

**The effect of Physiological concentrations of  
17 $\beta$ -Estradiol and Progesterone on  
Fibrin network formation**

by

**Amcois Visagie**

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**Amcois Visagie**

Supervisor: Dr A.C Swanepoel

Department of Physiology, School of Medicine, Faculty of Health Sciences,  
University of Pretoria, South Africa

**Degree: MSc**

## **Abstract**

**17 $\beta$ -Estradiol (E<sub>2</sub>) and progesterone (P<sub>4</sub>) have various important functions but the effect of these endogenous hormone concentrations on fibrin network formation has not been established. It is essential to understand natural hormone mechanisms since these hormones are still present in circulation while hormonal contraceptives, which are associated with increased risk of venous thromboembolism, are used. In this study the formation of a fibrin network is analysed when different physiological concentrations of E<sub>2</sub> and P<sub>4</sub> is added to platelet poor plasma. Blood coagulation is critical for haemostasis but when the formation of a stable clot is influenced in such a way that hypercoagulation takes its course, it can have detrimental effects as it increases the risk of venous thrombosis. During blood coagulation fibrinogen is converted into fibrin in the presence of thrombin. The formation of a dense fibrin clot structure is quite an intense process and packaged in very specific ways. Both E<sub>2</sub> and P<sub>4</sub> has the ability to shift the haemostatic balance to a hypercoagulable state and therefore viscoelastic studies, morphological analysis as well as**

turbidimetry were used in this study to observe the possible changes in the fibrin network formation. Viscoelastic studies included thromboelastography (TEG) which gave insight to the properties of clot formation. Morphological studies included scanning electron microscopy (SEM) and atomic force microscopy (AFM) which delivered an investigation in fibrin network morphology, fibrin fiber diameter and surface roughness. Turbidimetry included further analysis of plasma fibrin clot formation and clot lysis time (CLT). Results showed that E<sub>2</sub> and P4 showed hypercoagulable viscoelastic properties with decreased fibrin diameter and surface roughness while increased occurrence of dense matted deposits (DMDs) were evident. Turbidimetry showed decreased CLT for E<sub>2</sub>, but not P4. These results suggest in the presence of endogenous estrogen and progesterone, which is associated with hypercoagulability, the additional burden of synthetic hormones may result in a pro-thrombotic and hypercoagulable state in females with an inflammatory predisposition. It appears that both E<sub>2</sub> and P4, which are known for their anti- and pro-inflammatory action, may influence fibrin network formation on a molecular level. These results are of clinical importance when considering hormones as either a pathological agent or therapeutic intervention.

**Keywords:** 17 $\beta$ -estradiol, Progesterone, Fibrin, Fibrinogen, Thromboembolism, Visco-elasticity, Morphology, Turbidimetry

## Declaration

I, Amcois Visagie, hereby declare that this research dissertation is my own and has not been presented for any degree at other Universities.

Signed at \_\_\_\_\_ Pretoria \_\_\_\_\_ on \_\_\_\_\_ 11 October 2016 \_\_\_\_\_

\_\_\_\_\_

Signature

Department of Physiology, School of Medicine, Faculty of Health Sciences, University of Pretoria, South Africa

## Abbreviations

Abbreviation	Description
$\alpha$	Angle (degrees)
AFM	Atomic force microscopy
ANOVA	Analysis of variance
CLT	Clot lysis time
CRP	C-reactive protein
DHEA-s	Dehydro-epiandrosterone sulphate
DMDs	Dense matted deposits
DNA	Deoxyribonucleic Acid
E <sub>1</sub>	Estrone
E <sub>2</sub>	17 $\beta$ -estradiol
E <sub>3</sub>	Estriol
ELISA	Enzyme-linked immunosorbent assay
ER	Estrogen receptor
ER $\alpha$	Estrogen receptor $\alpha$
ER $\beta$	Estrogen receptor $\beta$
ERE	Estrogen response elements
ER-X	Estrogen receptor X
FII	Factor II / Prothrombin
FIIa	Activated factor II / Thrombin
FV	Factor V
FVII	Factor VII / Zymogen
FVIIa	Activated factor VII / Zymogen
FVIII	Factor VIII
FVIIIa	Activated factor VIII
FIX	Factor IX
FIXa	Activated factor IXa
FX	Factor X



FXa	Activated factor X
FXI	Factor XI
FXIa	Activated factor XI
FXII	Factor XII / Hageman factor
FXIIa	Activated factor XII / Activated hageman factor
FXIII	Factor XIII
FBG	Fibrinogen
FDP's	Fibrin degradation products
FEG SEM	Field Emission gun scanning electron microscopy
FPA	Fibrinopeptide A
FPB	Fibrinopeptide B
GPR30	Glycoprotein receptor 30
HMDS	Hexamethyldisilazane
IL-6	Interleukin 6
IL-8	Interleukin 8
IRMA	Intra-retinal microvascular abnormalities
K	Kinetics
LDL	Low density lipoprotein
MA	Maximum amplitude
MPO	Myeloperoxidase
mRNA	Messenger ribonucleic acid
MRTG	Maximum rate of thrombus formation
OsO <sub>4</sub>	Osmium tetroxide
P4	Progesterone
PBS	Phosphate-buffered saline
PGR	Progesterone receptor
PGR-A	Progesterone receptor $\alpha$
PGR-B	Progesterone receptor $\beta$
PPP	Platelet poor plasma
QNM	Quantitative Nanomechanical Property Mapping

R	Reaction time
RIA's	Radioimmunoassays
RMS	Root mean square
ROS	Reactive oxygen species
SANBS	South African National Blood Services
SEM	Scanning electron microscopy
SF	Serum Ferritin
SHBG	Sex hormone-binding globulin
TAFI	Thrombin activatable fibrinolysis inhibitor
TEG	Thromboelastography
TF	Tissue factor
TF	Transferrin
TMRTG	Time to maximum rate of thrombus formation
tPA	Tissue plasminogen activator
Trp	Tryptophan
TTG	Total thrombus generation
VTE	Thromboembolism
vWF	Von Willebrand factor

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## Chapter 1: Introduction

Haemostasis is an extremely important process which is tightly regulated by mechanisms in place to prevent excessive blood loss. Platelets become activated and adhere to macromolecules in subendothelial tissues at the site of injury and then aggregate to form the primary haemostatic plug. Blood coagulation follows to form a fibrin network, sealing off a damaged vessel and preventing haemorrhage. Blood coagulation is a cascade of proteases that cleaves precursor enzymes to form active enzymes, forming an effective amplification system that culminates the formation of thrombin. Thrombin catalyses the conversion of soluble fibrinogen into insoluble fibrin to form a clot and regulates the system by providing stimulatory and inhibitory feedback (1). Platelets also stimulate the activation of plasma coagulation factors which results in the formation of a fibrin clot that reinforces the platelet aggregate. Wound healing occurs with the process fibrinolysis (2).

Any deficiency in components playing a key role in blood coagulation, where hormonal fluctuation is amongst these components, can lead to insufficient amounts of thrombin. High levels of thrombin results in altered clot formation. Continuous intravascular activation of coagulation and thrombin-mediated activation takes place and venous thrombosis or thromboembolism (VTE) occurs (3, 4).

Previous studies have shown that increased hormone concentrations such as  $17\beta$ -estradiol ( $E_2$ ) and progesterone (P4) could increase the risk of venous thrombosis by changing the structure of fibrin network formation during blood coagulation (5). However these studies have shown changes when both the hormones together influence the coagulation network simultaneously. The individual effects of  $E_2$  and P4 on fibrin fiber network have not been established (6).

$E_2$  and P4 are steroid hormones with health benefits and important functions in the reproductive systems of both males and females. Previous studies suggested that the effects of  $E_2$  and P4 may influence coagulation through its pro- and anti-inflammatory effects (7, 8).

The aim of this study was to compare platelet poor plasma (PPP) of healthy male participants in the presence and absence of different physiological concentrations of E<sub>2</sub> and P4. The effects of these hormones were investigated through the analysis of fibrin network formation, using viscoelastic, morphological and turbidimetric evaluation. Viscoelastic studies were conducted using thromboelastography (TEG) to evaluate the properties of clot formation. Morphological studies followed where scanning electron microscopy (SEM) and atomic force microscopy (AFM) were used to investigate the ultrastructural changes in the fibrin network and surface topography. Lastly turbidimetry gave an indication of plasma fibrin clot formation as well as clot lysis time. Statistical analysis was performed using one-way ANOVA with the measuring of viscoelastic parameters, fibrin fiber diameters, surface roughness and turbidimetric properties.



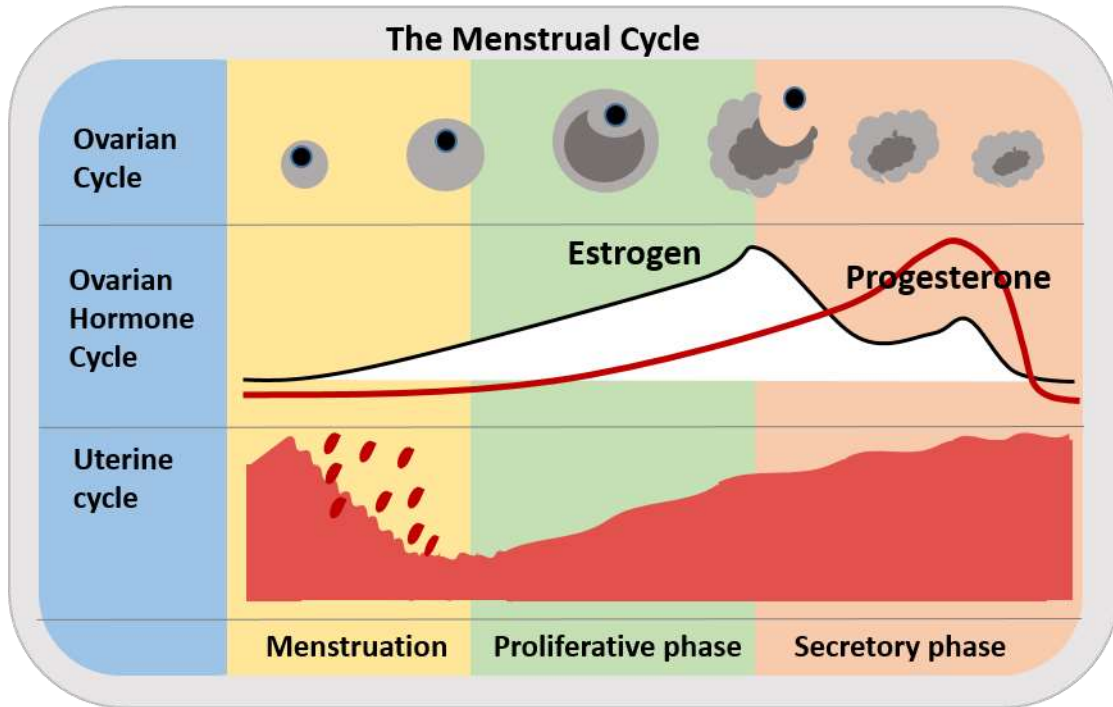
## Chapter 2: Literature review

### 2.1 Chapter objective

In this chapter, a review regarding the literature supporting this study is explained.

### 2.2 Hormones and the menstrual cycle

The menstrual cycle is governed by hormonal fluctuations (9).  $E_2$  and P4 are two of the most essential hormones in the menstrual cycle, each with critical functions. Two distinct cycles take place during the menstrual cycle namely the ovarian cycle and the uterine cycle. Both these cycles can be divided in three different phases, known as menstruation, a proliferative phase and a secretory phase represented in figure 2-1.  $E_2$  and P4 fluctuate in the ovarian cycle where estrogen is responsible for the growing and maturing of the uterine lining and assist in the maturation of the ovarian egg just before ovulation. As shown in figure 2-1,  $E_2$  concentrations peak at ovulation where the egg is released and again during the secretory phase. As estriol ( $E_3$ ) is the major estrogen form during pregnancy, effects of  $E_2$  were investigated during the menstrual cycle where it has its critical role (10). P4 on the other hand is produced after ovulation by the corpus luteum but at lower concentrations than in pregnancy where it primarily assists in the maturation of the uterine lining. Therefore it is regarded that P4 is the hormone which dominates during pregnancy and it is for this reason that it is important to evaluate the effects of different concentrations of P4 during pregnancy rather than observing the effects of P4 concentrations present in contraceptives. When implantation does not take place, P4 levels decrease again and the lining of the uterus is shed in the menstruation phase (11).



**Figure 2-1: The normal menstrual cycle in females**

*The menstrual cycle includes the ovarian and the uterine cycle where changes occur in three different phases, menstruation, proliferation and secretory phase. Estrogen peaks in the menstrual cycle while P4 levels are high during pregnancy where the uterine lining needs to be maintained for implantation to occur. Adapted from Silverthorn, 2010 (11)*

## 2.3 Steroid hormones

E<sub>2</sub> and P<sub>4</sub> are lipophilic steroid hormones, not only important during the menstrual cycle and pregnancy but also critical for other functions in the reproductive systems in both males and females. E<sub>2</sub> together with estrone (E<sub>1</sub>) and estriol (E<sub>3</sub>) are the three major forms of estrogens (10).

