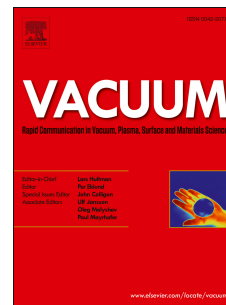


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Characterization of Sequential Physical Vapor Deposited Methylammonium Lead Tri-Iodide Perovskite Thin Films

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Abstract

Methylammonium lead tri-iodide perovskite (MAPbI₃) thin film for solar cells, **chemical formula** CH₃NH₃PbI₃, is synthesized in situ via sequential thermal vapor deposition of lead (II)iodide (PbI₂) and methylammonium iodide (MAI) single layers. The structural, morphological, optical, and electrical attributes are highly dependent on annealing time and MAI thickness. X-ray diffractograms confirmed the tetragonal crystal structure of MAPbI₃ with I4/mcm space group, good crystallinity which increases with the thickness of MAI and transformation of PbI₂-deficient MAPbI₃ to PbI₂-rich MAPbI₃ upon increasing annealing time. UV-Vis optical spectra reveal a redshift in the onset of absorption from 750 to 780 nm as the MAI thickness increases and a slight blueshift as the annealing time increases. Field emission scanning electron microscopy micrographs show densely packed polycrystalline grains with negligible pinholes and full coverage. The current density-voltage (*J-V*) characteristics under illumination reveals that the photogenerated current decreases with an increase in annealing time. Space charge limited current analysis of dark current *J-V* curves shows that PbI₂-deficient MAPbI₃ has higher mobility than PbI₂-rich MAPbI₃ and trap density increases with annealing time.

Keywords: Methylammonium lead tri-iodide, sequential physical vapor deposition, thickness assessment, annealing time, perovskite solar cell.

1 Introduction

The fastest emerging third-generation solar cell technology is based on halide perovskites (HPs). They have desirable optoelectronic properties and versatile low-cost preparation options. Their outstanding

29 characteristics including extensive diffusion length [1], large carrier mobility [2], self-doping [3], low defect
30 density [4], low phonon energy [5], ambipolar charge transport [6], broad absorption spectra [6], and tunable
31 bandgap [7] are the driving forces behind the swift rise in performance of perovskite solar cells (PSCs). Just
32 over a decade of intensive research, the power conversion efficiency (PCE) of PSCs has improved from 3.8
33 [8] to 24.2 % [9], which exceeds values for commercial multi-crystalline silicon (22.3 %) and copper indium
34 gallium selenide (22.9 %) solar cells [10]. In addition to the upsurge in PCE, PSCs have flexible preparation
35 methods that are fast and economical. These synthesis techniques comprise doctor blade [11], spin coating
36 [12–14], physical vapour deposition [15], spray coating [16], inkjet printing [17], meniscus printing [18],
37 pulsed laser deposition [19], atomic layer deposition [20], meniscus-assisted solution printing [21] and dip
38 coating [22]. Most solution-based methods are lab-scale, not suitable to implement in the fabrication of multi-
39 junction tandem solar cells and produce low-quality films [23]. Physical vapour deposition (PVD), widely
40 used in industry for thin film deposition is scalable, environmentally friendly and results in high-quality films
41 [23]. Despite the surge in performance and low-cost processing methods, inherent instability, reproducibility,
42 and scalability are major hindrances towards the commercialization of PSC technology. Factors affecting the
43 stability of PSCs include moisture [24], high temperatures [25], UV-radiation [26], crystalline structure and
44 stoichiometry [27]. These factors limit the lifetime of the devices to 3000 hours, which is very short
45 compared to the lifespan of commercial silicon photovoltaics (25 years) [28]. The fundamental strategies
46 being employed to improve the stability of perovskites solar cells include developing resilient halide
47 perovskite and protecting the vulnerable absorbers with coatings [29]. Efforts to improve the stability of
48 perovskites absorber are geared towards improving film quality [30] and developing stable stoichiometry [31].
49 Film quality can be improved by reducing grain boundaries (growing large grains), minimizing micro-strain
50 density and producing densely packed grains to minimize leakage currents. Yang and co-workers [32]
51 improved stability by passivating and minimizing grain boundaries. Zhao and co-workers [33] showed that the
52 rate of degradation can be decreased by reducing residual strain. Likewise, Zhang and co-workers [30]
53 showed that high quality films with large densely packed grains result in stable and high-performance PSCs.

54 Methylammonium lead tri-iodide (MAPbI₃), chemical formula CH₃NH₃PbI₃, is the best efficient and
55 extensively investigated HP for solar cells. However, its preparation by PVD, which may improve its stability

56 and scalability, has rarely been exploited. Bonomi and co-workers [15] used RF-magnetron sputtering to
57 prepare MAPbI₃ from single source containing methylammonium iodide (MAI)/lead(II)iodide (PbI₂). They
58 achieved high purity films with full coverage within a large thickness range less than 200 nm to greater than 3
59 μm. Momblona and co-workers [34] synthesized MAPbI₃ films by co-evaporation PbI₂ and MAI from two
60 separate crucibles. They obtained a homogenous morphology of smooth thin films resulting in PCEs of 15 %.
61 The co-evaporation and single source approaches involve checking that rate of deposition. However,
62 reproducibility is a problem since the deposition rates of powdered organic precursors are difficult to monitor
63 because they are unsteady. The fluctuating rates are as a result of the small molecular weights of the
64 precursors leading to random diffusion of the vapor molecules inside the enclosure [35]. Also, the rate of
65 deposition may differ for various MAI precursors due to the presence of variable concentrations of
66 methylammonium dihydrogen phosphide (MAH₂PO₃) and methylammonium hypophosphite (MAH₂PO₂)
67 impurities [36]. On the contrary, the sequential physical vapor deposition (SPVD) approach is thickness
68 regulated. Thickness monitoring using a quartz crystal monitor is more reproducible than the rate of
69 deposition. One of the pioneering studies on SPVD involved the preparation of cesium lead tri-iodide (CsPbI₃)
70 by alternate layer-by-layer deposition of CsI and PbI₂ [37]. This approach, though reproducible, is time-
71 consuming as time is wasted during to alternate between several layers. There are a few reports on the growth
72 of MAPbI₃ by SPVD. Miguel and co-workers [38] prepared MAPbI₃ using a system that automatically
73 controls the source temperature and rate of deposition, and achieved phase pure films upon annealing at
74 140°C. The method still involves rate monitoring, which fluctuates for MAI and PbI₂ [35], hence difficult to
75 reproduce. Patel and co-workers [39] slowed down the formation of MAPbI₃ during the SPVD of MAI on
76 PbI₂ by lowering the substrate temperature to 0°C, which prevented inter-diffusion. By in-situ monitoring the
77 absorption spectrum during the deposition process, they saw that nascent MAPbI₃ was formed as the substrate
78 temperature was gradually increased due to the intermixing of MAI and PbI₂. However, ambient air was
79 needed for the complete crystallization of MAPbI₃ and removal of excess MAI. Also, it is not clear what
80 amounts (thicknesses) of MAI and PbI₂ where used in their experiment.

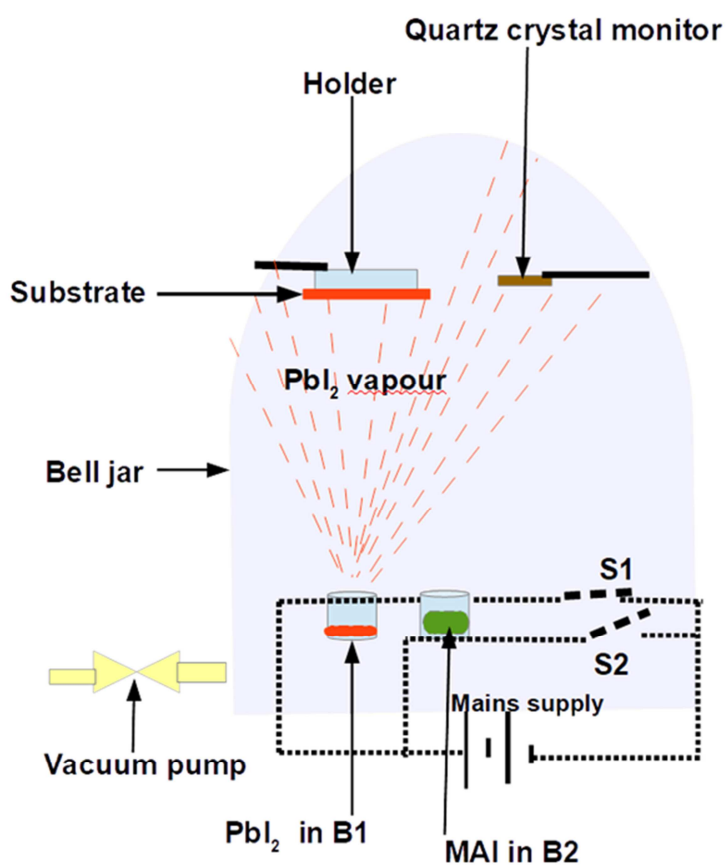
81 In this study, we prepared 3D MAPbI₃ thin films by SPVD of single layers of PbI₂ and MAI and optimized
82 their structural, optical, morphological and electrical by varying the thickness of MAI and post-annealing

83 time. FTO/MAPbI₃/Au devices were fabricated and their *J-V* characteristics under dark measured. The
84 characteristics were used to calculate the carrier mobility and trap density by the space charge limited current
85 (SCLC) theory. This method is simplified, scalable, reproducible and paves the way for the preparation of
86 stable thin MAPbI₃ films for solar cells.

