A qualitative ecological risk assessment of the invasive Nile tilapia, *Oreochromis niloticus* in a sub-tropical African river system (Limpopo River, South Africa)

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## ABSTRACT

- This study outlines the development of a qualitative risk assessment method and its application as a screening tool for determining the risk of establishment and spread of the invasive Nile tilapia, *Oreochromis niloticus* (Linnaeus, 1758), within the central sub-catchment of the Limpopo River basin in northern South Africa.
- 2. The assessment utilised known physiological tolerance limits of *O. niloticus* in relation to minimum water temperature, presence or absence of dams, seasonality of river flows and the presence of indigenous fish species of concern to identify river systems that would be suitable for *O. niloticus* establishment.
- 3. River sections along the Limpopo main river channel and the immediate reaches of its associated tributaries east of the Limpopo/Lephalala river confluence along the Botswana-South Africa-Zimbabwe border were identified as being highly vulnerable to *O. niloticus* invasion. Rivers in the upper Bushveld catchment (Upper Limpopo, Mogalakwena, Lephalala, Mokolo, Matlabas and Crocodile rivers) were categorised as of medium ecological risk, while headwater streams were considered to be of low ecological risk. The decrease in vulnerability between lowveld and highveld river sections was mainly a function of low water temperatures (8-12° C) associated with increasing altitude.
- 4. *Oreochromis niloticus* is already established in the lower catchment of the Limpopo River basin where indigenous congenerics are at an extinction risk through hybridization and competition exclusion. *Oreochromis niloticus*, therefore, poses an ecologically unacceptable risk to novel river systems in the upper catchment where it is yet to establish. The current risk assessment model provides a useful preliminary logistic framework for the identification of river systems that are vulnerable to an *O. niloticus* invasion where conservation measures should be directed and implemented to prevent its introduction and spread within the Limpopo river system.

# **INTRODUCTION**

The adverse ecological impacts associated with fish introductions on recipient freshwater ecosystems worldwide have drawn attention to the need to control and manage the movement of invasive species (Sala *et al.*, 2000; Cambray, 2003; Njiru *et al.*, 2005; Pimentel *et al.*, 2005). This has become especially important with the advent of increased global trade, transport and tourism that have afforded an opportunity for organisms to spread beyond their natural ranges (Copp *et al.*, 2005; Gozlan *et al.*, 2010). In response to this threat, most countries have implemented legislation prohibiting new introductions and some have developed adaptive management strategies to identify and minimise the impact of invasive species (Kolar, 2004; Vander Zanden and Olden, 2008). Prevention is the major tenet behind most invasive species management protocols as it is often much easier and significantly less costly especially for invasive aquatic species that are practically impossible to eradicate once established (Simberloff, 2003; Lockwood *et al.*, 2007).

Ecological risk assessments have been widely used as a screening tool to identify potential invasive species and to assess the risk of adverse ecological impacts associated with a given species establishment and spread to ecosystem structure and functioning (National Research Council, 2002). An ecological risk assessment for invasive species consists of two main components: risk identification and risk management (Anderson *et al.*, 2004; Webb, 2006). Risk identification is a process that evaluates the likelihood that adverse ecological effects may either occur or are occurring to indigenous congenerics as a result of exposure to introduced species. Risk of invasion is identified by either deductive and/or correlative methods. Deductive approaches utilise life history traits and environmental tolerances of an organism to evaluate the likelihood that a species will transit all the invasion stages (initial dispersal, establishment,

spread and impact) (Lockwood *et al.*, 2007). For example, Schleier *et al.* (2008) developed a risk assessment based on habitat suitability (minimum water temperature, indigenous fish species of concern and the presence or absence of dams) to identify river systems in Montana (USA) watersheds that would be suitable for the establishment of the introduced mosquito fish *Gambusia affinis*. The major advantages of using such an approach to screen invasive species is that it is applicable to a variety of ecosystems and is easy to implement, modify and improve on as new data become available. It also highlights areas for future research by identifying areas of uncertainty within the model. The disadvantages associated with these deductive methods are that model development is data-intensive, there is limited transferability of model predictions (i.e., predictions limited to study area), and there are limited data available on failed introductions (Kolar and Lodge, 2002; Kolar, 2004).

