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#### SOCIO-ECONOMIC ASSESSMENT OF THE CRYPTOSEPALUM FOREST

My name is Mwale Chishaleshale. I am postgraduate student at the University of Pretoria pursuing a PhD in Forest Science. I am conducting a research project titled: Exploring carbon and socio-economic potential of the Cryptosepalum forest under different land-use and land-cover change in North-western Zambia.

The aim of the project is to explore the potential of the Cryptosepalum forest for carbon trading by establishing the carbon dynamics of the forest, understand the socio-economic factors influencing land use and land cover change and determine the best management strategies aimed at mitigating impacts of climate change through sustainable forest resource management. In order to understand the socio-economic factors influencing land use and land cover change, this research involves discussions (Focus Group Discussions) and interviews with the local community. Thus, this visit to your household/place/village today, in order to engage with you in this study.

Findings of the study will contribute towards closing the existing knowledge gaps in understanding carbon storage of Cryptosepalum forest. The research is also likely to increase the chances of the Cryptosepalum forest to be considered for carbon trading under the United Nations Collaborative Programme on Reduction of Emissions from Deforestation in Developing countries (UN-REDD+).

Research findings will be made available to the public through published articles and written policy briefs, but this information will not be linked to any individual. If you agree to participate in this study, your anonymity will be protected, and even after you agree to participate, you can withdraw at any time you wish. We believe that there are no risks involved in this study.

With that brief background, I am requesting for an interview with you (household head) and/or your representative who is able to give responses relating to land use and socio-economic factors influencing land use and land cover change of the cryptosepalum forest.

Would you be willing to participate in this study? If so, please initial and sign below to participate in the study.

The study has been explained to me I have read and understand the consent form. All my

The study has been explained to me. I has	ave read and	unaerstana	the consent	iorm.	АII	Ш
question have been answered and I agree to	participate.					
Signature of respondent	Dat	te				



#### STRICTLY CONFIDENTIAL

Questionnaire form

# SOCIO-ECONOMIC ASSESSMENT OF THE CRYPTOSEPALUM FOREST (HOUSEHOLD SURVEY)

·	,
Time Started Interview:	Time Ended Interview:
Interviewer's Name:	Date:/2021/1
<b>Instruction:</b> Please <u>tick</u> which ever applies i	in the spaces provided on each question
SECTION A1: IDENTIFICATIONS	
Q4. Village:	1.[] Female 2.[] Male
Location of Household: (Coordinates)	X Y
SECTION A2: HOUSEHOLD MEMBERS	
Q7. Provide information on the members of the	household
<ol> <li>Total no. of members of household:</li> <li>Number of males:</li> <li>Number of Females:</li> </ol>	
Q. 8. Household head education level:	
PRESCHOOL = PRIMARY = SECONDARY = HIGHER = DON'T KNOW = 8	

## SECTION B: HOUSEHOLD CHARACTERISTICS & ASSETS

NO.	QUESTIONS AND FILTERS	CODING CATEGORY	SKIP
Q15	What is the main source of drinking water	Borehole [1]	
	for members of your household?	Dug well	
	·	• Protected well [2]	
		• Unprotected well [3]	
		Rainwater [4]	
		Cart with small tank [5]	
		Surface water (river/dam/lake/pond/stream [ 6 ]	
		Others (Specify)[96]	
Q16	Where is that <b>source located</b> ?	In own dwelling [1]	
		In own yard/plot [2]	
		Elsewhere [3]	
		Zinemere	
Q17	How long does it take to go there and get		
	some water and come back	Minutes	
		Don't Know [98]	
Q18	What <b>type of fuel</b> does your household	Electricity [1]	
QIO	mainly use for <b>cooking</b>	Kerosene [2]	
	What do you use for cooking	Charcoal [3]	
	What do you use for cooking	Wood [4]	
		Straw/shrubs/grass [5]	
		Agricultural crop residue [6]	
		Animal dung [7]	
		Others (Specify) [96]	
Q19	Is the <b>cooking</b> usually done in the <b>home</b> , in	In the house [1]	
	a separate building, or outdoor?	In a separate building [2]	
	<b>1</b> 8,	Outdoor [3]	
		Others (Specify) [96]	
		[2-3]	
Q20	Do you have a <b>separate room</b> which is used	Yes [1]	
	as a kitchen?	No [2]	
Q21	How many rooms in this household are		
	used for sleeping	Rooms	
Q22			
	OBSERVE THE MAIN MATERIAL	NATURAL FLOOR	
	OF THE <b>FLOOR</b> OF THE DWELLING	Earth/sand [1]	
		Dung [2]	
	RECORD OBSERVATIONS		
		FINISHED FLOOR	
		Cement [3]	
		1	