87 2 Experimental details

88 Fig. 1 illustrates the set up for the preparation of MAPbI₃ thin films by SPVD of PbI₂ and MAI single
89 layers using a resistive thermal evaporator. Microscopic glass substrates were trimmed into 15 x 20 mm and
90 cleaned in acetone, isopropanol and deionized water respectively using an ultrasonic bath for 10 mins, to
91 remove oils grease, and particle contaminations. Thereafter, they were dried by blowing with nitrogen gas
92 remove moisture. PbI₂ (99.9 %) and MAI (0.42 M in 2-propanol) were obtained from Sigma Aldrich. The PbI₂
93 was used as received whereas the MAI solution was evaporated using a rotary evaporator to obtain a powder.
94 Before deposition, the chamber was cleaned using a solution of extran diluted with deionized water (volume
95 ratio 1:4) and high-pressure cleaner, to remove the effects of residual contaminants. The substrates were
96 seated on to a holder and installed in the chamber. PbI₂ and MAI powder were inserted into separate
97 cylindrical boron nitride boats, B₁ and B₂. The chamber was evacuated to a vacuum pressure of 2.0×10^{-5}
98 mbar. The sequential deposition of the precursors was controlled by the switches, S1 and S2. The PbI₂ film
99 was first deposited while switch S1 was closed and S2 opened, next MAI film was deposited while S2 and S1
100 are potentially interchanged, forming a layer of MAI on PbI₂. The thickness of PbI₂ was fixed at 100 nm
101 while that of MAI was varied from 300 to 500 nm in steps of 100 nm. An inbuilt quartz crystal monitor,
102 placed at the same level as the substrate inside the chamber, was used to monitor the thickness of thin film
103 during the deposition process. The crystal monitor was calibrated for PbI₂ thickness measurement by setting
104 the density to 6.16 g cm^{-3} and Z-factor to 1.10. Also, MAI film thickness was monitored by setting the density
105 to 1.20 g cm^{-3} and Z-factor to 2.70. The crystallization of MAPbI₃ was accomplished by annealing the
106 compound film at 100°C for 10 mins in an air-heated oven. The films grown using PbI₂ (99.9 %) and MAI
107 (0.42 M in 2-propanol) are called SPL1. Finally, the experiment was repeated using PbI₂ (99.9 %) and MAI
108 (98%) with MAI thickness maintained at 500 nm while annealing time was varied from 0 to 60 mins. The
109 samples formed from PbI₂ (99.9 %) and MAI (98%) are called SPL2.

110 The XRD spectra of the thin films were measured by a Bruker D2-Phaser X-ray diffractometer using Cu
111 $K\alpha$ radiation with a wavelength of 0.15405 nm. The angle between the incident and diffracted rays, 2θ , was
112 varied from 10 to 50° in steps of 0.05. The measured spectra were used to determine the structure,
113 crystallinity, crystallite size, micro-strain, and dislocation density of the films. The morphological properties
114 were revealed by field emission scanning electron microscope (FE-SEM Zeiss Crossbeam 540), with an
115 accelerating voltage of 2.0 kV. Grain size analyses were performed from the FE-SEM images following the
116 American Standard for Testing Materials (ASTM) using the Image J software. The optical absorption spectra
117 of the films were measured by CARY 100 BIO UV-Vis spectrometer with the wavelength of incident light in
118 the 400-800 nm range. J - V measurements under illumination were performed using a Model 91150V Solar
119 Simulator with solar output conditions of 100 mW cm^{-2} at 25°C and Air-Mass 1.5 Global (AM 1.5 G)
120 reference spectrum.



136

137 **Fig. 1.** Set up for the preparation of MAPbI₃ thin films by SPVD of PbI₂ and MAI single layers, adapted from
138 Fru and co-workers [40].

139 **3 Results and discussion**

140 **3.1 Structural properties**

141 **3.1.1 Structural properties of MAPbI₃ for various MAI thickness**

142 Fig. 2 (a) displays the diffractograms of the synthesized SPL1 thin films for peculiar thicknesses of MAI.
143 The patterns show fine peaks saying good crystallinity and the crystal structure was then indexed to the
144 tetragonal crystal system of MAPbI₃, with I4/mcm space group [14,41–43]. Notably, Frohna and co-workers
145 [44] demonstrated from first principle calculations that tetragonal MAPbI₃ is centrosymmetric at room
146 temperature with I4/mcm space group. The intensity of the extra (001) diffraction peak is indexed to the PbI₂
147 residue according to JCPDS card number 07-0235. Additionally, it is seen to decrease with increase in the
148 thickness of MAI. This shows that the phase-purity of MAPbI₃ increases as MAI thickness is increased. Fig.
149 S1 (supporting information) has spectra for 50 nm PbI₂:350 nm MAI and 300 PbI₂:100 nm MAI. Particularly,
150 the characteristic (110) and (220) peaks showing the formation MAPbI₃ were absent. However, when the
151 thickness of PbI₂ is kept at 100 nm and that of MAI increased from 300 to 500 nm, the peaks for MAPbI₃
152 appeared. The intensities of (110) and (220) diffraction planes increase with MAI thickness as shown in Fig. 2
153 (a), indicating an increase in crystallinity of MAPbI₃. This is because increasing the thickness of MAI may
154 increase the amount of carbon, hydrogen and iodine atoms that are needed at Wyckoff positions in the crystal
155 lattice, bringing about high structure factor. The increase in peak intensity may also arise from a rise in the
156 number of reflection planes, which increases the multiplicity factor and hence the intensity. This is because
157 each added layer of atoms contributes to the resultant intensity of the diffraction peaks because of coherent
158 scattering. It is worth noting that the thickness ratio of PbI₂ to MAI that gave SPL1 is different from that
159 obtained by Miguel and co-workers [38] using an automated SPVD method. The difference may come from
160 the deposition parameters and conditions used.

161 The crystallite size and micro-strain were calculated from the Williamson-Hall (W-H) plot. The W-H plot
 162 is applied when the simultaneous contributions of crystallite size and micro-strain to X-ray line broadening is
 163 required [45]. The W-H relation is given by Equation 1,

$$\beta \cos \theta = \frac{K \lambda}{D} + 4 \varepsilon \sin \theta \quad 1$$

164 where D is the crystallite, β is the full width at half maximum, λ is the wavelength, θ is the Bragg's
 165 diffraction angle, ε is the micro-strain, K is the Scherrer constant which is determined by the crystallite shape
 166 and is considered as 0.94 for spherical crystallites with cubic symmetry. Fig. S2a shows the W-H plot for
 167 various MAI thicknesses. The micro-strains are obtained from the slopes while the crystallite sizes are
 168 calculated from the intercepts. The slopes are negative and decrease in magnitude as the thickness of MAI is
 169 increased, which indicates that the strains are compressive and decrease with MAI thickness as shown in Fig.
 170 2 (c). Zhao and co-workers [33] showed that decrease in the micro-strain enhance hole extraction at the
 171 perovskites/hole transport layer interface by flattening the valence band. Furthermore, they pointed out that
 172 carrier mobility increases when micro-strains are removed. Jones and co-workers [46] showed that micro-
 173 strain promotes defect concentration in MAPbI₃ films and enhances non-radiative recombination. Therefore,
 174 the decrease in micro-strain is expected to reduce defect density and non-radiative recombination. The
 175 calculated average crystallite sizes increase with the thickness of MAI as shown in Fig. 2 (b), and the increase
 176 may be due to a decrease in micro-strain which is expected to cause a decrease in band gap.

