



Faculty of Health Sciences  
School of Health Care Sciences  
Department of Physiotherapy

**Inter- and intra-rater reliability of a technique for assessing the length of the Latissimus Dorsi muscle**

**Dissertation for the**

**degree:** MPhysT

**Student:** Muhammad Aatif Dawood

**Student number:** 26120144

**Contact details:**

**Address:** Department of Physiotherapy, Faculty of Health Sciences, University of Pretoria

**Tel:** 012 374 0338

**Cell:** 072 118 2565

**E-mail:** MDawoodphysio@gmail.com

**Supervisor:** Prof AJ van Rooijen

**Email:** Tania.vanrooijen@up.ac.za

**Co-supervisor:** Mrs Annemarie Marais

**Email:** Annemarie.Marais@up.ac.za

**Date:** 29/10/14

# TABLE OF CONTENTS

|  | Page |
|--|------|
| Table of contents.....   | i    |
| Abbreviations .....  | vi   |
| 1.1 Definition of key terms.....                               | vii  |
| Abstract.....  | viii |
| Chapter 1: Background And Literature .....                     | 1    |
| 1.1 Introduction.....  | 1    |
| 1.2 Background.....  | 2    |
| 1.3 Problem statement .....                                    | 3    |
| 1.4 Research question.....                                     | 3    |
| 1.5 Aim and objectives .....                                   | 4    |
| 1.6 The importance and benefits of the study.....              | 4    |
| 1.7 Research design .....                                      | 7    |
| 1.8 Ethical and legal considerations .....                     | 8    |
| 1.9 Course of the dissertation .....                           | 9    |
| Chapter 2: Literature Review.....                              | 10   |
| 2.1 Introduction.....  | 10   |
| 2.2 Topic development.....                                     | 10   |
| 2.3 The Latissimus Dorsi muscle .....                          | 15   |
| 2.4 The function and importance of Id.....                     | 17   |
| 2.5 Structural and functional anatomy of Latissimus Dorsi..... | 18   |
| 2.6 Muscle shortening .....                                    | 22   |
| 2.7 The effects of a shortened Latissimus Dorsi .....          | 22   |
| 2.8 Summary of the dysfunction of Latissimus Dorsi .....       | 23   |

|  |           |
|--|-----------|
| 2.9 Previous studies on the impact of Latissimus Dorsi dysfunction .....               | 24        |
| 2.10 Reliability.....  | 30        |
| 2.11 Techniques used to measure the length of Latissimus Dorsi .....                   | 33        |
| 2.12 Clinical accuracy of the test described by Commerford and<br>Mottram (2001) ..... | 36        |
| 2.13 Concluding the literature review .....  | 38        |
| <b>Chapter 3: Methodology .....</b>  | <b>39</b> |
| 3.1 Introduction.....  | 39        |
| 3.2 Research design .....  | 39        |
| 3.3 Study setting .....  | 39        |
| 3.4 Population and sample.....   | 40        |
| 3.5 Research instruments and materials .....   | 42        |
| 3.6 Pilot study .....  | 42        |
| 3.6 Research process.....  | 44        |
| 3.7 Data analysis.....   | 55        |
| <b>Chapter 4: Results.....</b>   | <b>57</b> |
| 4.1 Introduction.....  | 57        |
| 4.2 Descriptive statistics .....   | 57        |
| 4.3 ICC – Intra-rater agreement.....   | 59        |
| 4.4 ICC – Inter-rater agreement.....   | 62        |
| 4.5 Summary .....  | 65        |
| 4.6 Conclusion .....   | 65        |
| <b>Chapter 5: Discussion .....</b>   | <b>67</b> |
| 5.1 Introduction.....  | 67        |
| 5.2 The reliability of the technique .....   | 67        |
| 5.3 A new literature search .....  | 69        |

|                             |    |
|-----------------------------|----|
| 5.4 Limitations.....        | 70 |
| Chapter 6: Conclusion ..... | 74 |
| 6.1 Conclusion .....        | 74 |
| 6.2 Recommendations.....    | 74 |
| References .....            | 79 |
| Chapter 8: Appendices.....  | 85 |

## I. List of tables

|  |     |
|--|-----|
| Table 1.1: List of abbreviations.....  | vi  |
| Table 1.2: Definition of key terms.....  | vii |
| Table 2.1: Literature search strategy.....                                     | 12  |
| Table 2.2: Brief review of relevant articles found.....                        | 13  |
| Table 2.3: Structural characteristics of the two movement systems.....         | 19  |
| Table 2.4: Functional characteristics of the two movement systems.....         | 20  |
| Table 2.5: Brief review and critical analysis of articles.....                 | 26  |
| Table 2.6: Brief review of articles relevant to reliability testing.....       | 31  |
| Table 3.1: Example of the final recording sheet that each rater will have..... | 54  |
| Table 3.2: Collective data collection form.....                                | 54  |
| Table 4.1: Demographic information (n=56).....                                 | 57  |
| Table 4.2: Descriptive Statistics (n=56).....                                  | 58  |
| Table 4.3: Intra-rater reliability agreement.....                              | 59  |
| Table 4.4: Inter-rater reliability and agreement parameter results.....        | 62  |
| Table 4.5: Inter-rater reliability and agreement parameter results novice..... | 63  |
| Table 4.6: Inter-rater reliability: all raters.....                            | 64  |
| Table 4.7: Summary of inter-rater reliability.....                             | 64  |

## II. List of figures

|  |    |
|--|----|
| Figure 1.1: An individual adorning a piece of clothing.....                              | 6  |
| Figure 1.2: An individual displaying a situation where the strength of LD.....           | 6  |
| Figure 1.3: An everyday movement where the Latissimus Dorsi muscle is functional.....    | 7  |
| Figure 2.1: A graphic illustration of the Latissimus Dorsi.....                          | 16 |
| Figure 2.2: A skeletal representation of the function of Latissimus Dorsi.....           | 18 |
| Figure 3.1: Photograph of the Precision™ ten-inch goniometer.....                        | 42 |
| Figure 3.2: Flow chart representative of the reliability study.....                      | 46 |
| Figure 3.3: A photographic representation of the labelled station.....                   | 49 |
| Figure 3.4: A photograph of the student marking the centre points of the GHJ.....        | 50 |
| Figure 3.5.1: Illustration of the crook lying position .....                             | 51 |
| Figure 3.5.2: Photograph of the crook lying position with Velcro strapping.....          | 52 |
| Figure 3.6: A photo of the test position illustrating scapulae and GHJ positioning...53  |    |
| Figure 4.1 Line graph representing the average readings per individual.....              | 59 |
| Figure 4.2: Line graph representing Reading 1 compared to Reading 2<br>in station 1..... | 60 |
| Figure 4.3: Line graph representing Reading 1 compared to Reading 2<br>in station .....  | 60 |
| Figure 4.4: Line graph representing Reading 1 compared to Reading 2<br>in station.....   | 61 |
| Figure 4.5: Line graph representing Reading 1 compared to Reading 2<br>in station 4..... | 61 |
| Figure 4.6 Bat plot representing the inter-rater reliability and agreement.....          | 63 |
| Figure 4.7 Bat plot representing the inter-rater reliability and agreement .....         | 64 |
| Figure 5.1: A photo of the proposed test position.....                                   | 76 |

## Abbreviations

**Table 1.1: List of abbreviations**

| <b>Abbreviation</b> | <b>Meaning</b>                     |
|---------------------|------------------------------------|
| ADL                 | Activities of daily living         |
| GHJ                 | Glenohumeral joint                 |
| ICC                 | Intraclass correlation coefficient |
| LD                  | Latissimus Dorsi muscle            |
| M                   | Muscle                             |
| ROM                 | Range of motion                    |
| UP                  | The University of Pretoria         |

## 1.1 Definition of key terms

**Table 1.2: Definition of key terms**

| Term                    | Meaning/Definition   |
|-------------------------|--|
| Dysfunction             | Abnormal functioning of an anatomical structure. In this study applicable to the abnormal functioning of the LD or the GHJ, e.g. the dysfunction of LD is related to its length (Mosby, 2009).   |
| Inter-rater reliability | This is the degree of agreement among raters. It gives a score of how much homogeneity, or consensus, there is in the ratings given by judges (Burns and Grove, 2005).   |
| Intra-rater reliability | The intra-rater reliability is the degree of agreement among multiple repetitions of a diagnostic test performed by a single rater (Burns and Grove, 2005).  |
| Latissimus Dorsi        | M Latissimus Dorsi meaning 'broadest [muscle] of the back' (Latin latus meaning 'broad', Latissimus meaning 'broadest' and dorsum meaning the back), is the large, flat, dorso-lateral muscle on the trunk, posterior to the arm, and partly covered by the trapezius on its median dorsal region (Mosby, 2009). |
| Reliability             | A term denoting the consistency of a measure obtained in the use of a particular measure/measurement technique (technique of measuring the length of LD) and is an indication of the extent of random error in the measurement method (technique of measuring the length of LD) (Burns and Grove, 2005).         |
| Reproducibility         | The ability of an entire experiment or study to be reproduced, either by the researcher or by someone else working independently. The LD length test technique should be able to be reproduced by any researcher (Burns and Grove, 2005).  |
| Objective measurements  | A measure that requires little or no judgment on the part of the researcher performing the measurement. For example, the LD length test technique will hypothetically provide the same result on a single subject with dependency on the variability of the clinician (Mosby, 2009).                             |
| Outcome measure         | A quantifier of dysfunction, the standard against which the results of the LD length test is assessed (Mosby, 2009).   |
| Technique               | The systematic procedure by which a complex or scientific task is accomplished (Mosby, 2009).  |



## Abstract

### **Introduction**

The length of a muscle has been described as one of the factors contributing to the ideal movement at a joint. A decrease in the length of a muscle results in a decrease in the range of motion at the joint in direct relation to the function of that specific muscle. M Latissimus Dorsi is a muscle which undergoes length changes (loss of extensibility) and this muscle has a functional role in many aspects of sport and rehabilitation. The loss of extensibility may result in a decreased range of motion at the glenohumeral joint leading to dysfunction. Evidence-based practise requires the use of objective, valid and reliable tests for measuring the length of a muscle. No scientific evidence of reliability for any documented technique testing the length of m Latissimus Dorsi (LD) was found.

### **Aim**

The aim of this study was to assess the inter-rater and intra-rater reliability of a technique adapted by Commerford and Mottram (2012) for assessing the length of LD.

### **Study design**

The design of the study is a within-participant test-retest non-experimental quantitative study for reliability purposes

### **Method**

Fifty-six volunteering students recruited from the Physiotherapy Department of the University of Pretoria were the participants in this study. Four qualified physiotherapists with varying numbers of years of clinical experience independently performed the test for assessing the length of LD. The test was performed twice by each physiotherapist on every participant and two measurement sessions were done. A pilot study was also done.

### **Data Analysis and conclusion**

A sample of 56 participants provided an intraclass correlation coefficient (ICC) of less than 0.9 and this is regarded as poor reliability. The agreement between each rater and the differences in the two levels of experience of raters were also assessed. The ICC was used to determine the inter-rater and intra-rater reliability of the LD length test. A 0.05 level of significance was employed.

The ICC between the experienced raters was found to be 0.48 with a novice rater ICC of 0.48 as well. The ICC between all the raters was 0.33. This constitutes poor reliability. The poor reliability of the technique testing the length of LD was identified and addressed in order for adequate usage thereof, in research and in practice. Recommendations of a new technique to test the length of LD was provided by the researcher.

A suggestion was made regarding a manner of testing its reliability.

### **Key words**

M Latissimus Dorsi, muscle length test, dysfunction; reliability; reproducibility; glenohumeral joint; range of motion

# Chapter 1: Background and literature

## 1.1 Introduction

The Latissimus Dorsi (LD) is a large muscle with a role in the production of movement. Some of the functions of LD are: a major role in throwing, crutch walking, climbing and swimming. LD hypertrophies and loses extensibility in the presence of pain, overuse and dysfunction (Commerford and Mottram, 2001) (Figures 1.1 to 1.3). LD undergoes changes in length due to pathology or pain in the shoulder region (Borstad and Briggs, 2010).

It is important to evaluate dysfunction objectively. In general, a clinical measurement of dysfunction, such as muscle length, could be valuable to determine the presence of the specific impairment of a patient or to assess the change in the impairment objectively (De Vet, Terwee, Knol, and Bouter, 2006).

Outcome measure is the collective used to describe an evaluation technique to quantify dysfunction (Swinkels, van Peppen, Wittink, Custers and Beurskens 2011). The technique testing the length of LD would quantify the dysfunction relating to LD muscle length discrepancy.

Muscle length measurement tests are frequently used as outcomes measures that are valuable to physiotherapists (Kendall, McCreary, and Provance, 1993). The use of scales and measurable objectives are essential to the clinical reasoning and objectivity regarding the evaluation of a patient. These factors are essential to good clinical practice (Swinkels et al. 2011).

## 1.2 Background

During the assistance of a colleague's PhD data collection involving shoulder injuries in swimmers, the observation was made that the length of LD may contribute to poor shoulder joint alignment and dysfunction. To determine the contribution of LD length on shoulder dysfunction, the length of LD should be evaluated and compared to an ideal standard of length. To measure the length of LD a reliable test assessing the length of LD is needed.

LD is a large, superficial, bulky muscle that originates from the lower six thoracic vertebrae, thoraco-lumbar fascia, iliac crest and inferior three to four ribs. This muscle inserts on the inter-tubercular groove of the humerus (Moore 1992).

LD has different functions. Agonistically, LD does extension; medial rotation and adduction of the shoulder joint (Moore 1992). Functionally, LD raises the trunk towards the humerus during climbing where the arms are used (Moore 1992). LD is a muscle that contributes to a variety of glenohumeral joint (GHJ) movements needed by a range of people in everyday situations. Swimmers use LD to generate enough force to propel them through the water (Herrington and Horsely 2012). Dohle and colleagues (2013) argued that LD was also one of the muscles to be treated as part of the rehabilitation of stroke patients. LD is one of the key muscles affected by stroke and rehabilitated in patients suffering from stroke. In baseball pitchers, LD is a muscle that was found to be extremely active from the late cocking phase into the acceleration phase of throwing (Nagda et al 2011). In patients with spinal cord injury, LD is often used to compensate for the loss of function of other muscles that are more proximal to LD.

The background information stated above, as well as the anatomy and functioning of LD, is described in detail in the literature review in Chapter 2.

### 1.3 Problem statement

LD is a bulky, superficial muscle that has a role in the production of movement and that, anatomically, runs over more than one joint; therefore LD is a global mobiliser (Commerford and Mottram, 2001). Global mobilisers may lose extensibility in the habitual use or consistent positioning of a joint, for example poor posture.

Loss of extensibility of LD may limit physiological movement. A shortened LD limits flexion and lateral rotation of the GHJ. Therefore, LD plays a role in the cause of dysfunction. Dysfunction needs to be measured in order to maintain treatment efficacy and objectivity.

A reliable test measuring dysfunction is needed in order to maintain consistency between a therapist's measurements and between therapists. This reliability is dependent on the technique of the test. This is important in the interest of objectivity. These techniques of measuring muscle lengths are frequently used, despite their lack of reliability and clinical accuracy. Most measurements of muscle length have not been tested for reliability and validity and, despite this limitation, these tests continue to be applied in theory and in practice (Borstad and Briggs, 2010).

According to the literature, there is no scientific evidence proving the existence of a reliable test technique for measuring the length of LD.

### 1.4 Research question

Does the technique that was described by Commerford and Mottram (2012) for measuring the length of the LD muscle render scientific inter-rater reliability as well as intra-rater reliability?

## 1.5 Aim and objectives

### 1.5.1 Aim

The aim of the study is to assess the reliability of the technique of the test to measure the length of LD.

### 1.5.2 Objectives

- To assess the reliability of the technique of a test measuring the length of LD by means of intra-rater agreement in both novice and experienced clinicians; and
- To assess the reliability of the technique of a test for measuring the length of LD by means of inter-rater agreement in both novice and experienced clinicians.

## 1.6 The Importance and benefits of the study

Physiotherapists and other clinicians use outcome measures prior to the treatment of a patient in order to obtain a baseline of informative measurements from which treatment techniques may be selected by the therapist/clinician (Borstad and Briggs 2010). The objectivity of the measurements of the length of LD between therapists in clinical settings will thereby improve the tracking of effectiveness and progress of the patient more adequately. A reliable LD length test could be valuable to determine the presence of the specific impairment of a patient or to assess the change in the impairment objectively (De Vet, Terwee, Knol, and Bouter, 2006).

Consistency between each therapist's own measurements (intra-rater reliability) of the length of LD of a single patient will also improve, thereby tracking effectiveness and progress of the patient more adequately as well.

If this technique of testing the length of LD is proven reliable, clinicians would benefit via the application of a reliable technique of a test evaluating LD length in the various clinical settings. Factors such as objectivity and consistency of outcomes measures are essential to good clinical practice (Swinkels et al. 2011).

Whether the technique for testing the length of LD is proven reliable or not, researchers will benefit via the availability of a reliable test for evaluating LD length or by being given access to an adapted test to assess the reliability as well as logistical and methodological recommendations for future muscle length test and reliability studies.

Researchers and clinicians alike, may then use this test as an outcomes measure in future studies as well as in practice, for example where LD length can be tested for correlation with pain and dysfunction by means of a technique that is proven scientifically reliable. This will add scientific rigour, accuracy and objectivity to possible future studies relating to the length of LD.

Figures 1.1 to 1.3 display functional everyday positions where a LD contraction is a major contributing factor to the functional movement displayed. Figure 1.1 displays an individual dressing herself in a jacket where extension adduction and medial rotation is needed. Figure 1.2 displays an individual attempting to pick up a box and place it beside her where the function of LD will be necessary as well. Figure 1.3 shows an everyday movement where a hospital patient would shift forward in his/her hospital bed; the same would apply to any individual shifting forward in a chair to stand up in any setting.



**Figure 1.1:** An individual putting on a piece of clothing from behind



**Figure 1.2:** An individual displaying a situation where the strength of Latissimus Dorsi is needed





**Figure 1.3:** An everyday movement where Latissimus Dorsi is acting functionally.

### 1.7 Research design

The range of motion is measured in units of degrees. This is a quantifiable variable. Reliability is also quantified using the intra-class correlation co-efficient (ICC); this variable is then analysed and an interpretation thereof results in either a conclusion of good reliability or poor reliability. This reliability study is quantitative and analytical (Burns and Grove, 2005). The within-participant, non-experimental nature of the study indicates that this is a within-participant test-retest non-experimental quantitative study for reliability purposes (Lachin, 2004).

## 1.8 Ethical and legal considerations

Ethical and legal considerations have been accounted for, since approval by the Physiotherapy Department, School of Healthcare Sciences Postgraduate Committee and Ethics Committee of the Healthcare Sciences Faculty was obtained. The University of Pretoria Research Ethics Committee may be contacted at: The Research Ethics Office: Tel: 012 354 1330 or 012 354 1677 Fax: 012 354 136, [manda@med.up.ac.za](mailto:manda@med.up.ac.za) or [deepeka.behari@up.ac.za](mailto:deepeka.behari@up.ac.za). The ethics referral number for the protocol of this study is 464/2013. (Appendix B)

Firstly, the consent form and procedure were explained to all the participants in this study. At the same information session, the course of the study was explained to each of the interested parties. Each participant was informed that all questions would be answered by the primary researcher. Each participant then signed a form of informed consent, prior to the commencement of the study, at which point all the important facts regarding the procedure and what would be expected from the participants regarding the evaluation of their biomechanical dysfunction was discussed. In Appendix C, an example of the written informed consent clearly stating the expectations of the participant and the process of the study in non-technical language is attached; in this way the right of informed consent was exercised.

Appendix D contains a declaration from the statistician that he/she is objective and has no connection to the study. The data has been cleaned and checked; the corresponding declaration is attached (Appendix H).

The confidentiality of the participants was protected throughout the study. The participants were given study numbers and their identities were protected by personal information not being displayed in the data. This data will be stored for a period of no less than fifteen years (Appendix H).

The participants bore no costs in the participation of this study, since the trials have proceeded at their respective practice venues at times most convenient to them (In the event of any costs being incurred by the participant, the researcher would bear these costs, if directly related to the study).

As discussed earlier, quality control has been ensured throughout the study. All participants were given the opportunity to withdraw from the study at any time.

The participants have also been given ample opportunity for questions at the information session, thereby exercising the principle of autonomy. This is a study purely focussing on evaluation and observation and its procedures are non-invasive. This minimizes any risk of side effects and physiological change to the participant. Letters granting permission to be photographed were signed by the two individuals in the photographs (Annexure J).

## 1.9 Course of the Dissertation

Chapter 2 presents a literature study that focuses on the description and the importance of Latissimus Dorsi (LD) with regard to classification, anatomy, function and the dysfunction of LD with specific relevance to muscle shortening. Reliability and reliability testing regarding LD muscle length tests will then be reviewed.

Chapter 3 contains a detailed account of the methodology of this study, which will ensure that this study is replicable.

In Chapter 4, the collected data and results of this study are discussed. A visual presentation of the results is also provided in the form of tables, graphs and figures.

Chapter 5 discusses the results of this study against the background of the relevant literature.

In Chapter 6 the limitations of this study, as well as the clinical significance of the findings, are discussed. A new technique to assess the length of LD is subsequently recommended for further testing in further research studies.

## Chapter 2: Literature review

### 2.1 Introduction

Understanding the structural and functional anatomy of the Latissimus Dorsi muscle (LD) as part of the musculoskeletal system, is the key to the understanding the function of LD in the movement system. The first aim of the following literature review is to describe the importance of LD in detail regarding the anatomy of LD as it relates to its function, classification and dysfunction thereof. The second aim of this literature review is to review the literature exploring the dysfunction of LD with specific relevance to muscle shortening. The third aim of this literature review is to illustrate the importance of objectivity in the measurement of dysfunction, thereby illustrating the importance of a reliable technique to test the degree of muscle shortening with specific reference to LD. Reliability testing and the literature describing it will then be discussed with reference to the measurement of dysfunction and statistical analysis. The fourth aim of this literature review is to critically review and analyse a study in which the reliability of a technique testing the length of LD is tested. The final aim of this literature review is to summarize and apply all the literature discussed to the relevance and importance of the length of LD and the reliability of a technique to test this.

### 2.2 Topic development

During the assistance of a colleague's PhD data collection involving shoulder injuries in swimmers, the observation was made that the length of LD may contribute to poor shoulder joint alignment and dysfunction. To determine the contribution of LD length on shoulder dysfunction, the length of LD should be evaluated and compared to an ideal standard of length. To measure the length of LD a reliable test to assess the length of LD is needed.

A data search was performed to find proof of scientific reliability for a test that measures the length of LD. Details of the search are summarized in Table 2.1.

**Table 2.1:** Literature search strategy

| Sources   | Keywords used   | Applicable results  | Limitations  |
|---|---|---|--|
| <p>Google Scholar, Pub med, Science Direct and the Cochrane library. Physical therapy textbooks and journals have also been reviewed.</p> | <ul style="list-style-type: none"> <li>• Latissimus Dorsi</li> <li>• Reliability</li> <li>• Length test</li> <li>• Outcomes measures</li> <li>• Reproducibility</li> <li>• Muscle length test</li> <li>• Anatomy of the Latissimus Dorsi</li> </ul> | <p>± 50,000 results were found, of which only one was directly applicable;</p> <p>Borstad and Briggs, 2010, "Reproducibility of a measurement for Latissimus Dorsi muscle length", Physiotherapy Theory and Practice, vol. 26, no. 3, pp. 195-203</p> | <p>English</p> <p>Humans</p> <p>The study was limited to articles published in the years 2000-2013 and this limitation was subsequently removed due to the amount of literature available.</p> |

A vast majority of the articles that was reviewed related to surgical procedures where LD was resected and used in breast reconstruction surgery post mastectomy and other surgeries. The LD flap is a workhorse flap for salvage of failed expander-implant reconstructions.