Ecological niche modeling is a correlative method that utilizes associations between environmental variables and known species' occurrence localities to predict potential areas where a given species is likely to establish (e.g., Guisan and Thuiller, 2005; Elith *et al.*, 2006; Elith and Leathwick, 2009). It has been successfully applied to a varied array of ecological disciplines that include ecology and evolutionary biology, impacts of climatic change, invasion biology and conservation biology (see Guisan and Thuiller, 2005 for a review on the development and applications of ecological niche models). Ecological niche models have been successfully applied to predict the potential distribution of invasive fish species in novel systems (e.g., Igushi *et al.*, 2004; McNyset, 2005; Zambrano *et al.*, 2006; Chen *et al.*, 2007) but like deductive methods, they also have limitations to their application (Elith *et al.*, 2006; Fitzpatrick *et al.*, 2007). In particular, several studies have shown that niche models developed using native range occurrences may fail to predict the full extent of an invasion. This failure has often been attributed to changes in the niche of the invading species (Fitzpatrick and Hargrove, 2008), biotic interactions and dispersal limitations that prevent the species from occupying potential suitable habitats (Anderson *et al.*, 2002) and the choice of environmental variables used to train the models (Peterson and Nakazawa, 2008; Rödder *et al.*, 2009; Rödder and Lötters, 2009, 2010). Despite these caveats, deductive and correlative approaches are widely applied as a screening tool to identify potential invasive species and prevent their transmission into novel river systems (e.g., Pheloung *et al.*, 1999; Kolar and Lodge, 2002; National Research Council, 2002; Kolar, 2004; Marchetti *et al.*, 2004; Copp *et al.*, 2005; Schleier *et al.*, 2008).

Risk management involves the use of decision-support systems to estimate the risk of adverse ecological impacts associated with a given species establishment and spread to ecosystem structure and functioning in relation to environmental, social, and economic values of a given region (Copp *et al.*, 2005). Risk management also enables concerned stake-holders to prioritise resource allocation for effective preventative and remediation efforts (Anderson *et al.*, 2004; Copp *et al.*, 2005).

This study investigates the ecological risk associated with the invasive Nile tilapia *Oreochromis niloticus* (Linnaeus, 1758) in the central sub-catchment of the Limpopo River basin, northern South Africa. Native to the Nile River basin, Lake Chad, south-western Middle East and the Niger, Benue, Volta and Senegal Rivers (Daget *et al.*, 1991), *O. niloticus* has been widely introduced in southern Africa for aquaculture and feral populations are now established in most river catchments within the sub-region (van Schoor, 1966; de Moor and Bruton, 1988; Welcomme, 1988; Schwank, 1995; Chifamba, 1998; Skelton, 2001; Marshall, 2006; Weyl, 2008; Zengeya and Marshall, 2007). These feral populations have been implicated in causing adverse effects on the recipient river systems such as decreased indigenous fish abundance and local

extinction of indigenous congenerics through competitive exclusion and hybridisation (Chifamba, 1998; Moralee *et al.*, 2000; van der Waal and Bills, 2000; D' Amato *et al.*, 2007).

In South Africa, *O. niloticus* was initially introduced in the Cape Flats area (Cape Town, Western Cape Province) and in KwaZulu-Natal Province in the 1950s for aquaculture (van Schoor, 1966). Its distributional range has since expanded to include the Limpopo River and other eastern rivers in South Africa and Mozambique where it is now established and spreading (van der Waal and Bills 1997, 2000; Weyl, 2008). The advent of *O. niloticus* in the Limpopo river system is a cause for concern for the conservation of indigenous congenerics, especially for Mozambique tilapia *O. mossambicus* that is likely to become extirpated from the river system through hybridization and competition arising from its habitat and trophic overlaps with that of *O. niloticus* (Cambray and Swartz, 2007). Other indigenous tilapiines in the Limpopo River system include black tilapia *O. placidus*, redbreast tilapia *Tilapia rendalli* and banded tilapia *T. sparrmanii*. Greenhead tilapia *O. macrochir* is only known from one occurrence record (Kleynhans & Hoffman, 1992) and might have failed to establish itself.

The ecology of seasonal rivers within the Limpopo river system is poorly understood and as a result of the lack of earlier information on the hydrology as well as biota, recent changes and environmental deterioration have not been recorded (van der Waal, 1997; van der Mheen, 1997; Davies and Wishart, 2000). The impact of *O. niloticus* on indigenous fish communities in the Limpopo River system may be especially severe in rivers systems impacted by anthropogenic activities such a dam construction, pollution, siltation, invasive alien weeds and habitat destruction (Skelton, 1990). It is therefore critical to identify areas within the Limpopo river basin where *O. niloticus* has been introduced, predict which river system(s) are vulnerable and

possibly at risk of further Nile tilapia invasions, and more importantly, what can be done to stop its spread and reduce its impact.

In response to these knowledge gaps, this study developed a qualitative risk assessment method based on Schleier *et al.* (2008) and outlines its potential use as a screening tool for determining the risk of establishment and spread of *O. niloticus* within the central sub-catchment of the Limpopo river basin, northern South Africa. Ideally, ecological risk assessments should be quantitative but in cases where there are insufficient data on community structure and functioning, qualitative approaches have been successfully applied (Anderson *et al.*, 2004; Colnar and Landis, 2007; Schleier *et al.*, 2008). This study considered the use of ecological risk assessment to predict the risk of establishment for *O. niloticus* in the central sub-catchment of the Limpopo River basin, northern South Africa and the major implications for the conservation of indigenous congenerics.