### 2.3.1 The functions of E<sub>2</sub> and P<sub>4</sub>

E<sub>2</sub> assists primarily in females with the development of the secondary sex characteristics while P<sub>4</sub> together with estrogen regulates the reproductive tract during the menstrual cycle as described above. As mentioned P<sub>4</sub> is the main hormone that facilitates pregnancy (12).

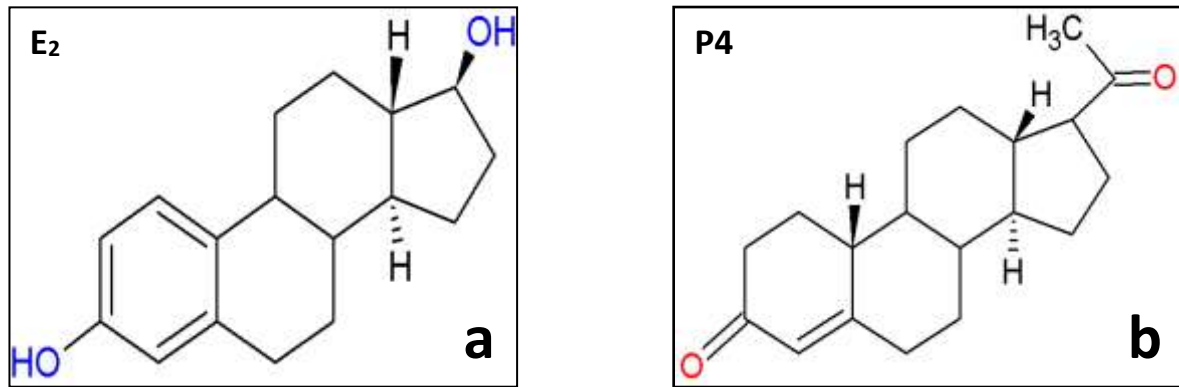
In the male reproductive tract, these hormones also assist in critical functions. Although testosterone is the primary hormone, both E<sub>2</sub> and P<sub>4</sub> are also involved in critical development and other functions. Both these hormones influences spermatogenesis, sertoli cell function, spermiogenesis and testosterone biosynthesis (12-15). These effects proves its regulatory effects as they assist in sperm production (9), regulate fluid reabsorption, maintain a differentiated epithelial morphology and affect proliferation and differentiation of gonocytes and spermatogonia (12-18). Estrogen also acts locally to regulate normal male gonadal development and is therefore critical for fertility in males (19).

Non-reproductive functions of E<sub>2</sub> includes blood coagulation where it increases platelet adhesiveness, improves lung function, maintains the skin and modulates immunity where it is primarily involve in inflammation (19). E<sub>2</sub> also provide protection in the brain against insult-induced neuronal damage (19). P<sub>4</sub> is involved in neuro-behavioural expression associated with sexual responsiveness and in bone where it is able to prevent bone loss (20, 21).

### 2.3.2 The unique structures and receptors of E<sub>2</sub> and P4

These complex steroid hormones have unique structures which enable them to bind to their receptors and fulfil their functions. The aromatized C<sub>18</sub> steroid structure of E<sub>2</sub> has two hydroxyl groups at 3-beta and 17-beta positions as shown in Figure 2-2 (a) being critical when it comes to the binding of E<sub>2</sub> to its receptor (9, 19, 22). P4 is a 21-carbon steroid, figure 2-2 (b), which is an important precursor molecule for the biosynthesis of steroids (21, 23).

P4 is transported in the blood by transcortin which is a corticosteroid-binding globulin and albumin, a plasma protein, where only 2% of P4 is present in blood in the free-unbound state. P4 has a half-life of almost 5 minutes and its degradation product is known as pregnenediol, which is formed in the liver (21).



**Figure 2-2: The unique structures of E<sub>2</sub> (a) and P4 (b)**

*The unique structure of E<sub>2</sub> showing the two hydroxyl groups at 3-beta and 17-beta positions (a) and the 21-carbon steroid, P4 (b). Adapted from Norman, A.W, 2004 (23)*

Both E<sub>2</sub> and P4 have specific receptors to which they bind. A receptor must be able to recognise and bind the steroid hormone using the available free circulating concentration of the steroid. Plasma concentrations are usually 5-500 nM of steroids, while nuclear receptors are in the range of 0.05-50 nM, reflecting the strong, non-covalent binding of the hormone to its receptor. Sub-cellular localization of unoccupied steroid nuclear receptors varies with the specific steroid hormone, they can be present either in the cytoplasm attached to chaperones, in the nucleus or between the cytoplasm and the nucleus (23).

Steroid receptors are members of the nuclear receptor family and characterized by its unique ability to bind to its ligand within the cytoplasm, dimerize and enter the nucleus where it binds

to DNA to promote the transcription of target genes (24). Although the receptors of both E<sub>2</sub> and P4 belong to the same family of receptors, they each bind to their specific receptors.

Two nuclear receptors of E<sub>2</sub>, estrogen receptor  $\alpha$  (ER $\alpha$ ) and  $\beta$  (ER $\beta$ ) have been identified. Cell membrane receptors include GPR30 and ER-X (22). P4 binds to PGR (P4 receptor) (24). E<sub>2</sub> receptors are found throughout the male reproductive tract where ER $\alpha$  is localized in the efferent ductule epithelium where it is highly expressed. ER $\alpha$  is also localized in the sertoli and leydig cells of males. ER $\beta$  is found in the somatic cells or primary spermatocytes (18, 25). P4 receptor (PGR) is able to promote cellular responses independent of nuclear entrance and DNA binding. PGR has a high complexity and specificity as it functions via two distinct isoforms namely PGR-A and PGR-B (24).

### 2.3.3 The biosynthesis of E<sub>2</sub> and P4

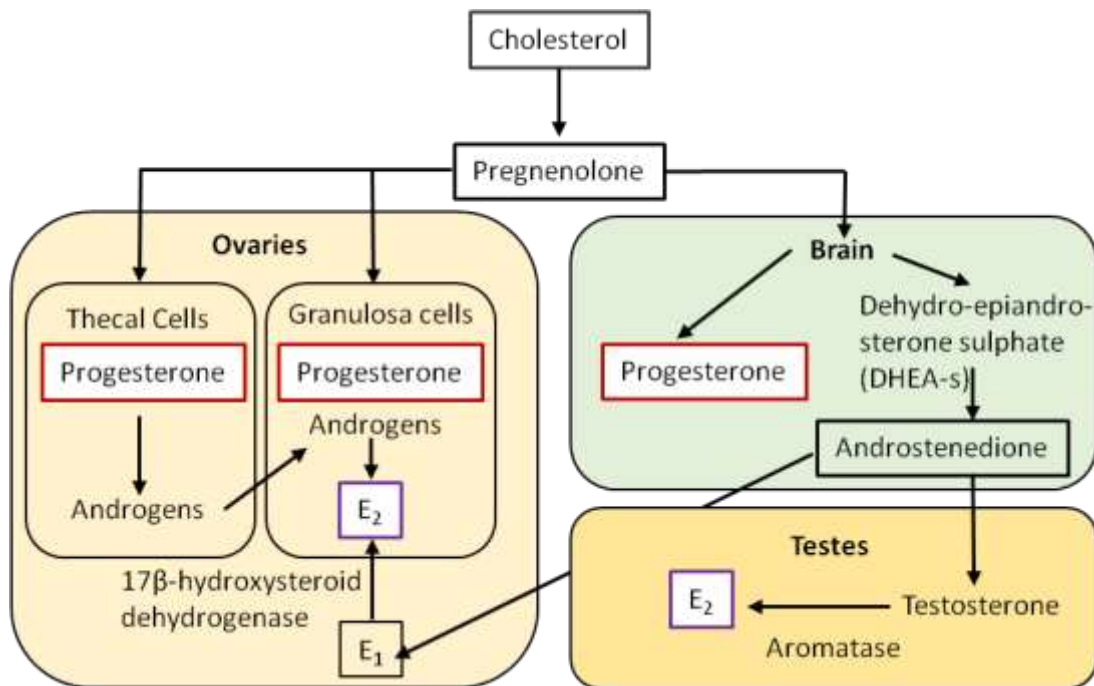
The biosynthesis of E<sub>2</sub> and P4 is an intense biosynthetic process. E<sub>2</sub> is also regarded as the major product of the entire biosynthesis and the most potent estrogen during the premenopausal period (19). Estrogen is primarily produced in the ovaries, corpus luteum and placenta of females. In males, E<sub>2</sub> is produced in sizable quantities in the testes. Although early studies indicated that the primary source of E<sub>2</sub> in the immature male are the sertoli cells while the leydig cells are responsible for E<sub>2</sub> production in adult males, growing evidence shows that germ cells are also able to produce E<sub>2</sub>. Therefore germ cells are regarded recently as the major source of this steroid hormone in the male reproductive tract (18). Other sources of small amounts of estrogen include non-gonadal organs such as the liver, heart, skin, muscle, bone and the brain in both genders (19). The major source of P4 in females is the granulosa-lutein cells of the corpus luteum, along with the placenta. P4 is only present in males at very small quantities and is mainly produced in the testes. In both genders, P4 is also produced from other sources including adrenal cortex (20, 21).

Both E<sub>2</sub> and P4 are produced from cholesterol. This biosynthetic process is represented by figure 2-3 which explains the synthesis of E<sub>2</sub> and P4 in the thecal and granulosa cells in the ovaries of females as well as the pathway from the brain to the ovaries and testes. Cholesterol is firstly converted into pregnenolone before it is converted to P4 in the thecal and granulosa cells. In the brain neurons and astrocytes expresses all the enzymes critical for the production of estrogen. Therefore pregnenolone is not only converted to P4 but also to dehydroepiandrosterone sulphate (DHEA-s), which is converted to androstenedione. Androstenedione forms estrone in the ovaries and testosterone in the testes (22). From there, E<sub>2</sub> is synthesized from estrone via 17 $\beta$ -hydroxysteroid dehydrogenase, while the enzyme aromatase converts testosterone to E<sub>2</sub> (19, 22).

P4 in the thecal cells is converted to androgens as thecal cells are not able to produce estrogens. Androgens are released from thecal cells and transported across the basement membrane into the granulosa cells to be converted to E<sub>2</sub>. This conversion can only proceed in the presence of aromatase, the same enzyme responsible for the conversion of testosterone into E<sub>2</sub>. Therefore this is primarily responsible for the biosyntheses of E<sub>2</sub> in both males and females. Aromatase is highly expressed in the testes of males as well as the accessory glands

to maintain the required estrogen levels for normal spermatogenesis, sperm motility and sperm maturation (19, 22).

Estrogen deactivation can occur through metabolism where E<sub>2</sub> is converted to less active forms E<sub>1</sub> and E<sub>3</sub>. Sulfation of E<sub>2</sub> also changes the structure so that it is unable to interact with estrogen receptors (26).



**Figure 2-3: The biosynthetic process of E<sub>2</sub> and P4**

*Cholesterol is converted to pregnenolone to form P4. P4 is converted to androgens in the thecal cells where it is transported into the granulosa cells to form E<sub>2</sub>. P4 in the brain is converted to androstenedione which is converted into estrone in the ovaries and testosterone in the testes. E<sub>2</sub> is formed from testosterone in males and estrone in females. Adapted from Ciu J, 2013 (22)*

#### **2.3.4 The mechanism of action of E<sub>2</sub> and P4**

P4 and E<sub>2</sub> act through a ligand-dependent signalling cascade. They are able to diffuse through the plasma membrane as they are lipophilic. Reaching the nucleus, they encounter their receptors to which they bind with high affinity and specificity (27, 28). When these hormones bind to their receptors, they form a stable ligand-receptor complex and enter the nucleus where it binds to DNA to promote the transcription of target genes (24).

When E<sub>2</sub> binds to its receptor an estrogen receptor complex is formed, which binds to estrogen response element (ERE) sequences. This results in the recruitment of co-regulatory proteins and mRNA levels will be enhanced or decreased for the production of a specific protein to cause a physiological effect (28-30).

Although natural E<sub>2</sub> is known for many beneficial effects such as decreased risk for cardiovascular diseases observed in postmenopausal women (31) and osteoporosis (32), E<sub>2</sub> is capable of shifting the haemostatic balance to a hypercoagulable state in females, meaning that the coagulation process is up-regulated (5).



## 2.4 The blood coagulation system

There are various mechanisms in place favouring blood flow in a liquid phase in a healthy vasculature. It involves the flow of blood itself, diluting any activated or pro-thrombotic factors, the normal laminar flow of blood preventing contact of platelets to the endothelium and the expression of endothelial anti-platelet and anti-coagulant factors in excess of pro-coagulant factors (33). However, upon damage to the vasculature, other types of mechanisms should be in place to maintain stable haemostasis to protect against haemorrhage.

