

EVALUATING THE KNOWLEDGE, ATTITUDE, AND PRACTICE OF GHANAIAN HEALTHCARE WORKERS TO INFORM STRATEGIES TO PREVENT HEALTHCARE -ASSOCIATED SPREAD OF RESPIRATORY INFECTIONS

A THESIS SUBMITTED IN FULFILLMENT OF THE REQUIREMENTS FOR DOCTOR OF PHILOSOPHY IN NURSING SCIENCE

In the

FACULTY OF HEALTH SCIENCES DEPARTMENT OF NURSING SCIENCES

At the

UNIVERSITY OF PRETORIA

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November 2023



DECLARATION PAGE

I, Abena Serwaa Ofosu, hereby declare that this thesis, EVALUATING THE KNOWLEDGE, ATTITUDE, AND PRACTICE OF GHANAIAN HEALTHCARE WORKERS TO INFORM STRATEGIES TO PREVENT HEALTHCARE-ASSOCIATED SPREAD OF RESPIRATORY INFECTIONS is my original work. It has not been submitted at any other institution before for any degree or examination. All the sources used and quoted were acknowledged by means of complete reference in the text and bibliography.

DATE

29th November,2023



DEDICATION

This is for my beloved MOTHER and Grandmother:

Ms Christiana Owusu and Mary Owusu.



ACKNOWLEDGEMENT

I would like to express my profound gratitude to the following people and institutions for their contribution to my study:

- The composition of this thesis would not have been possible without the steadfast love and support of my husband, Richard Ofosu.
- My three Boys, Kofi, Nhyira and Ayeyi
- My supervisor, Prof. Neltjie C. van Wyk, and her guidance, constant support, and advice carried me throughout all the stages of constructing my report.
- My co-supervisor, Dr. Melitha Rasweswe, for her valuable input.
- The Regional Health Director, Ghana Health Service—Ashanti region, for permitting me to conduct the research in Ghana Health Service (GHS) facilities in the region.
- The management and staff of the five participating healthcare facilities for their support and assistance.
- The Department of Nursing Science, University of Pretoria, for granting me a University of Pretoria postgraduate bursary.
- Dr Napoleon B. Sam, for the statistical analysis.
- Dr Andrews William Tetteh for his support in administrating the focus group interview.
- Mr Kennedy Nartey, regional health information officer, for his support.
- My appreciation to Elizabeth Marx from Academic and Professional Editing Services (APESpro.com) for editing and formatting my report.



ABSTRACT

Healthcare-associated respiratory infections affect patients in healthcare facilities. These infections are not present or incubating at admission time and include respiratory infections acquired by discharged patients and occupational infections among healthcare workers. COVID-19 is a rapidly expanding pandemic caused by a highly infectious novel human coronavirus. Healthcare workers lead the combat against the disease and aim to protect themselves and their patients from the virus; therefore, they have the daunting task of implementing preventive measures. Their knowledge, attitudes, and practices regarding COVID-19 may influence how much they adhere to strategies to prevent the spread of respiratory infections. Phase 1 of the study involved a quantitative crosssectional interview to determine healthcare workers' knowledge, attitudes, and practices towards COVID-19 prevention. A structured questionnaire with Cronbach's Alpha value of 0.71 was used to collect data from 373 healthcare workers and their managers, sampled through a multistage random technique. A descriptive and inferential analysis was performed using the Statistical Package for Social Sciences (SPSS). In Phase 2, the findings of Phase 1 and an extensive literature review were used to draft strategies to prevent the healthcare-associated spread of respiratory infections. Focus group interviews with 10 healthcare managers, purposely selected from the five selected hospitals, were conducted to refine the strategy. The study established that 85.8% of the healthcare workers embraced good knowledge of COVID-19. Age and religious affiliation were significantly associated with factors affecting the knowledge level about the novel coronavirus disease; 56.6% of the participants had a good attitude towards COVID-19. The duration of the experience and marital status of the participants were strongly associated with their attitudes. Less than 50% of the participants had excellent preventive practices for COVID-19. Gender, level of education, and source of information were associated with the participants' practices towards COVID-19 prevention. Ten strategies were developed during Phase 2 to prevent healthcare-associated spread of respiratory



infections. These strategies include ensuring triage, early recognition, source control, applying standard and transmission precautions, education and training of healthcare workers, engineering controls, active surveillance programme for staff, visitors, and patients with acute respiratory infections, restriction of visitors and caregivers, vaccination of healthcare workers as recommended by the World Health Organization and the Ministry of Health promotion of healthy behaviours to strengthen the immune system, adequate supply of personal protective equipment, monitoring and evaluation, and investing in research. Preventing the spread of respiratory infections in the healthcare setting is imperative. Strategies to avoid the healthcare-associated spread of respiratory infections have been developed and presented based on the current study's findings.

Keywords: COVID-19; strategies; prevention; Ghanaian healthcare workers; respiratory infections



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LIST OF ABBREVIATIONS

ABM Aerosol barrier mask

AGREE Appraisal of Guidelines, Research, and Evaluation

ASU Arizona State University

CDC The Centres for Disease Control and Prevention

CI Confidence interval

COVID-19 Coronavirus Disease-2019

ENT Ear Nose and Throat

GHS Ghana Health Service

GI Gastrointestinal illness

HBM Health belief model

HCAI Healthcare associated Infections

HCoV Human coronavirus

HCWs Healthcare workers

HDFT Harrogate and District Foundation Trust

HHCAR Hand hygiene complete adherence rate



HHTAR Hand hygiene total adherence rate

HVAC Heating ventilation and air conditioning

ICU Intensive care units

IPC Infection prevention and control

JBI Joanna Briggs Institute

KAP Knowledge, attitude, and practice

KGCMH Kaohsiung Chang Gung Memorial Hospital

LDHF Low-dose, high-frequency

MOH Ministry of Health

PCC Participants, concept, and context

PCR Polymerase chain reaction

PPE Personal protective equipment

QI Quality improvement

RRT Rostered routine testing

SA Strongly agree

SARS-CoV-2 Severe Acute Respiratory Syndrome Coronavirus-2

SD Strongly disagree



SD Standard Deviation

SEIR Susceptible exposed infectious recovery

SIRD Susceptible-infected-recovered-dead

SPSS Statistical Package for Social Sciences

WHO World Health Organization



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CHAPTER ONE INTRODUCTION TO THE STUDY

1.1. Introduction

The Coronavirus disease-19 (COVID-19) is not the first and will most probably not be the last respiratory pandemic that the world experiences. Influenza and coronaviruses have historically infected people with pandemic potential. As early as 1510, the influenza virus caused epidemics and pandemics, such as the Spanish, Asian, and Hong Kong flu. Three deadly coronaviruses lead to the loss of several lives since the discovery of the Severe Acute Respiratory Syndrome Virus (SARS-CoV) in 2003 in the Guangdong province of China, the Middle East Respiratory Syndrome Virus (MERS-CoV) in 2013 in Jeddah, Saudi Arabia, and the Severe Acute Respiratory Syndrome Virus-2 (SARS-CoV-2) in 2019 in Wuhan, China (Piret & Boivin, 2020:4-9).

Several novel coronaviruses emerged from zoonotic reservoirs in recent decades, causing severe lower respiratory disease, especially the severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2), causing the current COVID-19 pandemic (Petrie & Talbot, 2021:1056). There have been other instances of novel human coronavirus (HCoV) emergence causing pandemics, although the COVID-19 pandemic is the first known caused by Human coronavirus. There has been multiple healthcare-associated spread of MERS; 13% to 70% were among healthcare workers(HCWs) (Barry et al., 2020:1). The influenza A (H1N1) pandemic in 2009, the emergence of the influenza A (H5N1), SARS-CoV, and the SARS-CoV-2 pandemic prompted a rise in patient screening for respiratory infections. Preventive measures for COVID-19 have increased the importance of infection prevention and control measures to prevent intra-hospital spread of respiratory illnesses, providing an opportunity to change practice for other respiratory infections (Yamaguto et al., 2022:188).



COVID-19 spreads from person-to-person through the inhalation of aerosols from infected Individuals (Li et al., 2020:119). Patients with comorbidities, such as hypertension, cardiac diseases, lung diseases, cancer, and diabetes, are more at risk for severe illness and mortality (Shi et al., 2020:431). COVID-19 is highly infectious, and its primary clinical symptoms include fever, dry cough, fatigue, headache, and dyspnoea (Tian et al., 2020:401). It is an emerging respiratory pandemic, with the number of deaths exceeding other viral respiratory infections caused by the SARS-CoV and MERS-CoV (World Health Organisation(WHO), 2003:1; WHO, 2019:1). During January 2020, WHO declared COVID-19 as a public health emergency of global concern (WHO, 2020b:1).

The SARS-CoV-2 caused a global pandemic. Among the critical matters are healthcare workers' infection and healthcare-associated spread of the disease. The WHO issued interim infection prevention and control guidelines, such as social distancing, hand washing, testing, and tracing, affecting community members to limit healthcare workers' exposure to SARS-CoV-2 viruses during patient care (WHO, 2020e:1; WHO, 2020c:5). These workers are at the frontline of the COVID-19 response, daily exposed to the pathogen (WHO, 2020d:5). Unfortunately, healthcare workers experience significant challenges to adhere to the guidelines (Houghton et al., 2020:5). One such reason is limited knowledge of the disease (Kenny, 2020:1).

1.2 Healthcare workers' knowledge, attitudes, and practices towards COVID-19

Since the pandemic outbreak, studies have been conducted to determine healthcare workers' knowledge about the disease, their attitude towards it, and the practices they use to manage the disease. A cross-sectional web-based study conducted with 529 healthcare workers globally about COVID-19 during the first week of March 2020 reveals that 61% of healthcare workers used social media for information about SARS-Cov-2. They had a limited understanding of the transmission of the virus (Bhagavathula et al., 2020:2). In Thailand, a cross-sectional study among 637 healthcare workers indicated



that 58% had average knowledge of COVID-19; 50% had positive attitudes; 80.40% had good practices towards COVID-19 (Kunno et al. 2022:8).

A study in Dubai revealed that 57.40% of 176 healthcare workers had average knowledge of COVID-19 (Albahri et al., 2021:5). Knowledge of COVID-19 among healthcare workers was low in another study in Indonesia (Jamil et al., 2020:1) Rabbani and Al Saigul established that, although there were good practices among healthcare workers in Saudi Arabia towards COVID-19, there were still divergences in their knowledge and attitudes (Rabbani & AL Saigul, 2021:1). The majority (94.8%) of the healthcare workers in the study in Pakistan indicated excellent knowledge regarding COVID-19 (Malik et al., 2020:1). This contradicts another study also conducted in Nepal, revealing divergences in healthcare workers' knowledge and practices towards COVID-19; only 45.7% of 462 had good knowledge of COVID-19 (Ghimire et al., 2020:1).

A similar study was conducted in Yemen; there were divergences in knowledge and attitude regarding COVID-19, despite the healthcare workers' adequate knowledge level and exemplary performance in preventive measures (Alrubaiee et al., 2020: 1). In Pakistan, a cross-sectional study among 414 healthcare workers was conducted. The findings suggest that they had good knowledge of COVID-19, but divergences existed in some aspects of knowledge and practice that needed attention (Saqlain et al., 2020:1). The findings of a study in Jordan among healthcare workers pointed to some knowledge, attitude, and practice divergences for COVID-19 prevention interventions (Amro et al., 2022:1).

In Vietnam, a study established a high knowledge level, attitude, and practice of COVID-19 among healthcare workers; however, 89.6% of 692 had difficulty implementing preventive measures, such as a change in their behaviour (Tien et al., 2021:4); 53.65% of 1441 healthcare workers in Venezuela had negative attitudes towards COVID-19, although they had sufficient knowledge and good preventive practices (Mendoza Millan



et al., 2021:9). In Nepal, Limbu et al. established that practices correlated with improved knowledge and positive attitude towards COVID-19. They studied 103 healthcare workers, of which 53.4% had positive attitudes, and 81.5% had good practices towards COVID-19. They concluded that the training of healthcare workers and developing positive attitudes are essential to combat the infection (Limbu et al., 2020:20). A similar study in Shiraz indicated that 72.8% of 495 healthcare worker respondents had sufficient knowledge; 66.65% indicated correct attitude; 67.72% described good practices (Kamali et al., 2020:1). The results of a study in Saudi Arabia among healthcare workers, indicating knowledge, attitudes, and practices towards COVID-19, suggested the need for developing practical strategies for preventing and controlling COVID-19 infections (Bashir et al., 2021:1).

Even though the spread of COVID-19 was more prevalent in European and American countries, it was still rising in several African countries (Olum et al., 2020:1). Several studies on healthcare workers' knowledge, attitudes, and practices towards COVID-19 have been conducted in Sub-Sahara Africa. In South Africa, there was adequate knowledge, good attitudes, and satisfactory practices towards COVID-19 among healthcare worker participants (Moodley et al., 2021:9).

A study in Uganda among healthcare workers revealed that 69% of the 581 respondents had sufficient knowledge of COVID-19, but a low percentage of 21% communicated positive attitudes towards the prevention of COVID-19 infection; 74% reported that they had good preventive practices (Olum et al., 2020:3). A substantial divergence in the knowledge level of preventive practices was established among healthcare workers studied in Ethiopia, although most had positive attitudes towards COVID-19 prevention (Fetansa et al., 2021:1). Similarly, three studies were conducted in Ethiopia, where the findings revealed divergences in the preventive practices of healthcare workers regarding COVID-19 transmission (Asemahagn 2020:1; Bitew et al., 2021:1; Yesse et al., 2021:2). In Sierra Leone, 516 healthcare workers' knowledge, attitudes, and practices towards



COVID-19 were studied. The study results revealed good COVID-19-related knowledge, attitude, and practices; however, their facilities were not adequately prepared to respond to the COVID-19 pandemic (Kanu et al., 2021:1).

A Nigerian study of 300 healthcare workers revealed that over 90% of the participants responded correctly to questions to assess their knowledge of COVID-19. They were highly aware of the aetiology, mode of spread, and symptoms of COVID-19 (Ogolodom et al., 2020:2). Another two studies were also conducted in Nigeria, and all the studies revealed that despite the healthcare workers having good knowledge, their practices towards COVID-19 prevention were unsatisfactory (Abene et al., 2021:5; Ayinde et al., 2020:1).

A survey of healthcare workers' knowledge, practice, and preparedness to manage COVID-19 in the Offinso-North District in Ghana revealed that 65.1% of the participants had adequate knowledge of the disease; however, only 27.6% received applicable inservice training (Nkansah et al., 2020:3). Researchers globally studied the knowledge, attitudes, and practices of healthcare workers regarding COVID-19 to understand how they react to the current pandemic.

1.3 Problem statement

On 12 March 2020, doctors diagnosed the first two COVID-19 patients in Ghana. According to their records, these patients returned from Norway and Turkey, where they most probably were infected with the SARS-CoV-2. These two cases began the first contact tracing process in Ghana (Duncan, 2020:1). As of 24 July 2020, Ghana had 30,000 COVID-19 cases and 153 deaths, making it the country with the fourth-highest number of cases in Africa and 54th globally (WHO, 2020f: Situation update). The Ashanti region had 5841 confirmed cases on July 16, 2020 (Ghana Health Service (GHS), 2020:



COVID-19 Update). Figure 1.1 demonstrates the cumulative cases of COVID-19 in Ghana by region between March 2020 and February 2021.

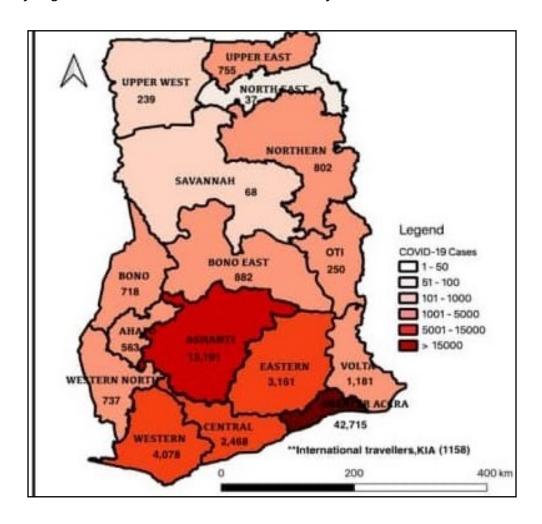


Figure 1.1: Cumulative cases of COVID-19 in Ghana by region, March 2020 to February 2021

(GHS COVID-19 update, 2021)

Several SARS-CoV-2 infected patients report primarily mild symptoms, contributing to the difficulty in detecting them during the preliminary stages of the disease. They only report to healthcare services when they develop severe symptoms. Early identification,



treatment and isolation is, therefore, a challenge. It leaves preventive measures as the best control mechanism.

Preventive strategies focus on minimising exposure to the virus. Wearing personal protective equipment (PPE), regular handwashing with soap, observing physical distancing, and covering coughs and sneezes with a flexed elbow or tissue paper are the only mechanisms to curb the spread of the virus (Cascella et al., 2020: para14). Ghana also called for a partial lockdown in some regions (Greater Accra Metropolitan Area and Greater Kumasi regions) on 30 March 2020, like several governments globally, allowing only critical services, such as hospitals, grocery stores, medical equipment, and frontline emergency services to operate (APA News, 2020:1). The government implemented several measures to avoid the rapid spread of the ongoing COVID-19 outbreak from March 2020. The Government closed all schools and borders during severe episodes of COVID-19. Domestic and international flights were suspended. Virtual meetings were replacing large gatherings of people.

As in the rest of the world, the SARS-Cov-2 infected healthcare workers in Ghana. On 20 May 2020, 30 healthcare workers tested positive for the virus in the Ashanti Region during their line of duty. They were frontline workers managing the disease in the region (Hope, 2020:1). Ninety-seven health workers in the Ashanti region contracted the virus on 18 June 2020, of which one person died. Eighteen were doctors, and 47 were nurses, with the remainder being auxiliary workers, such as cleaners, contact tracers, and administrative staff (Donkor, 2020:1).

The healthcare workers in Ghana followed the WHO interim guidelines for preventing and controlling COVID-19. The Ministry of Health(MOH) also published provisional standard treatment guidelines for COVID-19 and encouraged the training of all healthcare workers to protection from the virus and to prevent its spread (Ministry of Health [MOH], 2020:1-33). Despite their training, some healthcare workers in Ghana, and unfortunately in the



Ashanti region, lacked adequate knowledge regarding the disease. Even people with sufficient knowledge do not always apply that in their practice (Nkansah et al., 2020:3). Usually, in institutions in Ghana, healthcare workers do not observe the protocols (Ghana web, 2021:1). Not all the healthcare facilities in Ashanti had sufficient resources, such as PPE and isolation facilities for suspected COVID-19 cases. Delayed test results of potential patients also lead to unnecessary exposure and infections of healthcare workers (Ghana Medical Association Report, 2020:1; Hope, 2020:1; Ghana Medical Association Report, 2021:1). In the northern region of Ghana, the Savelugu hospital closed for two weeks following an outbreak of COVID-19 among hospital staff and patients (Ghana News Agency, 2021:1).

A lack of knowledge of healthcare workers resulted in delayed identification and treatment of COVID-19 patients and a rapid spread of SARS-CoV-2 infections. Negative attitudes regarding their own responsibilities towards preventing the spread of COVID-19 and poor practices of preventive protocols in managing COVID-19 patients put themselves, their patients, and the community at risk (Karlsson & Fraenkel, 2020:1; Zhiruo et al., 2020:231). The healthcare workers who contracted COVID-19 transmitted the infection to their colleagues, families, relatives, and friends (Shah et al., 2020:1).

To guarantee the control of respiratory infections, such as the COVID-19 infection, healthcare workers' knowledge of prevention and control measures is essential. It is also important to determine the factors affecting their attitude to have adequate practices to early diagnose and treat patients and prevent the spread of infections. This study, therefore, sought to investigate the KAP of healthcare workers in the Ashanti region of Ghana towards COVID-19 and to use it in developing and refining strategies to prevent healthcare-associated spread of respiratory infections.

At the time of the study, WHO strategies to prevent the spread of COVID-19 were used. The strategies were generic and not substantiated with information regarding the



knowledge, attitudes, and practices of the healthcare workers of the Ashanti region. Generic strategies are insufficient and, therefore, have limitations (Pretorius, 2008:19). The study includes detailed information regarding the situation's unique circumstances and knowledge of the people involved (Bolisani & Bratianu, 2018:147-174).

1.4 Research questions, aim, objectives, hypotheses

The following research questions applied:

- 1) What is known about the KAP towards COVID-19 among healthcare workers in the Ashanti region of Ghana?
- 2) What are some strategies to prevent the healthcare-associated spread of respiratory pandemics, informed by the evaluation of the knowledge, attitudes, and practices of healthcare workers regarding COVID-19?

The study aimed to determine the healthcare workers' knowledge, attitudes, and practices towards COVID-19 and to develop and refine strategies to prevent the healthcare-associated spread of respiratory infections.

The objectives of Phase 1 were:

- 1) To describe the knowledge-level of healthcare workers regarding COVID-19 in the Ashanti region, Ghana.
- 2) To describe the attitude of healthcare workers towards COVID-19 in the Ashanti region, Ghana.
- 3) To describe the practices of healthcare workers towards preventing the spread of COVID-19 in the Ashanti region, Ghana.
- 4) To determine the relationship between the healthcare workers' gender, age, religion, marital status, highest level of education, duration of the experience at work,



occupation (specialisation) and place of work and their knowledge, attitudes, and practices regarding COVID-19.

The objective of Phase 2 was:

To develop and refine strategies to prevent healthcare-associated spread of the current pandemic and similar future respiratory pandemics in the Ashanti region, Ghana.

These hypotheses applied for Phase 1:

COVID-19 KAP have no relation to healthcare workers' gender, age, religion, marital status, highest level of education, duration of the experience at work, occupation (specialisation) and place of work.

- H0: COVID-19 KAP has no relation to the biographic data of healthcare workers.
- H1: COVID-19 KAP has a relation to the biographic data of healthcare workers.
- H0: COVID-19 KAP has no relation to healthcare workers' duration of experience.
- H1: COVID-19 KAP has a relation to healthcare workers' duration of experience
- H0: COVID-19 KAP has no relation to healthcare workers' specialisation.
- H1: COVID-19 KAP has a relation to healthcare workers' specialisation
- H0: COVID-19 KAP has no relation to healthcare workers' place of work
- H1: COVID-19 KAP has a relation to healthcare workers' place of work

1.5 Definition of key terms

The **coronavirus disease - 2019 (COVID-19)** is an infectious disease caused by a newly discovered coronavirus called SARS-CoV-2 (WHO, 2020a:1). The mode of transmission of the infection is mainly person-to-person through respiratory droplets. The faecal-oral route is also possible. The incubation period is between two to 14 days (Azer, 2020:2). The SARS-COV-2 enters the host cells through the S spike protein by binding to the



Angiotensin-converting Enzyme 2 (ACE2) for internalisation and aid by TMPRSS2 protease. The high infectivity relies on developing mutations in the receptor-binding domain. The virus interaction with ACE2 may downregulate the anti-inflammatory function to increase angiotensin II effects in predisposing patients. The invasion of the virus to the lung cells, myocyte, and endothelia cells of the vascular system causes inflammatory changes. These changes contribute to lung injury pathogenesis, hypoxia-related myocyte injury, body immune response, increased damage of myocardial cells, and intestinal and cardiopulmonary changes (Azer, 2020:2). In this study, COVID-19 refers to the description above.

A **healthcare worker** delivers care and services to the ill and ailing directly as doctors and nurses or indirectly as aides, helpers, laboratory technicians, and even medical waste handlers (Joseph & Joseph, 2016:71). For this study, healthcare workers refer to healthcare professionals (nurses, midwives, medical officers, and allied healthcare professionals) and maintenance staff in primary contact with COVID-19 patients.

Knowledge in KAP surveys refers to the understanding of a community on any topic (Kaliyaperumal, 2004:7). For the study, knowledge refers to familiarity and awareness of healthcare workers about COVID-19 and preventive measures.

An **attitude** refers to a set of emotions, beliefs, and behaviours towards an object, person, or event (Cherry, 2021:6). Attitude in this study relates to the way healthcare workers think and behave towards COVID-19 and preventive measures. It refers to the concepts of perceived susceptibility to COVID-19, severity of COVID-19, benefits of the prevention of COVID-19, barriers to behaviour change and self-efficacy of the health belief model.

Practices in KAP surveys refer to using diverse healthcare options to yield information on people's behaviour (UI Haq et al., 2012:2). In this study, practice relates to the habitual



healthcare workers' involvement to prevent COVID-19 in caring for patients during the pandemic.

Healthcare-associated infections are infections that occur while receiving healthcare, developed in a hospital or other healthcare facility that first appear 48 hours or more after hospital admission or within 30 days after having received care (Haque et al., 2018:1). These infections spread among patients, and from patients to healthcare workers and healthcare workers to patients. Healthcare-associated respiratory infections became a well-known feature of respiratory pandemics and the leading means of spread (Al-Tawfiq & Auwaerter, 2019:1). In the present study, healthcare-associated respiratory infections called the spread of COVID-19 and other respiratory infections among patients and healthcare workers.

Respiratory infections involve the sinuses, throat, airways, or lungs. It can be acute or chronic, affecting adults and children. Respiratory infections can also be community-acquired or hospital-acquired. The causative pathogen can be viral, bacterial, fungal, or parasitic (Niederman & Torres, 2022:1). In the current study, respiratory infection refers to infections affecting the respiratory system acquired in the hospital setting.

Strategies indicate the direction and scope of an organisation over the long-term, which achieves advantage in a changing environment by configuring resources and competencies to fulfil stakeholder expectations (Johnson et al., 2008:40). Mintzberg (1978:935) defines strategy as a pattern in a stream of decisions and actions. The author opined that strategies do not necessarily arise from logical planning but may also result from what is unplanned. Mintzberg distinguishes between intended and emergent strategies, where intended strategies refer to the plans the manager developed, and emergent strategies relate to the activities. In this study, strategies developed and refined by the healthcare managers are called intended strategies, and the emergent strategies



are the actions/practices the healthcare workers implement to prevent the healthcareassociated spread of respiratory infections.

1.6 Context/setting

The study was conducted in the Ghana Health Service facilities in the Ashanti region. The GHS functions under the Ministry of Health in Ghana—586 healthcare facilities in the region range from academic hospitals to primary healthcare clinics. Most facilities are governmentally managed, and others by missionaries and private companies (GHS Ashanti region health directorate, 2021). Ashanti had one laboratory for COVID-19 testing—the Kumasi Centre for Collaborative Research. Three centres specialise in COVID-19 patient treatment—the Komfo Anokye Teaching Hospital, the Kumasi South Hospital (a regional hospital), and the Kwame Nkrumah University of Science and Technology Hospital. When the treatment hospitals could not accommodate more patients, other facilities were used. From March 2020 to 8 June 2021, 15,378 patients received treatment for COVID-19 in Ashanti (GHS Report, 2021: Situation update).

Geographically, the Ashanti region is in the middle of Ghana, with a population of 4,780380 according to the 2010 population and housing census, with 43 districts and 188 sub-districts. The population depends on farming, mining, trading, and mechanical industries. A small percentage of the population does administrative work.

1.7 Summary of the study design

In the first phase of the study, a quantitative cross-sectional survey was conducted to determine the healthcare workers' KAP regarding the prevention of the spread of a respiratory infection (COVID-19) was conducted. A questionnaire constructed from sections of the instruments of Limbu et al. (2020) and Kamali et al. (2020) was used to collect data from 373 healthcare workers identified through a multistage random sampling technique from five designated healthcare facilities in the Ashanti region of



Ghana. The Stata and SPSS applied in the descriptive and inferential analysis of the data. In Phase 2, the findings of Phase 1 apply and the outcome of a comprehensive literature review to draft strategies to prevent the spread of healthcare-associated respiratory infections. The drafted strategies were compiled to meet the criterion of the AGREE (Appraisal of Guidelines, Research, and Evaluation) device (Brouwers et al., 2017:7). The strategies were refined through a qualitative study and focus group interviews with ten healthcare managers from the designated facilities. Chapter Two presents a comprehensive description of the research methodology.

1.8 Philosophical assumptions

The philosophy of pragmatism fits the planned research. It refers to a worldview focusing on "what works" rather than being considered absolutely and objectively "true" or "real" (Frey, 2018:28). The primary philosophical assumption of pragmatism is that knowledge and reality are uncertain, can change over time, and is socially constructed (Kausihik & Walsh, 2019:3). The pragmatist paradigm supports quantitative and qualitative research methods providing evidence to sustain optimal practice. Pragmatism concerns action, change, and the interplay between knowledge and action. It is appropriate for research approaches to intervene in the world and not merely observe it (Goldkuhl, 2012:2).

This study employed quantitative and qualitative methods to answer the research questions. The pragmatic approach determined the KAP of healthcare workers regarding COVID-19 and developing and refining strategies to prevent the healthcare-associated spread of the current and future similar respiratory pandemics in the Ashanti region, Ghana.

Ontological assumption: Ontology is the study of reality and opinions about reality (Mitchell, 2018:9). Pragmatists observe reality as context-specific. They observe reality as true concerning satisfactory relations with other parts of experiences (Kausihik &



Walsh, 2019:1). While other philosophers focus on reality, pragmatists emphasise the nature of experiences (Morgan, 2014:27). Pragmatists also believe that reality is associated with contextual circumstances and studied through human experiences (Morgan, 2014:28). Singular and multiple realities exist and, therefore, researchers use various methodologies to explore realities (Creswell & Clark, 2018:38).

Epistemological assumption: Epistemology is a way of understanding and explaining how we know the world and the relationship between the 'knower' and the 'known'. It also approaches 'how and where' researchers generate knowledge (Kausihik & Walsh, 2019:4; Goldkuhl, 2012:10). Pragmatists collect data by "what works" to guide research questions (Creswell & Clark, 2018:38). The usefulness of the data equals the quality of the data (Creamer, 2018:46).

Methodological assumption: The methodological assumption approaches the selected research approach to guide the research questions (Creswell, 2015:4). Pragmatists rely on abductive reasoning to move back and forth between deductive and inductive reasoning (Creamer, 2018:46). Quantitative and qualitative methodologies apply if they fit the research question and the setting. The study employed a quantitative methodology in the first phase and a qualitative methodology in the second phase.

1.9 Delineation

This study was delineated to determine the healthcare workers' KAPs regarding COVID-19 and how it informed developing and refining strategies to prevent healthcareassociated spread of respiratory infections. Other aspects that might have influenced the healthcare-associated spread of respiratory infections are omitted.



1.10 Theoretical frameworks

The study applied two theoretical frameworks—The KAP is a behaviour change theory founded by Schwartz (1976). It explains behavioural change. Researchers often use it to study human attitudes and behaviour. It is based on the social learning theory by Albert Bandura and includes several aspects of the diffusion of innovation theory by Everett Roger (Hamid et al,2014:1). The KAP model attempts to understand the relationships between three constructs, such as knowledge, attitude, and practice. The theory explains 'knowledge' as "the foundation of behaviour change and attitude are the driving force of behaviour change" (Fan et al., 2018:2). This study assessed the healthcare workers' level of KAP towards the COVID-19 outbreak in the Ashanti region of Ghana in Phase 1. The results guided Phase 2, where strategies are developed and refined to prevent the spread of healthcare-associated respiratory infections.

The health belief model (HBM): A conceptual framework for predicting and explaining whether a person will act to prevent a specified health condition. The social psychologists Hochbaum, Rosenstock, and Kegels developed the model in 1950 while employed by the United States Public Health Service to explain health-related behaviours (Rosenstock, Strecher, & Becker, 1988:176). Researchers use the model to identify convincing points for behaviour change based on the principle that people are attracted by positive values (Maseko et al, 2019:9). The HBM posits that these opinions or perceptions interact within an individual to determine the person's "readiness to act" to change their behaviour.

Perceived susceptibility: The person's belief about whether they are inclined to contract the adverse health condition (disease). It, therefore, refers to the vulnerability of the SARS-CoV-2 infection and the spread of the virus to other people (Wong et al., 2020:2206). The higher the perceived likelihood of contracting the disease, the higher the perceived susceptibility.



Perceived severity: Refers to the person's belief about the extent of the adverse effects of contracting the disease (Wong et al., 2020:2206). The adverse effects include the possibility of pain, disability, death, job losses, and family problems. Perceived susceptibility and severity together determine a person's perceived threat of the condition. A high perception of a threat of the condition leads to an increased sense of danger; therefore, there is a high likelihood of performing the preventive action (Affedzie, 2017:2).

Perceived benefits: Refer to the person's belief in how effective a preventive action will be in preventing him from contracting the disease (Wong et al., 2020:2206). The higher the effectiveness of the proposed preventative measure, the higher the perceived benefits. The persons should perceive that the target behaviour would reduce the likelihood of a negative outcome. They should also perceive that the target behaviour would provide substantial positive benefits (Carpenter, 2010:662).

Perceived barriers: Refer to the persons perceiving there are substantial barriers that prevent them from adopting the preventive behaviour. When the barriers are substantial, they are unlikely to adopt the target behaviour (Carpenter, 2010:662).

Cues to action: Refer to a person's inner opinions to implement the target behaviour. Immediate environmental cues encourage behaviour change (Tarkang & Zotor, 2015:5).

Self-efficacy: Refers to the person's belief in their ability to implement the target behaviour. The more people believe they are capable, the more efficient they feel and the more likely they will succeed (Tarkang & Zotor, 2015:5).

Modifying factors: Refer to factors that may influence behaviour change. It includes the person's age, sex, ethnicity, personality, knowledge, and socioeconomic status (Tarkang & Zotor, 2015:4).



The study adopted these HBM components: perceived susceptibility, severity, barriers, benefit, and self-efficacy. This was used to explain the healthcare workers' attitude towards COVID-19. Modifying factors (demographic and biographic information and economic factors) were used to describe their influence on COVID-19 prevention among healthcare workers and their patients.

The following conceptual framework was applied:

The **independent variables in the framework** refer to the socio-demographic details (gender, age, highest level of education, duration of experience, occupation and specialisation, place of work, and COVID-19 information sources).

The **dependent variables in the framework** refer to the KAPs of the healthcare workers regarding COVID-19.



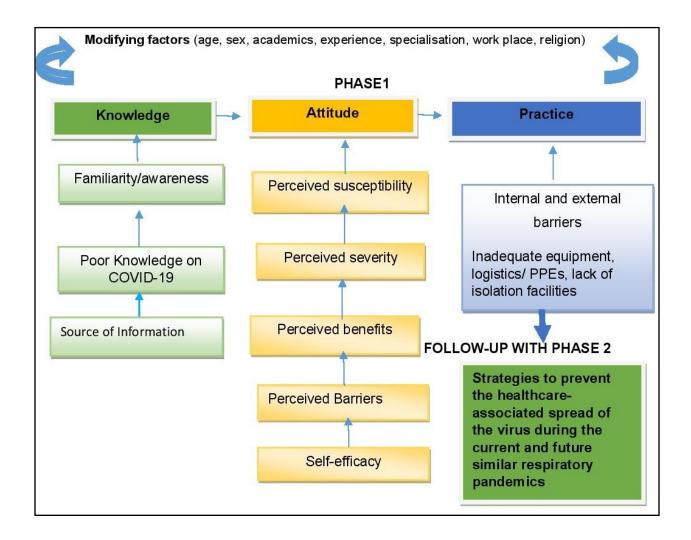


Figure 1.2: Conceptual framework

The conceptual framework (Figure 1.2) determines the KAPs among healthcare workers towards infection and spread of COVID-19 and developing and refining strategies to prevent the healthcare-associated spread of respiratory infections.

The framework refers to the change of practices through the adjustment of attitude. An increase in knowledge adjusts attitude according to the HBM. The study integrated the HBM and the KAP model, as indicated in the conceptual framework. It demonstrates that the correcting of attitudes through knowledge leads to a change of practices. In this study,



the practices refer to the prevention of infection and spread of SARS-CoV-2. According to the framework and diagram, limited knowledge, and inexperience in using appropriate PPE and other preventive and control measures can diminish a person's self-efficacy in combating COVID-19. If the healthcare workers obtain insufficient information from the right source, such as the WHO guidelines for COVID-19 prevention of the infection and spread, it detrimentally affects their attitude towards COVID-19. Attitude in this study refers to emotions, beliefs, and behaviours towards an object, person, thing, or event. Whether a person will act to prevent COVID-19 infection and spread depends on their attitude (perceptions or beliefs) about the disease.

Perceived susceptibility to COVID-19: If the healthcare workers believe they are susceptible to COVID-19 and, therefore, capable of spreading the virus, they will implement the recommended preventive guidelines.

Perceived severity of COVID-19: If healthcare workers believe that an infection with COVID-19 is a severe and fatal condition, they will be more inclined to practise the recommended preventive guidelines.

Perceived benefits of practising COVID-19 preventive measures: If the healthcare workers believe that practising the recommended preventive guidelines will reduce the chances of contracting COVID-19, they will practise.

Perceived barriers against COVID-19 prevention: If excessive inconveniences are involved in practising the COVID-19 recommended preventive guidelines, healthcare workers will not practice them. Factors that prevent a person from practising the guidelines include inadequate equipment (equipment for hand hygiene, inadequate PPE, and inadequate space to practise social distancing). These perceived barriers may discourage a person from practising the recommended guidelines despite knowing their benefits and the threat of the disease.



Perception of self-efficacy in adhering to COVID-19 protocols: If persons believe that they can wash hands with soap under running water, observing social distancing of three feet/two meters, wearing appropriate PPE, using alcohol-based sanitisers, wearing face masks will prevent them from contracting COVID-19, then their likelihood of practising them will be significantly enhanced.

Modifying factors: Include socio-demographic and economic characteristics that can enhance or diminish the likelihood that a person will practise COVID-19 preventive guidelines. An individual may also have adequate knowledge and a positive attitude towards COVID-19 prevention, but **internal and external barriers**, such as inadequate equipment and a lack of facilities, can generate poor practices.

In Phase 2 of the study, strategies were developed and refined to prevent healthcareassociated spread of respiratory infections, as indicated in the framework.

1.11 Summary

This chapter presents the study introduction. A thorough description of the problem statement, aim, objectives, and hypotheses, the assumptions of the research paradigm, and the conceptual framework are included. The subsequent chapter describes the research methodology.



CHAPTER TWO THE RESEARCH METHODOLOGY

2.1. Introduction

The background of the study is comprehensively described in the previous chapter. The methodology concerns how the research was undertaken; the process and methods used to conduct the study are explained in this chapter.

2.2 The research design for Phase 1: A cross-sectional study on healthcare workers' knowledge, attitude, and practice towards COVID-19

Phase 1 employed a cross-sectional quantitative study to determine the healthcare workers' KAPs towards COVID-19.