# **METHODS**

### **Problem formulation**

Ecological risk assessment is defined herein as a process that evaluates the likelihood that adverse ecological effects may either occur or are occurring to indigenous congenerics in the Limpopo river basin, South Africa as a result of exposure to *O. niloticus*. The assessment was divided into four principal components according to Landis (2004) and Schleier *et al.* (2008). The assessment determined both the risk of *O. niloticus establishment* and spread, and the potential detrimental effects it may have on indigenous congenerics and other species of concern (hereafter referred to as SOC) within the Limpopo river basin.

The first component described the organism of interest, or stressor, as *O. niloticus* and outlined its known or potential adverse ecological impacts on receiving environments. The second component identified assessment end-points as indigenous congenerics, other indigenous species of concern (SOC), and rivers and streams that are at risk of an *O. niloticus* invasion within the Limpopo River basin. The third component consisted of an exposure analysis to estimate the likelihood of introduction, establishment and spread of *O. niloticus* within river systems in the Limpopo River basin by identifying the physiological tolerance of *O. niloticus* in relation to minimum water temperature in the receiving environment that would be suitable for the species establishment. The last component integrated the information from the second (assessment analysis) and third (exposure analysis) steps to generate a risk characterisation for *O. niloticus* establishment and potential impact to indigenous congenerics and species of concern.

## **Stressor description**

Nile tilapia has been introduced worldwide for aquaculture, augmentation of capture fisheries, and sport fishing (Trewavas, 1983; Welcomme, 1988). It is well-suited for aquaculture because of its wide range of trophic and ecological adaptations, and its adaptive life history characteristics enable it to occupy many different tropical and sub-tropical freshwater niches (Trewavas, 1983). These include a high reproductive rate and a remarkable physiological hardiness, adaptability and general level of tolerance to most potentially limiting environmental variables (Chervinski, 1982; Philippart & Ruwet, 1982). Nile tilapia is eurythermal and tolerates a wide range of temperatures (8 - 42° C) with a preferred optimal range between 31 and 36° C (Philippart & Ruwet, 1982; Sifa et al., 2002; Atwood et al., 2003; Charo-Karisa et al., 2005). Its salinity upper tolerance ranges from 20 - 30 g.l<sup>-1</sup> according to body size, age, and environmental factors such as water temperature (Watanabe et al., 1985; Villegas, 1990; Likongwe et al., 1996; Lemarie *et al.*, 2004). Optimal growth is achieved when salinity is < 5 g.l<sup>-1</sup> (Payne and Collinson, 1983). Oreochromis niloticus is also a highly adept invader that is able to utilise degraded habitats in contrast to observed decreased abundance of indigenous congenerics in similar imperilled systems (Zengeya and Marshall, 2007; Linde et al., 2008)

*Oreochromis niloticus* is a microphage that is known to feed selectively on phytoplankton (Moriarty and Moriarty, 1973; Getabu, 1994; Bwanika *et al.*, 2004; Zengeya and Marshall, 2007; Zengeya *et al.*, 2011). Trophic distinctions for *O. niloticus* are, however, not always clearly defined and the species is known to exhibit opportunistic and versatile feeding strategies that reflect the abundance and composition of food sources in different environments, seasons and either the presence or absence of competing fish species and predators (Gophen *et al.*, 1993;

Balirwa, 1998; Njiru *et al.*, 2004; Njiru *et al.*, 2007; Zengeya & Marshall 2007, Zengeya *et al.*, 2011).

The reproductive biology of *O. niloticus* is characterised by fast growth rate, early sexual maturity (5 - 6 months), a high degree of parental care, ability to spawn multiple broods in a season and high fecundity associated with its large body size (Trewavas, 1983; Ojuok *et al.*, 2007). It is known to attain approximately 60 cm (standard length) and large males are often aggressive competitors that out-compete other species for spawning and mouth-brooding grounds, if these are limited (Lowe-McConnell, 2000). These attributes have inherently predisposed it to be a successful invasive species, with established feral populations in most tropical and sub-tropical environments in which it has either been cultured or has otherwise gained access (Welcomme, 1988; Pullin *et al.*, 1997; Costa-Pierce, 2003; Canonico *et al.*, 2005).

#### Assessment of impacts

Invasion risk of *O. niloticus* to its indigenous congenerics is defined as the product of the likelihood of *O. niloticus* becoming successfully established in a given novel river system and the associated adverse ecological consequences (National Research Council, 2002). The highest risk scenarios are likely to unfold when there is both a high probability of the establishment of *O. niloticus* in recipient river systems and associated adverse ecological impacts. In most tropical rivers the actual impact of introduced species is difficult to ascertain because data on the community structure and functioning before the introductions are often unavailable. Despite this, the well-documented success of *O. niloticus* in invading novel tropical river systems worldwide and associated adverse effects (see Canonico, 2005 and references therein), provide strong

circumstantial evidence to support the hypothesis of increased extinction rates and hybridisation risk to indigenous congenerics in recipient river systems as a result of *O. niloticus* invasions.