The flap also provides trophic stimulation to the surrounding tissues without increased disease morbidity or interference with mammographic evaluation (Ferbeyre-Binelfa 2010).

These articles held no substantial value with regards to the concept of this study. The only applicable article relating LD length and reliability was the one by Borstad and Briggs (2010), where the reliability of the test described by Levangie and Norkin (2001) was tested. This was the only article that involved testing the reliability of a LD length test.

This article will be reviewed critically in detail later in this literature review. Another article by Herrington and Horsley (2013) will also be reviewed with regards to the method they have used to test the length of LD. Other articles selected and referred to in this study are briefly reviewed in table 2.2.

**Table 2.2** Brief review of relevant articles found

| Article  | Content  | Relevance   |
|--|--|---|
| McGill and Norman:<br><i>Dynamically and statically determined low back moments during lifting.</i><br>Journal of Biomechanics<br>18:877-885, 1985 | McGill and Norman concluded that the contraction of the LD and abdominal muscles created tension within the thoraco-lumbar fascia and therefore LD plays a major role in lumbar spine movements. | The thoraco-lumbar fascia provides a major support mechanism for lifting, regardless of the lumbar posture adopted. LD serves to increase the tension along the thoraco-lumbar fascia, assisting in the resistance of flexion.<br><br>This article serves to show |

| Article  | Content  | Relevance   |
|--|--|---|
|  |  | yet another important function of LD.   |
| Bogduk, Johnson and Spalding: <i>The morphology and biomechanics of Latissimus Dorsi</i> . Clinical Biomechanics, 13(6), 377-385, (1998).  | An article using structure to reason the function of LD  | A cadaver study proving the anatomical reference made to this muscle in this study.   |
| <i>Latissimus Dorsi and Teres Major Tears in Professional Baseball Pitchers: A Case Series</i> American Journal of Sports Medicine, 37 2016-2020, (2013).  | Anatomy listed as well as function described and prevalence of injury.   | The prevalence of LD overuse injuries is used to prove relevance and importance of the muscle, and this serves to indicate the relevance of measuring dysfunction such as the length of LD. |
| Herrington, L. and Horsley, I. 2013: <i>Effects of Latissimus Dorsi length on shoulder flexion in canoeists, swimmers, rugby players, and controls</i> , Journal of Sport and Health Science, vol 1, | The length of LD differs between sports and controls in accordance with the specific physical demands of their sport.<br><br>The inclusion and exclusion criteria were well described. The | Several studies have shown that athletes engaged in overhead sports demonstrate increased external rotation with a concomitant loss of internal rotation. The technique used in this        |

| Article  | Content   | Relevance   |
|--|---|---|
| pp.1-4.  | reliability and the validity as well as the sources of the instruments used were not well described.  | article is also critiqued in this literature review.  |
| Burns, N. and Grove, S.K. 2005: <i>The practice of nursing research</i> , 5th ed., Elsevier Saunders, Philadelphia, pp. 374-376.   | Textbook defining reliability and reliability testing as well as reproducibility.                     | This is a reliability study testing the inter and intra-rater reliability of a technique assessing the length of LD.            |
| Aartun, E., Degerfalk, A., Kentsdotter, L., and Hestbaek, L. (2014): <i>Screening of the spine in adolescents: inter-and intra-rater reliability and measurement error of commonly used clinical tests</i> . BMC musculoskeletal disorders, vol. 15, no 1, pp. 37. | The authors tested common spinal clinical tests for inter and intra-rater reliability in adolescents. | Definitions of intra class correlation co-efficient and methods of testing reliability and data analysis applied to this study. |

The main topic of this study is the Latissimus Dorsi muscle (LD). In order to understand the literature that follows this muscle will now be described with regard to its anatomical attachments and function.



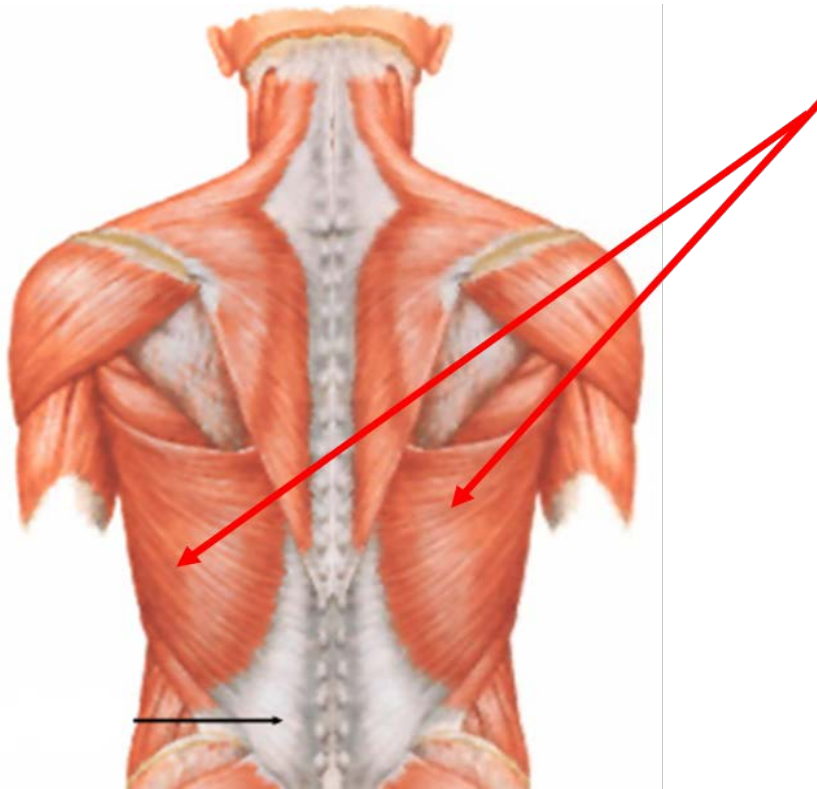
### 2.3 The Latissimus Dorsi muscle

The words 'Latissimus Dorsi' are derived from the Latin words *latus*, meaning broad, and *dorsum*, meaning the back; therefore Latissimus Dorsi has the intended meaning of 'the broadest muscle of the back' (Mosby, 2005).

Muscle constitutes approximately forty per cent (40%) of total body weight (Petty 2004). Muscles are made up of contractile and non-contractile tissue. Skeletal muscle contracts in order to produce or prevent movement (Petty 2004). LD is a skeletal muscle producing movement; this statement will be addressed further in the literature discussed below.

LD is the widest muscle in the human body. It is relatively thin and covers almost all the muscles of the back at the posterior trunk (Mosby, 2005).

LD is a large superficial muscle that originates from the lower six thoracic vertebrae, thoraco-lumbar fascia, iliac crest and inferior three to four ribs; it inserts on the inter-tubercular groove of the humerus and LD is supplied by the sixth, seventh, and eighth cervical nerves through the thoracodorsal (long scapular) nerve (Moore 1992). (Figure 2.1).



**Figure 2.1:** A graphic illustration of LD (Moore 1992, pp.530- 531)

The red arrows in Figure 2.1 depict the muscle belly of LD bilaterally. The black arrow indicates the fascia attachment at the thoraco-lumbar fascia.

LD has now been structurally described. The structure of a muscle is in direct correlation to its attributed function. The functional importance of this muscle will now be described.

## 2.4 The function and importance of LD

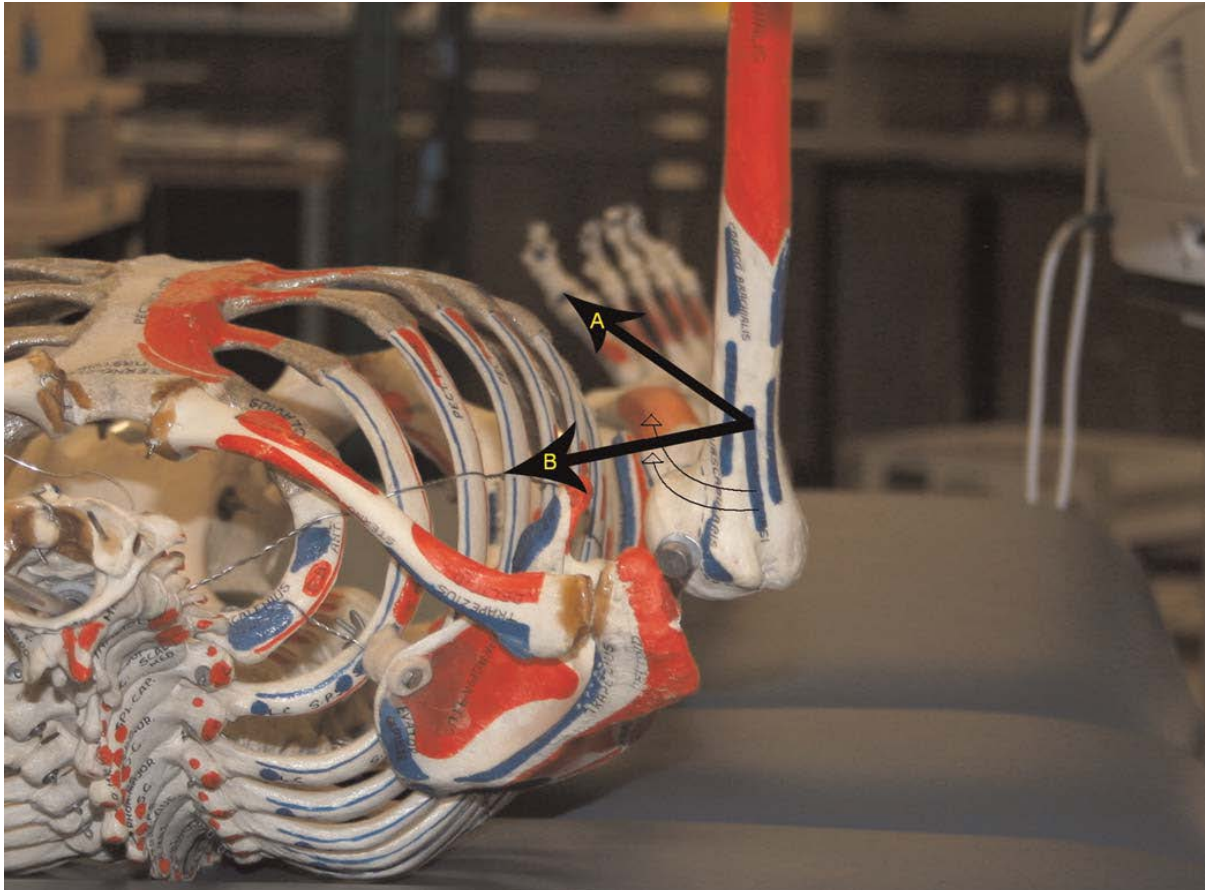
The LD has different functions. The main functions of LD are: extension, medial rotation and adduction of the glenohumeral joint (Moore 1992). Functionally, LD raises the trunk towards the humerus during climbing where the arms are used (Moore 1992). LD is a muscle that contributes to a variety of glenohumeral joint (GHJ) movements that are needed by a range of people in everyday situations. One example is where swimmers use LD to generate enough force to propel them through the water (Herrington and Horsely 2012).

Other examples would be a canoeist paddling through the water and a rugby player throwing a rugby ball (Herrington and Horsely 2012).

Dohle and colleagues (2013) argued that LD was also one of the muscles to be treated as part of the rehabilitation of stroke patients, since LD is one of the key muscles affected by stroke because it is the muscle of the upper limb that plays a major role in crutch/assistive device walking. In baseball pitchers, LD is a muscle that was found to be extremely active from the late cocking phase into the acceleration phase of throwing (Nagda et al 2011). In patients with spinal cord injury, LD is often used to compensate for the loss of function distal to LD. The functionality of LD is rarely explored in the available literature; the articles referred to above (Table 2.2) served the purpose of demonstrating the importance of the function of LD in sport, rehabilitation and everyday life.

Figure 2.2 provides a skeletal representation of LD function.

Two arrows originating from the LD insertion represent the directions in which the muscle can produce movement. The top arrow (A) represents extension; the horizontal arrow (B) represents adduction and medial rotation.



**Figure 2.2:** A skeletal representation of the function of Latissimus Dorsi (Borstad and Briggs 2010)

## 2.5 Structural and functional anatomy of Latissimus Dorsi

As mentioned above, the importance of the function and the structure of LD is integral to the understanding this muscle. The applicable literature will now be discussed in order to highlight the importance of this muscle, to classify the muscle according to its structure and function and to describe typical characteristics of this muscle.

Muscles are divided into local stabilisers or global mobilisers according to their build and function (Commerford and Mottram, 2001).

The muscular system of the body with regards to structural characteristics and anatomy is divided into two categories, namely the local and global movement systems. For categorisation, refer to Table 2.3.

**Table 2.3:** Structural characteristics of the two movement systems (Commerford and Mottram 2001)

| <b>Subdivisions of characteristics</b>      | <b>Local stabilisers</b>   | <b>Global mobilisers</b>                                    |
|---|--|---|
| <b>Number of joints crossed by a muscle</b> | Mono-articular   | Bi-articular/multi-articular                                |
| <b>Place of attachment</b>                  | Segmental attachments  | Superficial attachments                                     |
| <b>Mechanics of movement</b>                | If deep: short levers and small moment arms                                | Long levers, large moment arms and greatest bulk            |
| <b>Biomechanical differences</b>            | If superficial: broad apo-neurotic insertions to distribute load and force | Lever mechanics biased for speed or large range of movement |

LD is a bi-articular muscle since it crosses more than one joint. LD is a large superficial muscle. LD has large movement arms. These structural characteristics correspond to the function of flexion adduction and medial rotation of the GHJ. If one takes the structural characteristics of LD displayed in Table 2.3 into consideration, LD would fit all the criteria regarding the subdivisions of the structural characteristics of a global mobiliser.

The muscular system of the body with regards to functional characteristics and movement is also divided into two categories, namely the local and global movement systems. Latissimus Dorsi will now be discussed with relation to its classification regarding function.

The functions of the stabilising muscles are mainly to support joints, provide stability to the joints in the ideally aligned position and these stability muscles do not activate in the presence of pain (Commerford and Mottram 2001). One example of this would be the in-activation of the Multifidus muscle in the presence of back pain.

The global mobilisers however, generate force and are involved in the acceleration of motion and hypertrophy in the presence of pain/dysfunction. The mobilisers have the possibility to lose extensibility due to over activity and hypertrophy. The loss of extensibility results in a shortened LD and a decrease in the range of GHJ motion (Commerford and Mottram 2001).

One example is a bodybuilder whose decreased range of glenohumeral joint (GHJ) horizontal abduction is accounted for by the presence of severely rounded shoulders and an increased Pectoralis major muscle bulk. This demonstrates the over activity, hypertrophy and poor extensibility of this globally mobilising muscle. The movement system classifies muscles into local stabilisers and global mobilisers. Table 2.4 provides detail regarding the different categories of muscles with specific reference to their functional characteristics.

**Table 2.4:** Functional characteristics of the two movement systems (Commerford and Mottram 2001)

| <b>Subdivisions of characteristics</b>   | <b>Local stabilisers</b>  | <b>Global mobilisers</b>  |
|--|---|---|
| <b>The role of the muscle contraction</b>  | Increase muscle stiffness to control segmental motion             | Generates torque to produce range of movement   |
| <b>The role of the type of muscle in movement</b>                                      | Controls the neutral joint position                               | Concentric acceleration of movement (especially sagittal plane: flexion/extension)                      |
| <b>The effect of the contraction of the type of muscle on the length of the muscle</b> | Contraction has no effect on muscle length, does not produce ROM. | Contraction concentric length change; concentric production of movement (rather than eccentric control) |
| <b>Pattern of activation</b>   | Continuous activity throughout Movement                           | Non-continuous activity (on : off phasic pattern)   |

| <b>Subdivisions of characteristics</b>                              | <b>Local stabilisers</b>   | <b>Global mobilisers</b>   |
|---|--|--|
| <b>Dependency of activity on the direction of movement</b>          | Activity is independent of direction of movement                               | Activity is direction dependent  |
| <b>Stimulation needed for activation</b>                            | Proprioceptive input from: joint position, range and rate of movement          | Shock absorption of load   |
| <b>Effects of the type of muscle in the presence of dysfunction</b> | Motor control deficit associated with delayed timing or recruitment deficiency | Loss of myo-fascial extensibility and limits physiological and/or accessory motion |
| <b>Reaction of the type of muscle to pain</b>                       | Reacts to pain and pathology with inhibition                                   | Reacts to pain and pathology with spasm  |

LD is a skeletal muscle (Moore 1992) and is used to produce torque and force when contracting in order to execute a function (Herrington and Horsely 2012, Nagda et al 2011). According to Table 2.4 LD generates enough torque to produce: range of movement, concentric acceleration of movement (especially in the sagittal plane: flexion/extension) and a contraction of concentric length change. LD has a non-continuous activity (on/off phasic pattern). LD would react to pain and pathology with spasm. If the functional characteristics listed in Tables 2.3 and 2.4 respectively are taken into account, the functional characteristics described above are similar to the structural characteristics of LD in the sense that they fall in the category of a global mobiliser.

According to Table 2.4, activity produced by LD is direction dependent and therefore may experience a loss of myo-fascial extensibility and this may limit physiological and/or accessory motion. This is referred to as muscle shortening. This will lead to impaired functioning. Muscle shortening will now be explicitly discussed and explained, specifically relating to LD. The position of a shortened as well as a lengthened LD will be described as well.



## 2.6 Muscle shortening

LD is a mobilizing muscle and it hypertrophies and shortens in the presence of pain, overuse and dysfunction (Commerford and Mottram, 2001).

The habitual use of a muscle or positioning may lead to an altered muscle length (Commerford and Mottram, 2001). If one considers the functional classification of LD described above, a patient maintaining a position that places LD in a shortened position is bound to suffer with LD shortening.

When the glenohumeral joint (GHJ) is placed in flexion and lateral rotation, LD is in a maximally lengthened position and global mobilisers shorten in the habitual use or constant positioning of the globally mobilising muscle (Commerford and Mottram 2001).

This statement denotes that LD will shorten when the GHJ is placed in extension adduction and medial rotation for prolonged periods of time; consequently, the range of GHJ flexion and lateral rotation will be limited. This is because a shortened global mobiliser may cause a decrease in joint range of motion.

The literature referred to above proves that LD is a muscle that may shorten. The effects of this will now be discussed in detail.

## 2.7 The effects of a shortened Latissimus Dorsi

A shortened LD will limit the physiological movement of flexion and lateral rotation of the glenohumeral (GHJ) and in turn this will decrease functionality and lengthen the progress of rehabilitation with other compensatory side effects such as trick movements in the spine, which will be discussed later in the literature review.

GHJ flexion requires an optimal length of LD in order to allow full lateral rotation of the humerus and upward scapular rotation (Herrington and Horsley 2013). If shoulder flexion (in an externally rotated position) is restricted, this may predispose the individual to shoulder pain and pathology (Herrington and Horsley 2013).



An ideal position of any joint is very important, since this would lead to correct joint alignment, which prevents joint damage. The ideal position of a joint places the muscles attached to the joint in a good tension-length relationship. This in turn has a positive effect on muscle function and strength (Levangie and Norkin, 2001).

For a muscle to function properly, the tension of the muscle has to be in good relation to the length of the muscle. This statement denotes that a joint will function optimally when the tension placed on the muscle is suitable to the optimal length of the muscle in order not to exceed the limit of tension that the muscle can handle.

A shortened muscle does not function optimally (Commerford and Mottram 2001). Every muscle has a specific function; when this muscle's function is not optimal; it is referred to as dysfunction. Dysfunction is defined as the abnormal functioning of an anatomical structure (Mosby 2005). The abnormal functioning of LD will now be addressed, as well as the impact of such functioning.

## 2.8 Summary of the dysfunction of Latissimus Dorsi

LD is a muscle which could lose extensibility and limit the GHJ physiological movement of flexion and lateral rotation. This limitation of the movement of GHJ flexion and lateral rotation has a constraining effect on the GHJ. This means that it impairs the functioning of the GHJ. If LD is shortened, in order for a subject to compensate for the loss of range of motion at the GHJ, an increase in the lumbar lordosis will occur abnormally, which may lead to impairment of function. An impairment of function can be related to the abnormal functioning of an anatomical structure. Therefore, it can be argued that LD length can contribute to musculo-skeletal dysfunction.

It is important to recognise dysfunction objectively and in general. A clinical measurement of impairment, such as muscle length, could be valuable in determining the presence of dysfunction in a patient. This will be in the interest of assessing and measuring the change in the severity of dysfunction objectively (de Vet, Terwee, Knol, and Bouter, 2006). In order for objectivity and progress, dysfunction needs to be classified. Therefore, it is important to measure the length of LD objectively and to compare the results to an ideal outcome. An ideal outcome in this instance would be a full range of GHJ flexion range of motion.

Muscle length is often measured by means of special investigatory techniques such as sonomicrometry and ultrasonic imaging (Lichtwark 2007).

These methods and equipment are not readily and commonly available in a physiotherapy setting and therefore it is necessary to physically and functionally evaluate LD for dysfunction like muscle shortening. In order to determine the degree of muscle shortening, one would have to evaluate the length of LD via a reliable muscle length test.

Latissimus Dorsi is a mobilising muscle and has the capability to shorten. A shortened LD would limit physiological movement in the GHJ. If these facts are taken into consideration; the abnormal functioning of LD would have a consequential impact on the functioning of the GHJ and adjacent structures such as the muscles and neural structures. LD dysfunction will now be described with reference to specific studies denoting the impact of LD shortening on function.

## 2.9 Previous studies on the impact of Latissimus Dorsi dysfunction

Several studies have shown that LD loses extensibility in the presence of pain and or dysfunction (Cailliet, 1995, Kibler et al. 2013, Borstad and Briggs, M.S. 2010). The above-mentioned studies indicate that if LD is shortened it could lead to:

- An increase in the lumbar lordosis as LD has an attachment to the thoracolumbar fascia, due to the attachments of the fascia to the lumbar vertebrae. Shortening of LD places an increased load on the vertebral attachment, pulling the lumbar vertebrae into an increased lordosis. The increased lordosis will increase the amount of anterior pelvic tilting. It has been reported that an increased lordosis is possibly the major cause of postural pain, radiculopathy, and facet pain (Cailliet, 1995). When an individual performs an overhead activity with a shortened LD, for example, a tennis player serving a ball, to gain range of motion of GHJ flexion, which will be limited in an individual with a shortened LD, the tennis player will have to tilt the pelvis anteriorly, thereby increasing the lumbar spine lordosis and placing strain on the lumbar spine.

- Scapula mal-alignment by pulling the inferior angle of the scapula into abduction. This position of the scapula does not favour optimum shoulder positioning and function (Kibler et al. 2013). Scapulae mal-alignment attributes to poor scapulae humeral rhythm, which places an increased load on the structures surrounding the GHJ, leading to dysfunction and pathology (Kibler et al. 2013).
- A decrease in the range of motion (ROM) of glenohumeral joint (GHJ) flexion and lateral rotation. GHJ flexion and lateral rotation places LD in the lengthened position (Moore, 1992). As mentioned earlier, LD has the potential to lose extensibility (Commerford and Mottram 2001) that will result in a decrease in ROM. A decrease in ROM of the GHJ will cause a decrease in functionality of the individual. An example one could consider would be a housewife not being able to reach for an article above her head due to a decrease in GHJ flexion range of motion, which could be due to a shortened LD.
- The information from the articles described above denotes that, if LD is shortened, dysfunction at the GHJ, lumbar spine and pelvis will occur. Table 2.5 presents a critical review of the above-mentioned articles.