2.2.1 Methodology

A cross-sectional study design is an observational study where variables are simultaneously investigated (Setia, 2016:261). A study of this nature provides findings fast and is inexpensive and, therefore, useful for public healthcare planning, monitoring, and evaluation (Setia, 2016:263). Cross-sectional designs are the most relevant when assessing the prevalence of disease, attitude, and knowledge among respondents (Kesmodel, 2018:1).

2.2.1.1 Study population

A study population is a subset of a target population from which the sample is selected (Hu, 2014:274). The population of the first phase of the study refers to healthcare workers (nurses, midwives, medical officers, allied healthcare professionals and maintenance staff) practising in the GHSs in the Ashanti region and who were providing care to COVID



-19 patients and other respiratory infections. According to the GHS, Ashanti Region Health Directorate, 12070 healthcare workers were employed in 2020.

2.2.1.2 Context/setting

The research was conducted in five GHS facilities in the Ashanti region: The Kumasi South Hospital (regional hospital), Suntreso Government Hospital, Ejisu Government Hospital, Bekwai Municipal Hospital, and Nkawie Government Hospital. The GHS functions under the MOH- 586 healthcare facilities in the region range from academic hospitals to primary healthcare clinics. Most facilities are governmentally managed, and others by missionaries and private companies (GHS Ashanti region health directorate, 2021). Geographically, the Ashanti region is in the middle of Ghana, as revealed in Figure 1.1, with a population of 4,780380 according to the 2010 population and housing census, with 43 districts and 188 sub-districts. The population depends on farming, mining, trading, and mechanical industries. A small percentage of the population does administrative work.

During the COVID-19 pandemic, one central laboratory serving the region was the Kumasi Centre for Collaborative Research. Three specialised centres that helped in COVID-19 patient treatment were the Komfo Anokye Teaching Hospital, the Kumasi South Hospital (a regional hospital), and the Kwame Nkrumah University of Science and Technology Hospital. In cases where treatment hospitals could not accommodate more patients, other regional facilities were used, and these selected facilities were included. From March 2020 to 8 June 2021, 15,378 patients received treatment for COVID-19 in the Ashanti region (GHS, 2021: Situation update). The healthcare workers in the selected facilities participated in the study. At the time of the study, the researcher was not employed in the aforementioned facilities.



2.2.1.3 Sampling method and sample size

Multistage random sampling is a method of obtaining a sample from a study population by dividing it into smaller groups to select representative respondents. In multistage sampling, the large groups are selected using a simple random sampling method. After that, smaller groups within those large groups are also determined using a simple random sample technique (Dunn, 2021:72). This sampling method was chosen because of its flexibility and the large study population size. The following formula was used to determine the sample size.

Sample size, n = N *
$$\frac{\frac{Z^2 * p * (1-p)}{e^2}}{[N-1 + \frac{Z^2 * p * (1-p)}{e^2}]}$$

The study population size (N) was 12 070 (GHS, Ashanti region Health Directorate). With a confidence level of 95%, population proportion (p) is 0.5, a margin of error (e) is 0.05 (5%), alpha divided by 2 (1- confidence level) is 0.025, and the Z- score is 1.96, from the above formula, the minimum required size (n) calculated was **373.**

The sampling was conducted in stages. In **Stage 1**, potential respondents were selected according to their work districts. A random selection of five from 43 districts in the Ashanti region with high cases of COVID-19 was conducted. In the **Stage 2**, five healthcare facilities were randomly selected (hospitals and clinics) in the previously chosen districts (one from each district). In the **Stage 3**, 75 healthcare worker respondents were randomly selected from each of the selected healthcare facilities. A statistician ensured that all the designated healthcare worker groups had proportional representation. Proportionate sampling prescribes that the number of respondents "should be selected in proportion to their occurrence in the study population" (Grove, 2017:339).



The selection of the respondents was arranged after the Research Ethics Committee of the Faculty of Health Sciences of the University of Pretoria approved the proposal, and the relevant authorities permitted the study at the selected facilities.

2.2.1.4 Data collection and organisation

A structured questionnaire was used to collect data. There is no standardised and validated device for assessing KAPs on COVID-19. The questionnaire was constructed from two questionnaires used and published to evaluate KAP towards COVID-19. The study used sections of the instruments of Limbu et al. (2020) and Kamali et al. (2020). Limbu et al. (2020) determined the KAP of healthcare worker respondents in a tertiary care hospital in Nepal, and Kamali et al. (2020) did a similar study with healthcare worker respondents in Iran.

The study used a 25-item questionnaire adapted from the one of Limbu et al. (2020) and modified to evaluate the respondents' knowledge regarding COVID-19 and the prevention of the spread of COVID-19. The modification was necessary to integrate context-specific material. The researcher used nine (9) items to assessed the attitude of the respondents towards COVID-19 with a Likert-item questionnaire she adapted from the one of Kamali et al. (2020). The responses refer to strongly agree, agree, neutral, disagree, and strongly disagree. The parts of the questionnaire adapted from Limbu et al. and Kamali et al. had reliability coefficients of (r = 1.00) and validity coefficients of (r = 0.98), respectively, indicating perfect reliability and a useful device (Limbu et al., 2020:5; Kamali et al., 2020:5).

Practices to prevent the spread of COVID-19 were assessed by seventeen (17) items using yes/no, and lack of facility/inadequate equipment questions developed from the WHO and MOH/GHS recommended practices to prevent COVID-19 transmission. The content validity of the instrument used by Kamali et al. (2020:1-8) was validated by a



collaboration panel of professionals from the Internal Medicine and Epidemiology Department of Shiraz University of Medical Science. The study did the same by using a panel of multidisciplinary healthcare professionals from the designated healthcare services where the study was conducted to validate the adjusted instrument. The reliability of the adjusted device was determined through the calculation of a Cronbach's alpha value, as was conducted by Kamali et al. (2020:1-8) with the original instrument. The Cronbach's alpha value for the study was (0.71).

Knowledge and attitude were assessed using a five Likert scale, and scoring were conducted by assigning numbers 1-5 to the scale: strongly agree -5 agree -4 neutral -3, disagree - 2, strongly disagree -1. The more frequent answer of 4-5 revealed adequate knowledge and a positive attitude, whereas limited knowledge and negative attitude were indicated by more frequent scores of 3 and below.

The scoring of the practices of HCWs towards COVID-19 prevention was conducted by assigning 0 to an incorrect answer and 1 to a correct answer. The practice questions were 17 with three options (Yes, No, and lack of facility/inadequate equipment). The Total score was calculated and divided by the number of questions and later multiplied by 100 to obtain the cumulative percentage. A percentage (%) of 80 and above score was considered an indication of excellent practices, 60%-70% indicated acceptable practices, and below 60% signifies poor practices.

According to Kusi (2012:57), a structured questionnaire has predetermined standardised questions or items to collect numerical data for statistical analysis. The questionnaire comprises 60 items, of which almost all are close-ended questions. In Part 1, the biodemographic information involves questions about the respondent's age, gender, marital status, religion, occupation (specialisation), place of work, the highest level of education, length of work experience, and source of information on COVID-19. Part 2 focused on questions about the respondents' knowledge of COVID-19. Part 3 included questions on



the respondents' attitude towards COVID-19, and in Part 4, the respondents answered questions about COVID-19 prevention. Appendix G includes a copy of the questionnaire.

2.2.2 Pilot study

A pre-test of the instrument was conducted with a group of healthcare workers at a hospital in the Bono region, omitted in the data collection. The hospital is not in the Ashanti region but also delivers care to COVID-19 patients. The staff composition is similar to that of the hospitals in the Ashanti region; 30 healthcare workers from the hospital were involved. Their responses helped to refine the instrument. Informal permission was sought to conduct the pilot study.

Written permission to conduct the research was obtained from the Faculty of Health Sciences Research Ethics Committee of the University of Pretoria (reference no. 357/2022) before data collection (<u>Appendix A</u>). Permission to conduct the study in the selected healthcare facilities was obtained from the Regional Director of Health, GHS, Ashanti Region (<u>Appendix B</u>).

Information sessions were arranged at the selected hospitals to inform the potential respondents about the study. The researcher and two research assistants explained that the participation is voluntary, and should they choose not to participate or to withdraw at some stage; it will have no negative implications. She and the research assistants (two final-year students from the Nursing department of Kokofu Nursing Training College) kept all information that the respondents provided confidential. The potential respondents were guaranteed that no person who would read the thesis could associate the findings with specific individuals. The participants were provided ample time to complete and submit the questionnaires. Appendix E includes a copy of the informed consent document.



2.2.2.1 Data analysis

The study used the SPSS Version 23 Software and Excel for the descriptive and inferential analysis of the data, with the assistance of a biostatistician (<u>Appendix C</u>) for confirmation. The data collected were entered into a Microsoft Excel sheet and coded for anonymity. The data entered was then exported into SPSS version 23 for analysis. The findings are presented in tables and figures (presentation of the findings is in Chapter Three).

2.2.2.2 Statistical analysis

The researcher and the statistician calculated descriptive statistics as frequency, percentage, mean, and standard deviation. For the inferential statistics, data were analysed using the Pearson Chi-square test. A 95% confidence interval of <0.05 will be statistically significant (Tappen,2016:362-367). The Chi-square (x^2) is useful for analysing differences in categorical variables nominal in nature, and it is also used to test whether two variables are related or interdependent with one another.

$$\chi^2 = \sum rac{\left(O_i - E_i
ight)^2}{E_i}$$

X² =Chi-square

O_i = Observed value

 E_{i} = Expected value

Testing the hypotheses: The researcher and statistician used the Chi-square test to determine the correlation between HCWs' gender, age, religion, marital status, the



highest level of education, duration of the experience at work, occupation (specialisation), place of work and their KAP of COVID-19.

According to the HBM, a person's attitude (perception/beliefs) influences a person's behavioural change towards disease prevention. The modifying factors (including socio-demographic factors) affect a person's perception and, therefore, indirectly influence health-related behaviour. Based on the research objective, the correlation between the attitude of the HCWs and their practices towards COVID-19 prevention was identified.

2.2.3 Rigour

The study employed a suitable measurement instrument in the data collection. Parts of the instruments of Limbu et al. (2020) and Kamali et al. (2020) were used in the questionnaire. A reliability coefficient of r=1.00 and a validity coefficient of r=0.98 apply (Limbu et al., 2020:5; Kamali et al., 2020:5). The researcher employed experts in research and in the measuring of KAP to evaluate the instrument for face and content validity. Their comments were used to improve the instrument. A pre-test of the instrument was conducted. Please refer to 2.2.2 for more information. All necessary changes in the instrument were implemented before the data collection commenced. The researcher trained the research assistants, ensuring that all the respondents were treated in the same manner and that the data was collected similarly (Tappen, 2016:151). The respondents completed the questionnaires independently and at their preferred venues. The researcher and her assistants did not influence them; therefore, the findings were unbiased.



2.3 Research design for Phase 2: development and refinement of strategies to prevent healthcare-associated spread of respiratory infection

2.3.1 Drafting of the strategy

In Phase 2 of the study, the researcher used the findings of Phase 1 and the outcome of a comprehensive literature review to draft a set of strategies to prevent the healthcare-associated spread of the current and future similar outbreaks of respiratory infections.

2.3.1.1 *Methodology of the literature review*

A scoping review is used to identify the divergence in the knowledge base on a specific subject, set research agendas, and identify implications for decision-making (Tricco et al., 2016:1). A scoping review is knowledge synthesis that discourses an exploratory research question aimed at mapping key concepts, types of evidence, and divergences in research related to a defined area or field by systematically searching, selecting, and synthesising existing knowledge (Colquhoun et al., 2014:129).

In this study, the Joanna Briggs Institute (JBI)methodological framework for scoping reviews was used to explore and analyse the literature (Peters et al., 2020:2122).

Title and review question: The title refers to "strategies to prevent the healthcare-associated spread of respiratory infections". The questions that guided the developing inclusion criteria facilitated the literature search, and provided a structure for developing the scoping review:

- a) What knowledge is available to prevent healthcare-associated spread of respiratory infections/pandemics?
- b) What strategies/guidelines/measures focusing on the positive change of KAPs have been researched?



Inclusion criteria: The participants, concept, and context (PCC) framework informed the inclusion and exclusion criteria of articles (Pollock et al., 2021:2107). In this study, the healthcare workers (population), prevention of the healthcare-associated spread of respiratory infections/pandemics (concept) in healthcare facilities (context) applied.

Participants: The inclusion criteria should specify the essential characteristics of the review's participants (Pollock et al., 2021:2107). The researcher searched through the electronic database, such as Science Direct, PubMed, Google, Google Scholar, and the WHO library. The identification of the studies was conducted by searching studies published in English between 2020-2023, using the keywords, such as "strategies, guidelines, measures, prevention, infection prevention and control, healthcare-associated respiratory infections, healthcare acquire respiratory infections, COVID-19, and respiratory pandemics". The assistance of the research librarian was sought to help with the scoping review.

Concepts: The scoping review's main concepts should be explained. Depending on the objective and questions, the concept may include details similar to those in a traditional systematic review, such as interventions, phenomena of interest, or outcomes (Peters et al., 2020:2123). The principal concept in this review is preventing the healthcare-associated spread of respiratory infections. Additional components of the central concept were indicators to prevent healthcare-associated respiratory infections; people responsible for the prevention of the healthcare-associated spread of respiratory infections; resources needed to prevent the healthcare-associated spread of respiratory infections; and the outcome of preventing the healthcare-associated spread of respiratory infections.

Context: A scoping review's context will vary depending on the objective and question. It may include geographic location, social, cultural, or sex-based factors and setting



specifics (Peters et al., 2020:2123). The setting specifics in this review refer to all healthcare facilities, including rural and urban.

Types of evidence sources: A scoping review can include any literature, such as primary studies, systematic reviews, meta-analyses, letters, guidelines, websites, and blogs (Peters et al., 2020:2123). In this study, quantitative, qualitative, and mixed methods research and literature reviews were included. The scoping review includes all documents discoursing the aforementioned review question.

Search strategy: A comprehensive search from all databases was conducted, with the input of a research librarian in designing and refining the search. Clear and detailed documentation of the search strategy was kept enabling the repetition of searches (Peters et al., 2020:2123). An iterative search is envisaged as additional keywords and sources may help the researcher become more familiar with the evidence base. Only research reports and literature reviews published in English were searched.

Evidence screening and selection: The articles were screened first by title and abstract, which mention the keywords "strategies, guidelines, measures, prevention, infection prevention and control, healthcare-associated respiratory infections, nosocomial, healthcare acquire respiratory infections, COVID-19, and respiratory pandemics" in the title and abstract, followed by full texts considering the inclusion criteria. Source selection was piloted and guided by the JBI reviewer's manual for scoping reviews. The researcher then discussed articles with a research colleague to attain consensus. The selection process was narratively described and reflected in a flow diagram as indicated in the Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for Scoping Reviews (PRISMA-ScR) (Peters et al., 2020:2124). The exclusion criteria included articles/studies published in other languages and not the English language and any study that did not report on strategies/guidelines/measures for preventing healthcare-associated spread of respiratory infections/pandemics.



Data extraction: The researcher involved two reviewers in data extraction to reduce the chance of errors and bias. Data extracted from the evidence sources align with the objectives and research question of the scoping review (Peters et al., 2020:2124). A standardised data extraction form was used to ensure consistency and minimise bias. Data extraction in scoping reviews can be an iterative process, often requiring multiple refinements to best meet the objectives and research questions of the scoping review.

Data analysis: A descriptive literature analysis was conducted through basic coding by organising data into categories (Peters et al., 2020:2125). The categories and subcategories of the scoping review were integrated with the findings of Phase 1 as drafted guidelines.

Presentation of results: The presentation of the results in scoping reviews first describes the results of the search strategy, including a PRISMA-ScR flow diagram. The second part provides the results relevant to the question for the review (Peters et al., 2020:2125). The reporting of the scoping review was guided by the standards of the PRISMA-ScR (Tricco et al., 2018:474-482). The scoping review results were presented as a map of the data extracted from the included papers in a tabular form.

2.3.1.2 *Methodology of the drafting of the strategy*

The researcher used the findings of Phase 1 and the outcome of a comprehensive literature review to draft a set of strategies to prevent the healthcare-associated spread of respiratory infections. A descriptive literature analysis was conducted through basic coding by categorising data. The categories and sub-categories of the scoping review were integrated with the findings of Phase 1 as drafted guidelines (Refer to Chapter Four). As the KAP theory and the HBM structured the findings of Phase 1, the researcher used the same theory and model to structure the drafted strategies. The drafted



strategies were compiled to meet the criterion of the AGREE device (Brouwers et al., 2017:7). These aspects are solicited:

- 1. **Scope and purpose of the strategy**: The objective of the strategy and the target population are described in the preamble of the strategy.
- 2. **Stakeholder involvement in developing the strategy:** The target users of the strategy were defined in the preamble.
- 3. The rigour of strategy development: Systematic methods were used to search for evidence. A scoping review using the Joanna Briggs Institute methodological framework for scoping reviews was used to explore and analyse the literature. The findings of a cross-sectional study to determine the KAP of Ghanaian healthcare workers were integrated with the literature in the drafting of the strategy. It is briefly described in the preamble of the strategy.
- 4. **Clarity and presentation of the strategy:** The rationale and actions of the strategy were presented.
- 5. **Applicability of the strategy:** The strategies advise on how the actions can be put.

The editorial independence of the strategy: This aspect is not applicable as it discourses the potential competing interests of funding bodies. The researcher did not use funding from organisations to execute the research and develop the strategy.

2.3.2 Refinement of the strategy

The researcher involved healthcare manager participants in focus group discussions to refine the drafted strategies. A descriptive qualitative study was conducted. The study was descriptive, and the participants' input was used to refine strategies to prevent the healthcare-associated spread of respiratory infections (Polit & Beck, 2014:235).



2.3.2.1 Research method

A descriptive qualitative research approach was followed and focus group interviews were implemented to refine the proposed strategies to prevent the healthcare-associated spread of respiratory infections.

Focus group interviews are used by researchers in the social and behavioural sciences to explore phenomena and are accepted as a qualitative research methodology. The researcher aimed to refine the strategies to prevent the healthcare-associated spread of respiratory infections through the observations and opinions of groups of participants interacting during interviews. The group is 'focused' as it involves a collective activity, such as debating, talking to one another, asking questions of one another, and commenting on others' experiences and points of observation on a phenomenon. Data is generated resulting from discussion among participants to increase the depth of the inquiry and confirm aspects of the phenomenon assumed to be less accessible (Doody et al., 2013:1).

Being qualitative, it emphasises meaning rather than measurement and requires researchers to immerse themselves in the interviews. As members participate in the interviews, similarities and differences are emphasised, and it provides rich information about their range of perspectives and experiences. The researcher was the facilitator and used group dynamics and interaction to acquire data about each action related to the strategy. The researcher, as the primary facilitator of the focus group interviews, guided the interaction to ensure that the discussions remained focused on the refinement of the draft strategies to prevent the healthcare-associated spread of respiratory infections.

2.3.2.2 Study population and sample

The study population for this phase was the managers of the selected healthcare facilities. From each selected healthcare facility, the researcher purposively invited two



managers to participate in refining the strategy. Purposive sampling is a non-probability sampling technique providing for the selection of information-rich cases that can provide information about a specific phenomenon and objective (Grove, 2017:345). The managers were deliberately involved to ensure that realistic strategies and actions were produced, and their involvement in the refinement might lead to better collaboration during future implementation. The sample comprised 10 healthcare managers (two from each healthcare facility).

The researcher approached the healthcare managers of the respective healthcare facilities for assistance in selecting potential manager participants for the focus group interviews. The selected participants were approached telephonically to determine their willingness, availability, and capacity to participate in the current study. A discussion with the co-facilitators of the interviews confirmed their willingness to assist the researcher with field notes during the focus group interviews. The researcher considered up to four focus group interviews during the initial planning of the proposed research, depending on how the research emerges, with 8-10 participants per focus group; however, after two focus group interviews, with five participants in each group, had been conducted, it was decidedly jointly with the study's supervisors that further focus group discussions were unlikely to produce additional information. Only two focus group discussions were conducted. Each focus group comprised managers of the healthcare team.

2.3.2.3 Research setting

The research was conducted in five GHS Facilities in the Ashanti region, such as Kumasi South Hospital (regional hospital), Suntreso Government Hospital, Ejisu Government Hospital, Bekwai Municipal Hospital, and Nkawie Government Hospital. All the selected facilities provided care for COVID-19 patients during the pandemic. Although managers from five healthcare facilities were involved, the focus group interviews were conducted in



two facilities from the five selected facilities. The managers from the other facilities would drive to the Bekwai Municipal Hospital and Kumasi South Hospital.

2.3.2.4 Data collection

The researcher conducted two focus group interviews to refine the strategy and actions to implement the strategy. The interaction between the members of the group encouraged them to express and clarify their observations about the strategy. The researcher assumed that rich, in-depth data could only emerge if she engaged in the interaction process by encouraging group members to express their views, ponder on the topic, and debate any differences constructively.

The discussions focused on the draft strategies formulated by the researcher, based on the results obtained during phase I of the research and a comprehensive literature review. The purpose of the focus groups was to encourage the participants to refine the strategy by commenting on the clarity, applicability, and feasibility of each strategy. Their input in re-formulating the strategy was appreciated.

2.3.2.5 Preparing for the focus group interview

The researcher prepared a draft set of strategies to prevent the healthcare-associated spread of respiratory infections from the findings in Phase 1 and the comprehensive literature review. The draft set of strategies is included in Chapter Five. With the permission of the management of the healthcare facilities, the researcher invited potential participants, explained the research to them, provided them with participant information leaflets (Appendix F), and provided them with adequate time to participate in the research. The researcher arranged a venue and time that would suit the participants of each discussion. It was a challenge to find such a venue and time as the participants were from various hospitals.



The researcher arranged a transportation allowance for all the participants to ease their burden of moving from their locations to the venue. Five focus group interview participants and co-facilitators were identified for Focus Group 1. They included the public health unit manager, a nurse manager, a mental health unit manager, a medical laboratory unit manager, and a paediatric unit manager. Focus Group 2 participants included a medical practitioner, a pharmacy manager, the public health laboratory manager, the Infection prevention and control (IPC) manager, and a senior professional nurse (unit manager). The deputy nurse manager's office at the Bekwai Municipal Hospital and the IPC manager's office at the Kumasi South Hospital were appropriate for privacy, minimum disturbance, and comfort to conduct the focus group interviews.

The researcher contacted the participants to confirm their willingness to participate and provided participants with the draft set of strategies, the participant information leaflet, and the informed consent form. These documents ensured informed consent and informed participants so they could make informed decisions whether they were interested in participating in focus group discussions (Appendix F). The researcher contacted the participants physically and by telephone calls before the data collection. All participants confirmed their willingness to participate. The researcher considered the flexibility of the proposed dates and times and the participants' busy schedules when she ensured that all focus group participants were aware of the estimated duration of each group session (one hour).

A PowerPoint presentation was prepared about the draft strategies for discussion during the focus group interview at healthcare facility one. The researcher confirmed the final arrangements with the healthcare managers and prepared herself mentally on the evening before each focus group interview. She reviewed the draft strategies and practised introducing the focus group interview. She confirmed the PowerPoint presentation for clarity and made sure all supporting documents and seating preparations (pens, snacks, and transportation allowances) were ready (Redmond & Curtis, 2009:60).



The researcher arrived at the venue one hour before the scheduled interview to prepare the venue. She prepared the venue for comfort and privacy to set the tone for open group discussions and debates. Enough chairs were arranged as a 'horseshoe'. Airconditioning was set in advance to provide for a comfortable room temperature. A 'Do not disturb' sign was positioned on the door to ensure minimal disturbance during the interview. A copy of the informed consent and participation leaflet and, a hard copy of the drafted set of strategies, and a pen were also positioned at each seat. Equipment, such as the projector and gadgets for audio recording, were tested.

2.3.2.6 Facilitating a trial focus group interview

A trial focus group interview was conducted at a private healthcare facility in preparation for the data collection. Approval was obtained from the hospital administrator. It was confirmed that the session would serve as a non-research trial. The researcher attempted to simulate the session closely to the research focus group interviews. She planned a replica of the processes at the two facilities. Because of the lessons learnt in conducting the trial focus group interview, the researcher adjusted and refined the process followed during the data collection. The duration of the session was maintained. Participants preferred a hard copy of the PowerPoint presentation to make notes instead of the complete set of draft strategies.

Hard copies of the PowerPoint presentation were, therefore, provided to participants of the research focus group interviews as suggested during the pre-test focus group interview. A request for a structured hard copy from the co-facilitator to make notes was noted, and a document was designed and used accordingly. The researcher's exposure to conducting a focus group and positive feedback from participants of the trial focus group interview strengthened the researcher's confidence in facilitating the subsequent focus group interviews at the two facilities.



2.3.2.7 Facilitating Focus Group Interview 1

The researcher was warmly and friendlily welcomed by the managers on the day of the focus group interview. After confirmation of all participants' attendance, the researcher introduced herself and welcomed all participants. The focus group participants were unacquainted with each other; therefore, introducing the participants was necessary. A brief introduction of the study and the purpose of the focus group interview were presented. Informed consent was discussed, and the documents were signed and handed to the researcher. A demographic information leaflet was also provided to participants to complete. Opportunity for questions was provided, and the group set rules, discussed, and agreed.

The role of the co-facilitator was clarified, and the process of refinement of the strategy was explained. The researcher used a co-facilitator to manage logistic arrangements, write field notes, and ensure that the discussions were audio-recorded with the participants' permission. The researcher facilitated the discussion. She ensured that all participants felt comfortable and felt free to participate. She did not allow them to interrupt the discussion and acknowledged the contribution of everyone. A PowerPoint presentation was used to facilitate the discussion and revise and refine strategies with actions individually. Participants were provided with a hard copy of the PowerPoint presentation and were encouraged to make notes on the document during the discussion.

Each strategy with actions was analysed and debated comprehensively. The participants evaluated every draft strategy, rephrased it, removed it if it was not applicable, and appreciated it when it appeared appropriate. All group members agreed on changes before moving to the next strategy. The participants remained focused and provided inputs and suggestions for changes. Some participants engaged more actively in the discussions than others, but all members provided inputs at some stage of the group



session. The researcher briefly summarised the discussion to conclude the session and allowed opportunities for questions. All participants were thanked for participating and requested to leave their documents with notes on their desks when the meeting ended. The duration of the session was 57 minutes. An appreciation was sent to all participants following the meeting through phone calls and emails.

The researcher prepared a new, refined set of strategies for the focus group at the next facility two days later. The refined strategy set acknowledged the input of Focus Group 1. The researcher considered inputs from the focus group interview and the notes made by participants during the Focus Group Interview 1. Changes were made as suggested by the group, grammar errors were corrected, some words were rephrased, and additional actions were added. Field notes, compiled by the co-facilitator, were considered.

2.3.2.8 Facilitating Focus Group Interview 2

Permission to conduct focus group interviews was obtained from the healthcare facility before the commencement of Phase 1 of the study (Appendix B). The participants were approached, such as during the preparation phase of Focus Group 1. The refined strategies were discussed and debated individually, and changes were made from the participants' inputs. This focus group discussion lasted one hour. A final set of strategies to prevent the healthcare-associated spread of respiratory infections was formulated by implementing the recommendations of the focus group participants.

2.3.2.9 *Managing field notes*

The field notes were a primary data source for data analysis. A co-facilitator took detailed notes of the inputs of various participants during the focus group interviews. Focus group participants were provided with copies of the PowerPoint presentation and were encouraged to make notes and comments during the discussions. They were requested to hand their notes to the researcher upon completion of the focus group discussion.



Notes were taken on the reformulation, adding, or removing statements from the draft set of strategies. The researcher revised the field notes and clarified that the notes were legible and that they made sense. All notes were anonymised by removing the participants' names from the notes. A summary was prepared by combining the researcher's, the co-facilitators, and the focus group participants' notes into one document to serve as a baseline database for the data analysis after the completion of Focus Group Interview 1. A second summary was prepared following Focus Group Interview 2 (Appendix H).

2.3.2.10 Data organisation and analysis

Group dynamics should be considered when analysing the data to ensure accurate interpretations of individual statements and observations within the broader influence of other focus group participants (Gill et al. 2008:294). It is recommended to analyse data as soon as possible after each focus group discussion to prevent data from being influenced or distorted by subsequent focus group interviews (Krueger & Casey, 2015:232). Field notes are important data sources (Krueger & Casey, 2015:235). Note-based data analysis was used in the current study using field notes as a primary source to refine the strategy to prevent healthcare-associated respiratory infections.

Data collection and analysis are simultaneous processes (Krueger & Casey, 2015:223). The researcher analysed the data by suggesting changes and statements during the debates among the focus group participants to conclude with final statements as refined statements per strategy. She reflected on views, enquired for more opinions, and opened debates when opinions changed.

The data analysis of Focus Group Interview 1 proceeded on the evening after the focus group interview. The researcher perused the field notes to obtain an overview of the suggested refined statements from the participants' inputs. The researcher compared



and considered the notes of the co-facilitator, the participants, and her own. Most notes were similar, presenting the concluded refined statements agreed by the participants. The researcher provided extra attention to any notes different from the agreed statements. She considered the unique group dynamics and individual contributions within the group and drafted a refined set of strategies for consideration and further refinement during Focus Group Interview 2. She provided copies of the revised strategy to participants of Focus Group 2. The same process followed Focus Group Interview 2, and a final set of strategies to prevent the healthcare-associated spread of respiratory infections were formulated. The focus group interview data were much simpler, concise, and focused on the topic. Minimum new data were collected. As proof of the refinement of the strategy, an audit trail was kept. The input of each focus group was considered on the audit trail. The result of the analysis was a final set of strategies to prevent the healthcare-associated spread of respiratory infections.

2.3.2.11 Ensuring the trustworthiness of the findings

The **credibility** of findings refers to the 'truth value' of the data and how the researcher interprets it (Polit & Beck, 2018:295). The researcher collected the data over two focus group interviews with participants from five healthcare services. She actively involved the participants in revising the strategy and associated actions. Discussions continued until a consensus was reached.

Dependability refers to the stability of data over time and conditions. It is similar to the concept of reliability in quantitative research (Polit & Beck, 2018:295). The researcher comprehensively described the data collection and analysis processes, providing others with detailed information that served as an audit trail.

Confirmability is how researchers try not to influence data interpretation (Polit & Beck, 2018:295). Qualitative researchers ensure that the findings represent the information the



participants provide (Brown et al., 2015:831). The participants refined the strategy and associated actions during the interviews. Their input was, therefore, reflected in the refined set of strategies and activities and not that of the researcher.

The **transferability** of findings refers to the extent to which it has "applicability in other settings or groups" (Polit & Beck, 2018:295). The researcher thoroughly describes the sampling process, the sample demographics, and the study context.

Authenticity refers to the extent to which the researcher "fairly and faithfully shows a range of different realities" (Polit & Beck, 2018:295). The researcher described the processes, so others acquired an understanding of the participants' input in refining the strategy.

2.4 Ethical considerations of the study

The Research Ethics Committee of the Faculty of Health Sciences at the University of Pretoria approved the proposal (357/2022) (<u>Appendix A</u>). The ethical clearance letter was sent to the GHS Ashanti Region Health Directorate to pursue permission to conduct the study. The researcher also obtained permission from the management of the selected healthcare facilities before sampling commenced (Appendix B).

The principles of the Belmont Report were also considered. She respected the respondents' right to freedom from harm and discomfort, to protect them from exploitation fair treatment, voluntary participation in the study, to withdraw without negative consequences, and to the confidential treatment of information (Polit & Beck, 2018:79-84). Please refer to the description of data collection for both phases for a description of applying the ethical principles in the study's methodology.



2.5 Chapter summary

The researcher provides a detailed description of the paradigmatic perspective, theoretical framework, and the research methodology used to conduct the study. The KAP theory and the HBM are discussed as models for studying human behaviour and attitudes/beliefs. The research methodology, including the design, setting, population, sampling, data collection, and data analysis, are discussed. Further elaboration on the claim about rigour, trustworthiness, and ethical consideration guiding the study is outlined. Chapter Three presents the findings of Phase 1 of the study.



CHAPTER THREE PRESENTATION OF FINDINGS OF PHASE 1 OF THE STUDY

3.1. Introduction

This chapter presents the findings of the healthcare workers' knowledge, attitudes, and practices towards COVID-19. In the previous chapter, the research methodology is discussed. Data analysis was conducted by using the SPSS Version 23.

The demographic characteristics are compared with the level of KAP scores using the Chi-square test. A 95% confidence interval (CI) was used to quantify the strength of the association between sociodemographic characteristics and KAP scores of healthcare workers. The level of significance was set at P < 0.05 (two-sided).

3.2 Study findings

3.2.1 Demographic characteristics of participants

The number of healthcare worker participants from each facility and their mean age, gender distribution, academic qualification, specialisation, years of experience, and source of information regarding COVID-19 are presented in Table 3.1.

Table 3.1: Demographic characteristics of 373 healthcare workers in the study

Characteristics	No. of respondents	Percentage (%)
Age		Mean :30.96, SD :6.25
• 20-39	327	87.7
• 40-49	43	11.5
• ≥50	3	0.80



Characteristics	No. of respondents	Percentage (%)
Gender		
• Male	102	27.34
• Female	271	72.66
Marital Status		
 Married 	162	43.43
• Single	205	54.96
Separated	6	1.61
Place of work		
Bekwai Municipal	75	20.11
Hospital		
 Ejisu Government 	73	19.57
Hospital		
Kumasi South	75	20.11
Hospital		
Nkawie Government	76	20.37
Hospital		
Suntreso Government	74	19.84
Hospital		
Occupation/Specialisation		
• Doctor	17	4.6
• Nurse	201	53.9
Midwife	82	21.9
Allied healthcare	56	15.0
professional	17	4.6
Maintenance staff		
Duration of experience		
Less than two years	127	34.05



Characteristics	No. of respondents	Percentage (%)
• 2-5 years	143	38.3
Greater than 5 years	103	27.6
Religion		
 Christianity 	334	89.54
• Islam	38	10.19
Traditional	1	0.27
Highest level of education		
 Masters 	14	3.75
Bachelor	126	33.78
• Diploma	191	51.21
Certificate	42	11.26

Table 3.1 illustrates the main sociodemographic characteristics of the study participants. Most participants were female, n=271 (72.66%), with a mean age of 30.96 (SD:6.25) years. 54.96% of the participants were single, n=162(43.43%) were married, and only 1.61% were separated. Most participants who completed the questionnaire were from Nkawie Government Hospital n=76 (20.37%), with Ejisu Government Hospital recording the lowest number, indicating n=73(19.57%). Most of the participants were nurses n=201 (53.9%); 34% of the healthcare workers had less than two years of working experience, and a majority (89.54%, n=334) were Christian. Most participants held diplomas (51.21%, n=191).



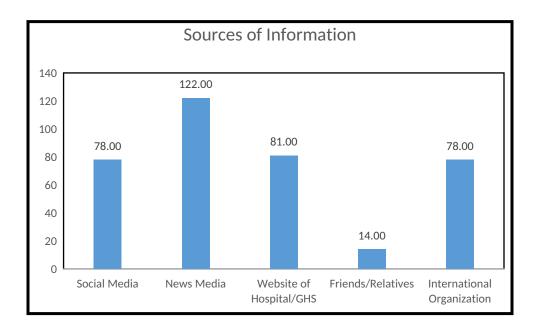


Figure 3.1: Healthcare workers source of information on COVID-19

Most healthcare workers received information regarding COVID-19 from the news media (32.7%), n=122, and 21.7% reported they obtained their information from the website of their hospital or the GHS. Others remarked on social media (20.9%) n=78 and international organisations, such as the WHO (20.9%), n=78. Few reported receiving information from friends and relatives (3.8%) n=14. The study findings regarding healthcare workers obtaining information from social media, news media, and friends are not according to a study in Ethiopia where 79% of the HCWs received information from social media, 52% from the news media, and 17.3% from colleagues (Bitew et al., 2021:4).

3.2.2 Findings relating to the first research question

The first research question is:

1) What is known about the knowledge, attitudes, and preventive practices towards COVID-19 among healthcare workers in the Ashanti region of Ghana?



The first research objective was:

To describe the knowledge-level of healthcare workers regarding COVID-19 in the Ashanti region, Ghana.

Table 3.2: Knowledge category

	Frequency	Percentage	Cumulative
			percentage
Limited knowledge	12	3.2	3.2
Good knowledge	320	85.8	89.0
Excellent knowledge	41	11.0	100.0
Total	373	100.0	

 Table 3.3:
 Healthcare workers' responses to the knowledge questions

NO	Statement	Correct responses %
K1	A virus causes COVID-19.	99.2 (n=370) agree/strongly
		agree
K2	COVID-19 is new and has never been recorded before 2019.	82.9 (n=309) agree/strongly
		agree
К3	The incubation period of the disease may be up to 14 days.	94.1 (n=351) agree/strongly
		agree
K4	The main symptoms of COVID-19 are cough, fever,	96.8 (n=361) agree/strongly
	headache, tiredness, and difficulty breathing.	agree
K5	A person may have COVID-19 yet display no symptoms.	91.2 (n=340) agree/strongly
		agree



NO	Statement	Correct responses %
K6	COVID-19 is transmitted through respiratory droplets and	97.9 (n=365) agree/strongly
	contact with infected persons.	agree
K7	COVID-19 transmission by animal products is unknown.	63.0 (n=235) agree/strongly
		agree
K8	COVID-19 is transmitted mainly through the air.	7.5 (n=28) disagree/strongly
		disagree
K9	The disease transmission from asymptomatic persons is	92.2 (n=344) agree/strongly
	possible.	agree
K10	Pets may be infected, and transmission from pets is unknown.	59.5 (n=222) agree/strongly
		agree
K11	The main method of diagnosis is the rRT-PCR test.	79.6 (n=297) agree/strongly
		agree
K12	The main method of diagnosis is the antibody kit.	13.9 (n=52) agree/strongly
		agree
K13	COVID-19 can be treated.	93 (n=347) agree/strongly
		agree
K14	Suspected persons with COVID-19 must go into self-isolation	98.4 (n=367) agree/strongly
	for 14 days.	agree
K15	Confirmed cases of COVID-19 are often quarantined.	97.3 (n=363) agree/strongly
		agree
K16	Antibiotics are the first line of treatment.	10.7 (n=40)
		disagree/strongly disagree
K17	Persons with fundamental condition disease are at increased	95.7 (n=357) agree/strongly
	risk of severe cases and death.	agree
K18	COVID-19 can be prevented by regular wearing of face	93.8 (n=350) agree/strongly
	masks.	agree
K19	COVID-19 can be prevented by frequent washing of hands	96.5 (n=360) agree/strongly
	with soap and water.	agree
K20	Using alcohol-based hand sanitisers prevents COVID-19.	