The mechanism of potential adverse ecological impact of O. niloticus include competition for food and the space necessary for spawning and mouth brooding. In areas where it has become established, O. niloticus has been shown to rapidly displace indigenous congenerics through competitive exclusion, to the extent that some populations have become locally extinct. For example, in Lake Kariba, Nile tilapia appeared in the mid-1990s after escaping from in situ cage-culture fish farms and has become abundant at the expense of Kariba tilapia O. mortimeri that has declined significantly in abundance (Chifamba, 1998; Marshall, 2006). As a result, Kariba tilapia is now listed as Critically Endangered (CR) on the IUCN Red List of threatened species (Marshall and Tweddle, 2007). This has also been noted in Lake Victoria, where the introduced Nile tilapia has displaced the native O. variabilis and O. esculentus (de Vos et al., 1990; Goudswaard et al., 2002; Balirwa et al., 2003). The success of Nile tilapia has been attributed to its opportunistic feeding behaviour (Getabu, 1994; Njiru et al., 2004), utilisation of a typically unoccupied phytoplanktonic trophic niche (Zengeya *et al.*, 2011), parental care, high juvenile survival, fast growth rate (Balirwa, 1998), and its ability to utilize a wide range of habitats for spawning and nursery purposes (Twongo, 1995).

Few studies have assessed the potential of *O. niloticus* to transmit diseases into novel aquatic systems and the only recent investigation was from Lake Nicaragua (Central America) (McCrary *et al.*, 2007), where an out-break of trematodes that affected several cichlid species was linked to the dominance of both *O. mossambicus* and *O. niloticus* in the lake system. This notwithstanding, several bacteria and parasitic diseases are known to affect tilapias (Shoemaker *et al.*, 2006) and studies of disease transmission by other invasive fish species elsewhere have

demonstrated the potential of invasive fish species to spread pathogens into recipient aquatic systems (Gozlan *et al.*, 2005). Another potential impact of *O. niloticus* is habitat alteration through increased nutrient loading from bio-turbation and nutrient recycling of ingested and excreted material, which can lead to accelerated eutrophication, with associated algal blooms and excessive growth of aquatic macrophytes (Starling *et al.*, 2002; Figueredo and Giani, 2005). *Oreochromis niloticus* can also alter aquatic habitats by the removal of underwater vegetation as reported in Nicaragua, where the decline of *Chara* sp. beds was associated with the spread and establishment of *O. niloticus* (McCrary *et al.*, 2007) and a decline in indigenous species as a result of habitat loss and modification. It has also been implicated in hybridisation with other tilapiines such as *O. mossambicus* in the Limpopo River Basin (Moralee *et al.*, 2000; van der Waal & Bills, 2000; D'Amato *et al.*, 2007). As with other cichlids, the tilapiines underwent a recent evolutionary radiation, and either recent or incomplete speciation processes allow them to hybridise readily, posing a threat to the integrity of local adaptation (D'Amato *et al.*, 2007).

Despite the well-documented adverse ecological effects of *O. niloticus* on recipient river systems (see Canonico, 2005 and references therein), it is among one of the most widely cultured species in aquaculture and stock enhancements (Suresh, 2003). While aquaculture is perceived as a means of achieving protein security, poverty alleviation and economic development in many developing countries (NEPAD, 2005), the decisions on exotic fish introductions are usually based on the trade-off between socio-economic benefits and potential adverse ecological effects (Cowx, 1999). In most invaded aquatic systems, *O. niloticus* has had a pronounced impact on fisheries in terms of increased food production and poverty alleviation by creating alternative aquaculture and fisheries livelihoods (Wise *et al.*, 2007). Interestingly, the establishment of *O. niloticus* in novel aquatic systems has not led to a decrease in overall yields, but rather the

replacement of indigenous species (Ogutu–Ohwayo, 1991; Twongo, 1995; Balirwa *et al.*, 2003; Shipton *et al.*, 2008; Weyl, 2008). In a few cases, *O. niloticus* has supplanted desirable species from fisheries such as in Lake Victoria, where it is often regarded as being of inferior quality in comparison to the various haplochromines that it supplanted and, therefore, commands lower market prices (Wise *et al.*, 2007).

#### **Assessment end-points**

### Species of concern

Species of concern (SOC) are defined herein as species within the Limpopo River basin (Table 1) that are either declining or appear to be in need of concerted conservation actions as a result of a combination of their restricted natural range and escalating anthropogenic activities such as pollution, habitat alteration, water abstraction, dam construction, inter-basin water transfer schemes and introduced species (Skelton, 1990; Davies *et al.*, 1992; Tweddle *et al.*, 2009). The advent of *O. niloticus* in the Limpopo river system is a cause for concern for the conservation of indigenous congeneric species, especially *O. mossambicus* (Cambray and Swartz, 2007). The other indigenous tilapiines in the Limpopo river system such as *Tilapia rendalli* and *T. sparrmanii* have low habitat and trophic overlaps with *O. niloticus* and will likely not be significantly affected by the establishment of *O. niloticus*. This study also included the southern barred minnow *Opsaridium peringueyi* that occurs naturally from the Save river system in Zimbabwe down to the Pongola river system in South Africa as a species of concern. It is listed as vulnerable because of its reduced distributional range through habitat alteration of flowing rivers by impoundments and excessive water abstraction (Skelton, 2001). It is reported as

possibly extinct in Zimbabwe as a result of severe drought and habitat alteration (Marshall and Gratwicke, 1999).