**Table 2.5:**Brief review and critical analysis of articles relating to the dysfunction of Latissimus Dorsi

| Article   | Description   | Critical review  | Description of main findings  | Contribution to study  |
|---|---|--|---|--|
| <p>Borstad and Briggs (2010), <i>Reproducibility of a measurement for Latissimus Dorsi muscle length.</i></p> | <p>A reliability study illustrated by means of a descriptive report, testing the reproducibility of a technique that tests the length of LD</p> | <p>The authors used an inclusion criterion and an exclusion criterion applicable to the study, with an adequate sample size and performed the test according to reliability testing requirements. The test was also described to have been performed according to the descriptions by Levangie and Norkin in 2001. There are, however, discrepancies between this study and the study by Borstad and Briggs, namely, the time taken between measurements as well as the quality and qualification of the raters who were taking the measurements of LD length in the study by Borstad and Briggs. The logistics of the</p> | <p>A reliability study done testing an orthodox technique that is used to assess the length of LD with too many inconsistencies and variables in the methodology, therefore mainly finding the test to be irreproducible.</p> | <p>This was used as a point of reference for the methodology used in this study as well as source of comparison for LD muscle length testing technique. The shortcomings and recommendations discussed were implemented in the execution of this study. The resulting values obtained by Borstad and Briggs, (2010) were also used as a basis of comparison between the two techniques available to assess the length of Latissimus Dorsi.</p> |

|   |  |  |   |  |
|---|--|--|---|--|
|   |  | testing method were not fully described. The study design was a quantitative analytical reliability study which was well suited to the methodology. A conclusion has been made and all the results given in the study supports the conclusion.   |   |  |
| <b>Article</b>  | <b>Description</b>   | <b>Critical review</b>   | <b>Description of main findings</b>   | <b>Contribution to study</b>   |
| Commerford and Mottram (2001).<br><i>Movement and stability dysfunction—contemporary developments</i> | A narrative review exploring the literature related to global and local movement systems | The authors have specific reference to the characteristics of muscles with function in mobility and muscles with function in stability, clearly outlining the major concepts regarding the local and global movement systems, thus adding to the development of an integrated model of movement dysfunction whereby muscles may be classified according to their structural and functional | A narrative review displaying the structural and functional characteristics used to classify a muscle under the movement system sub headings. | This article provided the evidence used to classify LD and then use the characteristic shortening of LD to prove the importance of this study. |

|                |                    |   |                                     |                              |
|----------------|--------------------|---|-------------------------------------|------------------------------|
|                |                    | <p>characteristics. This article was a narrative review review. The inclusion and exclusion of articles used was vague. The background information needed to understand the content of the article was well referenced and described. A clearly focused research question was being asked and the correct studies were included in the review of literature. More than one database has been used and for this reason also improves the validity of the study. The results of the various studies have been combined in a comprehensible fashion. However, the article is, aged but still holds value as it is one of its kind.</p> |                                     |                              |
| <b>Article</b> | <b>Description</b> | <b>Critical review</b>  | <b>Description of main findings</b> | <b>Contribution to study</b> |

|   |  |  |  |   |
|---|--|--|--|---|
| Kibler, W. Ben, et al. (2013)<br><i>Clinical implications of scapular dyskinesis in shoulder injury</i> | An article summarizing the 2013 consensus statement from the scapular summit | An article summarizing the 2013 consensus statement from the scapular summit. This article was very recent and provided relevance, although the level of evidence was not of a high stature. | Clinical implications of scapular dyskinesis in shoulder injury. | As described in the anatomy Latissimus Dorsi has a relationship with the scapulae and this article described the importance of the alignment of the scapulae from a very recent summit. |
|---|--|--|--|---|

As described above, measurements of impairment or dysfunction need to be consistent. For a measurement to be consistent the measurement tool will have to be reliable. Reliability will now be defined and discussed.

## 2.10 Reliability

The reliability of a test can be defined as a description of the consistency of the measures obtained (Nancy Burns 2005). Reliability is most frequently described using the intraclass correlation coefficient (ICC). The ICC index ranges from zero to one. The closer the value of the ICC to one, the higher the indication of the reliability of the test will be. All the models used to calculate reliability include variability between subjects. This means that, in order to test reliability, there has to be variation between the subjects being tested (Portney and Watkins, 2000). This part of the definition of the term 'reliability' means that when the test is used the results are consistent irrespective of the clinician performing the test. For example, if in a hospital setting the patient is treated by more than one clinician, the result of the test used should be consistent between therapists. It is important for the test to be standardized and reliable in order to maintain complete objectivity and minimizing error in evaluation (Swinkels et al. 2011). Table 2.6 displays a brief review on articles related to reliability testing and the reporting thereof.



**Table 2.6** Brief review of articles relevant to reliability testing

| <b>Article</b>                       | <b>Brief description</b>  | <b>Contribution</b>  |
|--------------------------------------|---|--|
| Walwork, Hides and Stanton, 2010     | A study testing the intra-rater and inter-rater reliability of assessment of lumbar Multifidus muscle thickness using Rehabilitative ultrasound imaging.  | The study used the ICC and Bland Altman method in order to report reliability of a technique assessing the thickness of a muscle. This assisted the author in the understanding and reporting thereof.   |
| Bjourklund et.al. 2006               | A study where the reliability of a criterion-based test of athletes with knee injuries; where the physiotherapist and the patient independently and simultaneously assess the patient's performance is conducted. | The ICC was interpreted according to Altman (1983); <0.20 = Poor, 0.21–0.40 = Fair, 0.41–0.60 = Moderate, 0.61–0.80 = Good, 0.81–1.00= Very good. This method of reporting has also been employed in the interpretation of the results from this study.  |
| Mutlu, Livanelioglu and Gunel (2008) | A study assessing the reliability of Ashworth and Modified Ashworth Scales in children with spastic cerebral palsy.   | In this study the ICC is also employed. It is explained that the ICC is used to interpret reliability as the ICC is the mathematical equivalent of the weighted Kappa value for ordinal data. It is stated that the ICC can also assess reliability for more than two raters at a time and for different numbers of raters for each subject. This directly applies and validates the use of the ICC in the methodology of the reliability study at hand. |

| <b>Article</b>             | <b>Brief description</b>                         | <b>Contribution</b>  |
|----------------------------|--|--|
| Peeler and Anderson (2007) | The reliability of the Thomas test was assessed. | The Thomas test, like the Latissimus Dorsi muscle length test, assesses the length of a muscle. The reliability reporting included the standard error of measurement as well as the ICC to classify reliability of the muscle length test. The standard error of measurement is used to compare a true value versus an observed value from a test such as the Latissimus Dorsi muscle length test (Portney and Watkins, 2001). |

In a reliability study by Aartun, Degerfalk, Kentsdotter and Hestbaek (2014), two chiropractors assessed 111 adolescents aged 12–14 years old. A standardised examination protocol was used to test inter-rater reliability, including tests for scoliosis, hypermobility, general mobility, inter-segmental mobility and end range pain in the vertebral column.

Subsequently, 75 of the 111 subjects were re-examined after one to four hours to test intra-rater reliability. The continuous data was analysed by means of interclass correlation (ICC) and Bland-Altman plots with Limits of Agreement (LoA). If Latissimus Dorsi length were to be measured, the outcome would be described by a number in degrees of GHJ flexion.

This would represent a continuous variable; therefore, this method of data analysis and reliability testing applied in the study done by Aartun, Degerfalk, Kentsdotter and Hestbaek (2014), would apply to a study testing a technique for assessing the length of the LD for inter-rater and intra-rater reliability. The background information given in this study is well written and referenced and ensures adequate understanding of the topic. The study design is adequate, although it was not well described. The inclusion and exclusion criteria were vaguely described. The methodology and procedure was described well and in detail and this validated the use of this article as a reference point for reliability testing. Its data analyses and method was indicated clearly as well.

Techniques that actually measure the length of LD will now be described with regard to methodology applied, reliability status and testing.

## 2.11 Techniques used to measure the length of Latissimus Dorsi

In an article by Herrington and Horsley (2013) titled, "The effects of Latissimus Dorsi length on shoulder flexion in canoeists, swimmers, rugby players, and controls", 100 subjects were measured for the length of LD. The subjects consisted of 25 professional rugby union players, 20 elite canoeists, 15 elite swimmers and 40 physically active people who assumed the role of the control group. The results from the study allowed the authors to come to the conclusion that the length of LD differs between sports and controls in accordance with the specific physical demands of their sport.

The length of LD was measured using a standard goniometer, but the shoulder measurement was taken at full GHJ lateral rotation and full GHJ medial rotation. The knees were flexed to 90degrees and the hips of the participants were flexed to 45 degrees. The pelvis, however, was held in full posterior tilt and this was monitored by a biofeedback unit. The shoulder was passively flexed by the same examiner in all cases, and the end of range was defined as firm resistance to movement.

The method that was used had no reference proving scientific reliability and even though one examiner was used in all of the measurements. Intra-rater reliability would be crucial in the validity of the measurements. There was no reference proving intra-rater reliability of this technique assessing the length of LD. Scapulae abduction was not controlled.

This would decrease the objectivity of the test used by Herrington and Horsley (2013) to test the length of LD. Another issue with this method of testing the length of LD is the fact that a biofeedback unit is not commonly available in all physiotherapy settings.

Another factor that adds to the inconsistency of this test is the fact that the GHJ was not maintained in a neutral position. If maximal medial rotation and flexion of the GHJ was the point at which the measurement should be taken, there would be no consistency of medial rotation and this would influence the consistency of the test results.

A physiotherapy book (Levangie and Norkin, 2001) that is used as a text book in undergraduate physiotherapy training describes a technique of a muscle length test which assesses the length of LD. During the performance of this technique, the patient lies in a crook lying position on a plinth.

The patient is asked to keep the back flat, which means that he/she should not increase the lumbar lordosis or anterior pelvic tilt. This should be done actively by the patient. The patient is then asked to actively flex the GHJ with the GHJ in neutral (no glenohumeral rotation is allowed).

The ROM of GHJ flexion was measured using a ten-inch goniometer when either the lumbar spine lifts off the plinth or the GHJ goes into medial rotation.

Borstad and Briggs (2010) concluded that the test that was tested was unreliable and not reproducible. This conclusion is justified by the shortcomings and inadequacies described below. Borstad and Briggs (2010) suggested that a further study should be done to test the reliability of an adapted test in order to maintain a standard of objectivity and congruency between therapists when evaluating the length of LD.

There were several shortcomings with regard to the method of this test developed by Levangie and Norkin (2001) and tested for reliability by Borstad and Briggs (2010).

The first of these shortcomings is the fact that Borstad and Briggs (2010) used qualified therapists to place the participant in the position where a measurement would be taken only, while the recorders who recorded the measurements were still students in the field. This could account for error in the recording of the measurement.

The second shortcoming is that the scapulae were not taken into consideration in the test measuring the length of LD. The position of the scapulae should be taken into consideration when taking the measurement of the GHJ flexion ROM due to the fact that LD runs over the inferior angle and lateral border of the scapulae, forming a pouch over the scapulae. As stated above, LD may shorten, and if LD shortens due to the anatomical attachments, the scapulae would abduct past the midline during the end ranges of GHJ flexion (Commerford and Mottram 2001). This would happen because LD is lengthened when GHJ flexion is done. A shortened LD would pull the scapula into an abducted position past the midline. This would mean that, if the scapulae were allowed to abduct during the end ranges of GHJ flexion, the range of GHJ flexion would increase and increase inconsistently among different subjects. This would affect the reliability of a ROM measurement obtained from the test measuring LD length.

The subjects' scapulae were not stabilized to the midline in the technique used in the study by Borstad and Briggs (2010).

The third shortcoming is that the subjects' posterior pelvic tilt was not passively and objectively stabilised. The posterior pelvic tilt was maintained by asking the subject to actively keep their backs flat on the plinth. This test did not require external passive stabilization of the posterior pelvic tilt.

There was no consistency in the maintenance of an accurate and consistent amount of posterior pelvic tilting and lumbar lordosis. This would contribute to the inconsistency in ROM measurements taken by the recorders in the study.

The fourth shortcoming is that measurements in this study were taken six weeks apart. It is possible for the participants in the study done by Borstad and Briggs (2010) to have adapted their physical activity regimens during this time lapse.

According to Commerford and Mottram (2001), habitual use of a muscle or positioning may lead to an altered muscle length. This could have also affected the reliability of the test for testing the length of LD.

The technique of the LD length test described in the physiotherapy text book by Levangie and Norkin (2001) is not the only technique in which the test is performed to evaluate the length of LD. Commerford and Mottram (2012) also devised a technique to measure the length of LD which is described in their kinetic control manual.

## 2.12 Clinical accuracy of the test described by Commerford and Mottram (2012)

There are differences between the technique testing the extensibility of LD by Commerford and Mottram (2001) and the test used by Borstad and Briggs (2010). When the technique described by Commerford and Mottram, (2012) is performed, the scapulae of the subject is stabilised in the mid line.

As discussed above, the scapulae should be stabilised because LD forms a pouch around the scapulae and in the event of shortening of LD the scapulae will abduct in order to gain range of GHJ flexion. The elbow is to be kept straight in the mid-axillary line. Only then, with the use of a ten-inch goniometer, GHJ flexion is measured (Commerford and Mottram, 2012).

As described in the literature review above, LD is a muscle that may shorten. A shortened LD will limit the physiological movement of flexion and lateral rotation of the GHJ.

A shortened LD will also increase a subject's lumbar lordosis in the attempt to gain ROM of GHJ flexion (Moore, 1992, Cailliet, 1995, Kibler et al. 2013, Borstad and Briggs, 2010).

The technique that assesses the extensibility of LD described by Commerford and Mottram (2001), measures GHJ flexion while maintaining a neutral position of glenohumeral rotation. Scapula stabilisation and maximal posterior pelvic tilting is suggested as well.

Therefore, with clinical reasoning and taking into consideration the literature described in the review, the technique of the LD length test described by Commerford and Mottram (2012) is clinically sound and accurate in measuring the length of LD; and according to the knowledge of the researcher there is no proof of reliability of this test, nor is there any proof of reliability for any technique used to measure the length of LD.

The literature discussed will now be summarized in order to orientate the reader about the conclusion derived at, in order to apply the literature to the methodology chapter to follow.

### 2.13 Concluding the literature review

In the literature described above, (LD) has been described to be a mobilising muscle that shortens in the position of GHJ extension and medial rotation. This would indicate that a shortened LD would limit the physiological movements of GHJ flexion and GHJ lateral rotation. The literature has also indicated that the shortening of this muscle would lead to dysfunction such as an increased lumbar lordosis etc.

In order to maintain complete objectivity and minimizing error in evaluation, dysfunction needs to be quantified objectively (Swinkels et al. 2011). Therefore, a shortened LD has to be quantified objectively. For objectivity to be maintained, consistency in measurements are invaluable. This constitutes that the reliability of a measurement technique is vital.

The definition and description of reliability testing is therefore described above. Borstad and Briggs (2010) tested the commonly used LD length test described in a physiotherapy text book by Levangie and Norkin (2001) and found this test to be unreliable. However, there is another technique available for testing the length of LD. This technique is demonstrated by Commerford and Mottram (2012). It has not yet been tested for reliability, even though Commerford and Mottram's method of testing LD is clinically more accurate.

Therefore, there is a need for a reliable test measuring the length of LD, which could be addressed by testing the test described by Commerford and Mottram (2012) for reliability.

In the following chapter, the methodology used to carry out the reliability testing procedure for the Latissimus Dorsi muscle length and the technique described above is discussed.



## Chapter 3: Methodology

### 3.1 Introduction

This chapter will describe the methodology of the Latissimus Dorsi muscle length testing technique as well as the logistics used during the conduction of this study. The sample size, population, inclusion criteria, exclusion criteria, instruments and methods that were used will be discussed. Ethical and legal considerations applicable to this study will also be discussed here. The method of data analyses that was used will also be described.

### 3.2 Research design

As discussed in 1.7 this study is a within-participant test-retest non-experimental quantitative study for reliability purposes (Lachin, 2004).

### 3.3 Study setting

The Physiotherapy department at the University of Pretoria (UP) was the setting where this study took place. The participants were close to this study setting for a significant time during the day. This setting was selected because of the availability of facilities (physiotherapy gymnasium and equipment) and the presence of qualified experienced clinicians. It is a controlled environment where the temperature was consistently set at twenty degrees Celsius and windows and doors were closed.

## 3.4 Population and sample

### 3.4.1 Population

The population of this study was Physiotherapy students at the University of Pretoria, ranging from first to fourth year students aged between 18 and 28 years. The examiners were four qualified physiotherapists who are employed in and around the vicinity of the University of Pretoria; they will henceforth be referred to as 'raters'.

### 3.4.2 Sample and sampling method

The participants were limited to young students (aged 18 to 25 years) from the University of Pretoria. The participants were all physiotherapy students ranging from first to fourth year.

The sampling method was one of convenience. Invitations to take part in the study were put up on the notice boards of the physiotherapy department (Appendix H) and students who volunteered to participate and met the eligibility criteria were included in the study. The invitation contained information regarding the study as well as information allocating a date and a venue for an information session. The information session was a session where all the prospective volunteering participants were informed about the study and were handed information leaflets (Appendix C). The interested students who did not meet the inclusion criteria were excluded from the study. These students were handed a thank you note, briefly explaining the reason for exclusion. They were also allocated an appointment with the researcher in order to discuss in detail why they have been excluded from the study.

### 3.4.3 Sampling size considerations

In consultation with a biostatistician, a sample size in excess of 40 participants was determined to estimate the desirable ICC of 0.9 to an accuracy of within 0.05 this was done using a one sided 95 percent confidence interval (nQuery Advisor software 7.0, Statistical Solutions). The primary statistic used was the intra-class correlation coefficient (ICC) and for this within-participant study design.

This sample size would also comply with assumption of normality as required by the Bland-Altman method to assess intra-rater and inter-rater agreement (Altman, 1983).

Fifty-six (56) participants took part in the study in order to accommodate for participants voluntarily dropping out of the study due to any reason.

#### 3.4.4 Inclusion criteria

Male and female physiotherapy students aged between 18 and 25 years were included.

#### 3.4.5 Exclusion criteria

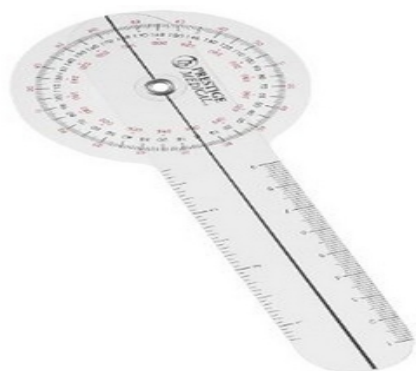
It has been proven that the GHJ quadrant test can be used to determine the presence of GHJ pathology (Mullen et.al, 1989). Participants with any current shoulder joint pain or pathology were excluded from this study. A final year physiotherapy student excluded all shoulder joint pathology from the participants participating in the study by performing a technique called the shoulder flexion quadrant test. This technique was demonstrated to her by an experienced physiotherapist as well as a lecturer in the field of orthopaedic manipulative therapy. The shoulder flexion quadrant test is used to evaluate the presence of any shoulder joint pain or pathology (Maitland, 2005). The shoulder quadrant test was performed to clear the shoulder joint from any pathology. See data collection procedure for detail regarding this test.

As described in the literature review, a shortened LD will cause an increase in a subject's lumbar lordosis (Cailliet, 1995), therefore any participant who was unable to maintain a posterior pelvic tilt (keep back flat against the plinth) was also excluded from the study.

### 3.5 Research instruments and materials

The 10-inch-goniometer was used in this study. The goniometer measures the angle of a joint, such as the knee, GHJ or elbow. This angle is representative of the range of physiological movement at a joint. It has been reported to have an intra-tester correlation coefficient of 0.98 and is therefore a reliable measurement tool (Riddle Rothstein, and Lamb 1987).

In order to mark the centre point of the shoulder joint for the use of the 10-inch goniometer, a hypoallergenic skin marker was used. The 10-inch goniometer has been proven valid and reliable for measuring the range of GHJ flexion by Gajdosik and Bohannon (1987) as well as Mullany et al (2010) with a kappa value of  $K=0.94$ . In this study, the four goniometers used were high density transparent Precision™ goniometers. Figure 3.1 presents a photograph of the specific 10-inch goniometer.



**Figure 3.1:** Photograph of the Precision™ ten-inch goniometer

### 3.6 Pilot study

A pilot study was performed by each rater. The researcher was present and acted as timekeeper. This was in order to make sure that the Latissimus Dorsi muscle was not held in a stretched position for longer than 20 seconds, because this would affect a change in the length of the muscle, (Bandy and Irion, 1994). The participants complied with the inclusion and exclusion criteria. The pilot study was conducted in the intended study setting.

The aim of the pilot study was to test and amend the methodological procedure and provide an in depth demonstration of the methodological procedure and methods to the selected researchers.

The pilot study also allowed the researcher an indication of how much time would be needed for the measurements. This assisted in the planning of an efficient time schedule for the day of data collection.

There were various challenges met during the accomplishment of the pilot study. These challenges enforced the addition of amendments to the actual study. These will now be discussed.

### 3.6.1 Observed challenges during the pilot study

During the pilot study it was noted that uncontrolled rotation was occurring at the GHJ and that this was confused with forearm movements. After the pilot study, the raters were also asked to make sure that the olecranon process of the elbow joint, the styloid process of the wrist and the thumb should all be in a straight line when taking the measurement to ensure that the position of the GHJ remained consistent. This was done in order to maintain objectivity between measurements.

Another challenge noted was the fact that the raters were not aware of the exact position of the scapulae due to the surrounding soft tissue. After the pilot study, the researcher corrected logistical issues as well as asked each rater to palpate the scapula in the midline of each participant as to avoid not noticing the abduction of the scapulae passing the midline.

In the pilot study it was also noted that when the participants knew their reading values they tried to better it, therefore on the day of data collection no participants were allowed to hear the values of their readings once taken. Figure 3.5 displays this position. The raters were also asked not to allow the participants to acknowledge the values of the readings taken by viewing the recording sheet, in order to avoid manipulation by the participants.

One foreseeable challenge was the fact that a large amount of students familiar with one another would be placed in a large study setting and this posed the risk of disorder and inconsistency in the logistical methodology. Therefore, one general assistant was also allocated to the research setting to maintain the order of the participants and general consistency in the logistics of the methodological procedure due to a large number of participants being present in one venue. More assistants were recruited to maintain order and professionalism and increase efficacy. It was decided that two assistants would be present at each station as well as one assistant allocated to the student marking the GHJ center point.

## 3.6 Research Process

### 3.6.1 Research team

The study aims to assess the inter-rater and intra-rater reliability of the technique used to assess the length of Latissimus Dorsi in novice and experienced physiotherapists. The researcher selected the research team based on years of experience. Novice clinicians were classified as physiotherapists with less than 5 years of experience. Experienced clinicians were classified as physiotherapists with more than 5 years of experience in the field of musculo-skeletal physiotherapy. Four qualified physiotherapists were the raters and performed the evaluation of the LD length in this study.

