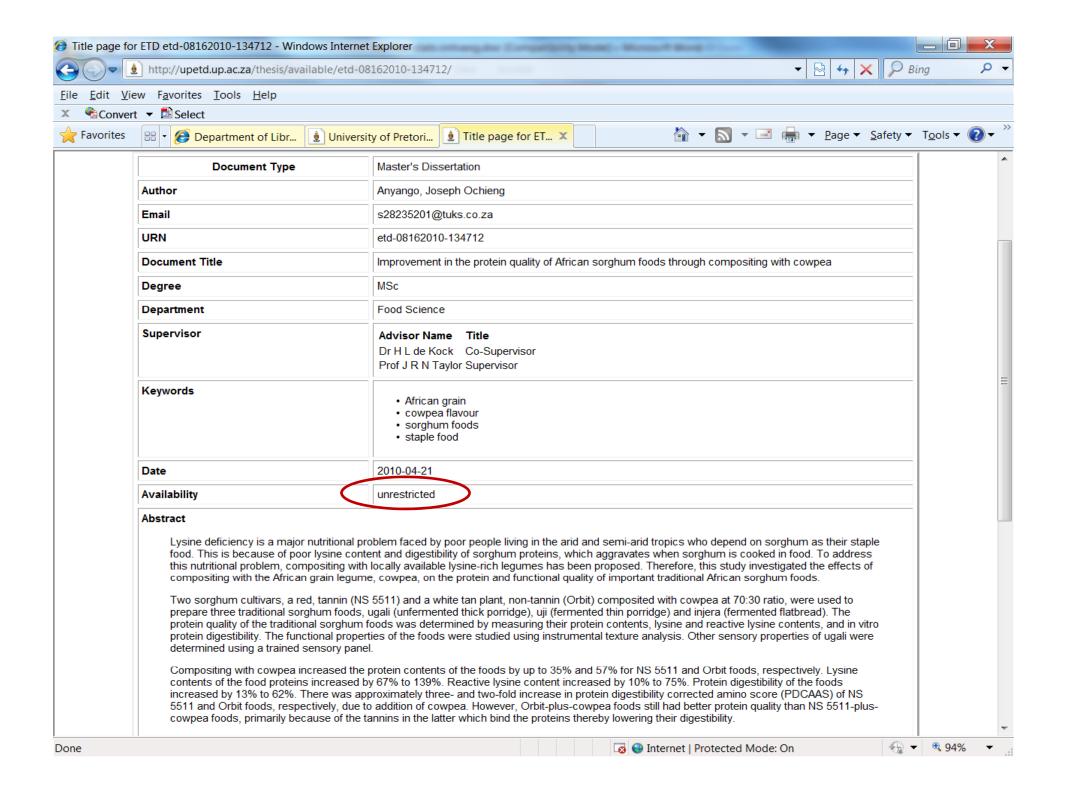
**UPeTD** 

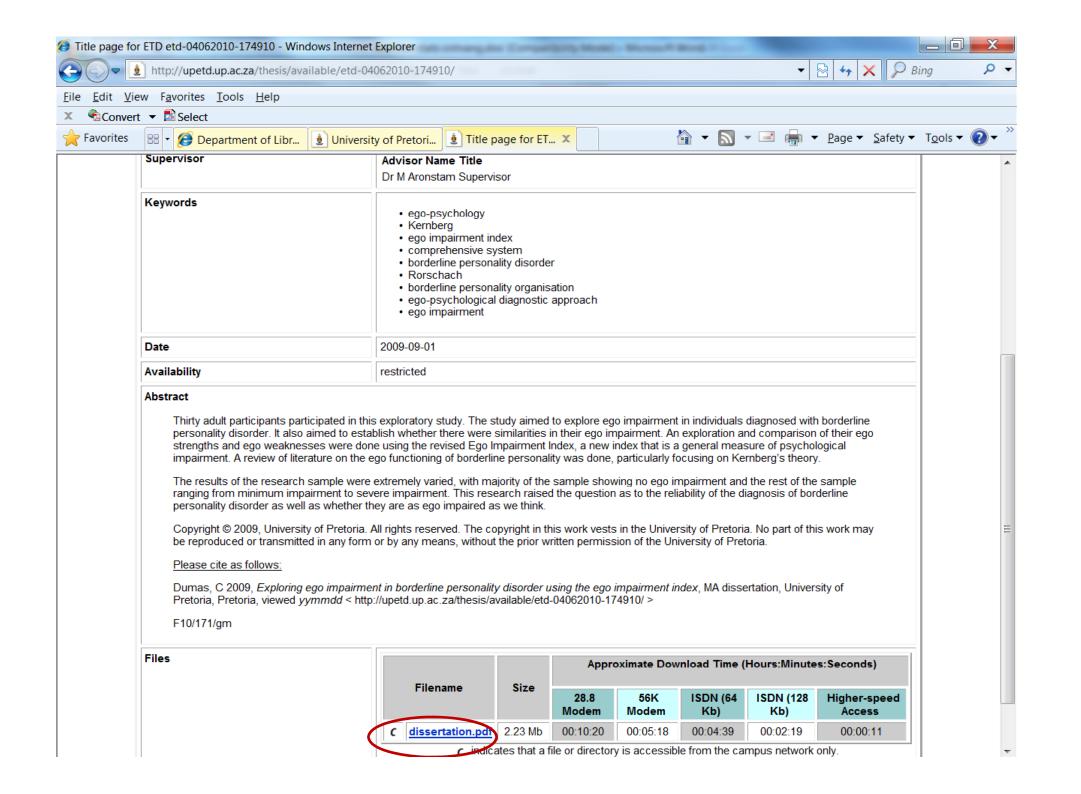
- Maintenance area
- Change status

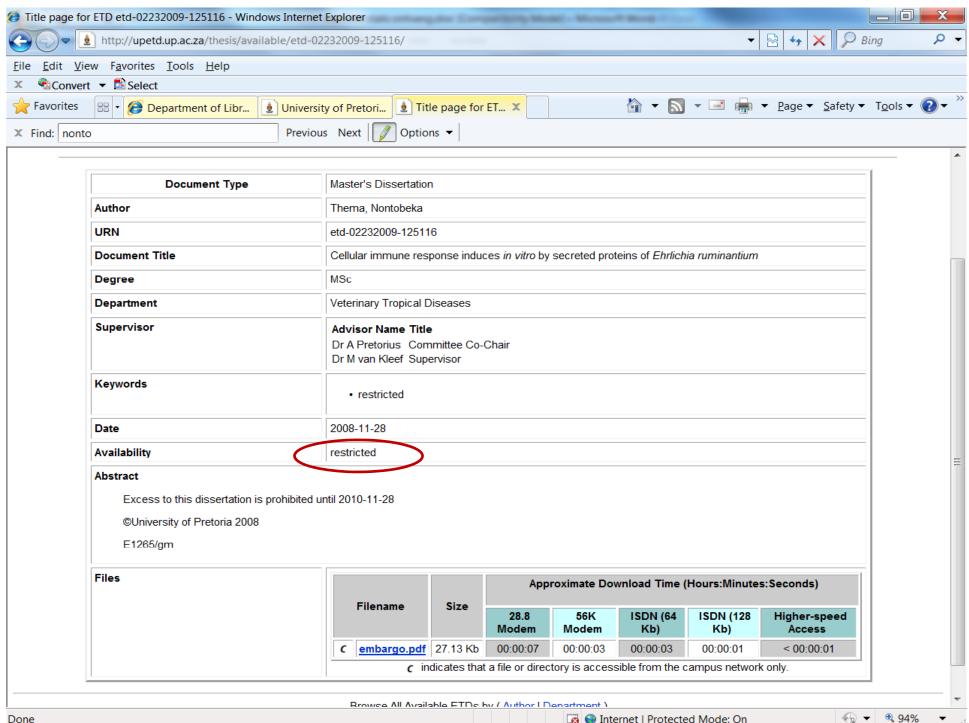


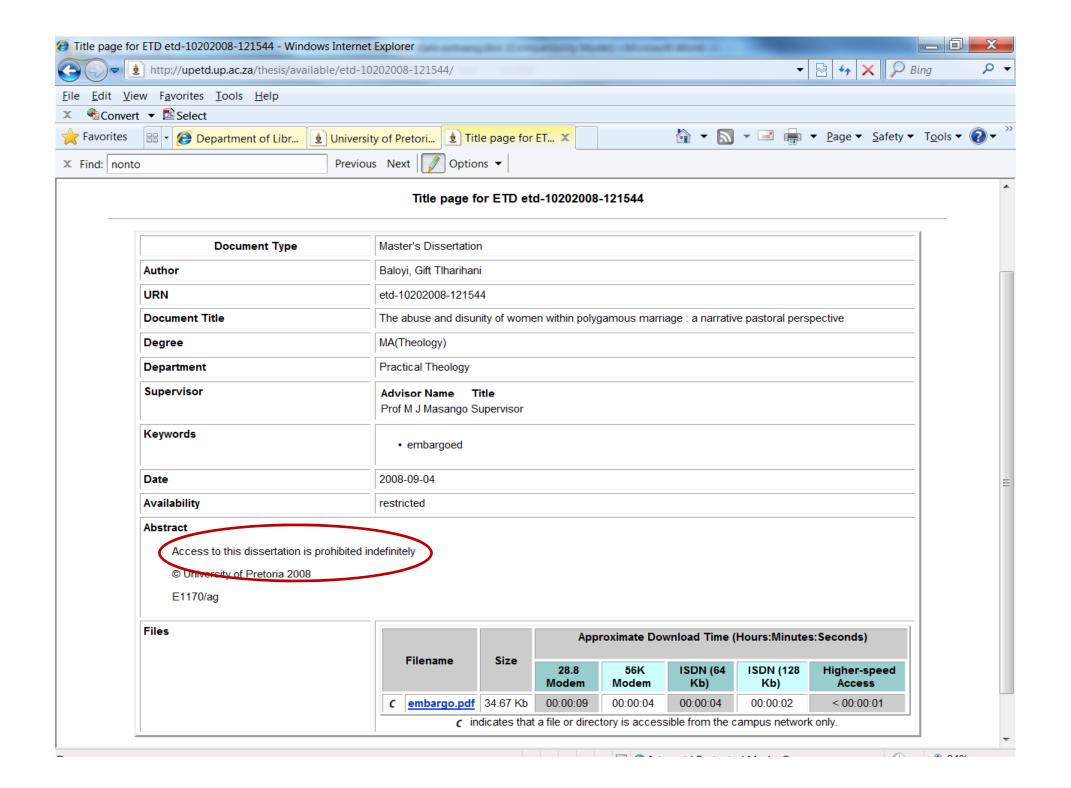










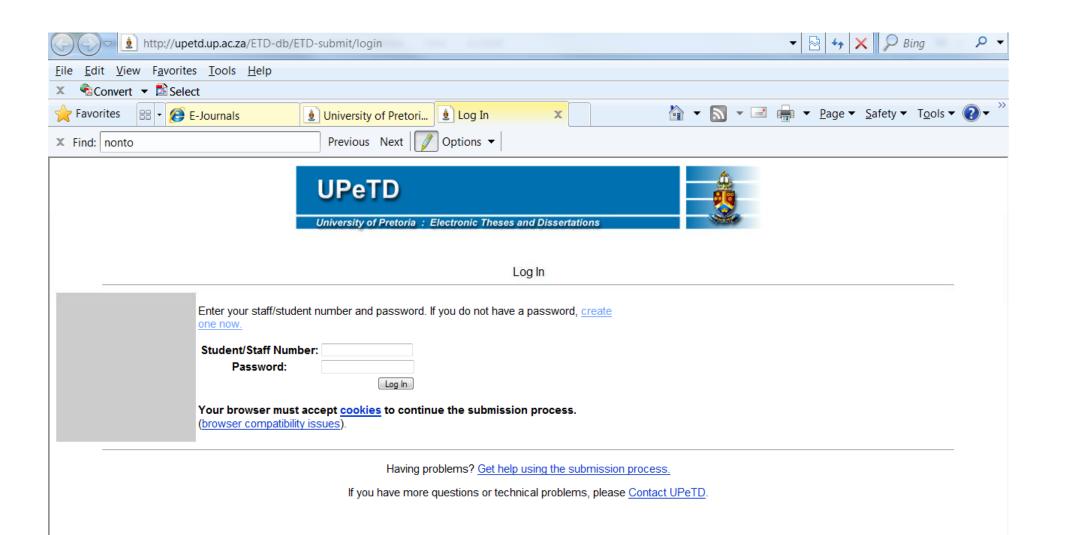


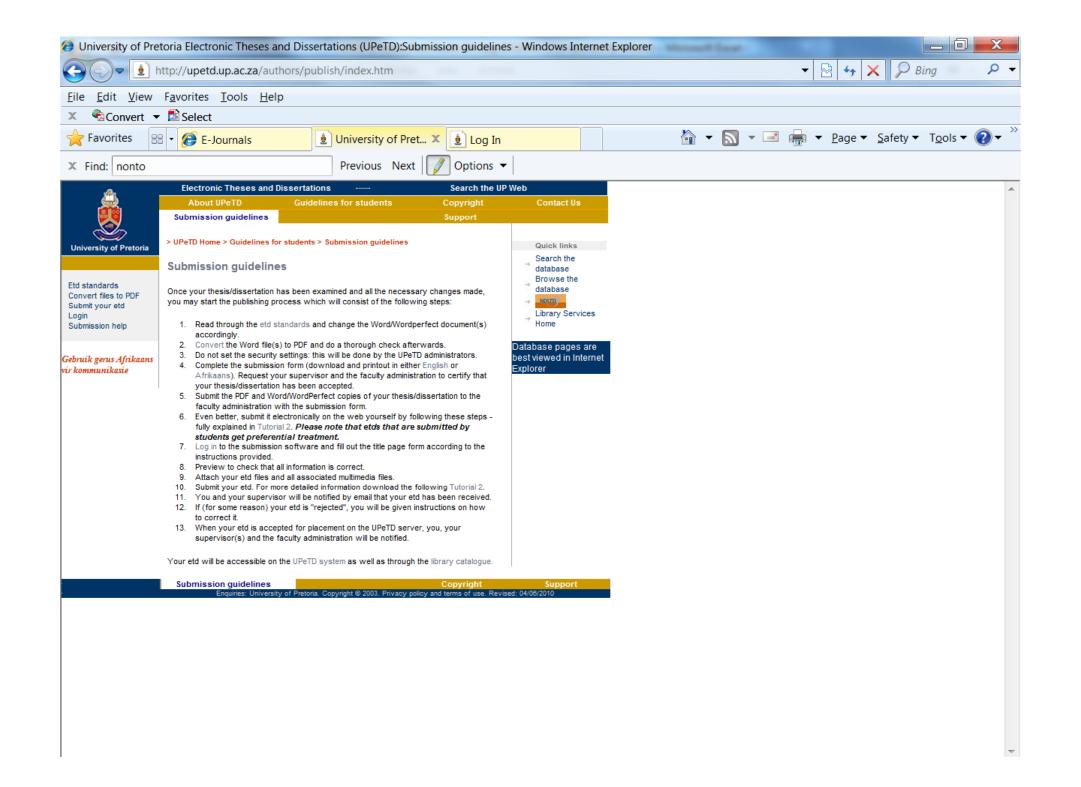
# Guidelines for students

- Etds that are submitted by students receive preferential treatment in the workflow
- Students can log in & self-submit
- Tutorials are provided for assistance
- http://upetd.up.ac.za/authors/publish/index.htm









# Copyright

- Copyright of etds resides with UP
- A student can request copyright back
- © 2009, University of Pretoria. All rights reserved. The copyright in this work vests in the University of Pretoria. No part of this work may be reproduced or transmitted in any form or by any means, without the prior written permission of the University of Pretoria.
- http://upetd.up.ac.za/copyright.htm





## Role players - Students

- Each student should submit
  - Paper copy & electronic copy of his/her etd
  - Or self-submit his/her etd
- Completed & signed Submission form
- Problems:
  - Empty CDs
  - Unresponsive students
  - Don't realize the value





## Role players - Supervisors

- Sign off on approved and finalized etd
- Indicate the access option
- Permission is always requested in changed status options
- Problems:
  - Final version
  - Uninvolved & uninformed
  - Restrictions especially Natural Sciences field





## Role players - 7,40

- Receive etds from students
- Handle examination copies
- Deliver etds to OSO
- Finalist lists before graduation ceremonies
- Problems:
  - Extra workload
  - Constant communication
  - Diligent administration