### **Other introduced species**

The assessment of end-points in this study also included other introduced species (hereafter referred to as OIS). These include mosquito fish *Gambusia affinis*, bluegill sunfish *Lepomis macrochirus*, rainbow trout *Oncorhynchus mykiss* and largemouth bass *Micropterus salmoides*. The OIS were included as they are known to cause severe biological impacts on small riverine species and juveniles of large species elsewhere (Cambray 2003; Woodford and Impson, 2004; Gratwicke and Marshall, 2001).

#### Assessment of exposure

An assessment of exposure in this study was done to estimate the likelihood of introduction, establishment and spread of O. niloticus within river systems in the Limpopo River basin. The physiological tolerance limits of O. niloticus in relation to minimum water temperature were used to identify river systems that would be suitable for the species' establishment. Data layers summarising the main river systems and dams within the Limpopo River system were obtained from Resource Ouality Services. Department of Water Affairs, South Africa (http://www.dwaf.gov.za/iwqs) and were analysed using ArcMap® 9.3. (ArcGIS<sup>™</sup>; ESRI<sup>®</sup>, Redlands, CA). Additional data summarising estimated annual predictions of mean monthly water temperature variables (maximum, median, minimum and range) were obtained from the African Water Resources Database (AWRD: 2007: Jenness al.. et http://www.fao.org/geonetwork). A river segment was defined by first plotting a geographical grid of the main river systems within the Limpopo drainage basin. The grid was then superimposed onto a raster file of estimated mean monthly minimum water temperature (native pixel size of 30 arc seconds) from which the respective temperature values for each grid cell along a given river channel were extracted.

Nile tilapia can tolerate a wide range of temperatures (8 – 42 °C) with a preferred optimal temperature range from 31 to 36 °C (Philippart & Ruwet, 1982). However, the natural fitness of *O. niloticus* in terms of respiration, feeding, growth and reproduction is reduced at sub-optimal temperatures below 20 °C (Ross 2000). *Oreochromis niloticus* exhibits severe cold stress symptoms such as cessation of feeding, rapid and disoriented movement at temperatures below 15° C (Amoudi *et al.*, 1996; Atwood *et al.*, 2003; Charo-Karisa *et al.*, 2005). Its lower lethal temperature limit varies between 8-12° C (Likongwe *et al.*, 1996, Sifa *et al.*, 2002; Atwood *et al.*, 2003; Charo-Karisa *et al.*, 2002; Atwood *et al.*, 2003; Charo-Karisa *et al.*, 2002; Atwood *et al.*, 2003; Charo-Karisa *et al.*, 2005). River channels were therefore classified into three categories: 8 – 12 °C was characterised as of low risk (score = 1), 12 – 15 °C as of medium risk (score = 2), and > 15 °C as of high risk (score = 3).

Within southern Africa, *O. niloticus* has been extensively propagated by farmers and anglers for recreational and sport fishing into small and medium reservoirs around the subregion. A positive spatial linkage between fish introductions for recreational and sport fishing and the presence of reservoirs within river catchments is well-documented elsewhere (Pringle *et al.*, 2000; Marchetti *et al.*, 2004; Han *et al.*, 2008). For the purposes of this study, it was hypothesised that the successful establishment and spread of *O. niloticus* within the river system will likely have a strong spatial linkage with the presence of impoundments. Hence, the presence of a dam within a given river section was assigned a score of 2 and absence of impoundments was scored as 1. The highly seasonal nature of river systems within the Limpopo river basin determines the availability of habitats for aquatic fauna (van der Waal, 1997; Minshull, 2008), hence, river channels were categorised either as perennial rivers and/or episodic/ephemeral rivers. Perennial rivers are defined as rivers with relatively regular, seasonally intermittent discharge (Davies *et al.*, 1995) and were assigned a risk score of 2. Episodic/ephemeral rivers are defined as rivers that flow for short periods after high rainfall in their catchments (Uys and O'Keeffe, 1997) and were assigned a risk score of 1.

The exposure of indigenous congenerics and SOC was herein defined as the presence of *O. niloticus* within a given river section of the river basin where the respective indigenous species naturally occur. Firstly, if *O. niloticus* was present within a given section of the river, the river section was assigned a score of 3 (high risk), and if *O. niloticus* was absent within a given section of the river but present in upper reaches of the river it was assigned a score of 2 (medium risk). If *O. niloticus* was absent from both upper and immediate reaches of a given river section, it was assigned a score of 1 (low risk). Secondly, if an SOC occurs within a given river section, the river segment was assigned a score of 1. Lastly, if an OIS was known to be present within a given river segment, it was assigned a score of 2, and if a river section had no known record of introductions, it was given a score of 1.