NO	Statement	Correct responses %
		93.0 (n=347) agree/strongly
		agree
K21	70% alcohol kills the virus.	72.1 (n=269) agree/strongly
		agree
K22	Chlorhexidine kills the virus.	19.3 (n=72)
		disagree/strongly disagree
K23	keeping a distance of at least 1 metre (3 feet) prevents	91.4 (n=341) agree/strongly
	contracting the disease.	agree
K24	Maintaining even a greater distance between yourself and	86.6 (n=323) agree/strongly
	others when indoors can prevent contracting COVID-19.	agree
K25	There is a vaccine for COVID-19.	97.1 (n=362) agree/strongly
		agree

The participants who scored 80%-100% on the knowledge questions were considered having very good knowledge, 60%-79% had good knowledge, and a score less than 60% with limited knowledge.

Findings from the study revealed that 11% had excellent knowledge, and a few (3.2%) had limited knowledge of COVID-19. They obtained less than 60% on the knowledge questions. Most participants, indicating 85.8%, had good knowledge of COVID-19. The result is comparable with three studies conducted in Nigeria, where the healthcare worker participants' knowledge of COVID-19 was 88.75%, 83.7%, and 82.4% respectively (Ejeh et al., 2020:1; Tisga-Ahmed et al., 2021:1; Abene et al., 2021:1). Two studies were also conducted in Ethiopia of which the researchers recorded good knowledge of COVID-19 among their healthcare worker participants (Jemal et al., 2021:4; Alemu et al., 2022:1).

The study findings compared well with results of studies conducted in Pakistan, Nigeria, and Nepal, recording that 75.5%, 78.6%, and 76% of the healthcare worker participants had good knowledge of COVID-19, respectively (Salman et al., 2020:1; Ayinde et al.,



2020:10; Tamang et al., 2020:5). The findings of the present study about good knowledge of COVID-19 were low compared to three studies conducted in Pakistan. The studies reported 94.8%, 93.2%, and 90% of good knowledge of their healthcare worker participants, respectively (Malik et al., 2020:6; Saqlain et al., 2020:1; Hussain et al., 2021:444).

Another survey conducted in Vietnam recorded that 91.3% of their healthcare worker participants had good knowledge of COVID-19 (Tien et al., 2021:1). Conversely, the percentage of healthcare worker participants of this study who obtained good marks for their understanding of COVID-19 is much higher than that of studies conducted in Thailand, Nepal, and Ethiopia. The three studies recorded that 58%, 45.7%, and 40.5% of the healthcare worker participants displayed good knowledge of COVID-19, respectively (Kunno et al., 2022:3; Ghimire et al., 2020:2; Fetansa et al., 2021:3).

The differences in the knowledge level of the participants in the mentioned studies may relate to most healthcare workers having had relevant training on COVID-19, whereas others received no training before the studies were conducted.

The second research objective was:

To describe the attitude of healthcare workers towards COVID-19 in the Ashanti region, Ghana.

Table 3.4: Attitude category

	Frequency	Percentage	Cumulative
			percentage
Poor attitude	83	22.3	22.3



Good attitude	211	56.6	78.9
Excellent attitude	79	21.1	100.0
Total	373	100.0	

Table 3.5: Healthcare workers' responses to the attitude questions

NO.	Statements	Correct responses %
A1	I fear working in a hospital when COVID-19	38.1 (n=142) disagree/strongly
	patients are admitted.	disagree
A2	I fear caring for COVID-19 patients.	42.2 (n=158) disagree/strongly
		disagree
А3	I believe that I may transmit the disease to my	78.0 (n=291) agree/strongly agree
	family.	
A4	Healthcare workers become infected at a high	10.2 (n=38) disagree/strongly
	rate, even with adequate protection.	disagree
A5	I must report to my facility if I become infected	93.8 (n=350) agree/strongly agree
	with COVID-19.	
A6	I must stay home and self-isolate when	97.9 (n=365) agree/strongly agree
	presented with minor symptoms, such as cough,	
	headache, and mild fever, until I recover.	
A7	I should be responsible for ensuring that people	98.4 (n=367) agree/strongly agree
	around me follow good respiratory hygiene, use	
	of face mask, proper disposal of used tissue and	
	social distancing.	
A8	Health education programmes are effective for	98.4 (n=367) agree/strongly agree
	better control of COVID-19.	
A9	The COVID-19 Pandemic will be successfully	87.9 (n=328) agree/strongly agree
	controlled by the current guidelines and vaccine.	

The participants who scored 80%-100% on the attitude questions were considered having an excellent attitude towards COVID-19, 60%-79% were supposed to have a



good attitude towards COVID-19, and a score less than 60% was considered a poor attitude towards COVID-19.

The study revealed that 56.6% of the healthcare worker participants had good attitudes towards COVID-19. This finding compares well with that of two studies conducted in Nepal, where 53% and 54% of good attitudes were recorded (Limbu et al., 2020:1; Tamang et al., 2020:1). In another survey conducted in India, over 50% of the healthcare worker participants had good attitudes towards COVID-19 (Kumar et al., 2021:3). The finding is low compared to three studies conducted in Ethiopia. Their findings indicated that 84%, 85%, and 84.2% of the participants respectively portrayed good attitudes towards COVID-19 (Gopalakrishnan et al., 2021:4; Alemu et al., 2022:4; Yesse et al., 2021:7).

The difference in the healthcare worker participants' attitudes could be owing to variances in the study setting, the timeframe of the study, and the cut-off points for the attitude scores.

The third research objective was:

To describe the practices of healthcare workers towards preventing the spread of COVID -19 in the Ashanti region, Ghana.

Table 3.6: Practice categories

	Frequency	Percentage	Cumulative
			percentage
Poor practices	92	24.7	24.7
Acceptable practices	98	26.3	51.0
Excellent practices	183	49.0	100.0



	Frequency	Percentage	Cumulative
			percentage
Total	373	100.0	

Table 3.7: Healthcare workers' responses to the practice questions

			Re	sponses
NO.	Statements	YES (%)	NO (%)	Lack of equipment/facility
				(%)
P1	Do you have access to the PPE that	59	23.3	17.7
	protects you for the appropriate setting	N=220	N=87	N=66
	and context?			
P2	Have you been trained on donning	70	27.3	2.7
	and discarding of PPEs?	N=261	N=102	N=10
Р3	Do you use PPE when you visit a	78.3	13.7	8
	susceptible patient?	N=292	N=51	N=30
P4	Do you wear a surgical face mask	73.5	22.5	4
	when doing the usual physical	N=274	N=84	N=15
	examination?			
P5	Do you wear the N95 face mask when	57.1	30.3	12.6
	doing aerosol-generating procedures?	N=213	N=113	N=47
P6	Do you test the N95 mask before	42.9	8.6	48.5
	using it?	N=160	N=32	N=181
P7	Do you dispose of your gloves and	79.1	4.3	16.6
	aprons after a single use on each	N=295	N=16	N=62
	patient contact?			
P8	Do you use your fluid-repellent	56.0	30.8	13.2
	surgical mask and eye protection for a	N=209	N=115	N=49
	session of work or other than a single			
	patient?			
P9		76.9	15.3	7.8



			Re	sponses
	Do you wear your gowns or coveralls	N=287	N=57	N=29
	for a work session in higher-risk			
	areas?			
P10	Do you disinfect linen/bedding used	90.3	9.4	0.3
	by patients infected with COVID-19	N=337	N=35	N=1
	before sending them to the laundry?			
P11	Do you wash your hands with soap	96.2	3.8	
	and running water after a physical	N=359	N=14	
	encounter with patients?			
P12	Do you wash your hands for at least	90.9	9.1	
	20 seconds?	N=339	N=34	
P13	Do you use a paper towel or towel to	79.9	17.2	2.9
	turn off the faucet after washing your	N=298	N=64	N=11
	hands?			
P14	Do you regularly clean your hands	94.9	4.6	0.5
	with alcohol-based sanitiser?	N=354	N=17	N=2
P15	Are you able to maintain at least 1	81	18.2	0.8
	metre (3 feet) distance between	N=302	N=68	N=3
	yourself and others?			
P16	Are you able to isolate susceptible	83.9	14.2	1.9
	patients as soon as they arrive?	N=313	N=53	N=7
P17	Do you recommend home isolation to	80.4	19.6	
	anyone who encounters a patient	N=300	N=73	
	infected with COVID-19?			

Participants who scored 80%-100% on the questions regarding their practices towards preventing the spread of COVID-19 were considered having excellent practices; 60%-79% were considered acceptable practices, and scores less than 60% were considered poor practices. Less than 50% of the healthcare worker participants had excellent



practices towards COVID-19 prevention in the Ashanti Region. In a similar study in Uganda, only 37% of the healthcare worker participants had good practices towards preventing the spread of the coronavirus disease (Kamacooko et al., 2021:1).

Much higher scores were identified in studies in China, Saudi Arabia, and Yemen, where 89.7%, 82%, and 87.7% of the healthcare worker participants had good practices towards COVID-19 prevention (Zhang et al., 2020:186; Bashir et al., 2021:5; Alrubaiee et al., 2020:1). In the current study, most of the healthcare worker participants who exhibited poor preventive practices, indicated that a lack of PPE and few isolation facilities in the Ashanti region were to be blamed.

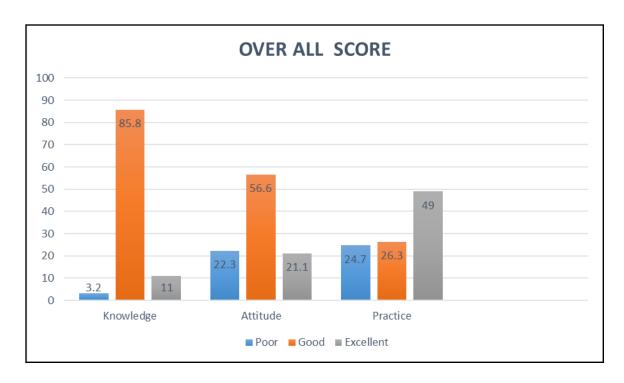


Figure 3.2: The KAP Score of COVID-19 among healthcare worker participants in Ashanti



The fourth research objective was:

To determine the relationship between the healthcare workers' gender, age, religion, marital status, highest level of education, duration of the experience at work, occupation (specialisation) and place of work and their knowledge, attitudes, and practices regarding COVID-19.

Table 3.8: Knowledge of COVID-19 among healthcare worker participants in the Ashanti region by demographic characteristics

			Knowledge so	core			
Variables			Limited knowledge	Good knowledge	Excellent knowledge		
		F (%)	N (%)	N (%)	N (%)	d/f	Value
Age	20-39	327 (87.7)	11 (2.9)	284 (76.1)	32 (8.6)	1	0.04
	40-49	43 (11.5)	1 (0.3)	34 (9.1)	8 (2.1)		
	≥50	3 (0.8)	0 (0.0)	2 (0.54)	1 (0.3)		
Gender	Male	102 (27.3)	3 (0.8)	86 (23.1)	13 (3.5)	1	0.515
	Female	271 (72.6)	9 (2.4)	234 (62.7)	28 (7.5)		
Marital status	Married	162 (43.4)	3 (0.8)	140 (37.5)	19 (5.1)	1	0.087
Status	Single	205 (54.9)	9 (2.4)	176 (47.2)	20 (5.4)		
	Separated	6 (1.61)	0 (0.0)	4 (1.1)	2 (0.5)		
		75 (20.1)	1 (0.3)	66 (17.6)	8 (2.1)	8	0.795



Nurse 201 (53.9) 9 (2.4) 175 (49.6) 7 (1.8) Specialisati on Allied 56 (15.0) 1 (0.3) 45 (12.1) 10 (2.7) healthcare profession al Maintenan 17 (4.6) 1 (0.3) 15 (4.0) 1 (0.3)				Knowledge sco	re			
Hospital Ejisu	ce of	Bekwai						
Ejisu 73 (19.6) 3 (0.8) 60 (16.1) 10 (2.7)	k I	Municipal						
Covernme nt Hospital		Hospital						
Nt Hospital Kumasi 75 (20.1) 4 (1.0) 65 (17.4) 6 (1.6)		Ejisu	73 (19.6)	3 (0.8)	60 (16.1)	10 (2.7)		
Kumasi 75 (20.1) 4 (1.0) 65 (17.4) 6 (1.6)		Governme						
South Hospital Nkawie 76 (20.4) 3 (0.8) 65 (17.4) 8 (2.1)	1	nt Hospital						
Hospital Nkawie 76 (20.4) 3 (0.8) 65 (17.4) 8 (2.1)		Kumasi	75 (20.1)	4 (1.0)	65 (17.4)	6 (1.6)		
Nkawie 76 (20.4) 3 (0.8) 65 (17.4) 8 (2.1) Governme nt Hospital Suntreso 74 (19.8) 1 (0.3) 64 (17.1) 9 (2.4) Occupation It Hospital Doctor 17 (4.6) 0 (0.00) 12 (3.2) 5 (1.3) 8 Nurse 201 (53.9) 9 (2.4) 175 (49.6) 7 (1.8) Specialisati On Midwife 82 (21.9) 1 (0.3) 73 (19.6) 8 (2.8) On Allied 56 (15.0) 1 (0.3) 45 (12.1) 10 (2.7) healthcare profession al Maintenan 17 (4.6) 1 (0.3) 15 (4.0) 1 (0.3)	:	South						
Governme nt Hospital Suntreso Governme nt Hospital Occupation Nurse 201 (53.9) Specialisati On Allied Healthcare profession al Maintenan 17 (4.6) 1 (0.3) 1 (0.3) 64 (17.1) 9 (2.4) 8 (17.1) 9 (2.4) 12 (3.2) 5 (1.3) 8 (1.8) 7 (1.8) 8 (2.8) 10 (2.7)		Hospital						
nt Hospital Suntreso 74 (19.8) 1 (0.3) 64 (17.1) 9 (2.4)		Nkawie	76 (20.4)	3 (0.8)	65 (17.4)	8 (2.1)		
Suntreso 74 (19.8) 1 (0.3) 64 (17.1) 9 (2.4)		Governme						
Governme nt Hospital	1	nt Hospital						
Int Hospital Doctor 17 (4.6) 0 (0.00) 12 (3.2) 5 (1.3) 8 Image: I		Suntreso	74 (19.8)	1 (0.3)	64 (17.1)	9 (2.4)		
Occupation Doctor 17 (4.6) 0 (0.00) 12 (3.2) 5 (1.3) 8 Image: Approximation of the profession al mathematics and profession al mathematics. Midwife along the profession al mathematics. 0 (0.00) 12 (3.2) 5 (1.3) 8 Image: Approximation of the profession al mathematics. Midwife along the profession al mathematics. 1 (0.3) 45 (12.1) 10 (2.7) Image: Approximation of the profession al mathematics. Maintenan along the profession		Governme						
Nurse 201 (53.9) 9 (2.4) 175 (49.6) 7 (1.8) Specialisati on Allied 56 (15.0) 1 (0.3) 45 (12.1) 10 (2.7) healthcare profession al Maintenan 17 (4.6) 1 (0.3) 15 (4.0) 1 (0.3)	1	nt Hospital						
Specialisati on	upation	Doctor	17 (4.6)	0 (0.00)	12 (3.2)	5 (1.3)	8	0.098
On Allied 56 (15.0) 1 (0.3) 45 (12.1) 10 (2.7) healthcare profession al Maintenan 17 (4.6) 1 (0.3) 15 (4.0) 1 (0.3)		Nurse	201 (53.9)	9 (2.4)	175 (49.6)	7 (1.8)		
healthcare profession al Maintenan 17 (4.6) 1 (0.3) 15 (4.0) 1 (0.3)	cialisati	Midwife	82 (21.9)	1 (0.3)	73 (19.6)	8 (2.8)		
profession al Maintenan 17 (4.6) 1 (0.3) 15 (4.0) 1 (0.3)	,	Allied	56 (15.0)	1 (0.3)	45 (12.1)	10 (2.7)		
Al	1	healthcare						
Maintenan 17 (4.6) 1 (0.3) 15 (4.0) 1 (0.3)		profession						
		al						
		Maintenan	17 (4.6)	1 (0.3)	15 (4.0)	1 (0.3)		
ce staff	(ce staff						
Duration of Less than 127 (34.1) 5 (1.3) 108 (28.9) 14 (3.7) 1	ation of	Less than	127 (34.1)	5 (1.3)	108 (28.9)	14 (3.7)	1	0.293
experience two years	erience	two years						
2-5 years 143 (38.3) 5 (1.3) 126 (33.7) 12 (3.2)	:	2-5 years	143 (38.3)	5 (1.3)	126 (33.7)	12 (3.2)		
103 (27.6) 2 (0.5) 86 (23.0) 15 (4.0)			103 (27.6)	2 (0.5)	86 (23.0)	15 (4.0)		



			Knowledge	score			
	Greater than five years						
Religion	Christianity	334 (89.5)	12 (3.2)	291 (78.0)	31 (8.3)	1	0.002
	Islam Traditional	38 (10.2) 1 (0.3)	0	28 (7.5)	10 (2.7)		
Highest	Masters	14 (3.8)	0	12 (3.2)	2 (0.5)	1	0.299
level of education	Bachelor	126 (33.8)	5 (1.3)	103 (27.6)	18 (4.8)		
	Diploma	191 (51.2)	6 (1.6)	168 (45.0)	17 (4.6)		
	Certificate	42 (11.3)	1 (0.3)	37 (10.0)	4 (1.0)		
Source of information	Social media	78 (20.9)	5 (1.3)	67 (17.9)	6 (1.6)	8	0.105
	News media	122 (32.7)	3 (0.8)	107 (28.7)	12 (3.2)		
	Website of hospital/G	81 (21.7)	0	70 (18.7)	11 (2.9)		
	Friends/ Relatives	14 (3.8)	2 (0.5)	11 (2.9)	14 (3.7)		
	Internation al health organizatio n	78 (20.9)	2 (0.5)	65 (17.4)	78 (20.9)		

In the multivariate analysis, age and religious affiliation of the healthcare worker participants were strongly associated with good knowledge of COVID-19 (p=0.004)



(p=0.002). This finding follows a study in Nigeria, where the knowledge score among the religious group was (0.019) (Ejeh et al., 2020:3). Another study in Ethiopia established that the age of the healthcare worker participants was also a factor associated with their knowledge level regarding the coronavirus disease (Bitew et al., 2021:4). Although some studies reported place of work, level of education, specialisation and, source of information having a solid association with healthcare worker participants' knowledge level regarding COVID-19 (Kassie et al., 2020:9; Yesse et al., 2021:4), there was no significantly statistically association between marital status, place of work, occupation/specialisation, duration of experience, source of information of the healthcare worker participants and their knowledge level of COVID-19 in this study.



Table 3.9: Attitude of healthcare worker participants towards COVID-19 by demographic characteristics

Variables		Attitude score							
			Poor	Good	Excellent				
			attitude	attitude	attitude				
		F (%)	N (%)	N (%)	N (%)	d/f	Value		
Age	20-39	327 (87.7)	77 (20.6)	181 (48.5)	69 (18.5)	4	0.361		
	40-49	43 (11.5)	6 (1.6)	27 (7.2)	10 (2.7)				
	≥50	3 (0.8)	0 (0.0)	3 (0.8)	0 (0.0)	_			
Gender	Male	102 (27.3)	20 (5.4)	59 (15.8)	23 (6.2)	1	0.471		
	Female	271 (72.6)	63 (16.9)	152 (40.8)	56 (15.0)				
Marital	Married	162 (43.4)	30 (8.0)	88 (23.6)	44 (11.8)	1	0.008		
status	Single	205 (55.0)	52 (13.9)	120 (32.2)	33 (8.8)				
	Separat	6 (1.6)	1 (0.3)	3 (0.8)	2 (0.5)				
	ed								
Place of	Bekwai	75 (20.1)	14 (3.7)	38 (10.2)	23 (6.2)	8	0.57		
work	Municip								
	al								
	Hospita								
	1								
	Ejisu	73 (19.6)	15 (4.0)	41 (11.0)	17 (4.6)				
	Govern								
	ment								
	Hospita								
	1								
	Kumasi	75 (20.11)	19 (5.1)	37 (9.9)	19 (5.1)				
	South								



Variables		Attitude score								
			Poor	Good	Excellent					
			attitude	attitude	attitude					
	Hospita									
	1									
	Nkawie	76 (20.4)	13 (3.5)	51 (13.7)	12 (3.2)	1				
	Govern									
	ment									
	Hospita									
	1									
	Suntres	74 (19.8)	22 (5.9)	44 (11.8)	8 (2.8)	1				
	О									
	Govern									
	ment									
	Hospita									
	1									
Occupatio	Doctor	17 (4.6)	1 (0.3)	10 (2.7)	6 (1.6)	8	0.336			
n/	Nurse	201 (53.9)	47 (12.6)	116 (31.0)	38 (10.1)	1				
Specialisa	Midwife	82 (21.9)	16 (4.3)	45 (12.1)	21 (5.6)	1				
tion	Allied	56 (15.0)	12 (3.2)	33 (8.8)	11 (2.9)	1				
	healthc									
	are									
	professi									
	onal									
	Mainte	17 (4.6)	7 (1.8)	7 (1.8)	3 (0.8)					
	nance									
	staff									
Duration	Less	127 (34.1)	32 (8.6)	77 (20.6)	18 (4.8)	1	0.03			
of	than									



Variables	Attitude score							
			Poor	Good	Excellent			
			attitude	attitude	attitude			
Experienc	Two							
е	years							
	2-5	143 (38.3)	34 (9.1)	81 (21.7)	28 (7.5)			
	years							
	Greater	103 (27.6)	17 (4.6)	53 (14.2)	33 (8.8)			
	than 5							
	years							
Religion	Christia	334 (89.5)	73 (19.6)	188 (50.4)	73 (19.6)	1	0.378	
	nity							
	Islam	38 (10.2)	10 (2.7)	22 (5.9)	6 (1.6)			
	Traditio	1 (0.3)	0	6 (1.6)	0			
	nal							
Highest	Master	14 (3.8)	1 (0.3)	7 (1.8)	6 (1.6)	6	0.369	
level of	S							
education	Bachel	126 (33.8)	25 (6.7)	73 (19.6)	28 (7.5)			
	or							
	Diplom	191 (51.2)	45 (12.1)	109 (29.2)	37 (9.9)			
	a							
	Certific	42 (11.3)	12 (3.2)	22 (5.9)	8 (2.1)			
	ate							
Source of	Social	78 (20.9)	18 (4.8)	44 (11.8)	16 (4.3)	8	0.94	
informatio	media							
n	News	122 (32.7)	36 (9.7)	57 (15.3)	29 (7.8)			
	media							
		81 (21.7)	9 (2.4)	55 (14.7)	17 (4.6)			



Variables	Attitude score							
			Poor	Good	Excellent			
			attitude	attitude	attitude			
	Websit							
	e of							
	hospital							
	/GHS							
	Friends	14 (3.8)	4 (1.1)	8 (2.8)	2 (0.5)			
	1							
	relative							
	Internat	78 (20.9)	16 (4.3)	47 (12.6)	15 (4.0)			
	ional							
	health							
	organiz							
	ation							

Healthcare worker participants with working experience of two years and above had good attitudes towards COVID-19 (p=0.03). There was no significant relation between the healthcare worker participants' age, place of work, occupation/specialisation, level of education, source of information, and attitude towards COVID-19. A significant statistical association between healthcare worker participants and their marital status exists (p=0.008). Excellent attitude regarding COVID-19 was established among married healthcare worker participants.



Table 3.10: Practice of healthcare worker participants towards preventing the spread of COVID-19 infections by demographic characteristics

Characteri							
stics							
			Poor	Acceptable	Excellent		
			practices	practices	practices		
		F (%)	N (%)	N (%)	N (%)	d/f	Value
Age	20-39	327 (87.7)	82 (22.0)	93 (24.9)	152 (40.8)	4	0.037
	40-49	43 (11.5)	9 (2.4)	5 (1.3)	29 (7.8)		
	≥50	3 (0.8)	1 (0.3)	0 (0.0)	2 (0.5)		
Gender	Male	102 (27.3)	15 (4.0)	27 (7.2)	60 (16.1)	1	0.005
	Female	271 (72.6)	77 (20.6)	71 (19.0)	123 (33.0)		
Marital	Married	162 (43.4)	43 (11.5)	36 (9.7)	83 (22.3)	4	0.053
status	Single	205 (55.0)	49 (13.1)	62 (16.6)	94 (25.2)		
	Separated	6 (1.61)	0 (0.0)	0 (0.0)	6 (1.6)		
Place of	Bekwai	75 (20.1)	7 (1.9)	14 (3.8)	44 (11.8)	8	0.096
work	Municipal						
	Hospital						
	Ejisu	73 (19.6)	16 (4.3)	15 (4.0)	42 (11.2)		
	Governme						
	nt Hospital						
	Kumasi	75 (20.1)	17 (4.6)	23 (6.2)	35 (9.4)		
	South						
	Hospital						
	Nkawie	76 (20.4)	20 (5.4)	19 (5.1)	37 (9.9)	1	
	Governme						
	nt Hospital						
		74 (19.8)	22 (5.9)	37 (9.9)	25 (6.7)		
						1	



Characteri			Practice scor	e			
stics							
	Suntreso						
	Governme						
	nt Hospital						
Occupation	Doctor	17 (4.6)	2 (0.5)	3 (0.8)	12 (3.2)	8	0.050
1	Nurse	201 (53.9)	57 (15.2)	56 (17.0)	88 (23.6)		
Specialisati	Midwife	82 (22.0)	19 (5.1)	22 (5.9)	41 (11.0)		
on	Allied	56 (15.0)	8 (2.1)	11 (2.9)	37 (9.9)		
	healthcare						
	profession						
	al						
	Maintenan	17 (4.6)	6 (1.6)	6 (1.6)	5 (1.3)		
	ce staff						
Duration of	Less than	127 (34.1)	37 (9.9)	31 (8.3)	59 (15.8)	1	0.429
experience	two years						
	2-5 years	143 (38.3)	29 (7.8)	42 (11.3)	72 (19.3)		
	Greater	103 (27.6)	26 (7.0)	25 (6.7)	52 (13.9)		
	than five						
	years						
Religion	Christianity	334 (89.5)	88 (23.6)	86 (23.0)	160(42.9)	1	0.068
	Islam	38 (10.2)	4 (1.1)	11 (2.9)	23 (6.1)		
	Traditional	1 (0.3)	0 (0.0)	1 (0.3)	0 (0.0)		
Highest	Masters	14 (3.8)	1 (0.3)	4 (1.0)	9 (2.4)	6	0.002
level of	Bachelor	126 (33.8)	28 (7.5)	23 (6.2)	75 (20.1)	1	
education							
	Diploma	191 (51.2)	45 (12.1)	58 (15.5)	88 (23.6)		



Characteri		Practice score					
stics							
	Certificate	42 (11.3)	18 (4.8)	13 (3.5)	11 (2.9)		
Source of	Social	78 (20.9)	22 (5.9)	26 (7.0)	30 (8.0)	8	0.002
Information	media						
	News	122 (32.7)	35 (9.4)	29 (7.8)	58 (15.5)		
	media						
	Website of	81 (21.7)	10 (2.7)	20 (5.36)	51 (13.7)		
	hospital/G						
	HS						
	Friends/rel	14 (3.8)	8 (2.1)	4 (1.1)	2 (0.5)		
	atives						
	Internation	78 (20.9)	17 (4.6)	18 (4.8)	42 (11.3)		
	al health						
	organizatio						
	n						



According to the HBM, the modifying factors refer to factors influencing behaviour change. It includes the person's age, gender, ethnicity, personality, knowledge, and socioeconomic status (Tarkang & Zotor, 2015:4). There was a significant association between the healthcare worker participants' gender and their practices towards preventing the spread of COVID-19 infections (p=0.005). Most female participants (33%) had excellent practices. The finding is according to a study in Ethiopia (Fetansa et al., 2021:4). The age of the healthcare worker participants in their study was also associated with their practices towards preventing the spread of COVID-19 infections (p=0.037). Participants in the age group 20-39 years had excellent practices towards preventing the spread of infections (40.8%).

The study's findings revealed that no association existed between the participants' marital status, place of work, occupation/specialisation, religion, and their practices towards preventing the spread of COVID-19 infections; however, there was a significant association between their level of education and their COVID-19 preventive practices (p= 0.002). The healthcare worker participants with a higher level of education had excellent practices to prevent the spread of the infection.

The finding follows studies conducted in China and Ethiopia (Zhong et al., 2020:1749; Bitew et al., 2021:4). The variations may be related to higher education programmes preparing graduates to develop the habit of doing research, and this helped them to search for relevant information regarding COVID-19 and the prevention of the spread of the infection. Pertinent information acquisition leads to behaviour change and, therefore, good preventive practices. Another reason might be that those with a higher level of education may have a better understanding of the spread, effect, prevention, and control of infections.

The study findings confirm a positive association between the participants' source of information and their practices towards preventing the spread of COVID-19 infections



(p=0.002). The participants who obtained information from their hospital /GHS website, news media, and international organisations, such as the WHO, had excellent practices for preventing the spread of COVID-19. This finding is according to three studies conducted in Ethiopia, Nepal, and India (Bitew et al., 2021:4; Nepal et al., 2020:12; Bhagavathula et al., 2020:2).

Table 3.11: Bivariate analysis of healthcare workers' knowledge, attitudes, and practices towards COVID-19 by using the Chi-Square

Variable	N	Df	P-value
			(2 sided)
Attitude vs. practice	373	1	0.022
Attitude vs. knowledge	373	1	0.048
Practice vs. knowledge	373	1	0.091

The results from the bivariate analysis revealed there was a significant positive association between the healthcare worker participants' attitudes and practices towards COVID-19 (p=0.022). The participants' attitude related positively to their knowledge of COVID-19 (p=0.048). The HBM and the KAP theory can explain this. "Knowledge is the foundation of behaviour (practice), and attitudes are the driving force of behaviour change" (Fan et al., 2018:2). "A person's intention of a specific behaviour is alienated from his/her attitude towards that particular behaviour" (Fan et al., 2018:3).

The study established a high knowledge level among the healthcare worker participants regarding COVID-19. An increase in knowledge level helps to reduce misinformation, eventually leading to a good attitude. Acquisition of knowledge by the participants



enabled them to know their susceptibility to COVID-19 infection, the severity of the disease, the benefits of preventive actions, and their ability to initiate new preventive behaviours.

3.2.3 Testing the hypotheses

The Chi-square test (X²) was conducted to test the hypotheses of Phase 1 of the study.

- H0: COVID-19 KAP has no relation to the biographic data of healthcare workers
- H1: COVID-19 KAP relates to the biographic data of healthcare workers

Regarding the Chi-square test, the null hypothesis (H0) was rejected since healthcare workers' biographic data (age, marital status, and gender) had a relation to their KAPs towards COVID-19. The alternative hypothesis (H1) was accepted.

- H0: COVID-19 KAP has no relation to healthcare workers' duration of experience
- H1: COVID-19 KAP has a relation to healthcare workers' duration of experience

The null hypothesis(H0) was also rejected since the duration of the experience related to healthcare worker participants' attitudes towards COVID-19. The alternative hypothesis was, therefore, accepted.

- H0: COVID-19 KAP has no relation to healthcare workers' specialisation.
- H1: COVID-19 KAP has a relation to healthcare workers' specialisation

The alternative hypothesis (H1) was rejected since there was no relation between the healthcare worker participants' specialisation/occupation and their KAPs towards COVID -19. The null hypothesis was accepted.

H0: COVID-19 KAP has no relation to healthcare workers' place of work



H1: COVID-19 KAP has a relation to healthcare workers' place of work

The null hypothesis (H0) was accepted, and the alternative was rejected since there was no relation between the healthcare worker participants' place of work and their KAPs towards COVID-19.

3.2.4 Conclusion

The researcher established that 85.8% of the healthcare worker participants had good knowledge of COVID-19. Age and religious affiliation were significantly associated with factors affecting the knowledge level about the novel coronavirus disease; 56.6% of the participants had a good attitude towards COVID-19. The duration of the experience and marital status of the participants were strongly associated with their attitudes. Less than 50% of the participants had good or excellent preventive practices towards COVID-19. Gender, level of education, and source of information were associated with the participants' practices towards COVID-19 prevention.

A divergence remains in their preventive practices towards COVID-19, suggesting that interventions should go far beyond just knowledge and attitude; however, strategies should be developed to prevent the healthcare-associated spread of COVID-19 and other respiratory infections in all health facilities across the globe.

3.3 Summary

Chapter Three describes the healthcare workers' KAPs towards COVID-19. Chapter Four comprises the literature review based on Phase 1 and strategy development.



CHAPTER FOUR LITERATURE REVIEW AND STRATEGY DEVELOPMENT

4.1. Introduction

In the previous chapter, the findings of Phase 1 of the study specify a divergence in the knowledge base of healthcare workers' regarding respiratory infection and COVID-19. The researcher established that although a reasonable number (85.8%) of the HCWs had good knowledge of COVID-19, only 11% had excellent knowledge. Age and religious affiliation were significantly associated with factors affecting the knowledge level of the disease. According to the HBM, age and religion are among the modified factors influencing an individual's behavioural change.

Specific knowledge divergences identified among the healthcare workers refer to the transmission of the virus, diagnosis of the infection, and treatment of COVID-19: 92.5% agreed/strongly agreed that the novel coronavirus disease is transmitted mainly through the air; 59% of the HCWs agreed/strongly agreed that pets may be infected and transmission from pets is unknown; 52% of the HCWs agreed/strongly agreed that the primary method of diagnosis is identifying antibodies; 89.2% of the HCWs agreed/strongly agreed that antibiotics are the first line of treatment; and 80.6% of the HCWs agreed/strongly agreed that Chlorhexidine kills the SARS-CoV-2.

Some HCWs portrayed a good attitude towards COVID-19; 56.6% had a good attitude towards COVID-19. The duration of the experience and marital status of HCWs were strongly associated with their attitudes. According to the HBM, marital status and duration of the experience are among the modifying factors that can affect an individual's perception or belief towards initiating a new behaviour. Negative attitudes were also identified; 61.9% of the HCWs feared working in a hospital where COVID-19 patients were admitted; 58% of the HCWs also feared caring for COVID-19 patients; and 89.9% of



the HCWs believed that they could become infected even with adequate protection, such as wearing PPE.

Less than 50% of the HCWs had good or excellent preventive practices towards COVID-19. Gender, level of education, and source of information were associated with the HCWs practices towards COVID-19 prevention. According to the HBM, gender is among the factors influencing a person's behavioural modification towards a particular disease.

Specific preventive divergences identified: 41% of the HCWs had no access to appropriate PPE to prevent COVID-19 and other respiratory infections; 30% of the HCWs had received no training on donning PPE; 57% of the HCWs wore N95 face masks at the healthcare facility and only 42.9% tested the N95 mask before donning; 48.5% of the HCWs indicated a lack of N95 masks in their facility; 56% of the HCWs used their fluid-repellent surgical masks and eye protection for a session of work or other than a single patient; 76.9% of the HCWs wore gowns or coveralls for a session of work in high-risk areas only; and 90.3% of the HCWs disinfect linen/beddings used by patients infected with COVID-19 before sending them to the laundry.

A divergence in the HCWs' preventive practices towards COVID-19 and other respiratory infections was identified, suggesting that interventions should go far beyond just knowledge and attitude; however, strategies should be developed to prevent the healthcare-associated spread of COVID-19 and other respiratory infections.

A thorough literature review based on the findings of Phase 1 provided sufficient evidence to draft strategies to prevent the healthcare-associated spread of COVID-19 and other respiratory infections. This chapter presents the outcome of the review of the literature and the drafting of strategies to avoid the healthcare-associated spread of respiratory infections. Chapter Two comprised the methodology of the evaluation.



4.2 Results of the literature review

The literature search conducted produced 16270 studies. Several 115 articles were manually reduced by screening the title and abstract using the inclusion criteria. The articles eligible for full—article screening was reduced to 66 papers. After the full-text article screening, 16 more studies did not include strategies. This resulted in 50 studies describing strategies to prevent the healthcare-associated spread of COVID-19 and other respiratory infections; 50 articles were used to discuss the themes and to draft a strategy to prevent healthcare-associated spread of respiratory infections. The (PRISMA) flow diagram for the screening and selecting the studies in the review is outlined in Figure 4.1.



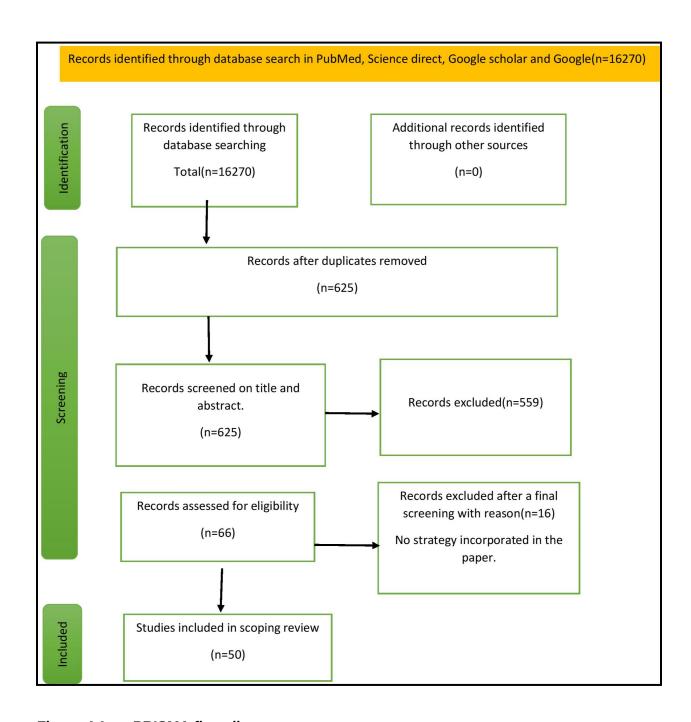


Figure 4.1: PRISMA flow diagram



The extracted data were entered into a self-developed template with these headings:

- Author and year of publication
- Title of the study
- Country
- Study aim
- Design
- Methods
- Setting
- Participants
- Key study findings
- Strategies drafted from the publication

4.3 Characteristics of the included studies

Table 4.1 presents a summary of characteristics of the included studies.