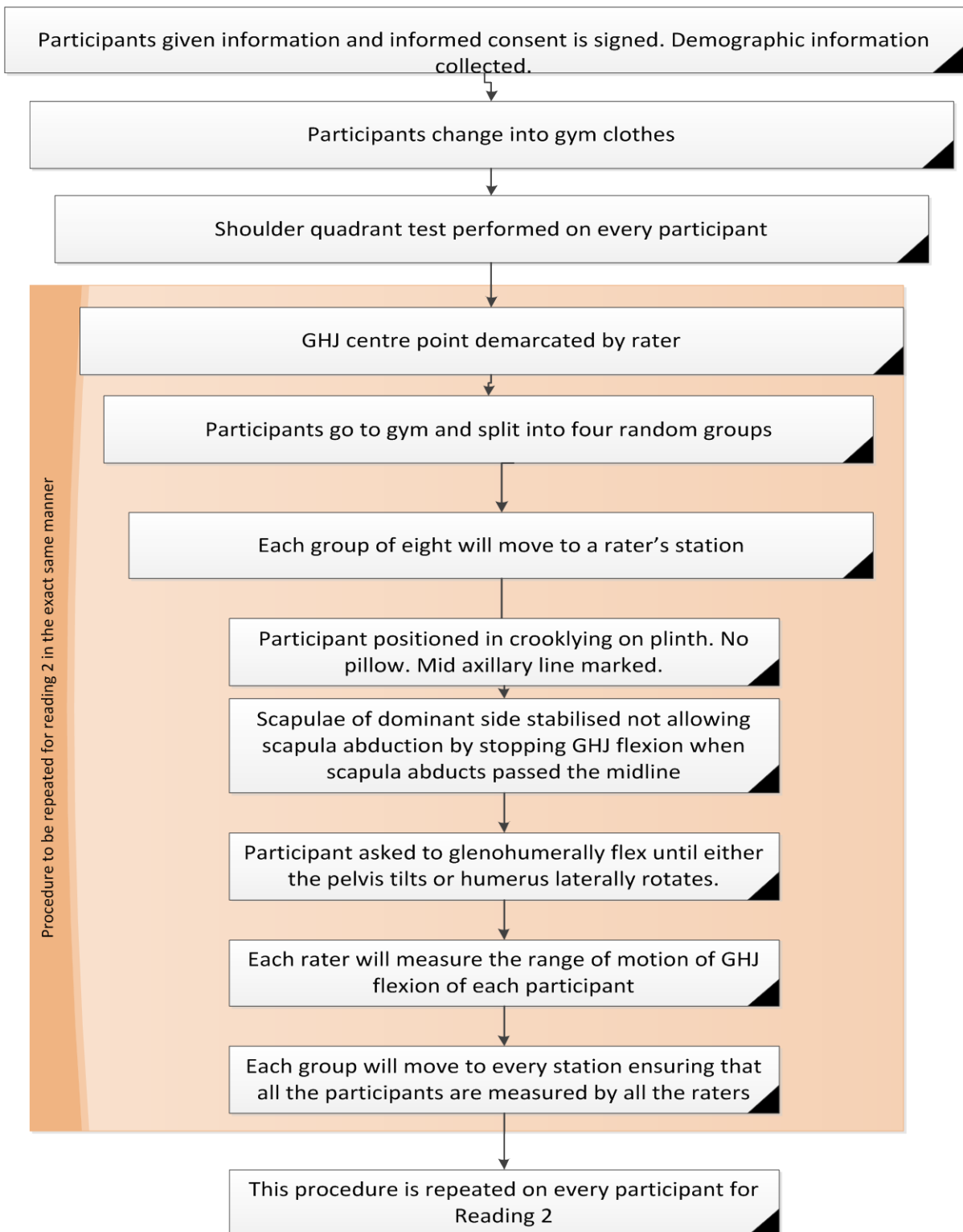
Two of the raters were community service physiotherapists, with a maximum of 13 months of experience. They were selected due to the fact that they were both newly qualified and have a special interest in rehabilitation and outpatient physiotherapy as well as their willingness and availability to partake in this study.

The other two were experienced physiotherapists; one was a lecturer in the field of neurology with experience in musculo-skeletal conditions (5 years of experience) and the other was a lecturer in biomechanics and movement science (15 years of experience).

The other members of the team consisted of a fourth year (final year physiotherapy student) and eight second year student assistants.

### 3.6.2 The role of the researcher

During the pilot study the researcher demonstrated the technique to the four raters; key elements to the accomplishment of the technique were emphasized. The raters then demonstrated the technique to the primary researcher and their flaws were corrected. The researcher managed the logistical aspects of the procedure. Time was kept to ensure quality control in the data collection procedure. The data collection procedure will now be described in detail. For a flow chart outline of the methodology refer to figure 3.2.



**Figure 3.2: Flow chart representative of the reliability study**



### 3.6.3 Screening of the recruited participants

The participants entered the UP physiotherapy massage room where there were raters, desks, chairs, relevant paperwork and stationary. An informed consent (Appendix C) form was given to each participant and the participants were then explained the proceedings and informed that all questions that the participant had, regarding the proceedings that followed, would be answered.

The participant was handed a demographic information form by the researcher (Appendix E). The participants were then randomly allocated a number. The researcher accomplished this by taking the list of participants and, in allocating a number from 1-56 to each participant in random order, no names were reported and confidentiality was agreed upon. The master list containing the names of the participants with allocation of the numbers could be viewed by the researcher only and was kept in a safe at the physiotherapy department.

The participants were then asked to change into their gymnasium clothes. The men wore a pair of short running shorts and the females wore a pair of tights as well as a gymnasium garment which is a modified sport bra allowing palpation and viewing of the anatomical structures needed to be visible for performing the technique.

The shoulder quadrant test was performed on every participant in this study (Maitland, 2005). For the quadrant test the participant lied supine on a plinth. The therapist stood on the dominant side of the participant, facing the participant.

The dominant arm of the participant was taken into maximal GHJ abduction with a flexed elbow and medially rotated humerus, while the scapula was stabilized by the therapist through not allowing scapula elevation.

Once maximum GHJ abduction was achieved the arm was slightly laterally rotated and then flexion of the humerus was done until no more flexion could occur.

If the entire procedure could be performed pain free, the test was rendered negative and shoulder joint pathology was excluded and the participant was then allowed to proceed to participate in the study. If the GHJ quadrant test was positive, the participant would be excluded from the study, since this would indicate GHJ pathology, which could limit GHJ flexion (Maitland, 2005).

#### 3.6.4 The allocation of participants to stations and raters

Each rater was given a recording sheet (Table 3.2). This form was kept by the assistant at each of the stations and was handed in after the last station that each participant had visited after their fourth recording. This was handed to the researcher on the day after each reading. This form ensured adequate record keeping, structure and almost equal time in between stations.

The included participants were taken into the physiotherapy gymnasium. In the gymnasium there were four testing stations. Each of the stations had a plinth, a goniometer, Velcro, a hypoallergenic skin marker, stationary, a desk, a chair and a therapist (rater). At each station there was also an assistant present. The assistant was one of the students used in the demonstration session.

The stations of the raters were labeled 1, 2, 3 and 4. Stations labeled 1 and 2 were the stations of the experienced raters and stations 3 and 4 were the stations of the novice raters. Figure 3.3 presents a photograph displaying the labeling of the stations.



**Figure 3.3:** *A photographic representation of the labeled station*

The participants were then divided into four random groups by simply splitting the allocated numbers into quarters in no specific order. This number corresponded directly to the station that the participant began at, e.g. if participant 10 was allocated to group one, then participant 10 started at station one. The participants of each group were instructed to stand in a single file queue in front of the gymnasium according to the station number they have drawn. One assistant was present, ensuring order outside the gymnasium.

### 3.6.5 Test procedure

The procedure at each station was consistently conducted as follows:

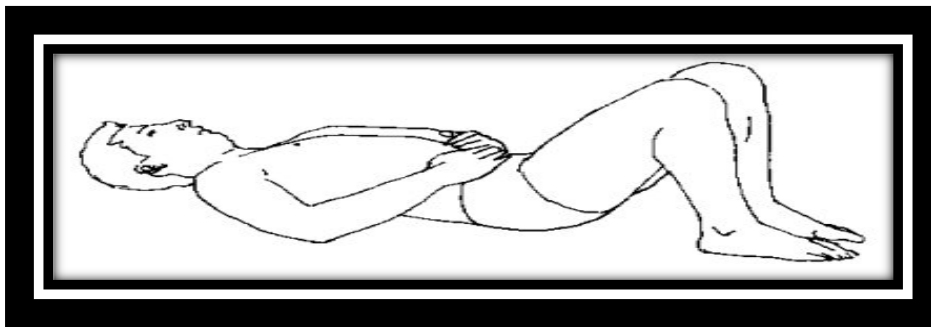
All participants entered a room where the centre point of the GHJ on the participant's dominant side was marked by the final year physiotherapy student who also had a final year student as an assistant. This marking was made by a water soluble non-permanent and hypoallergenic skin marker. This is depicted in Figure 3.4.



**Figure 3.4:** *A photograph of the student marking the centre points of the GHJ of the participants*

Participants were taken into the gymnasium in groups of eight per station. Each group started at a different station. The researcher was present, assuring continuity and control. The participants were instructed to go through all the stations in order, where the first measurement was taken (Reading 1) and then one more time where the second measurement was taken (Reading 2).

The participant was in the supine position with flexed knees and hips three foot lengths away from their body with both soles of their feet placed completely flat on the surface of the plinth. This is called a crook lying position, as shown in Figures 3.5.1 and 3.5.2



**Figure 3.5.1:** *Illustration of the crook lying position (Source: Levangie and Norkin, 2001)*

The therapist asked the participant to press his/her lumbar spine against the plinth. A Velcro strap was tightly wrapped around the anterior superior iliac spines of the pelvis as well as the plinth by the assistant at each station, since this level would rise in the event of anterior tilting and or in the event of the lumbar lordosis being increased in the participant (Cailliet, 1995). (Figure 3.5.2).





**Figure 3.5.2:** *Photograph of the crook lying position with Velcro strapping*

The non-dominant hand of the participant was placed on the Velcro strap on the participant on the non-dominant side of the patient. The participant was instructed to notify the therapist in the event of the Velcro strap being pulled tighter, which would have been due to the participants' lumbar spine lifting off the plinth and the anterior tilting of the participant's pelvis. This would have been felt by the non-dominant hand of the participant as well as heard by the therapist.

The participants' mid axillary line was marked in order for the therapist to acknowledge when the scapulae abducts passed the midline. This point was also palpated during the movement in order to be more objective during the test.

The participant flexed the glenohumeral joint (pure flexion next to face) of the side of dominance with his/her thumb facing the roof and keeping his/her back flat (in order to not medially rotate the GHJ or allow an increase in the lumbar lordosis as both factors would inconsistently increase the range of GHJ flexion which would in turn decrease the reliability of the test) figure 3.4.

The rater stopped GHJ flexion of the participant at the point where none of the following additional movements occurred: a decrease in the participant's posterior pelvic tilt, an increase in lumbar lordosis, medial rotation of the GHJ or scapula abduction (lumbar spine lifts off the plinth, Velcro strap is felt or heard pulling tighter, thumb of participant pointing medially or scapula passing the point marking the midline). The available range of GHJ flexion was then recorded by the assistant at each station.



**Figure 3.6:** A photo of the test position illustrating scapulae and GHJ positioning

*Important note:*

The measurement of GHJ flexion was then taken with the ten-inch goniometer without any of the above-mentioned additional movements occurring.

The entire test procedure was repeated by each therapist on all the participants in exactly the same manner.

**Table 3.1:** Example of the final recording sheet that each rater will have

| STATION NUMBER: 1  | READING 1              |       |
|--------------------|------------------------|-------|
| Participant number | Degrees of GHJ flexion | Time  |
| 11                 | 120                    | 15:00 |

After Reading 1 was taken, the exact same form as above (Table 3.1) was given to the rater for Reading 2. The form, on which Reading 1 was recorded, was collected by the primary in order to avoid bias and/or contamination of the data.

A summary of the results from these tests were transferred to the collective data collection form by the researcher. This data was cleaned and checked (Appendix I). This collective data recording form is attached in Appendix F (See table 3.2). This data was then analysed statistically by a bio-statistician.

**Table 3.2:** Collective data collection form

|            | Reading 1 |           |           |           | Reading 2 |           |           |           |
|------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| <u>Sub</u> | <u>S1</u> | <u>S2</u> | <u>S3</u> | <u>S4</u> | <u>S1</u> | <u>S2</u> | <u>S3</u> | <u>S4</u> |
| 11         | 120       | 119       | 120       | 121       | 120       | 119       | 120       | 121       |
|            |           |           |           |           |           |           |           |           |
|            |           |           |           |           |           |           |           |           |

Keys: S= Station Number Sub= participant number

A conclusion regarding reproducibility and reliability of this test was then drawn via an interpretation of the ICC deriving the reliability of the test. The intra-rater reliability was concluded from analysing the ICC from a comparison of the values of Reading 1 versus Reading 2 within each station.



The intra-rater reliability was analysed using the same method, but using Reading 1 comparing the values across stations. This data will be presented in the next chapter of this dissertation.

#### 3.6.6 Quality control

Quality control is vital and was assured by using only valid and reliable measurement tools.

Qualified and skilled researchers performed the trials and evaluations (Appendix J).

The study took place in a setting where the temperature was controlled at 20 degrees Celsius consistently. The order of the readings taken and timing between readings were controlled as well.

### 3.7 Data analysis

Each physiotherapist (rater) assessed the ROM of glenohumeral flexion of the participants twice. For intra-rater reliability, the ICC was determined for raters individually, using the two observations made on a participant by the particular rater. For inter-rater reproducibility, the ICC was determined using the first observation made on each participant by each of the raters. The data was transferred to Microsoft Excel format and was cleaned and checked (Appendices E and H).

The ICC measuring inter-rater agreement was done for: (1) all the therapists together, (2) experienced therapists and (3) novice therapists only. The ICC's 95% confidence will be reported as well.

The ICC measuring intra-rater agreement was done by test-retest reliability, comparing the measurements taken at both measurement sessions by the same rater.

The ICC was determined by making use of time series regression (xtreg command in Stata) with the maximum likelihood (MLE) option.

An ICC in excess of 0.9 was regarded as excellent reproducibility. Agreement between raters or classes of raters was also assessed using the Bland Altman method. A 0.05 level of significance was employed.

## Chapter 4: Results

### 4.1 Introduction

The aim of this study was to assess the inter-rater and intra-rater reliability of a technique adapted by Commerford and Mottram (2012) for assessing the length of LD. The study was conducted as described in Chapter 3. Out of the 74 interested participants, 56 met the inclusion criteria and took part in the study. The following chapter will merely display the results obtained from the captured data.

### 4.2 Descriptive statistics

Table 4.1 represents the demographic information of the 56 participants. The ages of the participants ranged between 18 and 28 years and the average age of a participant included in the study was 22 years. The sample consisted of 8 male physiotherapy students and 48 female physiotherapy students. The demographic information presented plays no role in the reliability of the test and is displayed in order to illustrate the representativeness of the sample population.

**Table 4.1:** Demographic information (n=56)

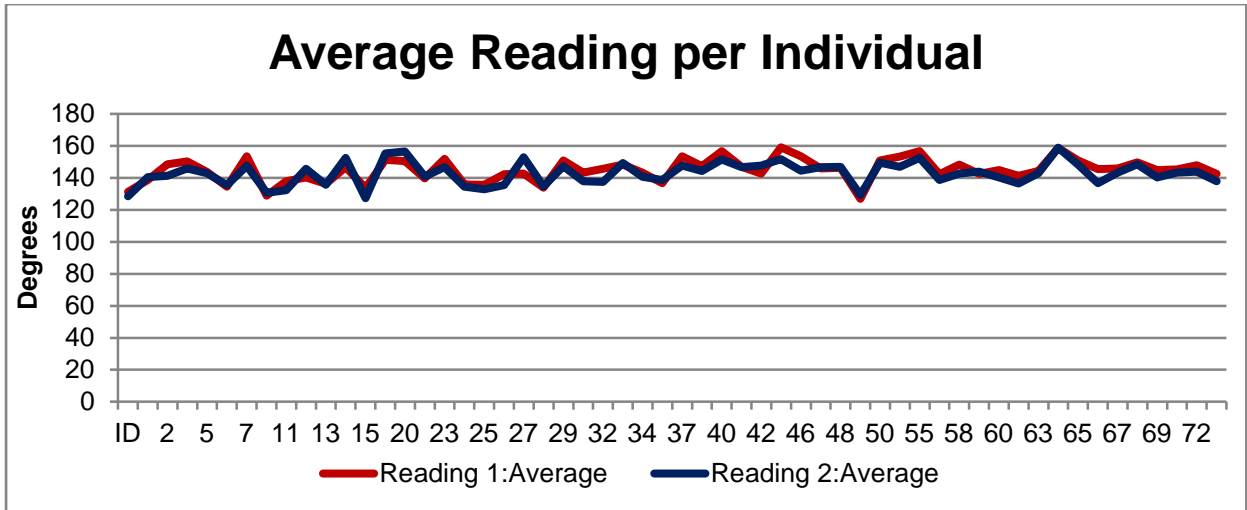
| Subject Characteristic | Mean | Range |
|------------------------|------|-------|
| Age in years           | 22   | 18-28 |

**Table 4.2:** Descriptive Statistics(n=56)

| Rater               | Reading | n   | Mean(SE)     | Difference Scores (SD) |
|---------------------|---------|-----|--------------|------------------------|
| All Raters Combined | 1       | 224 | 145° (0.69)  | 3° (8.34)              |
|                     | 2       | 224 | 142° (0.65)  |                        |
| Experienced Group   | 1       | 112 | 146° (0.87)  | 2° (6.50)              |
|                     | 2       | 112 | 144° (0.83)  |                        |
| Novice Group        | 1       | 112 | 144° (1.06)  | 2° (9.01)              |
|                     | 2       | 112 | 142° (0.98)  |                        |
| Station 1           | 1       | 56  | 147° (1.33)  | 4° (9.99)              |
|                     | 2       | 56  | 143° (1.21)  |                        |
| Station 2           | 1       | 56  | 145° (1.09)  | 0° (8.17)              |
|                     | 2       | 56  | 145 ° (1.14) |                        |
| Station 3           | 1       | 56  | 144° (1.42)  | 1° (10.62)             |
|                     | 2       | 56  | 143 (1.29)   |                        |
| Station 4           | 1       | 56  | 143 (1.59)   | 2° (11.91)             |
|                     | 2       | 56  | 141 (1.48)   |                        |

Table 4.2 displays the descriptive statistical data. All the raters combined had an average reading (Mean) of 145 degrees with a Standard Error (SE) of 0.69, Standard deviation (SD) of 8.33 and the total number of observations (n) were 224. The difference scores (differences between the means for all the raters combined) was three degrees.

The table of raw data in Appendix E reflects a graphical representation of Readings 1 and 2 of GHJ flexion taken at all the stations measured in degrees and Figure 4.1 presents a line graph representation of the average reading of each individual across the stations.



**Figure 4.1:** Line graph representing the average readings per individual

### 4.3 ICC – Intra-rater agreement

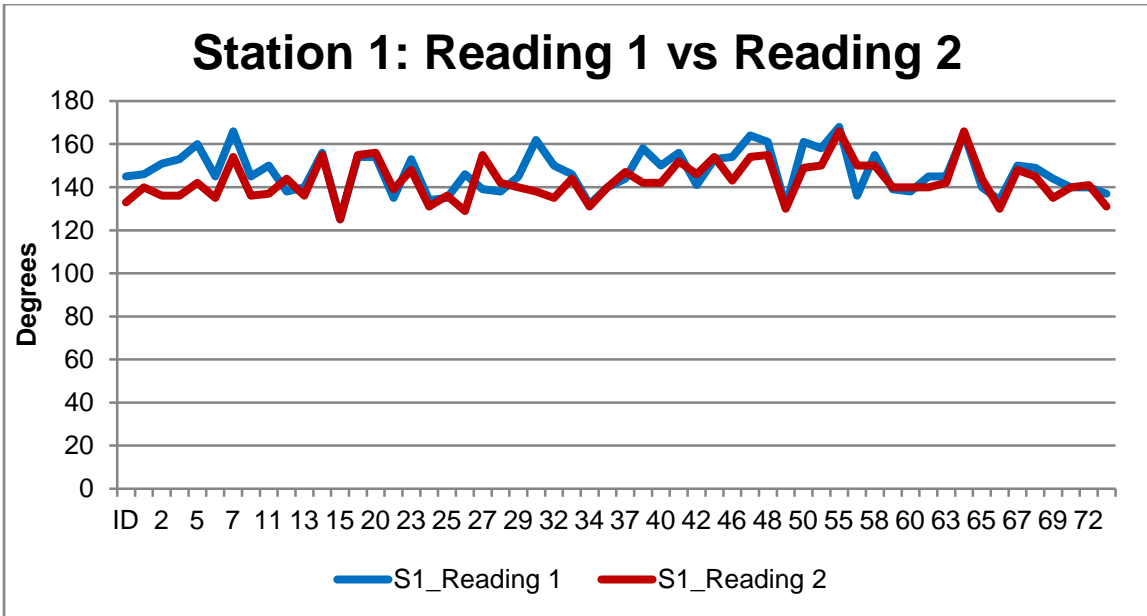
Table 4.3 represents the data displaying the intra-rater reliability by means of ICC. The ICC for station 1 (experienced rater) is 0.6.

The ICC for station 2 (experienced rater) is 0.55. The ICC for station 3 (novice rater) is 0.6. The ICC for station 4 (novice rater) is 0.76.