Blood coagulation or blood clotting is regarded as such a haemostatic mechanism where vascular walls interact with pro- and anti-coagulant factors in blood to prevent excessive blood loss and maintain blood fluidity and vascular integrity. Platelets and clotting factors are able to interact with each other to generate a protective haemostatic plug which reduces blood flow to the site of a vascular injury. The coagulation process follows which is dependent on many interactions including the assembly of even more platelets, along with the activation of circulating and tissue-bound coagulation factors to allow enzymatic conversions (33, 34). This process allows for the formation of a fibrin network which seals off a damaged vessel and prevents haemorrhage (2). A fibrinolytic system is in place for wound healing to occur where platelets aggregate and the fibrin clot is broken down, restoring perfusion through an injured vessel in which the protective clot has formed (35-40).

However the coagulation process mainly focuses on clot formation to prevent excessive blood loss and also removing clots to stabilize blood flow again, it is quite an intense process which involves various factors interacting with each other in order to maintain haemostasis. Some of these factors include platelets, thrombin, fibrinogen and fibrin (41).

Platelet activity is regarded as the initiation of blood coagulation. Damaged vasculature or trauma makes the subendothelial surface the primary target site for platelet action. Platelet localization and activation is dependent on various pro-coagulant factors such as Von Willebrand factor (vWF), thrombin, platelet receptors and vessel wall components such as collagen (42). When a platelet comes in contact with a break in the endothelium, either with damage to the blood vessels itself or tissue damage outside the blood vessel, it becomes activated. Upon platelet activation, the platelet changes shape, releases its granule contents

and gradually forms aggregates by adhering to each other. Platelet activation and localization sets the coagulation system in motion for the interactions between pro-coagulant factors (8, 20, 23, 43-45).

### **2.4.1 Models of coagulation**

There are two proposed models for blood coagulation; the "cascade" or "waterfall" model and the cell-based model. A "cascade" or "waterfall" model involves an intrinsic and extrinsic pathway of coagulation that joins to form a classical or common pathway. In the cell-based model, the coagulation process is based on three basic phases namely the initiation phase, the amplification phase and the propagation phase.

#### **2.4.1.1 The "cascade" or "waterfall" model**

The "cascade" or "waterfall" model was first described in the 1960's (46, 47) and involves a series of steps where the activation of certain clotting factors results in the activation of other clotting factors, shown in figure 2-4. This model is described as the intrinsic and extrinsic pathways of coagulation which joins to form a classical or common pathway to culminate a thrombin burst and generate a fibrin clot (34, 41, 48).

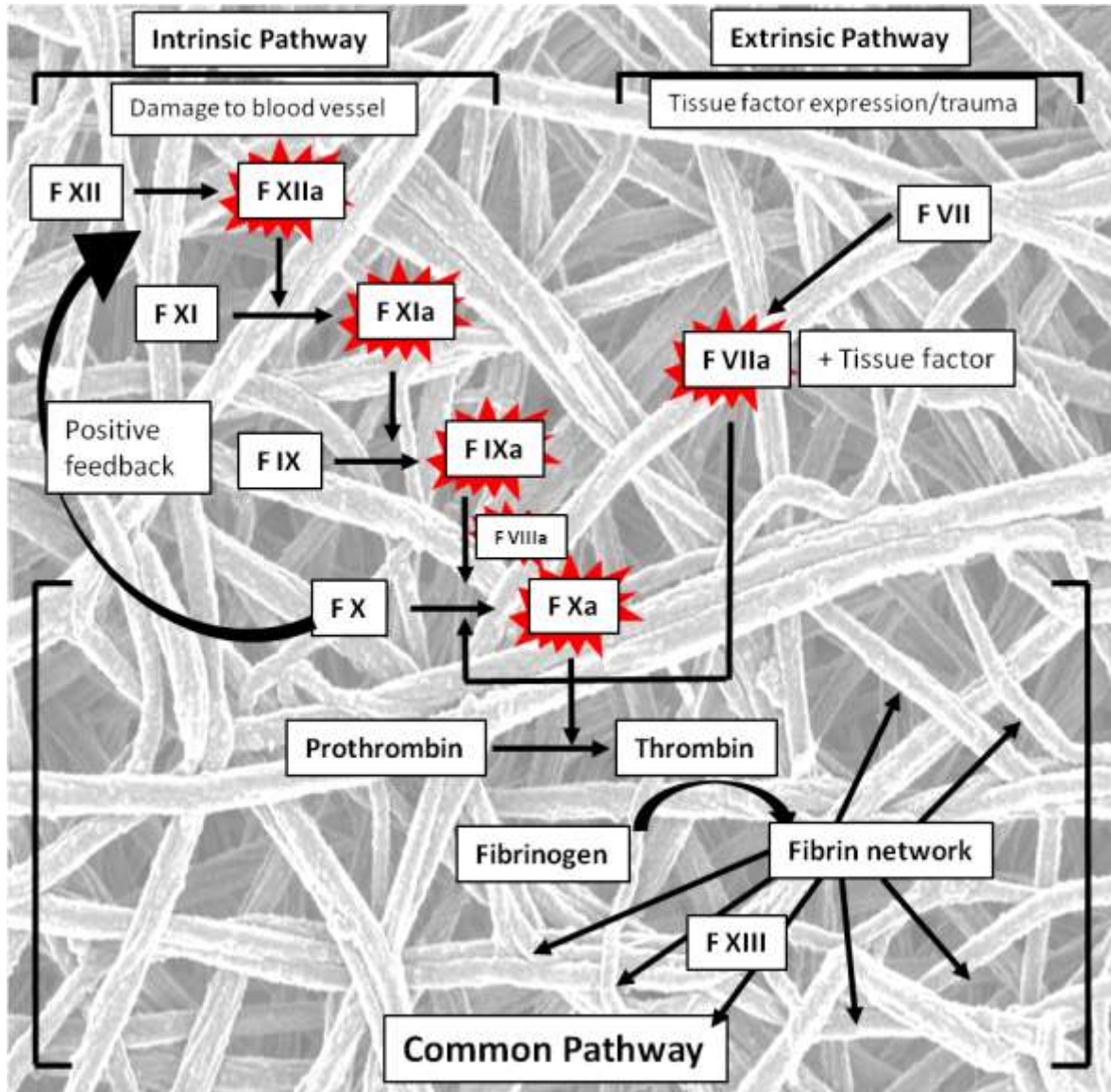
The intrinsic pathway (figure 2-4) is activated by damage to the blood vessel itself. Platelets and factor VIII (FVIII) bound to von Willebrand factor, a plasma protein, leaves the vascular space and adhere to collagen and other matrix components at the site of injury. This binding to collagen activates platelets (42, 49). Von Willebrand factor (vWF) therefore assists in platelet adhesion by recruiting platelets to the site of injury where it promotes platelets to adhere to each other and to the walls of blood vessels. Various substances can trigger vWF secretion, including mediators of thrombosis and inflammation (49). Factor IXa diffuses through the surface of nearby activated platelets and binds to a specific platelet surface receptor (50). Factor IXa interacts with its cofactor, FVIIIa, and activate factor X directly on the platelet surface to provide a surface where phosphatidylserine is exposed. As soon as the membrane of a platelet becomes negatively charged, plasma protein FXII (Hageman factor) becomes activated (FXIIa). FXIIa accumulates and converts prekallikrein to kallikrein, which converts more FXII to FXIIa, in a positive feedback loop. Activated FXII cleaves FXI into activated FXI (FXIa), which in turn activates factor IX, another important protease. Activated

FIX cleaves FVIII into its activated form, which is stabilized by von Willebrand factor. FIXa, FVIIIa,  $Ca^{2+}$  and negatively charged phospholipids form a tenase tri-molecular complex which converts FX to FXa (34).

The expression of tissue factor (TF) in the extrinsic pathway, seems to be the main activator of coagulation currently (48,51, 52), which is up-regulated upon vascular injury, inflammation, plasmin and various other factors. Enhanced TF expression has also been identified due to oral contraceptives, shear stress, histamine, nicotine and estrogen (41).

When tissue factor is exposed, platelets aggregate and adhere to that site of injury and localize clotting reactions to the site of injury. An injury to the endothelium allows contact between FVII (zymogen) and tissue factor, for tissue factor to activate FVII into FVIIa, as tissue factor serving as a receptor for protein FVII (53). This binding of FVIIa to TF forms an enzyme complex, similar in function to the tenase complex in the intrinsic pathway, converting FX to its active form (FXa) and forms the common pathway from the intrinsic and extrinsic pathway, shown in figure 2-4. Activated factor X binds to cofactor V and is bound on membrane surfaces in the presence of calcium ions to generate the prothrombinase complex.

This complex is able to converge clotting signals coming from both the extrinsic and intrinsic pathways forming the common pathway. Activated factor X (FXa) has enzymatic activity converting the proenzyme prothrombin, to its enzyme form, thrombin. Thrombin (FIIa) is involved in the main coagulant function at the termination stage as it cleaves off fibrinogen (FBG) into fibrin monomers that cross link to produce insoluble blood clots. Tissue factor seems to be the main instigator of the coagulation process and rapid amplification of thrombin is the most important step in the development of a stable fibrin clot. The extrinsic pathway is thus complemented by the intrinsic pathway which ensures FIIa regeneration and further clot production as it activates FXIII, FXI, FVIII or FV which assures the propagation of blood coagulation (2, 34, 41).



**Figure 2-4: The intrinsic and extrinsic pathway involved in the blood coagulation process**  
*In a final common pathway prothrombin (FII) is converted to thrombin (FIIa). Thrombin is essential to activate fibrin that cross-link that results in the formation of a stable fibrin clot. Transglutaminase enzyme factor XIIIa stabilizes the fibrin network for it to act as a scaffold for the binding of endothelial cells, leukocytes, platelets and plasma proteins to the fibrin clot. Adapted from Aleman M.M, 2014 (3)*

#### 2.4.1.2 The cell-based model

Three basic phases is regulated by cellular components *in vivo* namely; the initiation phase, the amplification phase and the propagation phase (54, 55). The initiation phase involves the exposure of tissue factor to blood and mainly entails the localization of the process on tissue factor expression cells and the amount of thrombin generated (9, 56). The duration of the initiation phase is dependent of TF:FVIIa concentration. The amplification phase entails the conversion from extrinsic to intrinsic thrombin generation (32, 57-59). Both the tenase complex and the prothrombinase complex is enhanced by their co-localization on the phospholipid membrane in the presence of calcium. The activated factors create a positive feedback loop to rapidly generate sufficient amounts of thrombin in order to form a stable clot. Thrombin accumulation further activates platelets. Finally the propagation phase relies on the recruitment of activated platelets at the site of injury, to provide localization of all the important components for the optimum generation of thrombin. A thrombin burst is observed which leads to the generation of fibrin from fibrinogen in order to produce a stable fibrin clot (11, 12, 33, 34, 60, 61).

#### 2.4.2 The importance of thrombin and fibrinogen in the coagulation system

Activation factors V, VIII and XI up-regulates the coagulation system and thrombin is generated. Therefore thrombin is regarded as a strong pro-coagulant. Control of thrombin action allows for control for the whole blood coagulation system. Thrombin has various functions when it comes to the coagulation cascade. It not only converts fibrinogen into fibrin to create a fibrin fiber network, but also inhibit clot lysis by activating TAFI (Thrombin activatable fibrinolysis inhibitor) (1), which decreases the effectiveness of fibrinolysis (34, 60). Thrombin also regulates its own production by binding to a vascular endothelial cell protein called thrombomodulin. Thrombin is thus regarded as the central point in regulation of the coagulation process and plays an important role in haemostasis (1).