 Table 4.1:
 Summary of characteristics of included studies

Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Ahmad J, Anwar S, Latif	The study aimed to	Descriptive/analytic	The findings indicate	There should be an
A, Haq N.U, Sharif M.,	assess the impact of	quantitative cross-	that the healthcare	adequate supply of PPE
Nauman A.A.	PPE availability,	sectional survey;	professionals who	to all HWCs; they should
Association of PPE	training, and practices	Telephone	received PPE on time	have access to the
Availability, Training,	on COVID-19	interviews using a	at the start of COVID-	updated PPE protocol
and Practices with	seroprevalence	structured	19 had fewer	recommended by the
COVID-19 Sero-	among nurses and	questionnaire; 133	chances of	WHO; training of all
Prevalence in Nurses	paramedics in	nurses and	contracting the	HWCs on preventing
and Paramedics in	Peshawar, Pakistan,	paramedics in	infection; availability	respiratory infections is
Tertiary Care Hospitals	teaching hospitals.	teaching hospitals	of PPE, COVID-19-	advised.
of Peshawar, Pakistan.		of Peshawar.	related training, and	
2020			compliance with the	
Disaster Med Public			WHO recommended	
Health Prep. 16 (3):975-			practices were	
979.			instrumental in	
Pakistan				



			protection against	
			infection.	
Alqahtani, J.S.,	The study aimed to	Quantitative Cross-	93% indicated that	Regular washing of
Aldhahir, A.M., Al,	evaluate the level of	sectional survey: a	they would continue	hands by staff and
Rabeeah, S.M.,	public acceptance	validated survey	washing their hands	patients; wearing masks
Alsenani, L.B., Alsharif,	towards maintaining	conducted to	more often; 92%	in hospitals by patients
H.M., Alshehri, A.Y.,	the same infection	determine	wanted clinicians and	and staff; taking
Alenazi, M. M.,	control practices used	community	patients to wear	vaccination as
Alnasser, M., Alqahtani,	during the SARS-CoV	acceptance of the	masks in hospitals;	recommended by the
A.S., AlDraiwiesh, I.A.,	-2 pandemic and	measures; 2057	86% would continue	Ministry of Health; hand
Alghamdi, S.M., Siraj,	whether the public feel	residents of Saudi	avoiding smoking in	sanitiser should be
R.A., Alqahtani, H.S.,	the need for formal	Arabia older than	indoor and outdoor	widely available to clean
Sreedharan, J.K.,	health policies and	18 years old.	areas; 73% would	hands; avoiding smoking
Alqahtani, A.S., &	legislation mandating		continue wearing a	in indoor and outdoor
Alzahrani, E.M.	non-pharmaceutical		face covering on	areas; keeping more
Future Acceptability of	interventions (NPIs) to		public transportation;	distance from others
Respiratory Virus	prevent future		70% indicated that	when in an indoor public
Infection Control	respiratory infections.		they would continue	space.
Interventions in General			wearing a face	
			covering in indoor	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Population to Prevent			public places.	
Respiratory Infections.			Regarding the	
2022			respiratory virus	
Medicina (Kaunas,			infection control	
Lithuania), 58 (7), 838.			measures, 85%	
Saudi Arabia			supported	
			continuation as	
			policies.	
Alsaedi, A., Alharbi, M.,	The study aimed to	Descriptive	Eight nurses (20 % of	Maintain screening
Ossenkopp, J., Farahat,	share a practical	retrospective	the assigned ward	checkpoints for
F., Taguas, R., Algarni,	experience on COVID-	medical chart	nurses) and six	respiratory symptoms
M., Alghamdi, A.,	19 outbreak	review study of	patients (16.2 % of	among healthcare
Okdah, L., Alhayli, S.,	containment, including	SARS-CoV-2	the ward admitted	workers; they need to be
Alswaji, A., Doumith, M.,	contact tracing,	infection outbreak	patients during the	aware to immediately
El-Saed, A., Alzahrani,	screening of the target	among healthcare	outbreak) tested	report if they suffer any
M., Alshamrani, M.,	population, testing	providers and	positive for the SARS	COVID-19-related
Alghoribi, M.F.	including molecular	patients; 40 nurses	-CoV-2 virus based	symptoms and to be
		and 37 admitted	on PCR testing. The	assessed for further work



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Epidemiological and	analysis, and	patients in a	outbreak	restrictions; universal
molecular description of	preventive modalities.	referral tertiary care	investigation	masking, social
nosocomial outbreak of		hospital in Jeddah.	identified strong	distancing, and hand
COVID-19 Alpha			evidence of an	hygiene are basic
(B.1.1.7) variant in			epidemiologic link	protective measures that
Saudi Arabia.			between the affected	need to be reinforced
2022			cases.	and emphasised not only
J Infect Public Health.				during patient care but
15 (11):1279-1286.				also during gatherings
Saudi Arabia				and meetings in all
				facilities.
Anguraj, S., Ketan, P.,	The study aims to	This prospective	Hand hygiene	The infection control
Sivaradjy, M.,	determine the Hand	study was	complete adherence	department of the
Shanmugam, L., Jamir,	Hygiene compliance	conducted for six	rate (HHCAR), hand	facilities should regularly
I., Cherian, A., Sankar,	rate among HCWs in	months in the	hygiene partial	conduct hand hygiene
Sastry, A.	COVID-19 care	COVID-19 ICU at	adherence rate (HHP	audits in COVID-
The effect of hand	settings and evaluate	Jawaharlal Institute	AR), and Hand	19/respiratory infection
hygiene audit in COVID	the impact of Hand	of Postgraduate	hygiene total	locations; and improve



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
intensive care units in a	Hygiene compliance	Medical Education	adherence rate	the HHCAR by following
tertiary care hospital in	in reducing Healthcare	and	(HHTAR) were	the WHO steps for the
South India.	-associated infections	Research(JIPMER)	established as	recommended duration.
Am J Infect Control. 49	in COVID-19 Intensive	, a tertiary care	30.8%, 34.5%, and	
(10):1247-1251.	care units (ICU)	hospital in	65.3% respectively.	
2021	settings by auditing	Pondicherry, South	There was a	
India	Hand Hygiene	India; 2, 232 hand	significant increase in	
	practices.	hygiene	the monthly HHTAR	
		opportunities were	from 26.7% to	
		observed among	68.4%The profession	
		healthcare	-specific HHTAR was	
		professionals.	highest among	
			doctors (67.5%) and	
			nurses (66.4%).	
Badr, H., Oluyomi, A.,	The study aimed to	Quantitative online	The male gender was	Public health campaigns
Woodard, L., Zhang, X.	identify	Population-based	significantly	that emphasise individual
Raza strongly agree	sociodemographic	survey, conducted	associated with	risk, and provide clear,
(SA), Adel Fahmideh,	characteristics and	among 2, 222	reporting lower levels	consistent guidance on



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
M., El-Mubasher, O.,	HBM factors	Residents in the	of adherence to	what individuals can do
Amos, C.A.	associated with non-	United States.	COVID-19 mitigation	to decrease their risk for
Sociodemographic and	adherence		strategies and higher	COVID-19, may be
HBM Factors	to COVID-19		levels of perceived	effective in motivating
Associated with Non-	mitigation strategies		threat, perceived	increased mitigation
adherence to COVID-19	with the goal of		control, and	adherence; and adhere
Mitigation Strategies in	informing public health		knowledge about	to social distancing
the United States.	messaging		how to keep oneself	recommendations.
2021	campaigns.		and others safe from	
Ann Behav Med. 55			COVID-19 were	
(7):677-685.			significantly	
United States of			associated with	
America			reporting higher	
			levels of adherence	
			to COVID-19	
			mitigation strategies.	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Bludau, A., Heinemann,	To collect information	Quantitative cross-	A higher proportion of	Wearing masks should
S., Mardiko, A.A., Kaba,	about COVID-19	sectional design;	large (71%) than	be recommended for
H.E.J., Leha, A., von	infection control	100 Infection	small (49%) hospitals	patients and persons;
Maltzahn, N., Mutters,	strategies for patients	control practitioners	let patients decide	allow one guardian visitor
N.T., Leistner, R.,	and persons from	in selected German	freely whether to	for underage patients;
Mattner F., Scheithauer	infection control	hospitals	wear medical or	informing patients about
S.	practitioners in	participated in the	FFP2 masks. Most	desired hygiene
Infection control	German hospitals.	study.	hospitals reported	behaviour by oral
strategies for patients			spatial separation for	distribution before
and persons during the			COVID-19 patients	admission, written
COVID-19 pandemic in			and non-COVID-19	information, and
German hospitals: a			cases or, additionally,	distribution of brochures
cross-sectional study in			for suspected COVID	and posters in patient
March-April 2021.			-19 cases.	rooms; social distance of
2022			Accompaniment bans	about 1.5m between
J Hosp Infect.125:28-			were more prevalent	COVID-19 and non-
36.			in large hospitals	COVID-19 patients
Germany			than in small	enforced.



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			hospitals, but large	
			hospitals granted	
			more exemptions.	
Burdsall. D.	The study outlines	Descriptive	The guideline	Identification of patient-
Non-ventilator	strategies to prevent	Implementation	remarked that	specific risks for
healthcare-associated	the increased risk of	guidelines for long-	potentially modifiable	developing respiratory
pneumonia (NV-HAP):	NV-HAP among	term care with	factors for	infections; an active
Long-term care.	individuals residing in	detail on modifiable	pneumonia (such as	surveillance programme
2020	long-term care	and non-modifiable	vaccine refusal,	within an established
Am J Infect Control. 48	facilities.	risk factors for	immobility and poor	infection prevention
(5S): A14-A16.		acquiring	staff and residents'	programme; promote
Palatine		pneumonia.	hand hygiene) and	prevention strategies,
			non-modifiable	such as hand hygiene,
			factors (such as	respiratory etiquette,
			immune-	vaccination programme
			suppression/blunted	for staff and residents



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			immune response	and patients; ensure
			and cancer)	adequate environmental
			contribute to non-	cleaning and disinfection,
			ventilator healthcare-	and transmission-based
			associated infections.	precaution; ensure
			Strategies to prevent	environmental controls,
			infections refer to	including comprehensive
			identifying patient-	water management plans
			specific risks and the	and programmes, to
			consideration of the	reduce the risk of
			risks in care	exposure to respiratory
			planning. A	infections for patients,
			surveillance	healthcare workers, and
			programme within an	family members.
			established infection	
			prevention	
			programme, including	
			identification of	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			symptomatic	
			individuals,	
			notification and	
			collaboration with	
			public health, and	
			segregation of	
			symptomatic or	
			colonised individuals,	
			is an evidence-based	
			approach to reduce	
			the risk of respiratory	
			infections and	
			pneumonia.	
Calcagni, N., Venier,	The study aimed to	Qualitative study;	HCPs considered	Respiratory hygiene,
A.G., Nasso, R.,	identify the perception	an interview grid,	respiratory infections	protective behavioural
Boudin, G., Jarrige, B.,	of Healthcare	including open-	as benign. They	measures, such as
Parneix, P., Quintard, B.	professionals(HCPs)	ended and non-	associated	smoking cessation,
	about Respiratory	inductive questions,	respiratory hygiene	frequent aerating the



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Respiratory infection	infections and the	was developed for	with observing cough	rooms, and healthy
prevention: perceptions,	ways to prevent them,	the individual and	etiquette, the	habits, such as exercise,
barriers, and facilitators	but also to identify the	focus-grouped	preservation of lung	cough etiquette, hand
after SARS-CoV-2.	barriers they	interviews; 13	health, the act of	washing, face masking,
2022	encountered and the	healthcare	protecting oneself	social distancing, and the
Infect Dis Health (1):54-	factors that could	professionals for	and others, and the	responsible use of
63.	facilitate good	individual	adherence to safety	tissues advised.
France	practices.	interviews and	protocols. The main	
		seven participants	barriers to good	
		for the focus group	practices were	
		practising in	organisational ones,	
		Nouvelle-Aquitaine	such as the lack of	
		and Iles de	consultation and	
		Guadeloupe in	mobilisation of	
		France. They were	Healthcare	
		sampled through	professionals in	
		purposive	developing	
		sampling.	preventive measures,	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			suboptimal	
			information sharing	
			and the physical and	
			relational constraints	
			of face masks.	
Candevir, A., Üngör, C.,	The study aimed to	Twelve studies,	Continuous wearing	Medical face mask use
Çizmeci Şenel, F.,	review articles	including meta-	of N95 respirators	should be encouraged in
Taşova, Y.	studying the protective	analysis, case-	may have the best	healthcare facilities (N95
How efficient are facial	effect of masks on	control, cross -	protection against	mask use among HCWs
masks against COVID-	COVID-19 with	sectional, cohort,	viral respiratory	has the best protection
19? Evaluating the	laboratory evidence.	retrospective,	diseases.	against viral respiratory
mask use of various		retrospective cross-		infections).
communities one year		sectional, research,		Hand hygiene practices.
into the pandemic.		randomised		
Turk J Med Sci. 51 (SI-		controlled, and		
1):3238-3245.		controlled		
2021		comparison		
		studies, conducted		



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
		in China, United		
		Kingdom, United		
Turkey		State of America,		
		Thailand, Uganda,		
		Ethiopia, South		
		Korea, Canada,		
		and Iran were		
		reviewed on the		
		protective effect of		
		masks on COVID-		
		19.		
Chadsuthi, S &	The aim of the study is	The susceptible	Contact tracing could	Individuals who have had
Modchang, C	to discover the best	exposed infectious	reduce the peak of	contact with infectious
Modelling the	strategy to reduce the	recovery (SEIR)	ICU beds and mass	cases of COVID-19 must
effectiveness of	spread of COVID-19	model was	testing. The time	be quarantined as soon
intervention strategies	through non-	modified to study	delay between	as possible; keep
to control COVID-19	pharmacological	the dynamics of	diagnosis and self-	physical distance with
outbreaks and	interventions.	COVID-19	isolation influences	fewer contacts;



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
estimating healthcare		transmission in	the control measures.	vaccination programmes
demand in Germany		Germany.	Physical distancing to	instituted; healthcare
2021			limit the contact rate	facilities must be
Public Health in Practice			would delay the	prepared with sufficient
2 (2021) 100121			outbreak's peak.	non-ICU and ICU beds to
Thailand				meet the demands
				during an outbreak.
Cheng, C.W., Huang,	The study	Case-control study;	A total of 5, 722	Infection control
PY, Wu, T.S., Huang,	demonstrated	implementation of	patients were tested	measures, and strict
C.G., Tsao, K.C., Lin,	integrated teamwork,	dynamic infection	in the hospital from	adherence to PPE
C.S., Chung, T.Y., Lai,	and executing	control policies	January to May 2020;	protocol for all hospital
C.C., Yang, C.T., Chiu,	comprehensive,	during the	twenty-five (25)	staff; wearing surgical
C.H.	dynamic infection	pandemic was	patients were	masks, hand hygiene,
Infection Control	control measures	initiated. All HCWs	confirmed COVID-19,	Early detection for
Working Group.	within a large hospital	in Chang Gung	including two	symptomatic staff and
Infection control	could efficiently	Memorial Hospital	inpatients. A cluster	patients during
operations of a large	mitigate COVID-19	at Linkou having	of four HCWs with	hospitalisation, and



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
hospital in Taiwan to	nosocomial outbreaks	symptoms or close	COVID-19	universal testing of
prevent nosocomial	and maintain the core	contact with the	associated with the	patients upon admission
outbreaks during	function of the	confirmed case	second inpatient was	should be implemented
COVID-19 pandemic.	hospital.	received the RT-	identified in the early	in a high COVID-19
Biomed J. 244 (6 Suppl		PCR test.	stage of the	prevalence area;
1): S8-S14.			pandemic.	screening asymptomatic
2021				patients should be
Taiwan				evaluated according to
				the community
				prevalence, testing
				resources and the
				vulnerability of the
				patients; complete
				vaccination for staff.
Lim, R.H.F., Htun, H.L.,	The study aimed to	A Cohort study was	From 193	Upgraded PPE for staff,
Li A.L., Guo, H., Kyaw,	review the enhanced	conducted among	unexpected COVID-	patients, and visitors
W.M., Hein, A.A., Ang,	strategies in	staff, patients, and	19 exposures, 2, 573	(HCWs managing
B., Chow,	preventing nosocomial		staff, 542 patients,	suspected/confirmed



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
A. Fending off Delta -	transmission of	visitors at Tan Tock	and 128 visitor	COVID-19 cases working
Hospital measures to	COVID-19 following	Seng Hospital.	contacts were traced.	in high-risk areas or
reduce nosocomial	widespread		Four staff contacts	performing aerosol-
transmission of COVID-	community		subsequently had	generating procedures
19.	transmission of the		SARS-CoV-2	had to put on a full set of
Int J Infect Dis. 117:139-	Delta variant.		infection. Two were	PPE; N95 mask or
145.			likely from exposure	equivalent, gown, gloves,
2022			to community	and eye protection);
Singapore			settings, whereas two	rostered routine testing
			had exposure to the	(RRT) for staff and
			same COVID-19-	patients; surveillance of
			positive staff in the	staff with Acute
			hospital, forming the	respiratory illness;
			only hospital cluster.	quarantine measures for
			One inpatient had a	contact with potential
			nosocomial infection,	COVID-19 exposure.
			possibly from visitors.	
			The SARS-CoV-2	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			detection rate among	
			staff was 0.3% from	
			Rostered Routine	
			Testing every other	
			week and 2.5% from	
			Acute Respiratory	
			Infection surveillance.	
Crnich, C.J.	The aim of writing this	Guideline/Recomm	The existing structure	Infection prevention
Reimagining Infection	article is to call for	endations.	of the infection	control implemented; a
Control in U.S. Nursing	reimagining the		control programme in	training programme for
Homes in the Era of	Nursing Home		most NHs is	staff initiated;
COVID-19.	infection control		inadequate and	Government and
J Am Med Dir Assoc.23	programme using the		requires a major	professional
(12):1909-1915.	systems engineering		change in these	organisations should
2022	initiative for patient		settings to become	invest in research to
United States of	safety framework.		safer and more	develop more effective
America			resilient in healthcare	vaccines and respiratory
			environments.	tract infection



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
				chemoprophylaxis
				treatments; appoint
				infection prevention staff
				to control all facilities.
European Centre for	The document aims to	Technical report on	The report	Wearing PPE;
Disease Prevention and	support developing	infection prevention	recommended the	implementing hand
Control. (ECDC)	guidance for	and control	protection of staff	hygiene practices;
Considerations for	healthcare facilities	practices regarding	(using masks,	appropriate training on
infection prevention and	and healthcare	respiratory viral	receiving training,	recommended IPC
control regarding	providers in the	infections in	and being	measures for healthcare
respiratory viral	EU/EEA on infection	healthcare settings	vaccinated) and	workers and other staff;
infections in healthcare	prevention and control	in the European	reducing workplace	vaccination of all staff;
settings. 6 February	(IPC) measures for	Union.	risks (regular	workplace risk
2023.	the management of		cleaning and	assessment should be
ECDC: Stockholm;	patients with		disinfection).	revised; strategy should
2023	respiratory tract viral			be defined for testing
European Union	infection in healthcare			management and follow-
	settings.			up of HCWs with



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
				respiratory symptoms
				under national
				authorities; regular
				cleaning and disinfection
				of the workplace and
				electronic equipment;
				ensure adequate
				ventilation.
Glowicz, J.B., Landon,	This document	Guideline on	Promote the	Promote hand hygiene
E., Sickbert-Bennett,	emphasises practical	strategies to	maintenance of	practices among
E.E., Aiello, A.E.,	recommendations to	prevent healthcare-	healthy hand skin	healthcare workers and
deKay, K., Hoffmann,	assist acute-care	associated	and fingernails;	monitor hand hygiene
K.K., Maragakis, L.,	hospitals in the	infections through	perform hand	adherence.
Olmsted, R.N.,	prioritisation and	hand hygiene.	hygiene as indicated	
Polgreen, P.M., Trexler,	implementation of		by the Centers for	
P.A., VanAmringe, M.A.,	strategies to prevent		Disease Control and	
Wood, A.R., Yokoe, D.,	healthcare-associated		Prevention (CDC) or	
Ellingson, K.D.			the WHO; educate	



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journal, and country		setting,		in drafting strategies
		participants		
SHEA/IDSA/APIC	infections through		HCWs about the	
Practice	hand hygiene.		appropriate volume	
Recommendation:			of alcohol-based	
Strategies to prevent			hand sanitisers and	
healthcare-associated			the time required to	
infections through hand			achieve	
hygiene: 2022 Update.			effectiveness; ensure	
Infect Control Hosp			accessibility of hand	
Epidemiol. 44 (3):355-			hygiene supplies;	
376.			monitor adherence to	
2023			hand hygiene.	
United States of				
America				
Hutchinson, N.T.,	This review article	Review article	The combination of	Encourage regular
Steelman, A, Woods,	outlines the immune		the outlined	moderate exercise, face
J.A.	response to viral		strategies has proved	covering, personal and
	pathogens owing to		to be the most	workplace hygiene, and
	COVID-19 and the		effective concerning	social distancing.



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
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		participants		
Behavioural strategies	large body of		behavioural	
to prevent and mitigate	evidence suggesting		prophylaxis to delay	
COVID-19 infection.	the respiratory and		spread, reduce the	
Sports Med Health Sci.	immune benefits of		number of cases, and	
2 (3):115-125.	regular moderate-		delay and reduce the	
2020	intensity exercise.		peak attack rate of	
United States of			COVID-19 infection.	
America				
Jang, W., Kim, B., Kim,	To survey the current	Quantitative Cross-	89.1% allowed	PPE for HCWs; hospital
E.S., Song, K.H., Moon,	strategies for	sectional survey;	symptomatic patients	work restriction policy for
S.M., Lee, M.J., Park,	preventing the	46 hospitals in	without COVID-19-	HCWs; existence of
J.Y., Kim, J.Y., Shin,	transmission of	Korea participated	associated symptoms	screening clinics for
M.J., Lee, H., Kim, H.B.	COVID-19 in medical	in the survey.	to visit general	COVID-19; restrictions
Differences in	institutions.		outpatient clinics.	for caregivers and
strategies to prevent			Most hospitals	visitors; education on
COVID-19 transmission			conducted	mask-wearing for
in hospitals: nationwide			polymerase chain	patients and caregivers
			reaction (PCR) tests	during hospitalisation;



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
survey results from the			for all hospitalised	banners and postings on
Republic of Korea.			patients. Thirty-five of	thoroughly and properly
J Hosp Infect.129:22-			the 46 hospitals had	wearing masks are
30.			pre-emptive isolation	positioned throughout
2022			policies for	the institution; PCR test
			hospitalised patients.	for non- suspected cases
Korea				of covid-19; isolation
				practices for patients with
				symptoms suggesting of
				COVID-19 and with a
				confirmed diagnosis.
Karam, K.A., Hota, P.,	The study aimed to	The tests were	The development of	Provision of new ABMs
Mora, S.J., Lowell, A.,	develop a new aerosol	conducted by	a novel aerosol	for preventing COVID-19
McKay, K., Xian, X.,	barrier mask to reduce	Arizona State	barrier mask (ABM)	and other infections while
Patel, B., & Forzani, E.	the spread of COVID-	University (ASU)	to mitigate the spread	transporting patients
Development of a new	19 and other	researchers at	of SARS-CoV-2 and	within the healthcare
Aerosol Barrier Mask for	respiratory infections.	Mayo Simulation	other infectious	facility.
mitigation of spread of			pathogens. This	



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journal, and country		setting,		in drafting strategies
		participants		
SARS-CoV-2 and other		Centres in Phoenix,	Aerosol Barrier Mask	
infectious pathogens.		Arizona.	has been designed to	
Journal of Respiratory			prevent SARS-CoV-2	
Medicine 181 (2021)			transmission while	
106381			transporting patients	
2021			within hospital	
United States of			facilities. This mask	
America			can constrain aerosol	
			and droplet particles	
			and trap them in a	
			biofilter while the	
			patient usually is	
			breathing and	
			administered with	
			medical oxygen.	
Kitt, E., Handy, L.K.,		Letter to the editor.	Key findings from the	Hand hygiene, consistent
Coffin, S.E.; Healthcare-			article are that the	environmental cleaning,
Associated Viral			COVID-19 pandemic	adequate supply of



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journal, and country		setting,		in drafting strategies
		participants		
Infection Harm			has emphasised	Alcohol-based hand rub,
Prevention Team.			several respiratory	visitors screening, and
Response to: What will			viral transmission	engaging caregivers in
be the precaution for			dynamics that can be	preventive practices.
healthcare-associated			prevented	Education of staff on IPC
rhinovirus infection			successfully through	measures.
outbreaks?			ongoing education of	
2023			healthcare	
American Journal of			professionals about	
Infection Control 51			good hand hygiene,	
(2023) 358-359			consistent	
United States of			environment	
America			cleaning, and visitor	
			restriction.	
Li K.K.F., Jarvis strongly	This study aimed to	Quantitative study:	Findings from an	Social distancing and
agree (SA), Minhas F.	investigate the	an agent-based	agent-based	mask-wearing.
Elementary effects	effectiveness of	stimulation was	simulation modelling	
analysis of factors	masks, social	developed based	indicated that while	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
controlling COVID-19	distancing, lockdown,	on the susceptible-	requiring a lockdown	
infections in	and self-isolation in	infected-recovered-	is widely believed to	
computational	reducing the spread of	dead (SIRD) model	be the most efficient	
simulation reveals the	SARS-CoV-2	to investigate the	method to reduce	
importance of social	infections.	effectiveness of	infection numbers	
distancing and mask		various NPIs, such	quickly, the practice	
usage.		as masks, social	of social distancing	
2021		distancing,	and the usage of	
Computers in Biology		lockdown, and self-	surgical masks can	
and Medicine		isolation for	be more effective	
134:104369.		reducing the	than requiring a	
United Kingdom		spread of COVID-	lockdown.	
		19.		
Di Cola, S., Gazda, J.,	This study aimed to	A combination of	The IPC programme	PPE for staff and
Lapenna, L., Ceccarelli,	investigate the impact	retrospective and	is associated with a	patients; restriction of
G., Merli, M.	of an IPC program	prospective	reduction in the	patient's movements on
IPC programme and	and coronavirus	interventional	incidence of hospital-	the ward; restriction of
COVID-19 measures:	disease 2019 (COVID-	cohort study in a	acquired infections.	relative's access to visit



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journal, and country		setting,		in drafting strategies
		participants		
Effects on hospital-	19) measures on the	tertiary liver care	No further reduction	hospitalised patients;
acquired infections in	incidence of hospital-	centre, University	was present after the	postponing all immediate
patients with cirrhosis.	acquired infections	Hospital -Policlinico	COVID-19 measures	unnecessary
2023	and a set of	Umberto 1, Rome;	had been imposed.	examinations during an
JHEP Rep. 5	secondary outcomes,	941 patients	The impact of the	outbreak of respiratory
(5):100703.	including the	participated in the	IPC programme	pandemics.
Italy	prevalence of	study.	remained significant	
	multidrug-resistant		even after controlling	
	organisms, empiric		for the effects of	
	antibiotic treatment		confounding	
	failure, and		variables.	
	development of septic			
	states in patients with			
	cirrhosis.			
de Miranda Costa,	The aim of the study is	A QI cycle	The Healthcare-	Healthcare-associated
M.M., Santana H.T,	to analyse the effect of	approach was	associated Infection	respiratory infections
Saturno Hernandez,	a national system-	designed and	rates of the	surveillance; a protocol
	wide quality	assessed with a	participating hospitals	implemented to prevent



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journal, and country		setting,		in drafting strategies
		participants		
P.J., Carvalho, A.A., da	improvement (QI)	before and after	decreased after the	ventilation-associated
Silva Gama, Z.A.	initiative aimed at	quasi-experimental	intervention.	respiratory tract
Results of a national	promoting HCAI	design; 563		infections; advocate
system-wide quality	prevention through	hospitals in the		hand hygiene, regular
improvement initiative	regulatory	baseline and 681		monitoring of hand
for implementing	interventions in Brazil.	hospitals in the		hygiene adherence by
evidence-based		second phase		staff; training of staff to
infection prevention		across all regions		prevent infection spread.
practices in Brazilian		in Brazil were		
hospitals.		included.		
2020				
J Hosp Infect May;105				
(1):24-34.				
Brazil				
Mouallem, R.E.,	This study outlines	Perspective	Implementing high-	Training of HCWs on
Moussally, K., Williams,	and provides		quality IPC measures	IPC; Government and
A., Repetto, E.,	examples of the		in conflict-affected	professional bodies
	challenges		settings in the Middle	should make IPC a



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Menassa, M., Martino,	encountered across		East has been a	component of the
C., Sittah, G.A.	the Middle East		neglected priority for	medical curriculum;
How COVID-19	conflict setting and		several years. It calls	allocate necessary
emphasised the need	serves as a call for		for action for health	resources for IPC to
for IPC measures to	action for IPC to be		and non-health	facilities; and regular
become central to the	prioritised, provided		experts to join efforts	monitoring of IPC
global conversation:	the resources needed,		to combat healthcare	activities.
experience from the	and fed with		-associated infections	
conflict settings of the	contextualised		through quality IPC,	
Middle East.	evidence.		specifically tailored	
2021			for conflict and highly	
International Journal of			insecure settings.	
Infectious Diseases				
111: 55–57				
Lebanon				



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Negera et al. Negera,	This study assessed	A quantitative cross	Among the	Expansion of sustainable
A., Hailu, C., Birhanu, A.	the level of practice,	-sectional study	participating HCWs,	infection control and
Practice towards	prevention, and	design was used	64% had good	prevention strategies for
Prevention and Control	control measures of	among 334	practices of	staff; training of staff
Measures of	the COVID-19	samples of health	prevention and	refresher courses on
Coronavirus Disease	pandemic and the	workers who were	control measures for	standard precautions;
and Associated Factors	associated factors	selected using a	COVID-19	provision of PPE for all
among Healthcare	among healthcare	stratified two-stage	Multivariable binary	staff; increase
Workers in the Health	workers in health	sampling	logistic regression	vaccination coverage for
Facilities of the Horo	facilities.	technique, from	revealed that being a	staff.
Guduru Wollega Zone,		health facilities of	healthcare worker,	
West Ethiopia.		the Horo Guduru	being trained, and	
2022		Wollega Zone from	having sufficient	
Glob Health Epidemiol		May to June 2021	knowledge was	
Genom.			significantly	
Ethiopia			associated with good	
			preventive practice.	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Ochie, C.N., Aniwada,	This study aimed to	A Quantitative	Only 254 (84.7%) of	There should be
E.C., Uchegbu, E.K.,	assess the	Cross-sectional	the respondents had	continuous training of
Asogwa, T.C.,	knowledge,	study was	previous IPC training,	HCWs on IPC to reduce
Onwasoigwe, C.N.	determinants, and	conducted using a	and 82 (27.3%) had	the spread of respiratory
IPC: knowledge,	compliance of IPC	semi-structured	good knowledge of	infections.
determinants, and	among primary	interview	IPC. A majority, 244	
compliance among	healthcare workers in	administered	(81.3%), could not	
primary healthcare	Enugu Metropolis.	questionnaire. A	correctly identify all	
workers in Enugu		multistage	the moments of hand	
metropolis, south-east		sampling technique	washing.	
Nigeria.		was used to select		
2022		300 Health Care		
Infection Prevention in		Workers in Primary		
Practice 4 (2)		Health Care		
Nigeria		facilities in the		
		Enugu metropolis.		



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Osula, V.O., Sanders,	To develop and	Prospective pre-	There was significant	Respiratory care
J.E., Chakare, T.,	implement a 'low-	training–post-	improvement in the	education training for
Mapota-Masoabi, L.,	dose, high-frequency'	training evaluation;	post-training	HCWs.
Ranyali-Otubanjo, M.,	(LDHF) advanced	70 Nurses and	examination scores	
Hansoti, B., McCollum	respiratory care	Physicians of Bere	as compared to the	
E.D.	training program for	and Mafeteng	pre-test examination	
COVID-19 advanced	COVID-19 care in	Hospitals were	scores, especially	
respiratory care	Lesotho.	invited for a day	with questions	
educational training		training.	related to respiratory	
programme for			management and	
healthcare workers in			physiology.	
Lesotho: an				
observational study.				
2022				
BMJ Open.12 (4)				
Lesotho				



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Sandaradura, I.,	The study aimed to	Quantitative	No full-bearded	HCWs with a full beard
Goeman, E., Pontivivo,	examine the fit of	respirator fit a	HCWs achieved a fit.	should be discouraged
G., Fine, E., Gray, H.,	standard filtering face	survey among 105	Adequate respirator	as N95 respirator fit rates
Kerr, S., Marriott, D.,	piece respirators	male healthcare	fit decreased	are low in these people.
Harkness, J., Andresen,	(FFRs) among a	workers in St	significantly with	
D.	cohort of hospital-	Vincent's Hospital	increasing facial hair.	
A close shave?	based male	Darlinghurst.		
Performance of P2/N95	Healthcare workers.			
respirators in healthcare				
workers with facial hair:				
results of the BEARDS				
(BEnchmarking				
Adequate Respiratory				
Defences) study.				
2020				
J Hosp Infect (4):529-				
533				
Australia				



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Tashkandi, N., Aljuaid,	The study objective	Six nursing	Out of 5483 nurses,	Comprehensive
M., McKerry, T., Alchin,	was to share their	strategic pillars	543 (10%) were	surveillance of all
J., Taylor, L., Catangui,	nursing experience in	were implemented.	trained for	patients; isolation of
E.J., Mulla, R.,	responding to the		redeployment, mainly	suspected and confirmed
Sinnappan, S.,	COVID-19 pandemic		at acute and ICU.	cases; triaging, physical
Nammour, G., El-Saed,	at a large hospital and		After serving 11, 623	distancing. infection
A., Alshamrani, M.M.	its impact on nursing.		infected patients	control measures;
Nursing strategic pillars	safety and healthcare		including 1646	maintaining an adequate
to enhance nursing	services.		hospitalisations	supply of PPE; training
preparedness and			during the first nine	and redeployment of
response to COVID-19			months of the	nurses and implementing
pandemic at a tertiary			pandemic, only 385	alternate staffing models;
care hospital in Saudi			(7.0%) nurses were	monitoring staff well-
Arabia.			infected with COVID-	being, establishing
J Infect Public Health.			19. Out of them, only	mental health support,
2021 Sep;14 (9):1155-			10 (2.6%) required	and giving financial
11602021			hospitalisation, one	incentives.
Saudi Arabia			(0.3%) required ICU	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			admission, and none	
			died.	
Thibon, P., Breton, P.,	The study aimed to	A multicenter	The incidence rate of	Encourage hand
Mouet, A., Bidon, A.,	report the results of a	descriptive study of	COVID-19 in HCWs	hygiene, wearing PPE;
Haupais, F., Darrigan,	multicenter regional	fifty-two (52) heath	was 2.7%. Among 19	adequate triaging and
C., Gautier, P.,	survey, where	care facilities	situations, 10 were	bed allocation;
Letourneur, T.,	nosocomial	covering	HCW-to-HCW, and	mandatory vaccination
Perillieux, E.,	transmissions of	30, 533 Healthcare	nine were patient-to-	for staff.
Seguineau, C., Thibon,	COVID-19 involving	workers in western	HCW transmission.	
P., Henry, L., Ar Gouilh,	HCWs at an early	Normandy from 3		
M., Borgey, F., Le Hello,	stage of the pandemic	March to 27 March		
S., & ECRAN	were identified and	2020		
Investigation group	described.			
Healthcare-associated				
coronavirus disease				
2019 among healthcare				
workers in Normandy,				



Authors, title, year, journal, and country	Study aim	Design, methods, setting,	Key study findings	Information to be used in drafting strategies
		participants		
France: a multicentre				
study				
2021				
Infection prevention 3				
(1), 100109.				
France				
Vicentini, C., Garzaro,	To describe the policy	Health policy	The policy has so far	Mandatory vaccination
G., Cornio, A.R., Bosio,	processes and		had a positive impact	for all healthcare workers
D., Bergamaschi, E.,	preliminary results of		on increasing vaccine	against respiratory
Parravicini, G.P., Zotti,	introducing		uptake and lowering	infections
C.M.	compulsory		infection rates among	
The Italian policy of	vaccination against		healthcare workers.	
mandating SARS-CoV-2	SARS-CoV-2 for			
vaccination for	HCWs in Italy.			
healthcare				
workers: Analysis of the				
policy processes and				
preliminary outcomes				



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
2023				
Health Policy: 128:49-				
54.				
Italy				
Vimercati, L., Dell'Erba,	This study describes	The protocol was	Twenty-three (23)	Implement general
A., Migliore, G., De	the prevention	applied for all 5750	confirmed cases of	hygiene practices;
Maria, L., Caputi, A.,	procedures introduced	HCWs working at	infection (0.4% of all	avoidance of handshake;
Quarato, M., Stefanizzi,	at the University	the University	HCWs) were	frequent air changes in
P., Cavone, D.,	Hospital of Bari, Italy,	Hospital of Bari for	reported in the 30-	rooms; physical isolation
Ferorelli, D., Sponselli,	to reduce the risk to	a 30-day	day observation	of suspected infected
S., Mansi, F, Tafuri, S.	HCWs, consisting of	observation period	period following	patients; disinfection of
Prevention and	enhanced preventive	after	protocol	rooms; avoidance of
protection measures of	measures and	implementation of	implementation.	overcrowding;
healthcare	activation of a report	the protocol.		appropriate utilisation of
workers exposed to	system to collect			PPE; education on
SARS-CoV-2 in a	HCWs contacts.			correct donning of PPE.
university hospital				



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
in Bari, Apulia, Southern				
Italy				
2020				
J Hosp Infect.105				
(3):454-458.				
Italy				
Lee, I.K., Wang, C.C.,	The study aimed to	Letter to the editor.	147 suspected cases	Screening and isolation
Lin, M.C., Kung, C.T.,	provide valuable		owing to COVID-19	of suspected/confirmed
Lan, K.C., Lee, C.T.	strategies which can		were isolated at	cases; education/training
Effective strategies to	be effectively		Kaohsiung Chang	of hospital staff; limiting
prevent coronavirus	implemented to		Gung Memorial	visitors' numbers in
disease-2019 (COVID-	prevent the		Hospital (KGCMH),	healthcare facilities;
19) outbreak in	transmission of		and no nosocomial	avoiding overcrowding in
hospitals.	COVID-19 in		cases were recorded	hospitals; initiation of the
2020	healthcare settings			emergency response
J Hosp Infect.105	and measures to			team to organise human
(1):102-103	contain future hospital			resources and financial
Taiwan	outbreaks.			



