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Synopsis

Time-dependent closure data in deep hard-rock mines appears to be a useful diagnostic measure of rock behaviour. Understanding this behaviour may lead to enhanced design criteria and modelling tools. This paper investigates the use of a time-dependent limit equilibrium model to simulate historical closure profiles collected in the South African mining industry. Earlier work indicated that a viscoelastic model is not suitable for replicating the spatial behaviour of the closure recorded underground. The time-dependent limit equilibrium model available in the TEXAN code appears to be a useful alternative as it can explicitly simulate the on-reef time-dependent failure of the reef. A key finding in this paper is that the model gives a much better qualitative agreement with the underground measurements. For both the model and actual data, the rate of timedependent closure decreases into the back area. Calibration of the constitutive model nevertheless led to some unexpected difficulties, and element size plays a significant role. It was also noted that the simulated closure is complex as it reflects the combined result of a number of elements failing at different times. The closure rate does not decay according to a simple exponential model. Explicit simulation of the fracture zone in the face appears to be a better approach to simulating the time-dependent behaviour in deep hard-rock stopes. The calibration of the limit equilibrium model is very difficult, however, and further work is required.

Keywords

stope closure, time-dependent deformation, limit equilibrium model, TEXAN code.

Introduction

Several research studies have been conducted to investigate the use of continuous stope closure as a diagnostic measure of rock mass behaviour in deep gold mines of South Africa (e.g. Malan, 1995; Napier and Malan, 1997). The rock mass undergoes significant timedependent deformation in some geotechnical areas and the closure data is useful for identifying different geotechnical areas and areas prone to face-bursting (Malan et al. 2007). The value of continuous closure measurements was also demonstrated for the platinum industry. An example is shown in Figure 1 to illustrate the significant 'creep' component recorded in some of the areas. For the gold mines, it was proposed that the data may be useful to identify remnants that can be safely extracted. A difficulty faced in these early studies was that no numerical tool could simulate the time-dependent rock mass

behaviour on a stope-wide scale. It was hypothesised that the time-dependent behaviour in the hard-rock gold mines is caused by time-dependent fracturing and other inelastic processes, such as gradual slip on parting planes. In the platinum mines, the face fracturing is less intense and the behaviour is most likely dominated by the time-dependent failure of the crush pillars. The commonly used elastic modelling programs cannot simulate this behaviour and the simulated convergence is simply a function of the mine geometry, depth, and elastic constants. The analytical viscoelastic models that were derived for the previous studies could not be used for complex geometries and the elasto-viscoplastic finite difference models were limited to 2D and to a small number of mining steps (Malan, 1999). Recent studies have indicated that a limit equilibrium displacement discontinuity model with a time-dependent failure criterion may be useful for simulating on-reef time-dependent failure processes on a mine-wide scale (Napier and Malan, 2012, 2014). This paper explores the use of this model in the TEXAN code to simulate the historical time-dependent closure measurements.

Case study of measurements in a deep Venterdorp Contact Reef (VCR) stope

In 1997, the authors were involved with measurements conducted in the W3 updip panel in the 87/49 longwall at a mine in the Carletonville area. These particular measurements were valuable as they indicated that care should be exercised when using a

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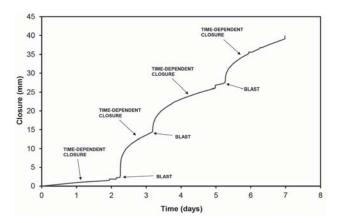


Figure 1—Typical closure data recorded in deep gold mines and some of the deeper platinum mines. This particular data-set was recorded in a Merensky Reef stope (after Malan et al., 2007). Similar examples for the gold mines can be found in the references cited above

viscoelastic model when simulating the time-dependent closure. The layout of the area is shown in Figure 2. Five closure instruments were installed simultaneously in different positions to investigate the effect of measurement position on the rate of time-dependent closure.

Figure 3 illustrates the increase in closure after a blast on a specific day. All three measurements in the graph apply to the same blast, so the differences are only a function of the measurement position. This data illustrates the decrease in rate of time-dependent closure as the distance to the face increases.