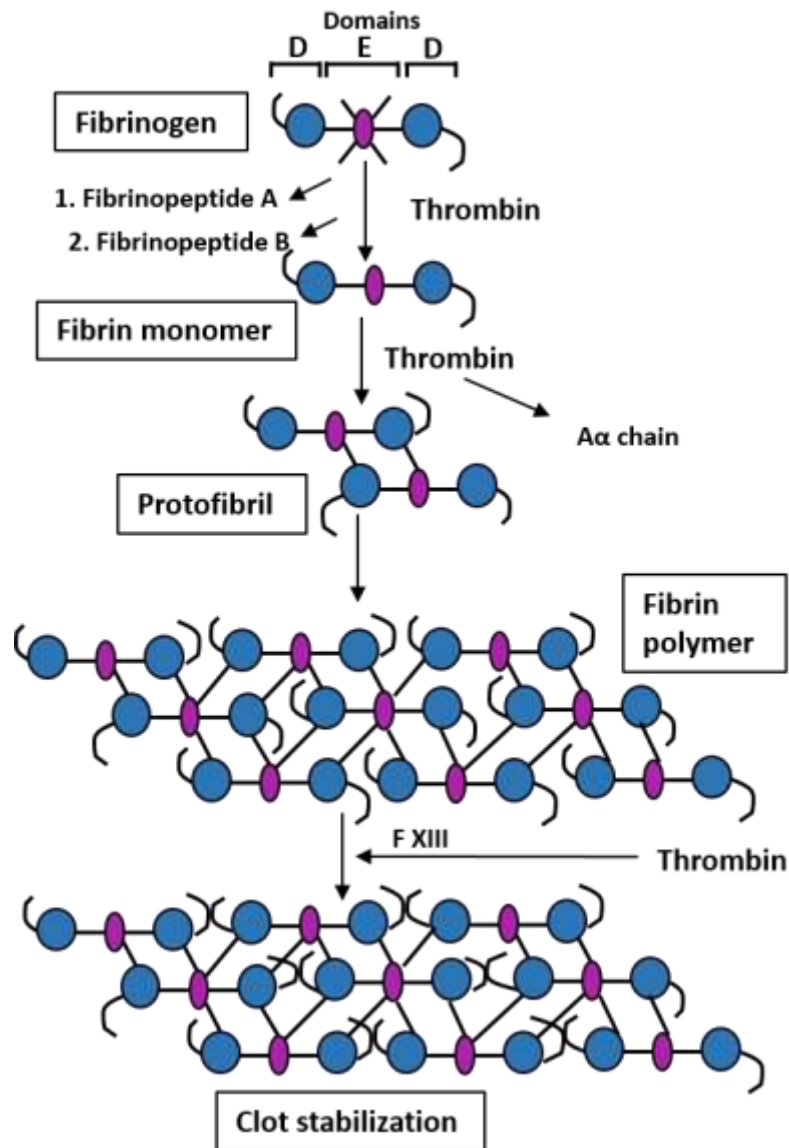
The most essential step in the coagulation process involves the conversion of fibrinogen into fibrin in the presence of thrombin. Fibrinogen is the most abundant protein present in the clear extracellular fluid component of blood known as plasma. The blood plasma together with the formed elements (blood cells and platelets) makes up whole blood (3, 29, 41).

Fibrinogen is a long structure made up of six paired polypeptide chains ( $A\alpha B\beta \gamma$ )<sub>2</sub>. It has two domains; a central E domain and two D domains, one on either side, represented in figure 2-5. The cleavage of fibrinogen takes part in two steps. Both these steps are catalysed by thrombin. When fibrinogen is converted into fibrin, thrombin firstly cleaves fibrinogen at the E domain releasing fibrinopeptide A (FPA), and exposing a polymerisation site. This exposure allows for relatively weak association between this site and a D domain of another fibrin molecule. The molecules are then arranged in an end-to-middle, cross-linking fashion and fibrin polymerisation occurs. Secondly thrombin cleaves the E domain again releasing fibrinopeptide B (FPB) and exposes another fibrin polymerisation site. This site associates with another site on the D domains of other molecules, and the cross-linking is strengthened. The fibrinogen molecule is thus converted to a fibrin monomer. Thrombin then removes the  $A\alpha$  chain which exposes a new N-terminal sequence known as the A site. The A site permits the non-covalent association of the central E nodule with an exposed a pocket in the c-chain of the D nodule of another fibrinogen molecule. An ED association is observed which results in the formation of double stranded protofibrils. Removal of the N-terminal peptide of the  $\beta$  chains exposes the N-terminal sequence known as the B site. The B site interacts with an exposed  $\beta$ -pocket in the  $\beta$  chain of the D nodule of another molecule (62). This way fibrin monomers initiates fibrin polymerization (63), into oligomers that lengthen to form wide protofibrils. Protofibrils aggregates to form thick fibres, which branch along with lateral and longitudinal growth which results in a three-dimensional fibrin network as shown in figure 2-5 (62, 64). Thrombin also generates factor XIII, a transglutaminase which strengthens the fibrin matrix even more after the formation of the fibrin clot, which cross-links the fibrils, thus stabilizing the clot (1).

Having a closer look in fibrin formation or clot structure give insights into the mechanisms of many diseases associated with thrombotic complications (65-67). Fibrinogen concentration is directly related to the structure of a fibrin network. When fibrinogen levels are elevated, fibrin fiber network density increases, as well as clot stiffness and the resistance of the clot to fibrinolysis. The fibrin network is not only sensitive to the fibrinogen concentration, but also to the concentration of thrombin during fibrin polymerization. Various factors in blood plasma can change the ultrastructure of the fibrin network as fibrin fiber quality is dependent on plasma composition. Platelet rich clots contains platelet aggregates that are surrounded



by a dense meshwork of fibers that are thinner than fibres elsewhere in the clot, and many of them radiate out from the activated platelets, perhaps along the gradient of thrombin activity (68). Hormonal fluctuations are among these factors (3, 5). The fibrin network of platelet rich plasma is much denser than that of platelet poor (68).



**Figure 2-5: The process of fibrin polymerization**

*Fibrin polymerization where thrombin removes the two fibrinopeptides A and B from the central E nodule. Lateral aggregation and fibrin fiber formation occurs until fibrinolysis takes place which is facilitated by plasmin. Adapted from Weisel J.W, 2013 (63)*

A fibrinolytic system, referred to as fibrinolysis, is in place to balance the coagulation process as healing occurs in order to restore normal perfusion of blood through the injured vessel in which the clot was formed (60). Fibrinolysis terminates the coagulation process by removing the clot formed and cellular proliferation repairs the injured vasculature. Circulating plasminogen is cleaved proteolytically to produce plasmin which interfere with fibrin polymerization and initiates the cleavage of fibrinogen or soluble fibrin. Plasmin is the principle mediator in fibrinolysis as it cleaves fibrin which results in the production of fibrin degradation products (FDP's) (33, 34). Elevated FDP's are usually associated with abnormal thrombotic states (33,34, 69-71).

## **2.5 The crosstalk between blood coagulation, inflammation and steroid hormones**

An extensive crosstalk exists between the blood coagulation system, inflammation and steroid hormones as displayed in figure 2-7, below (72). Activation of both inflammation and the coagulation system are not really associated in time, but they both have critical functions in host defence. Immune cells are important in the initiation of coagulation pathways, while inflammatory mediators are capable of altering haemostasis (72). At the site of inflammation, reactive oxygen species (ROS) are generated causing endothelial disruption and vascular injury (73) that will activate the coagulation system. Inflammation also increases fibrinogen and thrombin concentrations (74) resulting in the over-activation of the coagulation system that shifts the haemostatic balance toward a hypercoagulable state (75).

One of the major pathways that in which inflammation augments blood coagulation is the generation of thrombin which is mediated by tissue factor that is upregulated by monocytes, macrophages and endothelial cells (51). Thrombin exerts both pro- and-anti-inflammatory effects. Pro-inflammatory effects includes the production of pro-inflammatory and pro-coagulant factors including von Willebrand factor , growth factors and cytokines (IL-6 AND IL-8) (76, 77). Thrombin acts as a chemo attractant for neutrophils and monocytes, induces P-selectin expression in endothelial cells, assist in monocyte and neutrophil chemotaxis (78, 79) and is involved in leukocyte adhesion molecule expression (80). Thrombin is also involved in IL-6 and IL-8 production by endothelial cells (81) and lymphocyte and monocyte activation



and proliferation (82, 83). All these reactions imitate an inflammatory response which triggers a coagulation response (77).

According to previous studies, the effects of E<sub>2</sub> and P4 may influence coagulation through its inflammatory effects. Both E<sub>2</sub> and P4 have pro- and anti-inflammatory effects (75). Estrogen receptors are regarded as modulator marker for inflammation and blood coagulation (31), as ER expression has been identified in cell types involved in inflammatory processes such as T cells, B cells, dendritic cells, monocytes and macrophages. Estrogen-mediated suppression of inflammation is mediated via ER- $\alpha$  and not ER $\beta$  (84).

Although natural endogenous E<sub>2</sub> is known for many beneficial effects such as decreased risk for cardiovascular diseases observed in postmenopausal women (31) and osteoporosis (32), E<sub>2</sub> is capable of shifting the haemostatic balance to a hypercoagulable state in females, meaning that the coagulation process is upregulated (5). E<sub>2</sub> also exerts its pro-thrombotic effects by increasing the levels of factor XIII, which is responsible for fibrin cross-linking, resulting in the formation of a thrombus that is more stable and resistant to fibrinolysis (85).

Recent studies have confirmed that a positive feedback loop for continues estrogens and prostaglandin E<sub>2</sub> production is established in pathologic tissue itself. As prostaglandin was found to be the most potent inducer of aromatase activity, a critical enzyme in the biosynthesis of estrogen, in endometriotic cells, estrogen upregulates prostaglandin E<sub>2</sub> formation by stimulating cyclo-oxygenase type 2 enzyme (8). Previous studies also confirmed that E<sub>2</sub> affects macrophage recruitment and activation (86) and decrease fibrinogen (87). Previous studies for P4 confirmed that prostaglandins and leukocytes was upregulated upon P4 withdrawal, indicating that P4 has a possible anti-inflammatory action (86). More recent studies suggested that P4 are also able to inhibit pro-inflammatory cytokine secretion (88).

## 2.6 Hypercoagulability

A deficiency in any of the important components contributing to the coagulation process or certain factors, such as hormonal fluctuations, can result in inadequate amounts of thrombin that allow timely fibrin clot formation which does not respond efficiently to vascular injury (34). When high levels of thrombin are produced, dense fibrin networks containing abnormal thin fibres are observed. This is regarded as a hypercoagulable state, where thrombus formation is observed (3). Continuous intravascular activation of coagulation and thrombin-mediated activation of fibrin deposition can result in venous thrombosis or thromboembolism (VTE) (3, 4).

Estrogen is known for many beneficial effects such as decreased risk for cardiovascular diseases observed in postmenopausal women (31) and osteoporosis (32), but it has been demonstrated that oral contraceptives and hormone replacement therapy are associated with thromboembolic events (31). Previous studies confirmed that synthetic estrogens are associated with this increased tendency to thrombosis in women, but natural induced estrogens did not produce a hypercoagulable state (7). More recent studies indicated changed plasma fibrinogen levels during the menstrual cycle in females, mainly due to the fluctuations in oestrogen levels, as an altered fibrin fiber assembly was observed. Estrogen is capable of shifting the haemostatic balance to a hypercoagulable state in females, meaning that the coagulation process is upregulated (5).

$E_2$  effects are mediated by both  $ER\alpha$  and  $ER\beta$ , displayed on circulation platelets (89). Estrogens are also capable of reducing venous flow by increasing venous dispensability, which can cause sufficient damage to initiate thrombus formation (7).  $E_2$  also exerts its pro-thrombotic effects by increasing the levels of factor XIII. Activated factor XIII catalyses the formation of cross-links between fibrin molecules, thus forming a more stable thrombus which is resistant to fibrinolysis. This confirms  $E_2$ 's pro-thrombotic effects (85).

It seems that the route of administration of estrogens has shown to have a major impact on the risk of venous thromboembolism. The action of estrogens are therefore strongly influenced by the dose, route and timing of the hormonal treatment alongside age, genetic and environmental factors (89).

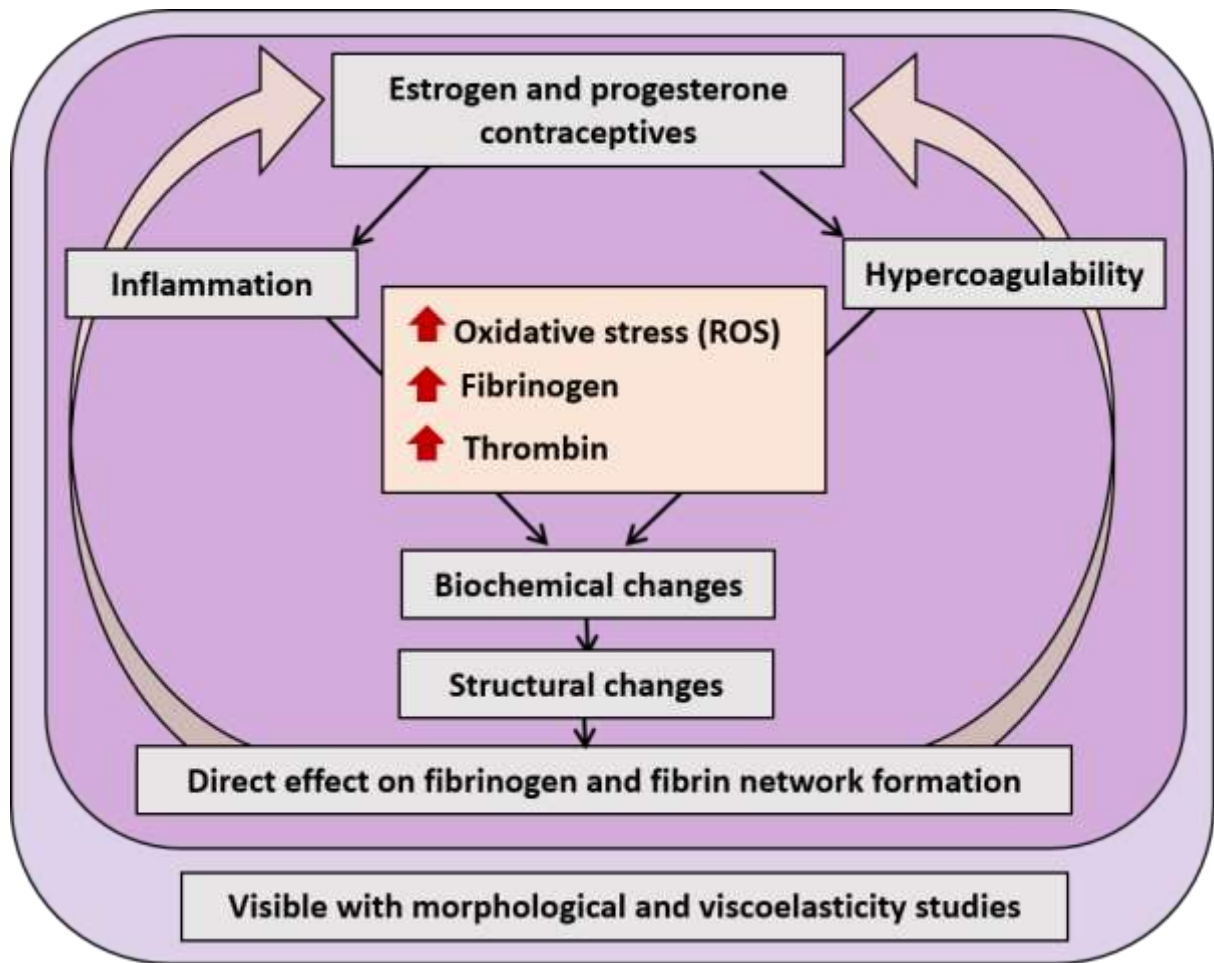
According to the latest World contraceptive patterns report 13% of women of reproductive age use hormonal contraceptives (8.9% use oral contraceptives and 4.1% use injectable contraceptives) worldwide. In developed countries this number rises: the United States of America 16% (90), Europe 21% and in the United Kingdom it is a staggering 28% (91). Although it is arguably one of the most important medical breakthroughs of all times, hormonal contraceptives are associated with increased risk of VTE (6,44, 45, 92).