Geo-referenced occurrence data for summarising species distributions were obtained from various sources including museum specimen records, biodiversity databases such as FishBase (<u>http://www.fishbase.org</u>), Global Biodiversity Information Facility (GBIF; <u>http://www.gbif.org</u>), the published literature, and fish survey data from various fisheries departments in southern African countries that included Botswana, Mozambique, Zambia, and Zimbabwe. A fish survey was also conducted from December 2008 – December 2009 on the Limpopo River and its associated tributaries within the Limpopo Province of South Africa to ascertain the extent of the current distribution of *O. niloticus* within the province from previously known introduction sites. The presence or absence of *O. niloticus* within a given river segment was confirmed through genetic and morphological identification of sampled populations in a parallel on-going study.

## **Characterisation of risk**

## **Invasion vulnerability**

The invasion vulnerability score (IVS) was derived as the sum of all physical variables (minimum temperature), dam score and river flow (either perennial or episodic/ephemeral) for each given river section. The minimum possible IVS was 3 and the maximum possible was 7. The IVS values were then divided into three risk categories using the natural break (Jenks), in Arc-Map® 9.3 where rivers with river segments with IVS values < 4 were characterised as of low risk, 4 - 5 as of medium risk, and 6 - 7 as of high risk.

## **Invasion impact**

The invasion impact score (IIS) was calculated as the sum of *O. niloticus* exposure, SOC and OIS scores for each given river section. The minimum possible IIS was 5 and the maximum possible was 10. The IIS values were divided into two risk categories using the natural break (Jenks), in Arc-Map @ 9.3 where rivers segments with IIS values between 5 - 8 being characterised as of low risk and those between 9 - 10 as of high risk.

## RESULTS

#### **Invasion vulnerability**

The river sections centred on the Limpopo main river channel and the immediate reaches of its associated tributaries east of the Limpopo/Lephalala river confluence along the Botswana-South Africa-Zimbabwe border recorded the highest possible IVS (6 - 7) for *O. niloticus* establishment (Fig. 1). This was mainly attributed to a suitable receiving environment in terms of minimum temperature (15-19° C), perennial availability of water and the presence of large numbers of reservoirs. In the upper Bushveld catchment, the Upper Limpopo, Mokolo, Matlabas and Crocodile rivers had IVS values between 4 and 5, which was categorised as medium risk. Headwater streams, especially in the Waterberg escarpment, recorded the lowest IVS of 3 relative to all other river sections. The decrease of the IVS values was mainly a function of low water temperature (8 - 12 °C) associated with increasing altitude and availability of water.

### **Invasion impact**

A total of 92 of 290 (32%) river sections are at high risk of adverse impacts on indigenous riverine species from an *O. niloticus* invasion (Fig. 2). The Limpopo River's main river channel and its associated tributaries such as the Crocodile, Matlabas, Mokolo, and Luvhuvhu rivers recorded the highest possible IIS values (9 - 10) for *O. niloticus* establishment. The Limpopo River recorded high IIS scores mainly as a result of the presence of established *O. niloticus* feral populations east of the Shashe/Limpopo rivers confluence along the Botswana-South Africa-Zimbabwe border, while the remainder (Crocodile, Matlabas, Mokolo, and Luvhuvhu rivers), the high ORS scores can be attributed to the presence of other introduced species such as *M. salmoides* and *C. carpio* in the respective segments.

## Uncertainty analysis

A major limitation for the application of ecological risk assessment to African freshwater systems is the general lack of ground-truthed aquatic environmental data (water quality variables, habitat availability and quality), and the scarcity of up-to-date, accurate and easily accessible species occurrence records. To circumvent the lack of aquatic environmental data, proxy estimates of annual water temperature trends derived from air temperature bio-climatic variables (Jenness *et al.*, 2007) were used instead as they have been successfully applied to delimit areas where temperature might be a limiting factor to aquaculture production of *O*. *niloticus* and sharp tooth catfish, *Clarias gariepinus* within Africa (Kapetsky, 1994).

To ascertain the accuracy of the water temperature estimates, limited available data from 1950 to 2009 summarising annual temperature (mean, minimum and maximum) trends within the Limpopo river system was obtained from the Directorate of Resource Quality Services, Department of Water Affairs, South Africa (http://www.dwaf.gov.za/). Data summarising annual temperature trends between 1950 and 2009 were collated from 25 monitoring stations. Estimated temperature values were then extracted using Arc-Map® 9.3 for the selected monitoring stations and found to be significantly related to actual temperatures (P < 0.05). The regression ( $r^2$ ) models only explained at most 33% of the variability of the observed temperature data. This indicates that estimated air temperatures are poor predictors of actual water temperatures. This disparity between actual and predicted air temperature could be partly due to the quality/accuracy of the available data. The available temperature data were patchy in spatial and temporal terms and were only available for certain years and for a small number of monitoring stations. These water temperature estimates are currently the best available data on

thermal regimes within African river systems and must therefore be viewed as proxies when actual water temperature data are unavailable.