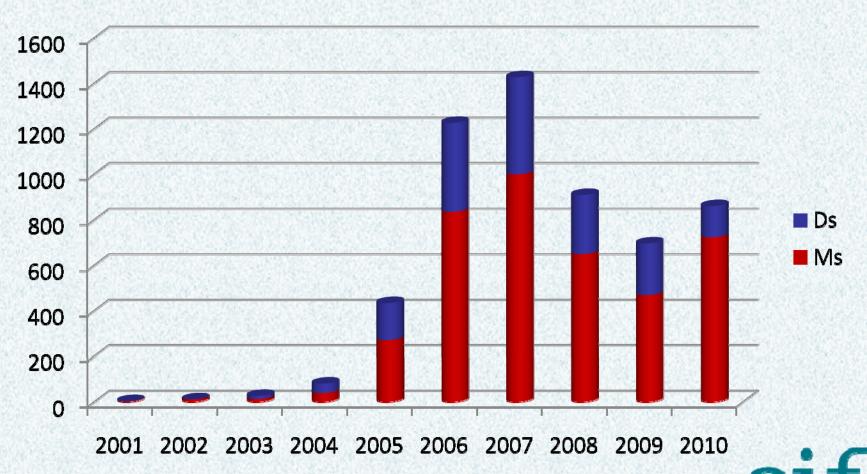
## Role players — 050

- Manage the compulsory submissions
- Process & approve the self-submissions
- Submit & finalize on behalf of the students
- Manage the access restrictions
- Training & marketing
- Queries
- Problems:
  - Few self-submissions and outstanding etds
  - Capacity