177 The lattice constants are computed using Bragg's law (Equation 2) and the relationship between the
 178 interplanar spacing, d , and the Miller indices of a tetragonal crystal structure is given by Equation 3,

$$n\lambda = 2d \sin \theta \quad 2$$

179 where $n = 1$.

$$\frac{1}{d^2} = \frac{h^2 + k^2}{a^2} + \frac{l^2}{c^2} \quad 3$$

180 where a and c are the lattice constants and (hkl) are the miller indices. A least-square fitting procedure is used
 181 to extract the lattice constants of the MAPbI₃ for various MAI thicknesses and presented in Table 1. The
 182 results show that the unit cells increase continuously on the c -axis but contracts and then elongates as the
 183 thickness of MAI is increased from 300 to 500 nm. For the PbI₂-rich MAPbI₃ thin film having 100 nm PbI₂
 184 and 300 nm MAI, the lattice constants are $a = 8.881$ and $c = 12.479$. Increasing the thickness of MAI from
 185 300 to 400 nm causes the tetragonal structure to contract on the a -axis and elongates on the c -axis. As the
 186 thickness of MAI is increased beyond 400 nm, the unit cell elongates along both axes. The change in unit
 187 volume as thickness is increased correlates with changes in the micro-strain which includes the peaks of PbI₂
 188 shown in Fig. S3. The decrease in unit cell volume as the thickness of MAI is increased from 400 to 500 nm
 189 can be due to change of the micro-strain shown in Fig. S3 from tensile to compressive. The increase in
 190 volume as thickness is increased from 400 to 500 nm may be due to reduces in the size of the compressive
 191 micro-strain.

192

193 Table 1 Variation of lattice constants and unit cell volume of the SPL1 thin film with thickness of MAI.

Thickness of MAI (nm)	Lattice constant, a , (Å)	Lattice constant, b (Å)	Lattice constant, c (Å)	Unit cell volume, V (Å ³)
300	8.881	8.881	12.479	984.246
400	8.860	8.860	12.508	981.873
500	8.902	8.902	12.542	993.898

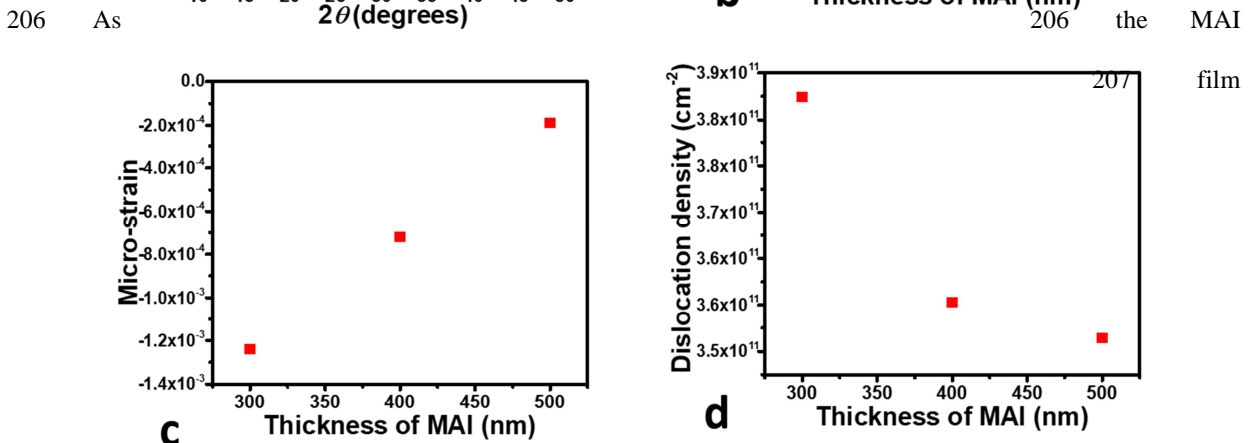
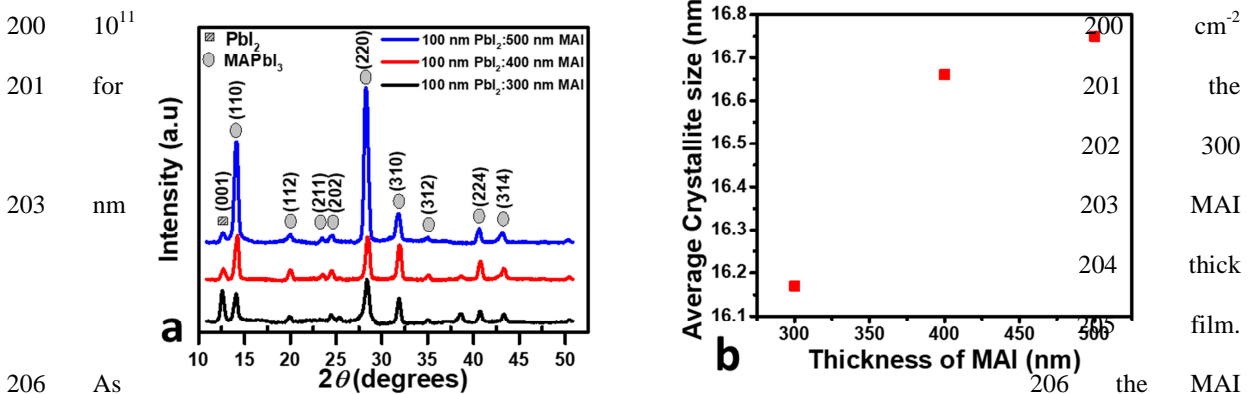
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195 The dislocation density ρ , representing imperfections in the crystal, was calculated using the Williamson
 196 and Smallman formula given by Equation 4 [47],

$$\rho = \frac{n}{D^2}$$

4

197 where D is the crystallite size and $n = 1$ for minimum ρ . The dislocation density is seen to decrease
 198 continuously with increase in MAI thickness, when the peaks corresponding to PbI_2 are excluded in the
 199 calculation, as shown in Fig. 2 (d). Including the PbI_2 peaks reduces the ρ from $3.825 \times 10^{11} \text{ cm}^{-2}$ to $2.947 \times$



208 thickness is increased, there is a first increase in ρ and a later decrease. PbI_2 -rich films have lower dislocation
 209 densities than PbI_2 -deficient (MAPbI_3 -pure) films as shown in Figure S3. Dislocations have been shown to
 210 reduce the performance of perovskites solar cells. Haque and co-workers [48] calculated the ρ of solution-
 211 processed caesium lead tri-iodide (CsPbI_3) solar cells and observed that cells with lowest ρ had the best PCE.
 212 Furthermore, the presence of defects generally lead to decrease in performance for HPs solar cells [49].
 213 Therefore, the film having 500 nm thick MAI, with lowest ρ , may result in high performing solar cells.

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225 **Fig. 2.** (a) Diffractograms of the SPL1 thin films for peculiar thicknesses of MAI; (b) Micro-strain of the
226 SPL1 thin film against MAI thickness; (c) Crystallite size of the SPL1 thin film against MAI thickness; (d)
227 Dislocation density of SPL1 thin film against MAI thickness.

228 **3.1.2 Structural properties of MAPbI₃ thin films for various annealing times**

229 Fig. 3 shows the structural analysis of SPL2 thin films for various annealing times. The
230 diffractograms show sharp peaks indicating good crystallinity and a strong dependence on annealing time as
231 shown in Fig. 3 (a). In comparison, the crystal structures are tetragonal with I4/mcm space, like the results for
232 SPL1 thin films, vide infra. The spectrum of the as deposited film reveals a pure tetragonal MAPbI₃ phase,
233 showing that crystallization of the SPL2 thin film starts during the deposition. This could be possible because
234 the temperature of the substrate (95°C) was within the range of annealing temperatures for MAPbI₃, thus MAI
235 could diffuse into the voids in the PbI₆ octahedra framework and recrystallize to form MAPbI₃. The annealing
236 temperature for the crystallization of MAPbI₃ is within a 90–105°C range [50]. It is known that inter-diffusion
237 of MAI and PbI₂ during vapor deposition is determined by the substrate temperature. Patel and co-workers
238 [39] showed that inter-diffusion and reaction during vapor deposition can be prevented by lowering the
239 temperature of the substrate to 0°C before the deposition of MAI on the PbI₂. They added that PbI₂ and MAI

240 are transformed to MAPbI_3 under vacuum at room temperature, but the reaction is incomplete as MAI residue
241 stays in the film. The MAI residue is completely converted to MAPbI_3 when the film is exposed to humid air
242 at 21°C , because moisture exposure makes the MAI more mobile. Also, they saw that longer annealing times
243 improved the crystallinity and absorbance continuously. During the first 20 mins of annealing our films, no
244 noticeable change in the phase purity is seen on the diffractograms. However, after 40 mins, a very intense
245 PbI_2 peak is seen, showing that annealing for a long time causes a transformation MAPbI_3 to PbI_2 , consistent
246 with previous results [51]. As annealing continues from 40 to 60 mins, the intensity of the PbI_2 peak decreases
247 as the time, showing a possible retransformation of PbI_2 to MAPbI_3 . The formation of PbI_2 during annealing
248 has also been seen by other authors. Chen and co-workers [51] showed that increasing the annealing time
249 beyond that needed to just complete the formation of MAPbI_3 leads to the release of PbI_2 , which passivates
250 the grain boundaries, thus improving electrical properties and performance. Park and co-workers [52]
251 demonstrated that the formation of PbI_2 residues occurs during the annealing step of the reaction rather than at
252 the initial stages.

