# Reduction in extrapulmonary tuberculosis in context of antiretroviral therapy scale-up in rural South Africa

J. C. Hoogendoorn<sup>1</sup>, L. Ranoto<sup>1</sup>, N. Muditambi<sup>1</sup>, J. Railton<sup>1</sup>, M. Maswanganyi<sup>2</sup>, H. E. Struthers<sup>1,3</sup>, J. A. McIntyre<sup>1,4</sup>, R. P. H. Peters<sup>1,5,6§</sup>

- 1 Anova Health Institute, Johannesburg, South Africa
- 2 Mopani Department of Health, Giyani, South Africa
- 3 Department of Medicine, University of Cape Town, Cape Town, South Africa
- 4 School of Public Health and Family Medicine, University of Cape Town, Cape Town, South Africa
- 5 Department of Microbiology, University of Pretoria, South Africa
- 6 Department of Microbiology, School for Public Health and Primary Care (CAPRHI), Maastricht University, Maastricht, The Netherlands

§ Corresponding author: R.P.H. Peters

12 Sherborne Road,

Johannesburg, 2193, South Africa

Phone: +27 11 581 5000

Email: peters@anovahealth.co.za

#### E-mail addresses of authors:

JCH: jariekehoogendoorn@hotmail.com

LR: ranoto@anovahealth.co.za

NM: muditambi@anovahealth.co.za

JR: railton@anovahealth.co.za

MM: velaphimaria@gmail.com

HES: struthers@anovahealth.co.za

JAM: mcintyre@anovahealth.co.za

RPHP: peters@anovahealth.co.za

## **ABSTRACT**

**Introduction:** Scale-up of antiretroviral therapy (ART) for human immunodeficiency virus (HIV) infection has reduced the incidence of pulmonary TB (PTB) in South Africa. Despite the strong association of HIV infection with extrapulmonary TB (EPTB), the effect of ART on the epidemiology of EPTB remains undocumented.

**Methods:** We conducted a retrospective record review of patients initiated on treatment for EPTB in 2009 (ART coverage <5%) and 2013 (ART coverage 41%) at four public hospitals in rural Mopani District, South Africa. Data were obtained from TB registers and patients' clinical records.

**Results:** There was a 13% decrease in overall number of TB cases which was similar for cases registered as EPTB (n=399 in 2009 vs. 336 in 2013; p<0.01) and for PTB (1031 vs. 896; p<0.01). Among EPTB cases, the proportion of miliary TB and disseminated TB decreased significantly (both p <0.01), TB meningitis and TB of bones increased significantly (p <0.01 and p =0.02, respectively) and TB pleural effusion and lymphadenopathy remained the same.

**Conclusion:** This study shows a reduction of EPTB cases that is similar to that of PTB in the context of the ART scale-up. The changing profile of EPTB warrants attention of healthcare workers.

**Keywords:** extrapulmonary tuberculosis, pulmonary tuberculosis, human immunodeficiency virus, antiretroviral therapy, rural South Africa.

## **INTRODUCTION**

The tuberculosis (TB) epidemic remains a major global health problem despite strong worldwide efforts to control it [1]. In 2012, an estimated 8.6 million people developed TB globally and 1.3 million died from the disease [2]. South Africa has the highest incidence rate per capita with 1 new case for every 100 people each year [2]. Moreover, South Africa has the highest burden of people coinfected with TB and Human Immunodeficiency Virus (HIV) [2,3]. The HIV epidemic has been an important driver of the incidence of TB, attributing to the four-fold increase in TB notification in South Africa between 1986 and 2007 [4].

In recent years the antiretroviral therapy (ART) programme for HIV-infection has expanded dramatically in South Africa [5], accompanied by a higher CD4 count threshold for treatment initiation [6] and resulting in more HIV-infected patients initiated on ART [7]. Several studies show a reduction in TB incidence among HIV-infected patients in the context of this ART scale-up in South Africa [8-10].

There is evidence for a decrease in incidence of pulmonary TB (PTB) during ART scale-up in South Africa. Nanoo et al reports a 9% decline of PTB between 2008 and 2012, coincided with an increase in ART-coverage [11]. One study reports that the decline in PTB during ART scale-up contributed to an increase in life expectancy amongst HIV-infected patients [12]. However, contrary to PTB, there is no data on the effect of ART scale-up on the incidence of extrapulmonary TB (EPTB) and the distribution of its different manifestations. There is an important relationship between HIV and EPTB [13,14]: EPTB constitutes 40-68% of all TB cases among HIV-infected patients, whereas only 15-20% of all TB cases in immunocompetent patients is EPTB [15-18].

Of important note is that most data on EPTB are from countries outside of Sub-Saharan Africa, where the global burden of HIV is concentrated. It is crucial to know more about the epidemiology of EPTB in South Africa. Extrapulmonary manifestation of TB is generally associated with a challenging diagnosis, a considerable diagnostic delay [19,20] and unrecognized and undiagnosed infection [21], all contributing to the documented poor prognosis of EPTB. The aim of

this study is to determine the effect of scale-up of the ART programme on the epidemiology of EPTB in a rural population in South Africa, in order to understand the TB epidemic in a better way and to inform TB control efforts and policy design.

## **METHODS**

## Study design and setting

This is a retrospective record review of patients initiated on treatment for EPTB in 2009 and 2013 at four public hospitals in rural Mopani District, Limpopo Province, South Africa. In this province the adult HIV prevalence is 12%. We chose the years 2009 and 2013 as these reflect different phases in ART scale-up in South Africa and to allow for TB treatment outcome data to be included in this analysis. In 2009 the ART programme in South Africa was quite small, doctor-driven, and provided at accredited healthcare facilities only. The CD4 count threshold for ART initiation at that time was 200 cells/mm³; this was changed in 2010 to 350 cells/mm³ and accompanied by large scale-up of the ART programme through implementation of the nurse-managed ART programme [22].