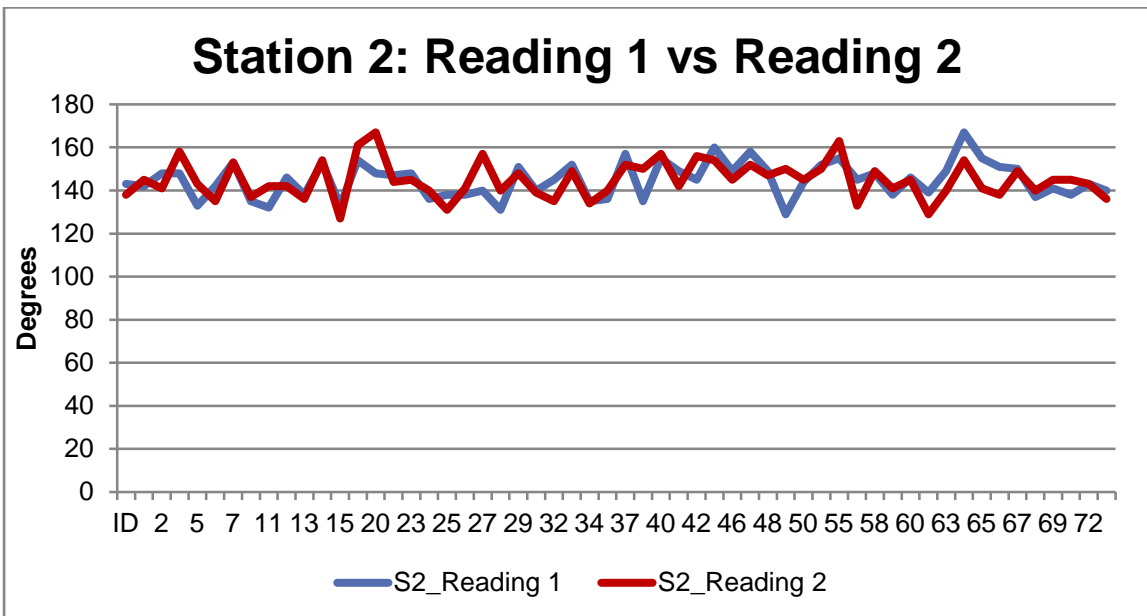
**Table 4.3:** Intra-rater reliability agreement

|          | Station 1 | Station 2 | Station 3 | Station 4 |
|----------|-----------|-----------|-----------|-----------|
| ICC      | 0.6       | 0.55      | 0.6       | 0.76      |
| Upper CI | 0.77      | 0.74      | 0.77      | 0.87      |
| Lower CI | 0.43      | 0.37      | 0.43      | 0.65      |

ICC: intra-class correlation coefficient  
CI: confidence interval



**Figure 4.2:** Line graph representing Reading 1 compared to Reading 2 in station 1



**Figure 4.3:** Line graph representing Reading 1 compared to Reading 2 in station 2

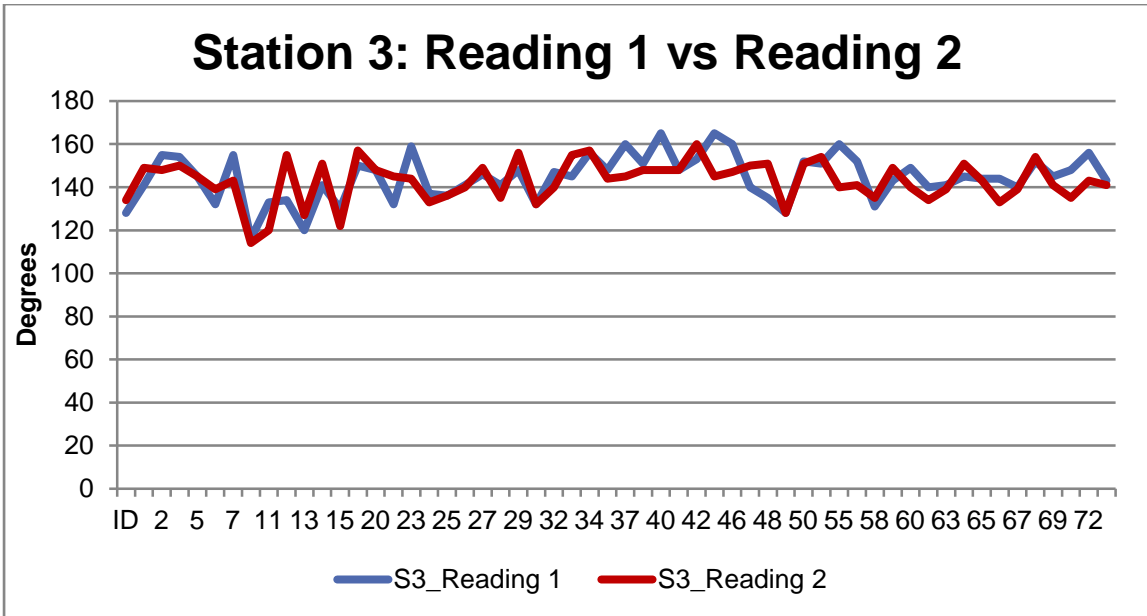


Figure 4.4: Line graph representing Reading 1 compared to Reading 2 in station 3

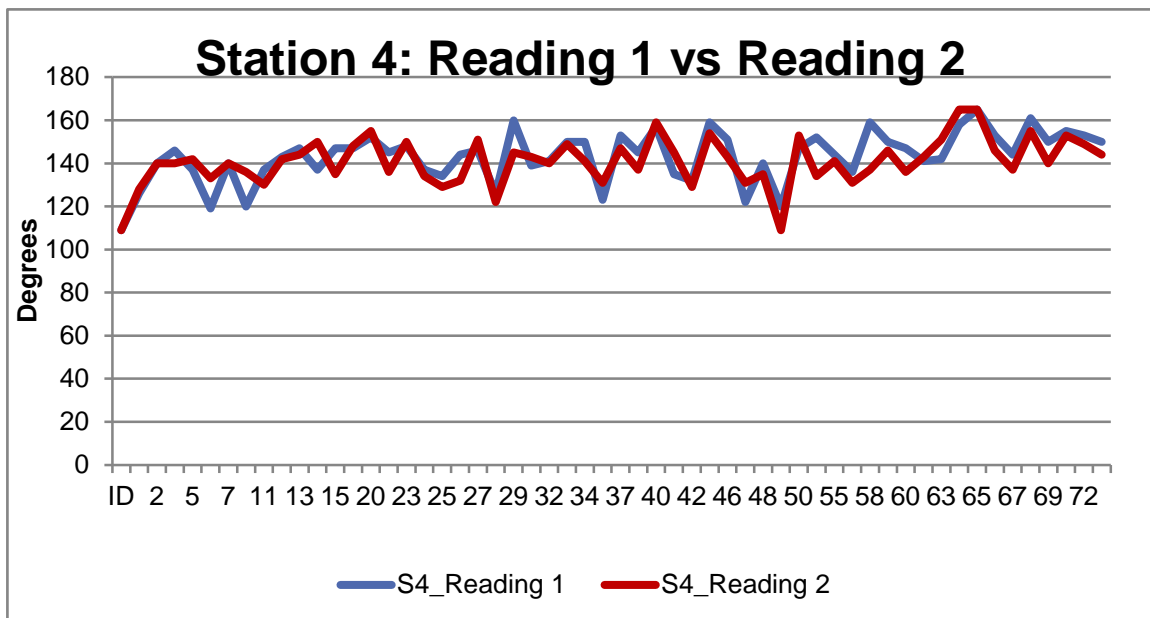


Figure 4.5: Line graph representing Reading 1 compared to Reading 2 in station 4

Figures 4.2, 4.3, 4.4 and 4.5 are line graphs representing the comparisons between Reading 1 and Reading 2 values of GHJ flexion in degrees, as obtained stations 1 to 4 in order (a graphic representation of table (4.4)).

#### 4.4 ICC – Inter-rater Agreement

Table 4.4 displays the Inter-rater reliability and agreement parameter results between experienced raters. This is a comparison between the values obtained at station 1 and station 2. The intra-class correlation coefficient between these two stations is 0.48, with a confidence interval between 0.68 and 0.28.

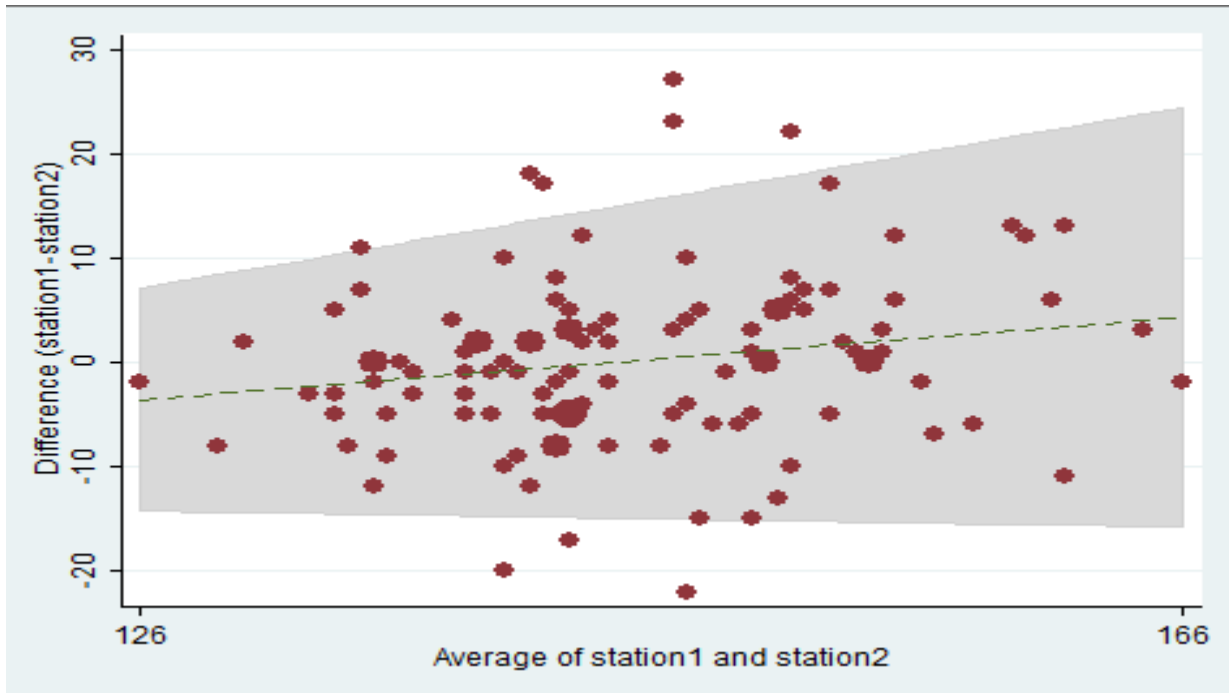
**Table 4.4:** Inter-rater reliability and agreement parameter results between experienced raters

| Statistical characteristic | Station 1 and Station 2 |
|----------------------------|-------------------------|
| ICC                        | 0.48                    |
| Upper CI                   | 0.68                    |
| Lower CI                   | 0.28                    |

ICC: intra-class correlation coefficient

CI: confidence interval





**Figure 4.6:** Bat plot representing the inter-rater reliability and agreement parameter results between experienced raters

Figure 4.6 is a Bat plot that is graphically representing the inter-rater reliability and agreement parameter results between experienced raters.

Table 4.5 displays the inter-rater reliability and agreement parameter results between novice raters. This is a comparison between the values obtained at station 3 and station 4. The intra-class correlation coefficient between these two stations is 0.48 with a confidence interval between 0.68 and 0.27.

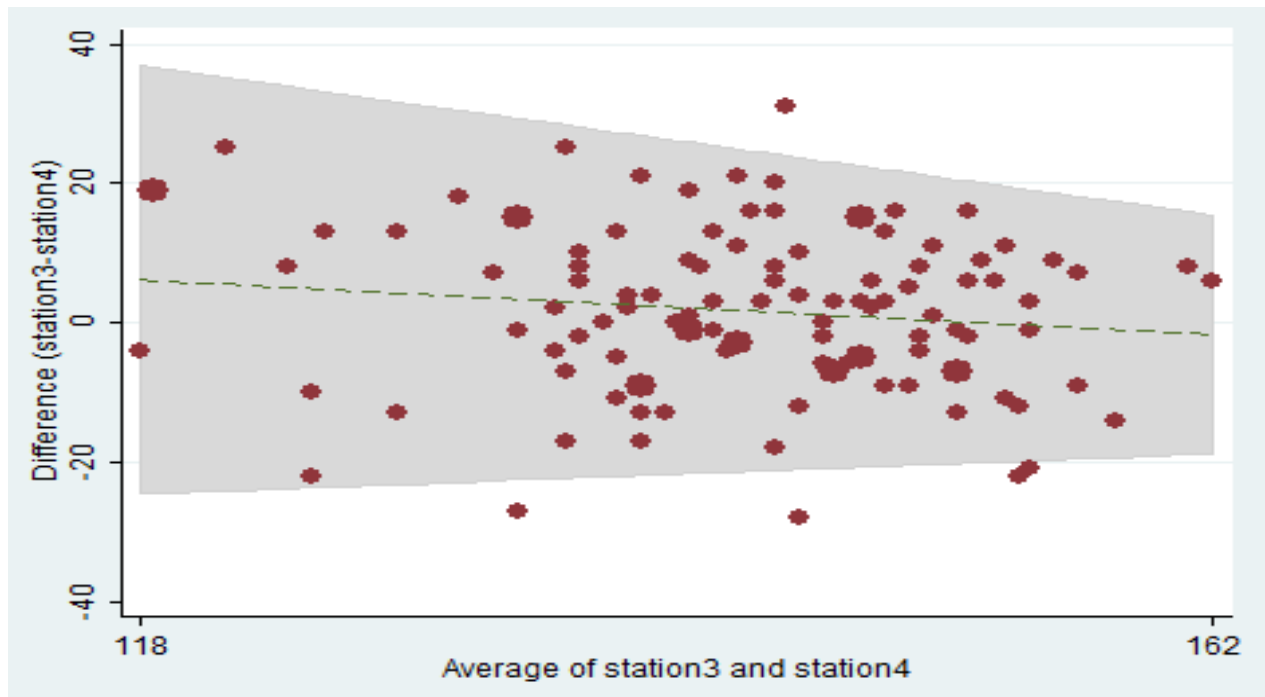
**Table 4.5:** Inter-rater reliability and agreement parameter results between novice raters

|          | Station 3 and Station 4 |
|----------|-------------------------|
| ICC      | 0.48                    |
| Upper CI | 0.68                    |
| Lower CI | 0.27                    |

ICC: intra-class correlation coefficient

CI: confidence interval

Figure 4.7 is a Bat plot that graphically represents the inter-rater reliability and agreement parameter results between novice raters.



**Figure 4.7:** Bat plot representing the inter-rater reliability and agreement parameter results between novice raters.

Table 4.6 displays the Inter-rater reliability and agreement parameter results between all the raters combined. This is a comparison between the values obtained at station 1, station 2, station 3 and station 4. The intra-class correlation coefficient between these two stations is 0.33 with a confidence interval between 0.50 and 0.19.

**Table 4.6:** Inter-rater reliability, all raters

|          | All raters |
|----------|------------|
| ICC      | 0.33       |
| Upper CI | 0.50       |
| Lower CI | 0.19       |

ICC: intra-class correlation coefficient  
CI: confidence interval

## 4.5 Summary

Table 4.7 displays a summary of the data collected. The ICC between the experienced raters was at 0.48 and between novice raters the ICC was at 0.48 as well. The ICC between all the raters, between the novice and experienced clinicians were at 0.33 with a standard error of measurement (SEM) of 6.83°.

**Table 4.7:** Summary of Inter-rater reliability

|     | <b>Experienced</b> | <b>Novice</b> | <b>All</b> |
|-----|--------------------|---------------|------------|
| ICC | 0.48               | 0.48          | 0.33       |
| SEM | 4.69°              | 6.50°         | 6.83°      |

SEM= Standard error of measurement=  $SD \cdot \sqrt{1-ICC}$

## 4.6 Conclusion

The values obtained from the test ranged between 129 degrees of glenohumeral joint flexion range of motion to 159 degrees of glenohumeral joint flexion. This falls under the normal functional ranges of glenohumeral joint flexion (Safae-Rad et. al 1990).

Therefore, all the participants had a normal functional pain free range of motion. This proves that the screening of participants done to exclude glenohumeral joint range pathology was effective and successful. The average age and the small differences when comparing Reading 1 and Reading 2 across the average reading per individual indicates that the homogenous group sample size is representative of the population.

Fifty-six participants were tested for the length of Latissimus Dorsi as described in Chapter 3. As described in detail in Chapter 2, the reliability of an objective measure, such as a LD length test, displays the consistency of the measures, the LD length test results, obtained (Nancy Burns 2005). The intra-class correlation (ICC) coefficient displays the reliability of the technique; the ICC index ranges from zero to one. The closer the value of the ICC is to one, the higher the indication of the reliability of the test will be (Nancy Burns 2005).

Therefore, the intra-rater reliability of this test for experienced raters and novice raters is poor to moderate. This means that if one performs this test on one participant more than once there will be a poor consistency and reproducibility between the measurements taken by one rater using this LD length test. The novice rater at station 4 had the highest ICC of 0.76, which would constitute moderate intra-rater reliability.

The small ICC of less than 0.9 regarding intra-rater and inter-rater reliability agreement parameters shown across all raters indicates that the LD length test used in this study is scientifically unreliable and not reproducible in a clinical study or laboratory setting (Lachin, 2004). These statements will be discussed in detail in Chapter 5.

## Chapter 5: Discussion

### 5.1 Introduction

The research question asked by the researcher was, “Is the technique described by Commerford and Mottram (2012) testing the length of LD scientifically reliable?” The question was addressed through the development of an aim and two main objectives. The main aim of the study was to determine the scientific reliability of the LD length test developed by Commerford and Mottram, (2012). This aim was accomplished by developing and addressing two main objectives. The first of the two main objectives was to assess the reliability of the technique of a test measuring the length of LD by means of intra-rater agreement in both novice and experienced clinicians. The second objective of the study was to assess the reliability of the technique of a test measuring the length of LD by means of inter-rater agreement in both novice and experienced clinicians.

### 5.2 The reliability of the technique

In addition to the ICC, the standard error of measurement (SEM) was calculated (Table 4.7) as an agreement parameter as recommended for measurements on a continuous scale (deVet et. al, 2006). The SEM was calculated by multiplying the standard deviation of the measurements by the square root of 1 minus the ICC ( $SD \cdot \sqrt{1-ICC}$ ). The SEM is equivalent to the standard deviation of the measurement error, reflecting the variability in the distribution of the measurements (Portney and Watkins, 2000). The SEM values for the experienced, novice and all the raters combined were  $4.69^\circ$ ,  $6.50^\circ$  and  $6.83^\circ$  respectively. The SEM represents the standard deviation of the measurement, with higher values indicating a less reproducible measurement in test-retest conditions within the same individual (Borstad and Briggs, 2010). For example, the results indicate that the experienced raters had a mean measurement value of 144 for reading 1 (SD 6.50) and an SEM of  $4.69^\circ$ .

This range of error indicates that it would not be too difficult to use this measurement to make evaluative interpretations and provides some support for its clinical use. Due to the fact that the participants in this study were young and asymptomatic for shoulder pain, the SEM results suggest that this measurement may be even less reproducible in patients with shoulder pain.

The intra-rater agreement at the measurement stations labelled 1 to 3 were moderate, i.e. at 0.6, 0.55 and 0.6 respectively, while at the station labelled 4 the intra-rater agreement was at an ICC of 0.76 which still falls under the moderate level of reliability although the value is closer to the ICC values representative of higher levels of reliability.

Inter-rater agreement for both the experienced and novice clinicians respectively was at 0.48 which denotes low to moderate reliability which cannot be seen as indicative that the LD length test used is suitably reproducible in a scientific or laboratory setting.

An interesting fact to be noted is that although the inter-rater reliability was the same for both novice and experienced clinicians, for the absolute measurements of the first reading, reading 1, the novices measured lower than the experienced clinicians ( $p=0.048$ ; 143.8 degrees vs. 146.0 degrees; Random-effects GLS regression).

This method of assessing reliability, using the ICC, was employed to determine the reliability of the technique that tests the length of LD. The inter-rater reliability agreement is summarized in Table 4.7. The ICC between the experienced raters was 0.48 with a novice rater ICC of 0.48 as well. The ICC between all the raters was 0.33.

This proves that this test is not scientifically reliable regarding inter-rater and intra-rater reliability between experienced raters, novice raters and all the raters combined. In the study in which Borstad and Briggs (2010) determine the reliability of the test developed by Levangie and Norkin (2001), the ICC values obtained by them in 2010 for the inter-rater reliability of the test for all the raters combined, experienced raters and novice raters were 0.19, 0.30 and 0.15 respectively. All these values displayed by Borstad and Briggs (2010) constitute poor reliability.

If one compares the ICC values obtained in this study to the values obtained in the study assessing the technique that tests the length of LD by Borstad and Briggs (2010), it indicates a much higher scientific reliability of the test developed by Commerford and Mottram (2012) when compared to the test developed by Levangie and Norkin (2001). Both of these tests are, however, scientifically unreliable due to the low ICC values obtained in both this study and the study by Borstad and Briggs (2010). The higher ICC and the small standard deviation between the readings of the LD length test developed by Commerford and Mottram, (2012) obtained in this study, suggests that the test by Commerford and Mottram (2012) has a greater clinical accuracy than the test assessed by Borstad and Briggs (2012). As described in the literature review, this is attributed to the increased consistency in the stabilisation of the lumbar lordosis and other contributing factors such as the stabilisation of the scapulae and the standardized positioning of the glenohumeral joint in the test developed by Commerford and Mottram, (2012).

This does not change the fact that the ICC values obtained in this study, indicates poor to moderate reliability of the test developed by Commerford and Mottram (2012). It was decided to do a further literature search.

### 5.3 A new literature search

A second literature search was done in order to rule out the possibility of any new literature applicable to the study being available. New keywords were added because the researcher suspected that the ability of the participants to cognitively learn the movement pattern of the testing technique affected the resulting poor reliability.

The keywords consisted of the following: Latissimus Dorsi, reliability, length test, outcomes measures, reproducibility, muscle length test, anatomy of Latissimus Dorsi, cognitive learning, muscle length and stretching time.

The searches that included the key words used in previous searches were limited to articles from the year 2013 onwards.

The sources searched in order to explain the poor reliability of the technique used to assess the length of LD were Google Scholar, Pub med, Science Direct and the Cochrane library. Physical therapy textbooks and journals were also reviewed.

There were 120,000 results that were found. Of these results, four articles were found to be directly applicable to an adequate explanation as to the resulting poor reliability of the test. The limitations regarding the logistics of the methodology as well as the limitations regarding the actual technique itself will now be discussed to account for the poor reliability of the LD length test in correlation to these four articles.

#### 5.4 Limitations

The poor reliability of this LD muscle length test can be attributed to probable flaws in the test itself, the test procedure and unforeseen limiting factors the logistical methodology. These limitations in the technique will now be discussed in order to ascertain the reasons behind the poor reliability of this technique that tests the length of LD.

There were various limitations in the logistical aspects of the methodology which became clear when the articles described above were reviewed. These limitations will now be explored with specific reference to the articles applicable to each limitation.

The first article is one that discusses the neuroenhancement of the aging brain and the time taken in the restoring skill acquisition in old subjects (Zimmerman et.al, 2013). The researchers discovered that cognitive learning and an acquisition of a new skill happens very fast in persons aged between 18 and 31 years.



Participants in this study assessing the reliability of a LD length test were aged between 18 and 28 years and this could have allowed them to manipulate the results of the test. It is stated that the acquisition or learning of a new skill such as a movement, in this case the testing position of the LD muscle length test, is optimally acquired between the ages 18 to 31 years.

This age category is directly applicable to the sample population of this study, since the participants were aged between 18 and 28 years. This information is important because, if the participants were able to optimally learn the position of the LD length test position, they would be able to actively gain range by manipulating the movement, thereby affecting the reliability of the test. The participants were all physiotherapy students and this would make understanding the movement and manipulating it so much easier as well.

The second article that was used was in the interest of practice-induced motor memory formation Stefan et.al (2008). Ten participants, naïve to the study aims, were examined to see if performance gains relating to a thumb movement were possible if action observation and imitation was allowed. Numerous behavioural investigations have demonstrated that performance gains can be induced by action observation and by imitation. This article by Stefan et.al (2008) is relevant to this study because the participants in this study were able to observe each other in the study setting (physiotherapy gymnasium). This could have influenced the degrees obtained from the LD length test as performance gains was proven to be induced by action observation and imitation Stefan et.al (2008).

They indicated that that the observation and mimicry of a movement pattern is enough to induce performance gains.

This knowledge indicates that the fact that participants could observe each other in the testing position as well as mimic the person being concurrently tested could contribute to performance gains of range of motion of glenohumeral flexion, which would also have contributed to the resulting poor reliability of the muscle length testing technique.

The third article is an article written about the effect of different practice schedules on motor learning by Tanaka et.al (2010). Sixty healthy subjects were divided into two groups and each group were taught motor skills, using the different practice schedules—the difference in learning was observed Tanaka et.al (2010). The results showed that motor learning does differ depending on the practice schedule and amount of repetitions of a task per practice.

This applies to this study, since, during the methodological procedure, each participant repeated the testing procedure eight times in total in less than four hours. Tanaka et.al (2010) indicated that repetition or variances in practice of a newly learned motor activity would play a role in motor learning and this could have allowed an increase in the measurements obtained from the LD length test.