#### Statistics: current total 5693



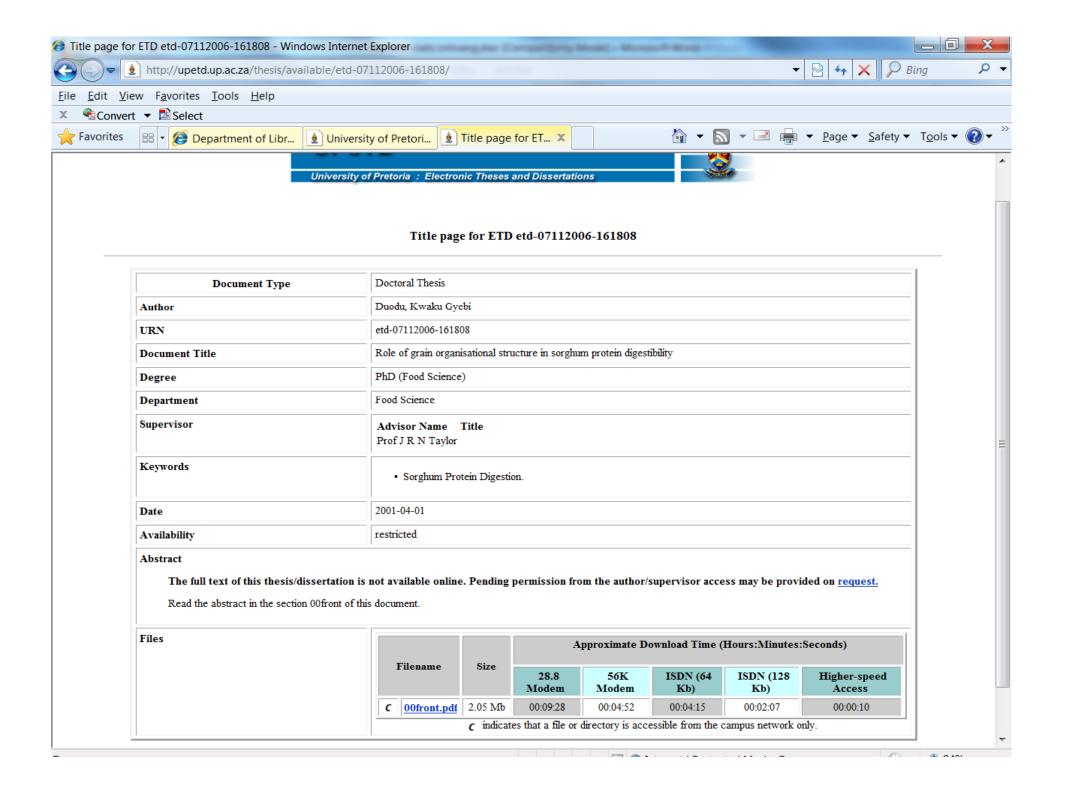


## Retrospective digitization

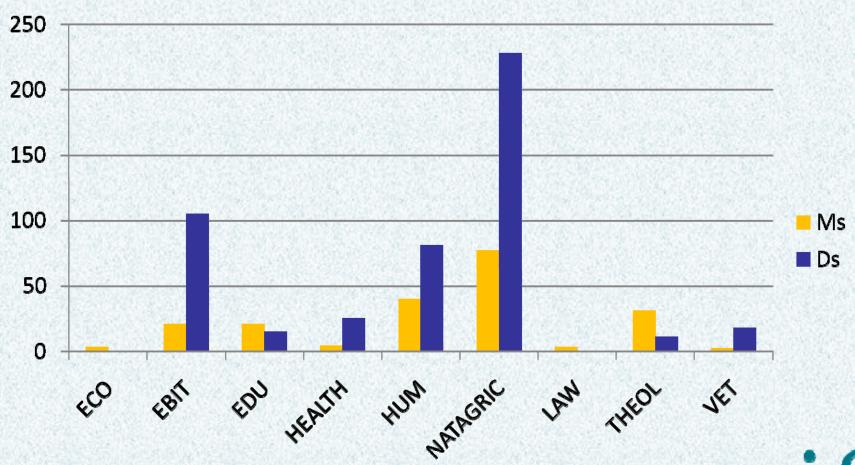
- Goal is to enhance access of UP etds dated 2000 2004
- "I want to thank you for the valuable service now provided by the Library to retroactively make dissertations available for access online. At the time of completing my dissertation in 2002, I was still a relatively poor, struggling student. I had no money left to produce a copy for my own use. I left South Africa in 2003 for Asia. Imagine my relief and surprise when I was told that my dissertation was now available on-line, due to a wonderful new initiative by the library!" Georgina Scott, former UP student
- "A great service to have" Prof Pat Eriksson, Dept Geology, UP
- "I have never before seen an unpublished theses digitized in such an appealing manner." Frank Hule, Ruhr Universitaet (Germany)







# Retrospective etds Jul 2009 -







# UPeTD postbox

- upetd@up.ac.za
- 412 Digitization requests since 2008
- Communication with postgraduate students & supervisors





## Feedback

- "All the credit goes to you for your outstanding service. Your service is much appreciated. Thanks to you." Dr.M Moletsane
- "It's wonderful to work with folks at UP, who know the process, then everything flows smoothly." Dr.S Sinha
- "Thank you for the valuable information that you have provided to Theresa. I always cherish your expertise in this regard." -Dr.M Moletsane





#### MD170



- Member of NDLTD Networked Digital Library of Theses and Dissertations
- Winners
  - Rousseau Pienaar: NDLTD Innovative ETD Award 2005
     <a href="http://upetd.up.ac.za/thesis/available/etd-02212005-085046/">http://upetd.up.ac.za/thesis/available/etd-02212005-085046/</a>
  - Annemie van den Heever: NDLTD Innovative ETD Award 2007 http://upetd.up.ac.za/thesis/available/etd-02162007-161618/
  - Jacques Orton: NDLTD Innovative Learning through ETDs Award 2010
    - http://upetd.up.ac.za/thesis/available/etd-02022010-163309/
  - Monica Hammes NDLTD Leadership Awards 2010





## Ranking web of World repositories

- 65 WPe7D worldwide in terms of content, size, visibility
- 237 Rohodes University
- 245 CSIR
- 274 University of Johannesburg
- 296 UPSpace
- 378 University of Stellenbosch
- 427 UNISA
- 524 University of the Western Cape
- 540 University of the Free State





#### Problems

- Disreputable publishers harvest UPeTD
- Target students with publishing promises
- "Dear Jacobie Boshoff, I am writing on behalf of the German publishing house, VDM Verlag Dr. Müller AG & Co. KG. In the course of a research on the Internet, I came across a reference to your thesis on "Towards facilitating change in occupational therapy managers' perceptions of early intervention service delivery in South Australia". As we would like to make your work available to a larger audience, I am wondering if you may be interested in publishing your thesis in the form of a printed book."





### Future

- Migration to UPSpace
- Investigating the possibility to embed UPeTD into academic process via Oracle software





We are what we repeatedly do. Excellence, then, is not an act, but a habit. -
Will Durant (translation of Aristotle)





# Thank you!

#### 2uestions?

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Photographs: Acknowledgement to Francois Theron



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