In our setting, EPTB is almost exclusively diagnosed at hospitals as diagnostic resources and clinical expertise (doctor) are required that is not available at the primary healthcare level. We included all seven hospitals (6 district and 1 regional hospital) in this evaluation. However, the complete required TB register could not be retrieved at three district hospitals and these were excluded from further evaluation.

We obtained ethical approval from the Human Research Committee at the University of Witwatersrand (reference no. M141012).

## **Data collection**

Data were collected for the months January to October of the years 2009 and 2013 from two sources: the routine TB register and clinical records. The complete TB register could not be retrieved for November and December for all hospitals.

In South Africa each healthcare facility has a paper-based TB register in which all individuals initiated on TB treatment are recorded by the TB nurse. We recorded the total number of patients treated for TB and the number treated for EPTB, and for which type, as defined by using the International Statistical Classification of Diseases and Related Health Problems 10<sup>th</sup> Revision (ICD-10) [23]. Other information obtained from this register includes data on age, sex, history of TB treatment, HIV co-infection, ART usage at treatment initiation, CD4 count at treatment initiation and treatment outcome.

In addition to the information from the TB registers, clinical records of patients treated for EPTB were retrieved and reviewed by JCH, LR and NM using a standardized data collection sheet. We collected additional information on investigations, diagnosis, treatment and comorbidity (e.g. HIV). We used this additional data to validate the data from the TB register and to determine evidence for the given diagnosis.

## **Definitions**

All ICD-10 codes recorded in the TB register were classified as EPTB except for A15.0 (tuberculosis of lung, confirmed by sputum microscopy), A16.2 (tuberculosis of lung, without mention of bacteriological of histological confirmation) and A16.7 (primary respiratory tuberculosis without mention of bacteriological or histological confirmation) which together conferred the group of PTB. For each retrieved clinical record, two investigators (JCH, RPHP) determined the level of evidence available to support the diagnosis written in the clinical record and classified this as 'good evidence', 'limited evidence and 'no evidence'. In case of difference between the investigators, a third investigator (LR) decided. We used the National Tuberculosis Guideline 2014 [24] to determine the level of evidence (Supplementary Table S1). In this context, the comment 'miliary TB picture on X-ray' was defined as miliary TB whereas 'disseminated TB' was defined as EPTB at multiple extrapulmonary sites.

#### Data analysis

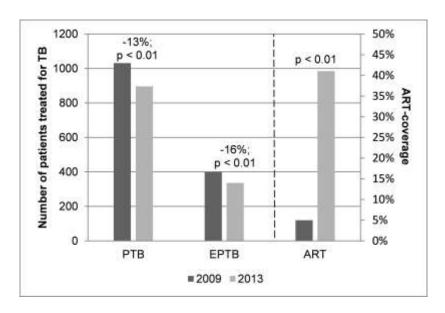
All data were double-entered in Epi Info Version 3.5.4. (Centers for Disease Control and Prevention, Atlanta, USA) and analyzed using IBM SPSS Statistics Version 22.0 (IBM Corporation, New York, USA). Routine descriptive statistics of the study population are provided using total number with proportion (%), median (range) and mean (standard deviation). The number of patients treated for EPTB and the distribution of EPTB forms was compared between 2009 and 2013 using the Z-test for proportions; this was done both for data retrieved from the TB registers and the patients' clinical records. The 95% confidence intervals (CI) were calculated using the method described by Wilson. To determine the incidence of EPTB at population level, the total population was calculated using available census data for individuals residing in the catchment area hospitals included in this evaluation (997650 individuals in 2011) [25]. A p-value of <0.05 was considered significant.

## **RESULTS**

## TB cases over time in the district

The total number of TB cases recorded in the TB register decreased by 13% (95% CI 11 - 15%) from 1432 patients in 2009 to 1239 patients in 2013 (**Figure 1**). At the same time, the estimated mid-year ART-coverage amongst all HIV-infected patients in Mopani District increased from 5% (5489 patients on ART) in 2009 to 41% (44015 patients on ART) in 2013 (p < 0.01). The reduction was observed for both EPTB (399 vs. 336 cases; p < 0.01) and PTB (1031 vs. 896 cases; p < 0.01). The proportion of cases classified as EPTB remained the same between both years (28% vs. 27%; p = 0.68) and the reduction in cases was in the same range for EPTB (16% (95% CI 13 - 20%)) and PTB (13% (95% CI 11-15%); p = 0.59).

Figure 1: Number of patients treated for extrapulmonary and pulmonary tuberculosis (TB) as per hospital TB registers in the context of ART-coverage in a rural district in 2009 and 2013.



**Note.** TB, tuberculosis; EPTB, extrapulmonary tuberculosis; PTB, pulmonary tuberculosis; ART, antiretroviral therapy.

## Demographic characteristics of patients treated for EPTB over time

The decrease in EPTB cases was based on a 21% decrease among adult individuals (from 373 in 2009 to 295 in 2013) (**Table 1**). However, although the absolute number is small, the number of children treated for EPTB increased from 26 in 2009 to 41 in 2013 (p < 0.01). Amongst these children the proportion HIV-uninfected children treated for EPTB increased significantly from 8 in 2009 to 27 children in 2013 (31% vs. 66%; p < 0.01); this was not due to a specific type of EPTB. There was no difference in gender distribution over time. The proportion of individuals with unknown HIV status decreased over time, but among those with documented HIV status, the proportion of individuals with EPTB that had a positive HIV status decreased (p<0.01). The ART-coverage among HIV-infected patients with EPTB increased from 25% to 57% (p < 0.01) between both years. In 2009, 18% of all patients classified as EPTB were HIV-uninfected, increasing to 30% in 2013 (p < 0.01); this was not related to gender. The median CD4-count was slightly lower amongst HIV-infected patients on ART

compared to those not on ART and remained the same between both years. For both years 80% of the treatment outcome was missing, mainly due to patients moving out to primary health clinics for follow-up.