253 Fig. 3 (b) shows how the average crystallite size of SPL2 thin films vary with annealing time. The
254 crystallite size decreases slightly during the first 20 mins, then increase greatly after 40 mins before
255 decreasing to a value close to that seen after 20 mins. In comparison, the change in crystallite size follows the
256 same trend as the changing intensity of the PbI_2 peak. Thus, the fluctuations of the average crystallite size
257 with increase in annealing time may be due to the reversible phase transformations occurring in the sample.
258 Interestingly, we realized that the crystallite size is highest after 40 mins, when the PbI_2 peak is most intense.
259 Therefore, we think that the presence of PbI_2 residue in MAPbI_3 thin films increases the average crystallite
260 size.

261 Fig. 3 (c) shows how the micro-strain of SPL2 thin films vary with annealing time. The as deposited
262 films show a large negative (compressive) micro-strain that decreased (relaxes) slightly as time is increased
263 from 0 to 20 mins. Beyond 40 mins, the micro-strain changes again from tensile to compressive. The
264 dependence also shows a strong correlation with the changing intensity of the PbI_2 peaks. The tensile nature
265 of the micro-strain may have caused the large crystallite size in the presence of a large proportion of PbI_2
266 from the transformation of MAPbI_3 .

267 Fig. 3 (d) shows that the dislocation density increases slightly during the first 20 mins of post-
 268 annealing, then decreases drastically between 20 and 40 mins before increasing again. The fluctuations in
 269 dislocation is also due to the continuous transformation between PbI_2 and MAPbI_3 phases as the annealing
 270 time is increased. In particular, the smallest dislocation density is seen after 40 mins of annealing when the
 271 (100) peak corresponding to PbI_2 is most intense. This points out that the dislocation density is lower for the
 272 PbI_2 -rich MAPbI_3 films than for the PbI_2 deficient films. The presence of unreacted PbI_2 in MAPbI_3 thin film
 273 has previously been shown to have both positive and negative effects on the performance of solar cells.
 274 Jacobsson and co-workers [53] showed that PbI_2 -deficient MAPbI_3 thin films have high crystalline quality and
 275 result in solar cells with high V_{oc} , however, their PCE is low due to MAI at grain boundaries which offer
 276 barriers to charge transport. Conversely, the solar cells having PbI_2 -rich films showed the highest
 277 performance. Kwon and co-workers [54], on the other hand, demonstrated that pure MAPbI_3 (without PbI_2
 278 residue) has high photon absorption and long carrier lifetimes which leads to more photogenerated current and
 279 high PCE.

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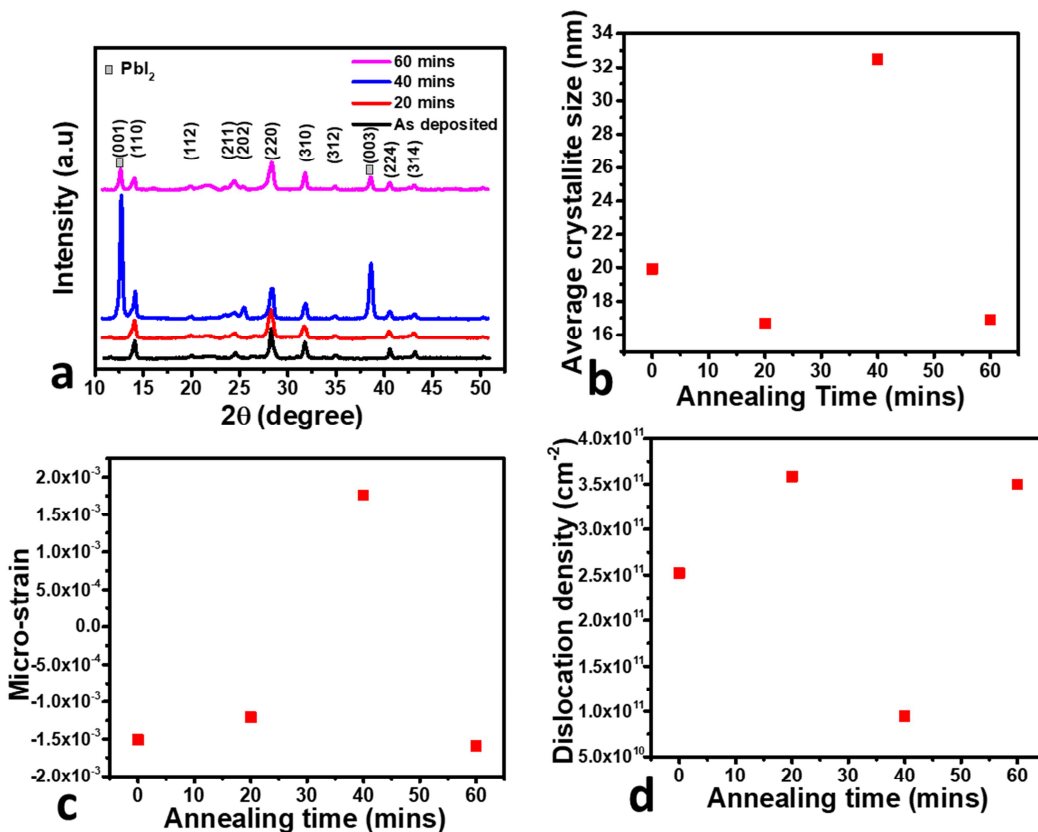
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286 Fig.
287 3.

288 Structural analysis of SPL2 thin film having 400 nm thick MAI for various annealing times; (a) XRD
 289 diffractograms of SPL2 thin film against annealing times; (b) Average crystallite size of SPL2 thin films
 290 against annealing time; (c) Micro-strain of SPL2 thin film against annealing time; (d) Dislocation density of
 291 SPL2 thin films against annealing time.

292 Table 2 shows the lattice constants, unit cell volumes and grain sizes, depending on the annealing
 293 time, with non-linear relationships. The non-linear change in unit cell volume (V) with annealing time can be
 294 related to the effects of thermal expansion [55] and the phase transformations from MAPbI_3 to PbI_2 , depicted
 295 by Fig. 3 (a), consistent with previous reports by Chen and co-workers [51]. As can be seen in Figure 3a and
 296 Table 2, the as deposited film is deficient of PbI_2 with lattice constants a and c equals 8.905 and 12.526 Å
 297 respectively. The lattice constants increase after 20 mins of annealing, leading to an increase in V of the unit
 298 cell, which may be due to thermal expansion during annealing. However, increasing the annealing time from
 299 20 to 40 mins leads to a decrease in V and the sudden appearance of the very intense PbI_2 peak. This may be
 300 because the effect of phase transformation from MAPbI_3 to PbI_2 dominates that of thermal expansion and
 301 leads to a decrease in the decrease in V . Increasing the time to 60 mins reduces the intensity of the PbI_2 peak
 302 and there is a slight increase in V possibly due to thermal expansion.

303

304 Table 2 Variation of lattice constants, unit cell volume and grain size of SPL2 thin film for various annealing
 305 times.