It was hypothesised that *O. niloticus* will not be able to establish in rivers that have a minimum temperature lower than 10° C (Likongwe *et al.*, 1996; Sifa *et al.*, 2002; Atwood *et al.*, 2003; Charo-Karisa *et al.*, 2005). It is however uncertain on how long and how frequently fish are exposed to this lethal limit. It was therefore prudent to analyse the mean and range of monthly water temperature to identify river systems that had favourable thermal regimes for the establishment of *O. niloticus*. In general, a decrease in mean water temperature and an increase in the amplitude of temperature fluctuations with increasing altitude were observed. River systems in the low-lying central river valley have mean monthly water temperature is 20 °C and a low range (< 12 °C) of temperature fluctuations. The mean monthly temperature is 20 °C in the middle reaches and 16 °C in the upper reaches. It is possible that *O. niloticus* might be able to over-winter in those environments where the amplitude of the annual thermal range is reduced by the presence of infrastructures such as dams and weirs.

## DISCUSSION

The overall level of risk for the establishment of *O. niloticus* within the Limpopo basin was projected as high for the central river valley and moderate for river systems in the upper Bushveld catchment. The difference in overall risk score between the two areas was expected and is a composite of the three stages of invasion, namely, initial dispersal, establishment, and spread.

#### Initial dispersal

There are already established feral populations of *O. niloticus* along the channel of the Limpopo River and in the immediate reaches of its associated tributaries east of the Shashe/Limpopo rivers confluence, while it has yet to establish within river systems in the upper bushveld sub-catchment (van der Waal, 2007; Zengeya *et al.*, 2011). The presumed source of introduction of *O. niloticus* into the Limpopo system is from the Zimbabwean sub-catchment of the Limpopo river where *O. niloticus* has been extensively propagated by farmers and anglers for aquaculture, recreational and sport fishing (van der Waal and Bills 1997, 2000; Marshall, 2000). It has inevitably spread down-stream into the Limpopo river system and its continued propagation in the upper catchments is likely to ensure a sustained influx of propagules into down-stream river systems.

The spread of *O. niloticus* into rivers and streams in the upper catchment may have been retarded by a limited natural dispersal pathway. As a result of the semi-arid climate and the unpredictable rainfall within the Limpopo River basin, water availability for human use has been secured through the construction of small- to medium-sized impoundments. This has led to a high degree of river fragmentation with 25 dams (> 15 m high) constructed within the river

system. The physical barriers imposed by such dam and weir systems and the highly seasonal and episodic/ephemeral surface water flows are likely to restrict the natural up-stream migration of *O. niloticus* into the bushveld upper sub-catchment (van der Waal, 2007). Although not integrated into the analysis of the model used in the present study, the idiosyncratic behaviour of humans as agents of spread of invasive fish species is likely to be an important driver of the spread of *O. niloticus* further up-stream of the Limpopo river catchment. In southern Africa, *O. niloticus* invasion seems to be highly correlated with human activities such as aquaculture and angling and the presence of impoundments. However, detailed studies on fish population dynamics within respective impoundments, their spatial linkages and correlation with land use patterns are needed to evaluate this hypothesis.

#### Establishment

The presence of large dams within the river system is also likely to promote *O. niloticus* invasion by increasing colonization opportunities through the provision of suitable habitats. Dams and impoundments greatly change the distribution of surface water and modify habitats (Havel *et al.*, 2005). This is especially noticeable in water-scarce environments such as the Limpopo River basin where rivers recede into long stretches of dry sand, interspersed by a staggered series of residual pools, weirs and farm dams during the dry season (van der Waal, 1997; Minshull, 2008). These seasonal pools and small impoundments provide dry season refuges for fish and have been shown to support diverse fish communities in relatively high densities comparable to more stable and productive ecosystems elsewhere (Minshull, 2008).

Impoundments are also likely to modulate the observed large monthly water temperature range from the extremes. In comparison to river systems, the relatively greater depth of water in a reservoir has a modulating effect on temperature extremes (Wetzel, 2001). The thermal regimes of rivers in the upper Bushveld reveal that headwater streams, especially in the Waterberg escarpment, experience minimum water temperature below 10 °C and have higher amplitude of temperature fluctuations between the minimum and maximum monthly temperatures relative to the middle and lower reaches. It is, therefore, possible that *O. niloticus* might be able to over-winter in environments that are able to reduce the amplitude of the annual thermal range from extremes. *Oreochromis niloticus* is among the most cold-tolerant tilapia because the species can survive at elevations of between 1500 and 2000 m (Trewavas, 1983). The water temperature profile for rivers becomes progressively warmer with decreasing elevation and the mean monthly temperature for most rivers in the lower catchment is above 20 °C. There is also a marked decrease in the amplitude of temperature fluctuations with decreasing altitude. Therefore, the Upper Limpopo, Mogalakwena, Lephalala, Mokolo, Matlabas and Crocodile rivers were categorised as of medium risk, where *O. niloticus* may be able to over-winter and establish provided other factors such as water availability are not limiting.