Table 1: Characteristics of patients treated for extrapulmonary tuberculosis

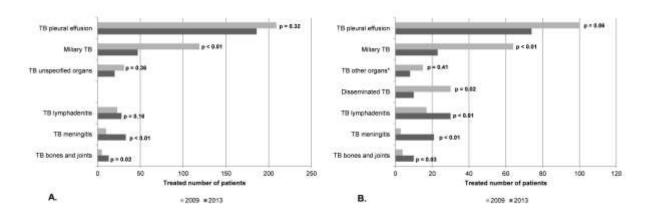
	2009	2013	p-value
	(n=399)	(n = 336)	-
Age <sup>a</sup>			
≤18 years	26 (7)	41 (12)	p < 0.01
>18 years	373 (93)	295 (88)	
Gender <sup>a</sup>			
Male	189 (47)	150 (45)	$p = 0.48^{b}$
Female	210 (53)	185 (55)	
HIV-infection <sup>a</sup>			
HIV-infected	267 (82)	224 (70)	$p < 0.01^{c}$
HIV-uninfected	57 (18)	94 (30)	
Unknown	75	18	p < 0.01 <sup>d</sup>
HIV-infected individuals on ART <sup>a</sup>			
Yes	63 (25)	123 (57)	p < 0.01 <sup>e</sup>
No	192 (75)	94 (43)	
Median CD4 of HIV-infected			
patients at diagnosis of EPTB <sup>f</sup>			
On ART	117 (16-362)	103 (4-725)	
Not on ART	127 (3-845)	123 (1-1149)	

**Note.** HIV, human immunodeficiency virus; ART, antiretroviral therapy. <sup>a</sup>Data are shown as number (%). <sup>a</sup>p-value is given for male vs. female. <sup>c</sup>p-value is given for HIV-infected vs. HIV-uninfected. <sup>d</sup>p-value is given for known HIV-status vs. unknown HIV-status. <sup>e</sup>p-value is given for Yes vs. No. <sup>f</sup>Data are shown as median (minimum-maximum) in cells/mm<sup>3</sup>.

## **Distribution of types of EPTB**

TB pleural effusion is the most common type of EPTB (53% in 2009 vs. 56% of all cases in 2013), followed by miliary TB (30% respectively 14%) (**Figure 2a**). There was no significant change of the proportion of individuals treated for pleural effusion over time, but the number of cases of miliary TB decreased significantly from 119 to 49 (p < 0.01). The proportion of individuals treated for miliary TB that had a positive HIV status remained the same (89% vs. 80%, p = 0.15, table 2). The ART-coverage increased from 26% to 62% (p < 0.01). The median CD4-count remained very low for both years and both HIV-infected people on ART and not on ART amongst these patients with miliary TB.

Figure 2: Distribution of types of extrapulmonary tuberculosis recorded at four hospitals in Mopani District between 2009 and 2013.



**Note.** A: Data from hospital tuberculosis (TB) register. B: Data from clinical records. TB, tuberculosis; EPTB, extrapulmonary tuberculosis. p-value given as compared to total EPTB cases. \*Based on the diagnosis in clinical records these include cases of TB abdomen (8 cases in 2009 vs. 6 cases in 2013), TB pericarditis (5 cases vs. 2 cases respectively), TB mastitis (1 case vs. 0 cases respectively) and TB artery (1 case vs. 0 cases respectively)

The other types of TB were recorded in relatively small numbers. However, in contrast to the reduction in miliary TB, the proportion of patients with TB meningitis increased significantly from 10 to 33 patietns between 2009 and 2013 (p<0.01); the increase in TB meningitis was not associated with HIV status (p = 0.50, table 2). TB of bones and joints also increased significantly between both years from 5 to 13 patients (p = 0.02). No significant changes were observed in the small number of cases of TB lymphadenitis and TB of unspecified organs.

Table 2: Types of extrapulmonary tuberculosis in 2009 and 2013 according to the ICD-10-codes in the TB register stratified for HIV infection

	2009	2013	p-value
	(n = 399)	(n = 336)	
TB pleural effusion <sup>a</sup>			
HIV infected	128 (78)	129 (72)	$p = 0.21^{b}$
HIV uninfected	37 (22)	51 (28)	
Unknown	44	6	p < 0.01°
Miliary TB <sup>a</sup>			
HIV infected	89 (89)	36 (80)	p = 0.15 <sup>b</sup>
HIV uninfected	11 (11)	9 (20)	
Unknown	19	2	$p = 0.04^{c}$
TB lymphadenitis <sup>a</sup>			
HIV infected	17 (89)	14 (50)	p = 0.01 <sup>b</sup>
HIV uninfected	2 (11)	14 (50)	
Unknown	4	0	$p = 0.02^{c}$
TB meningitis <sup>a</sup>			
HIV infected	6 (67)	21 (78)	$p = 0.50^{b}$
HIV uninfected	3 (33)	6 (22)	
Unknown	1	6	$p = 0.54^{c}$
TB bones and joints <sup>a</sup>			
HIV infected	3 (100)	4 (40)	p = 0.07 <sup>b</sup>
HIV uninfected	0	6 (60)	
Unknown	2	3	$p = 0.47^{c}$
TB of unspecified organs <sup>a</sup>			·
HIV infected	24 (89)	14 (64)	$p = 0.03^{b}$
HIV uninfected	3 (11)	8 (36)	·
Unknown	4	1	$p = 0.28^{c}$
Missing diagnosis <sup>a</sup>			·
HIV infected	0	6 (100)	p < 0.01 <sup>b</sup>
HIV uninfected	1 (100)	0	•
Unknown	1	0	p < 0.01 <sup>c</sup>