A further important characteristic of the data is that the rate of time-dependent closure appears to be constant in the short term, but it gradually decreases when there is no blasting or seismic activity. This is illustrated in Figure 4. This particular data-set was obtained for the site in Figure 2 during a period when there was no mining activity for several days. The time-dependent closure can be approximated by an exponential decay function of the form:

$$\Delta S_{SS} = a(1 - e^{-bt}) \tag{1}$$

where a and b are parameters and t is time. The time-dependent closure for station no. 2 in Figure 4 after the seismic event was plotted in Figure 5 together with the model given in Equation [1]. The parameters used to obtain this fit were a = 3.85 mm and b = 0.015 h⁻¹.

The problem with viscoelastic theory

To simulate the time-dependent deformations recorded in tabular excavations, a viscoelastic approach was investigated (Malan, 1999). A two-dimensional closure solution for a parallel-sided tabular excavation in a viscoelastic medium was derived for this study. In linear viscoelastic theory, complex strain-time behaviour can be described by various combinations of two principal states of deformation: elastic behaviour and viscous behaviour. The historical use of different viscoelastic models to simulate the creep of rocks is given in many papers (*e.g.* Ryder and Jager, 2002). Malan (1999) assumed a Burgers model to describe the time-dependent behaviour shown in Figure 1. This model is shown in Figure 6. The complete viscoelastic closure solution when assuming this model is given in Malan *et al.* (2007).

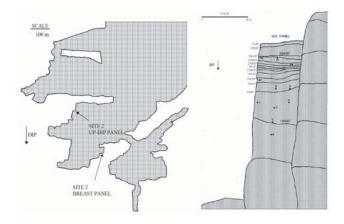


Figure 2—Layout of the VCR stope (left) where the closure data was collected. An enlarged view of the updip panel is given on the right (after Malan, 1999)

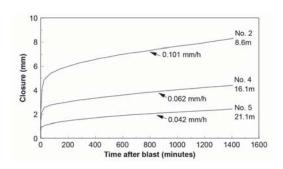


Figure 3—Closure as a function of time after blasting for different distances to the face. The distance below each instrument number is the distance to the face before the blast (after Malan, 1999)

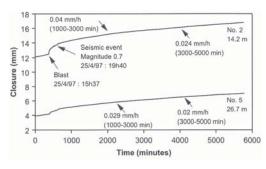


Figure 4—Closure recorded in a VCR (hard lava) panel when there was no mining activity for four days. The time periods in brackets indicate the intervals used to calculate the time-dependent closure rates. Two closure instruments at different distances to the face were used to collect the data (after Malan, 2003)

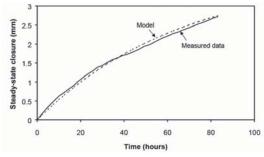


Figure 5—Measured and simulated values of time-dependent closure for the data in Figure 4 (after Malan, 2003)

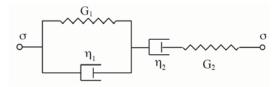


Figure 6—Representation of the Burgers viscoelastic model with the viscosity coefficients η_1 and η_2 and shear moduli G_1 and G_2

Using this approach, a good fit with data over a short period of time (*e.g.* 1 day) could be obtained. Problems were nevertheless encountered when attempting to calibrate the model at various distances from the mining face (*e.g.* Figure 3). These problems arise as the viscoelastic model predicts that the rate of time-dependent convergence increases towards the centre of the stope. This can be shown by taking the time derivative of the viscoelastic closure solution. For time $t\rightarrow\infty$, the rate of convergence is given by (see Malan, 1999):

$$\frac{dS_z}{dt}\bigg|_{t\to\infty} = \frac{-2W_z\sqrt{\ell^2 - x^2}\left(1 + \frac{dx}{2}\right)}{\eta_2}$$
 [2]

where

$$W_z = \frac{-\rho g h}{2} [(1+k) + (1-k)\cos 2\alpha]$$
 [3]

$$d = \frac{\sin \alpha \cos \beta}{h} \tag{4}$$

and S_Z is the stope closure, 2t is the span of the stope, ρ is the density of the rock, x is the position in the stope relative to the centre, g is the gravitational acceleration, h is the depth below surface, k is the ratio of horizontal to vertical stress, α is the dip of the reef, β is the angle between the x-axis and the dip, and t is time. The Burgers viscosity coefficient η_2 is defined in Figure 6. As $t \rightarrow \infty$, the rate of convergence is therefore only a function of geometric parameters, stress magnitude, and the viscoelastic parameter η_2 . This is intuitively expected from Figure 6.