Q23	OBSERVE THE MAIN MATERIAL	NATURAL ROOFING
	OF THE <b>ROOF</b> OF THE DWELLING	Thatch [1]
		. ,
		FINISHED ROOFING
	RECORD OBSERVATIONS	Asbestos [2]
		Iron sheets [3]
		Ceramic tiles [4]
		[ . ,
		OTHERS (Specify)[96]
Q24	OBSERVE THE MAIN MATERIAL	NATURAL WALLS
Q21	OF THE EXTERIOR WALLS OF THE	Muddy walls [1]
	DWELLING	Poles [2]
	DWLLLING	Poles with mud [3]
		Thatch [4]
	RECORD OBSERVATIONS	Thuich [4]
	RECORD OBSERVATIONS	FINISHED WALLS
		Cement [5]
		Bricks [6]
		Cement blocks [7]
		[7]
		Others (Specify) [ 96 ]
Q25	Does any member of this <b>household own</b>	Yes No
	any items listed in the column on the right?	• A bicycle? [1] [2]
		• A motor cycle or motor scooter? [1] [2]
		• An animal drawn cart? [1] [2]
		• A car or truck? [1] [2]
		• Mobile phone [1] [2]
		• Television [1] [2]
		2 3 2 3
		• Solar panel(s) [1] [2]
Q26	Does this household own any livestock,	Yes No
	herds, or other farm animals or poultry?	[1] [2]
	, ,	
Q27	<b>How many</b> of the following animals do	Chicken
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	this household own?	
	uns nousenoid own?	Goats
	TE NONE DECORD (00)	Pigs
	IF NONE, RECORD '00'	Sheep
	IF 95 OR MORE, RECORD '95'	Milk cows
	IF UNKNOWN, RECORD '98'	Cattle
		Ducks

Q28	In the last five years, have you sold any		YES	NO	
	of these to cover household needs?	Chicken	1	2	
		Goats	1	2	
		Pigs	1	2	
		Sheep	1	2	
		Milk Cows	1	2	
		Cattle	1	2	
		Donkeys	1	2	
Q29	Does any member of the <b>household practice</b> any of the following as <b>income</b>				
	generating activities?	Family business		[1	]
		In full-time emplo	yment	[2]	]
		Timber selling		[ 3	]
		Charcoal selling		[ 4	
		Brick moulding ar	nd selling	[5	
		Piece work (Part-		[6	
		OTHERS (Specify		) [96	5]

### **SECTION B: LAND OWNERSHIP**

NO.	QUESTIONS	CODING CATEGORIES	SKIP
Q30	Does any member of this household own any farmland?	Yes No [1] [2]	
Q31	<b>How many pieces</b> of farmland do members of this household own?		
Q32	How many limas or hectares of farmland do members of this household own?  IF 95 OR MORE, CIRCLE '950'	Hectares: Limas:  95 OR MORE HECTARES 950 DONT KNOW 998	
Q33	Does the household farm on this land alone or you have land elsewhere?	Yes No [1] [2]	
Q34	How long has the household used the farm?	Years	

Q35	What is the household <b>status of living</b> on	Local/indigenous people	[1]
	this farm?	Migrants	[2]
Q36			
<b>Q</b> 30	How does the household claim accession for	Title deed	[1]
	land ownership?	Chiefdom letter of offer	[2]
		Village register	[3]
	What documentation do you have for	Land claim by clearing	[4]
	ownership of the land	OTHERS (Specify)	[96]
Q37			
	Does your household have land	Yes No Don't know	
	security problems?	[1] [2] [3]	
Q38	If response to Q37 is yes, what could be the	Insecure land tenure	[1]
	main causes of land insecurity to your	Corruption among local leaders	[2]
	household?	Poor customary land administration	[3]
		Lack of adequate land - population growth	[4]
		OTHERS (Specify[96]	1

## SE

CTIO	N C: FARMING PRAC	TICES	<u>S</u>				
Q39	What type of agricultur practices does the house undertake on your farm <i>How do you grow your crops</i>	ehold ?	Conservation farm Is it one crop only Is it mixed crops (	ning (mono-cropping mixed/intercropp s with trees toget		[2] [3] [4]	
Q40	For how long has your household been engage the above farming practice?	e <b>d</b> in	Years:				
Q41 years	For each crop type in th and the amounts harveste		-	_	uction trends over the	ne last five	
	Crop  Do you grow any:		luction trends past 5 years	•	Okg bags harvested farming season	l, consumed	
	(Tick)			Harvested (Kg)	Consumed (Kg)	Sold (Kg)	
	Maize	Low 1	(few) High (a lot)				