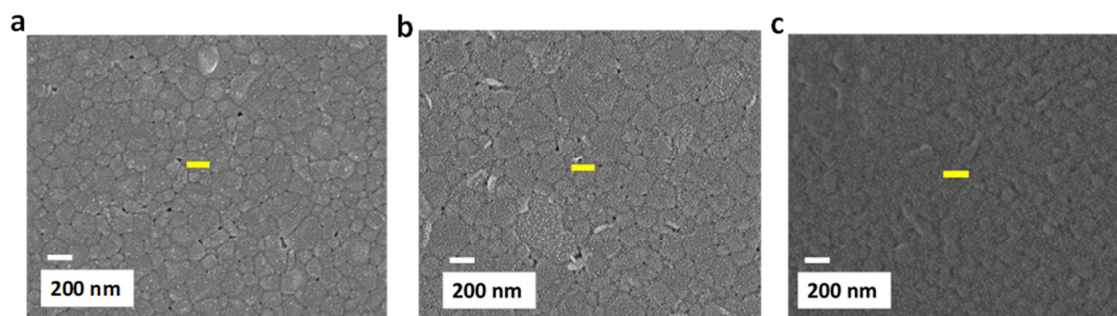
Annealing time (mins)	Lattice constant, a (Å)	Lattice constant, b (Å)	Lattice constant, c (Å)	Unit cell volume, V (Å ³)	Average grain size (nm)
0	8.905	8.905	12.526	993.230	501.56± 36.47
20	8.924	8.924	12.553	999.693	413.07± 57.12
40	8.897	8.897	12.556	993.890	264.31±32.78
60	8.907	8.907	12.549	995.571	206.46±20.85

306

307 3.2 Morphological properties

308 3.2.1 Surface morphology analysis of MAPbI₃ for various MAI thicknesses

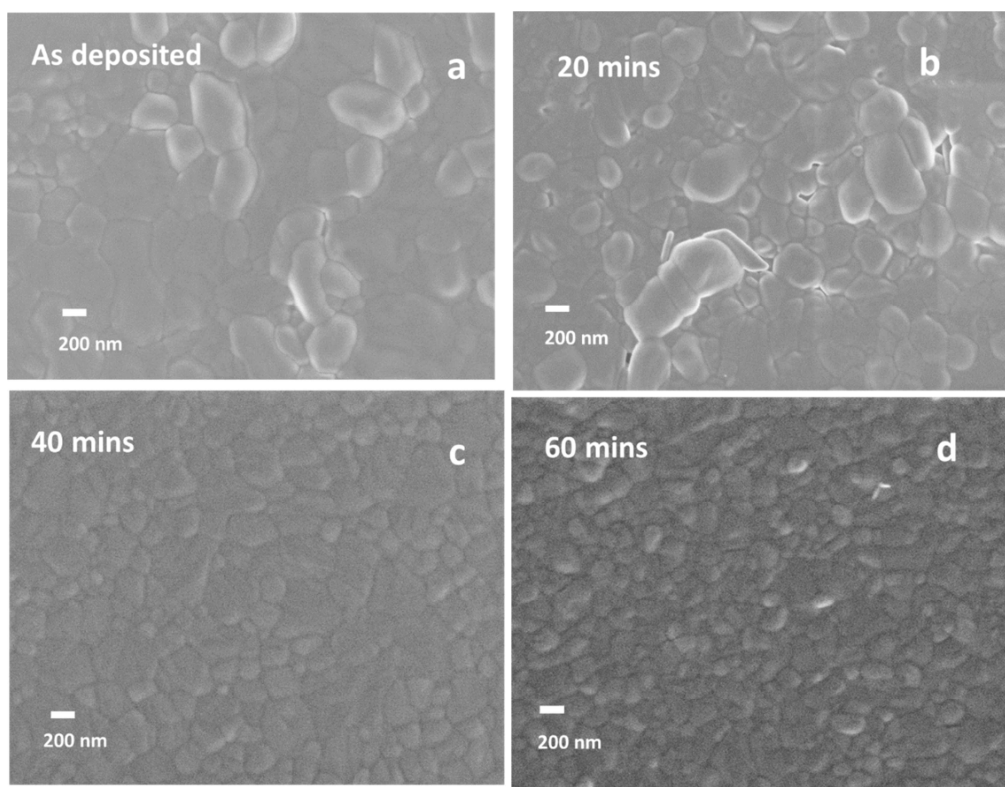
309 Fig. 4 presents the surface morphology of the synthesized SPL1 thin films for various MAI
310 thicknesses. The films shown in Fig. 4 (a, b) were formed by deposition of 300 and 400 nm of MAI,
311 respectively. Densely packed and randomly oriented grains were seen. The dense morphology is good for
312 solar cells since photo-current leakage is presumably be minimized [56]. Furthermore, the grains have
313 variable sizes and orientations. The variable orientations show that films are polycrystalline in nature. In
314 addition, there was full coverage of substrate with negligible pinhole defects which may presumably reduce
315 leakage current, increase open-circuit voltage and fill factor [57]. Likewise, the grain size increased from 150
316 to 180 nm corresponding to increased thickness from 300 to 400 nm of MAI. The grain size was not
317 calculated for the sample with 500 nm MAI thickness films because the grains were not visible as observed in
318 Fig. 4 (c). This could be a result of excess MAI, presumed covering the grains. The results showed that the
319 average grain size is proportional to MAI thickness for all the samples. The increase in grain size will
320 inevitably result in the fewer grain boundaries leading to reduced electron scattering and trap density [58]. Liu
321 and co-workers [59] showed that reduction in trap density by passivating with polyhedral oligomeric
322 silsesquioxane; which contains an amino group, improved device efficiency, open-circuit voltage and
323 stability. We, therefore, deduce that the decrease in grain boundaries will lead to an increase in the stability.
324



325 **Fig. 4.** The FE-SEM images of SPL1 thin film for various thickness of MAI; (a) Having 100 nm PbI₂ and 300
326 nm MAI thickness ratio; (b) Having 100 nm PbI₂ and 400 nm MAI thickness ratio; (c) Having 100 nm PbI₂
327 and 500 nm MAI thickness ratio.

3.2.2 Surface morphology analysis of MAPbI₃ for increasing annealing time

Fig. 5 is the SEM micrographs of SPL2 annealed in air at 100°C for different times. All the films show densely packed randomly oriented pin-hole-free grains. Note that the difference in the average grain sizes of SPL2 (Fig. 5) and SPL1 (Fig. 4) may be based on the difference in purity of the MAI used in the deposition. The MAI powder precursor used for the preparation of the SPL1 is obtained by the evaporation of 2-propanol from 0.42 M solution of MAI while that for SPL2 is of 98 % purity and used as received. The average grain size decreases with an increase in annealing time as shown in Table 2. In particular, the average grain size for the as deposited is two times larger than that of film annealed after 40 mins. According to the XRD spectra, the as deposited film is PbI₂ deficient while the film annealed for 40 mins is rich in PbI₂. The decrease in average grain size maybe because of the transformation from MAPbI₃ to PbI₂. This agrees with Meerholz and co-workers [60] who showed that the effect of excess PbI₂ in precursor solution includes the accumulation of excess crystalline PbI₂ at grain boundaries and the surface, and the reduction in average grain size.



351

352 **Fig. 5.** FE-SEM micrographs of SPL2 thin films for various annealing times; (a) Micrograph of as deposited
 353 sample; (b) Micrograph of SPL2 thin film annealed for 20 mins; (c) Micrograph of SPL2 thin film annealed
 354 for 40 mins; (d) Micrograph of SPL2 thin film annealed for 60 mins.

355 3.3 Optical properties

356 3.3.1 UV-Vis absorption of MAPbI₃ for various MAI thickness

357 Fig. 6 (a) depicts the UV-Vis absorption spectra of SPL1 thin films for peculiar MAI thicknesses. Each
 358 spectrum shows a wide absorption band between 500 and 400 nm wavelengths, which agrees with the
 359 literature [61]. The absorption onset experiences a redshift as MAI thickness increases, which results in a
 360 decrease in direct and indirect bandgaps from 1.66 to 1.60 eV and 1.65 to 1.59 eV respectively, as shown in
 361 Fig. 6 (d). The decrease in bandgap may be due to the increase in crystallite size and tensile strain [62]. M.
 362 Oztas [62] observed that the energy bandgap of indium phosphide (InP) thin film is inversely related to the
 363 grain size and strain. Similarly, Innocenzo and co-workers [63] studied the relationship between morphology
 364 and luminescence properties of HPs and showed that optical bandgap decreased as crystallite size increased
 365 leading to longer carrier lifetimes. Furthermore, narrowing the bandgap is desirable to improve the PCE of
 366 the single-junction perovskite solar cells according to Shockley-Queisser theory [64]. Sha and co-workers
 367 [65] reported that the maximum PCE of 31 % is attained at the Shockley-Queiser optimum bandgap of 1.40
 368 eV for single-junction PSCs. Therefore, better performing solar cells may be achieved when the bandgap
 369 approaches 1.40 eV. Likewise, bandgap reduction reduces the chances of creating deep level traps
 370 (recombination centers) in MAPbI₃ which may decrease carrier lifetimes [66].