The rate of time-dependent convergence (Equation [2]) is plotted as a function of the position x in Figure 7. The rate of convergence is highest in the centre of the stope, and reduces to a value of zero at the stope face. This can be compared to the data collected in the mining stope (Figure 3), illustrating the opposite trend, where the rate of time-dependent closure decreases with increasing distance from the stope face. Additional data from the experimental site is given in Figure 8.

A further problem with the Burgers model is that it predicts that the rate of time-dependent closure remains constant. For $t\rightarrow\infty$, Equation [2] does not tend to zero, but indicates a constant rate of convergence. In contrast, Equation [1] can be differentiated to give the rate of time-dependent convergence for the actual data as:

$$\frac{dS_{SS}}{dt} = (ab)e^{-bt}$$
 [5]

Equation [5] indicates that the rate of time-dependent closure tends to zero as $t \rightarrow \infty$.

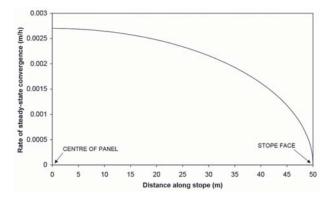


Figure 7—The rate of time-dependent convergence along a panel of 100 m span at $t\to\infty$. Values used in this simulation are $\eta_2=2\times10^{12}$ Pa.h, H=2000 m, $\alpha=0^\circ$, k=0.5, g=9.81 m/s², and $\rho=2700$ kg/m³



Figure 8—Additional closure data from the stope in Figure 2 (after Malan, 2003). In contrast to Figure 7, the rate of time-dependent closure decreases as the distance to the face increases. Note that the stope face is to the left in Figure 8 and to the right in Figure 7

A proposed time-dependent limit equilibrium model

As an alternative to the viscoelastic model, a time-dependent limit equilibrium model built into the displacement discontinuity code TEXAN was used to investigate the closure behaviour described above. A description of the TEXAN code and the limit equilibrium model is given by Napier and Malan (2012, 2014). Following this approach, the fracture zone surrounding the excavations is simplified as the model restricts failure to the on-reef plane. A key feature of the model is that the intact rock strength is differentiated from the residual strength according to specified intact and residual failure strength envelopes. The strength of the intact seam or reef material ahead of the stope face is assumed to be defined by a linear relationship of the form

$$\sigma_n = \sigma_c^i + m_i \sigma_{s}, \tag{6}$$

where σ_c^i and m_i are the intercept and slope parameters respectively, σ_s is the average seam-parallel confining stress, and σ_n is the seam-normal stress component. Once a point in the seam fails, the strength parameters are postulated to decrease immediately to values σ_c^o and m_0 , which define an initial limit stress state in which there is a fixed limit equilibrium relationship between σ_n and σ_s of the form

$$\sigma_n = \sigma_c^0 + m_0 \sigma_s \tag{7}$$

The limit strength parameters are then assumed to decay towards residual values σ_c and m_f . The strength values $\sigma_c(t)$ and m(t) at an elapsed time t after failure, are defined according to the relationships:

$$\sigma_c(t) = \left(\frac{1}{2}\right)^{\frac{t}{\lambda}} \left[\sigma_c^0 - \sigma_c^f\right] + \sigma_c^f$$
 [8]

$$m(t) = \left(\frac{1}{2}\right)^{\frac{t}{\lambda}} \left[m_0 - m_f\right] + m_f$$
 [9]

where λ is a half-life parameter. The limit stress components σ_n and σ_s at a given seam or reef position and time t are then given by an appropriate equation of similar form to Equation [6]:

$$\sigma_n = \sigma_c(t) + m(t)\sigma_s \tag{10}$$

The reef-parallel (or seam-parallel) stress is determined by setting up an averaged force balance at each point in the fracture zone, which depends on the effective fracture zone height H and on the contact friction coefficient μ_L between the broken material and the intact rock enclosing the fracture zone (Napier, 2016). It is apparent that the distribution of the limit stress values will in general depend on the distribution of failure times at all points in the fractured material, and consequently depends in a complex evolutionary manner on the planned mining sequence and extraction rate. A given mining problem must therefore be solved in a series of timesteps which include mining increments that are scheduled at appropriate time-step intervals. The problem time-scale will be determined essentially by the chosen half-life parameter, λ. A novel solution algorithm has been developed to calculate the distribution of stress components σ_n and σ_s , as well as the overall extent of the fracture zone arising in each simulated time step. A detailed description of this procedure is given by Napier (2016).

Simulation of time-dependent closure

The simplified geometry shown in Figure 9 is used to illustrate the characteristics of the time-dependent limit equilibrium model. This is a stope of size $100~\text{m} \times 50~\text{m}$ situated at a depth of 2000~m. The stope is surrounded by a region of elements which assume the constitutive behaviour described by Equation [8] to [10] once failure is initiated. Closure profiles were recorded at points A, B, and C. These measurement positions varied slightly depending on the element sizes used, but for 1 m elements, the distances to the excavation face were A = 0.5~m, B = 4.5~m, and C = 24.5~m. The model parameters are given in Table I. These parameter values are assumed and need to be calibrated in future.

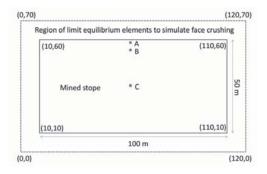


Figure 9—Geometry simulated

The first simulation attempted to replicate the underground closure behaviour shown in Figure 3. The simulated results are shown in Figure 10 and the time-dependent nature of the closure is clearly visible. Note that the rate of time-dependent closure decreases into the back area of the stope, similar to the underground observations. This model is therefore clearly an improvement on a simple viscoelastic approach, which could not replicate this behaviour. Figure 11 illustrates the failed limit equilibrium elements (red) and the intact elements (green).

Table I Parameters used for the initial simulations				
Parameter	Value			
Depth Mining height Young's modulus Poisson's ratio Intact seam strength Intact seam slope parameter Initial crush strength Initial crush slope parameter Residual strength Residual slope parameter Interface friction angle Seam stiffness modulus Half-life	2000 m 3 m 70 GPa 0.2 40 MPa 7 40 MPa 4 1 2 20° 80000 MPa/m 20 h			

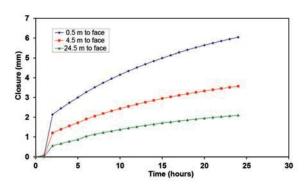


Figure 10—Simulated time-dependent closure at various distances to face. This shows only the time-dependent closure and not the initial elastic convergence. The jump in closure at t=1 hour is discussed in the section below

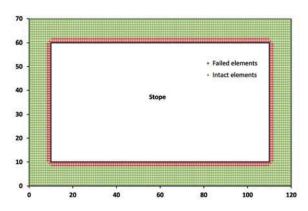


Figure 11 — Failed elements (red) at the edge of the stope for the simulation in Figure 10 at t=24 hours. The intact elements are shown in green

Effect of grid size

Although the results in Figure 10 look encouraging, it was noted during further simulations that the grid size and sequential failure of the elements affect the simulated closure profiles. An example is illustrated in Figure 12. The parameters for these simulations were identical to those in Table I, except for the red closure profile where it was specified that the initial crush slope parameter was 7 (similar to the intact slope parameter). Note the jumps in closure. The mining geometry remains constant during these jumps. which are caused by the sequential failure of the next row of limit equilibrium elements. As the grid consists of square elements and the geometry is regular, the failure of the elements occurs row by row in an organized pattern. A particular row is activated and a jump in closure occurs as the stress is transferred to the solid ground according to the model in Equations [8] and [9]. The next row eventually fails and the process repeats. Figure 13 illustrates the extent of the failed zone after 168 hours. The number of rows of elements activated corresponds to the number of jumps in closure visible in Figure 12. The first part of Figure 12 is enlarged in Figure 10, and this explains the anomaly at the beginning of the curve in Figure 10. A possible solution would be to reduce the element size as shown in Figure 14. For smaller elements, the jumps in closure are more frequent, but smaller in magnitude. This improves further if the intact strength and initial crush strength are identical (not shown here).