The fourth article was used to determine the maximum amount of time that a muscle could be placed in a lengthened position without a change in length being implemented in the LD muscle (Bandy and Irion, 1994). Fifty-seven subjects with limited hamstring muscle flexibility were randomly assigned to one of four groups. Three groups stretched five days per week for 15, 30, and 60 seconds respectively. The fourth group, which served as a control group, did not stretch. The hamstring muscles' lengths were determined by measuring knee extension ROM. Thirty seconds of sustained stretching was found to be the optimal time for an effective stretch. During the pilot study it was made certain that no position of a lengthened LD should exceed twenty seconds.

To the knowledge of the researcher, there is no literature that investigates the effect of multiple shorter than thirty second sustained stretches to a muscle.

It is conceivable that the amount of lengthened positions applied to the participants' LD due to the amount of times each test was repeated on each individual would affect a change in the length of the muscle. Therefore, a better testing system would have been realised if eight raters were available, in order to split the sample and focus on technique and reliability as well as decrease repetitions and possible LD muscle length altering consequences.

The technique itself had various shortcomings which were observed during the process of this study. All the raters suggested that it was difficult to read the goniometer to the nearest single digit, which would affect the resulting reliability of the technique. The proprioceptive input given by the rater during the stabilisation of the scapula during the LD muscle length test (Figure 3.5), could alter muscle recruitment, which in turn could affect the range of motion at the affected joint (Commerford and Mottram, 2001).

This means that if the amount of proprioception was not able to be consistently maintained in the exact same manner at each testing station during the accomplishment of the technique and this would allow the amount of range of GHJ flexion measured to vary with the variation in the amount of proprioception given. This is because the size and placement of the raters' hands cannot be consistent across stations. Therefore, the range of motion could inadvertently be affected and therefore could be one of the contributing factors to the poor reliability that resulted.

The Velcro strapping limited the amount of pelvic tilting, but the amount of lumbar lordosis in participants with very good dissociation could be altered inconsistently without the tilting of the pelvis and this could in turn cause a decrease in consistency of the measurements obtained and thus also be a contributing factor to the poor reliability of this test.

By stabilising the scapula when performing the technique, a stretch is only isolated on the dorsal aspects of the muscle (the part between the inferior angles of the scapulae up to the insertion on the humerus) (Refer to Chapter 2 for the anatomical description of LD).

The part of the Latissimus Dorsi muscle belly between the scapulae and the thoraco-lumbar fascia is thus unaffected with regards to its position of length. This would therefore not be a true reflection of the entire length of the muscle.

## Chapter 6: Conclusion

### 6.1 Conclusion

In the quest for a possibly reliable LD length test, a test by Commerford and Mottram (2012) was found. This test was then applied in a clinical study to test its reliability. Due to the limitations discussed above, the scientific reliability of this test was found to be poor to moderate. The small differences noted between Reading 1 and Reading 2 regarding the standard deviation (SD) of all the raters combined, suggests that the LD length test may still prove to be useful in quantifying dysfunction in the clinical setting. The small difference scores in the mean are indicative that the technique is clinically significant. The researcher subsequently proposes a new technique that tests the length of LD. This technique is to be tested for reliability and validity in a future study. Recommendations are also given for logistical and procedural issues that a fellow researcher, interested in undertaking the recommendations, might encounter.

### 6.2 Recommendations

The critical analyses as well as the logistical limitations discussed in the previous chapter lead the researcher to believe that the test developed by Commerford and Mottram (2012) is not scientifically reliable, due to the difficulties expressed in the form of limitations discussed in Chapter 5.

The criterion for scientific reliability is very difficult, i.e. an ICC of 0.9 or more is needed to prove scientific reliability (Nancy Burns 2005). The poor to moderate ICC scores resulting from this study's reliability testing indicate the poor to moderate reliability and reproducibility of the LD muscle length test. Functionally, in clinical practice, the amount of negligible differences in degrees of range of motion is a lot more than the statistical norm.

A mere difference in the reading or placement of the goniometer could affect a change in the resulting reliability with regard to the ICC.

It is clear that the LD muscle length test is scientifically and statistically unreliable, but if one refers to Table 4.2 in Chapter 4, the small difference noted between Reading 1 and Reading 2, regarding the standard deviation (SD) of all the raters combined, suggests that the LD length test may have better functional intra-rater reliability. This means that this test can still prove to be useful in quantifying dysfunction in the clinical setting. The LD test procedure used in this current study was not well developed and controlled for the different factors discussed.

The researcher recommends that a new test be developed for use in clinical studies as well as clinical practice. The researcher proposes a technique to test the length of LD as well as give recommendations for a manner of testing the proposed technique for reliability.

#### 6.2.1 A proposed new test

##### 6.2.1.1 Proposed test procedure

The proposed technique to test the length of Latissimus Dorsi should be conducted as follows:

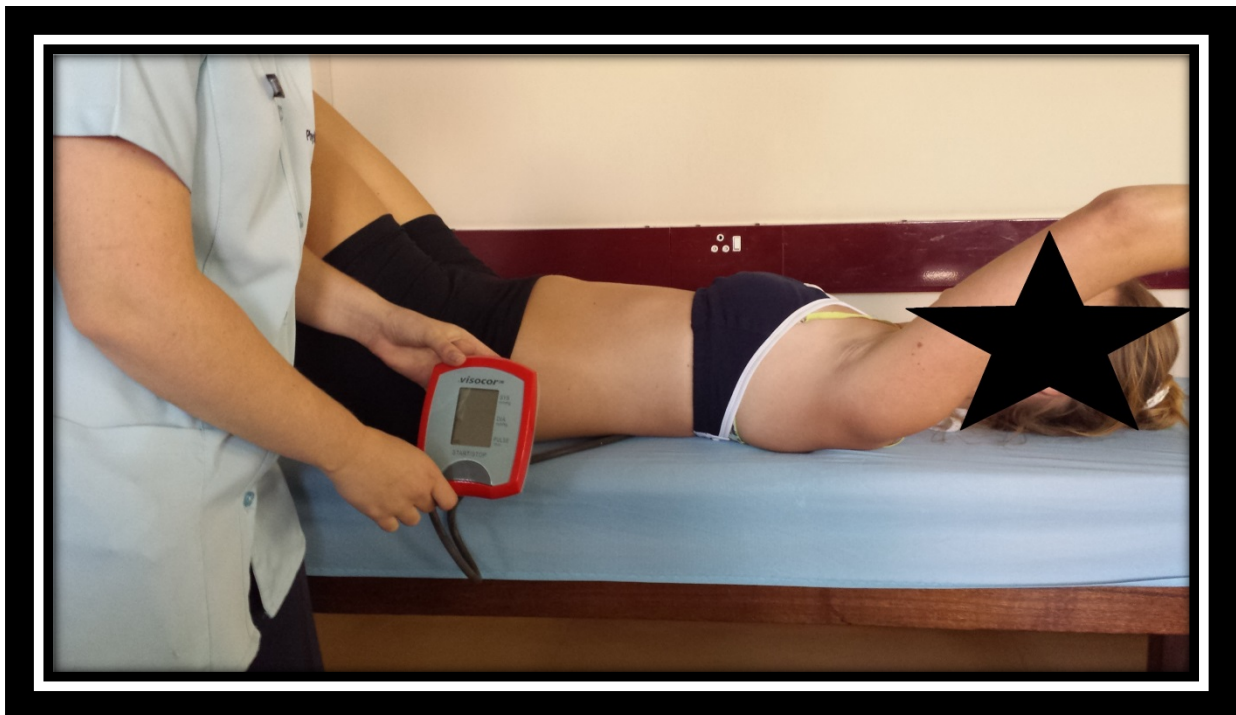
The participant should be marked for the centre point of the glenohumeral joint. (As described in Chapter 3) This marking will have to be done by a water soluble non-permanent and hypo-allergenic skin marker (Figure 3.4)

The participant should be positioned in supine with flexed knees and hips three foot lengths away from their body with the soles of both feet completely flat on the surface of the plinth on a biofeedback unit (Figure 5.1).

The reading of the amount of pressure, when the participant presses his/her lumbar spine against the biofeedback unit, should be taken and recorded. This reading should be maintained throughout the proposed muscle length test to ensure that there is a constant maximum posterior tilting of the pelvis and an objective position every time the length of the muscle is tested. The concentration of the participant to maintain the reading on the biofeedback unit will also create a cognitive distraction, which could decrease the possibility of the participant influencing the outcome of the proposed LD length test.

The participants' mid axillary line will not be marked nor will the scapulae be stabilised as to allow full lengthening of the LD and as to control the amount of proprioceptive input given to the participant.

The participant will then be passively taken into the maximum possible movement of glenohumeral flexion with the biofeedback reading being kept constant, his/her thumb facing the roof and keeping his/her back flat (in order to not medially rotate the GHJ or allow an increase in the lumbar lordosis as both factors would inconsistently increase the range of GHJ flexion, which would in turn decrease the reliability of the test). The available range of GHJ flexion will then be recorded (Figure 6.1). This test is an adaptation of the tests developed by Herrington and Horsley (2013), Commerford and Mottram (2012) and Levangie and Norkin (2001). This test was described as a recommendation by the researcher in order to address critical analysis of the LD length technique discussed in the previous chapter.



**Figure 6.1:** A photo of the proposed test position after the GHJ was taken passively into full flexion

Important note: The participant is asked to press his/her lumbar spine as much as possible into the biofeedback unit. When the reading decreases, the lumbar lordosis would decrease; this is the point where the measurement of glenohumeral flexion range of motion should be taken. This test is very similar to the test used by Herrington and Horsley (2013), with one major difference being that the GHJ in the suggested test is not allowed to go into full medial rotation and is kept in a neutral position (thumb pointing perpendicularly to the plinth). The reason for this is to maintain the consistency of the test without allowing the influence of the length or the pathology of GHJ lateral rotators. The muscles that assist and function in lateral rotation of the GHJ consist of the deltoid muscle, the Supraspinatus muscle, the Infraspinatus muscle and the Teres minor muscle (Moore 1992). It is logical that, if a muscle that acts in the functioning of lateral rotation of the GHJ is shortened, the range of GHJ medial rotation will be limited (Commerford and Mottram, 2012).

To address the issue of the poor availability of a biofeedback unit, a blood pressure cuff could be used as long as the point of the cuff that is in contact with the lumbar spine is constant amongst participants.

#### 6.2.2 Recommendations of reliability testing of future muscle length tests

The researcher proposes that the proposed technique described above to measure the length of LD be tested for reliability. The researcher recommends that the reliability testing be done with eight raters in eight different rooms where participants are not able to cognitively learn from observation or repetition. The researcher suggests doubling the amount of raters as to be able to have enough raters to be representative of the population of novice physiotherapists and experienced physiotherapists in the interest of a valid comparison between the two groups. The researcher also recommends that the sample group be split into eight and only measured by one rater per day over a period of eight days in order to avoid altering the length of LD. The sample should preferably consist of students who are not experienced in any field of movement or health sciences in order to avoid manipulation of the testing procedure.

No GHJ flexion should be maintained for a period longer than 10 seconds. The researcher also recommends that a study be done investigating the effects of short bursts of frequent (more than three times a day) muscle stretching when compared to longer 30 seconds stretching. This is to rule out the queried lengthening effect of a repetitive movement done in one day. The researcher also proposes that this new test be assessed for validity using the Qualysis™ movement analysis system.



## References

Aartun, E., Degerfalk, A., Kentsdotter, L., and Hestbaek, L. (2014). 'Screening of the spine in adolescents: inter-and intra-rater reliability and measurement error of commonly used clinical tests'. *BMC Musculoskeletal Disorders*, 15 (1), p. 37.

Altman, D. G. and Bland, J. M. (1983). 'Measurement in medicine: the analysis of method comparison studies'. *Statistician*, 32 (3), pp. 307-317.

Bandy, W. D., and Irion, J. M. (1994). 'The effect of time on static stretch on the flexibility of the hamstring muscles'. *Physical Therapy*, 74 (9) , pp. 845-850.

Björklund, Karin, et al. "Reliability of a criterion-based test of athletes with knee injuries; where the physiotherapist and the patient independently and simultaneously assess the patient's performance." *Knee Surgery, Sports Traumatology, Arthroscopy* 14.2 (2006): 165-175.

Borstad, J.D. and Briggs, M.S. (2010). 'Reproducibility of a measurement for Latissimus Dorsi muscle length', *Physiotherapy Theory and Practice*, 26 (3), pp. 195-203.

Burns, N. and Grove, S.K. (2005), *The practice of nursing research 5th edn*, Philadelphia: Elsevier Saunders, pp. 374-376.

Calliet, R. (1995). *Low Back Pain Syndrome* 3rd edn, Philadelphia, PA: FA Davis.

Commerford, MJ and Mottram, S, 2001, 'Functional stability re-training: principles and strategies for managing mechanical dysfunction', *Manual Therapy*, 6 (1), pp. 3 - 14.

Comerford, M. J., and Mottram, S. L. (2001). 'Movement and stability dysfunction—contemporary developments'. *Manual Therapy*, 6 (1), pp. 15-26.

Comerford, M., & Mottram, S. (2012). *'Kinetic control: the management of uncontrolled movement'*. Elsevier Australia.

Denegar, C.R. and Miller III, S.J. (2002). 'Can chronic ankle instability be prevented? Rethinking management of lateral ankle sprains', *Journal of Athletic Training*, 37 (4), pp. 430.

de Vet, H. C., Terwee, C. B., Knol, D. L., and Bouter, L. M. (2006). When to use agreement versus reliability measures. *Journal of clinical epidemiology*, 59(10), 1033-1039.

Dyball, K.M., Taylor, N.F. and Dodd, K.J. (2011). 'Retest reliability of measuring hip extensor muscle strength in different testing positions in young people with cerebral palsy', *BMC Pediatrics*, 11 (1), p. 42.

Ebaugh, D., Ludewig, P., Kuhn, J. and McClure, P. (2013). 'Clinical implications of scapular dyskinesis in shoulder injury': The 2013 consensus statement from the 'scapular summit', *British Journal of Sports Medicine*.

Favre, P., Loeb, M.D., Helmy, N. and Gerber, C. (2008). 'Latissimus Dorsi transfer to restore external rotation with reverse shoulder arthroplasty. A biomechanical study', *Journal of Shoulder and Elbow Surgery*, 17 (4), pp. 650-658.

Gajdosik, R. L., & Bohannon, R. W. (1987). 'Clinical measurement of range of motion review of goniometry emphasizing reliability and validity'. *Physical Therapy*, 67(12), 1867-1872.

Herrington, L. and Horsley, I. (2013). 'Effects of Latissimus Dorsi length on shoulder flexion in canoeists, swimmers, rugby players, and controls', *Journal of Sport and Health Science*, 1 pp.1-4.

Jaggi, A. and Lambert, S. (2010). 'Rehabilitation for shoulder instability', *British journal of sports medicine*, 44 (5), pp. 333-340.

Kendall F.P., McCreary E.K., Provance P.G. (1993). *Muscles Testing and function*, 4<sup>th</sup> edn. Baltimore, MD: Williams and Wilkins.

Kibler, W. B., Ludewig, P. M., McClure, P. W., Michener, L. A., Bak, K., Sciascia, A. D. & Cote, M. (2013). *Clinical implications of scapular dyskinesis in shoulder injury: the 2013 consensus statement from the 'scapular summit'*. *British journal of sports medicine*.

Lachin, J. M. (2004). *The role of measurement reliability in clinical trials*. *Clinical trials*, 1(6), 553-566.

Levangie, P.K., Norkin, C. (2001). *Joint Structure and Function. A Comprehensive Analysis* (3<sup>rd</sup>edn.). Philadelphia: FA Davis Company, pp. 1-196.

Mullaney, Michael J., et al. "Reliability of shoulder range of motion comparing a goniometer to a digital level." *Physiotherapy theory and practice* 26.5 (2010): 327-333.

Neumann, DA, (2009). *Kinegiology of the musculoskeletal system: "Foundations for rehabilitation"* (2<sup>nd</sup>edn.) St Louis: Mosby

Portney LG, Watkins MP (2000). *Foundations of clinical research. Application to practice* ,(2<sup>nd</sup>edn). Upper Saddle River, NJ: Prentice-Hall, Inc.

Pukree, T, Thomas, K.J., (2006). 'Shoulder injuries in competitive swimmers in KwaZulu Natal', *South African Journal of Sports Medicine*, 18 (1).

Riddle, D.L., Rothstein, J.M. and Lamb, R.L. (1987). 'Goniometric Reliability in a Clinical Setting Shoulder Measurements', *Physical Therapy*, 67 (5), pp. 668-673.

Ries, J.D., Echternach, J.L., Nof, L. and Blodgett, M.G. (2009). 'Test-retest reliability and minimal detectable change scores for the timed "up and go" test, the six-minute walk test, and gait speed in people with Alzheimer disease', *Physical Therapy*, 89 (6), pp. 569-579.

Safae-Rad, R., Shwedyk, E., Quanbury, A. O., and Cooper, J. E. (1990). 'Normal functional range of motion of upper limb joints during performance of three feeding activities'. *Archives of Physical Medicine and Rehabilitation*, 71 (7), pp. 505-509.

Sahrmann, S. 2002, *Diagnosis and treatment of movement impairment syndromes*, St. Louis: Mosby.

Stefan, K., Classen, J., Celnik, P., and Cohen, L.G. (2008). 'Concurrent action observation modulates practice-induced motor memory formation', *European Journal of Neuroscience*, 27 (3), pp. 730-738.

Swinkels, R., van Peppen, R., Wittink, H., Custers, J. and Beurskens, A. (2011). 'Current use and barriers and facilitators for implementation of standardised measures in physical therapy in the Netherlands', *BMC Musculoskeletal Disorders*, 12 (1), pp. 106.

Tanaka, S., Honda, M., Hanakawa, T. and Cohen, L. G.(2010). 'Differential contribution of the supplementary motor area to stabilization of a procedural motor skill acquired through different practice schedules'. *Cerebral Cortex*, 20 (9), pp. 2114-2121.

Wallwork, Tracy L., Julie A. Hides, and Warren R. Stanton. "Intrarater and interrater reliability of assessment of lumbar Multifidus muscle thickness using rehabilitative ultrasound imaging." *Journal of orthopaedic and sports physical therapy* 37.10 (2007): 608-612

Zimmerman, M., Nitsch, M., Giraux, P., Gerloff, C., Cohen, L.G., and Hummel, F.C. (2013). 'Neuroenhancement of the aging brain: restoring skill acquisition in old subjects'. *Annals of Neurology*, 73 (1), pp. 10-15.



## Chapter 8: Appendices

|   |            |
|---|------------|
| <b>APPENDIX A – PLAGIARISM DECLARATION .....</b>                | <b>86</b>  |
| <b>APPENDIX B – APPROVAL AND CLEARANCE LETTERS .....</b>        | <b>88</b>  |
| <b>APPENDIX C – INFORMED CONSENT AND INFORMATION FORM.....</b>  | <b>93</b>  |
| <b>APPENDIX D – STATISTICIAN LETTER .....</b>                   | <b>98</b>  |
| <b>APPENDIX E - DATA COLLECTION FORMS AND RAW DATA.....</b>     | <b>100</b> |
| <b>APPENDIX F – DECLARATION OF STORAGE.....</b>                 | <b>106</b> |
| <b>APPENDIX G – DECLARATION OF HELSINKI.....</b>                | <b>109</b> |
| <b>APPENDIX H – DATA CLEANING DECLARATION .....</b>             | <b>111</b> |
| <b>APPENDIX I – PERMISSION TO BE PHOTOGRAPHED LETTERS .....</b> | <b>113</b> |
| <b>APPENDIX J – CURRICULUM VITAE OF RESEARCHERS .....</b>       | <b>116</b> |
| <b>APPENDIX K – LANGUAGE EDITING CERTIFICATE .....</b>          | <b>140</b> |

The Appendices now follow, seperated by a title page each time.



## APPENDIX A – PLAGIARISM DECLARATION

## DECLARATION OF ORIGINALITY/ DECLARATION ON PLAGIARISM

The **Department of Geology (University of Pretoria)** places great emphasis upon integrity and ethical conduct in the preparation of all written work submitted for academic evaluation. While academic staff teaches you about referencing techniques and how to avoid plagiarism, you too have a responsibility in this regard. If you are at any stage uncertain as to what is required, you should speak to your lecturer before any written work is submitted.

You are guilty of plagiarism if you copy something from another author's work (e.g. a book, an article or a website) without acknowledging the source and pass it off as your own. In effect you are stealing something that belongs to someone else. This is not only the case when you copy work word-for-word (verbatim), but also when you submit someone else's work in a slightly altered form (paraphrase) or use a line of argument without acknowledging it. You are not allowed to use work previously produced by another student. You are also not allowed to let anybody copy your work with the intention of passing it off as his/her work.

Students who commit plagiarism will not be given any credit for plagiarised work. The matter may also be referred to the Disciplinary Committee (Students) for a ruling. Plagiarism is regarded as a serious contravention of the University's rules and can lead to expulsion from the University.

The declaration which follows must accompany all written work submitted while you are a student of the **Department of Geology (University of Pretoria)**. No written work will be accepted unless the declaration has been completed and attached.

I, the undersigned, declare that:

1. I understand what plagiarism is and am aware of the University's policy in this regard.
2. I declare that this assignment (e.g. essay, report, project, assignment, dissertation, thesis, etc) is my own original work. Where other people's work has been used (either from a printed source, Internet or any other source), this has been properly acknowledged and referenced in accordance with Departmental requirements.
3. I have not used work previously produced by another student or any other person to hand in as my own.
4. I have not allowed, and will not allow, anyone to copy my work with the intention of passing it off as his or her own work.

Full names of student:

Student number:

Date submitted:

Topic of work:

Signature:

Supervisor:

## APPENDIX B – APPROVAL AND CLEARANCE LETTERS



UNIVERSITEIT VAN PRETORIA  
UNIVERSITY OF PRETORIA  
YUNIBESITHI YA PRETORIA

Faculty of  
Health Sciences/Fakulteit Gesondheidswetensk  
appe Office of the Deputy Dean/Kantoor van die  
Adjunk Dekaan

24 September 2013

To whom it may concern:

**Muhammad Dawood: Permission to use the UP Physiotherapy Department gym and approach student volunteers.**

I hereby give approval to Muhammad Dawood's request to use the Physiotherapy Department gym, on two afternoons in January as part of his Masters degree research. The afternoons will be chosen according to the availability of the students and the gym.

He may also ask for student volunteers to participate in his study from the population of students affiliated to the physiotherapy department.

This permission is dependent on the appropriate permission from the Head of the Department of Physiotherapy.

Yours sincerely

A handwritten signature in black ink, appearing to read 'D Manning', on a light-colored background.

Prof D Manning

Deputy Dean: Education







## APPENDIX C – INFORMED CONSENT AND INFORMATION FORM



## **INFORMATION LEAFLET, INFORMED CONSENT FORM**

**TRIAL TITLE:** The assessment of the inter and intra-rater reliability of a method assessing the length of the Latissimus Dorsi muscle

**INTRODUCTION** You are invited to volunteer for a research study. This information leaflet is to help you to decide if you would like to participate. Before you agree to take part in this study you should fully understand what it is and what is expected of you. If you have any questions, which are not fully explained in this leaflet, please do not hesitate to ask the investigator, Muhammad Dawood. You should not agree to take part unless you are completely happy about all the procedures involved.

**WHAT IS THE PURPOSE OF THIS TRIAL?** You are a physiotherapy student. You are currently in the process of learning valuable assessment techniques in order to evaluate your future patients objectively. The whole concept of objectivity means that something has to be quantifiable with little to no discrepancy in the measure between therapists. This is why adequate assessment techniques should be reproducible. This means that if you as a physiotherapy student perform an evaluation technique on subject A and I as a qualified physiotherapist do the same our results should be similar if not the same. If this is true this would mean that the test is reliable. There is a muscular length test for the Latissimus Dorsi muscle which I would like to test for reliability in order for us and the profession to be able to use it objectively in research and in practice.