371 The bandgap was computed using the Mott and Davis equation. Mott and Davis developed Tauc's idea of
 372 determining the bandgap of semiconductors. They proved that the optical absorption strength was
 373 proportional to the difference between photon energy and bandgap according to Equation 5 [67],

$$(\alpha h\nu)^{\frac{1}{n}} = A(h\nu - E_g)$$

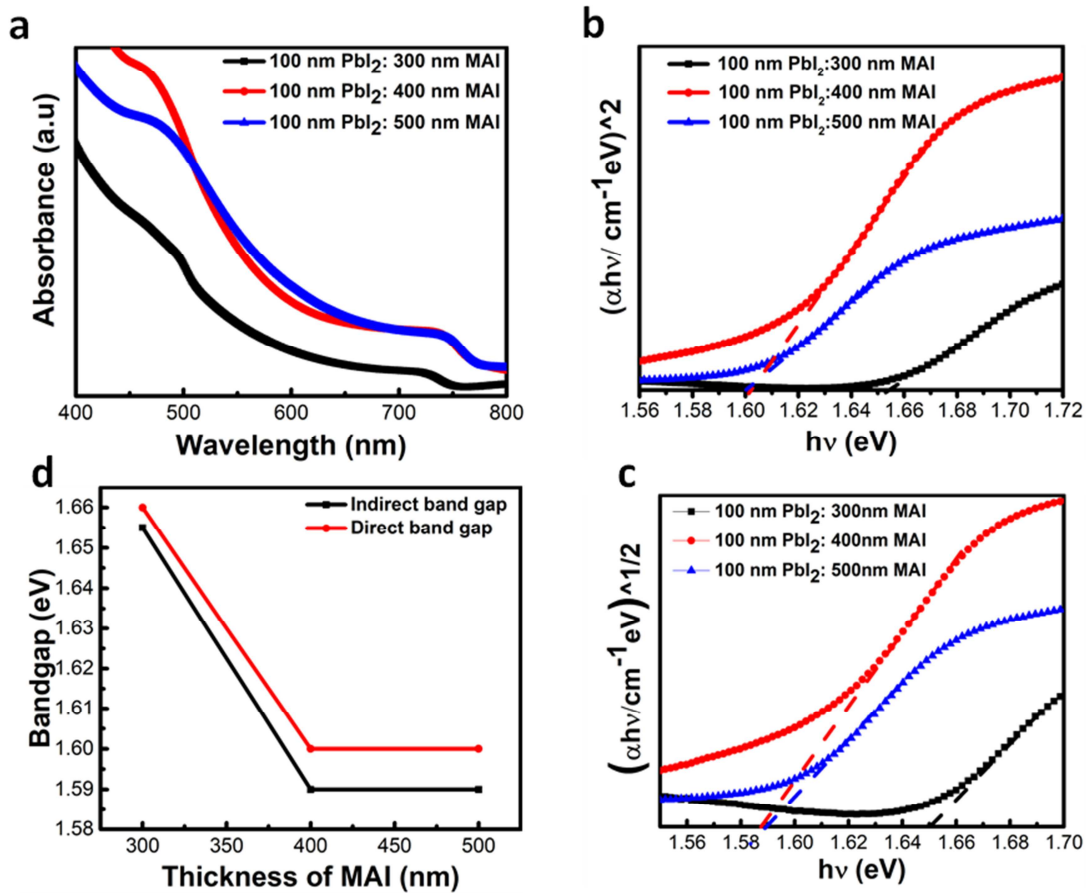
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374 where E_g is bandgap and A is a proportionality constant, α is the absorption coefficient, h is the Planck's
 375 constant, ν is the frequency, n is a numerical constant and its value determines nature of the transition; n

376 equals $1/2$, 2 , $3/2$, and 3 for direct allowed transitions, indirect allowed transitions, direct forbidden
 377 transitions and indirect forbidden transitions respectively. Fig. 6 (b, c) show the direct and indirect bandgaps
 378 from respective Tauc-plots, close to the absorption edge. In both cases, perfect fits are seen, indicating that the
 379 MAPbI₃ naturally exhibits both direct and indirect bandgap character, known as Rashba effect [68]. Etienne
 380 and co-workers [69] proved that the Rashba effect in HPs originates from splitting and shifting of the
 381 conduction band minimum in the k -space due to spin-orbit coupling. Rashba spin-orbit coupling has also
 382 been shown to enhance charge carrier lifetime in HPs [70].

383

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385 **Fig. 6.** (a) UV-Vis absorption spectra of SPL1 thin films for peculiar MAI thicknesses; (b) Direct bandgap
 386 Tauc-plot of SPL1 thin films for peculiar thicknesses; (c) Indirect bandgap Tauc-plot of SPL1 thin films for
 387 peculiar thicknesses; (d) Bandgap of SPL1 thin films against MAI thickness.

388 3.3.2 UV-Vis absorption of MAPbI₃ for varying annealing time

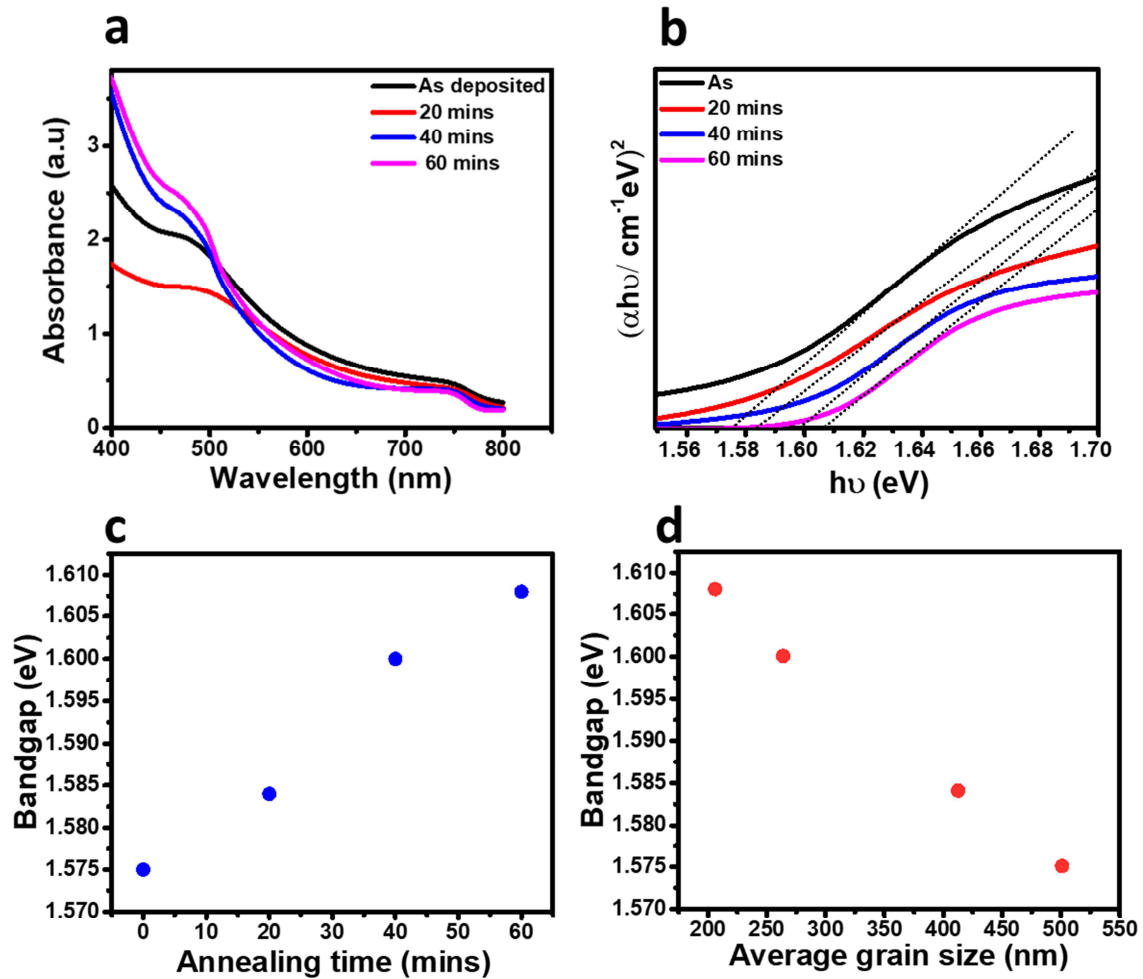
389 Fig. 7 (a, b) show the UV-Vis absorption spectra and direct bandgap Tauc-plot of the sequential
390 physical vapour deposited thin SPL2 films respectively, for peculiar annealing times. The spectra of the films
391 show an absorption edge close to 780 nm, which confirms the formation of MAPbI₃. However, the direct
392 bandgap from Tauc-plot reveals a uniform increase with annealing time as shown in Fig. 7 (c). The increase in
393 bandgap can be linked to the decrease in grain size as shown in Fig. 7 (d), consistent with the literature [71].
394 The increase in bandgap with annealing time may also be due to the presence of PbI₂, with absorption onset at
395 515 nm (large bandgap), [72,73], from the transformation of MAPbI₃ as the annealing time was increased.
396 Increase in annealing time affects the absorbance differently in various regions of the visible spectrum. The
397 absorbance of the as deposited thin film, which is deficient in PbI₂, is highest in the 500-800 nm range, while
398 the absorbance of the film annealed after 40 mins is lowest in the same range. This indicates that the PbI₂-
399 deficient MAPbI₃ thin-films absorb more in the 500-800 nm range (visible range) than then PbI₂-rich thin-
400 films, and this is consistent with previous reports by [54,73]. Kwon and co-workers proved that MAPbI₃ with
401 excess PbI₂ has a lower absorbance than that of pure MAPbI₃ [54]. Similarly, Abdelmageed and co-workers
402 showed that the intensity of visible light absorption decreased as more PbI₂ was produced from the
403 degradation of MAPbI₃ [73]. Fig. 7 (b) shows that the square of the product of absorption coefficient and
404 energy decreases as annealing time increase. This implies that the absorption coefficient decreases with
405 increase in annealing time and could be due to the presence of more PbI₂ in the thin SPL2 film, from the
406 transformation of MAPbI₃ as the annealing time is increased.