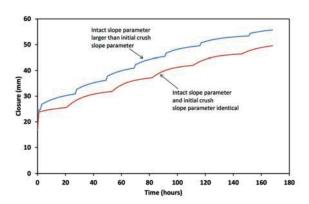


Figure 12—Incremental activation of the elements leads to the closure profiles shown in the figure. No change in mining geometry occurred during these simulations. This was recorded at position A, which is 0.5 m from the face (Figure 9)

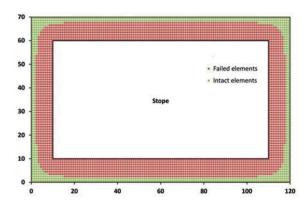


Figure 13—Failed elements at the edge of the stope for the simulation in Figure 12 at *t* = 168 hours. This can be compared to Figure 11 to illustrate the time-dependent growth in the fracture zone

Partial element fracturing

Figure 15 depicts a conceptual view of the stress profile transition between the edge of the fractured rock at position L and the adjacent intact material. (Figure 15 may be considered to represent a local section profile that is aligned in the normal direction to the fracture zone edge.) The basic solution algorithm (Napier 2016) that has been developed to infer the transition from an intact state to a failed state is accurate only to the resolution of the element grid size, φ . A revised scheme has been developed more recently to predict the intermediate position *L* of the fracture front between successive collocation points, as shown in Figure 15. Once the front position L has been estimated, a fractional subelement with an appropriate shape, centre position, and selfeffect coefficient is constructed within the partially fractured element to determine the effective displacement discontinuity strain and reef-normal stress in the element. A current restriction on the new algorithm is that the controlling rock strength parameter ratios must be such that

$$\sigma_c^i/m_i = \sigma_c^0/m_0 = \sigma_c^f/m_f$$

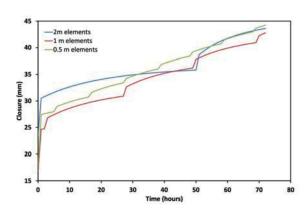


Figure 14—Effect of element size on closure profiles. No changes in geometry occurred during these simulations. The parameters for all three simulations were identical to those in Table I. It should be noted that these profiles were recorded at slightly different positions with respect to face owing to the different element sizes. These were 0.5 m elements - 0.75 m to the face, 1 m elements - 0.5 m to the face, and 2 m elements - 1 m to the face

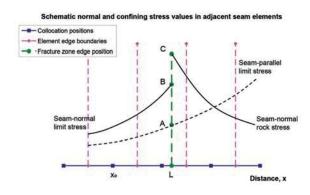


Figure 15—Schematic stress profiles adjacent to the edge of the fracture zone. The seam-parallel limit stress is reset at all crush element collocation points at the start of each time-step

Figure 16 shows a comparison between the proposed partial element fracturing scheme and the existing algorithm for the rectangular excavation layout shown in Figure 9. The incremental closure profiles are measured at point A in Figure 9. It is apparent that the partial fracturing algorithm allows the fracture zone to extend in more continuous steps than in the base case, where an abrupt failure transition occurs after time step 42 (see the bottom curve in Figure 16). It is also observed that the fracture zone extent increases over a greater distance in the case where partial element fracturing is included.

The upper curve in Figure 16 shows the incremental closure profile that would arise in the case of a plane strain parallel-sided excavation with a nominal span of 50.0 m and with a very fine element mesh size g of approximately 0.0909 m. This case was evaluated to determine approximately the asymptotic effect of reducing the element grid size. It should be noted that the effective layout depth in the plane strain case was reduced from 2000 m to 1760 m (a factor of approximately 0.88) to compensate for the infinite lateral span of the parallel-sided panel, compared to the 100.0 m lateral span in the rectangular excavation (see Figure 9). Figure 16 shows that the fine grid behaviour is approximately replicated by the partial element fracturing algorithm, but that the underlying fracture front transitions are still somewhat dependent on the element mesh size.