Since endogenous  $E_2$  and  $P_4$  themselves may alter clot formation and therefore also augment the effect of synthetic hormonal contraceptives thus increase the risk of VTE, it is essential to investigate the effect of  $E_2$  and  $P_4$  on clot formation.

## 2.7 Summary

Various factors in blood plasma can change the ultrastructure of the fibrin network as fibrin quality is dependent on plasma composition. Hormonal fluctuation are among these factors (3, 5). It is known that steroid hormones are able to change the fibrin network when coagulation occurs, but the individual effects or the specific concentrations where the ultrastructure is changed remains unknown. Blood coagulation is recognized as a host defence system that provides protection against threat and fatal haemorrhage (2, 33, 41, 60), but it is important to prevent a hypercoagulable state that could increase the risk of thrombosis. As the coagulation system and inflammation are linked and steroid hormones such as  $E_2$  and  $P_4$  have both anti-and pro-inflammatory effects, it provides a possible mechanism to influence fibrin network.

Figure 2.6 provides a summary of the effects of  $E_2$  and  $P_4$  on fibrin clot formation and the involvement of inflammation and coagulation factors.



**Figure 2-6: A summary of the interactions between coagulation and inflammation**

*Steroid hormones are able to shift haemostasis to a hypercoagulable state which related to biochemical and structural changes to fibrinogen and subsequent fibrin network formation which can be visualized with morphological and viscoelastic analysis.*

Increased levels of  $E_2$  and  $P_4$  can affect fibrinogen and thrombin directly causing ultrastructural changes when observing the morphology of fibrin networks. The binding of  $E_2$  and  $P_4$  to the fibrin precursor, fibrinogen, before clot formation could lead to biochemical changes that result in ultrastructural changes. These alterations to the fibrin network is visible with morphological and viscoelastic studies. Therefore the possible pro-inflammatory properties of these hormones are able to trigger a pro-thrombotic state. This emphasizes the importance of establishing the specific effect of  $E_2$  and  $P_4$  on clot formation.

## 2.8 Aim

To determine whether different physiological concentrations of E<sub>2</sub> and P4 have changing effects on the fibrin network formation of platelet poor plasma with regards to viscoelastic studies, morphological investigations and turbidimetry.

## 2.9 Objectives

- Objective 1:** To investigate viscoelastic properties of clot formation, using thromboelastography (TEG), before and after the addition of different physiological concentrations of E<sub>2</sub> and P4.
- Objective 2:** To establish morphological changes in the fibrin network before and after the addition of physiological concentrations of E<sub>2</sub> and P4 using scanning electron microscopy (SEM).
- Objective 3:** To observe surface topography of the fibrin networks prepared before and after the addition of the physiological concentrations of E<sub>2</sub> and P4 using atomic force microscopy (AFM).
- Objective 4:** To observe plasma clot formation and clot lysis time of clots before and after the addition of the physiological concentrations of E<sub>2</sub> and P4 using turbidimetry.

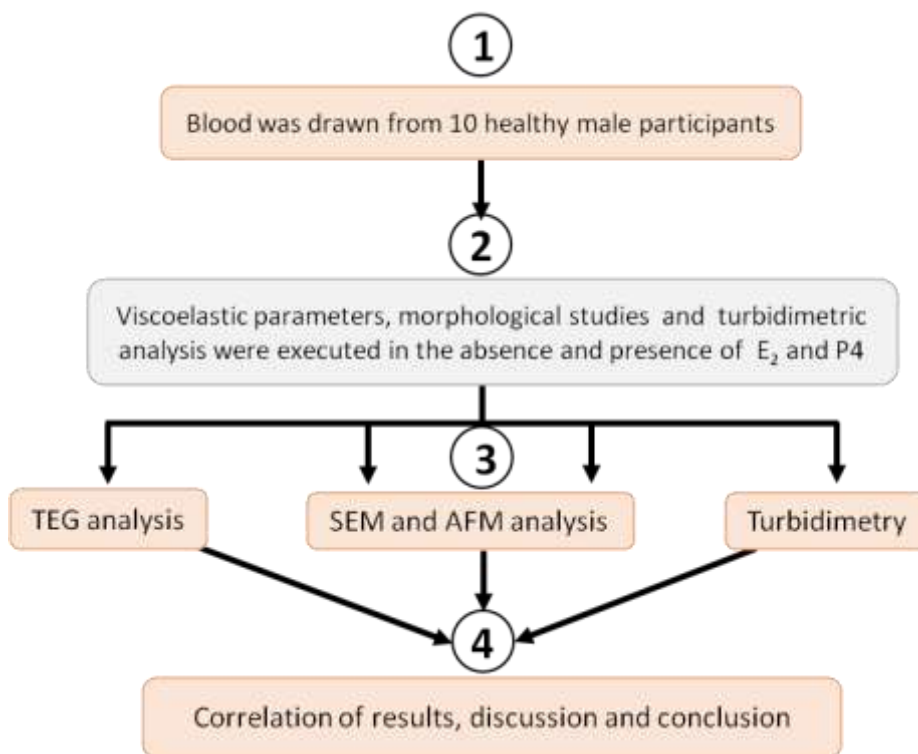
## 2.10 Hypothesis

- H<sub>0</sub>:** No changes in clot formation or ultrastructure will be observed after the addition of physiological concentrations of E<sub>2</sub> and P4 when analysed by TEG, SEM, AFM and turbidimetry.
- H<sub>1</sub>:** Changes in clot formation or ultrastructure will be observed after the addition of physiological concentrations of E<sub>2</sub> and P4 after analysed by TEG, SEM, AFM and turbidimetry.

## Chapter 3: Materials and methods

### 3.1 Chapter objective

In this chapter the sample collection is explained along with the participant information to provide a clear overview on the recruitment of the participants. This chapter also provides detailed methods regarding the preparation of the platelet poor plasma (PPP) and the techniques carried out to evaluate the fibrin clots namely thromboelastography (TEG), scanning electron microscopy (SEM), atomic force microscopy (AFM) and turbidimetry. Figure 3-1 (below) shows the basic layout of this study.



**Figure 3-1: An overview summarizing the contents of this study**

1. The healthy male participants' PPP was drawn by a qualified phlebotomist for laboratory analysis.
- 2 & 3. Viscoelastic parameters (TEG), morphological studies (SEM and AFM) and turbidimetry were performed to investigate the properties of clot formation and changes in the fibrin network structure with analysis of fibrin diameter and surface roughness.
4. Results obtained were correlated and discussed

### 3.2 Participant information and sample collection

Blood samples of only male participants were obtained since E<sub>2</sub> and P<sub>4</sub> occur at lower concentration and with less fluctuation in the plasma of males compared to that of females (93). In this study a total of 10 healthy male individuals between the age of 18 and 30 years were asked to participate freely. All male individuals were non-smokers, did not have a history of any type of thrombotic disease and were not using any chronic medication known to interfere with coagulation factors and/or platelet function. The participants did not use any aspirin or aspirin analogues within 48 hours before the blood samples were obtained. Ethical clearance was obtained and approved from the University of Pretoria Ethics Committee (reference 154/2014 and 66/2015). All participant information was handled anonymously.

The investigator discussed the project with each participant before blood was drawn and it was made clear that each participant was able to withdraw at any point of time. After the informed consent form was signed, blood was drawn by a qualified phlebotomist.

For viscoelastic studies and morphological analysis, blood samples were obtained via venepuncture in 5 mL citrate tubes which contained 0.5 mL of 3.8 % sodium citrate for 4.5 mL blood. Blood was also collected in 5 mL serum gel blood tubes that contain clot activator and gel for serum separation and taken to Vermaak and Partners Pathologists. These samples were collected to obtain iron profiles to assess the inflammatory status of each participant and hormone profiles, to ensure that endogenous hormones would not influence the results.

Iron profiles provide us with information regarding the presence or absence of inflammation. Hormone tests (E<sub>2</sub> and P<sub>4</sub>) are important to confirm normal hormone levels. Any abnormal levels of iron or hormones can interfere with results. Iron levels can quickly vary and therefore it is especially important to prevent an increase of iron levels as it can reach a point where it becomes toxic and can be harmful. Toxic iron concentrations have detrimental consequences on the vascular system and contribute to the progression of numerous pathological conditions that are associated with iron overload. Therefore high levels of iron will indicate the presence of inflammation which can cause alterations to the fibrin network (94, 95). Serum ferritin and transferrin (TF) saturation levels are good indicators that measures the iron levels as they are more accurate indicators of the iron levels than serum iron (96). Normal TF

levels are mostly higher in males than females because of the female menstrual cycle each month where iron is lost with menstrual flow.

The Serum Ferritin (SF) was measured by using the Bio-Rad Laboratories' QuantImmune ferritin IRMA kit which is a single-incubation two-site immunoradiometric assay. In IRMA, which measures the most basic isoferritin, the highly purified I-labelled antibody to ferritin is the tracer and the ferritin antibodies are immobilized on polyacrylamide beads at the solid phase. Serum was mixed with the combined tracer/solid-phase antibody reagent, and the mixture was incubated. During incubation, the beads were diluted with saline, centrifuged and decanted. The level of I-labelled ferritin found in the pellets was measured by a gamma counter. There were a direct relationship between the radioactive levels of the pellets and the amount of endogenous ferritin in the serum, rather than the inverse relationship measured by most radioimmunoassays (RIA's). Serum Ferritin is the test of choice in the diagnosis of patients suffering from iron overload such as Haemochromatosis (97-99).

Hormone levels were tested at Vermaak and Partners Pathologists, using the E<sub>2</sub> ELISA test kit which specifically detects the estrogenic hormone E<sub>2</sub> in water and the P4 ELISA test kit which is developed for reliable and sensitive detection of P4 in serum samples. The E<sub>2</sub> ELISA test kit is based on a competitive reaction where enzyme-labelled standard E<sub>2</sub> competes with free E<sub>2</sub> in the sample for binding to a specific monoclonal antibody immobilised to the surface of the microtiter plate or tube. The amount of labelled E<sub>2</sub> bound to the plate is determined by addition of a non-coloured substrate which is converted into a coloured product. The colour intensity is measured at 450 nm and is inversely proportional to the amount of E<sub>2</sub> in the sample. The P4 ELISA test kit involves the addition of P4 enzyme reagent to the serum. After the addition of P4 biotin reagent, substrate and stop solution the absorbance is measured at 450 nm (100, 101). C-reactive protein (CRP) is known as a non-specific inflammatory marker and analysis is therefore important to ensure that iron levels are not affected by immunological states (102, 103).

The average iron and hormone profiles of the healthy participants are displayed in table 1. As compared to the reference values obtained from Kuhl, 2005 (104) all the healthy male participants had their iron panels and hormone profiles within the normal ranges.