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
				and physical resources
				during an outbreak.
Wee, LE,	The aim of the study is	From January to	COVID-19 rates	Screening of HCWs and
Venkatachalam, I., Sim,	to devise and evaluate	June 2020, a multi-	among HCWs were	patients with respiratory
X.Y.J., Tan, K.B., Wen,	a multi-tiered infection	tiered infection	kept low (0.13%) and	symptoms for covid-19
R., Tham, C.K., Gan,	control strategy to	control strategy	reflected community	and other 16 common
W.H., Ko, K.K.K., Ho,	prevent nosocomial	was implemented	acquisition rather	respiratory viral
W.Q., Kwek, G.T.C.,	transmission of SARS-	in the Singapore	than nosocomial	infections; improving
Conceicao, E.P., Sng,	CoV2 and other	General Hospital	spread. Rates of	segregation, and IPC
C.Y.E., Ng, X.H.J., Ong,	Respiratory viral	and four other	healthcare-	measures; environmental
J.Y., Chiang, J.L., Chua,	infections across a	subspecialty	associated	cleaning; hand hygiene
Y.Y., Ling, M.L., Tan,	large healthcare	centres with over	Respiratory viral	audit; wearing of surgical
T.T., Wijaya, L.	setting.	10,000 HCWs.	infections among	masks.
Containment of COVID-			inpatients fell to zero	
19 and reduction in			(0), and this	
healthcare-associated			decrease was	
respiratory viral			sustained even after	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
infections through a			visitor restrictions	
multi-tiered infection			were lifted.	
control strategy				
2021				
Infect Dis Health. 26				
(2):123-131.				
Singapore				
Wilson, A.M., Sleeth,	The objective of this	Annual review	Strong evidence	Face covering, adequate
D.K., Schaefer, C.,	article is to review		indicates that COVID	ventilation, physical
Jones, R.M.	evidence regarding		-19, such as other	distancing, cleaning of
Transmission of	the transmission of		viral respiratory	fomites, vaccination.
Respiratory Viral	viral respiratory		infectious diseases,	
Diseases to Health Care	infections to HCWs		is an aerosol-	
Workers: COVID-19 as	from patients, using		transmissible	
an Example.	COVID-19 as the		disease. Key	
2022	primary example.		knowledge	
Annu Rev Public Health.			divergences about	
43:311-330.			transmission	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			processes and	
United States of			control strategies	
America			were identified.	
Winkler, M.L., Hooper,	This article reviews	Integrated Review	Transmission of	Elimination: visitor
D.C., & Shenoy, E.S.	the chain of	Nine articles	infectious agents in	restriction, use of
IPC of Severe Acute	transmission of		healthcare settings	telemedicine, vaccination
Respiratory Syndrome	infectious agents,		can be interrupted by	of staff; substitution:
Coronavirus two in	including SARS-		applying the	nebuliser treatments with
Health Care Settings.	CoV2, and		Hierarchy of	metered dose inhalers,
2022	recommends IPC		Controls.	cleaning surfaces;
Infectious disease	practices to mitigate		Observed	engineering control:
clinics of North America,	the risk of		transmission events	isolation practices;
36 (2), 309–326.	transmission of SARS-		in healthcare settings	administrative controls:
	CoV-2 in healthcare		often involve multiple	changing of workflow,
United States of	settings, including		lapses in control	screening of HCWs,
America	implementation of the		measures, including	visitors, and patients with
	Hierarchy of controls		healthcare personnel	respiratory symptoms:
	and evaluation and		presenteeism, lack of	use of PPE: an adequate



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
	management of		compliance with IPC	supply of PPE per
	potential nosocomial		measures, and	standard and
	transmission.		unrecognised patient	transmission-based
			infections.	precautions.
Lu, D., Wang, H., Yu,	The document	Letter to the editor.	Setting up an	Setting up infection
R., Yang, H., & Zhao, Y.	outlines Infection		infection control team	control teams in
Integrated infection	control measures		to be in charge of	healthcare facilities;
control strategy to	established in the		infection control and	training staff on infection
minimise nosocomial	West China Hospital		prevention	prevention measures;
infection of coronavirus	ENT department to		management;	employing triage
disease 2019 among	protect HCWs and		training on infection	strategy; limiting traffic in
ENT healthcare	non-infected patients		prevention measures;	the healthcare facilities;
workers.	from potential COVID-		triage strategy;	keeping healthcare
2020	19 patients.		limiting traffic in the	environment clean;
The Journal of hospital			hospital; keeping the	wearing surgical masks.
infection, 104 (4),			hospital environment	
454–455.			clean; replacing local	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
China			anaesthetic spray	
			with gel anaesthesia	
			during laryngoscope	
			examination; during	
			nasal endoscopy,	
			care to be taken to	
			ensure adequate	
			surface anaesthesia	
			to reduce the sneeze	
			reflex; wearing of	
			surgical masks.	
WHO	This third edition of	Interim Guidance in	Do triage for early	Screening and triage for
IPC during healthcare	the WHO interim	Infection on	recognition, and	early recognition and
when COVID-19 is	guidance on IPC	prevention and	source control; apply	source control; applying
suspected or confirmed.	during healthcare	control during	standard precautions	standard and
2021	delivery in COVID-19	health care when	for all patients;	transmission-based
	provides updated	COVID-19 is	transmission-based	precautions; provision of
	guidance to support		precautions (contact,	adequate staff training;



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
	safe healthcare	suspected or	droplet, and airborne	ensuring an adequate
	through applying IPC	confirmed.	prevention for	patient-to-staff ratio;
	procedures for the		aerosol-generating	implementing
	protection of patients,		procedures);	environmental and
	staff, caregivers, and		provision of adequate	engineering controls;
	visitors in healthcare		staff training; ensure	monitoring of HCWs
	settings.		an adequate patient-	adherence to standard
			to-staff ratio;	precautions; and
			implement	providing mechanisms
			environmental and	for improvement as
			engineering controls;	needed.
			duration of contact	
			and droplet	
			precautions for	
			COVID-19 patients.	
			Monitoring HCWs	
			compliance with	
			standard precautions	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			and providing	
			mechanisms for	
			improvement as	
			needed.	
Health Protection		Guideline	Wearing respirators	Wearing respirators and
Surveillance Centre			or Filtering Face	masks; testing of
Public Health &			Piece (FFP2, FFP3),	symptomatic individuals;
Infection Prevention &			National Institute for	adequate supply of PPE;
Control Guidelines on			Occupational Safety	educating all staff about
the Prevention and			and Health(NIOSH)-	respiratory etiquette and
Management of			approved N95);	hand hygiene; safe
Cases and Outbreaks of			testing of	management of linen and
COVID-19, Influenza &			symptomatic	laundry; practical
other			individuals; an	measures to ensure
Respiratory Infections in			adequate supply of	adequate ventilation.
Residential Care			PPE to ensure single	
Facilities			-use of PPE; triaging	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
2023			and educating all	
Ireland			staff about	
			respiratory etiquette	
			and hand hygiene;	
			safe management of	
			linen and laundry;	
			practical measures to	
			ensure adequate	
			ventilation.	
Ontario Agency for	This document	Guideline	Screening of staff,	Screening of all people
Health Protection and	displays interim best		patients, and visitors	entering the healthcare
Promotion (Public	practices using a		entering the	setting; ensuring hand
Health Ontario), 2020	precautionary		healthcare setting;	hygiene practices;
Best Practices for	approach for the		posting applicable	implementing airborne
Prevention, Surveillance	prevention,		signage at the	and droplet/contact
and	surveillance, and		entrance of hospitals;	precautions; using
Infection Control	management of an		ensuring hand	general infection
Management of Novel	outbreak of a novel		hygiene practices;	prevention practices;



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Respiratory Infections in	respiratory infection in		implementing	educating staff on
All Health Care Settings	healthcare settings		airborne and	respiratory infections;
Toronto	across the continuum		droplet/contact	focusing on
	of care.		precautions;	characteristics of the
			encouraging staff to	disease, level of risk in
			adhere to infection	the healthcare setting,
			prevention practices;	the healthcare setting
			staff members with	plan to respond to the
			symptoms of an	infection; provide PPE.
			acute respiratory	
			infection must not	
			come to work and	
			must report	
			symptoms to	
			healthcare	
			authorities; educating	
			staff on respiratory	
			infections.	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
National Health Service	This document	Guideline	Promote PCR	PCR testing; wearing a
(NHS) 2022	outlines the IPC		testing, wearing	fluid-repellent surgical
Respiratory virus	advice for the		masks and single-	mask; using PPE;
infections	Harrogate and District		use PPE, and	standard infection control
Including COVID-19:	Foundation Trust		implement control	precautions; isolation
IPC Guidance	(HDFT).		precautions,	practices.
United Kingdom			including isolation	
			practices.	
Ministry of Health,	This provisional	Guideline	Early recognition and	Early recognition and
Ghana 2020 COVID-19	guideline		isolation of infected	isolation; appropriate pre
Guidelines for Ghana	recommends the		patients; implement	-triage and triage system;
Ghana	management of		an appropriate pre-	use of PPE for patients
	COVID-19 patients.		triage and triage	and staff; hand hygiene
			system; use of PPE	practices; environmental
			for patients and staff;	cleanliness and cleaning
			encourage optimal	of surfaces according to
			hand hygiene	IPC guidance; confirmed
			practices; promote	cases should be isolated.



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			environmental	
			cleanliness;	
			confirmed cases	
			should be isolated.	
Stempliuk. Valeska		Presentation	Standard, droplet,	Implement standard,
Prevention of healthcare			airborne, and contact	droplet, airborne, and
-associated			precautions;	contact precautions;
respiratory tract			decontamination of	decontaminate all items
infections (HA-RTI)			patient care items	that come into direct or
2022			and equipment; all	indirect contact with
			items that come into	mucous membranes of
			direct or indirect	the lower respiratory tract
			contact with mucous	of patients with
			membranes of the	infections; use oral
			lower respiratory	intubation instead of
			tract; Prefer oral	nasal intubation for
			intubation instead of	adults and adolescents;
			nasal intubation for	use a close suctioning



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			adults and	system; periodically drain
			adolescents; use a	and discard condensate
			close suctioning	in the tubing; use a new
			system; periodically	ventilation circuit for each
			drain and discard	patient.
			condensate in the	
			tubing; use a new	
			ventilation circuit for	
			each patient; change	
			the circuit only if it is	
			soiled or damaged;	
			change heat moisture	
			exchangers when	
			soiled or every 5-7	
			days; ensure safe	
			endotracheal suction	
			practices.	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Department of Health,	The manual is aimed	Guideline	Practice hand	Practice good hand
Republic of South Africa	at healthcare workers		hygiene; appropriate	hygiene; use PPE,
Practical Manual for	for implementation		use of PPE,	antiseptics, disinfectants,
Implementation of the	and governance of the		antiseptics,	and detergents;
National Infection	IPC programme at		disinfectants, and	decontamination of
Prevention	health facilities.		detergents;	medical devices; safe
and Control Strategic			decontamination of	handling of linen and
Framework			medical devices; safe	laundry; good healthcare
2020			handling of linen and	waste management;
South Africa			laundry; healthcare	advise patients and staff
			waste management;	regarding respiratory
			advise respiratory	hygiene and cough
			hygiene and cough	etiquette; ensure
			etiquette,	environmental cleaning
			environmental	and use principles of
			cleaning and	asepsis; implement
			principles of asepsis;	transmission-based
			do transmission-	precaution; manage



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			based precaution;	surveillance of
			build environment	healthcare-associated
			and infrastructure for	infections.
			IPC; surveillance of	
			healthcare-	
			associated infections.	
Shared health	This document	Guideline	Ensure IPC	Implement vaccination;
IPC Outbreak	provides best practice	Guideline		ensure health facilities
			measures are	
Management Guidelines	IPC guidelines for		implemented to	have adequate supplies
Respiratory (Including	outbreak management		prevent the spread of	during an outbreak;
Influenza and Covid-19)	of respiratory and		respiratory infections;	provide timely
and Gastrointestinal	gastrointestinal illness		vaccination; ensure	chemoprophylaxis.
2023	(GI) in acute and long-		health facilities have	
Canada	term care settings.		adequate supplies	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			during an outbreak;	
			and provide timely	
			chemoprophylaxis.	
California Department of		Training Manual	Vaccination; ensure	Advocate for vaccination;
Public Health		_	adequate nutrition	promote the value of
Preventing Respiratory			and hydration;	adequate nutrition and
Infections in Skilled			perform hand	hydration; perform hand
Nursing			hygiene; appropriate	hygiene; use PPE for
Facilities			PPE for suctioning	suctioning and cleaning
2021			and cleaning	respiratory equipment;
United States of			respiratory	reduce the duration of
America			equipment; reduce	mechanical ventilation;
			the duration of	prevent exposure to
			mechanical	contaminated respiratory
			ventilation; prevent	equipment; screening of
			exposure to	patients and staff;



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			contaminated	promote respiratory
			respiratory	hygiene and cough
			equipment; screening	etiquette; implement
			of patients and staff;	transmission-based
			respiratory hygiene	precautions; train staff to
			and cough etiquette;	prevent respiratory
			transmission-based	infections; adopt an
			precautions; training	adherence monitoring
			of staff to improve	programme for
			competency to	measuring preventing
			prevent respiratory	care practices.
			infections; adopt an	
			adherence	
			monitoring	
			programme for	
			measuring preventing	
			care practices.	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
Public Health Agency		Guideline	Triaging and testing	Testing for respiratory
Northern Ireland			for respiratory	infections; wearing of
IPC Measures for			infections; wearing of	masks; doing
Respiratory illnesses			masks; surveillance	surveillance and
2023			and	managing outbreaks;
Ireland			monitoring/outbreak	using PPE for providing
			management and	direct care for patients
			reporting in an	with suspected/confirmed
			inpatient setting;	respiratory illnesses;
			appropriate PPE for	implementing isolation
			providing direct care;	measures if necessary.
			isolation	
			recommended when	
			necessary.	
Public Health Agency of		Webpage	Get your annual flu	Use vaccination;
Canada		information	shot; stay up to date	recommend that staff
Reduce the spread of			with your COVID-19	stay home when ill;
respiratory viruses			vaccinations; stay	encourage using well-



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
2023			home when ill;	fitting masks when
Canada			properly wear a well-	necessary; improve
			fitting mask in public	indoor ventilation when
			indoor settings;	possible, by opening
			improve indoor	windows or doors;
			ventilation when	support good hand and
			possible by opening	respiratory hygiene.
			windows or doors;	
			implement good hand	
			and respiratory	
			hygiene.	
Taylor, M.		webpage	Maintain the Heating,	Ensure adequate
CDC Publishes		information	ventilation, and air	ventilation in healthcare
Ventilation guidance for			conditioning (HVAC)	facilities.
respiratory infections			system regularly and	
2023			according to	
United States of			manufacturer	
America			recommendations;	



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
			open windows and	
			doors and use	
			exhaust fans to bring	
			clean outdoor air in.	
HEPACART	The document aimed	webpage	Implement good	Implement good hand
Healthcare-Associated	to outline strategies	information	hand and respiratory	and respiratory hygiene,
Infections	for preventing		hygiene, use PPE,	use PPE, and ensure
2021	healthcare-associated		and ensure	good environmental
United States of	infections through four		environmental	hygiene.
America	best practices.		hygiene to prevent	
			healthcare-	
			associated infections.	
	WHO Patient Safety is	Fact sheet		Implement standard
WHO	working towards		Identifying local	precautions, particularly
Healthcare-associated	establishing effective		determinants of the	best hand hygiene
infections Fact sheet	ways of improving		infection;	practices at the bedside;
2020	global healthcare and		implementing	improving staff
	saving lives lost to		reporting and	education.



Authors, title, year,	Study aim	Design, methods,	Key study findings	Information to be used
journal, and country		setting,		in drafting strategies
		participants		
	healthcare-associated		surveillance systems;	
	infections by assisting		ensuring facilities and	
	with the assessment,		dedicated resources;	
	planning, and		implementing	
	implementation of IPC		infection control and	
	policies and timely		standard precautions,	
	actions at national and		particularly best hand	
	institutional levels.		hygiene practices at	
			the bedside;	
			improving staff	
			education.	



4.3.1 Findings of the analysis of the literature review

The researcher used a thematic analysis to analyse the summary of the data extracted from the selected papers. Studying the focus of the documents, the researcher searched for common patterns among them, answering the research question.

Ten main themes (Table 4.2), essential for preventing the healthcare-associated spread of respiratory infections, emerged from the analysis:

- Ensuring triage, early recognition, and source control
- Apply standard and transmission-based precaution
- Education and training of healthcare workers
- Engineering controls
- Active surveillance programme for staff, visitors, and patients with acute respiratory infections
- Restriction of visitors and caregivers
- Vaccination of HCWs as recommended by the WHO/MOH
- Promoting healthy behaviours to strengthen the immune system
- Adequate supply of PPE
- Monitoring and evaluation and investing in research



Table 4.2: Documents sorted in Themes (X= study included under that theme)

Articles	Ensuring	Apply	Education	Engineering	Active	Restriction	Vaccination of	Promoting	Adequate	Monitoring
	triage, early	standard and	and	controls	surveillance	of visitors	HCWs as	healthy	supply of	and
	recognition,	transmission-	training of		programme	and	recommended	behaviours	PPE	evaluation
	and source	based	healthcare		for staff,	caregivers	by the	to		and
	control	precaution	workers		visitors, and		WHO/MOH	strengthen		investing
					patients with			the		in research
					acute			immune		
					respiratory			system		
					infection					
Ahmad et al. 2022		X	X							
Alqahtani et al.		Х		Х			Х	Х		
2022										
Alsaedi et al., 2022		X		Х	Х	Х				
Anguraj et al. 2021		Х								Х
Badr et al. 2021			Х	Х						
Bludau et al. 2022		Х	Х	Х		Х				
Burdsall, 2020		Х			Х		Х	Х		Х
		X		Х				Х		



Articles	Ensuring	Apply	Education	Engineering	Active	Restriction	Vaccination of	Promoting	Adequate	Monitoring
	triage, early	standard and	and	controls	surveillance	of visitors	HCWs as	healthy	supply of	and
	recognition,	transmission-	training of		programme	and	recommended	behaviours	PPE	evaluation
	and source	based	healthcare		for staff,	caregivers	by the	to		and
	control	precaution	workers		visitors, and		WHO/MOH	strengthen		investing
					patients with			the		in research
					acute			immune		
					respiratory			system		
					infection					
Calcagni et al.										
2023										
Candevir et al.		X								
2021										
Chadsuthi &				Х			X			
Modchang 2021										
Cheng et al. 2021	Х	Х			Х		Х			
Lim et al. 2022		X		Х	Х				Х	
Crnich 2022		Х	Х							Х
		Х	Х	Х			Х			Х



Articles	Ensuring	Apply	Education	Engineering	Active	Restriction	Vaccination of	Promoting	Adequate	Monitoring
	triage, early	standard and	and	controls	surveillance	of visitors	HCWs as	healthy	supply of	and
	recognition,	transmission-	training of		programme	and	recommended	behaviours	PPE	evaluation
	and source	based	healthcare		for staff,	caregivers	by the	to		and
	control	precaution	workers		visitors, and		WHO/MOH	strengthen		investing
					patients with			the		in research
					acute			immune		
					respiratory			system		
					infection					
European Centre										
for Disease										
Prevention and										
Control 2023										
Glowicz et al. 2023		Х	Х						Х	Х
Hutchinson et al.		Х		Х						
2020										
Jang et al. 2022	Х	Х	Х		Х	Х				
Karam et al. 2021		Х							Х	
Kitt et al. 2023	Х	Х	Х		Х					



Articles	Ensuring	Apply	Education	Engineering	Active	Restriction	Vaccination of	Promoting	Adequate	Monitoring
	triage, early	standard and	and	controls	surveillance	of visitors	HCWs as	healthy	supply of	and
	recognition,	transmission-	training of		programme	and	recommended	behaviours	PPE	evaluation
	and source	based	healthcare		for staff,	caregivers	by the	to		and
	control	precaution	workers		visitors, and		WHO/MOH	strengthen		investing
					patients with			the		in research
					acute			immune		
					respiratory			system		
					infection					
Li et al. 2021		X		X						
Di Cola et al. 2023		Х				Х			X	
de Miranda Costa		Х	Х		Х					Х
et al. 2020										
Mouallem et al.			Х						Х	
2021										
Negera et al. 2022		Х	Х				Х		Х	
Ochie et al. 2022			Х							
Osula et al. 2022			Х							
		Х								



Articles	Ensuring	Apply	Education	Engineering	Active	Restriction	Vaccination of	Promoting	Adequate	Monitoring
	triage, early	standard and	and	controls	surveillance	of visitors	HCWs as	healthy	supply of	and
	recognition,	transmission-	training of		programme	and	recommended	behaviours	PPE	evaluation
	and source	based	healthcare		for staff,	caregivers	by the	to		and
	control	precaution	workers		visitors, and		WHO/MOH	strengthen		investing
					patients with			the		in research
					acute			immune		
					respiratory			system		
					infection					
Sandaradura et al.										
2020										
Tarshkandi et al.	Х	X		Х	Х				Х	Х
2021										
Thibon et al. 2021	Х	Х		Х			Х			
Vicentini et al.							Х			
2023										
Vimercati et al.		Х	Х	Х						
2020										
Lee et al. 2020			X	Х		Х				



Articles	Ensuring	Apply	Education	Engineering	Active	Restriction	Vaccination of	Promoting	Adequate	Monitoring
	triage, early	standard and	and	controls	surveillance	of visitors	HCWs as	healthy	supply of	and
	recognition,	transmission-	training of		programme	and	recommended	behaviours	PPE	evaluation
	and source	based	healthcare		for staff,	caregivers	by the	to		and
	control	precaution	workers		visitors, and		WHO/MOH	strengthen		investing
					patients with			the		in research
					acute			immune		
					respiratory			system		
					infection					
Wee et al. 2021		X		X	X					Х
Wilson et al. 2022		Х		Х			Х			Х
Winkler et al. 2022		Х		Х	Х	Х	Х		Х	
Lu et al. 2020	Х	Х	Х	Х						
WHO, 2021	Х	Х	Х	Х		Х	Х			Х
Health Protection	Х	Х		Х	Х		Х		Х	
Surveillance										
Centre, 2023										
Ontario, 2020		Х	Х	Х	Х				Х	Х
		Х		Х	Х			Х		



Articles	Ensuring	Apply	Education	Engineering	Active	Restriction	Vaccination of	Promoting	Adequate	Monitoring
	triage, early	standard and	and	controls	surveillance	of visitors	HCWs as	healthy	supply of	and
	recognition,	transmission-	training of		programme	and	recommended	behaviours	PPE	evaluation
	and source	based	healthcare		for staff,	caregivers	by the	to		and
	control	precaution	workers		visitors, and		WHO/MOH	strengthen		investing
					patients with			the		in research
					acute			immune		
					respiratory			system		
					infection					
National Health										
Service (NHS)										
2022										
Ministry of Health,	Х	X		Х						
Ghana 2020										
Stempliuk, 2022		Х		Х						
Department of		Х	Х	Х	Х					Х
Health, RSA 2020										
Shared health		Х				Х	Х	Х	Х	
2023										



Articles	Ensuring	Apply	Education	Engineering	Active	Restriction	Vaccination of	Promoting	Adequate	Monitoring
	triage, early	standard and	and	controls	surveillance	of visitors	HCWs as	healthy	supply of	and
	recognition,	transmission-	training of		programme	and	recommended	behaviours	PPE	evaluation
	and source	based	healthcare		for staff,	caregivers	by the	to		and
	control	precaution	workers		visitors, and		WHO/MOH	strengthen		investing
					patients with			the		in research
					acute			immune		
					respiratory			system		
					infection					
0.17		X	V				V	V		V
California		X	X				X	X		X
Department of										
Public Health 2021										
Public Health	X	X		X	Х					X
Agency Northern										
Ireland 2023										
Public Health		Х	Х	Х			Х			
Agency of Canada										
2023										
Taylor, 2023				Х						



Articles	Ensuring	Apply	Education	Engineering	Active	Restriction	Vaccination of	Promoting	Adequate	Monitoring
	triage, early	standard and	and	controls	surveillance	of visitors	HCWs as	healthy	supply of	and
	recognition,	transmission-	training of		programme	and	recommended	behaviours	PPE	evaluation
	and source	based	healthcare		for staff,	caregivers	by the	to		and
	control	precaution	workers		visitors, and		wно/мон	strengthen		investing
					patients with			the		in research
					acute			immune		
					respiratory			system		
					infection					
HEPACART 2021		X								
WHO 2020g		Х	Х		Х				Х	Х
Number of Articles	10	42	22	28	16	8	15	6	12	15

4.3.1.1 Theme 1: Ensuring triage, early recognition, and source control

Ten articles were used to draft strategy to ensure triage, early recognition, and source control to prevent the spread of respiratory infections in healthcare facilities. It is essential to pre-triage all potential patients with fever and cough and to provide them with surgical masks. They should also be kept from crowded environments and, when possible, kept in isolation (WHO, 2021:6). According to Lu et al. (2020:454), adequate triaging and proper bed allocation of patients with respiratory infections may reduce the spread of the infections in healthcare facilities. A detailed triage at the emergency room and all hospital entrances should be initiated as part of measures to stop respiratory viral transmission from the community to hospitals (Cheng et al., 2021:9; MOH,2020:20). Screening clinics for the early diagnosis and treatment of respiratory infections should be instituted at hospitals (Jang et al., 2022:24) and screening checkpoints at hospital entrances for Acute Respiratory infections (Tarshkandi et al., 2021:454; Public Health Agency Northern Ireland 2023:15; Health Protection Surveillance Centre, 2023:15) may contribute to the prevention of the spread of infections. The screening of visitors of hospital patients for respiratory infections should also not be neglected (Kitt et al., 2023:1).

4.3.1.2 Theme 2: Apply standard and transmission-based precautions

Forty-two articles were used in drafting the strategy that refer to applying standard and transmission-based precautions to reduce the spread of respiratory infections in healthcare facilities. Effective hand hygiene practices should be encouraged among healthcare workers, patients, and visitors to prevent healthcare-associated respiratory infections (Burdsall, 2020:15; Alqahtani et al., 2022:10; WHO, 2020g:4). The practices need to be emphasised not only during patient care but also during gatherings in all healthcare facilities (Alsaedi et al., 2022:7). It is crucial to promote the maintenance of healthy hand skin and fingernails by encouraging staff to include fingernail care (Glowicz et al., 2023:367-369).



Using recommended PPE by healthcare workers, patients, caregivers, and persons in the healthcare setting may contribute to the prevention of the spread of respiratory infections (Bludau et al., 2022:33; Alqahtani et al., 2022:10; MOH,2020:20; Di Cola et al., 2023:3). The equipment may mitigate potential indirect contact transmission of respiratory infections by reducing environmental surface contamination and providing barrier protection (Wilson et al., 2022:323). Healthcare workers managing patients with respiratory infections in high-risk areas or performing aerosol-generating procedures should put on a complete set of personal protection equipment, including an N95 mask or equivalent, gown, gloves, and eye protection (Lim et al., 2022:140).

Healthcare workers working in overcrowded settings or poorly ventilated areas should also wear a well-constructed, well-fitting mask (Public Health Agency Canada, 2023:1). Using N95 masks protects healthcare workers remarkably from viral respiratory infections (Candevir et al., 2021:3241). When disposable particulate respirators are used, a seal check must be conducted to ensure no leaks. An appropriate respirator fit may be compromised by a beard or other thick facial hair (Sandaradura et al., 2020:1; WHO, 2021:9). The WHO recommends that although COVID-19 infections are no longer rife, all healthcare workers should still continuously use medical marks during patient care. Under this, all healthcare workers, including community health workers and caregivers who work in clinical settings, must wear medical masks throughout their entire shifts, except for when eating or drinking and when they change their masks after attending to a patient who needs droplet/contact or airborne precautions for reasons other than COVID-19 infections (WHO, 2021:7).

Regular cleaning and disinfection of respiratory equipment, high-touch surfaces, and objects that had been used during patient care can reduce the spread of healthcare-associated respiratory infections (Public Health Agency of Canada, 2023:1; California Department of Public Health, 2021:18; European Centre for Disease Prevention and Control [ECDC], 2023:8; Winkler et al., 2022:5). All items that come into direct or indirect



contact with the mucous membranes of the lower respiratory tract of patient should be decontaminated after use (Stempliuk, 2022:28).

Adequate environmental cleaning and disinfection of rooms in the healthcare facility can prevent the spread of respiratory infections (Lu et al., 2020:454; Vimercati et al., 2020:2; MOH, 2020:20; Wee et al., 2021:129; Wilson et al., 2022:323). Healthcare workers and patients must ensure good respiratory hygiene and cough etiquette by covering their cough and sneezes with a tissue or by using bend elbows (Public Health Agency Canada, 2023:1; California Department of Public Health, 2021:18; HEPACART, 2021:1; Calcagni et al., 2023:61).

A standard operating procedure for the management of linen should be implemented in all healthcare settings (Department of Health, South Africa, 2020:69; Health Protection Surveillance Centre, 2023:39). Staff members should be trained and assigned to control laundry and must ensure that all applicable measures are followed to prevent the spread of respiratory infections (Department of Health, South Africa, 2020:69).

Transmission-based precautions include contact precautions and droplet and airborne precautions for aerosol-generating medical procedures (Ontario, 2020:18; Stempliuk, 2022:26-27; Department of Health, Republic of South Africa, 2020:28). If necessary, additional precautions to prevent the spread of respiratory infections should be implemented based on research evidence. Droplet precautions to prevent the spread of drops of secretions contaminated with bacteria and viruses and contact precautions to avert direct or indirect transmission by avoiding contact with infected surfaces or devices are necessary. Healthcare workers should use proper PPE (medical mask, eye protection, gloves, and gown) and sanitised equipment during patient care. They should avoid contacting their mouth, nose, or eyes with potentially infected gloves or ungloved hands. It is also essential to avoid contaminating areas of the surroundings (such as door



handles and light switches) not specifically used in patient care. Good hand hygiene before and after removing PPE should be practised (WHO, 2021:9).

Healthcare workers who perform aerosol-generating procedures (such as intubations, bronchoscopies, open suctioning of the respiratory tract, and cardiopulmonary resuscitation) are exposed to airborne infections and should, therefore, wear PPE, which should include gloves, long-sleeved gowns, eye protection, and fit-tested particulate respirators (N95 or equivalent, or higher level of protection). A user-performed seal check before each user should not be mistaken for a planned fit test. Such procedures should be performed in well-ventilated rooms (WHO, 2021:9).

4.3.1.3 Theme 3: Education and training of healthcare workers

To prevent the spread of respiratory infections, upscaling the knowledge and skills of all staff members is crucial (WHO, 2020g:4). Successful training of healthcare workers can reduce the prevalence and spread of healthcare-associated infections with a significant decrease in institutional healthcare expenses (Department of Health, Republic of South Africa, 2020:175). Such training should be complemented with applicable administrative measures to enable staff to use their knowledge and skills to the benefit of themselves and their patients (Ochie et al., 2022:6).

Twenty-two studies were used to draft strategies to prevent the spread of healthcare-associated respiratory infections through education and training of healthcare workers. The workers should be trained regarding the correct donning and discarding of personal preventive equipment (Vimercati et al., 2020:2) and about ways to prevent interpersonal spread of viruses and bacteria (Lee et al., 2020:2; ECDC, 2023:8; Crnich, 2022:1912; Ahmad et al., 2022:4) followed by refresher courses to ensure their competency in infection prevention (Negera et al., 2022:9). It is essential to arrange periodic training for all staff of ICU and for all hospital cleaning staff (Lu et al., 2020:454; de Miranda Costa et



al., 2020:27; Osula et al., 2022:4). All pre-entry training of healthcare professionals should include basic measures to prevent the spread of infections (Mouallem et al., 2021:57; Department of Health, Republic of South Africa, 2020:175). The focus of all infection prevention training should be on the characteristics of infections, the level of risk of infection in the healthcare setting, and measures to respond to infections (Ontario, 2020:18; WHO, 2021:10). Healthcare workers need to know how to use alcohol-based hand sanitisers properly (Glowicz et al., 2023:368). According to Crnich (2022:1912), each healthcare facility requires the input from infection control specialists with advanced training in infection management with an emphasis on preventing infections (Crnich, 2022:1912).

Public health campaigns that emphasise individual risk and provide clear, consistent guidance on what individuals can do to decrease their risk for respiratory infections may be effective in motivating people to prevent infection and adhere to measures to manage infections (Badr et al., 2021:677). Patients and caregivers must be educated to prevent and manage respiratory infections. It can be conducted through the dissemination of educational materials, such as banners, posters, and brochures on preventive measures (Jang et al., 2022:24; Bludau et al., 2022:33; Kitt et al., 2023:359; de Miranda Costa et al., 2020:26).

4.3.1.4 Theme 4: Engineering controls

Engineering controls are an integral part of IPC and include standards for adequate ventilation of high-risk areas in healthcare facilities, proper structural design, and spatial separation between infected and non-infected patients (WHO, 2021:11). A variety of engineering controls have been recommended to reduce the risk of respiratory infection transmission in healthcare settings. Twenty-eight studies were used to draft strategies to prevent the healthcare-associated spread of respiratory infections through engineering controls.



The buildings of healthcare facilities should be designed to be airy, of the right temperature and humidity, and allow workflow activities to prevent the spread of infections through the air to patients, visitors, and healthcare workers. Healthcare facilities should be designed, furnished, and supplied with materials and finishes that are easy to clean to reduce the spread of infectious diseases.

The structure of wards and isolation rooms should have an-suite ablution facilities and overcrowding should be prevented at all times (Department of Health, Republic of South Africa, 2020:34: Health Protection Surveillance Centre, 2022:39; Vimercati et al., 2020:2; Taylor, 2023:1; ECDC, 2023:8; Public Health Agency of Canada, 2023:1). When necessary, infected patients should be cared for in negative-pressure airborne infection-isolation-rooms to prevent the spread of their infections to other patients (Wee et al., 2021:125; Ontario Agency for Health Protection and Promotion, 2020:19; Public Health Agency Northern Ireland, 2023:48; MOH, 2020:20; National Health Srevice, 2022:19; Tarshkandi et al., 2021:1156; Li et al., 2021:5; Hutchinson et al., 2020:121).

A reduction in the duration of mechanical ventilation can also prevent healthcare-associated respiratory infections (California Department of Public Health, 2021:15). Appropriate ventilation and air filtration of patient care spaces are essential to prevent infection, reduce contamination, and decrease the number of infectious particles through air exchanges, occupancy, and cycling time between patient use (Winkler et al., 2022:312). A new ventilator circuit should be provided to each patient, and the circuit can be changed only when soiled or damaged to prevent ventilator-acquire pneumonia (Stempliuk, 2022:41). Ventilation and filtration of air and physical distancing between source and receptors are categorised under pathway intervention strategies. Adequate ventilation reduces the transmission of respiratory infections (Wilson et al., 2022:320).

An outdoor pharmacy service for regular maintenance prescriptions may contribute to the prevention of the spread of infections (Lee et al., 2020:2). Social distancing of at least 1.5



metres for infected and non-infected persons may also contribute to the prevention of the spread of respiratory infections in healthcare settings (Badr et al., 2021:679-680; Calcagni et al., 2023:57). Social distancing as a control measure for respiratory infection outbreaks must be kept in place in all healthcare settings during episodes (Alsaedi et al., 2022:1284; Thibon et al., 2021:6). According to Alqahtani et al., (2022:6-7) citizens are more willing to use social distancing measures indoors than outdoors during respiratory infection outbreaks. In clinics and workplaces, social distancing can be implemented by keeping one or two chairs empty in waiting areas (Bludau et al., 2022:31). Physical distancing between people is a measure that can easily be implemented to prevent the spread of respiratory infections (Wilson et al., 2022:320-321); however, Chadsuthi and Modchang (2021:6) observe that physical distancing only helps delay the peak of the outbreak of respiratory infections.

4.3.1.5 Theme 5: Active surveillance programme for staff, visitors, and patients with acute respiratory infections

Surveillance of infections is the systematic collection, analysis, and interpretation of data on the disease frequency. It is essential to the planning, implementation, and evaluation of public health practices and the timely dissemination of the data for public health action. Healthcare-associated respiratory infections can be reduced by successfully implementing infection prevention measures in healthcare facilities (Department of Health, Republic of South Africa, 2020:138). The initial infection control measure for respiratory disease outbreaks includes screening of all healthcare workers and patients with respiratory symptoms (Winkler et al., 2022:313; Alsaedi et al., 2022:1283; Cheng et al., 2021:9). Regular monitoring of respiratory symptoms of caregivers and visitors should also be conducted (Jang et al., 2022:24; Kitt et al., 2023:359; Public Health Agency Northern Ireland, 2023:6).



One of the safety measures implemented by nursing leadership during pandemics should include the screening of all staff at the beginning of the shift for signs of acute respiratory infections (Tarshkandi et al., 2021:1156: National Health Service, 2022:5). Anyone who screens positive for a respiratory infection needs to be instructed to implement precautions and be referred for medical assessment (Ontario Agency for Health Protection and Promotion, 2020:11-12). Hospital-wide enhanced staff sickness surveillance is necessary and needs to be implemented in addition to routine staff sickness absenteeism surveillance to identify infected staff early and to prevent nosocomial transmission to other staff members (Lim et al., 2022:141). Ill healthcare workers should be requested to report to the staff clinic for further investigation and positioned on a mandatory 5-day medical leave (Wee et al., 2021:126).

For ongoing healthcare-associated respiratory infections surveillance, initial point prevalence studies should be conducted to establish baseline information. Sixteen articles obtained in the literature search were used to draft strategies for preventing the spread of respiratory infection in a healthcare setting through active surveillance programmes for healthcare workers, visitors, and patients with respiratory infections/illnesses.

4.3.1.6 Theme 6: Restriction of visitors and caregivers

Eight documents were used to draft strategies to prevent the healthcare-associated spread of respiratory infections by restricting visitors and caregivers during an outbreak. The restriction of visitors may contribute to preventing the spread of respiratory infections (WHO, 2021:10; Di Cola et al., 2023:3; Alsaedi et al., 2022:1284). It may also be necessary to restrict the number of caregivers to visit hospitalised patients (Shared Health, 2023:7). Hospitals with paediatric units usually allow only one accompanying person for underage patients (Bludau et al., 2022:33) and a total restriction on visitation to ICU and special wards during respiratory infection outbreaks (Jang et al., 2022:24). Other



workflow modifications to ensure the reduction of the spread of respiratory infections may be to reduce room entry and exit in caring for patients exposed to or with suspected infections (Winkler et al., 2022:313). A visitor policy, including maintaining a visitor log and limiting visitor numbers, is often required during respiratory infection outbreaks. Access control is essential to avoid overcrowding in healthcare facilities during an outbreak of respiratory infections; only those hospital entrances essential to the effective movement of personnel can be kept open (Lee et al., 2020:103).