Figure 17 shows the incremental closure profiles that are obtained for the rectangular excavation shown in Figure 9 following a mining step of 1.0 m at the upper face after time-step 72. The profiles are monitored at points A, B, and C shown in Figure 9. Figure 17 illustrates the progressive decrease in the incremental rate of closure as the distance from the mining face is increased. The strength parameters used in the partial element fracturing results in Figure 16 and Figure 17 are as follows:

$$σ_c^i = 70$$
MPa; $m_i = 7.0$; $σ_c^0 = 40$ MPa; $m_0 = 4.0$; $σ_c^f = 10$ MPa; $m_f = 1.0$; $λ = 20.0$

The remaining parameters are as summarized in Table I.

Calibration of the half-life parameter

The rate of change for the underground closure data shown in Figure 4 is approximated by the exponential decay model in Equation [5]. For the numerical model, the decay of the strength of the failed limit equilibrium elements gives rise to the stress transfer and the simulated time-dependent closure. It is therefore postulated that the actual underground closure data can be used to calibrate the half-life parameter of the numerical model. If Equation [8] is differentiated, the rate of strength decrease for a failed limit equilibrium element is:

$$\frac{d\sigma_c(t)}{dt} = k\left(\frac{1}{2}\right)^{\frac{t}{\lambda}}$$
 [10]

where

$$k = -\frac{\left[\sigma_c^0 - \sigma_c^f\right] \ln(2)}{\lambda} \tag{11}$$

It is known that $(\frac{1}{2})^{\frac{t}{\lambda}}$ and e^{-bt} (see Equation [5]) are

equivalent formulae to describe exponential decay, and it can be shown that:

$$\lambda = \frac{\ln(2)}{h} \tag{12}$$

For Figure 5, it was found that $b = 0.015 \, h^{-1}$ gives a good fit to the data, and from Equation [12] it follows that $\lambda = 46.2$ hours. Time-dependent closure data from the platinum mines fitted with the model described in Equation [1] is also given in Roberts *et al.* (2006). Table II illustrates the data currently available for which the b parameter was calculated and the corresponding value of λ is given.

Care should be exercised for the two sites in Table II with calibrated λ values greater than a hundred. The hangingwall at these two sites was unravelling. This caused the large ongoing time-dependent closure. In contrast, the failure processes and stress transfer ahead of the face or in pillars dominated at the other two sites. As the current implementation of the model in TEXAN makes provision for both the seam strength and seam slope parameter to undergo exponential decay, care should be exercised when calibrating the model using the λ values in Table II. To illustrate this, a model similar to Figure 9 with element sizes of 2 m and just one row of limit equilibrium models at the edge of the stope

Incremental closure 0.5 m from excavation edge

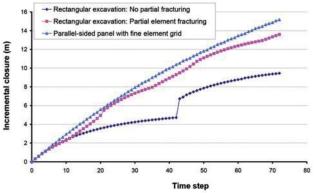


Figure 16—Comparison of incremental closure profiles measured 0.5 m from the stope face at point A in Figure 9, illustrating the behaviour of the partial element fracturing algorithm. These are compared to the results obtained in plane strain for a parallel-sided panel

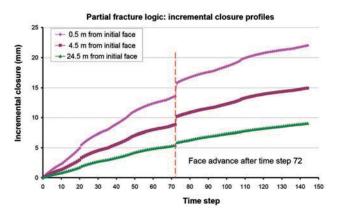


Figure 17—Comparison of incremental closure profiles at points A, B, and C in Figure 9 for the partial element fracturing algorithm. The upper face of the excavation shown in Figure 9 is advance by one metre after time-step 72

Table II
Calibrated values of b and λ for different mining areas. The platinum mine data was obtained from the SIMRAC
SIM040207 report (Roberts et al., 2006)