**Table 1: Summary of the participant information, iron and hormone profiles with the references ranges**

Variables	Healthy individuals (n = 10)	Reference ranges Males	Reference ranges Females
Age (years)	23 ( $\pm 2.26$ )		
Gender	Males		
<b>Iron profiles</b>			
Iron ( $\mu\text{mol. L}^{-1}$ )	14.30 ( $\pm 4.42$ )	11.60 - 31.30	
Transferrin ( $\text{g.L}^{-1}$ )	2.45 ( $\pm 0.19$ )	2.20 - 3.70	
Saturation (%)	22.50 ( $\pm 7.37$ )	20 – 50	
Serum Ferritin ( $\text{ng.mL}^{-1}$ )	96.00 ( $\pm 49.72$ )	20 – 250	
<b>Hormone profiles</b>			
E <sub>2</sub> ( $\text{pmol. L}^{-1}$ )	57.00 ( $\pm 24.79$ )	28 – 156	46 - 1828
P4 ( $\text{nmol. L}^{-1}$ )	1.67 ( $\pm 0.79$ )	0.7 – 4.3	0.60 - 86
E <sub>3</sub> ( $\text{ng.mL}^{-1}$ )	<0.07	<0.07	<0.08
Testosterone ( $\text{nmol. L}^{-1}$ )	17.23 ( $\pm 5.60$ )	8.64 – 29	0.29 – 1.67
SHBG ( $\text{nmol. L}^{-1}$ )	36.04 ( $\pm 11.92$ )	14.50 – 48.40	26.10 – 110

Inflammatory status were normal of all the male participants and the endogenous hormone levels were normal indicating that this endogenous hormone concentrations could not influence the results with regards to viscoelastic studies, morphology analysis and turbidimetry.

### 3.3 Sample preparation

After the blood samples were collected in the citrate blood tubes from the healthy male participants, the citrated blood was centrifuged for 15 min at 1250g. The supernatant plasma was transferred to Eppendorf tubes and centrifuged for a second time at 1250g for another 10 minutes to obtain platelet poor plasma (PPP) and frozen at -80°C for at least 48 hours.

The frozen PPP samples were thawed before analysis commenced. The PPP was incubated at 37°C for 15 minutes with different concentrations of both E<sub>2</sub> and P4 separately to attain the final concentrations as indicated in table 2.

**Table 2: Final concentrations of platelet poor plasma (PPP) of E<sub>2</sub> and P4**

	<b>E<sub>2</sub> final concentration in PPP (pg.mL<sup>-1</sup>) in the menstrual cycle</b>	<b>P 4 final concentration in PPP (ng.mL<sup>-1</sup>) in pregnancy</b>
Control (no addition of hormone)	0	0
Lowest physiological concentration of hormone	60	60
Highest physiological concentration of hormone	300	300

After the incubation period each PPP-hormone mixture was used for viscoelastic studies, morphology analysis and turbidimetry.

## 3.4 Laboratory analysis

### 3.4.1 Methodology for viscoelastic studies using thromboelastography

Thromboelastography (TEG) was employed to investigate the effect of E<sub>2</sub> and P4 on the viscoelasticity of fibrin clot formation. Thromboelastography (TEG) shows the kinetics of clot formation and growth as well as the strength and stability of the formed clot (105) by yielding a graphical and numerical output relating to the cumulative effects of various plasma and cellular elements of all phases of the coagulation and fibrinolysis (106). By measuring the viscoelastic changes that occur during the haemostatic process, TEG provides a real-time functional evaluation of the coagulation cascade beginning with the initial platelet-fibrin interaction, through platelet aggregation, clot strengthening and fibrin cross-linkage and eventually clot lysis (106, 107).

The different PPP-hormone mixtures (concentrations indicated in Table 2) were analysed by adding 340µL of each PPP-hormone mixture into a TEG cup, where after 20µL CaCl<sub>2</sub> was added to the PPP-hormone mixture to reverse the effect of the sodium citrate. Samples were then placed in a Thromboelastograph 5000 Hemostasis Analyser System to be analysed.

### 3.4.2 Methodology for morphological studies: Scanning electron and atomic force microscopy

SEM and AFM were used to investigate the effect of E<sub>2</sub> and P4 on fibrin network surface morphology with regards to structural analysis and surface roughness.

The different PPP-hormone mixtures (concentrations as displayed in Table 2) were used to prepare the fibrin clots for both SEM and AFM.

The different PPP-hormone mixtures (10µl) were individually mixed with thrombin (5µl) on round glass coverslips in order to make the plasma smears. Control samples was made with 10µL pure PPP (without any added hormone) and 5µL thrombin only while experimental smears were made 10 µL PPP exposed to different concentrations of either E<sub>2</sub> or P4 along with 5 µL thrombin. The addition of thrombin creates the fibrin network as explained in the literature review. Thrombin was provided by the South African National Blood Services

(SANBS). The glass coverslips with the different PPP-hormone and thrombin mixtures were immediately placed on filter paper dampened with 0.075 M sodium potassium phosphate buffer solution (pH = 7.4) inside an airtight container to ensure a humid environment. The enclosed samples were incubated at 30°C for 5 minutes and then washed in buffer solution for 20 minutes using a plate shaker to remove any trapped blood proteins within the fibrin network. Samples subsequently underwent a primary fixation step with 4% formaldehyde for 30 minutes followed by three rinsing changes with buffer solution (PBS) for 5 minutes each. A secondary fixation step with 1% osmium tetroxide (OsO<sub>4</sub>) was done for 15 minutes to ensure the preservation of membrane phospholipids. Three more rinsing steps with PBS followed as mentioned before. Procedures were concluded with a series of dehydration steps of different ethanol concentrations (30 %, 50%, 70%, 90% and three times 100%) for 5 minutes each. Thereafter samples underwent hexamethyldisilazane (HMDS) submersion for 30 minute and lastly air-drying of samples in a flow hood (5, 108-110).

#### **3.4.2.1 Scanning electron microscopy (SEM)**

For SEM preparation, the glass coverslips were mounted on an aluminium platform with carbon tape and coated with carbon before samples were examined with a Zeiss Ultra plus FEG SEM (located in the Microscopy and Microanalysis laboratory of the University of Pretoria, Pretoria, South Africa) at 1 kV with InLens capabilities to analyse the morphology of the fibrin network formations.

Micrographs were firstly taken to observe the morphology of the fibrin network formations in the absence and presence of physiological concentrations of E<sub>2</sub> and P4 separately. Thereafter the fibrin fiber diameters were measured using ImageJ Software.

#### **3.4.2.2 Atomic force microscopy (AFM)**

AFM sample preparation did not include any mounting or coating with carbon. The samples on the glass coverslips were placed directly in the AFM Dimension Icon with ScanAsyst, Bruker, USA for analysis of surface roughness using the PeakForce QNM (Quantitative Nanomechanical Property Mapping) imaging mode. This technique is almost similar to the standard tapping mode of scanning probe microscopy, where the probe and the sample is brought together, but conversely to tapping mode where the oscillation amplitude is kept

constant. This mode operates by controlling the maximum force applied by the probe to the sample (111).

A 1  $\mu\text{m}$  by 1  $\mu\text{m}$  scan was performed on each sample with a peak force of 6  $\mu\text{N}$ . Offline software (NanoScope Analysis version R3, Bruker, USA) was used to process all images and measure the surface roughness.

### 3.5 Sample preparation for Turbidimetric assays

Frozen PPP samples were thawed before analyses commenced. Samples were exposed to different concentrations of each hormone as described above. For turbidimetric analysis more concentrations were tested than for viscoelastic and morphological analysis to give a more detailed account of these hormones' effect on clot formation and also clot lysis time. Plasma fibrinolytic potential of tissue factor induced clots, lysed by exogenous tissue plasminogen activator (tPA) (112-114) were determined for control plasma and plasma with different concentrations of E<sub>2</sub> and P4 displayed in table 3. Clot formation and lysis were measured at 405nm and 37°C until clots were lysed. Final concentrations in clots were: 700 x diluted tissue factor (Dade Innovin, Siemens Healthcare Diagnostics Inc., Marburg, Germany), 17 mmol/l CaCl<sub>2</sub>, 60 ng/mL tPA (Boehringer Ingelheim, Germany) and 10  $\mu\text{mol/L}$  phospholipid vesicles (Rossix, Mölndal, Sweden).

**Table 3: Different concentrations of E<sub>2</sub> and P used for turbidimetric analysis**

<b>E<sub>2</sub></b>	30 $\text{pg.mL}^{-1}$	60 $\text{pg.mL}^{-1}$	140 $\text{pg.mL}^{-1}$	220 $\text{pg.mL}^{-1}$	300 $\text{pg.mL}^{-1}$	1 $\text{ng.mL}^{-1}$
<b>P4</b>	30 $\text{ng.mL}^{-1}$	60 $\text{ng.mL}^{-1}$	140 $\text{ng.mL}^{-1}$	220 $\text{ng.mL}^{-1}$	300 $\text{ng.mL}^{-1}$	

### 3.6 Statistical analysis

The seven parameters measured with TEG are explained in table 4. For the different concentrations of each hormone all parameter values were obtained for statistical analysis.

**Table 4: Summary of thrombo-elastic parameters**

	<b>Parameters</b>	<b>Description</b>
<b>R</b>	Reaction time (minutes)	Time of latency from start of test to initial fibrin formation i.e. initiation time
<b>K</b>	Kinetics (minutes)	Time taken to achieve a certain level of clot strength i.e. amplification
<b><math>\alpha</math></b>	Angle (degrees)	The angle measures the speed at which fibrin build up and cross linking takes place, hence assesses the rate of clot formation; i.e. thrombin burst
<b>MA</b>	Maximum amplitude (mm)	Maximum strength/stiffness of clot. Represents the ultimate strength of the fibrin clot, i.e. overall stability of the clot
<b>MRTG</b>	Maximum rate of thrombus formation (Dyn.cm <sup>-2</sup> .s <sup>-1</sup> )	The maximum velocity of clot growth
<b>TMRTG</b>	Time to maximum rate of thrombus formation (minutes)	The time interval observed before the maximum speed of the clot growth
<b>TTG</b>	Total thrombus generation (Dyn.s <sup>-1</sup> )	The clot strength: the amount of total resistance generated during clot formation. This is the total area under the velocity curve during clot growth representing the amount of clot strength generated during clot growth

SEM micrographs were taken at 40 000x machine magnification and used to measure fibrin strand thickness. Image J (<http://rsb.info.nih.gov/ij/download.html>) was used to measure a

total of 1000 fibrin fibres (100 strands per individual) for the control as well as different concentrations of each hormone.

Surface roughness was measured with the use of AFM. De Oliveira et al provide a comprehensive overview of AFM (115). We employed AFM in tapping mode to investigate surface roughness of individual fibrin fibers. As the cantilever tap on the sample while it scans over the specimen it intermittently makes contact with the surface of the fibrin fiber and surface texture is then conveyed. Roughness (also referred to as being uneven, irregular or coarse) describes closely spaced irregularities. It is quantified by the vertical spacing of a real surface from its ideal form. Roughness relates to large spacing compared to the control surface while smoothness relates to small spacing compared to the control surface. RMS (root mean square) was then used as statistical measure (115). AFM software was used to evaluate the surface roughness of 150 areas on individual fibrin fibers (3 points on 5 randomly selected fibrin fibers of each participant) for the controls and different concentrations of each hormone.

Turbidimetric parameters measured include: Lag time (the time it takes for the coagulation cascade to be activated and fibrin fibers to grow enough for lateral aggregation), slope (the rate of lateral aggregation), maximum absorbance (an indication of fibre thickness) and clot lysis time (CLT), which is the midpoint of maximum turbidity to clear, minus clot formation time-midpoint of clear to maximum turbidity (116).

Graphpad Prism 5 was employed to perform one-way ANOVA for all statistical analysis with a p value of  $\leq 0.005$  considered significant.

## Chapter 4: Results

### 4.1 Chapter objectives

In this chapter the effect of different concentrations of E<sub>2</sub> and P4 will be discussed with regards to

- The viscoelastic properties of fibrin clots
- The morphological effect on fibrin fiber networks and individual fibrin fibres
- The surface roughness of individual fibrin fibres
- Turbidimetric analysis

### 4.2 Influence of E<sub>2</sub> on PPP clot formation

As described in chapter 3, different physiological concentrations of E<sub>2</sub> as found throughout the menstrual cycle were added to the PPP of healthy male participants before clot formation, fibrin network morphology, individual fibrin fiber diameter, surface topography and turbidimetric parameters were analysed.

#### 4.2.1 The viscoelastic properties of fibrin clots

Thromboelastography (TEG) shows the kinetics of clot formation and growth as well as the strength and stability of the formed clot (105) by yielding a graphical and numerical output relating to the cumulative effects of various plasma and cellular elements of all phases of coagulation and fibrinolysis (106) as explained in chapter 3. The 7 parameters were analysed and compared in the absence and presence of E<sub>2</sub>.