**Note.** TB, tuberculosis; HIV, human immunodeficiency virus; <sup>a</sup>Data are shown as number (%). <sup>b</sup>p-value is given for HIV-infected vs. HIV-uninfected. <sup>c</sup>p-value is given for known HIV-status vs. unknown HIV-status.

## Diagnosis in TB registers vs. clinical records

We retrieved 253 clinical records of 399 patients (63%) classified as EPTB in the TB register in 2009 and 223 clinical records of 336 patients (66%) classified as EPTB in the register in 2013 (p = 0.40) (Figure 3).

Information with regards to diagnosis was missing in four clinical records in 2009 and eight in 2013. In 2009 88% of the patients had the same EPTB diagnosis recorded in register and patient's clinical record, compared to 69% in 2013 (p < 0.01). Amongst the 30 patients with a discordant diagnosis between register and patient's clinical record 16 were diagnosed PTB in 2009, compared to 39 of the 64 patients in 2013 (p = 0.48). The most common ICD-10 code of these patients in the TB

register was TB pleural effusion, followed by miliary TB. The remaining patients with different diagnosis had a diagnosis of EPTB in both clinical record and register, but at a different site.

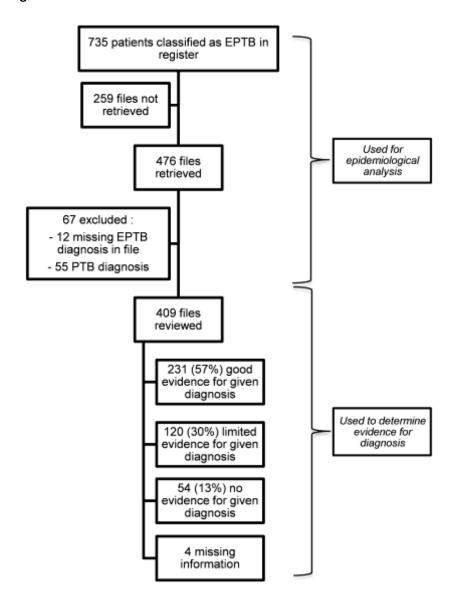


Figure 3: flow chart of review of clinical records

Note. EPTB, extrapulmonary tuberculosis; PTB, pulmonary tuberculosis.

The trends in population characteristics (e.g. gender, age, HIV-status) were similar as recorded from the registers and the patients' clinical records, including a significant increase in children treated for EPTB and a significant increase in HIV-uninfected patients treated for EPTB. This supports validity of data captured from both resources. Based on the diagnosis recorded in the

clinical records, the same trends in type of EPTB over time were generally observed as reported from the TB register (**Figure 2b**). The only difference observed was for TB lymphadenitis with an increase from 7% to 17% (p < 0.01) in cases according to the information retrieved from the clinical records. However, we did not manage to retrieve the clinical record from 10 of the 23 patients (43%) classified as TB lymphadenitis in 2009 according to the register compared to 5 of the 28 patients (18%) in 2013 (p = 0.05).

As per clinical records there was a significant decrease in disseminated TB (13% in 2009 vs. 6% in 2013, p = 0.02). The proportion of HIV-infected patients remained the same amongst this subpopulation (p = 0.76), while the ART-coverage increased significantly (p < 0.01).

## **Evidence for given diagnosis**

In 136 of the 233 retrieved clinical records in 2009 (59%) and 95 of the 176 clinical records in 2013 (55%), there was good evidence available to support the diagnosis. There was limited evidence in 28% vs. 32% of the clinical records respectively and no evidence supporting the diagnosis in 13% vs. 13% of the clinical records respectively. This did not differ significantly between both years (p = 0.61). The evidence and investigations for miliary TB and TB of bone and spine were documented very well with 95% vs. 71% good evidence for the diagnosis, respectively. TB lymphadenitis was recorded the worst with no evidence for the diagnosis in the retrieved clinical record in 41% of all cases of TB lymphadenitis. The evidence for TB meningitis could not be compared between both years due to small numbers in 2009 (3 cases only), although 75% of the cases with TB meningitis in 2013 had good or limited evidence for the diagnosis (**Table 3**).

Table 3: Evidence for given diagnosis in patient's clinical record stratified for type of extrapulmonary tuberculosis

	Good evidence for given diagnosis	Limited evidence for given diagnosis	No evidence for given diagnosis
Miliary TB	83 (95)	2 (2)	2 (2)
TB meningitis	9 (41)	8 (36)	5 (24)
TB pleural effusion	69 (40)	87 (50)	17 (10)
TB bone and spine	10 (71)	4 (29)	0
TB lymphadenitis	17 (37)	10 (23)	19 (41)
Disseminated TB	31 (78)	3 (8)	6 (15)
TB of other organs*	12 (52)	6 (26)	5 (22)

**Note.** TB, tuberculosis. Data are shown as number (%). \*Including 14 cases of TB abdomen, 7 cases of TB pericarditis, 1 case of TB mastitis and 1 case of TB artery.