	Maize	1	2			
	Cassava	1	2			
	Sorghum	1	2			
	Sweet Potatoes	1	2			
	Groundnuts	1	2			
	Others (Specify)	1	2			
Q42	If household productio	n	Poor fertility of soi	ls		[1]
	trends have been low ov	er	Low rainfall			[2]
	the past five years, wha	t	Did not use the wh	ole land		[3]
	could be the reason		Did not have enoug	gh labour		[4]
			Did not have farmi	ng inputs		[5]
			OTHERS (Specify			[96]
Q43	Did your household clea	ar	Yes 1	No Don't kn	ow	
	up forest land for crop	)	[1]	[2] [3]		
	<b>fields</b> within the last 10					
	years?					
Q44	What was the main		Increase agricultur	•		[1]
	reason for clearing fore	st	Previous field lost	•		[2]
	land		Recently allocated	farmland		[3]
			Previously had no	field to cultivate		[4]
			Previous farmland	was taken away		[5]
			OTHERS (Specify)			[96]

Q45	If response is yes to Q43 above, how much forest land did you clear?	Hectare	es:							
Q46	When clearing land area for agriculture, does your household leave any local trees in the standing fields?		Yes [ 1 ]	No 1	Don't know [3]					
Q47	for leaving them in fields.									
	Local tree species		Mai	n reasons for	r sparing the lo	cal trees in				
			Fruits	Firewood	Soil fertility	Shelter	Others (Specify			
			1	2	3	4	5 5			
			1 1	2 2	3	4	5			
			1	2	3	4	5			
			1	2	3	4	5			
			1	2	3	4	5			
			1	2	3	4	5			
			1	2	3	4	5			
				_	-					
Q48	Do you as a household know of any local trees that are traditionally used for soil fertility?	Yes No Don't know [1] [2] [3]  Tree Name: Do you grow/plant it?  1. 2. 3. 4. 5. 6.								
Q49	List the names of other common local trees you know									

### SECTION D: FOREST RESOURCE USE AND MANAGEMENT

No.	QUESTIONS	CODING CATEGORIES					SKIP
Q50	Are you aware of benefits that are obtained from the forest	Yes No Don't know [ 1] [2] [3]					
Q51	From the list on the table below, tick the products	How often do you get product: 1 = not often, 2 = often How abundant is the product: 1= not abundant, 2 = abundant					
	you obtain from your farm or nearby forest.	Product	Frequency of use		l of idance		
		Timber	1 2	1	2		
		Charcoal	1 2	1	2		
		Construction poles	1 2	1	2		
		Fire wood	1 2	1	2		
		Wild fires	1 2	1	2		
		Medicine	1 2	1	2		
		Tubers	1 2	1	2		
		Wood fibre	1 2	1	2		
		Caterpillars	1 2	1	2		
		Honey	1 2	1	2		
		Wild vegetables	1 2	1	2		
		Thatching grass	1 2	1	2		
		Livestock fodder	1 2	1	2		
		Bush meat	1 2	1	2		
		Mushroom	1 2	1	2		
		OTHERS (Specify	1 2	1	2		
		)					

Q52		Product Main collecto			ector (	of prod	ucts		
Q32	Who collects the products	T rouner	1,100			Proud			
	(circle)	Timber	1	2	3	4	5		
	1 14	Charcoal	1	2	3	4	5		
	1= Men;	Construction poles	1	2	3	4	5		
	2 = Women,	Fire wood	1	2	3	4	5		
	3 = Children; 4 = Women and Children;	Wild fires	1	2	3	4	5		
	5 = Men and Children	Medicine	1	2	3	4	5		
	5 – Men and Children 6 = All	Tubers	1	2	3	4	5		
	7 111	Wood fibre	1	2	3	4	5		
		Caterpillars	1	2	3	4	5		
	If 6, write at the end	Honey	1	2	3	4	5		
		Wild vegetables	1	2	3	4	5		
		Thatching grass	1	2	3	4	5		
		Livestock fodder	1	2	3	4	5		
		Bush meat	1	2	3	4	5		
		OTHERS (Specify							
		)	1	2	3	4	5		
052	Are there any other	Yes No Dor	ı't kno	22.43					
Q53	services/benefits	[1] [2]							
	that forests provide		[ 3	J					
Q54	If response to Q53 is yes,	Where: $1 = Agree$ ; $2 = December 2$	isagre	e; 3	= Do	n't Ki	now		
	Do you agree that:								
		1. Forests assist in rainfal	l		1		2	3	
		2. Prevents loss of soil	-						
		3. Help to make a place lo	3. Help to make a place look beautiful 1 2 3						
		4. Protects water in rivers and streams 1 2 3							
		5. OTHERS (Specify)							
Q55	Do you know of any ways								
Qss	that help protect local trees on your farm and surrounding forest area?	Yes No Don't know [1] [2] [3]							
Q56	If response is yes to Q55 above, list the ways:								
Q57	As a household, how would you rate the extent of forest	Decreasing The same Increasing					[	1] 2] 3]	

	cover in your area over the past 10 years?	I don't know	[4]	
Q58	If forest cover is decreasing, what are the reasons? Circle all that apply	Charcoal production Agricultural activities Settlements Population increase Others ( please Specify	[1] [2] [3] [4]	

Q59. What are the main livelihood challenges that your local community is facing:

Thank you for your time