**Table 5: Summary of the effects of different concentrations of E<sub>2</sub> on viscoelastic properties of clot formation**

Viscoelastic parameters					
	Control	Lowest physiological concentration	Highest physiological concentration	F-value [F=3,36]	P-value
R (minutes)	8.40 (± 2.56)	8.75 (± 2.66)	<b><i>4.85 (± 1.81)</i></b>	<b>7.373</b>	<b>0.0006</b>
K (minutes)	5.30 (± 2.78)	2.70 (± 3.11)	<b><i>1.85 (± 1.09)</i></b>	<b>3.651</b>	<b>0.0214</b>
Angle (degrees)	66.50 (± 8.96)	65.80 (± 6.85)	73.20 (± 8.26)	<b>2.942</b>	<b>0.0460</b>
MA (mm)	21.70 (± 3.44)	27.93 (± 3.86)	<b><i>31.33 (± 5.45)</i></b>	<b>6.402</b>	<b>0.0014</b>
MRTG (Dyn.cm <sup>2</sup> .s <sup>-1</sup> )	4.55 (± 1.93)	4.31 (± 1.29)	<b><i>7.17 (± 4.51)</i></b>	<b>7.388</b>	<b>0.0006</b>
TMRTG (minutes)	9.63 (± 2.72)	10.34 (± 3.10)	<b><i>6.02 (± 2.18)</i></b>	<b>6.109</b>	<b>0.0018</b>
TTG (Dyn.s <sup>-1</sup> )	138.87 (±30.40)	193.98 (±36.02)	<b><i>227.55 (±66.58)</i></b>	<b>5.917</b>	<b>0.0022</b>

An analysis of variance (ANOVA) showed that all viscoelastic parameters were significantly influenced by E<sub>2</sub> (refer to table 5 for mean ±s standards deviation of each concentration evaluated for E<sub>2</sub>, F-values and p-values). Dunnett post hoc criterion for significance was performed to compare the effects of the different concentrations of E<sub>2</sub> to the control group (significance indicated with blue, bold and italic in table 5).

This viscoelasticity study showed that only the highest concentration of E<sub>2</sub> at 300pg.mL<sup>-1</sup> significantly influenced almost all the thrombo-elastic parameters analysed, while the lowest physiological concentration at 60pg.mL<sup>-1</sup> had no significant effect on these parameters. E<sub>2</sub> at a concentration of 300pg.mL<sup>-1</sup> significantly decreased the initiation time (R), amplification (K) and time interval before maximum velocity of clot growth is observed (TMRTG), while it significantly increased the overall stability of the clot (MA), maximum velocity of clot growth (MRTG) and clot strength (TTG). However, it did not have a significant effect on the thrombin burst (α, angle). This specific trend of decreases and increases in the specific parameters is

indicative of hypercoagulability, specifically at a concentration at  $300\text{pg.mL}^{-1}$ , which produces hypercoagulable fibrin clots while  $60\text{pg.mL}^{-1}$  produced fibrin clot formations similar to that of the controls.

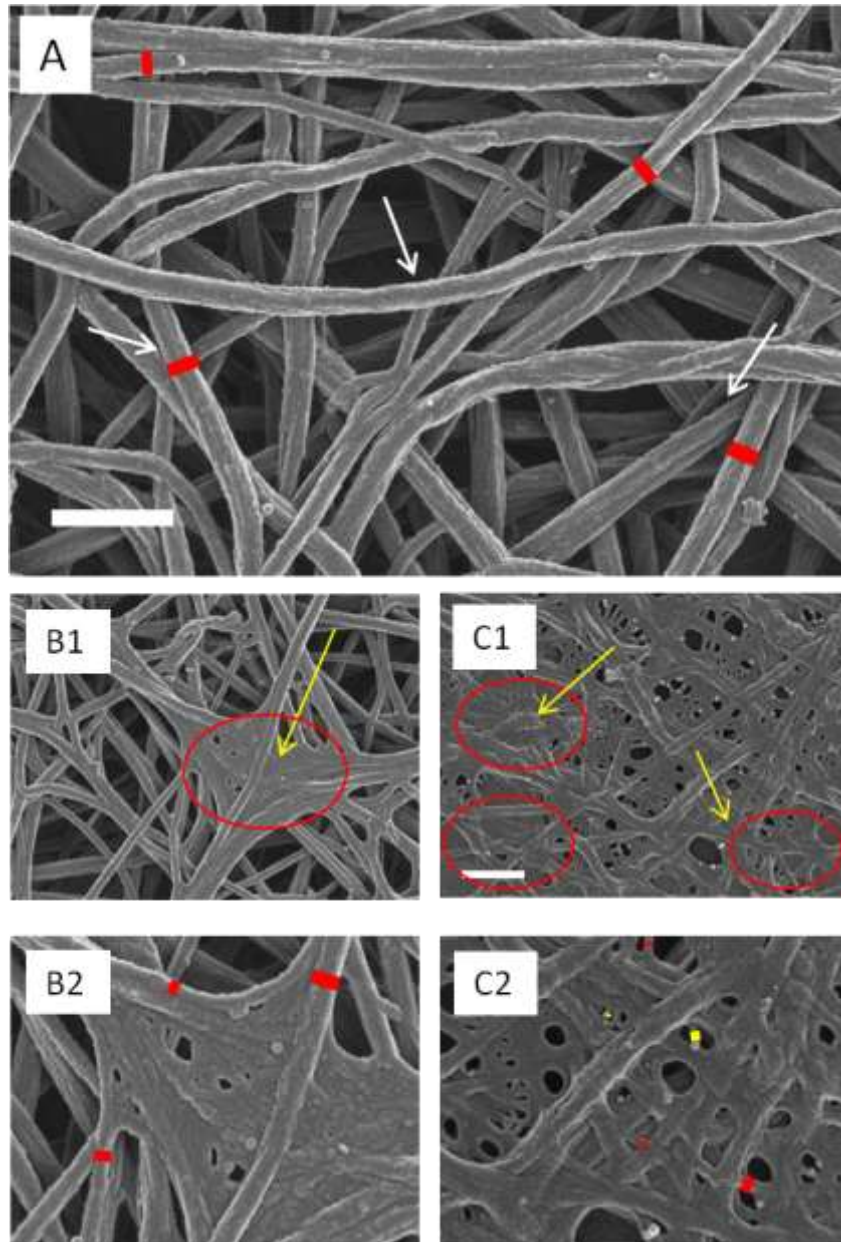
#### **4.2.2 The morphological effect on fibrin networks and individual fibers**

SEM was used to investigate the effect of  $E_2$  on fibrin network surface morphology with regards to structural analysis and fibrin diameter investigations.

Using scanning electron microscopy (SEM) provides us with information on surface topography, crystalline structure, chemical position and electrical behaviour. Alternative advantages of SEM analysis provides for a large field depth so most of the specimen surface is simultaneously in focus independent of the roughness of the surface and higher magnification settings for topography analysis. Large specimens can be analysed with SEM as it does not destroy the specimen and has a short specimen preparation time (117).

It is of great importance to study the morphology of fibrin network formation under different circumstances as a comparative picture can be obtained. Scanning electron microscopy can also guide us to different parameters which can be analysed such as fibrin thickness or fibrin fibre diameter. Detailed descriptions can be obtained from this morphological technique including features like granules or dense matted deposits (DMDs).

After SEM micrographs were taken, the micrographs were carefully studied and are shown below in figure 4-1.



**Figure 4-1: SEM micrographs representing the morphological features of the fibrin fiber networks of  $E_2$**

*A representation of a control sample (A),  $E_2$  at a concentration of  $60 \text{ pg.mL}^{-1}$  machine magnification of  $40\,000\times$  (B1) and  $100\,000\times$  (B2), and  $E_2$  at concentration of  $300 \text{ pg.mL}^{-1}$  at machine magnification of  $40\,000\times$  (C1) and  $100\,000\times$  (C2). Scale bars represent  $1 \mu\text{m}$  (A, B1 & C1) and  $100\text{nm}$  (B2 & C2). White arrows represent normal fibrin fibers, while yellow arrows show DMDs. Red and yellow bars are indicative of individual fibrin fibers where the yellow bars display the smaller fibrin fibers.*

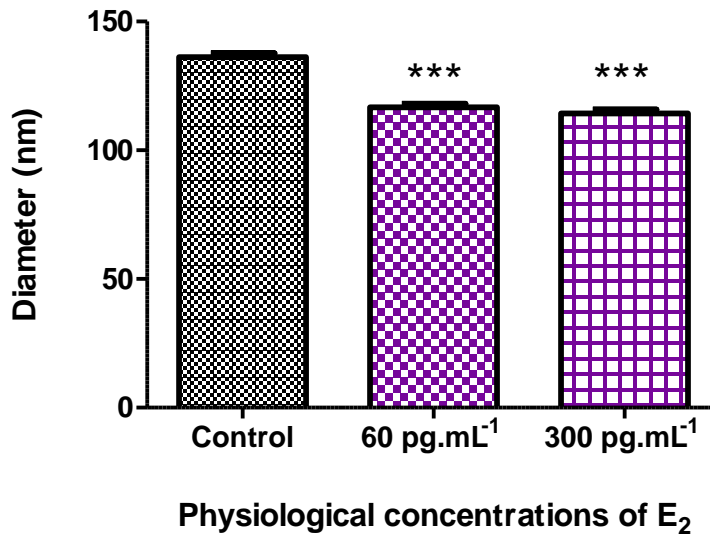
Figure 4-1 (A) shows fibrin strands that are evenly dispersed and mainly composed of thick fibrin fibers which are typical without any addition of hormones. B1-C2 shows the effect of the different concentrations of E<sub>2</sub> and at different machine magnifications; an accumulation of thin fibers are seen which forms DMDs which are present at both concentrations although it is most prevalent with the highest physiological concentration (300 pg.mL<sup>-1</sup> final concentration) where it covers most of the surface (C1). These DMDs give the fibrin network a sticky appearance.

The fibrin diameters were measured and analysed through Image J from the micrographs taken with SEM. Results obtained were analysed with ANOVA, along with a Dunnett post hoc criterion for significance, (table 6 shows the mean, standard deviation, F and p-values. Significance indicated with blue, bold and italic).

**Table 6: Changes in fibrin fiber diameter for different concentrations of E<sub>2</sub>**

Morphological analysis: fibrin diameter					
	Control	Lowest physiological concentration	Highest physiological concentration	F-value [F=3,36]	P-value
Fibrin diameter (nm)	125.97 (±48.84)	<b><i>113.02</i></b> <b><i>(±46.12)</i></b>	<b><i>108.34</i></b> <b><i>(±45.75)</i></b>	<b>42.11</b>	<b>&lt;0.0001</b>

### Effect of $17\beta$ -Estradiol on fibrin diameter



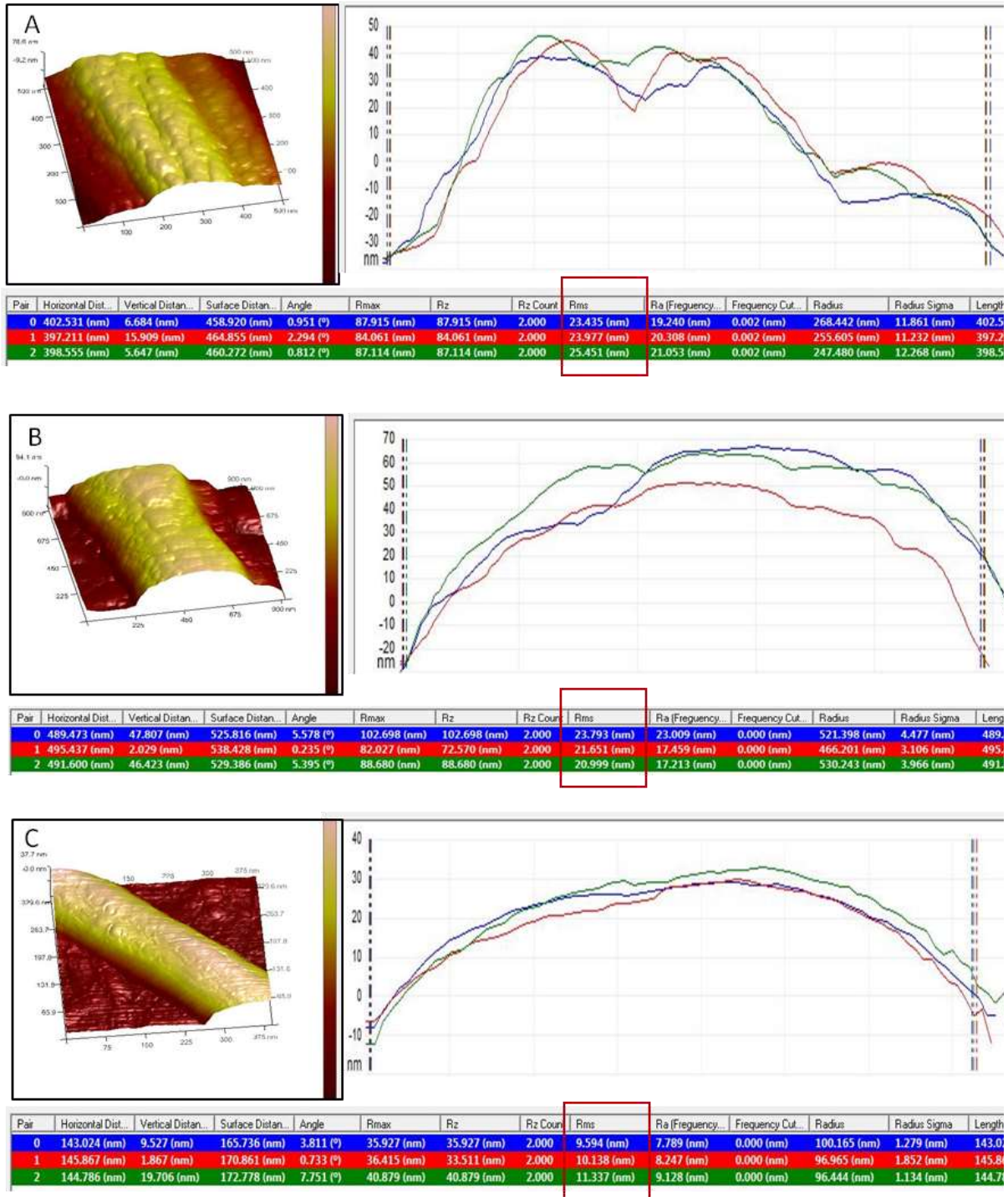
**Figure 4-2: Graph displaying the changes in fibrin fiber diameter when the different physiological concentrations of E<sub>2</sub> were added to the PPP**

All concentrations of E<sub>2</sub> significantly decreased the diameters of individual fibrin fibers compared to the fibrin fibers formed without addition of hormones as shown in table 6 and figure 4-2.