## **DISCUSSION**

This is the first study that demonstrates a significant decrease in cases of EPTB in the context of ART scale-up in Africa. We show that the decrease in EPTB in our setting is similar to that of PTB and that the epidemiological profile of EPTB has changed. We used routinely available data of the TB register in this analysis and confirmed the observation by review of patients' clinical records to assess data quality issues and concerns regarding the clinical diagnostic evidence of diagnosis.

The HIV epidemic has been a major driver of the TB epidemic in Africa [4]. In that context, provision of ART is an important intervention to reduce the burden of TB. Several studies suggest that the TB control programme might benefit from an expanded access to ART in HIV-infected patients [9,26]. We observed a 13% decline in TB cases between 2009 and 2013 in the context of the ART scale-up in a rural South African district. This in line with other studies: a study from Malawi reported a decrease of 33% of TB cases five years after introduction of ART [27] and in Cape Town the TB notification rate declined by 16% [10]. Futhermore, Nanoo *et al.* reported a decline of microbiologically confirmed PTB of 9% between 2008 and 2012 in South Africa in the context of the ART scale-up [11], similar to the 13% decrease in PTB we observed.

We provide the first report that shows a similar decline in cases of EPTB as for PTB in our setting. Despite that this is a promising observationwe hoped to find a decline in EPTB that would be

larger than for PTB. HIV has a stronger relationship with EPTB than PTB [14,28]. Gomer et al reports an Odds Ratio (OR) 2.15 (95% CI 2.09-2.21) of HIV infection amongst EPTB comparing to PTB. A possible explanation of our findings is an overestimation of the decline in PTB patients, because we only analyzed hospital data and did not include data from primary healthcare (PHC) settings. Generally, patients diagnosed with PTB at the hospital have more severe illness than the uncomplicated cases at PHC facilities. A shift towards less severe TB disease as result of ART could have let to more individuals being diagnosed with PTB outside the hospital. The introduction of GeneXpert in 2010 as first-line diagnostic for TB might have contributed to more diagnosis of PTB in the PHC as well [24,29].

We observed an increase in EPTB amongst children. Although this is surprising, especially amongst HIV-uninfected children, we were not able to relate this increase to any specific hospital or the pattern in the distribution of EPTB. We do not have a clear explanation for this, but increased diagnostic awareness among local doctors may not be ruled out. In our study the median CD4-count remained the same between both years. This illustrates the strong association of EPTB with low CD4 count in HIV-infected individuals. Although population risk for EPTB was reduced following ART scale-up (as reflected in decreased number of EPTB cases), HIV-infected patients are still at risk of getting EPTB when their CD4 counts are low (ART not started, non-compliance to ART, on ART for a short time) [21].

The most common type of EPTB was TB pleural effusion followed by miliary TB. TB lymphadenitis was only diagnosed in 6% of the cases in 2009 and 9% of the cases in 2013. Moreover, in patients treated for TB lymphadenitis there is a low frequency of good documentation supporting this diagnosis in the patient records. Other studies report TB pleural effusion [26,28,30,31] or TB lymphadenitis [15,32] as the most common type of EPTB. This suggests that there is under recording. The under diagnosis of TB lymphadenitis in our region warrants attention.

The absolute number of patients with TB pleural effusion decreased, but it still is the site of EPTB for half of the patients. There are several reasons why there may not be a decrease in the

proportion of patients with pleural effusion. The definitive diagnosis is difficult to achieve [33], especially because it requires invasive investigations and GeneXpert has a poor sensitivity in diagnosing TB pleural effusion [34,35]. This is also illustrated in our review of clinical records where only 40% had good evidence for the diagnosis. Besides this, pleural effusion can be reactive to PTB, where it should be classified as PTB instead of EPTB. Finally, the possibility of misdiagnosis of pleural effusion of other aetiology as EPTB (e.g. heart failure, malignancy) cannot be excluded, especially in settings with limited resources.

The HIV epidemic has been associated with a strong increase in miliary and disseminated TB, especially among individuals with severe immunosuppression (CD4 <200 cells/ $\mu$ l) [36,37]. HIV-infection is a strong predictor for blood stream infection (BSI) with *Mycobacterium tuberculosis* [38]. Our data show a significant reduction in both miliary TB and disseminated TB associated with ART scale-up. This suggests that ART scale-up is decreasing the risk of BSI in HIV-infected patients, which has been reported before [39].

Although we describe an encouraging decline in EPTB in general, and in miliary TB and disseminated TB in particular, we observed a significant increase in the small number of TB of bones and joints and cases of TB meningitis. One study reports a rising incidence of TB of bones and joints; none of the patients was HIV-infected [40]. This may implicate that TB of bones and joints is not related to HIV as strongly as most other types of EPTB and probably other risk factors are more important. HIV-infected patients are at higher risk for TB meningitis compared to HIV-uninfected patients [41], which led to our hypothesis TB meningitis would decline in the context of the ART-scale up. However, our data show an increase, which is similar for all four hospitals, while the evidence for TB meningitis in the patient's clinical record remained the same between both years. This trend needs attention and should be investigated in further detail, as TB meningitis is a predictor of mortality amongst HIV-infected patients with EPTB [42].