## Potential impact

*Oreochromis niloticus* is a highly successful invader and this is attributed to its extreme hardiness, wide range of trophic and ecological adaptations, and its adaptive life history characteristics. We therefore consider that *O. niloticus* poses an unacceptable risk to its congenerics in the Limpopo River system. Of particular concern is that in systems within the Limpopo River basin where *O. niloticus* has already invaded and established feral populations, adverse ecological impacts such as reduced abundance of indigenous species and hybridisation

with its congenerics have already been documented (D' Amato *et al.*, 2007; Tweddle and Wise, 2007; Weyl, 2007).

Adverse ecological impacts of introduced fish in the Limpopo River system may be accentuated further by other anthropogenic ecosystem stressors such as pollution and habitat modification (Ashton, 2007). For example, in Lake Victoria, anthropogenic eutrophication and the introduction of the Nile Perch Lates niloticus and O. niloticus led to a decline and local extinction of indigenous haplochromines through habitat modification, predation pressure from L. niloticus and competitive exclusion from O. niloticus (Witte et al., 1992; Seehausen et al., 1997; Goudswaard et al., 2002, Balirwa et al., 2003). In the Limpopo River basin, other invasive fish species such as *M. salmoides* and *C. carpio* have been widely introduced into most medium- to small-sized dams in the upper catchments of the Crocodile, Mokolo and Luvhuvhu rivers (Kleynhans et al., 2007). The projected impact of O. niloticus on indigenous fish communities is likely be severe in the Limpopo River system that is already imperilled by extreme environmental conditions associated with a seasonal and semi-arid climate (Davies and Wishart, 2000) and effluent discharges from cities and towns in the upper catchments (Ashton, 2007). Return flows from planned inter-basin water transfers are also likely to change the hydrology and biotic integrity of recipient river systems as observed in adjacent river catchments (Davies et al., 1992)

### Are qualitative risk assessments useful?

The qualitative risk model presented in this study provides a preliminary logistic framework for assessing the probability of *O. niloticus* establishment within the Limpopo River basin. This was done by identifying the physiological tolerance of *O. niloticus* in relation to minimum water

temperature in the receiving environment that would be suitable for the species' establishment. The probability of a successful *O. niloticus* invasion is inherently tied to other factors such as propagule pressure and biotic interactions. However, in the absence of quantitative data on population processes and inter-specific interactions, an ecological risk assessment based on the habitat suitability at least remains an objective method that is easy to implement, modify and can be improved on in a logical and systematic manner as new data become available. It also serves as a guide for future research by identifying areas of uncertainty within the model where additional data are either required or further research is needed to improve model efficiency.

Globally, there is a lack of real-time monitoring of physical and chemical data for most rivers systems. The use of real-time data loggers to collect data on basic physico-chemical variables should be encouraged because they save on cost related to manual real-time data acquisition. Data loggers are able to obtain data automatically on a 24-hour basis, and will help improve the understanding of daily thermal regimes that might affect fish populations in specific river systems. There is also a need to implement regular monitoring programmes in most river catchments for introduced species and also to educate farmers and anglers about the ecological impacts that invasive species such as O. niloticus have on indigenous congenerics. As is often the case in management of invasive species, resources for detailed field studies and quantitative risk assessment procedures tend to be limited. The risk assessment model presented here based largely on proxies of environmental data can be used to identify river segments that are highly vulnerable to the establishment of the invasive Nile tilapia. Concerted conservation efforts can then be directed in such areas to confirm establishment, direct remediation efforts and contain further spread. For example, in South Africa, O. niloticus is listed as a potential invasive species under the National Environmental Management (NEMA): Biodiversity Act (Number 10, 2004),

and it's stocking and utilisation is to be regulated through a zoning process. The delineation of high risk areas, as highlighted in this model can help stake-holders and managers to decide where in the river system indigenous congenerics are most vulnerable to *O. niloticus* invasion and where it is likely to spread.

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Figure captions

**Fig. 1** The invasion vulnerability scores (IVS) for the establishment and spread of Nile tilapia (*Oreochromis niloticus*) across the river systems in the Limpopo River basin, northern South Africa. Potential distribution is indicated by shaded areas, with red and green indicating high and low invasion vulnerability scores (IVS), respectively. Circles ( $\bullet$ ) indicate the presence of dams.

**Fig. 2** The invasion impact scores (IIS) for the establishment and spread of Nile tilapia (*Oreochromis niloticus*) across the river systems in the Limpopo River basin, northern South Africa. Potential ecological impact is indicated by shaded areas, with red and green indicating high and low invasion impact scores (IIS), respectively. Circles (•) indicate the presence of dams.

 Table 1 A list of species of concern (SOC) and introduced species (IS) in the Limpopo River

 basin, northern South Africa.

Common name	Scientific name	SOC or IS
Southern barred minnow	Opsaridium peringueyi	SOC
Mozambique tilapia	Oreochromis mossambicus	SOC
Mosquito fish	Gambusia affinis	IS
Rainbow trout	Oncorhynchus mykiss	IS
Largemouth bass	Micropterus salmoides	IS
Nile tilapia	Oreochromis niloticus	IS





Figure 1. Zengeya et al.



Figure 2. Zengeya et al.