Site	b	λ	Qualitative description of conditions
Frank II 30 East Panel 42	0.012	57.8	Stable hangingwall. Good conditions in panel Large collapses in adjacent panels. Open joints in hangingwall. Panel stopped. Blocky hangingwall conditions with the possible risk of large collapses. Panel stopped. Stable hangingwall. Hangingwall discontinuties tightly clamped due to dilation ahead of face.
Impala 10 Shaft Panel 1874a-5N	0.006	115.5	
Union Spud Shaft 26/27 Panel 2N	0.0036	192.5	
VCR gold mine 87/49 W3 (Figure 2)	0.015	46.2	

was used. The resulting time-dependent closure curve is shown in Figure 18. The parameters were similar to those in Table I, with minor changes as indicated in the figure caption. Note that a single row of elements that fail simultaneously where only the seam parameter (and not the slope parameter) is allowed to decay, also results in a simple exponential decay for the closure. In contrast, if both parameters are allowed to decay using the same half-life value, more complex behaviour is the result and the fit of the simulated closure with Equation [1] is not good.

To complicate matters, the sequential activation of a larger number of limit equilibrium elements at different times leads to a simulated closure profile that differs from the simple exponential decay model. To illustrate this, the model in Figure 9 was simulated with 0.5 m element sizes. The parameter values in Table I were assumed, except that all three slope parameters were assigned a value of 4. The time-dependent closure between t=1 h and t=168 h is plotted in Figure 19. The numerical model assumed a half-life of 20 h. The exponential decay model with the equivalent b-value gives a very poor fit. It appears that the sequential activation of the elements (Figure 20) results in a closure profile that cannot be simulated with a simple exponential model.

Conclusions

Time-dependent closure data in deep hard-rock mines appears to be a useful diagnostic measure of rock behaviour.

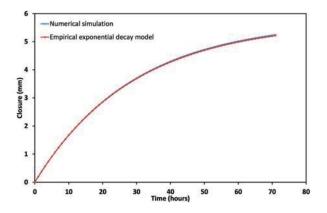


Figure 18—A good comparison between the numerical simulation and the closure exponential decay model can be obtained if only the seam strength parameter is allowed to decay (and not the slope parameter). The model parameters are identical to those in Table I, except that all three slope parameters were assigned a value of 4. The *b*-value (0.0347) for the exponential model was calculated using Equation [12]. For this simulation, only a single row of limit equilibrium elements was allowed to fail at the edge of the stope

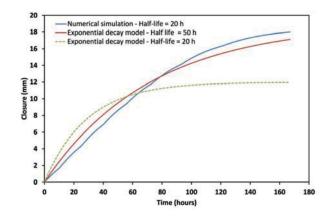


Figure 19—Comparison between the numerical simulation and the exponential decay model with two different values of half-life

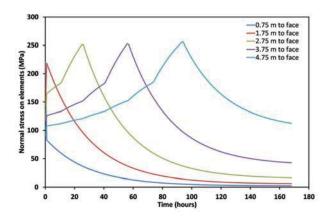


Figure 20—Sequential loading and failure of limit equilibrium models ahead of the face with time. Note the exponential decay in stress for each element after failure

Understanding this behaviour may lead to enhanced design criteria and modelling tools. This paper investigates the use of a time-dependent limit equilibrium model to simulate historical closure profiles collected in the South African mining industry. Earlier work indicated that a viscoelastic model is not suitable for replicating the spatial behaviour of the closure recorded underground. The time-dependent limit equilibrium model available in the TEXAN code appears to be a useful alternative, as it can explicitly simulate the on-reef time-dependent failure of the reef seam. A key finding in this paper is that the model gives a much better qualitative agreement with the underground measurements. For both the model and actual data, the rate of time-dependent closure

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decreases into the back area. Calibration of the constitutive model nevertheless led to some unexpected difficulties, and element size plays a significant role. It appears that this problem can be addressed to some extent by introducing a sub-element construction algorithm that allows the moving edge of the fracture zone to be simulated in partially fractured elements. Further work on this procedure is required.

It was noted also that the simulated closure profile is complex as it reflects the combined result of a number of elements failing at different times. The closure rate does not decay according to a simple exponential model. In conclusion, explicit simulation of the fracture zone in the face appears to offer a better approach to describe the observed time-dependent behaviour in deep hard-rock stopes. The calibration of the limit equilibrium model half-life decay parameter requires additional field measurements in different geotechnical areas.

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