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Fig. 7. (a) UV-Vis absorption spectra of thin SPL2 films for different annealing times; (b) Direct bandgap Tauc-plot of SPL2 for different annealing times; (c) Bandgap of thin SPL2 films vs. annealing time; (d) Bandgap of thin SPL2 films vs. average grain size.

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3.4 Electrical properties

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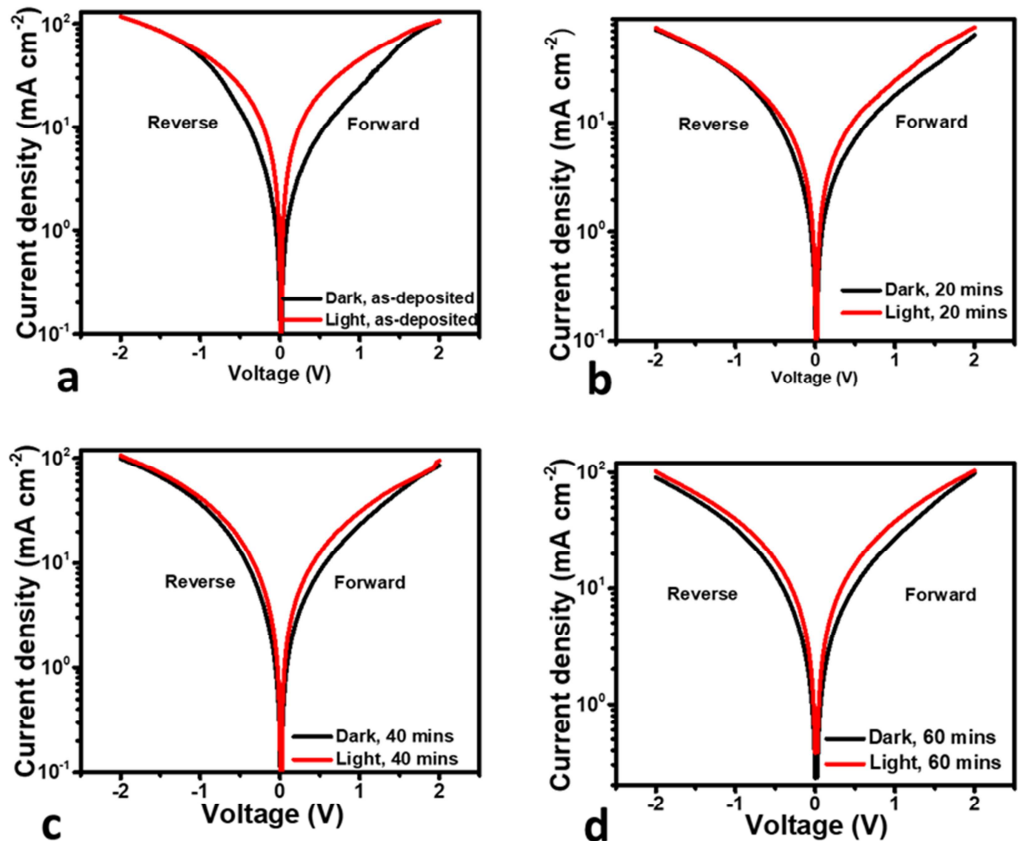
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Fig. 8 shows the semi-logarithmic current density-voltage (J - V) characteristics under dark and light conditions for various FTO/SPL2/Au devices, where SPL2 the thin films are annealed at different times. The dark semi-log J - V plots are to verify the nature of the contacts and the possibility of using the space charge limited current (SCLC) theory to calculate the carrier mobility and trap density. All the curves are symmetric showing small barrier to charge carrier injection from the electrodes (ohmic contacts) and validating the

421 possible application of SCLC theory, in accordance with the literatures [13,74]. The quality of the ohmic
 422 contacts were determined by calculating the specific contact resistivity [75], ρ_c , which is the reciprocal of
 423 the gradient of the J - V curve as V turns to zero. The values of ρ_c are inversely related to the doping
 424 concentrations [76] and are displayed in Table 3. The small values of ρ_c reveal good ohmic contact [75],
 425 implying that SPL2 may be p-type materials, since p-type semiconductors make ohmic contacts with high
 426 work function metals like gold. The J - V measurements under illumination conditions were performed, with
 427 the devices exposed to solar simulated light of 1000 W m^{-2} intensity. The J - V measurements show that the
 428 current density for any given voltage under illumination conditions is higher in all the devices than the dark
 429 current density. This means that the SVP deposited MAPbI₃ HPs can generate charge carriers when exposed
 430 to sunlight, however, the amount of photogenerated charge carriers decreases with increase in PbI₂ produced
 431 during thermal annealing time. The as deposited SPL2 film generated the highest number of carriers when in
 432 forward bias. This is consistent with the UV-Vis spectrum of the sample which has the highest absorbance in
 433 the 500-800 nm visible range. The differences between the current densities under light and dark conditions
 434 are small. This narrow difference could be because the electric field at the p-n junction is weak such that the
 435 charge separation is ineffective.



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451 **Fig. 8.** Semi-log J - V characteristics of FTO/SPL2/Au devices under dark and illumination, where the SPL2
 452 thin films are annealed at different times; (a) J - V curves of FTO/SPL2/Au devices having as deposited SPL2
 453 thin film; (b) J - V curves of FTO/SPL2/Au devices having SPL2 thin film annealed for 20 mins; (c) J - V curves
 454 of FTO/SPL2/Au devices having SPL2 thin film annealed for 40 mins; (d) J - V curves of FTO/SPL2/Au
 455 devices having SPL2 thin film annealed for 60 mins.

456 The non-linear dark forward J - V characteristics suggest a power law dependence of J on V given by
 457 the Equation 6,

$$J \propto V^m$$

6

458 where m is an exponent corresponding to the slope of the double-log J - V plot and reveals the bulk charge
 459 transport mechanism. Ohmic conduction is said to dominate when $m \sim 1$, trap-free space charge limited current
 460 (SCLC) conduction dominates when $m = 2$ and trap limited SCLC conduction in the presence of traps exist
 461 when $m > 2$ [40]. During ohmic conduction, the current is driven by mobile charge carriers in the material.
 462 Thus, the carrier density needs to be known to calculate the mobility. Conversely, for SCLC conduction, the
 463 current is dominated by injected carriers from the contacts and it is solely dependent upon the mobility of the
 464 charge carriers that can be determined from a simple double-log J - V plot.