This study has several limitations. First, we performed a retrospective record review using data from the hospital TB registers. Due to the general lack of resources and skills to conduct a

prospective study, we conducted a retrospective analysis of routinely available data. Data quality issues are always a concern when using routine programme data, but by analyzing both the TB registers as well as the clinical records we attempted to minimize this effect in our interpretation. TB is a notifiable disease in South Africa and everyone initiated on TB treatment has to be recorded, resulting in a little recording bias when analyzing the data from the TB register. However, poor record keeping in files is a well-known phenomenon in African healthcare setting, hence it is not suprising that recording of evidence of diagnosis is not optimal. These diagnostic issues do not mean that tests were not performed or that the diagnosis is incorrect. It reflects both the quality of record keeping as well as the quality of clinical assessment and diagnosis. Despite some disconcordance between TB register and clinical records, the trends were similar, providing a good reflection of what is happening over time in our setting. Besides this, the level of ART adherence was not assessed and we cannot exclude a possible influence of this on the epidemiology of EPTB. We also do not have data on the time elapsed between ART initiation and EPTB, so we could not determine the role of immune reconstitution in the epidemiology. Furthermore, we could not retrieve and provide any accurate information about prognosis and follow up. We choose 2013 as year after the start of the ART scale-up in order to get data about treatment outcome and follow-up. Unfortunately data about follow up was missing in most of the patients, mainly due to referral back to the primary health care. This is a missed opportunity as ART has been associated with a reduced mortality rate among PTB patients and it would have been important to assess this association in the context of EPTB as well [43-45]. Despite this limitations, this is the first study on this topic, providing insight in the epidemiology of EPTB in the context of the ART scale-up. Prospective data is needed to confirm the observed trends.

Despite the documented reduction in EPTB associated with strong scale-up of ART services in our rural district, a considerable burden of disease remains. Prevention of infection, early detection of TB, accurate diagnosis and treatment, and active screening, especially amongst HIV-infected patients, are necessary to control the TB epidemic [44-45]. In addition, more awareness is required

for the documentation of EPTB data. There should also be awareness for the diagnosis and clinical management of EPTB, especially for the types of EPTB where laboratory testing is needed (e.g. TB lymphadenitis, TB pleural effusion).

In conclusion, we provide the first data that show an encouraging decrease in EPTB between 2009 and 2013, similar to PTB, in the context of the ART scale-up in Africa. Despite this reduction a considerable epidemic remains, resulting in an ongoing broad public health implication of TB. Besides this, the epidemiology of EPTB is changing, which shows the need for educated health care workers and expansion of the TB programme in rural South Africa.

#### **CONFLICT OF INTEREST**

The authors declare no conflict of interest.

#### **ACKNOWLEDGMENTS**

We thank the Mopani Department of Health for their support of this study.

### **FINANCIAL SUPPORT**

Anova Health Institute receives a grant from the US President's Emergency Plan for AIDS Relief (PEPFAR) program via the US Agency for International Development under Cooperative Agreement No. AID-674-A-12-00015. The views expressed in this report do not necessarily reflect those of PEPFAR or USAID. The funders had no role in study design, data collection and analysis, decision to publish or preparation of the manuscript.

#### **REFERENCES**

- World Health Organization. Global tuberculosis report 2013 supplement: Countdown to 2015. World Health Organization 2013.
- 2. World Health Organization. Global Tuberculosis Report 2013. World Health Organization 2013.
- Corbett EL, et al. The growing burden of tuberculosis: global trends and interactions with the HIV epidemic. Arch Intern Med 2003; 163: 1009-1021. doi: 10.1001/archinte.163.9.1009
- Abdool Karim SS, Churchyard GJ, Karim QA, Lawn SD. HIV infection and tuberculosis in South Africa: an urgent need to escalate the public health response. *Lancet* 2009; 374: 921-933. doi:10.1016/S0140-6736(09)60916-8
- 5. **Klausner JD**, *et al.* Scale-up and continuation of antiretroviral therapy in South African programs, 2005-2009. *J Acquir Immune Defic Syndr* 2011; **56**: 292-295. doi: 10.1097/QAI.0b013e3182067d99.
- World Health Organization. Rapid advice: antiretroviral therapy for HIV infection in adults and adolescents. World Health Organization 2009.
- Plazy M, et al. Change of treatment guidelines and evolution of ART initiation in rural South Africa: data
  of a large HIV care and treatment programme. BMC Infect Dis 2015; 15: 452. doi: 10.1186/s12879-0151207-2
- Bachmann MO, Timmerman V, Fairall LR. Effect of antiretroviral treatment on the risk of tuberculosis during South Africa's programme expansion. *AIDS* 2015; 29: 2261-2268. doi: 10.1097/QAD.0000000000000000066
- Badri M, Wilson D, Wood R. Effect of highly active antiretroviral therapy on incidence of tuberculosis in South Africa: a cohort study. *Lancet* 2002; 359: 2059-2064. doi: 10.1016/S0140-6736(02)08904-3
- 10. **Hermans S, et al.** Temporal trends in TB notification rates during ART scale-up in Cape Town: an ecological analysis. *J Int AIDS Soc* 2015; **18**:20240. doi: 10.7448/IAS.18.1.20240.
- Nanoo A, et al. Nationwide and regional incidence of microbiologically confirmed pulmonary tuberculosis in South Africa, 2004-12: a time series analysis. Lancet Infect Dis 2015; 15: 1066-1076. doi: 10.1016/S1473-3099(15)00147-4