**WHAT IS THE DURATION OF THIS TRIAL?** If you decide to take part you will be one of approximately 50 subjects. You will be assessed by physiotherapists, some being your lecturers, for the length of your Latissimus Dorsi. You will have to be present in the student gym of the physiotherapy department for four hours on two occasions in one week in the month of November 2013.

**EXPLANATION OF PROCEDURES TO BE FOLLOWED** You will be expected to dress in to your normal practical assessment garments usually worn by you in practical physiotherapy classes. This is in order for specific structures to be visible and palpable. You will lie in supine and flex both hips and knees until both soles of the feet may be completely flatly placed on the surface of the plinth. This is called a crook lying position. At the first meeting a shoulder quadrant test will be performed to rule out any pre-existing shoulder pathology, if it has been found that there is pre-existing pathology you will be excluded from the study and explained why and if necessary you will be referred to the adequate healthcare professional

for treatment. If you are included in the study, on the day of the procedure, the therapist will first position you in the crook lying position. You will then be asked to press your lumbar spine against the plinth a Velcro strap will be tightly wrapped around your waist. You will then be asked to flex your shoulder with your thumb facing the roof and keeping your back flat on the plinth. A therapist will measure your range of motion of shoulder flexion with a goniometer.

**HAS THE TRIAL RECEIVED ETHICAL APPROVAL?** This clinical trial protocol was submitted to the Faculty of Health Sciences Research Ethics Committee, University of Pretoria and written approval has been granted by that committee. The study has been structured in accordance with the Declaration of Helsinki (last update: October 2008), which deals with the recommendations guiding doctors in biomedical research involving human/subjects. A copy of the declaration may be obtained from the investigator should you wish to review it. The University of Pretoria Research Ethics Committee may also be contacted at: The Research Ethics Office: Tel: 012 354 1330 or 012 354 1677 Fax: 012 354 136, [manda@med.up.ac.za](mailto:manda@med.up.ac.za) or [deepeka.behari@up.ac.za](mailto:deepeka.behari@up.ac.za).

**WHAT ARE YOUR RIGHTS AS A PARTICIPANT IN THIS TRIAL?** Your participation in this trial is entirely your choice and you can refuse to participate or stop at any time without stating any reason.

**MAY ANY OF THESE TRIAL PROCEDURES RESULT IN DISCOMFORT OR**

**WHAT ARE THE RISKS INVOLVED IN THIS TRIAL?** There are no risks and you will feel no pain. A mild stretching sensation or discomfort of your muscle called Latissimus Dorsi may be felt for a few seconds while the measurement is being taken.

**INSURANCE AND FINANCIAL ARRANGEMENTS** It will cost you nothing to participate in this trial. You will not receive any compensation for your participation in this trial.

Should you experience any research related complications, side effects and/or injuries during the trial. You should notify the investigator (Muhammad Dawood 0721182565)

**SOURCE OF ADDITIONAL INFORMATION** For the duration of the trial, the physiotherapist conducting the trial will be Muhammad Dawood. If at any time during the trial, you feel that

any symptoms are causing any problems, or you have any questions during the trial, please do not hesitate to contact him. You can contact him at: 0721182565 [MDawoodphysio@gmail.com](mailto:MDawoodphysio@gmail.com) . You may also contact his supervisor at [ekorkie@medic.ac.za](mailto:ekorkie@medic.ac.za).

## **CONFIDENTIALITY**

All the information that is gathered in this trial will not be shared with anyone. Information that may be reported in scientific magazines will not include any information which identifies you as a patient in this trial. In connection with this trial, it might be important for people in the health profession, the Faculty of Health Sciences Research Ethics Committee, University of Pretoria, as well as your personal doctor and physiotherapist, to be able to review your records of this trial.

You will be informed of any finding that may be important to your health or continued participation in this trial but this information will not be given to any other third party except those listed in the previous paragraph, without your written permission.

## **INFORMED CONSENT**

I hereby state that I have been informed by the physiotherapist, Muhammad Dawood about, how it will be carried out, the benefits and the risks of this study. I have also received, read and understood the above written information (Subject Information Leaflet and Informed Consent) regarding this study. I am aware that the results of the study including personal details regarding my sex, age, date of birth, initials and diagnosis will be anonymously processed into a trial report. I may, at any stage, withdraw my consent and participation in the trial and it will not count against me. I have had sufficient opportunity to ask questions and (of my own free will) declare myself prepared to participate in the study

|                               |                     |       |
|-------------------------------|---------------------|-------|
| _____                         | _____               | _____ |
| Students' name (Please print) | Students' signature | Date  |

I, Muhammad Dawood, herewith confirm that the above patient has been informed fully about the nature, conduct and risks of the above trial.

|                                    |                          |       |
|------------------------------------|--------------------------|-------|
| _____                              | _____                    | _____ |
| Investigator's name (Please print) | Investigator's signature | Date  |

\_\_\_\_\_  
Witness's name\* (Please print)

\_\_\_\_\_  
Witness's signature

\_\_\_\_\_  
Date

| <b>Information Form</b>  |          |          |  |
|--|----------|----------|--|
| Name and Surname:  |          |          |  |
| Shoulder Pain?   | YES      | NO       |  |
| Age:   |          |          |  |
| Do you participate in any sport:   | Yes      | NO       |  |
| If yes, please specify:  |          |          |  |
| Are upper body activities and or gym included in your training regime? If yes please describe? |          |          |  |
| <b>Shoulder pain</b>   |          |          |  |
| Do you or have you ever suffered from shoulder pain?   | YES      | NO       |  |
| Have you seen a doctor regarding this if yes what was the diagnosis given?                     |          |          |  |
| Shoulder quadrant test   | Positive | Negative |  |
| Does your pain vary with activity?   | Yes      | No       |  |
| Have you ever had any shoulder pain or stiffness or surgery? If yes please describe.           |          |          |  |
| <b>Medical information</b>   |          |          |  |
| Do you suffer from any other medical conditions now?   |          |          |  |
| Consent given  | YES      | NO       |  |

## APPENDIX D – STATISTICIAN LETTER



## APPENDIX E - DATA COLLECTION FORMS AND RAW DATA

### **Appendix E - Data Collection Forms and Raw Data**

**These Have been converted to and used in Microsoft Excel format see table with raw data below**

|                                |                     |
|--------------------------------|---------------------|
| <b><u>Station Number :</u></b> | <b><u>Date:</u></b> |
|--------------------------------|---------------------|

| Participant number | Degrees of GHJ Flexion | Time |
|--------------------|------------------------|------|
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |
|                    |                        |      |



## Collective data recording form

|            | <u>Evaluation on day 1 Date: Jan 2014</u> |           |           |           | <u>Evaluation on day 2 Date: Jan 2014</u> |           |           |           |
|------------|---|-----------|-----------|-----------|---|-----------|-----------|-----------|
| <u>Sub</u> | <u>S1</u>                                 | <u>S2</u> | <u>S3</u> | <u>S4</u> | <u>S1</u>                                 | <u>S2</u> | <u>S3</u> | <u>S4</u> |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |
|            |   |           |           |           |   |           |           |           |

Keys: S= Station Number Sub= Participant number

## Raw Data in Microsoft Excel format cleaned and corrected

*Table: Summary of Collective Data*

| <b>ID</b> | <b>Reading</b> | <b>Station1</b> | <b>Station2</b> | <b>Station3</b> | <b>Station4</b> |
|-----------|----------------|-----------------|-----------------|-----------------|-----------------|
| 1         | 1              | 145             | 143             | 128             | 109             |
| 2         | 1              | 146             | 142             | 141             | 126             |
| 4         | 1              | 151             | 148             | 155             | 140             |
| 5         | 1              | 153             | 148             | 154             | 146             |
| 6         | 1              | 160             | 133             | 145             | 137             |
| 7         | 1              | 145             | 142             | 132             | 119             |
| 8         | 1              | 166             | 153             | 155             | 140             |
| 11        | 1              | 145             | 135             | 116             | 120             |
| 12        | 1              | 150             | 132             | 133             | 137             |
| 13        | 1              | 138             | 146             | 134             | 143             |
| 14        | 1              | 140             | 138             | 120             | 147             |
| 15        | 1              | 156             | 153             | 141             | 137             |
| 16        | 1              | 125             | 133             | 130             | 147             |
| 20        | 1              | 154             | 154             | 150             | 147             |
| 22        | 1              | 154             | 148             | 148             | 152             |
| 23        | 1              | 135             | 147             | 132             | 145             |
| 24        | 1              | 153             | 148             | 159             | 148             |
| 25        | 1              | 134             | 136             | 137             | 137             |
| 26        | 1              | 135             | 138             | 136             | 134             |
| 27        | 1              | 146             | 138             | 141             | 144             |
| 28        | 1              | 139             | 140             | 146             | 146             |
| 29        | 1              | 138             | 131             | 141             | 126             |
| 31        | 1              | 145             | 151             | 148             | 160             |
| 32        | 1              | 162             | 140             | 132             | 139             |
| 33        | 1              | 150             | 145             | 147             | 141             |
| 34        | 1              | 146             | 152             | 145             | 150             |
| 35        | 1              | 132             | 135             | 156             | 150             |
| 37        | 1              | 140             | 136             | 148             | 123             |
| 38        | 1              | 144             | 157             | 160             | 153             |
| 40        | 1              | 158             | 135             | 151             | 145             |

|    |   |     |     |     |     |
|----|---|-----|-----|-----|-----|
| 41 | 1 | 150 | 155 | 165 | 157 |
| 42 | 1 | 156 | 149 | 148 | 135 |
| 43 | 1 | 141 | 145 | 153 | 132 |
| 46 | 1 | 153 | 160 | 165 | 159 |
| 47 | 1 | 154 | 149 | 160 | 151 |
| 48 | 1 | 164 | 158 | 140 | 122 |
| 49 | 1 | 161 | 149 | 135 | 140 |
| 50 | 1 | 131 | 129 | 128 | 120 |
| 52 | 1 | 161 | 144 | 152 | 147 |
| 55 | 1 | 158 | 152 | 151 | 152 |
| 56 | 1 | 168 | 155 | 160 | 144 |
| 58 | 1 | 136 | 145 | 152 | 136 |
| 59 | 1 | 155 | 148 | 131 | 159 |
| 60 | 1 | 139 | 138 | 143 | 150 |
| 62 | 1 | 138 | 146 | 149 | 147 |
| 63 | 1 | 145 | 139 | 140 | 141 |
| 64 | 1 | 145 | 149 | 141 | 142 |
| 65 | 1 | 165 | 167 | 145 | 158 |
| 66 | 1 | 140 | 155 | 144 | 165 |
| 67 | 1 | 134 | 151 | 144 | 153 |
| 68 | 1 | 150 | 150 | 140 | 144 |
| 69 | 1 | 149 | 137 | 152 | 161 |
| 70 | 1 | 144 | 141 | 145 | 150 |
| 72 | 1 | 140 | 138 | 148 | 155 |
| 73 | 1 | 140 | 143 | 156 | 153 |
| 74 | 1 | 137 | 140 | 143 | 150 |
| 1  | 2 | 133 | 138 | 134 | 109 |
| 2  | 2 | 140 | 145 | 149 | 128 |
| 4  | 2 | 136 | 141 | 148 | 140 |
| 5  | 2 | 136 | 158 | 150 | 140 |
| 6  | 2 | 142 | 143 | 145 | 142 |
| 7  | 2 | 135 | 135 | 139 | 133 |
| 8  | 2 | 154 | 153 | 143 | 140 |
| 11 | 2 | 136 | 137 | 114 | 136 |
| 12 | 2 | 137 | 142 | 120 | 130 |

|    |   |     |     |     |     |
|----|---|-----|-----|-----|-----|
| 13 | 2 | 144 | 142 | 155 | 142 |
| 14 | 2 | 136 | 136 | 127 | 144 |
| 15 | 2 | 155 | 154 | 151 | 150 |
| 16 | 2 | 125 | 127 | 122 | 135 |
| 20 | 2 | 155 | 161 | 157 | 148 |
| 22 | 2 | 156 | 167 | 148 | 155 |
| 23 | 2 | 139 | 144 | 145 | 136 |
| 24 | 2 | 148 | 145 | 144 | 150 |
| 25 | 2 | 131 | 140 | 133 | 134 |
| 26 | 2 | 136 | 131 | 136 | 129 |
| 27 | 2 | 129 | 141 | 140 | 132 |
| 28 | 2 | 155 | 157 | 149 | 151 |
| 29 | 2 | 142 | 140 | 135 | 122 |
| 31 | 2 | 140 | 148 | 156 | 145 |
| 32 | 2 | 138 | 139 | 132 | 143 |
| 33 | 2 | 135 | 135 | 140 | 140 |
| 34 | 2 | 144 | 149 | 155 | 149 |
| 35 | 2 | 131 | 134 | 157 | 141 |
| 37 | 2 | 140 | 140 | 144 | 131 |
| 38 | 2 | 147 | 152 | 145 | 147 |
| 40 | 2 | 142 | 150 | 148 | 137 |
| 41 | 2 | 142 | 157 | 148 | 159 |
| 42 | 2 | 152 | 142 | 148 | 145 |
| 43 | 2 | 146 | 156 | 160 | 129 |
| 46 | 2 | 154 | 154 | 145 | 154 |
| 47 | 2 | 143 | 145 | 147 | 143 |
| 48 | 2 | 154 | 152 | 150 | 131 |
| 49 | 2 | 155 | 147 | 151 | 135 |
| 50 | 2 | 130 | 150 | 128 | 109 |
| 52 | 2 | 149 | 145 | 151 | 153 |
| 55 | 2 | 150 | 150 | 154 | 134 |
| 56 | 2 | 166 | 163 | 140 | 141 |
| 58 | 2 | 150 | 133 | 141 | 131 |
| 59 | 2 | 150 | 149 | 135 | 137 |
| 60 | 2 | 140 | 141 | 149 | 146 |

|    |   |     |     |     |     |
|----|---|-----|-----|-----|-----|
| 62 | 2 | 140 | 145 | 140 | 136 |
| 63 | 2 | 140 | 129 | 134 | 143 |
| 64 | 2 | 142 | 140 | 139 | 151 |
| 65 | 2 | 166 | 154 | 151 | 165 |
| 66 | 2 | 144 | 141 | 143 | 165 |
| 67 | 2 | 130 | 138 | 133 | 146 |
| 68 | 2 | 148 | 149 | 139 | 137 |
| 69 | 2 | 145 | 140 | 154 | 155 |
| 70 | 2 | 135 | 145 | 141 | 140 |
| 72 | 2 | 140 | 145 | 135 | 153 |
| 73 | 2 | 141 | 143 | 143 | 149 |
| 74 | 2 | 131 | 136 | 141 | 144 |

## APPENDIX F – DECLARATION OF STORAGE

Protocol No. \_\_\_\_\_

**Principal Investigator(s) Declaration for the storage of research data and/or documents**

I, the Principal Investigator(s), \_\_\_\_\_  
of the following trial/study titled \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

will be storing all the research data and/or documents referring to the above mentioned  
trial/study at the following address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**I understand that the storage for the abovementioned data and/or documents must  
be maintained for a minimum of 15 years from the commencement of this  
trial/study.**

START DATE OF TRIAL/STUDY: \_\_\_\_\_

END DATE OF TRIAL/STUDY: \_\_\_\_\_

UNTIL WHICH YEAR WILL DATA WILL BE STORED: \_\_\_\_\_

\_\_\_\_\_

**Name** \_\_\_\_\_

**Signature** \_\_\_\_\_

**Date** \_\_\_\_\_

## APPENDIX G – DECLARATION OF HELSINKI



COMMITMENTS AND RESPONSIBILITIES OF **SUB- INVESTIGATORS**  
REQUIRED FOR RESEARCH THROUGH THE FACULTY OF HEALTH SCIENCES RESEARCH  
ETHICS COMMITTEE, UNIVERSITY OF PRETORIA

**DECLARATION BY INVESTIGATOR:**

I agree to **personally** conduct or supervise the described investigation.

I understand as sub-investigator that I am **totally responsible** for aspects of the study delegated to me by the Principal Investigator and am legally bound by the contract signed with the sponsor and **will not inappropriately delegate my responsibilities** to the rest of my study team.

I have **read and understand the information in the investigator's brochure**, including the potential risks and side effects of the drug.

I agree to **ensure** that all associates, colleagues, and employees assisting in the conduct of the study are informed about their obligations in meeting the above commitments, without relinquishing my total responsibility for the study.

I confirm that I am **suitably qualified and experienced** to perform and/or supervise the study proposed.  
I agree to conduct the study in accordance with the relevant, current protocol and will make changes in the protocol only after approval by the sponsor and the Ethics Committee, except when urgently necessary to protect the safety, rights, or welfare of subjects.

I agree to inform any patients, or any persons used as controls, that the drugs are being used for investigational purposes and I **will ensure that the ICH GCP Guidelines and Ethics Committee requirements relating to obtaining informed consent are met**.

I agree to timeously reporting to the sponsor and Ethics Committee adverse experiences that occur in the course of the investigation according to the time requirements adopted by the Faculty of Health Sciences Research Ethics Committee, University of Pretoria.

I agree to maintain **adequate and accurate** records and to make those records available for inspection by the appropriate authorized agents, be it EC, FDA or sponsor agents.

I agree to comply with all other requirements regarding the obligations of clinical investigators and all other pertinent requirements in the Declaration of Helsinki and South African and ICH GCP Guidelines and am conversant with these guidelines.

I agree to inform the Ethics Committee in advance should I go on leave together with an agreed plan of action regarding an alternate principal investigator or sub-investigator to take responsibility in my absence.

I understand that the study may be audited at any time and that deviation from the principles in this declaration will be put before the Ethics Committee for action, which may include disqualification as an investigator and rehabilitation before being accepted as an investigator in other studies.

I confirm that there is no conflict of interest whatsoever in my participation in this study. I have no shares in the sponsoring company and my participation and interests are as defined in the financial agreement.

---

NAME (Printed)

SIGNATURE OF PRINCIPAL INVESTIGATOR

DATE

---

NAME (Printed)

SIGNATURE OF SUB-INVESTIGATOR

DATE

## APPENDIX H – DATA CLEANING DECLARATION



## APPENDIX I – PERMISSION TO BE PHOTOGRAPHED LETTERS





APPENDIX J – CURRICULUM VITAE OF RESEARCHERS  
INDICATING DIFFERENT EXPERIENCE LEVELS

**In the following Appendix J are included the four curriculum vitae. The two experienced ratters' curriculum vitae are first followed up by the Novice ratters'. The assisting student who performed the screening tests on the participants is also included.**



CURRICULUM VITAE

**FRANCINA ELZETTE KORKIE**

**Experienced Rater 1**

**Address** Plot 29  
Haakdoornlaagte  
Buffelsdrift  
Pretoria

**Postal Address** PO Box 370  
Derdepoortpark  
0035

**Work tel:** +2712 – 354 2023  
**Cell number:** +2782 890 1793  
**E mail:** ekorkie@medic.up.ac.za

### Personal Information

**Surname** Korkie  
**Names** FrancinaElzette  
**ID no.** 680419 0166 081  
**Birth Date** 19 April 1968  
**Sex** Female  
**Marital status** Married  
**Husband** Johann  
**Children** Two boys, Jaco = 18 years and  
Jandre = 15 years  
**Home language** Afrikaans  
**Health** Very good  
**Criminal records** None  
**Computer knowledge** Good

### Education

**School** Volksrust Highschool  
Volksrust  
Grade 12, 1985

### Tertiary education

**University Degrees**

- B Sc. (Physio) 1989, University of Orange Free state, Bloemfontein
- M Sc (Physio) 2004, University of Pretoria

### Work Experience

**Kalie de Haas Hospital**  
January 1990 – June 1990

**PretoriaAcademicHospital**  
July 1990 – August 1991

**Work experience (continue)**

## **Private Practice**

**September 1991 – December 1999**

**Department Physiotherapy,  
Faculty of Health science, University of Pretoria  
July 1999**

## **Responsibilities within the Physiotherapy Department**

I am responsible for the following modules within the physiotherapy curriculum:

### **First years**

*Developed an integrated CD with the first year's study content (2004) with special emphasis on practical skills. In use since (2006)*

### **Second years** (120 lectures per year)

- ✚ Movement analysis and rehabilitation

### **Third years** (50 lectures per year)

- ✚ The introduction to orthopaedic manual therapy
- ✚ Spinal mobilisation techniques
- ✚ Spinal biomechanics
- ✚ Spinal syndromes
- ✚ Evaluation of the spinal patient

### **Fourth year** (20 theory lectures and 70 hours small group discussions)

- ✚ Integration of spinal pathology and treatment
- ✚ Special conditions, for example sacral iliac joint syndromes, temporal mandibular joint syndromes
- ✚ Industrial back and neck care
- ✚ Prevention of spinal pain recurrences
- ✚ Spinal orthosis
- ✚ Special spinal treatment techniques
- ✚ 3 hour small group discussions weekly

### **Post grad program** (course work Masters: sport and neurology)

- ✚ Biomechanics, movement dysfunction and rehabilitation

### **Research**

- ✚ Supervisor undergraduate research (2000 – 2011)
- ✚ Supervisor: two Master students
- ✚ Personal: PhD – write up phase

### **Administrative responsibilities**

- ✚ Undergraduate coordinator (2012, 2013)
- ✚ Time table coordinator (2001 – 2013)
- ✚ Evaluation of students on the clinical blocks

## Other

### Courses attended

- Course in Pharmacology, Department of Pharmacology, UP 995
- Orthopaedic Manual Therapy I (post graduate course) Bloemfontein 1997
- Movement Impairment Syndromes, Level 1, Vancouver, Canada, 2007
- Sacro-iliac dysfunction, Ina Diener, July 2008
- Cervical disorders: assessment and management, Ina Diener, April 2009
- Movement system impairment syndromes of the hip joint, Shirley Sahrmann, November 2009
- *Kinetic control courses:*
- Understanding movement and function – theory and concepts manual October, 2007
- Diagnosis of mechanical back pain, sub-groups and stability retraining of the lumbar spine October 2007
- Diagnosis of mechanical dysfunction and stability retraining of the neck and shoulder girdle October 2008
- Diagnosis, classification and motor control retraining for the hip August 2009
- Motor control assessment and retraining of the lower leg August 2009
- Diagnosis of uncontrolled movement and restoration of sacro-iliac joint and pelvis mobility November 2009
- Diagnosis of segmental instability and functional motor control retraining of the sacro-iliac joint and pelvis November 2009
- Diagnosis, Mobilisation and Motor control retraining of the Thoracic spine and Ribs (2010)
- Myofascial trigger point therapy in the management of movement dysfunction (2010)
- Master class (2010)
- Integration of hip rehabilitation, Mark Camerford, Chichester, 2011
- Short course in Click-up overview, August 2011

### Courses presented

- Co lecturer in presenting the Orthopaedic manual therapy I course (1999 – 2006)
- Thoracic rehabilitation – specific spinal stabilising exercises and motor control (2010, 2011)
- Functional Movement - Analysis and Rehabilitation pre congress workshop (World congress for physiotherapists, Africa 2012)
- *Lunch hour CPD courses:*
- The diaphragm, SBAH Physiotherapy department, 2010
- Functional analysis of movement, SBAH Physiotherapy department, 2012
- The Thorax – the missing link, Melanie Skeen Private practitioner, 2012