465 Fig. 9 is the double-log J - V characteristic of the FTO/SPL2/Au for different annealing times. The
 466 device containing as deposited SPL2 reveals ohmic transport and trap limited SCLC conduction as shown in
 467 Fig. 9 (a). The devices containing annealed SPL2 reveal ohmic transport and trap free SCLC conduction
 468 regions shown in Fig. 9 (b-d). As the biasing voltage is increased, charges are injected into the bulk of the
 469 MAPbI₃ leading to traps being filled continuously until the trap-filled limit (V_{TFL}) is reached, when all the
 470 traps are filled. The V_{TFL} is seen to increase with increase in annealing time as shown in Fig. 9. V_{TFL} is linked
 471 to the trap density N_t according to Equation 7,

$$V_{TFL} = qN_t \frac{d^2}{2\epsilon_o\epsilon_r} \quad 7$$

472 where d is the film thickness, q is the electronic charge, ϵ_o is the permittivity of free space and ϵ_r is the
 473 dielectric constant of the MAPbI₃ is equal to 32 according to previous reports by Makhsud I Saidaminov and
 474 co-workers [77]. Since the V_{TFL} is directly proportional to N_t , it implies the N_t also increases with increase in
 475 annealing time. The calculated values for N_t increase as the annealing time increases and ranges from 3.25-
 476 $4.55 \times 10^{16} \text{ cm}^{-3}$ as shown in Table 3. This can be because longer annealing times cause more trap charge
 477 carriers to gain enough energy to be released from localized states. The relationship between the current
 478 density and carrier mobility beyond the trap-filled limit is given by Equation 8,

$$J = \frac{9}{8} \epsilon_o \epsilon_r \theta \mu_p \frac{V^2}{d^3} \quad 8$$

479 where J is the current density, V is the voltage at the onset of space charge limited region and θ is the trap
 480 factor, which is the ratio of free carriers to the sum of free and trapped carriers. θ is calculated by dividing the
 481 current at the onset of the space charge region by the current at the end [13,40,74] and results represented in
 482 Table 3. It increases with increase in annealing time and is consistent with the effect of annealing time on trap
 483 density. On the other hand, μ_p initially decreased after annealing for twenty mins, and then increased steadily
 484 with annealing time. This first decrease in μ_p may be because of the increase in N_t for the same MAPbI₃

485 phase. As heating continues beyond 20 mins, there is a transformation from MAPbI₃ to PbI₂ that may cause
 486 the mobility to increase slightly, even though the trap density increases. It can be deduced that PbI₂ deficient
 487 MAPbI₃ has a higher mobility than PbI₂-rich MAPbI₃.

488 Table 3 Variation of trap density, charge carrier mobility, trap factor and specific contact resistivity of SPL2
 489 thin films with annealing time.

Annealing time (mins)	Trap density (cm ⁻³)	Mobility (cm ² V ⁻¹ s ⁻¹)	Trap factor	Specific resistivity (Ω cm ²)
0	3.25 x 10 ¹⁶	1.29	0.235	0.067
20	4.22 x 10 ¹⁶	0.43	0.420	0.073
40	4.51 x 10 ¹⁶	0.49	0.495	0.071
60	4.55 x 10 ¹⁶	0.56	0.496	0.054

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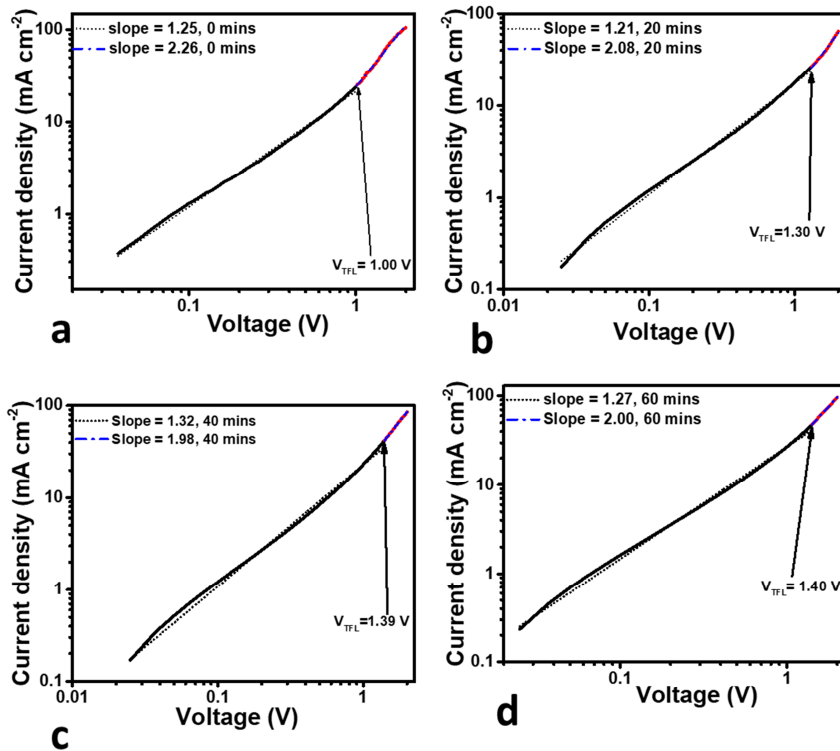
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510 **Fig. 9.** Double-log J - V characteristics of FTO/SPL2/Au devices for which the SPL2 is annealed for different
511 times; (a) Double-log J - V curves of FTO/SPL2/Au devices having as deposited SPL2; (b) Double-log J - V
512 curve of FTO/SPL2/Au devices having SPL2 Annealed for 20 mins; (c) Double log J - V curves of
513 FTO/SPL2Au devices having SPL2 annealed for 40 mins; (d) Double log J - V curves of FTO/SPL2/Au
514 devices having SPL2 annealed for 60 mins.

515 4 Conclusions

516 We have demonstrated the preparation of thin MAPbI₃ films by SPVD of PbI₂ and MAI single layers.

517 The structural, optical, morphological, and electrical properties were optimized by controlling the MAI film

518 thickness and post-deposition annealing time. All the XRD diffractograms showed the tetragonal MAPbI₃

519 phase having the I4/mcm space group. The crystallinity was observed to increase with increase in MAI film

520 thickness while prolonging the post-deposition annealing time resulted in the transformation of MAPbI₃ to

521 PbI₂. Importantly, the unannealed films showed the pure MAPbI₃ phase, indicating crystallization started in

522 situ during the deposition of MAI on PbI₂ at a substrate temperature of 95°C. The micro-strain and crystallite

523 size increased, and dislocation density decreased with increase in MAI thickness while the changes of these

524 properties with annealing time showed no regular pattern. FE-SEM results showed compact grains of variable

525 sizes and orientations and with average grain size that increases with the thickness MAI but decreased with

526 increased in post-annealing time. The reason for the decrease in average grain size with increasing post-

527 annealing time, however, needs further investigation. Direct and indirect Tauc-plots of the UV-Vis absorption

528 spectra showed a small Rashba effect, with optimum direct and indirect bandgaps of 1.60 and 1.59 eV,

529 respectively. Also, the bandgap increased, and absorption intensity decreased with increase in annealing time.

530 We observed that films containing PbI₂ produced during post-annealing showed lower absorption intensity.

531 The trap density was observed to decrease with increase in annealing time and the maximum charge carrier

532 mobility of 1.29 cm² V⁻¹ s⁻¹ was obtained for the unannealed film. The J - V characteristics under illumination

533 also revealed that the unannealed film had the highest photogenerated current. Based on our findings, we
534 recommend the optimal thickness of 100 nm PbI_2 and 500 nm MAI and no post-annealing for low trap
535 density, high charge carrier mobility, and pure thin MAPbI_3 films by SVPD.

536

537 **Supporting Information**

538 Three supporting figures: XRD spectra showing films with a ratio of PbI_2 to MAI greater than one and films
539 with a ratio of PbI_2 to MAI less than one, W-H plots of MAPbI_3 for various thicknesses of MAI and various
540 annealing times. average crystallite size, micro-strain, the dislocation density of MAPbI_3 for various MAI
541 thickness with the effect extra PbI_2 peaks included.

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Highlights

- Simplified growth of MAPbI₃ by sequential physical vapor deposition of PbI₂ and MAI single layers.
- Prolong annealing of MAPbI₃ causes reversible phase transformation between MAPbI₃ and PbI₂.
- Optimization of the stoichiometry of MAPbI₃ by precise thickness regulation.
- Trap density and carrier mobility of MAPbI₃ thin films by space charge limited current theory.

All authors declare no conflict of interest.