4.3.1.7 Theme 7: Vaccination of healthcare workers as recommended by WHO/MOH

The most reliable method of preventing healthcare workers from acquiring respiratory infections is vaccination (Wilson et al., 2022:321). Vaccination against respiratory infections is vital to protect healthcare workers and the people they care for (Health Protection Surveillance Centre, 2023:12). When healthcare workers are vaccinated, they contribute to the prevention of respiratory disease outbreaks (WHO, 2021:23). Mandatory vaccination for all healthcare workers against respiratory viruses/infection reduces the spread of infections in healthcare settings (Thibon et al., 2021:6; Vicentini et al., 2023:52). A crucial element of managing respiratory infections and safeguarding healthcare workers is providing high coverage with safe and efficient vaccines. This is in addition to following recommended infection control and prevention practices (Cheng et al., 2021:13; Negera et al., 2022:9).

According to Alqahtani et al. (2022:6) and Chadsuthi and Modchang (2021:8), vaccination, as recommended by the WHO, is a reliable measure to control respiratory infections. Immunisation with the influenza, COVID-19 and Pneumococcal vaccines is the most effective way to prevent respiratory diseases and complications (Shared Health, 2023:16; California Department of Public Health, 2021:6). Healthcare workers should, therefore, be advised on and offered vaccination against influenza and SARS-COV-2 under national recommendations (ECDC, 2023:8). High-dose vaccine demonstrated that



influenza vaccination has a vital protection effect when compared to standard-dose vaccine (Burdsall, 2020:15). Vaccination has been added as an elimination strategy for respiratory pandemics, in that several employers, including healthcare organisations, made employee vaccination a condition of employment. The approach has been supported by several professional societies and organisations (Winkler et al., 2022:313). Fifteen studies were used to draft strategies to prevent the healthcare-associated spread of respiratory infections through recommended vaccination/immunisation.

4.3.1.8 Theme 8: Promoting healthy behaviours to strengthen the immune system

The immune system's biochemical and communication pathways may be supported by optimal hydration (Lukito, 2021:3). The provision of proper amounts of nutrients to immune cells through adequate nutrition is crucial for regulating an optimal immune response (Munteanu & Schwartz, 2022:1). The exchange of immunological cells between the circulation and peripheral lymphoid tissues is improved by regular bouts of moderate-to-vigorous exercise. The result is improved immune system, better health, and a reduced risk of infection (Simpson et al., 2020:6).

Six articles from the review were used to draft strategies to prevent the healthcare-associated spread of respiratory infections by promoting healthy behaviours to strengthen the immunity of healthcare workers, patients, and the public. Healthcare workers discourse behavioural measures, such as smoking cessation and exercise, to enhance immunity (California Department of Public Health, 2023:10; Calcagni et al., 2023:57). Modifiable risk factors for pneumonia should be discoursed, such as smoking cessation, alcohol, and drug abuse. Adequate nutrition also decreases the risk of pneumonia (Burdsall, 2020:15). Smoking cessation protects an individual from respiratory diseases (National Health Service, 2022:1). Despite smokers being more susceptible to respiratory infections, smokers are often reluctant to stop their smoking habit. For future implementation, special consideration should be paid to the potential



harms of smoking (Alqahtani et al., 2022:10). The management of these problems needs an interdisciplinary approach (Burdsall, 2020:15).

4.3.1.9 Theme 9: Adequate supply of personal protection equipment

The prevention of the spread of respiratory infections requires that all healthcare facilities should have an adequate supply of PPE to protect their healthcare workers from infections (Ontario Agency for Health Protection and Promotion, 2020:18) and spreading it to their colleagues, patients and visitors (Health Protection Surveillance Centre, 2023:39; Shared Health, 2023:20). It forms part of the minimum requirements for infection control in healthcare settings (WHO 2020g:4; Glowicz et al., 2023:362). The provision of the equipment is part of standard and transmission-based precautions (Winkler et al., 2022:313; Di Cola et al., 2023:3). The healthcare setting needs to manage resources safely and effectively during outbreaks of respiratory infections to enable an adequate supply of the equipment (Tarshkandi et al., 2021:1157).

In focus on reducing nosocomial infection between patients and healthcare workers, continuous provision of PPE for all healthcare workers is essential (Negera et al., 2022:9). Reusable equipment is cheaper than disposable equipment and provides adequate protection to patients, caregivers, and healthcare workers (Mouallem et al., 2021:56-57). An adequate equipment supply can mitigate respiratory infections (Karam et al., 2021:4). Staff working in high-risk areas or performing aerosol procedures should have suitable equipment (Lim et al., 2022:140). Twelve studies were used to draft strategies to prevent the healthcare-associated spread of respiratory infections through an adequate supply of PPE.

4.3.1.10 Theme 10: Monitoring and evaluation, and investing in research

Healthcare facilities must adopt an adherence monitoring programme for measuring preventive care practices (California Department of Public Health, 2021:36). Regular



feedback to the healthcare managers promotes best practices and, over time, causes behaviour or system change towards improving the quality of care and patient safety is obtained (Department of Health, Republic of South Africa, 2020:186). Hand hygiene audits must be conducted through regular monitoring of hand hygiene adherence by staff (Wee et al., 2021:129; Anguraj et al., 2021:1248-1251; de Miranda Costa et al., 2020:27; Glowicz et al., 2023:369). Ensure an ongoing healthcare facility risk assessment and an associated infection prevention programme (Burdsall, 2020:15). It is, however, insufficient to monitor workplace risks; measures to reduce the risks need to be developed and implemented (ECDC, 2023:8) as healthcare workers in acute settings are often exposed to developing respiratory infections (Wilson et al. 2022:312).

The WHO developed several devices for healthcare facilities and public health stakeholders to assess the preparedness of facilities to identify and manage COVID-19 patients and other respiratory infections and monitor and evaluate measures to prevent the spread of respiratory infections (WHO, 2021:16). Risk assessment must be conducted in all healthcare settings by a competent person with the skills, knowledge, and experience to recognise the hazards associated with respiratory infectious agents (Public Health Agency Northern Ireland, 2023:3). Provision of support and supervision of staff during outbreaks of respiratory infection are required (Ontario Agency for Health Protection and Promotion, 2020:18). The monitoring of staff well-being, establishing mental health support, and giving financial incentives can prevent negative attitudes towards preventing respiratory infections during outbreaks (Tarshkandi et al., 2021:1158).

Governmental and non-governmental organisations should fund research to create more efficient vaccines and chemoprophylaxis/treatments for respiratory tract diseases (Crnich, 2022:1912). Research should be conducted to adapt and validate surveillance protocols based on the reality of all countries, developing, and developed (WHO,



2020g:4). Fifteen articles were used to draft strategies to prevent the healthcare-associated spread of respiratory infections through monitoring and evaluation.

4.4 Drafting of the strategy to prevent the healthcare-associated spread of respiratory infections

The themes emerging from the review were used to draft the strategy for preventing the healthcare-associated spread of respiratory infections among healthcare workers. Each theme relates to a strategy and is substantiated by a rationale and supported by a set of actions. The rationale and the efforts were derived from the findings of the cross-sectional research conducted in Phase 1 of the study and the outcome of the scoping review undertaken in Phase 2. As the KAP theory and the HBM structured the findings of Phase 1, the researcher used the same theory and model to structure the strategy. The drafted strategy was compiled to meet the criterion of the AGREE device (Brouwers et al., 2017:7). These aspects are solicited:

- 1. **Scope and purpose of the strategy**: The objectives of the strategy and the target population are described in the preamble of the strategy.
- 2. **Stakeholder involvement in developing the strategy:** The target users of the strategy were defined in the preamble of the strategy.
- 3. The rigour of strategy development: Systematic methods were used to search for evidence. A scoping review using the JBI methodological framework for scoping reviews was used to explore and analyse the literature. The findings of a cross-sectional study to determine the KAP of Ghanaian healthcare workers were integrated with the literature in the drafting of the strategy. It is briefly described in the preamble of the strategy.
- 4. **Clarity and presentation of the strategy:** The rationale and actions of the strategy were presented.
- 5. **Applicability of the strategy:** The strategies advise on how the actions can be put.



6. The editorial independence of the strategy: This aspect is not applicable as it discourses the potential competing interests of funding bodies. The researcher did no use funding from organisations to execute the research and development of the strategy.

4.5 Strategies drafted from the findings of Phases 1 and 2 of the study

The following strategy was constructed to prevent the healthcare-associated spread of respiratory infections.

Preamble of the strategies

Healthcare-associated infections constitute a major public health concern. Health systems' costs rise because of prolonged hospitalisation caused by infections, which often and significantly impair patients' life quality. Respiratory infections significantly increase the number of patients in healthcare services. Healthcare-associated respiratory infections absent at the time of admission of patients often aggravate already overburdened hospitals and clinics. There are numerous ways respiratory diseases spread in healthcare services, including airborne, droplet, and direct contact. The transmission routes depend on the pathogen and environmental factors.

To discourse this public health concern, the researcher conducted a cross-sectional study on 373 healthcare workers in Ghana to assess their KAPs towards preventing respiratory infections in healthcare services. A divergence in the healthcare workers' preventive practices towards COVID-19 and other respiratory infections was identified, suggesting that interventions should go far beyond just knowledge and attitude; however, strategies should be developed to prevent the healthcare-associated spread of COVID-19 and other respiratory infections.



The study findings were used as search terms in an extensive literature review, employing the Joanna Briggs Institute methodological framework for scoping reviews. The review's outcome, combined with the findings of the cross-sectional study, were used to draft strategies to prevent the healthcare-associated spread of respiratory infections. The concepts of the HBM and the KAP theory were used to structure the strategy. The drafted guidelines meet the criterion of the AGREE instrument. The current phase of the study aimed to refine the drafted strategies to prevent healthcare-associated respiratory infections.

Scope and purpose of the strategy: The strategies focus on practices that healthcare workers can adopt to prevent the healthcare-associated spread of respiratory infections.

Stakeholder involvement in developing the strategy: Nurses and all other members of the healthcare team are the target users of the strategy. They were represented in the first phase of the study to obtain baseline information for developing the guidelines and are in this phase of the study involved in refining the drafted strategies.

Rigour of development of the guidelines: Systematic methods were used to search for evidence. A scoping review using the Joanna Briggs Institute methodological framework for scoping reviews was used to explore and analyse the literature. The search words for the literature search were identified in the findings of the cross-sectional study on 373 healthcare workers in Ghana to assess their KAPs towards preventing respiratory infections in healthcare services. A thematic analysis of the outcome of the literature review was conducted, and 10 themes emerged. Each theme represents a strategy.

The clarity of presentation and applicability of the guidelines will be explored and described during the refinement of the strategy. Each strategy has a rationale and actions to be taken by the target user.

Application of the HBM and the KAP theory in the draft strategies



Healthcare workers' knowledge, attitudes and practices should be discoursed in preventing the spread of healthcare-associated respiratory infections. Knowledgeable healthcare workers may not have a positive attitude towards preventing the spread of healthcare-associated respiratory infections and may, therefore, not practice preventive measures. The strategies, therefore, address not only the knowledge of the workers to prevent the spread of infections, but also their attitude towards and their practice of preventive measures.

The core of the HBM rests on the opinions of people that they are susceptible to illnesses and that they can change their behaviour to prevent illnesses. The opinions are influenced by socio-demographic and psychosocial components. In developing the strategy to induce desirable health behaviour, healthcare workers' opinions about preventing respiratory infections apply.



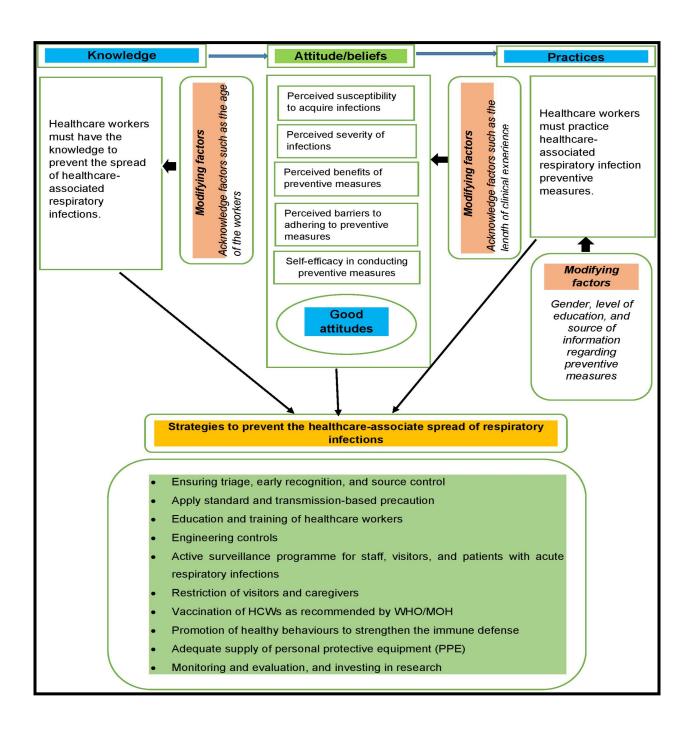


Figure 4.2: Framework for the strategy to prevent the healthcare-associated spread of respiratory infections based on the health belief model and the knowledge, attitude, and practice theory



Strategy 1: Healthcare workers must ensure triage, early recognition, and source control with suspected respiratory infections.

Rationale: Respiratory symptoms are the most common complaint in healthcare services. These symptoms can resolve without treatment, but they can also indicate a severe illness (Ellertsson et al., 2023:1). Respiratory infections can spread within the healthcare service if not promptly detected. Early screening and triaging of patients with acute respiratory symptoms should be of medical priority (WHO 2021:5). Triaging patients before in-person consultation would help to reduce the spread of respiratory infections among healthcare workers, visitors, and other patients in the healthcare service (WHO 2021:5). Appropriate triaging and bed allocation may reduce the rate of infections (Thibon et al., 2021:6).

Actions:

When ensuring triage, early recognition, and source control, Healthcare workers should:

- Be trained to recognise the signs and symptoms of respiratory infections
- Display information at the entrance of the healthcare service directing patients with signs and symptoms of acute respiratory infections to report for screening
- Be encouraged to be alert to any respiratory infection outbreak in all patients visiting the service
- Pre-triage all patients with cough and fever and provide a surgical mask
- Wear appropriate PPE and perform regular hand hygiene during the screening of patients
- Use standardised and validated triage devices to identify individuals needing immediate care and those who can safely wait while triaging other patients
- Encourage all patients with acute respiratory symptoms to wear masks in the waiting area of the healthcare service



Ensure early testing for respiratory infections

Strategy 2: Apply standard and transmission-based precautions

Standard precautions

Rationale: Standard precautions aim to reduce the risk of transmission of blood-borne and other pathogens from recognised and unrecognised sources and are the basic level of interpersonal care precautions that should always be used in the care of all patients. Standard precautions refer to good hand hygiene practices, appropriate use of personal protection equipment, good respiratory hygiene, optimal cough etiquette, exquisite environmental cleaning and disinfection, safe handling of linen and laundry, and careful waste management (WHO 2021:5).

Properly using recommended PPE by healthcare workers, patients, caregivers, or persons in the healthcare setting prevents the spread of respiratory infections (Bludau et al., 2022:33; Algahtani et al., 2022:10).

Actions

When ensuring hand hygiene, healthcare workers should:

- Wash hands before and after touching a patient, before and after a procedure or body fluid exposure, and after touching a patient's surroundings
- Clean hands with alcohol-based sanitiser containing 60-80% alcohol or with soap,
 water, and disposable towels
- Keep fingernails short and ensure healthy hand skin

When ensuring the appropriate use of PPE, healthcare workers should:



- Perform a risk assessment before encountering patients to determine whether using PPE is required
- Be trained on donning and discarding PPE and the scientific basis for the recommendations about protective practices
- Put on a complete set of PPE (N95 Mask, gown, gloves, and eye protection), when managing patients with respiratory infections in high-risk areas
- · Have prompt access to the recommended PPE
- Perform a fit test and seal check when using the N95 mask
- Ensure proper respirator fit by shaving their beard or thick facial hair
- Remove PPE before leaving the area
- Perform hand hygiene immediately after removing PPE

When ensuring respiratory hygiene practices:

- Post signs at entrances with instructions to staff, patients, and individuals with symptoms of respiratory infections to:
 - i. Wear masks when necessary.
 - ii. Cough and/or sneeze in a bent elbow or into a disposable tissue and dispose of it immediately in a bin.
 - iii. Perform hand hygiene afterwards
- Provide tissue and no-touch bins for disposal of tissues
- Provide masks to coughing patients and other persons with respiratory infections

When ensuring a clean environment, healthcare workers should:

 Ensure the cleaning of patients' environment, surfaces, medical devices used, and other equipment with water and recommended detergents



- Ensure that the laundry and the management of linen are completed by safe routine procedures
- Ensure that recommended measures manage medical waste

Transmission-based precautions

In addition to standard precautions, all individuals should use contact and droplet precautions before entering a room with patients with suspected or confirmed respiratory diseases. Aerosol-generating procedures have been associated with an increased risk of transmission of respiratory viruses; therefore, the WHO recommends special airborne precautions when performing these procedures (WHO 2021:9). The current list of these procedures includes tracheal intubation, non-invasive ventilation, tracheotomy, cardiopulmonary resuscitation, manual ventilation before intubation, bronchoscopy, sputum induction by using nebulised hypertonic saline, dentistry, and autopsy procedures.

Actions

When implementing transmission-based precautions, healthcare workers should:

- Wear surgical masks, eye protection, gowns/aprons, and gloves during patient care
- Ensure appropriate donning and discarding of PPE
- Use single rooms for patients with respiratory diseases
- Do not touch their eyes, noses, or mouths with potentially contaminated gloved or bare hands
- Frequently clean and disinfect surfaces with which patients are in contact
- Perform aerosol-generating procedures in an adequately ventilated room



Strategy 3: Education and training of healthcare workers

Rationale: Healthcare settings are legally obligated to develop, establish, and provide workers with training and educational programmes in health and safety measures and procedures relevant to their responsibilities (Health Protection Surveillance Centre, 2023:23). The provision of adequate training on the recommended inflexional prevention and control measures improves healthcare workers' competency to prevent respiratory infections (Lee et al., 2020:2; Crnich 2022:1912; Ahmad et al., 2022:4).

Actions

Healthcare workers /healthcare managers/stakeholders should:

- Receive adequate education on respiratory infections, focusing on disease characteristics, level of risk in the healthcare service, and the healthcare service plan to respond to the infection
- Be trained on the correct donning and discarding of personal protection equipment
- Have training in standard precautions, hand hygiene, respiratory hygiene, and cough
 etiquette, along with transmission-based precautions (contact, droplet, and airborne),
 including the appropriate use of PPE for each situation
- Receive refresher courses on standard and transmission-based precautions if they have been trained
- Be provided with brochures, posters, and banners with information on preventing respiratory infections
- Should include basic IPC in all health pre-curriculum training for medical staff

Strategy 4: Engineering controls

Rationale: Buildings should be designed to be airy and to allow workflow activities to prevent the spread of respiratory diseases and to facilitate the hygiene of healthcare



workers, patients, and visitors. The risk of airborne infections spreading from infected patients to susceptible workers, patients, and caregivers should be reduced by proper airflow (Department of Health, Republic of South Africa 2020:34). Isolation of confirmed and suspected cases of respiratory infections can reduce the spread of the thereof (Tarshkandi et al., 2021:1156; Li et al., 2021:5; Hutchinson et al., 2020:121). Social distancing of 1.5 metres for infected and non-infected persons can also prevent the spread of respiratory infections in the healthcare setting (Badr et al., 2021:679-680).

Actions

When ensuring engineering controls, healthcare workers/healthcare managers should:

- Maintain a distance of at least one metre between patients with respiratory infections and other individuals
- Ensure healthcare facilities are designed, furnished, and supplied with materials and finishes that are simple to clean
- Ensure isolation wards equipped with negative-pressure airborne infection-isolation-rooms (AIIRs) are built to accommodate patients with high-risk respiratory infections
- Ensure isolation rooms are in an area separated from other patient care areas
- Ensure that triage and waiting areas are designed and used that transmission of respiratory diseases is prevented
- Ensure that rooms are adequately ventilated when performing aerosol-generating medical procedures
- Maintain ventilation in the healthcare setting, considering comfort and weather. The
 goal is to achieve reasonable air exchange with gentle air movement. Strong airflow
 into the room from outside readily felt and causes discomfort is not required and may
 contribute to airflow from the room
- Ensure rooms are adequate to accommodate wheelchair users and mobile medical equipment and to prevent overcrowding



- Improve indoor ventilation by opening windows or doors
- Reduce the duration of mechanical ventilation for patients on admission and a new ventilator circuit should also be provided to each patient
- Ensure social distancing in an indoor public place

Strategy 5: Active surveillance programme for staff and patients with acute respiratory infections

Rationale: An integral part of any successful IPC policy is surveillance (monitoring for sickness (Health Protection Surveillance Centre, 2023:26). "Public health surveillance is the ongoing systematic collection, analysis, and interpretation of data, closely integrated with the timely dissemination of the resulting information to those responsible for preventing and controlling disease and injury" (Chiolero et al., 2020:1). Surveillance attempts to provide healthcare decision-makers with timely and practical information to help them to set priorities, determine the needed interventions and to evaluate the effect of interventions (Chiolero et al., 2020:1). The effectiveness of newly implemented healthcare interventions can be evaluated, monitored, or checked through surveillance (Gilbert & Cliffe 2016:93).

- Screening of healthcare workers, patients, and visitors entering the healthcare facility for signs and symptoms of respiratory illnesses
- Healthcare workers should ensure that reporting systems for acute respiratory infections among staff and patients are in place in the healthcare service
- Healthcare workers who develop respiratory infections should report their condition according to facility protocol
- There should be early identification of staff absence/s owing to a respiratory infection outbreak



- Anyone who screens positive on the acute respiratory infections (ARIs) case finding/surveillance protocol should be instructed to implement precautions and later referred for medical assessment
- Patients/visitors and staff in the healthcare setting must be monitored for signs of acute respiratory infection using the recommended device
- There should be effective working relationships between the healthcare setting and the public health unit. Clear lines of communication are maintained. The public health unit is contacted for information and advice as required and the obligation to report any respiratory infection outbreak

Strategy 6: Restriction of visitors and caregivers

Rationale: Limiting the number of family members, visitors, and caregivers in contact with persons with respiratory infections reduce the spread of infections within the healthcare service (WHO 2020g:2). Visitor restrictions are one of the elimination strategies for respiratory infection outbreak (Winkler et al., 2022:311). Rates of healthcare-associated respiratory viral infections among inpatients decrease when visitors are restricted (Wee et al., 2021:124).

- Healthcare workers should ensure that visitors and family members visiting patients with respiratory infections are limited per facility protocol
- All healthcare settings must have signage at all entrances instructing all clients/patients/residents, visitors, and volunteers about any restrictions implemented
- Visitors must practice hand hygiene, be instructed in, and adhere to appropriate precautions when visiting, and not visit if they have any acute respiratory illness symptoms



- Health care settings where exposure, with or without transmission of respiratory infection, has occurred should restrict the number of entrances into the facility
- Individuals wanting to visit loved ones should use designated entrances in healthcare facilities
- All visitors should continue to wear a well-fitting mask when entering the healthcare facility

Strategy 7: Vaccination of healthcare workers

Rationale: Healthcare workers have often been traced as a source of transmission of vaccine-preventable respiratory diseases to susceptible patients (Maltezou et al., 2022:1). The most reliable method of preventing receptors from infection is vaccination (Wilson et al., 2022:321). "Vaccination of healthcare workers indirectly protects vulnerable patients, especially those who cannot get vaccinated because of host factors (e.g., young infants, pregnant women) or those who do not elicit satisfactory immune responses after vaccination (e.g., elderly, immunocompromised patients)" (Maltezou et al., 2022:1). Vaccination against respiratory infections is important to protect the healthcare worker and the people they care for (Health Protection Surveillance Centre, 2023:12). When healthcare workers are vaccinated, they contribute to the prevention of respiratory disease outbreaks (WHO, 2021:23).

- Mandatory vaccination for healthcare workers for vaccine-preventable respiratory diseases under national recommendations
- Ensure healthcare workers know that they should be vaccinated, including boosters
- Encourage a high level of vaccine intake by health staff by providing support to staff with questions about the benefits and risks of vaccination
- Provision of education on the benefits and risks of vaccination to healthcare workers



- Ensure healthcare workers have easy access to the vaccination sites
- Ensure promotional activities, such as using promotional material, including advertising posters hung up in the wards, banners in the hospital, an Internet page, and a campaign presentation event to increase vaccination coverage for healthcare staff
- Ensure regular review of the vaccination uptake by healthcare staff regarding respiratory infections

Strategy 8: Promoting healthy behaviours to strengthen the immune system

Rationale: The immune system is vital in the susceptibility and response to infections (Vu et al., 2022:2). Our immune system protects us against foreign invaders, including microbial infections. The risk of viral infection is significantly enhanced if the hosts' immunological defence is weak, causing an imbalance between the hosts' proinflammatory responses and antiviral activity. Therefore, promoting healthy behaviours to enhance individuals' immunity with nutritious food and supplements may be a rational strategy for minimising damages caused by infections (Han & Hoang 2020:1). To naturally defend the body against respiratory virus infections, the immune system needs support that can be established in healthy behaviour (Jawhara, 2020:1). By strengthening the immune system, the possibility of respiratory infections gets reduced (Iddir et al., 2020:1). Activities that can boost the immune system include exercise, nutrition and hydration, smoking cessation, and stress management (California Department of Public Health, 2023:10; Calcagni et al., 2023:57; Burdsall, 2020:15).

Actions

When ensuring regular exercise:



- Healthcare staff, patients, and the public should be advised to engage in moderate exercises, considering their health condition
- Healthcare workers should educate patients and the public on the risks and benefits of regular moderate-vigorous exercises
- Patients admitted to the ward should be engaged in moderate/passive exercise, depending on their health condition

When ensuring adequate nutrition and hydration:

- Advise patients, healthcare workers, and the public to increase their fluid intake
- Educate the importance of ensuring adequate hydration
- Advise healthcare staff and the public to keep a record of their water intake using the recommended applications (APPs) on their phones
- Encourage healthcare workers, patients, and the public to take a diet rich in vitamins and minerals
- Educate patients and relatives at the healthcare facility
- Provide dietary supplements to the vulnerable (pregnant women, children) in the society

When ensuring smoking cessation:

- Provide health education on the effect of smoking on respiratory infections
- Place banners and posters at the entrance of the healthcare facility showing the effects of smoking
- Provide support for healthcare staff and patients who smoke to quit through counselling programmes

When ensuring stress reduction:



- Educate various stress management techniques to healthcare staff, patients, and the public
- Healthcare managers should implement measures to ensure adequate staff per shift
- Encourage healthcare staff to enjoy their annual holiday according to facility protocol

Strategy 9: Adequate supply of personal protective equipment

Rationale: The WHO advises to use contact and droplet precautions while treating patients who have confirmed or suspected respiratory infection. It is recommended to use airborne safety precautions when performing aerosol-generating activities—all these precautions require using PPE, and the WHO does not recommend the reuse of PPE (WHO, 2020g:1). An adequate supply of PPE to healthcare workers encourages them to use the equipment only once (Health Protection Surveillance 2023:21). Healthcare personnel are more likely to become ill without the supply of proper PPE. Reduction in providing care owing to staff illnesses combined with a high demand for care, leading the healthcare infrastructure to become unstable, resulting in a decline in the quality and quantity of care (Cohen & Rodgers, 2020:2). The health system's capacity is reduced because of ill practitioners' increased demand for care (Cohen & Rodgers, 2020:2). Using recommended equipment by healthcare workers, patients, caregivers, or persons in the healthcare service may prevent the spread of respiratory infections (MOH, 2020:20; Di Cola et al., 2023:3).

- Provide sufficient and appropriate PPE to healthcare workers, patients, and visitors in the healthcare service.
- The healthcare setting should ensure that the recommended PPE is accessible and available and that staff members have received training in its use



- Ensure patients, caregivers, and visitors in the healthcare facility have access to recommended PPE
- Adequate resources should be devoted to IPC programmes in all healthcare settings,
 and adequate inventories of PPE should be maintained

Strategy 10: Monitoring and evaluation and investing in research

Rationale: Monitoring and feedback aimed at engaging stakeholders, creating partnerships, and developing working groups and networks. As part of QI, monitoring, audit, and feedback, an important device for informing and convincing health workers and managers of existing problems and solutions has been developed (Department of Health, Republic of South Africa, 2020:186). Correct implementation of IPC measures will minimise the spread of respiratory infection in the healthcare facility (WHO, 2021:16); therefore, IPC divergences should be assessed, and progress in discoursing them. Monitoring staff well-being, establishing mental health support, and giving incentives can prevent negative attitudes towards preventing respiratory infections during an outbreak (Tarshkandi et al., 2021:1158). Research helps to increase knowledge to create efficient vaccines and chemoprophylaxis/treatments for respiratory tract diseases (Crnich, 2022:1912). Regular feedback promotes best practices and, with time, causes behaviour or system change towards improving the quality of care and patient safety (Department of Health, Republic of South Africa, 2020:186).

Actions

Healthcare managers/stakeholders should:

 Conduct adherence monitoring for HCWs on recommended IPC measures using the recommended devices, such as hand hygiene audit



- Ensure regular assessment of the effectiveness of the IPC programme and its impact on practices in the healthcare setting
- Conduct healthcare facility risk assessment and communicate results/feedback to each unit in the healthcare facility
- Be reminded of the need to demonstrate training, and is, therefore, encouraged to document the workers trained, the dates training was conducted, and the information and materials included during training
- Provide support and supervision for staff during an outbreak of a respiratory infection
- Be supported financially when conducting research on respiratory disease and its management in the healthcare setting

4.6 SUMMARY

The scoping review identified 10 strategies to prevent the Healthcare-associated spread of respiratory infections. The strategies identified are specific based on the findings of the healthcare workers' Knowledge, attitudes, and practices towards the novel coronavirus disease. IPC strategies for respiratory infections are similar in the country. The identified strategies can offer a useful contribution to application in various healthcare settings.

Chapter Five evaluates the refinement of strategies to prevent the healthcare-associated spread of respiratory infections.



CHAPTER FIVE

PHASE 2: REFINEMENT OF STRATEGIES TO PREVENT THE HEALTHCARE-ASSOCIATED SPREAD OF RESPIRATORY INFECTIONS

5.1. Introduction

The KAPs of healthcare workers towards preventing respiratory infections in healthcare services were described in Phase 1 of the study. A divergence in the healthcare workers' preventive practices towards COVID-19 and other respiratory infections was identified.

In the first part of this phase, draft strategies were developed to prevent the healthcare-associated spread of respiratory infections. The findings of Phase 1 and an extensive literature review served as basis information for developing the strategy and are incorporated in the draft strategies for refinement (observed in the second part of the phase) through focus group interviews with healthcare managers in the selected five participating healthcare facilities. The concepts of the HBM and the KAP theory were used to structure the strategy. The drafted guidelines meet the criterion of the AGREE instrument. The current part of Phase 2 of the study aimed to refine the formulated strategies to prevent healthcare-associated respiratory infections.

5.2 Process of refinement of the draft strategies to prevent the healthcareassociated spread of respiratory infections

During focus group interviews, the drafted strategies were evaluated, rephrased, removed if it is not applicable and appreciated when they appeared appropriate. The researcher purposively selected and invited 10 healthcare managers of the groups of participants involved in the first phase of the study to participate in refining the draft guidelines. It was assumed that the selected healthcare managers could contribute to refining the strategy to prevent the healthcare-associated spread of respiratory infections.



The researcher believed that the involvement of the healthcare managers from the designated facilities in the Ashanti region may assist with the refinement and approval of realistic strategies that may lead to better collaboration during future implementation.

Table 5.1 presents Focus Group 1 participants' descriptive information. The table summarises the descriptive information about focus group participants who refined the strategy to prevent the healthcare-associated spread of respiratory infections.

Table 5.1: Focus Group 1 participants' descriptive information

Foc	Focus Group 1 participants		
No	Job title	Experience in	Position
		healthcare	
1.	Public health nurse	Sixteen years of public	Unit manager
		health unit manager	
		experience with 24 years	
		of work experience as a	
		professional nurse.	
2.	Medical laboratory scientist	Five years of unit	Unit manager
		manager experience.	
3.	Senior professional nurse	Ten years of nursing	Nurse manager
		management experience	
		with 30 years of	
		experience as a	
		professional nurse	
4.	Mental health nurse	Twelve years of work	Unit manager
		experience as a	
		professional nurse	
5.	Paediatric nurse		Unit manager



Foc	Focus Group 1 participants		
No	Job title	Experience in Position	
		healthcare	
		Fourteen years of work	
		experience as a	
		professional nurse	

Table 5.2 summarises the descriptive information about Focus Group 2 participants in refining the strategy to prevent the healthcare-associated spread of respiratory infections.

 Table 5.2:
 Descriptive information about Focus Group 2 participants

No	Job title	Experience in	Position
		healthcare	
6.	Medical officer	Seventeen years of	Clinical manager
		medical practitioner	
		experience	
7.	Senior pharmacist	Thirteen years of	Pharmacy manager
		pharmacy management	
		experience	
8.	Medical laboratory scientist	Eighteen years of working	Public health laboratory
		experience in healthcare	manager
9.	Health promotion practitioner	28 years of working	IPC manager
		experience in healthcare	
10.	Senior professional nurse	Five years of nursing unit	Unit manager
		manager experience with	
		20 years' experience as a	
		professional nurse	

Two focus group interviews were sufficient to acquire significant input from the selected healthcare managers to refine the strategy. The drafted strategies were printed out and



provided to participants before the interviews. During the interviews, the formulated strategies were presented as statements to the participants to discuss and debate each strategy and actions, individually. Opinions and comments were encouraged about each strategy and action's applicability and suitability to prevent the healthcare-associated spread of respiratory infections were scrutinised.

The participants were requested to reformulate, add, or remove statements from the drafted set of strategies. The researcher used the comments of Focus Group 1 to refine the strategy developed. The comments during Focus Group 2 were used to refine further the drafted strategies refined during Focus Group 1. The result was a refined set of strategies to prevent the healthcare-associated spread of respiratory infections. In consultation with the research supervisor, it was decided that the strategy was sufficiently refined.

5.3 Outcome of the refining of the actions of the strategy

The participants refined the actions applicable to the strategy. The complete, refined set of strategies and activities is presented in the following chapter. This chapter presents the drafted and refined activities associated with the strategy.

5.3.1 Strategy 1: Healthcare workers must ensure triage, early recognition, and source control with suspected respiratory infections

In the first column of Table 5.3 the draft actions applicable to Strategy 1 are presented. The second column presents changes recommended by participants.

Table 5.3: Strategies for ensuring triage, early recognition, and source control

Actions	Recommended improvements from the
	healthcare manager participants



Be trained to recognise the signs and	
symptoms of respiratory infections.	
Display information at the entrance of the	
healthcare service directing patients with	
signs and symptoms of ARIs to report for	
screening.	
Be encouraged to be alert to any respiratory	Add 'The health promotion officers should
infection outbreak in all patients visiting the	inform all staff when there is an outbreak of
service.	respiratory infections (FG1)
Pre-triage all patients with cough and fever	
and provide a surgical mask.	
Wear appropriate PPE and perform regular	Change action to 'Wear appropriate personal
hand hygiene during the screening of	protective equipment and perform regular
patients.	hand hygiene before and after the screening
	of patients.' (FG2)
Use standardised and validated triage	Add 'for respiratory infections' at the end of
devices to identify individuals needing	devices (FG1)
immediate care and those who can safely	
wait during triaging of other patients.	
Encourage all patients with acute respiratory	Add another action.
symptoms to wear masks in the waiting area	Ensure social distancing in the waiting area
of the healthcare service.	(FG1)
Ensure early testing for respiratory infections.	

5.3.2 Strategy 2: Apply standard and transmission-based precautions

In the first column of Table 5.4, the draft actions applicable to Strategy 2 are presented. The second column presents changes recommended by participants.



Table 5.4: Apply standard and transmission-based precautions

Actions	Recommended improvements from the
	healthcare manager participants
Standard-based precaution.	
When ensuring hand hygiene, healthcare	
workers should:	
Wash hands before and after touching a	Add 'with soap under running water' at the
patient, before and after a procedure or body	end of hands (FG1)
fluid exposure, and after touching a patient's	
surroundings.	
Clean hands with alcohol-based sanitiser	Add as additional actions
containing 60-80% alcohol or with soap,	Hand washing facilities should be maintained
water, and disposable towels.	in good condition and supplies of paper
	towels and soap should be topped up
	regularly to encourage staff/clients to use
	them (FG2)
	Posters displaying hand washing techniques
	and promoting hand washing should be
	positioned on the wall adjacent to washing
	facilities; to promote and remind people of the
	techniques, stages, and processes of hand
	washing (FG2)
Keep fingernails short and ensure a healthy	
hand skin.	
When ensuring the appropriate use of PPE,	
healthcare workers should:	
Perform a risk assessment before	
encountering patients to determine whether	
using PPE is required.	



Actions	Recommended improvements from the
	healthcare manager participants
Be trained on donning and discarding PPE	
and the scientific basis for the	
recommendations about protective practices.	
Put on a full set of PPE (N95 Mask, gown,	Add 'boots and hair cap' (FG1)
gloves, and eye protection), when managing	
patients with respiratory infections in high-risk	
areas.	
Have prompt access to the recommended	
PPE.	
Perform a fit test and seal check when using	
the N95 mask.	
Ensure proper respirator fit by shaving their	
beard or thick facial hair.	
Remove PPE before leaving the area.	Change action 'Remove personal protective
	equipment at a safe area before leaving'
	(FG2)
	Add as additional action, 'Use disposable
	personal protective equipment only once and
	dispose of them appropriately' (FG2)
Perform hand hygiene immediately after	
removing PPE.	
When ensuring respiratory hygiene practices:	
Post signs at entrances with instructions to	
staff, patients, and individuals with symptoms	
of respiratory infections to:	
Wear masks when necessary.	