### **Congresses attended**

- Attended the International Federation of Orthopaedic Manual Therapists (IFOMT) Congress, Cape Town, 2004
- Attended the World congress for Physiotherapists, Vancouver 2007
- Attended 3<sup>rd</sup> International congress on movement dysfunction, Edinburgh, 2009

### **Papers / posters presented**

- Presented a paper at IFOMT (2004)
- Presented a paper at the Health science's Faculty Day, 2004
- Presented a paper at the International conference for Physiotherapists, Pretoria October 2008
- Paper presentation, Neuroscience day, UP, 2010
- Presented a poster at Faculty day, 2011

### **Other**

- External examiner for physiotherapy (2001 UOFS, 2002 Wits, 2006 UOFS, 2007 UOFS, Wits 2009, 2010)
- External examiner for anatomy (ANA 151, 152, 161, 162) 2009 - 2012
- Locum in the private sector (2007, 2008, 2009)
- Clinical service: Monday (16h00 – 17h00), Tuesday – Thursday (7h00 – 8h00)  
Pilates classes, Physiotherapy Department

## GENERAL

### Sport

- Aerobics, cycling, pilates classes

### References

- Prof. T van Rooijen  
Department of Physiotherapy  
+2712 - 354 2023  
[Tania.VanRooijen@up.ac.za](mailto:Tania.VanRooijen@up.ac.za)

- Dr C E Eksteen  
Department of Physiotherapy  
+2712 – 3541249  
[Carina.Eksteen@up.ac.za](mailto:Carina.Eksteen@up.ac.za)

- Sarah Mottram  
Movement Performance Solutions  
33 West Street  
Chichester  
PO19 1QS  
  
+441243 786555  
0845 1300808  
[www.kineticcontrol.com](http://www.kineticcontrol.com)

### Peer reviewers

Benita Olivier  
Department of Physiotherapy Wits  
+27823325776  
[Benita.Olivier@wits.ac.za](mailto:Benita.Olivier@wits.ac.za)

Anri Human  
Department of Physiotherapy Medunsa  
[Anri.human@gmail.com](mailto:Anri.human@gmail.com)  
+27835959759

Jacqueline Swart  
Private practitioner  
Accredited Kinetic control tutor  
[physio.jswart@gmail.com](mailto:physio.jswart@gmail.com)  
+27827877742

CURRICULUM VITAE

**Marlize Cochrane**

**Experienced Rater 2**

## UNIVERSITY OF PRETORIA

### FORMAT OF CURRICULUM VITAE

*The University prefers the following CV format. Specific items can be added to or omitted to reflect Faculty specific and/or individual circumstances, but the given numbering should, as far as possible, be followed to facilitate the evaluation process.*

*Provision of information about race and gender is optional, but will assist the University to report in terms of current Employment Equity legislation.*

|   |
|---|
| <b>EVALUATION DATE:</b> (Office use only) |
|---|

### 1. BIOGRAPHICAL SKETCH

| 1.1 GENERAL INFORMATION    |  |                          |                 |                          |                            |                          |                                     |                                     |                                  |                          |
|----------------------------|--|--------------------------|-----------------|--------------------------|----------------------------|--------------------------|-------------------------------------|-------------------------------------|----------------------------------|--------------------------|
| <b>Surname</b>             | Cochrane   |                          |                 |                          |                            |                          |                                     |                                     |                                  |                          |
| <b>First names</b>         | Maria Elizabeth  |                          |                 |                          | <b>ID Number</b>           |                          | 8502190103082                       |                                     |                                  |                          |
| <b>Citizenship</b>         | South African  |                          |                 |                          | <b>Title</b>               | Miss                     | <b>Female</b>                       | <input checked="" type="checkbox"/> | <b>Male</b>                      | <input type="checkbox"/> |
| <b>Place of birth</b>      | Kempton Park   |                          |                 |                          | <b>Date of birth</b>       |                          | 19/02/1985                          |                                     |                                  |                          |
| <b>Population group</b>    | <b>African</b>   | <input type="checkbox"/> | <b>Coloured</b> | <input type="checkbox"/> | <b>Indian</b>              | <input type="checkbox"/> | <b>White</b>                        | <input checked="" type="checkbox"/> | <b>Other</b><br>(Please specify) | <input type="checkbox"/> |
| <b>Department</b>          | Physiotherapy  |                          |                 |                          | <b>Position</b>            |                          | Lecturer                            |                                     |                                  |                          |
| <b>Direct Telephone</b>    | 079 895 0641   |                          |                 |                          | <b>Direct Telefax</b>      |                          |                                     |                                     |                                  |                          |
| <b>E-mail</b>              | <a href="mailto:marlize.cochrane@up.ac.za">marlize.cochrane@up.ac.za</a> / cochrane.m2@gmail.com |                          |                 |                          |                            |                          |                                     |                                     |                                  |                          |
| <b>Date of appointment</b> | 01/01/2011   |                          |                 |                          | <b>Permanent full-time</b> |                          | <input checked="" type="checkbox"/> | <b>Temporary full-time</b>          |                                  | <input type="checkbox"/> |

| 1.2 ACADEMIC QUALIFICATIONS OBTAINED |  |                              |      |              |
|--------------------------------------|--|------------------------------|------|--------------|
| Degree/<br>Diploma                   | Field of study                                   | Higher education institution | Year | Distinctions |
| BPhysT                               | Physiotherapy                                    | University of Pretoria       | 2007 | 2            |
| MPhysT                               | Physiotherapy –<br>Neurology and<br>Neurosurgery | University of Pretoria       | 2012 | 2            |



| <b>1.3 WORK EXPERIENCE TO DATE</b> |                                     |                                       |
|------------------------------------|-------------------------------------|---------------------------------------|
| <b>Name of employer</b>            | <b>Capacity and/or type of work</b> | <b>Period From (mm//yy to mm//yy)</b> |
| University of Pretoria             | Lecturer                            | 01/1/2011 – present                   |
| Gauteng Department of Health       | Senior Physiotherapist              | 01/09/2009 – 31/12/2010               |
| RehabworX                          | Junior Physiotherapist              | 01/01/2009 – 30/08/2009               |
| Gauteng Department of Health       | Community Service Physiotherapist   | 01/01/2008 – 31/12/2008               |

## 2. TEACHING ACTIVITIES

| <b>2.1 Courses presented</b>                   |  |                                   |
|--|--|-----------------------------------|
| <b>Course</b>                                  | <b>Level (e.g. second year, Masters)</b> | <b>Self developed (Yes or No)</b> |
| FTP 100 – Introduction to Child Health         | First Years                              | Yes                               |
| FTP 203 – Burns, Amputations and Motor Control | Second Years                             | Yes                               |
| FTP 300 – Neurology                            | Third Years                              | Yes                               |
| FTP 400 – Neurology                            | Fourth Years                             | Yes                               |

| <b>2.2 Other education and pedagogic courses presented</b> |             |  |
|--|-------------|--|
| <b>Course</b>  | <b>Year</b> | <b>Institution</b>                           |
| Vertigo and Vestibular Rehab course                        | 2012        | Pietersburg Hospital in Limpopo              |
| Hemiplegic Shoulder-Hand Syndrome Management course        | 2013        | Life Rehabilitation – Eugene Marais Hospital |
| Vertigo and Vestibular Rehab course                        | 2013        | Rehab Matters - Johannesburg                 |

### 3. TEACHING OUTPUTS

#### 3.1 Educational publications and products

*Provide full details including full titles, names of all the authors, publishers, dates, page numbers etc. Specify your exact contribution to the publications or products. Note that the publications here should be of a didactic nature e.g. articles in educational publications, papers presented at educational conferences, etc. A summary and description of educational products developed can be provided (e.g. study guides, learning materials, multimedia productions (CDs), educational videos, web materials, text books etc.) relevant to university education.*

Educational Products: Study Guides for third year physiotherapy – clinical work and theory.

### 4. OTHER TEACHING CONTRIBUTIONS

#### 4.1 Membership of national and international bodies

*List all the teaching associations or societies to which you belong. Name your involvement, e.g. honorary member, founder member, full member, chairman, president, secretary.*

Full member and past-chairperson (North Gauteng branch) of the South African Society of Physiotherapists.

Full member of the International Neurological Rehabilitation Task Team (WCPT related)

#### 4.2 Visits to local and overseas universities as guest professor or lecturer in regard to teaching

*Provide details*

External examiner at the University of the Free State 2012 and 2013

## 5. RESEARCH OUTPUTS

### 5.1 Publications in peer-reviewed or refereed journals

*Provide full details of each publication, including full titles, names of all the authors, journals, dates, page numbers etc.*

I have submitted two articles to journals for publications, but have not been published yet. The articles and journals are:

1. Determining The Effect Of Balance Retraining Post-Stroke Using The Nintendo Wiifit™: Results From A Pilot Study; African Journal of Physiotherapy and Rehabilitation Sciences
2. Measuring Weight-Shifting Objectively with Limited Resources: A Case Report; African Journal of Disability

## 6. OTHER SCHOLARLY RESEARCH-BASED CONTRIBUTIONS

### 6.1 Participation in conferences, workshops and short courses - specify type of contribution

*Provide full details of participation in national and international conferences etc*

#### 6.1.1 National

Occupational Therapy Neurology Conference – speaker (2012)

Vertigo and Vestibular Rehab Short Course – presenter (2011, 2012, 2013)

## 7. MANAGEMENT AND ADMINISTRATIVE DUTIES

7.1 *List your involvement in departmental activities (e.g. administrative functions), faculty (e.g. Faculty Committees) or other university activities.*

Third Year class coordinator (2012, 2013)

Third and Fourth Year clinical coordinator (2012, 2013)

Part of the Curriculum Development committee within the Physiotherapy Department

(2013)

## 8. COMMUNITY SERVICE OR PROFESSIONAL SKILLS

### 8.1 Outreach projects

*(e.g. project titles, institutions and communities involved, etc.)*

- Concert and fundraiser at Phyllis Roberts Home for people living with physical disabilities

### 8.2 Professional service performed

*(e.g. courses presented, lectures at professional associations/clubs, radio or TV appearances, outside expert or appointment committee, etc.)*

Vertigo and Vestibular Rehab course presenter

### 8.3 Clinical service

*(e.g full detail of rank/level of joint appointment, level of clinical service rendering responsibilities, university administration and academic responsibilities, CPD involvement, clinical trials involvement, etc.)*

- Working 10 clinical hours per week at Eugene Marais hospital and the Physiotherapy Department Gym

### 8.4 Involvement with other universities/scientific institutions

*(e.g. external examiner, editor of journal, advisory council, CSIR, SA Council for Scientific Professions)*

- External examiner for the fourth year prepared student examinations in Bloemfontein (2012 and 2013)

CURRICULUM VITAE

**Izaan De Jager**

**Novice Rater 1**

# CURRICULUM VITAE

## IZAAN DE JAGER

### 1. CONTACT DETAILS

HOME ADDRESS 84 Tom Jenkins Drive  
Pretoria  
South Africa

CELLULAR NUMBER 082 824 7874  
E-MAIL [zdejager@hotmail.com](mailto:zdejager@hotmail.com)

### 2. PERSONAL INFORMATION

|                 |                  |                 |               |
|-----------------|------------------|-----------------|---------------|
| SURNAME         | De Jager         | SEX             | Female        |
| FIRST NAME      | Izaan            | NATIONALITY     | South African |
| IDENTITY NUMBER | 890916 0016 08 9 | MARITAL STATUS  | Single        |
| DATE OF BIRTH   | 16/09/1989       | DRIVING LICENSE | Code B        |
| AGE             | 24               |                 |               |

### 3. EDUCATION

#### 3.1 Primary Education

1996-2000, 2001-2002 Wonderboom Primary School  
Pretoria, Gauteng, RSA  
2000-2001 Ste-Foy Elementary School  
Quebec City, Quebec, Canada

#### 3.2 Secondary Education

2003-2007 Overkruin High School  
Pretoria, Gauteng, RSA  
Subjects Afrikaans, English, Mathematics, Physical Sciences,  
Biology, Computer Sciences, Additional Mathematics  
Final Exam Results Matriculated with distinction  
Honorary Colours 4x Culture Colours of Distinction  
2x Academic Colours of Distinction

#### 3.3 Tertiary Education

2008-2011 University of Pretoria  
Faculty of Health Sciences  
School of Health Care Sciences  
Course BPhysT (Physiotherapy), 2011  
Awards BafanaShishali Award for outstanding contribution to the physiotherapy  
profession, 2011  
Second place, Junior researcher oral presentation, *Comparing  
different weekend physiotherapy treatments to improve the functional  
outcome of patients in the acute stage of a stroke*, Faculty Day 2011

### 4. LEADERSHIP ROLES

BPhysT IV Class Representative 2011  
Vice chairperson Recreational Rehabilitation Clinic, 1 Military Hospital 2012

### 5. SPORT

Recreational participation in Mountain Biking, Adventure Racing, Triathlon and Trail Running  
Kilimanjaro Ultra Mountain Bike Marathon 2011 (262km) – 3<sup>rd</sup> Female  
Southern Skies 24 hour Mountain Bike Race – 1<sup>st</sup> Female  
Omni-Motion Bicycling 24 hour Mountain Bike Race 2012– 5<sup>th</sup> Single Speed Overall

A[S]G 24 hour Mountain Bike Race 2013 – 1<sup>st</sup> Female  
Expedition Africa 500km Adventure Race, World Series – 24<sup>th</sup> team  
Thaba Trails 6 hour Mountain Bike Race – 1<sup>st</sup> Female  
Multiple podiums for mountain bike and adventure races

## **6. CULTURAL ACTIVITIES**

Die Suid-AfrikaanseGilde van Spraak- en Dramaonderwysers  
Intermediêr I MondelingeKommunikasie – with distinction  
Senior I ModelingeKommunikasie– with honourable mention  
Advanced I ModelingeKommunikasie– with honourable mention  
Trophy – Best Overall Performance 2007

## **7. ACADEMIC**

### **Short courses**

Basic Emergency Care Level I and II (University of Pretoria, 2009)

Disability Sport and the Classification system explained: Yvette Sunshine and Tarina van der Stockt

Relaxation therapy: Lt B.S. Mhleka

Pilatus: Lt C Berry

Personality types: Ms M Pienaar

## **8. COMMUNITY SERVICE**

### **8.1 Disabled Sport North Gauteng Junior Classifier**

Classifying disabled athletes based on their level of ability in order to compete in appropriate categories.

### **8.2 UP with Science (2004-2007)**

Design and build an exhibit for Sci-Enza at the University of Pretoria.

### **8.3 NationalZoological Garden of South Africa (2008 – present)**

Presented workshops for Zoo Club members on research models and presented environmental education courses.

### **8.4 SOAP kidz**

Comity member and event organizer. As non-profit organisation, SOAP kidz aim to create environmental awareness and promote nature conservation amongst underprivileged and abused children.

### **8.5 Community health presentations**

Multiple presentations about posture, breast cancer, disabilities and general health to communities, SAPD and 1 MilitaryHospital staff

## **9. PROFESSIONLA MEMBERSHIP**

Health Professional Council of South Africa: PT 0112518  
South African Society of Physiotherapy

## **10. WORK EXPERIENCE**

2010- 2011

Tuks First Rugby Team, Varsity Team  
Sports Masseur  
Christien van den Berg - 0834580337

2010- 2011

Vertical Horison  
Co-founder and race organiser  
[www.verticalhorison.co.za](http://www.verticalhorison.co.za)

Francois Jooste – 084 584 44663

- 2010  
Block 1- Orthopaedics, SteveBikoHospital  
Block 2- Pulmonology, SteveBikoHospital  
Block 3- Spinal, 1 Military Hospital  
Block 4- Out Patients Department, KalafongHospital  
Block 5- Paediatrics, SteveBikoHospital  
Block 6- Geriatrics, OnsTuis
- 2011  
Block 1- Community, Eersterust  
Block 2- Out Patients Department, 1 Military Hospital  
Block 3 –Sport, High Performance Centre  
Bloc4 - Neurological Rehabilitation, Little Company of MaryHospital  
Block 5- Intensive Care Unit, 1 Military Hospital  
Block 6- Cerebral Palsy, paediatric. PretoriaSchool
- June 2011  
Disabled Tennis World Cup  
Physiotherapist  
Christien van den Berg - 0834580337
- 2012  
1MilitaryHospital, South African Military Health Services, Department of Defence  
Community Service Physiotherapist  
Spinal and neurological rehabilitation, ICU and paediatric ICU, internal medicine.surgical, psychology and paediatric wards and out patients department.
- 2013- Present  
Dawood andDe Jager Physiotherapy  
Physiotherapist and owner  
074 699 9373
- Eugene Marais Life Hospital  
Cardio and thoracic ICU  
Physiotherapist  
Cornette Smith – 082 774 6834

## **11. NEXT OF KIN**

Prof. Tiaan de Jager  
Tel: +27 (0)12 354 2226  
Fax: +27 (0)86 518 9932  
E-mail: tiaan.dejager@up.ac.za  
Street address: 24A 4th Street  
Menlo Park  
0081

## **12. REFERENCES:**

1. Lt Col T le Roux  
Head of Department of Physiotherapy  
1 Military Hospital  
SAMHS  
  
Cell: 082 646 3373
2. Kris Jooste  
Manager and owner  
Vertical Horison



e-mail: [kris@verticalhorison.co.za](mailto:kris@verticalhorison.co.za)

3. Dr Carina Eksteen  
Senior Lecturer  
Department of Physiotherapy  
University of Pretoria

e-mail: [carina.eksteen@up.ac.za](mailto:carina.eksteen@up.ac.za)

CURRICULUM VITAE

**RookayaKadwa**

**Novice Rater 2**

# Curriculum Vitae

## RookayaKadwa

**Address:** 292 2<sup>nd</sup> Avenue  
Laudium  
Pretoria  
0037

**Contact no.**  
**Cell:** 071 864 6942  
**Home:** (012) 374 4786

**D.O.B:** 3<sup>rd</sup> January 1990

**Age:** 23

**Gender:** Female

**Nationality:** South African Indian

**Marital Status:** Married

**Highest Grade Passed:** Grade 12, 2008

**School:** WendywoodHigh School, Wendywood

**Subjects:**

|                              |     |
|------------------------------|-----|
| English home language        | 81% |
| Afrikaans First Add Language | 66% |
| Mathematics                  | 87% |
| Life Orientation             | 78% |
| Accounting                   | 73% |
| Life Sciences                | 88% |
| Physical Sciences            | 73% |

**Tertiary Qualification:** Bachelor of Science in Physiotherapy (2012)

**Institution:** University of Witwatersrand (2012)

### Skills and Abilities:

- Computer literate - Microsoft Word, Excel, and PowerPoint
- Speak, read and write fluent English
- Fair Command of Afrikaans
- Good people skills
- Friendly, neat and responsible
- Great with children of all ages

### General Achievements:

- Level 1 First Aid certificate
- Successfully completed the "LEUKO Sports/Clinical trapping Course" (27<sup>th</sup> January 2012)
- Obtained Clinical Solutions "Dry Needling: The Lumbar Spine, SIJ, and Hip" CPD accredited course (16<sup>th</sup> March 2013)
- Attended the CP basic management workshop, Chris Hani Baragwanath Academic Hospital (16-17 April 2013)
- Attended "Basic counselling for Therapist in Rehabilitation" CPD course (17<sup>th</sup> July 2013)

### Personal Achievements:

- Elected as prefect in school for 2007/08
- Gold Honours Award for Academic excellence in High School (2007 and 2008)
- Winner of the Hatzkilson Metric Award (2008) – Recognizes pupils who display wholehearted commitment and made an outstanding contribution throughout his/her high school career.
- Certificate of Excellence for "Most Outstanding 4<sup>th</sup> year Research Poster" in the department of Physiotherapy (2012)

### Experience:

- **Dawood and DeJager physiotherapy** (January 2014 – Present)  
Full time Locum at Louis Pasteur Hospital. Responsible for treating In and Out Patients on a daily basis Monday – Friday.
- **Tshwane District Hospital** (January 2013 – December 2013)  
Served community service hours for a 12 month period at the hospital from Monday- Friday. Treated In patients with conditions varying in all aspects of physiotherapy except in the ICU setting as there was no High Care wards available. I also treated various Out patient conditions, as well planning and assisting in educational and

health promotional activities. I also assisted with fourth year physiotherapy student supervision and tutorials.

- **Look and Listen, Woodmead branch** (December 2010 – March 2011)  
Sales assistant during the December period as well as weekends thereafter until March 2011
- **Kelvin village pharmacy** (February 2009 – March 2009)  
Assisted with stock taking, merchandising and sales on weekends
- **ToyZone, Woodmead Shopping Center**  
Sales assistant during December, 2007  
Cashier's assistant and also aided in labeling and Merchandising of stock
- **Reebok Outlet Store, Woodmead Center**  
Sales personal during December 2006  
and on weekends thereafter until July 2007  
Aided in packing, labeling, stock taking  
and assisted to the customer's needs

#### **Volunteer work:**

- **Islamic Medical Association South Africa** (2011 – current)  
Volunteer on a regular bases at Health Screening Awareness Programmes in various locations around Gauteng in disadvantaged communities to offer free basic health care screening and treatment. I assist with calculating BMI, Blood pressure measurements, Glucose and HIV testing. I also assist with basic physiotherapy screening and treatment sessions as well providing education and relevant advice regarding client's conditions.
- **Muslim Students association** (2009 – 2012)  
I was an active member since 2009 at university. Involved in planning events centered around the promotion of Islam, educating fellow students and supporting the Freedom for Palestine. I also aiding the fundraising projects to provide basic food and hygiene hampers to the disadvantaged communities in and around Gauteng four years in a row.
- **Helping Hands Organization** (2007 – 2009)  
Volunteered and assisted with the feeding a patient scheme at Johannesburg General Hospital on Tuesdays and Thursdays since 2007

## **References:**

### **Muhammad Dawood**

Partner in DawoodandDeJager Physiotherapy

Cell: 072 118 2565

### **Susan De Waal**

Chief Physiotherapist, Tshwane District Hospital

Tel: (012) 354 5660

Cell: 082 577 5077

### **Lee**

Store manager: Look and Listen, Woodmead 2011

Cell: 0842472250

### **Ingrid**

Pharmacist and owner: Kelvin Village Pharmacy, 2010

Tel: 011 8022601

### **RashidaEssop**

Member of BaitunKhair- Helping Hands Organization

Tel: (011) 444-5431

### **Khashiefa Martin**

Regional Manager – IMASA Gauteng Branch

Tel: (011) 837 6717

Mobile: 084 701 3208

## **IN CLOSING**

I hereby acknowledge that all the information supplied in my Curriculum Vitae is accurate and truthful. Any further information and proof of documentation is available on request.

APPENDIX K – Language editor certificate

### **To whom it may concern**

This is to verify that I, H. E. C. Tesner, did the language editing of the master's thesis of Mr Muhammad Dawood. Before I retired, I lectured for 44 years in the subject Speech Science and Linguistics in the Department of Communication Pathology at the University of Pretoria. I retired at the end of 2009 and since then I am working as a freelance language editor.

I edit post-graduate theses and publications and several articles edited by me have been published in local and international scientific journals.

Regards,

Herman E. C. Tesner

E-mail address: [htesner@mweb.co.za](mailto:htesner@mweb.co.za)



## Acknowledgements

The author would like to express his gratitude to the following people;

Professor A.J Van Rooijen, for the supervision, immense support and assistance.

A.M Marais for the insight into the subject specific methodology.

Professor Piet Becker for the statistical analysis.

M. Maritz and fellow University of Pretoria students for the assistance and participation in the study.

E. Korkie, M. Cochrane, I De Jager and R. Kadwa for playing the role of researchers in this study.