- 12. **Reniers G, et al.** Trends in the burden of HIV mortality after roll-out of antiretroviral therapy in KwaZulu-Natal, South Africa: an observational community cohort study. *Lancet HIV* 2016. Published online: 9 december 2016. doi: 10.1016/S2352-3018(16)30225-9.
- 13. **Bates M**, *et al.* Burden of tuberculosis at post mortem in inpatients at a tertiary referral centre in sub-Saharan Africa: a prospective descriptive autopsy study. *Lancet Infect Dis* 2015; **15**: 544-551. doi: 10.1016/S1473-3099(15)70058-7
- 14. **Naing C, et al.** Meta-analysis: the association between HIV infection and extrapulmonary tuberculosis. Lung 2013; **191:** 27-34. doi: 10.1007/s00408-012-9440-6
- 15. **Sharma SK, Mohan A.** Extrapulmonary tuberculosis. *Indian J Med Res* 2004; 120: 316-353. doi: not available.
- 16. **Ghiya R**, *et al*. Clinico-epidemiological profile of HIV/TB coinfected patients in Vadodara, Gujurat. *Indian J Sex Transm Dis* 2009; **30**: 10-15.doi: 10.4103/0253-7184.55472.
- 17. **Kingkaew N**, *et al.* HIV-associated extrapulmonary tuberculosis in Thailand: epidemiology and risk factors for death. *Int J Infect Dis* 2009; **13**:722-729. doi: 10.1016/j.ijid.2008.11.013
- 18. **Wilkinson D, Moore DA.** HIV-related tuberculosis in South Africa—clinical features and outcome. *S Afr Med J* 1996; **86**: 61-67. doi: not available.
- 19. Lee JY. Diagnosis and treatment of extrapulmonary tuberculosis. *Tuberc Respir Dis* 2015; **78**; 47-55. doi: 10.4046/trd.2015.78.2.47
- Diendéré EA, et al. Clinical outcomes and mortality associated factors in patients infected with HIV receiving a presumptive anti-tuberculosis treatment in a tertiary level hospital in Burkina Faso. AIDS care 2015; 27: 1250-1254. doi: 10.1080/09540121.2015.1050982
- 21. **Leeds IL**, *et al*. Site of extrapulmonary tuberculosis is associated with HIV infection. *Clinical Infectious Diseases* 2012; **55**: 75-81. doi: 10.1093/cid/cis303
- 22. **Department of Health South Africa**. Clinical guidelines for the management of HIV and AIDS in adults and adoloscents. *Department of Health* 2010
- 23. **World Health Organization.** International statistical classification of diseases and related health problems 10th revision: version for 2008.
  - http://apps.who.int/classifications/icd10/browse/2008/en#/A15-A19. (accessed 24 January 2017)

- 24. Department of Health South Africa. National tuberculosis management guidelines 2014. Department of Health 2014
- 25. Statistics South Africa (Stats SA) (www.statssa.gov.za/). Accessed 24 January 2017.
- Ade S et al. National profile and treatment outcomes of patients with extrapulmonary tuberculosis in Bénin. PLoS One 2014; 9:e95603. doi: 10.1371/journal.pone.0095603
- 27. **Zachariah R** *et al.* Reduced tuberculosis case notification associated with scaling up antiretroviral treatment in rural Malawi. *Int J Tuberc Lung Dis* 2011; **15**: 933-937. doi: 10.5588/ijtld.10.0666
- 28. **Gomes T** *et al.* Epidemiology of extrapulmonary tuberculosis in Brazil: a hierarchical model. *BMC Infect Dis* 2014; **14**:9. doi: 10.1186/1471-2334-14-9.
- 29. **Meyer-Rath G** *et al.* The impact and cost of scaling up GeneXpert MTB/RIF in South Africa. *PLoS One* 2012; **7**:e36966. doi: 10.1371/journal.pone.0036966
- 30. **García-Rodríguez** *et al.* Extrapulmonary tuberculosis: epidemiology and risk factors. *Enferm Infecc Microbiol Clin* 2011; **29:** 502-509. doi: 10.1016/j.eimc.2011.03.005
- 31. **Karstaedt AS** *et al.* Extrapulmonary tuberculosis amongst adults: experience at Chris Hani Baragwanath academic hospital, Johannesburg, South Africa. *S Afr Med J* 2013; **104**: 22-24. doi: 10.7196/samj.6374
- 32. **Al-Hajoj S et al.** Exploring the sociodemographic and clinical features of extrapulmonary tuberculosis in Saudi Arabia. *PLoS One* 2015; **10:** e0101667. doi: 10.1371/journal.pone.0101667
- 33. **Ferreiro L, San José E, Valdés L.** Tuberculous pleural effusion. *Arch Bronconeumol* 2014; **50**: 435-443. doi: 10.1016/j.arbres.2013.07.006.
- 34. **Lusiba JK**, *et al.* Evaluation of Cepheid's Xpert MTB/Rif test on pleural fluid in the diagnosis of pleural tuberculosis in a high prevalence HIV/TB setting. *PLoS One* 2014; **9:**e102702. doi: 10.1371/journal.pone.0102702
- 35. **Meldau R** *et al.* Comparison of same day diagnostic tools including Gene Xpert and unstimulated IFN-y for the evaluation of pleural tuberculosis: a prospective cohort study. *BMC Pulm Med* 2014; **14**:58. doi: 10.1186/1471-2466-14-58
- 36. **Ray S** *et al.* Diagnosis and management of miliary tuberculosis: current state and future perspectives. *Ther Clin Risk Manag* 2013; **9:** 9-26. doi: 10.2147/TCRM.S29179
- 37. **Sharma SK, Mohan A, Sharma A, Mitra DK.** Miliary tuberculosis: new insights into an old disease. *Lancet Infect Dis* 2005; **5:** 415-430. doi: 10.1016/S1473-3099(05)70163-8