Actions	Recommended improvements from the
	healthcare manager participants
Cough and/or sneeze in a bent elbow or into	
a disposable tissue and dispose of it	
immediately in a bin.	
Perform hand hygiene afterwards	
Provide tissue and no-touch bins for disposal	
of tissues.	
Provide masks to coughing patients and	Add as additional action 'Ensure Social
other persons with respiratory infections.	distancing' when having respiratory infections
	(FG1)
When ensuring a clean environment,	
healthcare workers should:	
Ensure the cleaning of patients' environment,	Add 'and disinfectants' at the end of the
surfaces, medical devices used, and other	detergents (FG1)
equipment with water and recommended	Add as additional actions
detergents.	When leaving the ward, health workers
	should ensure that their cell phones and
	spectacles are cleaned.
	Health workers should aim for more frequent
	detergent cleaning, followed by disinfection
	with concentrations of detergents to reduce
	the chance of being infected and spreading
	from contaminates (FG2)
	Ensure high periodic dusting in all healthcare
	facilities (FG2)



Actions	Recommended improvements from the
	healthcare manager participants
Ensure that the laundry and the management	
of linen are completed by safe routine	
procedures.	
Ensure that medical waste is managed by	Remove 'by' and add 'in accordance with'
recommended measures.	(FG2)
Transmission-based precautions.	
·	
When implementing transmission-based	
precautions, healthcare workers should:	
Wear surgical masks, eye protection,	
gowns/aprons, and gloves during patient	
care.	
Ensure appropriate donning and discarding of	
PPE.	
Use single rooms for patients with respiratory	Change action 'Isolation of patients with
diseases.	respiratory disease (FG1) (FG2)
Do not touch their eyes, noses, or mouths	
with potentially contaminated gloved or bare	
hands	
Frequently clean and disinfect surfaces with	
which patients are in contact.	
Perform aerosol-generating procedures in an	
adequately ventilated room.	



5.3.3 Strategy 3: Education and training of healthcare workers

In the first column of Table 5.5, the draft actions applicable to Strategy 3 are presented. The second column presents changes recommended by participants.

 Table 5.5:
 Education and training of healthcare workers

Actions	Recommended improvements from the
	healthcare manager participants
Healthcare workers/healthcare	
managers/stakeholders should:	
Receive adequate education on respiratory	Add 'transmission' at the end of the disease
infections, focusing on disease	(FG2).
characteristics, level of risk in the healthcare	
service, and the healthcare service plan to	
respond to the infection.	
Be trained on the correct donning and	
discarding of personal protection equipment.	
Have training in standard precautions, hand	
hygiene, respiratory hygiene, and cough	
etiquette, along with transmission-based	
precautions (contact, droplet, and airborne),	
including the appropriate use of PPE for each	
situation.	
Receive refresher courses on standard and	Add as an additional action 'Ensure periodic
transmission-based precautions if they have	stimulation exercise after receiving training'
been trained.	(FG 2).
Be provided with brochures, posters, and	
banners with information on preventing	
respiratory infections.	



Should include basic IPC in all health pre-	
curriculum training for medical staff.	

5.3.4 Strategy 4: Engineering controls

In the first column of Table 5.6, the draft actions applicable to Strategy 4 are presented. The second column presents changes recommended by participants.

Table 5.6: Engineering controls

Actions	Recommended improvements from the
	healthcare manager participants
When ensuring engineering controls,	
healthcare workers/healthcare managers	
should:	
Maintain a distance of at least one metre	
between patients with respiratory infections	
and other individuals.	
Ensure healthcare facilities are designed,	Replace 'simple' with 'easy' (FG2)
furnished, and supplied with materials and	
finishes simple to clean.	
Ensure isolation wards equipped with	
negative-pressure AIIRs are built to	
accommodate patients with high-risk	
respiratory infections.	
Ensure isolation rooms are in an area	
separated from other patient care areas.	
Ensure that triage and waiting areas are	
designed and used that transmission of	
respiratory diseases is prevented.	



Actions	Recommended improvements from the
	healthcare manager participants
Ensure that rooms are adequately ventilated	
when performing aerosol-generating medical	
procedures.	
Maintain ventilation in the healthcare setting,	
considering comfort and weather. The goal is	
to achieve reasonable air exchange with	
gentle air movement. Strong airflow in the	
room from outside is readily felt and causes	
discomfort is not required and may contribute	
to airflow from the room.	
Ensure rooms are adequate to accommodate	Add 'spacious' at the end of 'are' (FG1)
wheelchair users and mobile medical	
equipment and to prevent overcrowding.	
Improve indoor ventilation by opening	
windows or doors.	
Reduce the duration of mechanical ventilation	
for patients on admission, and a new	
ventilator circuit should also be provided to	
each patient.	
Ensure social distancing in an indoor public	
place.	

5.3.5 Strategy 5: Active surveillance programme for staff and patients with acute respiratory infections

In the first column of Table 5.7, the draft actions applicable to Strategy 5 are presented. The second column presents changes recommended by participants.



Table 5.7: Active surveillance programme for staff and patients with acute respiratory infections

Actions	Recommended improvements from the
	healthcare manager participants
Screening of all healthcare workers and	
patients and visitors entering the healthcare	
facility for signs and symptoms of respiratory	
illnesses.	
Healthcare workers should ensure that	
reporting systems for ARIs among staff and	
patients are in place in the healthcare	
service.	
Healthcare workers who develop respiratory	
infections should report their condition	
according to facility protocol.	
There should be early identification of staff	
absence/s owing to a respiratory infection	
outbreak.	
Anyone who screens positive on the ARIs	
case finding/surveillance protocol should be	
instructed to implement precautions and later	
referred for medical assessment.	
Patients/visitors and staff in the healthcare	
setting must be monitored for signs of acute	
respiratory infection using the recommended	
device.	
There should be effective working	Replace 'Healthcare setting' with 'curative
relationships between the healthcare setting	unit' (FG1)
and the public health unit. Clear lines of	
communication are maintained. The public	



Actions	Recommended improvements from the
	healthcare manager participants
health unit is contacted for information and	
advice as required and the obligations to	
report any respiratory infection outbreak.	

5.3.6 Strategy 6: Restriction of visitors and caregivers

In the first column of Table 5.8, the draft actions applicable to Strategy 6 are presented. The second column presents changes recommended by participants.

Table 5.8: Restriction of visitors and caregivers

Actions	Recommended improvements from the
	healthcare manager participants
Healthcare workers should ensure that	
visitors and family members visiting patients	
with respiratory infections are limited per	
facility protocol.	
All healthcare settings must have signage	
posted at all entrances instructing all	
clients/patients/residents, visitors, and	
volunteers about any restrictions	
implemented.	
Visitors must practice hand hygiene, be	
instructed in, and adhere to appropriate	
precautions when visiting, and not visit if they	
have any acute respiratory illness/ symptoms.	
Health care settings where exposure, with or	
without transmission of respiratory infection,	



Actions	Recommended improvements from the
	healthcare manager participants
has occurred should restrict the number of	
entrances into the facility.	
Individuals wanting to visit loved ones should	
use designated entrances in healthcare	
facilities.	
All visitors should continue to wear a well-	Add 'and relatives' at the end of visitors (FG2)
fitting mask when entering the healthcare	
facility.	
Healthcare workers should ensure that	
visitors and family members visiting patients	
with respiratory infections are limited per	
facility protocol.	

5.3.7 Strategy 7: Vaccination of healthcare workers

In the first column of Table 5.9, the draft actions applicable to Strategy 7 are presented. The second column presents changes recommended by participants.

Table 5.9: Vaccination of healthcare workers as recommended by WHO/MOH

Actions	Recommended improvements from the healthcare manager participants
Mandatory vaccination for healthcare workers	
for vaccine-preventable respiratory diseases	
under national recommendations.	
Ensure healthcare workers know that they	
should be vaccinated, including boosters.	



Actions	Recommended improvements from the
	healthcare manager participants
Encourage a high level of vaccine intake by	
health staff by providing support to staff with	
questions about the benefits and risks of	
vaccination.	
Provision of education on the benefits and	
risks of vaccination to healthcare workers.	
Ensure healthcare workers have easy access	Replace 'sites with 'centres' (FG1)
to the vaccination sites.	
Ensure promotional activities, such as using	Add as additional action 'Ensure health care
promotional material, including advertising	staff who take vaccinations are motivated'
posters, hung up in the wards, banners in the	(FG2)
hospital, an Internet page, and a campaign	
presentation event to increase vaccination	
coverage for healthcare staff.	
Ensure regular review of the vaccination	
uptake by healthcare staff regarding	
respiratory infections.	

FG1: changes recommended during Focus Group Interview 1.

FG2: changes recommended during Focus Group Interview 2.

5.3.8 Strategy 8: Promoting healthy behaviours to strengthen the immune system

In the first column of Table 5.10, the draft actions applicable to Strategy 8 are presented. The second column presents changes recommended by participants.

Table 5.10: Promoting healthy behaviours to strengthen the immune system

Actions	Recommended improvements from the
	healthcare manager participants
When ensuring regular exercise:	



Actions	Recommended improvements from the
	healthcare manager participants
Healthcare staff, patients, and the public	
should be advised to engage in moderate	
exercises, considering their health condition.	
Healthcare workers should educate patients	
and the public on the risks and benefits of	
regular moderate-vigorous exercises.	
Patients admitted to the ward should be	
engaged in moderate/passive exercise,	
depending on their health condition.	
When ensuring adequate nutrition and	
hydration:	
Advise patients, healthcare workers, and the	P2 Replace 'Advise' with 'Encourage' (FG1)
public to increase fluid intake.	
Educate the importance of ensuring adequate	
hydration.	
Advise healthcare staff and the public to	P2 Replace 'Advise' with 'Encourage' (FG1)
record their water intake using the	
recommended applications on their phones.	
Encourage healthcare workers, patients, and	
the public to take a diet rich in vitamins and	
minerals.	
Educate patients and relatives at the	
healthcare facility.	
Provide dietary supplements to the	Add 'the Aged' (FG1)
vulnerable (pregnant women, children) in the	Add 'as well as Healthcare workers' at the
society.	end of the society (FG2)
When ensuring smoking cessation:	
	<u> </u>



Actions	Recommended improvements from the
	healthcare manager participants
Provide health education on the effects of	
smoking on respiratory infections.	
Place banners and posters at the entrance of	
the healthcare facility showing the effects of	
smoking.	
Provide support for healthcare staff and	
patients who smoke to quit through	
counselling programmes.	
When ensuring stress reduction:	
Educate various stress management	
techniques to healthcare staff, patients, and	
the public.	
Healthcare managers should implement	
measures to ensure adequate staff per shift.	
Encourage healthcare staff to enjoy their	
annual holiday according to facility protocol.	

5.3.9 Strategy 9: Adequate supply of personal protective equipment

In the first column of

Table 5.11, the draft actions applicable to Strategy 9 are presented. The second column presents changes recommended by participants.



 Table 5.11:
 Adequate supply of personal protective equipment

Actions	Recommended improvements from the
	healthcare manager participants
Provide sufficient and appropriate PPE to	Add 'The healthcare setting should' at the
healthcare workers, patients, and visitors in	beginning of the sentence (FG1)
the healthcare service.	
The healthcare setting should ensure that the	Rephrase it
recommended PPE is accessible and	'The healthcare setting should ensure that
available and that staff members have	the recommended PPE are available and
received training on its use.	accessible and that staff members have
	received training on its usage and disposal
	(FG2)
Ensure patients, caregivers, and visitors in	Add 'regular' in front of the 'access' (FG2)
the healthcare facility have access to	
recommended PPE.	
Adequate resources should be devoted to	
IPC programmes in all healthcare settings,	
and adequate inventories of PPE should be	
maintained.	

5.3.10 Strategy 10: Monitoring and evaluation and investing in research

In the first column of

Table 5.12, the draft actions applicable to Strategy 10 are presented. The second column presents changes recommended by participants.



Table 5.12: Monitoring and evaluation and investing in research

Actions	Recommended improvements from the
	healthcare manager participants
Healthcare managers/stakeholders should:	
Conduct adherence monitoring for healthcare	
workers on recommended IPC measures,	
using the recommended devices, such as	
Hand Hygiene audit.	
Ensure regular assessment of the	
effectiveness of the IPC programme and its	
impact on practices in the healthcare setting.	
Conduct healthcare facility risk assessment	
and communicate results/feedback to each	
unit in the healthcare facility.	
Be reminded of the need to demonstrate	Change action
training, and is, therefore, encouraged to	'be reminded of the need to be able to
document the workers trained, the dates	demonstrate training, and the importance to
training was conducted, and the information	document the workers who are trained, the
and materials included during training.	dates training was conducted, and the
	information and materials covered during
	training' (FG1)
Provide support and supervision for staff	
during an outbreak of a respiratory infection.	
Be supported financially when researching	
respiratory disease and its management in	
the healthcare setting.	

FG1: changes recommended during Focus Group Interview 1.

FG2: changes recommended during Focus Group Interview 2.

The researcher incorporated the recommended changes from the focus group participants as they added value to the refinement of the strategy. The changes included



replacing words with others or rephrasing an action totality to enhance clarity. Several actions helped enhance the completeness of the strategy.

5.4 Summary

The steps followed to develop and refine strategies to prevent the healthcare-associated spread of respiratory infections are discussed. Using focus group interviews to refine the strategy with inputs from focus group participants is discussed. Chapter Six is the concluding chapter, refining a set of strategy, the study's conclusion, implications, and presenting recommendations.



CHAPTER SIX

THE STRATEGIES, RECOMMENDATIONS, SUMMARY, AND CONCLUSIONS

6.1. Introduction

The research to determine healthcare workers' knowledge, attitudes, and practices towards COVID-19 and to develop and refine strategies to prevent the healthcare-associated spread of respiratory infections was conducted in two phases, presented in previous chapters.

- Chapter One introduces the study and provides background information, indicating
 the need to assess healthcare workers' KAPs during the COVID-19 pandemic and to
 develop strategies to prevent the healthcare-associated spread of respiratory
 infections.
- Chapter Two describes the methodology of the research.
- Chapter Three presents the findings of Phase 1 of the study—the cross-sectional survey of healthcare workers' KAPs towards COVID-19 are presented.
- Chapter Four discusses the findings of Phase 1 and a relevant literature review to develop strategies for Phase 2.
- Chapter Five discourses the process of refinement of the strategy.
- Chapter Six presents the strategy, recommendations, summary, and conclusion of the study.

6.2 Summary of the study

The study aimed to determine the healthcare workers' knowledge, attitudes, and practices towards COVID-19 and to develop and refine strategies to prevent the healthcare-associated spread of respiratory infections. A cross-sectional study on 373 healthcare workers' KAPs towards the COVID-19 respiratory pandemic was conducted.



The researcher established that 85.8% of the healthcare worker participants had good knowledge of COVID-19. Age and religious affiliation were significantly associated with factors affecting the knowledge level about the novel coronavirus disease; 56.6% of the participants had a good attitude towards COVID-19. The duration of the experience and marital status of the participants were strongly associated with their good attitudes. Less than 50% of the participants had good or excellent preventive practices towards COVID-19. Gender, level of education, and source of information were associated with the participants' practices towards preventing the spread of COVID-19.

Findings from Phase 1 of the study and the outcome of an extensive literature review were used to draft strategies to prevent the healthcare-associated spread of respiratory infections. The concepts of the HBM and the KAP theory were used to structure the strategy. After that, the strategy was refined from the inputs obtained from manager participants of designated healthcare facilities in Ghana during two focus group interviews. Ten (10) healthcare managers from the designated hospitals in the Ashanti region participated. The drafted strategies were reviewed and updated after each focus group interview in a continual process. The two focus group interviews were sufficient for gaining good input to compile a final set of strategies for potential use by all healthcare facilities in the GHS.

The strategies refer to:

- Ensuring triage, early recognition, and source control for suspected respiratory infections
- Apply standard and transmission-based precaution
- Education and training of healthcare workers
- Engineering controls
- Active surveillance programme for staff, visitors, and patients with ARIs
- Restriction of visitors and caregivers



- Vaccination of HCWs as recommended by the WHO/MOH
- Promoting healthy behaviours to strengthen the immune system
- Adequate supply of PPE
- Monitoring and evaluation and investing in research

6.3 Description of the strategy

The strategies to prevent the healthcare-associated spread of respiratory infections are described within the contextual framework of designated healthcare facilities and the comprehensive literature review. Each strategy includes a rationale explaining the reasons for actions to prevent the healthcare-associated spread of respiratory infections. The inputs of the healthcare managers from the five selected healthcare facilities guarantee the delineation of practical and realistic strategies and actions for future use. The next section presents a description of the strategy to prevent the healthcare-associated spread of respiratory infections.

6.4 STRATEGIES TO PREVENT THE HEALTHCARE-ASSOCIATED SPREAD OF RESPIRATORY INFECTIONS

PREAMBLE

Healthcare-associated infections constitute a major public health concern. Health systems' costs rise because of prolonged hospitalisation caused by infections, which often and significantly impair patients' life quality. Respiratory infections significantly increase the number of patients in healthcare services. Healthcare-associated respiratory infections absent at the time of admission of patients often aggravates already overburdened hospitals and clinics. There are numerous ways respiratory diseases spread in healthcare services, including airborne, droplet, and direct contact. The transmission routes depend on the pathogen and environmental factors.



To discourse this public health concern, the researcher conducted a cross-sectional study on 373 healthcare workers in Ghana to assess their KAPs towards preventing respiratory infections in healthcare services. A divergence in the healthcare workers' preventive practices towards COVID-19 and other respiratory infections was identified, suggesting that interventions should go far beyond just knowledge and attitude; however, strategies should be developed to prevent the healthcare-associated spread of COVID-19 and other respiratory infections.

The study findings were used as search terms in an extensive literature review, employing the Joanna Briggs Institute methodological framework for scoping reviews. The review's outcome, combined with the findings of the cross-sectional study, were used to draft strategies to prevent the healthcare-associated spread of respiratory infections. Focus group interviews with managers from designated healthcare services were used to refine the strategy and to ensure that they are easily implementable. The concepts of the HBM and the KAP structure the strategy. The guidelines meet the criterion of the AGREE instrument.

Scope and purpose of the strategy: The strategies focus on practices that healthcare workers can adopt to prevent the healthcare-associated spread of respiratory infections.

Stakeholder involvement in developing the strategy: Nurses and all other members of the healthcare team are the target users of the strategy. They were represented in the first phase of the study to obtain baseline information for developing the guidelines and were involved in refining the strategy.

Rigour of development of the guidelines: Systematic methods were used to search for evidence. A scoping review using the Joanna Briggs Institute methodological framework for scoping reviews was used to explore and analyse the literature. The search words for the literature search were identified in the findings of the cross-sectional study on 373



healthcare workers in Ghana to assess their KAPs towards preventing respiratory infections in healthcare services. A thematic analysis of the outcome of the literature review was conducted and 10 themes emerged. Each theme represents a strategy.

The clarity of presentation and applicability of the guidelines were explored and described during the refinement of the strategy. Each strategy has a rationale and actions to be taken by the target user.

Application of the HBM and the KAP theory in the strategy

Healthcare workers' KAPs should be discoursed in the prevention of the spread of healthcare-associated respiratory infections. Knowledgeable healthcare workers may not have a positive attitude towards preventing the spread of healthcare-associated respiratory infections and may, therefore, not practice preventive measures. The strategies, therefore, address not only the knowledge of the workers to prevent the spread of infections, but also their attitude towards and their practice of preventive measures.

The core of the HBM rests on the opinions of people they are susceptible to illnesses and that they can change their behaviour to prevent illnesses. The opinions are influenced by socio-demographic and psychosocial components. In developing strategies to induce a desirable health behaviour, healthcare workers' opinions about preventing respiratory infections apply.



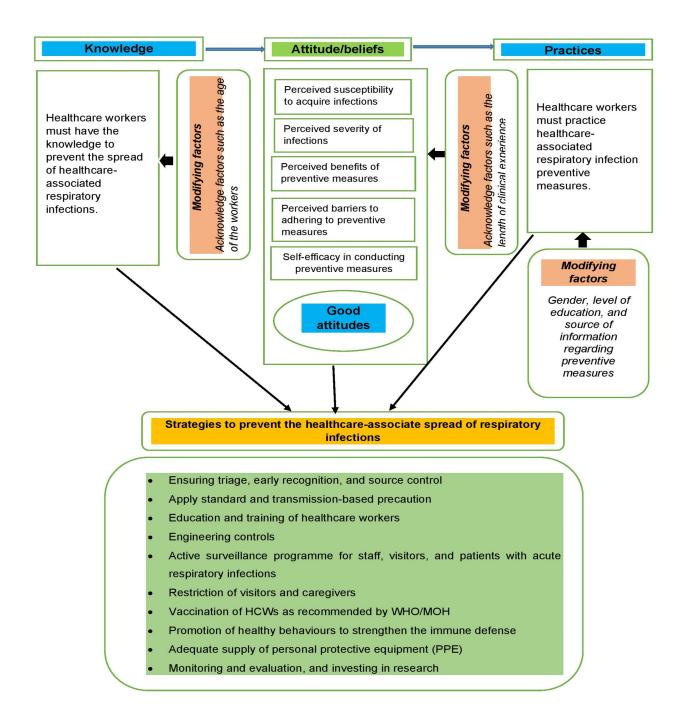


Figure: Framework for the strategy to prevent the healthcare-associated spread of respiratory infections based on the health belief model and the knowledge, attitude, and practice theory



Strategy 1: Healthcare workers must ensure triage, early recognition, and source control with suspected respiratory infections

Rationale: Respiratory symptoms are the most common complaint in healthcare services. These symptoms can resolve without treatment, but they can also indicate a severe illness (Ellertsson et al., 2023:1). Respiratory infections can spread within the healthcare service if not promptly detected. Early screening and triaging of patients with acute respiratory symptoms should be of medical priority (WHO 2021:5). Triaging patients before in-person consultation and admission of a patient would help to reduce the spread of respiratory infections among healthcare workers, visitors, and other patients in the healthcare service (WHO 2021:5). Appropriate triaging and bed allocation may reduce the rate of infections (Thibon et al., 2021:6).

Actions

When ensuring triage, early recognition, and source control, healthcare workers/health promotion officers should:

- Be trained to recognise the signs and symptoms of respiratory infections
- Display information at the entrance of the healthcare service directing patients with signs and symptoms of ARIs to report for screening
- Be encouraged to be alert to any respiratory infection outbreak in all patients visiting the service
- Should inform all staff when there is an outbreak of respiratory infections
- Pre-triage all patients with cough and fever, and provide a surgical mask
- Wear appropriate PPE and perform regular hand hygiene before and after the screening of patients



- Use standardised and validated triage devices for respiratory infections to identify individuals needing immediate care and those who can safely wait, during triaging of other patients
- Encourage all patients with acute respiratory symptoms to wear masks in the waiting area of the healthcare service
- Ensure early testing for respiratory infections
- Ensure social distancing in the waiting area

Strategy 2: Apply standard and transmission-based precautions

Standard precautions

Rationale: Standard precautions aim to reduce the risk of transmission of blood-borne and other pathogens from recognised and unrecognised sources and are the basic level of interpersonal care precautions that should always be used in the care of all patients. Standard precautions refer to good hand hygiene practices, appropriate use of personal protection equipment, good respiratory hygiene, optimal cough etiquette, exquisite environmental cleaning and disinfection, safe handling of linen and laundry, and careful waste management (WHO 2021:5).

Properly using recommended PPE by healthcare workers, patients, caregivers, or persons in the healthcare setting, prevents the spread of respiratory infections (Bludau et al., 2022:33; Alqahtani et al., 2022:10).

Actions

When ensuring hand hygiene, healthcare workers should:



- Wash hands with soap under running water before and after touching a patient, before and after a procedure or body fluid exposure, and after touching a patient's surroundings
- Clean hands with alcohol-based sanitiser containing 60-80% alcohol or with soap, water, and disposable towels
- Keep fingernails short and ensure a healthy hand skin
- Should maintain hand washing facilities in good condition and supplies of paper towels and soap should be topped up regularly to encourage staff/clients to use them
- Should place posters on the wall adjacent to washing facilities; displaying hand washing techniques and promoting hand washing; to promote and remind people of the techniques, stages, and processes of hand washing

When ensuring the appropriate use of PPE, healthcare workers should:

- Perform a risk assessment before encountering patients to determine whether using PPE is required
- Be trained on donning and discarding PPE and the scientific basis for the recommendations about protective practices
- Put on a full set of PPE (N95 Mask, gown, gloves, boots, hair cap and eye protection),
 when managing patients with respiratory infections in high-risk areas
- Have prompt access to the recommended PPE
- Perform a fit test and seal check when using the N95 mask
- Ensure proper respirator fit by shaving their beard or thick facial hair
- Remove PPE at a safe area before leaving
- Use disposable PPE only once and dispose appropriately
- Perform hand hygiene immediately after removing PPE



When ensuring respiratory hygiene practices:

- Post signs at entrances with instructions to staff, patients, and individuals with symptoms of respiratory infections to:
 - iv. Wear masks when necessary
 - v. Cough and/or sneeze in a bent elbow or into a disposable tissue and dispose of it immediately in a bin
 - vi. Perform hand hygiene afterwards
- Provide tissue and no-touch bins for disposal of tissues
- Provide masks to coughing patients and other persons with respiratory infections
- Ensure Social distancing when having respiratory infections

When ensuring a clean environment, healthcare workers should:

- Ensure the cleaning of patients' environment, surfaces, medical devices used, and other equipment with water and recommended detergents/disinfectants
- Ensure that the laundry and the management of linen are completed by safe routine procedures
- Ensure that medical waste is managed under recommended measures
- Should ensure that their cell phones and spectacles are cleaned, when leaving the ward
- Health workers should aim for more frequent detergent cleaning, followed by disinfection with concentrations of detergents to reduce the chance of being infected and spreading from contaminates
- Ensure periodic dusting in all healthcare facilities



Transmission-based precautions

In addition to standard precautions, all individuals should use contact and droplet precautions before entering a room where there are patients with suspected or confirmed respiratory diseases. Aerosol-generating procedures have been associated with an increased risk of transmission of respiratory viruses; therefore, the WHO recommends special airborne precautions when performing these procedures (WHO 2021:9). The current list of these procedures includes tracheal intubation, non-invasive ventilation, tracheotomy, cardiopulmonary resuscitation, manual ventilation before intubation, bronchoscopy, sputum induction by using nebulised hypertonic saline, dentistry, and autopsy procedures.

Actions

When implementing transmission-based precautions, healthcare workers should:

- Wear surgical masks, eye protection, gowns/aprons, and gloves during patient care
- Ensure appropriate donning and discarding of PPE
- Isolation of patients with respiratory disease
- Do not touch their eyes, noses, or mouths with potentially contaminated gloved or bare hands
- Frequently clean and disinfect surfaces with which patients are in contact
- Perform aerosol-generating procedures in an adequately ventilated room

Strategy 3: Education and training of healthcare workers

Rationale: Healthcare settings are legally obligated to develop, establish, and provide workers with training and educational programmes in health and safety measures and



procedures relevant to their responsibilities (Health Protection Surveillance Centre, 2023:23). The provision of adequate training on the recommended inflexional prevention and control measures improves healthcare workers' competency to prevent respiratory infections (Lee et al., 2020:2; Crnich 2022:1912; Ahmad et al., 2022:4).

Actions

Healthcare workers/healthcare managers/stakeholders should:

- Receive adequate education on respiratory infections, focusing on characteristics of the disease transmission, level of risk in the healthcare service, and the healthcare service plan to respond to the infection
- Be trained on the correct donning and discarding of personal protection equipment
- Have training in standard precautions, hand hygiene, respiratory hygiene, and cough
 etiquette, along with transmission-based precautions (contact, droplet, and airborne),
 including the appropriate use of PPE for each situation
- Receive refresher courses on standard and transmission-based precautions if they have been trained
- Ensure periodic stimulation exercise after receiving training
- Be provided with brochures, posters, and banners with information on preventing respiratory infections
- Should include basic IPC in all health pre-curriculum training for medical staff

Strategy 4: Engineering controls

Rationale: Buildings should be designed to be airy and to allow workflow activities to prevent the spread of respiratory diseases and to facilitate the hygiene of healthcare workers, patients, and visitors. The risk of airborne infections spreading from infected



patients to susceptible workers, patients, and caregivers should be reduced by proper airflow (Department of Health, Republic of South Africa 2020:34). Isolation of confirmed and suspected cases of respiratory infections can reduce the spread of the thereof (Tarshkandi et al., 2021:1156; Li et al., 2021:5; Hutchinson et al., 2020:121). Social distancing of 1.5 metres for infected and non-infected persons can also prevent the spread of respiratory infections in the healthcare setting (Badr et al., 2021:679-680).

Actions

When ensuring engineering controls, healthcare workers/healthcare managers should:

- Maintain a distance of at least one metre between patients with respiratory infections and other individuals
- Ensure healthcare facilities are designed, furnished, and supplied with materials and finishes easy to clean
- Ensure isolation wards equipped with negative-pressure AIIRs are built to accommodate patients with high-risk respiratory infections
- Ensure isolation rooms are in an area separated from other patient care areas
- Ensure that triage and waiting areas are designed and used in a way that transmission of respiratory diseases is prevented
- Ensure that rooms are adequately ventilated when performing aerosol-generating medical procedures
- Maintain ventilation in the healthcare setting, considering comfort and weather. The
 goal is to achieve reasonable air exchange with gentle air movement. Strong airflow in
 the room from outside readily felt and causes discomfort is not required and may
 contribute to airflow from the room
- Ensure rooms are spacious adequate to accommodate wheelchair users and mobile medical equipment and to prevent overcrowding
- Improve indoor ventilation by opening windows or doors



- Reduce the duration of mechanical ventilation for patients on admission and a new ventilator circuit should also be provided to each patient
- Ensure social distancing in an indoor public place

Strategy 5: Active surveillance programme for staff and patients with acute respiratory infections

Rationale: An integral part of any successful IPC policy is surveillance (monitoring for sickness) (Health Protection Surveillance Centre, 2023:26). "Public health surveillance is the ongoing systematic collection, analysis, and interpretation of data, closely integrated with the timely dissemination of the resulting information to those responsible for preventing and controlling disease and injury" (Chiolero et al., 2020:1). Surveillance attempts to provide healthcare decision-makers with timely and practical information to help them to set priorities, determine the needed interventions and to evaluate the effect of interventions (Chiolero et al., 2020:1). The effectiveness of newly implemented healthcare interventions can be evaluated, monitored, or checked through surveillance (Gilbert & Cliffe 2016:93).

Actions

- Screening of healthcare workers, patients, and visitors entering the healthcare facility for signs and symptoms of respiratory illnesses.
- Healthcare workers should ensure that reporting systems for ARIs among staff and patients are in place in the healthcare service.
- Healthcare workers who develop respiratory infections should report their condition according to facility protocol.



- There should be early identification of staff absence/s owing to a respiratory infection outbreak.
- Anyone who screens positive on the ARIs case finding/surveillance protocol should be instructed to implement precautions and later referred for medical assessment.
- Patients/visitors and staff in the healthcare setting must be monitored for signs of acute respiratory infection using the recommended device.
- There should be effective working relationships between the curative unit and the
 public health unit. Clear lines of communication are maintained. The public health unit
 is contacted for information and advice as required and the obligations to report any
 respiratory infection outbreak.

Strategy 6: Restriction of visitors and caregivers

Rationale: Limiting the number of family members, visitors, and caregivers in contact with persons with respiratory infections reduces the spread of infections within the healthcare service (WHO 2020g:2). Visitor restrictions are one of the elimination strategies for respiratory infection outbreak (Winkler et al., 2022:311). Rates of healthcare-associated respiratory viral infections among inpatients decrease when visitors are restricted (Wee et al., 2021:124).

Action

- Healthcare workers should ensure that visitors and family members visiting patients with respiratory infections are limited per facility protocol.
- All healthcare settings must have signage posted at all entrances instructing all clients/patients/residents, visitors, and volunteers about any restrictions implemented.



- Visitors must practice hand hygiene, be instructed in, and adhere to appropriate precautions when visiting, and not visit if they have any acute respiratory illness symptoms.
- Health care settings where exposure, with or without transmission of respiratory infection, has occurred should restrict the number of entrances into the facility.
- Individuals wanting to visit loved ones should use designated entrances in healthcare facilities.
- All visitors and relatives should continue to wear a well-fitting mask when entering the healthcare facility.

Strategy 7: Vaccination of Healthcare workers as recommended by WHO/MOH

Rationale: Healthcare workers have often been traced as a source of transmission of vaccine-preventable respiratory diseases to susceptible patients (Maltezou et al., 2022:1). The most reliable method of preventing receptors from infection is vaccination (Wilson et al., 2022:321). "Vaccination of healthcare workers indirectly protects vulnerable patients especially those who cannot get vaccinated because of host factors (e.g., young infants, pregnant women) or those who do not elicit satisfactory immune responses after vaccination (e.g., elderly, immunocompromised patients)" (Maltezou et al., 2022:1). Vaccination against respiratory infections is important to protect the healthcare worker and the people they care for (Health Protection Surveillance Centre, 2023:12). When healthcare workers are vaccinated, they contribute to the prevention of respiratory disease outbreaks (WHO, 2021:23).



Action

- Mandatory vaccination for healthcare workers for vaccine-preventable respiratory diseases under national recommendations
- Ensure healthcare workers know that they should be vaccinated, including boosters
- Encourage a high level of vaccine intake by health staff by providing support to staff with questions about the benefits and risks of vaccination
- Provision of education on the benefits and risks of vaccination to healthcare workers
- Ensure healthcare workers have easy access to the vaccination centres
- Ensure promotional activities, such as using promotional material, including advertising posters hung up in the wards, banners in the hospital, an Internet page, and a campaign presentation event to increase vaccination coverage for healthcare staff
- Ensure regular review of the uptake of vaccination by healthcare staff regarding respiratory infections
- Ensure healthcare staff who take vaccinations are motivated

Strategy 8: Promoting healthy behaviours to strengthen the immune system

Rationale: The immune system is vital in the susceptibility and response to infections (Vu et al., 2022:2). Our immune system protects us against foreign invaders, including various microbial infections. The risk of viral infection is significantly enhanced if the hosts' immunological defence is weak, causing an imbalance between the hosts' proinflammatory responses and antiviral activity; therefore, promoting healthy behaviours to enhance individuals' immunity with nutritious food and supplements may be a rational strategy for minimising damages caused by infections (Han & Hoang 2020:1).



To naturally defend the body against respiratory virus infections, the immune system needs support that can be established in healthy behaviour (Jawhara, 2020:1). By strengthening the immune system, the possibility of respiratory infections gets reduced (Iddir et al., 2020:1). Activities that can boost the immune system include exercise, nutrition and hydration, smoking cessation, and stress management (California Department of Public Health, 2021:10; Calcagni et al., 2023:57; Burdsall, 2020:15).

Actions

When ensuring regular exercise:

- Healthcare staff, patients, and the public should be advised to engage in moderate exercises, considering their health condition
- Healthcare workers should educate patients and the public on the risks and benefits of regular moderate-vigorous exercises
- Patients admitted to the ward should be engaged in moderate/passive exercise, depending on their health condition

When ensuring adequate nutrition and hydration:

- Healthcare workers, patients and the public should be encouraged to increase their fluid intake
- Educate the importance of ensuring adequate hydration
- Encourage healthcare staff and the public to keep a record of their water intake using the recommended applications (APPs) on their phones
- Encourage healthcare workers, patients, and the public to take a diet rich in vitamins and minerals
- Educate patients and relatives at the healthcare facility



 Provide dietary supplements to the vulnerable (pregnant women, children, aged) in the society and healthcare workers

When ensuring smoking cessation:

- Provide health education on the effect of smoking on respiratory infections
- Place banners and posters at the entrance of the healthcare facility showing the effects of smoking
- Provide support for healthcare staff and patients who smoke to quit through counselling programmes

When ensuring stress reduction:

- Educate various stress management techniques to healthcare staff, patients, and the public
- Healthcare managers should implement measures to ensure adequate staff per shift
- Encourage healthcare staff to enjoy their annual vacation according to facility protocol

Strategy 9: Adequate supply of personal protective equipment

Rationale: The WHO advises using contact and droplet precautions while treating patients who have confirmed or suspected respiratory infection. It is recommended to use airborne safety precautions when performing aerosol-generating activities. All these precautions required using PPE, and the WHO does not recommend reuse of PPE (WHO, 2020g:1). An adequate supply of PPE to healthcare workers encourages them to use the equipment only once (Health Protection Surveillance 2023:21). Healthcare personnel are more likely to become ill without the supply of proper PPE.



Reduction in providing care owing to staff illnesses combined with high demand for care, leading the healthcare infrastructure to become unstable, resulting in a decline in the quality and quantity of care (Cohen & Rodgers, 2020:2). The health system's capacity is reduced because of ill practitioners' increased demand for care (Cohen & Rodgers, 2020:2). Using recommended equipment by healthcare workers, patients, caregivers, or persons in the healthcare service may prevent the spread of respiratory infections (MOH Ghana 2020:20; Di Cola et al., 2023:3).

Actions

- The healthcare setting should provide sufficient and appropriate PPE to healthcare workers, patients, and visitors in the healthcare service.
- The healthcare setting should ensure that the recommended PPE are available and accessible, and that staff members have received training on its usage and disposal.
- Ensure patients, caregivers, and visitors in the healthcare facility have regular access to recommended PPE.
- Adequate resources should be devoted to IPC programmes in all healthcare settings, and adequate inventories of PPE should be maintained.

Strategy 10: Monitoring and Evaluation and investing in research

Rationale: Monitoring and feedback aimed at engaging stakeholders, creating partnerships, and developing working groups and networks. As part of QI, monitoring, audit, and feedback, an important device for informing and convincing health workers and managers of existing problems and solutions has been developed (Department of Health, Republic of South Africa, 2020: 186). Correct implementation of IPC measures will minimise the spread of respiratory infection in the healthcare facility (WHO, 2021:16);



therefore, IPC divergences should be assessed, and progress in discoursing them. Monitoring staff well-being, establishing mental health support, and giving incentives can prevent negative attitudes towards preventing respiratory infections during an outbreak (Tarshkandi et al., 2021:1158). Research helps to increase knowledge to create efficient vaccines and chemoprophylaxis/treatments for respiratory tract diseases (Crnich, 2022:1912). Regular feedback promotes best practices and, with time, causes behaviour or system change towards improving the quality of care and patient safety (Department of Health, Republic of South Africa, 2020:186).

Actions

Healthcare managers/stakeholders should:

- Conduct adherence monitoring for HCWs on recommended IPC measures, using the recommended devices, such as hand hygiene audit
- Ensure regular assessment of the effectiveness of the IPC programme and its impact on practices in the healthcare setting
- Conduct healthcare facility risk assessment, and communicate results/feedback to each unit in the healthcare facility
- Be reminded of the need to demonstrate training, and the importance to document trained workers, the dates training was conducted, and the information and materials included during training
- Provide support and supervision for staff during an outbreak of a respiratory infection
- Be supported financially when conducting research on respiratory disease and its management in the healthcare setting



6.5 Recommendations for practice and research

The recommendations made from the study are based on the strategy that have been developed. It emphasises the prevention of the spread of respiratory infection in the healthcare setting. The strategies should be used in the effort to prevent the healthcare-associated spread of respiratory infections. The strategies can be a complete set or can be introduced gradually through presentation during staff meetings and annual performance reviews.

Implementation research is recommended to evaluate using the strategy to prevent the healthcare-associated spread of respiratory infections. Studies to determine the impact of the strategy are recommended.

Further studies and replication of the current study should be considered in other healthcare facilities. Similar studies should be considered in private and public healthcare facilities, considering the differences in the working environment.

6.6 Limitations of the study

Despite the significance of the study, one limitation of this study could be solicited in future research. The healthcare workers were assessed on their KAPs on one respiratory infection (COVID-19) and not the others; therefore, the researcher considered this limitation during the conclusion of the study.

6.7 Conclusion

The study was to determine healthcare workers' knowledge, attitudes, and practices towards COVID-19 and to develop and refine strategies to prevent the healthcare-associated spread of respiratory infections. The study findings confirm that healthcare workers had good knowledge and attitude towards COVID-19 but had poor preventive



practices suggesting that strategies should be developed to prevent the healthcare-associated spread of respiratory infections. Strategies that can help prevent the healthcare-associated spread of respiratory infections have been developed, refined, and presented.



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APPENDICES

APPENDIX A RESEARCH ETHICS COMMITTEE APPROVAL



Faculty of Health Sciences

Institution: The Research Ethics Committee. Faculty Health Sciences. University of Pretoria complies with ICH-GCP guidelines and has US Federal wide Assurance.

FWA 00002567. Approved dd 18 March 2022 and Expires 18 March 2027.

IORG #: IORG0001762 OMB No. 0990-0279 Approved for use through June 30, 2025 and Expires 07/28/2026.

Faculty of Health Sciences Research Ethics Committee

Approval Certificate Annual Renewal

17 August 2023

Dear Mrs AS Ofosu.

Ethics Reference No.: 357/2022 – Line 1 Title: Evaluating the Knowledge, Attitude and Practice of Ghanaian Healthcare Workers to inform strategies to prevent healthcare-associated spread of Respiratory Infections

The **Annual Renewal** as supported by documents received between 2023-07-12 and 2023-08-16 for your research, was approved by the Faculty of Health Sciences Research Ethics Committee on 2023-08-16 as resolved by its quorate meeting.

Please note the following about your ethics approval:

- Renewal of ethics approval is valid for 1 year, subsequent annual renewal will become due on 2024-08-17.

 Please remember to use your protocol number (357/2022) on any documents or correspondence with the Research Ethics Committee regarding your research.

 Please note that the Research Ethics Committee may ask further questions, seek additional information, require further modification, monitor the conduct of your research, or suspend or withdraw ethics approval.

Ethics approval is subject to the following:

The ethics approval is conditional on the research being conducted as stipulated by the details of all documents submitted to the Committee. In the event that a further need arises to change who the investigators are, the methods or any other aspect, such changes must be submitted as an Amendment for approval by the Committee.

We wish you the best with your research.

Yours sincerely

On behalf of the FHS REC, Professor C Kotzé
MBChB, DMH, MMed(Psych), FCPsych, Phd
Acting Chairperson: Faculty of Health Sciences Research Ethics Committee

The Faculty of Health Sciences Research Ethics Committee complies with the SA National Act 61 of 2003 as it pertains to health research and the United States Code of Federal Regulations Title 45 and 46. This committee abides by the ethical norms and principles for research, established by the Declaration of Helsinki, the South African Medical Research Council Guidelines as well as the Guidelines for Ethical Research: Principles Structures and Processes, Second Edition 2015 (Department of Health)





Institution: The Research Ethics Committee, Faculty Health Sciences, University of Pretoria complies with ICH-GCP guidelines and has US Federal wide Assurance.

- FWA 00002567, Approved dd 18 March 2022 and Expires 18 March 2027.
- IORG #: IORG0001762 OMB No. 0990-0278 Approved for use through August 31, 2023.

Faculty of Health Sciences

Faculty of Health Sciences Research Ethics Committee

Approval Certificate New Application 11 August 2022

Dear Mrs AS Ofosu

Ethics Reference No.: 357/2022

Title: Evaluating the Knowledge, Attitude and Practice of Ghanaian Healthcare Workers to inform strategies to prevent healthcare-associated spread of Respiratory infections

The **New Application** as supported by documents received between 2022-06-24 and 2022-08-10 for your research, was approved by the Faculty of Health Sciences Research Ethics Committee on 2022-08-10 as resolved by its quorate meeting.

Please note the following about your ethics approval:

- Ethics Approval is valid for 1 year and needs to be renewed annually by 2023-08-11.
- Please remember to use your protocol number (357/2022) on any documents or correspondence with the Research Ethics Committee regarding your research.
- Please note that the Research Ethics Committee may ask further questions, seek additional information, require further modification, monitor the conduct of your research, or suspend or withdraw ethics approval.

Ethics approval is subject to the following:

The ethics approval is conditional on the research being conducted as stipulated by the details of all documents submitted
to the Committee. In the event that a further need arises to change who the investigators are, the methods or any other
aspect, such changes must be submitted as an Amendment for approval by the Committee.

We wish you the best with your research.

Yours sincerely

On behalf of the FHS REC, Dr R Sommers

MBChB, MMed (Int), MPharmMed, PhD

Deputy Chairperson of the Faculty of Health Sciences Research Ethics Committee, University of Pretoria

The Faculty of Health Sciences Research Ethics Committee complies with the SA National Act 61 of 2003 as it pertains to health research and the United States Code of Federal Regulations Title 45 and 46. This committee abides by the ethical norms and principles for research, established by the Declaration of Helsinki, the South African Medical Research Council Guidelines as well as the Guidelines for Ethical Research: Principles Structures and Processes, Second Edition 2015 (Department of Health)



APPENDIX B INTRODUCTORY LETTER

In case of reply the number and the date of this letter should be quoted

My Ref: GHS/ASH/INTRO

Your Ref. No:

Email: rdhs.ar@ghsmail.org Tel: 233 -0320-22089/23651 Fax: 233-0320-26219

GHANA HEALTH SERVICE REGIONAL HEALTH DIRECTORATE P. O. BOX 1908 KUMASI

25TH AUGUST, 2022.

THE MEDICAL DIR. KUMASI SOUTH HOSPITAL GHANA HEALTH SERVICE **ASHANTI**

INTRODUCTORY LETTER

Approval has been given to Abena Serwaa Ofosu, a PhD student from Department of Nursing, University of Pretoria – South Africa to conduct a study titled "Evaluating the Knowledge, Attitude and Practices of Ghanaian Healthcare Workers to inform Strategies to prevent the Healthcare-Associated spread of Respiratory Infections" in your facility.

The aim of the study is to determine the healthcare workers' knowledge, attitude and practice toward COVID - 19 and to develop and refine strategies to prevent the healthcare-associated spread of the current and future pandemics. A team of data collectors will be in your facility to interview healthcare professionals.

You are kindly requested to provide the team with all the needed support they may need to undertake this very important study.

Thank You

emente. DR. EMMANUEL K. TINKORANG REGIONAL DIRECTOR OF HEALTH SERVICES

ASHANTI REGION

Cc: Abena Serwaa Ofosu (Principal Investigator) Tel: 0209154520

CS CamScanner



File,

In case of reply the number and the date of this letter should be quoted

My Ref: GHS/ASH/INTRO Your Ref.

Email: rdhs.ar@ghsmail.org Tel: 233 -0320-22089/23651 Fax: 233-0320-26219



GHANA HEALTH SERVICE REGIONAL HEALTH DIRECTORATE P.O. BOX 1908 KUMASI

25TH AUGUST, 2022.

THE MEDICAL SUPTS. **GHANA HEALTH SERVICE ASHANTI**

INTRODUCTORY LETTER

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You are kindly requested to provide the team with all the needed support they may need to undertake this very important study.

Thank You

DR. EMMANUEL K. TINKORANG REGIONAL DIRECTOR OF HEALTH SERVICES **ASHANTI REGION**

Bekwai Municipal Hospital Ejisu Government Hospital

Nkawie Government Hospital Suntreso Government Hospital

CS CamScanner



APPENDIX C STATISTICAL SUPPORT LETTER

Date: 24 / 04 /2022

LETTER OF CLEARANCE FROM THE BIOSTATISTICIAN

This letter is to confirm that the student with the Name ABENA SERWAA OFOSU

Studying at the University of Pretoria discussed the Project with the title EVALUATING THE

KNOWLEDGE, ATTITUDE AND PRACTICE OF GHANAIAN HEALTHCARE

WORKERS TO INFORM STRATEGIES TO PREVENT HEALTHCARE-ASSOCIATED

SPREAD OF RESPIRATORY INFECTIONS with me.

I hereby confirm that I am aware of the project and also undertake to assist with the Statistical analysis of the data generated from the project.

The analytical tool that will be used will be SPSS 23, STATA AND

EXCEL

to achieve the objective(s) of the study.

Name KENNELY NARTEY Date 24/04/2022

Signature PMFULTY Tel: 024477418

Department or Unit HEALTH NFORWATION BIOSIATISTICS

RECIONAL HEALTH INFOR. OFFICER
RECIONAL HEALTH-DIRECTORATE
OHNNIN HEALTH-DIRECTORATE
OFFICE OF
BRUDINEL ASHANI



APPENDIX D

PARTICIPANT DEMOGRAPHIC INFORMATION FOR FOCUS GROUP INTERVIEW

DEMOGRAPHIC DETAILS

Please answer the following questions in the space provided, circle or tick the most appropriate options.

1.	Age:
2.	Are you: (please tick as necessary) [] Male [] Female Other
3.	What is your professional background?
[] Nu	rse
[] Mi	dwife
[] Me	edical officer
[]All	ied Healthcare Professional
[] Ma	intenance Staff
4. Are charge	you : (please tick as necessary) [] Healthcare staff [] Healthcare Manager/In
5.	Experience in Healthcare (optional):
[]<1	Year [] 1-2 Years
[]2-5	Years [] 5-10 Years



[] > 10 Years

DISCUSSION QUESTIONS

The participants will discuss each strategy to refine it or delete it should it be indicated.



APPENDIX E

PARTICIPANTS' INFORMATION AND INFORMED CONSENT DOCUMENT FOR A PARTICIPANT - ADMINISTERED QUESTIONNAIRE (PHASE 1)

STUDY TITLE: EVALUATING THE KNOWLEDGE, ATTITUDE, AND PRACTICE OF GHANAIAN HEALTHCARE WORKERS TO INFORM STRATEGIES TO PREVENT THE HEALTHCARE-ASSOCIATED SPREAD OF RESPIRATORY INFECTIONS.

Principal Investigator: ABENA SERWAA OFOSU

Supervisor: Prof. Neltjie C Van Wyk

Institution: University of Pretoria

Daytime telephone number: +233209154520.

Dear Prospective Research Participant

Dear Mr / Ms / Mrs/ Dr

1) INTRODUCTION

You are invited to volunteer for a study. I am doing this research for Ph.D. degree purposes at the University of Pretoria. The information in this document is provided to help you to decide if you would like to participate. Before you agree to participate in this study, you should fully understand what is involved. If you have questions, not fully explained in this document, do not hesitate to ask the researcher. You should not agree to participate unless you are completely happy with the questions that will be asked.

2) THE NATURE AND PURPOSE OF THIS STUDY



The aim of this study is to determine the healthcare workers' knowledge, attitudes, and practices towards COVID-19, By doing so we wish to develop and refine strategies to prevent healthcare-associated spread of respiratory infections.

3) EXPLANATION OF PROCEDURES AND WHAT WILL BE EXPECTED FROM PARTICIPANTS

This study involves answering some questions regarding your knowledge, attitudes, and practices towards COVID-19.

We would like you to complete a questionnaire. It will take 30 minutes. We will collect the questionnaire from you before you leave the hospital. The researcher will keep the completed questionnaires in a safe place to ensure that only people working on the study will have access to it. Please do not write your name on the questionnaire. This will ensure that your responses are kept confidential (so nobody will know what you have answered).

The questionnaire comprises four parts:

Part 1: Socio-demographic information which involves answering some questions about your age, gender, marital status, religion, occupation, place of work, the highest level of education, length of work experience, and source of information on COVID-19.

Part 2: Health Questionnaire which involves answering some questions about your knowledge of COVID-19.

Part 3: Health Questionnaire involving answering some questions on your attitude towards COVID-19.



Part 4: The last part of the questionnaire involves answering questions on your practices of the COVID-19 preventive measures.

4) RISK AND DISCOMFORT INVOLVED

There is no foreseeable physical discomfort or risk involved. If there are questions too sensitive for you to answer, you do not need to answer them.

5) POSSIBLE BENEFITS OF THIS STUDY

This study may help to develop strategies to prevent the healthcare-associated spread of the current pandemic and future similar respiratory pandemics.

6) ETHICS APPROVAL

This Protocol was submitted to the Faculty of Health Sciences Research Ethics Committee, University of Pretoria, Medical Campus, Tswelopele Building, Level 4-59, Telephone numbers

+27712 356 3084 / +27712 356 3085 and written approval have been granted by that committee. The study has been structured according to the Declaration of Helsinki (last update: October 2013), which approaches the recommendations guiding doctors in biomedical research involving humans. A copy of the Declaration may be obtained from the investigator should you wish to review it.

7) INFORMATION

If you have any questions concerning this study, you may contact ABENA SERWAA OFOSU (MRS) at +233209154520. Alternatively, you may contact her supervisor Prof. Neltjie Van Wyk at cell +27782 776 1649.



8) CONFIDENTIALITY

All records from this study will be regarded as confidential. All results will be published or presented in such a way that it is not possible to identify the participants.

9) CONSENT TO PARTICIPATE IN THIS STUDY

- I confirm that the person requesting my consent to participate in this study has told
 me about the nature and process, any risks or discomforts, and the benefits of the
 study.
- I have also received, read and understood the above written information about the study.
- I have had adequate time to ask questions and I have no objections to participate in this study.
- I am aware that the information obtained in the study, including personal details,
 will be anonymously processed and presented in the reporting of results.
- I understand that I will not be penalised in any way should I wish to discontinue the study and my withdrawal will not affect my employment or student status.
- I am participating willingly.

NOTE: If you participate in Phase 1, the researcher may call you for a follow-up in Phase 2 of the study in four weeks.



APPENDIX F

PARTICIPANTS' INFORMATION AND INFORMED CONSENT DOCUMENT FOR A FOCUS GROUP INTERVIEW RESEARCH (PHASE 2)

Study title: EVALUATING THE KNOWLEDGE, ATTITUDE, AND PRACTICE OF GHANAIAN HEALTHCARE WORKERS TO INFORM STRATEGIES TO PREVENT THE HEALTHCARE-ASSOCIATED SPREAD OF RESPIRATORY INFECTIONS

Principal Investigator: Abena Serwaa Ofosu

Supervisor: Prof. Neltjie C Van Wyk

Institution: University of Pretoria

Dear Prospective Participant

Dear Mr / Mrs.....

1) INTRODUCTION

You are invited to volunteer for research. I am doing this research for PhD degree purposes at the University of Pretoria. This document gives you information in this document is provided to help you decide if you would like to participate. Before you agree to participate in this study you should fully understand what is involved. If you have any questions, not fully explained in this document, do not hesitate to ask the investigator. You should not agree to participate unless you are completely happy about what we will be discussing during the focus group discussion.

2) THE NATURE AND PURPOSE OF THIS STUDY



The aim of this study is to determine the healthcare workers' knowledge, attitudes, and practices towards COVID-19, By doing so we wish to develop and refine strategies to prevent healthcare-associated spread of current pandemic and future similar pandemics. Part of the study will be a focus group discussion. A focus group is where a few people – usually eight or 10, meet with the researcher to discuss a specific topic. The discussion will be arranged at a time convenient for you.

3) EXPLANATION OF PROCEDURES AND WHAT WILL BE EXPECTED FROM PARTICIPANTS

If you agree to participate, you will be asked to participate in a focus group discussion which will take about 45-60 minutes. You and the other participants will be provided a form to provide your demographic information. The draft strategies will be provided to you before the discussion commence. You will evaluate every draft strategy, rephrase it, if necessary, remove it if it is not applicable, and appreciate it, when it is appropriate.

We will not ask any questions about your personal experience. The Co-facilitator will manage logistic arrangements, write field notes and with your permission, the discussions will be recorded on a recording device to ensure that no information is missed.

4) RISKS AND DISCOMFORTS INVOLVED

We do not think that participating in the study will cause any physical or emotional discomfort or risk.

If questions feel too personal or make you uncomfortable, you do not have to answer them.

5) POSSIBLE BENEFITS OF THIS STUDY



You will not benefit directly by being part of this study. Your participation is important for us to better understand and develop strategies to prevent the healthcare-associated spread of the current respiratory pandemic and future similar pandemics. The information you present may help the researcher improve healthcare in Ghana during current and future similar pandemics.

6) COMPENSATION

You will not be paid to participate in the study. There are no costs involved for you to be part of the study, however, the cost of transportation from your facility to the venue will be reimbursed. Maximum amount of 100 Ghana cedis will be provided to you.

7) VOLUNTARY PARTICIPATION

The decision to participate in the study is yours and yours alone. You do not have to participate if you do not want to. You can also stop at any time during the interview without giving a reason. If you refuse to participate in the study, this will not affect you in any way.

8) ETHICAL APPROVAL

This study was submitted to the Research Ethics Committee of the Faculty of Health Sciences at the University of Pretoria, Medical Campus, Tswelopele Building, Level 4-59, telephone numbers

+27712 356 3084 / +22712 356 3085 and written approval has been provided by that committee. The study will follow the Declaration of Helsinki (last update: October 2013), which guides doctors on how to do research in people. The researcher can give you a copy of the Declaration if you wish to read it.



9) INFORMATION ON WHO TO CONTACT

If you have any questions concerning this study, you may contact: ABENA SERWAA OFOSU (MRS)

10) CONFIDENTIALITY

We will not record your name anywhere and no one will be able to connect you to the responses you give. Your responses will be linked to a fictitious code number, or a pseudonym (another name) and we will refer to you in this way in the data, any publication, report, or other research output.

All records from this study will be regarded as confidential. Results will be published in medical journals or presented at conferences in such a way that it will not be possible for people to know that you were part of the study.

The records from your participation may be reviewed by people responsible for ensuring that research is conducted properly, including members of the Research Ethics Committee. All these people are required to keep your identity confidential. Otherwise, records that identify you will be available only to people working on the study, unless you give permission for other people to view the records.

10) CONSENT TO PARTICIPATE IN THIS STUDY

- I confirm that the person requesting my consent to participate in this study has told me about the nature and process, any risks or discomforts, and the benefits of the study.
- I have also received, read, and understood the above written information about the study.



- I have had adequate time to ask questions and I have no objections to participate in this study.
- I am aware that the information obtained in the study, including personal details,
 will be anonymously processed and presented in the reporting of results.
- I understand that I will not be penalised in any way should I wish to discontinue with the study and my withdrawal will not affect my treatment and care.
- I am participating willingly.
- I have received a signed copy of this informed consent agreement.

Participant's name (Please print)	Date
Participant's signature	Date
Researcher's name	Date
Researcher's signature	Date
I understand that the focus group discussion be audio-recorded.	on will be audiotaped. I give consent that it may
YES	
NO	



Name of the person who witnessed		
the informed consent	Date	
Signature of the witness	 Date	



APPENDIX G DATA COLLECTION INSTRUMENT

DATA COLLECTION INSTI	ROMENI
QUESTIONNAIRE	
University of Pretoria	
School of Health Care Sciences	
Study Title: EVALUATING THE KNOWLEDGE, A GHANAIAN HEALTHCARE WORKERS TO INFORM HEALTHCARE-ASSOCIATED SPREAD OF RESPIR	STRATEGIES TO PREVENT THE
This is a questionnaire to help the researcher inversers of COVID-19 among healthcare workers in t	
PART A: Socio-Demographic Information	
Please tick (✓) or write as appropriate.	
1. Age	5. Occupation/Specialisation [] Doctor
	[] Nurse [] Midwife
2. Gender	[] Allied health professional
[] Male	[] Maintenance staff
[] Female	



Other					
	6. Duration of experience				
3. Marital status	[] less than 2years				
[] Single	[] 2-5years				
[] Married	[] greater than 5years				
[] Separated					
[]Widowed	7.Religion				
	[] Christianity				
	[] Islam				
	[] Traditional religion				
	Others				
4. Place of work	8.Source of information on COVID-19				
	[] Social media				
	[] News media				
	[] Websites of hospitals/GHS				
	[] Friends/relatives				
	[] International health organization e.g. WHO				



9.Highest level of education
[]PhD
[] Masters
[] Bachelors
[] Diploma
[] Certificate
DADT D. Haalibaaria washiri walika andada ay COMD 10

PART B: Healthcare workers' Knowledge on COVID -19

For the statement below, please indicate whether you agree (A) strongly agree (SA) Neutral (N) disagree (D) strongly disagree (SD).

NO	Statement.	SA	А	N	D	SD
10.	COVID-19 is caused by a virus.					
11.	COVID-19 is new and has never been recorded before 2019					
12.	The incubation period of the disease may be up to 14 days.					



			-	
13.	The main symptoms of COVID-19 are cough, fever, headache, tiredness, and difficulty in breathing.			
14.	A person may have COVID-19 yet display no symptoms.			
15.	COVID-19 is transmitted through respiratory droplets and contacted with infected persons.			
16.	COVID-19 transmission by animal product is unknown.			
17.	COVID-19 is transmitted mainly through the air.			
18.	The disease transmission from asymptomatic persons is possible.			
19.	Pets may be infected, and transmission from pets is unknown.			
20	Currently, the main method of diagnosis is rRT-PCR test			
21.	Currently, the main method of diagnosis is the antibody kit.			
		-	 	



22.	COVID -19 can be treated.			
23.	Suspected persons with COVID-19 must go into self-isolation for 14 days.			
24.	Confirmed cases of COVID-19 are often quarantined.			
25.	Antibiotics are the first line of treatment.			
26.	Persons with fundamental condition disease are at increased risk of severe cases and death.			
27.	COVID-19 can be prevented by regular wearing of face mask.			
28.	COVID-19 can be prevented by frequent washing of hands with soap and water.			
29.	Use of alcohol –based hand sanitisers prevent COVID 19			
30.	70% alcohol kills the virus.			
31.	Chlorhexidine kills the virus.			



32.	keeping a distance of at least 1 metre (3 feet) prevents one from contracting the disease.			
33.	Maintaining even a greater distance between yourself and others when indoors can prevent contracting COVID-19.			
34.	Currently, there is a vaccine for COVID-19			

PART C: Healthcare Workers' Attitude towards COVID-19

For the statement below, please indicate whether you agree (A) strongly agree (SA) Neutral (N) disagree (D) strongly disagree (SD).

NO.	Statements	SA	А	N	D	SD
35.	I fear working in a hospital when COVID-19 patients are admitted					
36.	I fear caring for COVID-19 patients					
37.	I believe that I may transmit the disease to my family					



38.	Healthcare workers become infected at a high rate, even with adequate protection			
39.	I must report to my facility if I become infected with COVID-19			
40.	I must stay home and self-isolate when presented with minor symptoms like cough, headache, mild fever until I recover			
41.	I should be responsible on ensuring that people around me follow good respiratory hygiene, use of face mask, proper disposal of used tissue and social distancing			
42.	Health education programmes are effective for better control of COVID-19			
43.	COVID-19 Pandemic will be successfully controlled by the current guidelines and vaccine			

PART 4: Healthcare Workers' Practices Towards COVID-19



For the statement below, please indicate whether YES or NO or lack of equipment Ifacility

NO.	Statements	YES	NO	Lack of equipment/facility
44.	Do you have access to the PPE that protect you for the appropriate setting and context?			
45.	Have you been trained on donning and discarding of PPEs?			
46.	Do you used PPE when you visit a susceptible patient?			
47.	Do you wear a surgical face mask when doing the usual physical examination?			
48.	Do you wear the N95 face mask when doing aerosol- generating procedures			
49.	Do you test the N95 mask before using it?			
50.				



	Do you dispose your gloves and aprons after a single used on each patient contact?		
51.	Do you use your fluid –repellent surgical mask and eye protection for a session of work or other than a single patient?		
52.	Do you wear your gowns or coveralls for a session of work in higher risk areas?		
53.	Do you disinfect linen/beddings used by patients infected with COVID-19 before sending them to the laundry?		
54.	Do you wash your hands with soap and running water after physical encounter with patients?		
55.	Do you wash your hands for at least 20 seconds?		
56.	Do you use a paper towel or towel to turn off the faucet after washing your hands?		
57.			



	Do you regularly clean your hands with alcohol-based sanitiser?		
58.	Are you able to maintain at least 1 metre (3feet) distance between yourself and others?		
59.	Are you able to isolate susceptible patients as soon as they arrived?		
60.	Do you recommend home isolation to anyone who encounter a patient infected with COVID-19?		



APPENDIX H FOCUS GROUP INTERVIEW FIELD NOTES

STRATEGY 1:	
Ensuring triage, early recognition, and source	
control.	
Actions.	
Be trained to recognise the signs and	
symptoms of respiratory infections.	
Display information at the entrance of the	
healthcare service directing patients with	
signs and symptoms of ARIs to report for	
screening.	
Be encouraged to be alert to any respiratory	P1 Add 'The health promotion officers should
infection outbreak in all patients visiting the	inform all staff when there is an outbreak of
service.	respiratory infections.
Pre-triage all patients with cough and fever	
and provide a surgical mask.	
Wear appropriate PPE and perform regular	P6 Change action to 'Wear appropriate
hand hygiene during the screening of patients.	personal protective equipment and perform
	regular hand hygiene before and after the
	screening of patients.'
Use standardised and validated triage devices	P1 Add 'for respiratory infections' at the end of
to identify individuals needing immediate care	devices. P2 Same; P3 Same.
and those who can safely wait during triaging	
of other patients.	
Encourage all patients with acute respiratory	P3 Add another action.
symptoms to wear masks in the waiting area	Ensure social distancing in the waiting area.
of the healthcare service.	
Ensure early testing for respiratory infections.	



OTD 4 TE 0 V 0	1
STRATEGY 2:	
Apply standard and transmission-based	
precautions.	
Actions.	
Standard-based precaution.	
When ensuring hand hygiene, healthcare	
workers should:	
Wash hands before and after touching a	P3 Add 'with soap under running water' at the
patient, before and after a procedure or body	end of hands.
fluid exposure, and after touching a patient's	P2 Same
surroundings.	
Clean hands with alcohol-based sanitiser	P 10 Add as additional actions
containing 60-80% alcohol or with soap,	Hand washing facilities should be maintained
water, and disposable towels.	in good condition and supplies of paper towels
	and soap should be topped up regularly to
	encourage staff/clients to use them.
	P10 Posters displaying hand washing
	techniques and promoting hand washing
	should be positioned on the wall adjacent to
	washing facilities; to promote and remind
	people of the techniques, stages, and
	processes of hand washing.
Keep fingernails short and ensure healthy	
hand skin.	
When ensuring the appropriate use of PPE,	
healthcare workers should:	



Perform a risk assessment before	
encountering patients to determine whether	
using PPE is required.	
Be trained on donning and discarding PPE	
and the scientific basis for the	
recommendations about protective practices.	
Put on a full set of PPE (N95 Mask, gown,	P3 Add 'boots
gloves, and eye protection), when managing	P2 Add 'hair cap'.
patients with respiratory infections in high-risk	
areas.	
Have prompt access to the recommended	
PPE.	
Perform a fit test and seal check when using	
the N95 mask.	
Ensure proper respirator fit by shaving their	
beard or thick facial hair.	
Remove PPE before leaving the area.	P6 Change action 'Remove personal
	protective equipment at a safe area before
	leaving'.
	P7 Add as additional action 'Use disposable
	personal protective equipment only once and
	dispose of them appropriately'.
	P10 Same
Perform hand hygiene immediately after	
removing PPE.	
When ensuring respiratory hygiene practices:	
The streaming respiratory mygretic practices.	



Post signs at entrances with instructions to	
staff, patients, and accompanying individuals	
with symptoms of respiratory infections to:	
Wear masks when necessary.	
Cough and/or sneeze in a bent elbow or into a	
disposable tissue and dispose of it	
immediately in a bin.	
Perform hand hygiene afterwards	
Provide tissue and no-touch bins for disposal	
of tissues.	
Provide masks to coughing patients and other	P1 Add as additional action 'Ensure Social
persons with respiratory infections.	distancing' when having respiratory
	infections;P3 Same.
When ensuring a clean environment,	
healthcare workers should:	
Ensure the cleaning of patients' environment,	P5 Add 'and disinfectants' at the end of the
surfaces, medical devices used, and other	detergents.
equipment with water and recommended	P10 Add as additional actions
detergents.	When leaving the ward health workers should
	ensure that their cell phones and spectacles
	are cleaned.
	Health workers should aim for more frequent
	detergent cleaning, followed by disinfection
	with appropriate concentrations of detergents
	to reduce the chance of being infected and
	spreading from contaminates.
	P8 Ensure high periodic dusting in all
	healthcare facilities.



P9 Remove 'by' and Add 'in accordance with'.
P1 Use N95 and above for confirmed air born
disease transmission and surgical mask for
suspected cases.
P3 Change action 'Isolation of patients with
respiratory disease;P1 Same P6;P7 Same;P8
Same.
F

STRATEGY 3:	
Education and training of healthcare workers.	



Actions.	
Healthcare workers/healthcare	
managers/stakeholders should:	
Receive adequate education on respiratory	P7 Add 'transmission' at the end of the
infections, focusing on characteristics of the	disease.
disease, level of risk in the healthcare service,	
and the healthcare service plan to respond to	
the infection.	
Be trained on the correct donning and	
discarding of personal protection equipment.	
Have training in standard precautions, in	
particular hand hygiene, respiratory hygiene,	
and cough etiquette, along with transmission-	
based precautions (contact, droplet, and	
airborne), including the appropriate use of	
PPE for each situation.	
Receive refresher courses on standard and	CF Add as an additional action 'Ensure
transmission-based precautions if they have	periodic stimulation exercise after receiving
already been trained.	training';P7 Same.
Be provided with brochures, posters, and	
banners with information on preventing	
respiratory infections.	
Should include basic IPC in all health pre-	
curriculum training for medical staff.	
STRATEGY 4:	
I and the second	
Engineering controls.	
Engineering controls.	
Engineering controls. Actions.	



When ensuring engineering controls,	
healthcare workers/healthcare managers	
should:	
Maintain a distance of at least one metre	
between patients with respiratory	
infections and other individuals.	
Ensure healthcare facilities are designed,	P 10 Replace 'simple' with 'easy'
furnished, and supplied with materials and	
finishes simple to clean.	
Ensure isolation wards equipped with	
negative-pressure AIIRs are built to	
accommodate patients with high-risk	
respiratory infections.	
Ensure isolation rooms are situated in an	
area separated from other patient care	
areas.	
Ensure that triage and waiting areas are	
designed and used in a way that	
transmission of respiratory diseases is	
prevented.	
Ensure that rooms are adequately	
ventilated when performing aerosol-	
generating medical procedures.	
Maintain ventilation in the healthcare	
setting, considering comfort and weather.	
The goal is to achieve reasonable air	
exchange with gentle air movement.	
Change with genue an inovernent.	



Strong airflow into the room from outside readily felt and causes discomfort is not required and may contribute to airflow from the room.	
Ensure rooms are adequate to accommodate wheelchair users and mobile medical equipment and to prevent overcrowding.	P2 Add 'spacious' at the end of 'are'; P1 Same
Improve indoor ventilation by opening windows or doors.	
Reduce the duration of mechanical ventilation for patients on admission and a new ventilator circuit should also be provided to each patient.	
Ensure social distancing in an indoor public place.	
STRATEGY 5: Active surveillance programme for staff and patients with ARIs.	



Actions.	
Screening of all healthcare workers and	
patients and visitors entering the healthcare	
facility for signs and symptoms of respiratory	
illnesses.	
Healthcare workers should ensure that	
reporting systems for ARIs among staff and	
patients are in place in the healthcare service.	
Healthcare workers who develop respiratory	
infections should report their condition	
according to facility protocol.	
There should be early identification of staff	
absence/s owing to a respiratory infection	
outbreak.	
Anyone who screens positive on the ARIs	
case finding/surveillance protocol should be	
instructed to implement appropriate	
precautions and later referred for medical	
assessment.	
Patients/visitors and staff in the healthcare	
setting must be monitored for signs of acute	
respiratory infection using the recommended	
device.	
There should be effective working	P4 Replace 'Healthcare setting' with 'curative
relationships between the healthcare setting	unit'; CF same
and the public health unit. Clear lines of	
communication are maintained. The public	
health unit is contacted for information and	
advice as required and the obligations to	
report any respiratory infection outbreak.	



Restriction of visitors and caregivers.	
Actions.	
Healthcare workers should ensure that visitors	
and family members visiting patients with	
respiratory infections are limited per facility	
protocol.	
All healthcare settings must have signage	
posted at all entrances instructing all	
clients/patients/residents, visitors, and	
volunteers about any restrictions	
implemented.	
Visitors must practice hand hygiene, be	
instructed in, and adhere to appropriate	
precautions when visiting, and not visit if they	
have any acute respiratory illness/ symptoms.	
Health care settings where exposure, with or	
without transmission of respiratory infection,	
has occurred should restrict the number of	
available entrances into the facility.	
Individuals wanting to visit loved ones should	
use designated entrances in healthcare	
facilities.	
All visitors should continue to wear a well-	P7 Add 'and relatives' at the end of visitors
fitting mask when entering the healthcare	
facility.	
Healthcare workers should ensure that visitors	
and family members visiting patients with	



respiratory infections are limited per facility	
protocol.	

STRATEGY 7:	
Vaccination of Healthcare workers.	
Actions.	
Mandatory vaccination for healthcare workers	
for vaccine-preventable respiratory diseases	
according to national recommendations.	
Ensure healthcare workers know that they	
should be vaccinated, including boosters.	
Encourage a high level of vaccine intake by	
health staff by providing appropriate support	
to staff with questions about the benefits and	
risks of vaccination.	
Provision of education on the benefits and	
risks of vaccination to healthcare workers.	
Ensure healthcare workers have easy access	P4 Replace 'sites with 'centers'
to the vaccination sites.	
Ensure promotional activities, such as using	P8 Add as additional action 'Ensure health
promotional material, including advertising	care staff who take vaccinations are
posters hung up in the wards, banners in the	motivated';P9 Same;P7 Same;P6 Same
hospital, an Internet page, and a campaign	
presentation event to increase vaccination	
coverage for healthcare staff.	
Ensure regular review of the uptake of	
vaccination by healthcare staff regarding	
respiratory infections.	
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Promoting healthy behaviours to strengthen the immune system Actions When ensuring regular exercise: Healthcare staff, patients, and the public should be advised to engage in moderate exercises, considering their health condition. Healthcare workers should educate patients and the public on the risks and benefits of regular moderate-vigorous exercises. Patients admitted to the ward should be engaged in moderate/passive exercise, depending on their health condition. When ensuring adequate nutrition and hydration: Advise patients, healthcare workers, and the public to increase their fluid intake. Provide education on the importance of ensuring adequate hydration. Advise healthcare staff and the public to keep a record of their water intake using the recommended applications on their phones. Encourage healthcare workers, patients, and the public to take a diet rich in vitamins and minerals	STRATEGY 8:	
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the public to take a diet rich in vitamins and	recommended applications on their phones.	
	Encourage healthcare workers, patients, and	
minerals	the public to take a diet rich in vitamins and	
	minerals	



Provide dietary education for patients and	
relatives at the healthcare facility.	
Provide dietary supplements to the vulnerable	P2 Add 'the Aged'
(pregnant women, children) in the society	P8 Add 'as well as Healthcare workers' at the
	end of the society.
When ensuring smoking cessation:	
Provide health education on the effect of	
smoking on respiratory infections	
Place banners and posters at the entrance of	
the healthcare facility showing the effects of	
smoking.	
Provide support for healthcare staff and	
patients who smoke to quit through	
counselling programmes	
When ensuring stress reduction:	
Provide education on various stress	
management techniques to healthcare staff,	
patients, and the public.	
Healthcare managers should implement	
measures to ensure adequate staff per shift.	
Encourage healthcare staff to enjoy their	
annual vacation according to facility protocol.	
STRATEGY 9:	
Adequate supply of PPE	
Actions	
	1



Provide sufficient and appropriate PPE to	P2 Add 'The healthcare setting should' at the
healthcare workers, patients, and visitors in	beginning of the sentence.
the healthcare service.	
The healthcare setting should ensure that the	P10 Rephrase it
recommended PPE is accessible and	'The healthcare setting should ensure that the
available, and that staff members have	recommended PPE are available and
received training on its use.	accessible, and that staff members have
	received training on its usage and disposal'.
Ensure patients, caregivers, and visitors in the	P7 Add 'regular' in front of the 'access'
healthcare facility have access to	
recommended PPE.	
Adequate resources should be devoted to	
infection prevention and control programmes	
in all healthcare settings, and adequate	
inventories of PPE should be maintained.	

STRATEGY 10:	
Monitoring and evaluation and investing in	
research	
Actions	
Healthcare Managers/stakeholders should:	
Conduct adherence monitoring for healthcare	
workers on recommended IPC measures,	
using the recommended devices, such as,	
hand hygiene audit	
Ensure regular assessment of the	
effectiveness of the IPAC programme and its	
impact on practices in the healthcare setting	



Conduct healthcare facility risk assessment	
and communicate results/feedback to each	
unit in the healthcare facility.	
Be reminded of the need to be able to	P4 Change action
demonstrate training, and is, therefore,	'Be reminded of the need to be able to
encourage to document the workers trained,	demonstrate training, and the importance to
the dates training was conducted, and the	document the workers who are trained, the
information and materials included during	dates training was conducted, and the
training.	information and materials covered during
	training'.
Provide appropriate support and supervision	
for staff during an outbreak of a respiratory	
infection	
Be supported financially when conducting	
research on respiratory disease and its	
management in the healthcare setting.	



APPENDIX I LANGUAGE EDITING CERTIFICATE



Nr: 202826

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Report title: EVALUATING THE KNOWLEDGE, ATTITUDE, AND PRACTICE OF GHANAIAN HEALTHCARE

WORKERS TO INFORM STRATEGIES TO PREVENT HEALTHCARE-ASSOCIATED SPREAD OF

RESPIRATORY INFECTIONS

Author/s: Abena Serwaa Ofosu

Institution: University of Pretoria

Date Issued: 22 November 2023

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