- 38. **Lewis DK** *et al.*Clinical indicators of mycobacteraemia in adults admitted to hospital in Blantyre. *Malawi Med J* 2003; **15:** 56-60. doi: not available.
- 39. **Hadad DJ** *et al.* Mycobacteraemia among HIV-1-infected patients in São Paulo, Brazil: 1995 to 1998. *Epidemiol Infect* 2004; **132**: 151-155. doi: not available.
- 40. **Wibaux C** *et al.* Changes in the incidence and management of spinal tuberculosis in a French university hospital rheumatology department from 1966 to 2010. *Joint Bone Spine* 2013; **80:** 516-519. doi: 10.1016/j.jbspin.2012.10.001.
- 41. **Berenguer J** *et al.*Tuberculous meningitis in patients infected with the human immunodeficiency virus. *N Engl J Med* 1992; **326:** 668-672. doi: 10.1056/NEJM199203053261004
- 42. **Kourbatova EV**, *et al*. Risk factors for mortality among patients with extrapulmonary tuberculosis at an academic inner-city hospital in the US. *Eur J Epidemiol* 2006; **21**: 715-721. doi: 10.1007/s10654-006-9060-7
- 43. **Bor J, Herbst AJ, Newell ML, Bärnighausen T.** Increases in adult life expectancy in rural South Africa: valuing the scale-up of HIV treatment. *Science* 2013; **339:** 961-965. doi: 10.1126/science.1230413
- 44. **Dye C, Glaziou P, Floyd K, Raviglione M.** Prospects for tuberculous elimination. *Annu Rev Public Health* 2013; **34:** 271-286. doi: 10.1146/annurev-publhealth-031912-114431
- 45. **Dodd PJ** *et al.* Predicting the long-term impact of antiretroviral therapy scale-up on population incidence of tuberculosis. *PLoS One* 2013; **8:**e75466. doi: 10.1371/journal.pone.0075466

## **Supplementary Material**

Supplementary Table S1: classification of evidence for given diagnosis in patient's clinical record (based on

## National TB Guideline 2014 [24] and expert opinion)

	Good evidence for given diagnosis	Limited evidence for given diagnosis	No evidence for given diagnosis
All sites of extrapulmonary tuberculosis	Diagnosed at other hospital	<ul> <li>Results can be TB, but there is also a good alternative diagnosis.</li> <li>Diagnosed at GP.</li> </ul>	<ul> <li>No (results of)         <ul> <li>investigations supporting diagnosis.</li> </ul> </li> <li>All results confirm an alternative diagnosis.</li> <li>Mantoux negative in HIV-uninfected patients</li> </ul>
TB pleural effusion	X-ray done (not necessarily described) AND suggestive tap results: exudate (protein >30g/l), ADA >30 U/L, straw coloured aspirate	<ul> <li>X-ray described as: (TB) pleural effusion / massive pleural effusion uni- or bilateral.</li> <li>No tap done OR tap done and no results in clinical record</li> </ul>	No evidence on X-ray, no tap done OR clear alternative diagnosis (e.g. cardiomegaly on X-ray suggesting heart failure instead of TB pleural effusion)
TB pericarditis	Ultrasound described as: suggestive of TB, protein rich with fibrin	<ul> <li>Cardiomegaly on X-ray without results strongly suggesting alternative diagnosis (e.g. heart failure).</li> </ul>	Documented information do not suggest TB pericarditis
Miliary TB	X-ray described as: miliary pattern / miliary TB / miliary TB (PTB) / miliary PTB / miliary image (bilateral infiltration) / disseminated micronodules, miliary TB/ bilateral patchy consolidation – miliary TB picture / suggestive of miliary TB / reticonodular pattern / reticulonodular infiltrates X-ray not described but diagnosis miliary TB in clinical record	Unclear description of X-ray and sputum 'smear positive'.	No X-ray done     X-ray description     suggestive of other     diagnosis (e.g. bilateral     granuloma,     cardiomegaly).
TB of spine and bone	<ul> <li>Patients &lt;60 years and X-ray described as: hip TB artritis, TB spine, collaps of vertebral body, kyphosis.</li> <li>MRI: TB spine.</li> </ul>	<ul> <li>Patients &gt;60 years and X-ray described as: L5 destruction, collapsed lumbar vertebral body.</li> <li>Patients &lt;60 years and X-ray not described.</li> </ul>	Documented information do not suggest TB of spine and bone
TB lymphadenitis	Fine needle aspiration (FNA) confirm diagnosis:  AFB positive,  granulomas, caseous  material  Fine needle aspiration  (FNA) confirm diagnosis:  AFB positive,  granulomas, caseous	<ul> <li>FNA with suggestive results: necrotic mass, suspicious but not diagnostic lymphadenitis</li> <li>Patients &lt;1 year, lymphadenopathy, HIV uninfected and positive mantoux.</li> <li>TB on X-ray and lymphadenopathy outside the chest</li> </ul>	Clinical lymphadenopathy. Patients >1 year, lymphadenopathy, HIV-uninfected and positive mantoux without other results suggesting diagnosis.
TB meningitis	Suggestive CSF-results: clear CSF, protein >1 g/l, low glucose, ADA > 7.0 U/L, high lymphocyte	History and physical examination are suggestive.	Documented information do not suggest TB meningitis

	count		
TB abdomen	Ascites and tap results are suggestive: ADA > 20 U/L	Not applicable	Documented information do not suggest TB abdomen
Disseminated TB	<ul> <li>Investigations confirm TB of at least one site (as described as 'good evidence').</li> <li>Investigations are suggestive of TB in at least two sites (as described as limited evidence)</li> </ul>	Investigations suggest     TB of one site	Documented information do not suggest disseminated TB