#### 4.2.3 The surface roughness of individual fibrin fibers

The effects of the different concentrations of E<sub>2</sub> on the surface roughness of individual fibrin fibers are displayed in figure 4-3 (below).

As previously mentioned, a 1  $\mu\text{m}$  by 1  $\mu\text{m}$  scan was performed on each sample with a peak force of 6  $\mu\text{N}$ . Figure 4-3 represents images from the Offline software (NanoScope Analysis version R3, Bruker, USA) which was used to process all images and measure the surface roughness.



**Figure 4-3: Surface roughness of individual fibrin fibers after the addition of different concentrations of  $E_2$**

*A control fibrin fiber (A), a fiber exposed to  $E_2$  at a concentration of  $60 \text{ pg.mL}^{-1}$ (B) and  $300 \text{ pg.mL}^{-1}$ (C). Figures A-C display the 3-dimensional structure, the 2-dimensional curve when a fiber is dissected along with the study of the root mean square (RMS) set values obtained, enclosed by the red block.*



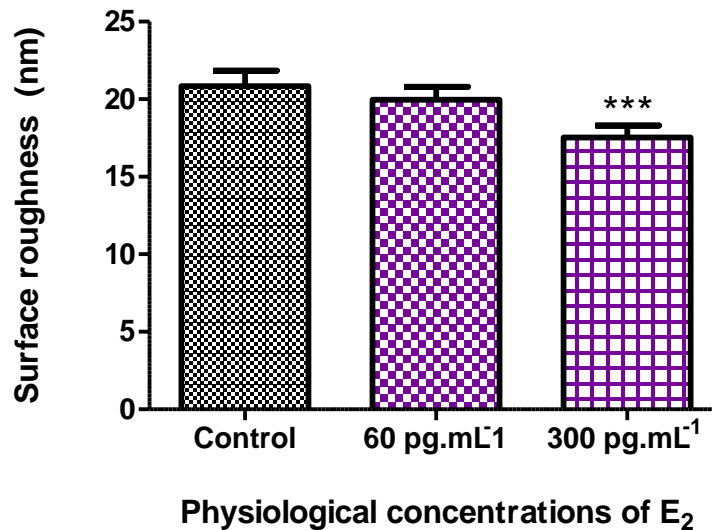
The control fibrin fiber showed a rougher surface than those at the different concentrations of E<sub>2</sub>. It seems that the higher the concentration of E<sub>2</sub>, the smoother the surface topography becomes.

Level of significance is shown in table 7 as the RMS along with the display of a graph representing surface topography (Figure 4-4).

**Table 7: Statistical analysis of surface roughness after the addition of different physiological concentrations of E<sub>2</sub>**

Morphological analysis: surface roughness					
	Control	Lowest physiological concentration	Highest physiological concentration	F-value [F=3,36]	P-value
Surface roughness (nm)	21.07 (± 2.63)	17.95 (± 10.29)	<i>15.17 (± 9.36)</i>	2.678	0.0463

### Effect of 17β-Estradiol on surface roughness



**Figure 4-4: Graph displaying the effect of E<sub>2</sub> at different physiological concentrations on fibrin fiber surface roughness**

AFM analysis revealed that the lowest physiological concentration of E<sub>2</sub> did not influence the roughness of the fibrin strands significantly, although the dissected fiber showed decreased roughness. The highest physiological concentration of the hormone however significantly decreased the roughness of the fibrin fibers. Thus E<sub>2</sub> only at a concentration of 300pg.mL<sup>-1</sup> had a statistically significant effect on fibrin fiber roughness. At this specific concentration the fibrin fibers become smoother compared to fibrin fibers with no hormones added.



#### 4.2.4 Turbidimetric analysis for E<sub>2</sub>

Table 8 provides a summary of the results obtained by turbidimetry, where the lag time, slope, maximum absorbance and clot lysis time were determined for the different concentrations of E<sub>2</sub>.

**Table 8: Summary of the effects of different concentrations of E<sub>2</sub> on turbidimetric parameters indicated as mean ± standard deviation**

<b>Turbidimetric parameters</b>				
	<b>Lag time (minutes)</b>	<b>Slope (x10<sup>-3</sup> au/s)</b>	<b>Maximum absorbance</b>	<b>CLT (minutes)</b>
<b>Control</b>	4.852 (±0.532)	6.348 (±2.016)	0.496 (±0.136)	71.050 (±3.736)
<b>30pg.mL<sup>-1</sup></b>	5.343 (±0.670)	5.806 (±1.248)	0.439 (±0.096)	67.380 (±3.857)
<b>60pg.mL<sup>-1</sup></b>	5.096 (±0.600)	5.108 (±0.647)	0.444 (±0.103)	67.830 (±3.904)
<b>140pg.mL<sup>-1</sup></b>	5.081 (±0.566)	5.283 (±0.692)	0.444 (±0.112)	<b>66.370 (±2.903)</b>
<b>220pg.mL<sup>-1</sup></b>	5.139 (±0.639)	5.582 (±1.38)	0.448 (±0.111)	<b>66.520 (±3.677)</b>
<b>300pg.mL<sup>-1</sup></b>	5.208 (±0.444)	5.341 (±0.577)	0.449 (±0.112)	<b>66.060 (±4.010)</b>
<b>1ng.mL<sup>-1</sup></b>	5.100 (±0.600)	5.265 (±0.700)	0.445 (±0.124)	66.640 (±4.568)
<b>F-value [F = 6;63]</b>	<b>0.662</b>	<b>1.381</b>	<b>0.297</b>	<b>2.007</b>

ANOVA p-value	<b>0.681</b>	<b>0.236</b>	<b>0.936</b>	<b>0.078</b>
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An ANOVA showed no statistically significant overall change to turbidimetric analysis, however Dunnett post hoc criterion for significance indicated that only at concentrations 140, 220 and 300pg.mL<sup>-1</sup> of 17β-estradiol significantly decreased the CLT (significance indicated with blue, bold and italic in Table 8).

All concentrations of 17β-estradiol tested increased the lag time and decreased the slope, but this was not statistically significant. The maximum absorbance was also decreased for all concentrations of 17β-estradiol and although not statistically significant correlates with the significant decrease in fibrin fiber diameter as visualised with SEM.

This indicates that the activation of the coagulation cascade and rate of lateral aggregation are slower (although not statistically significant) in the presence of 17β-estradiol compared to the control samples. Decreased fiber thickness confirms the decreased fibrin diameters visualised with SEM and measured with ImageJ. The overall decreased clot lysis time, with statistical significance for the 140-300 pg.mL<sup>-1</sup> indicate that fibrin clots exposed to different concentrations of 17β-estradiol cause the clots to lyse faster than that of the control fibrin clots.

### 4.3 Influence of P4 on PPP clot formation

As described in chapter 3, different physiological concentrations of P4 were added to the PPP of healthy male participants before clot formation, fibrin network morphology and individual fibrin fiber diameter and surface topography were analysed.

#### 4.3.1 The viscoelastic properties of fibrin clots

Table 9 provides a summary of the effects of the different concentrations of P4 on the viscoelastic properties of fibrin clot formation. The same viscoelastic parameters analysed for E<sub>2</sub> were also investigated for P4 using TEG.

**Table 9: Summary of the effects of different concentrations of P4 on viscoelastic properties of clot formation**

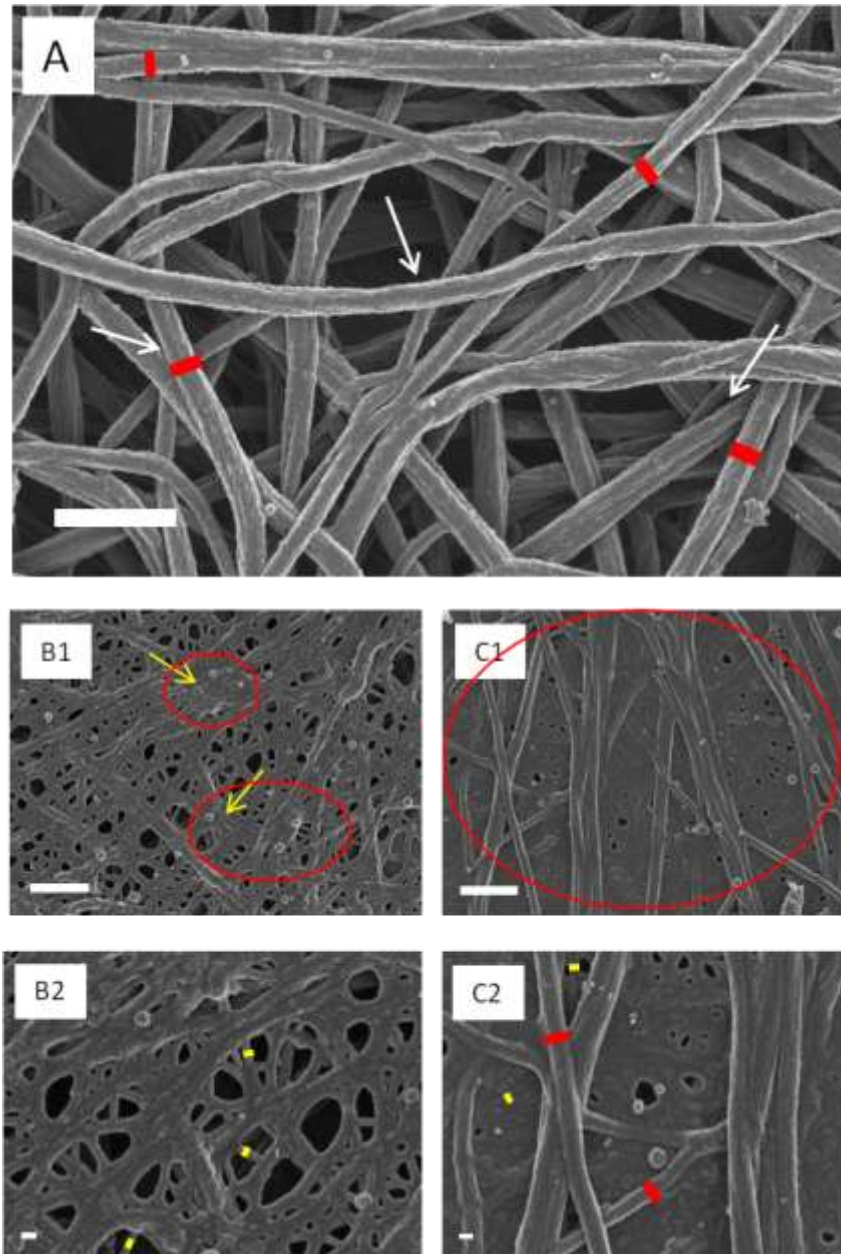
Viscoelastic studies					
	Control	Lowest physiological concentration	Highest physiological concentration	F-value [F=3,36]	P-value
R (minutes)	8.40 (± 2.56)	7.25 (± 2.14)	7.05 (± 1.29)	<b>1.211</b>	<b>0.0006</b>
K (minutes)	5.30 (± 2.78)	<b>2.60 (± 0.96)</b>	<b>2.25 (± 0.99)</b>	<b>6.065</b>	<b>0.0214</b>
Angle (degrees)	66.50 (± 8.96)	65.35 (± 6.73)	66.60 (± 5.07)	<b>0.9516</b>	<b>0.0460</b>
MA (mm)	21.70 (± 3.44)	29.45 (± 4.45)	<b>29.45 (±7.22)</b>	<b>2.595</b>	<b>0.0014</b>
MRTG (Dyn.cm <sup>-1</sup> .s <sup>-1</sup> )	4.55 (± 1.93)	6.36 (± 2.81)	<b>6.15 (± 2.56)</b>	<b>2.838</b>	<b>0.0006</b>
TMRTG (Minutes)	9.63 (± 2.72)	9.56 (± 2.26)	9.29 (± 1.48)	<b>0.7013</b>	<b>0.0018</b>
TTG (Dyn.s <sup>-1</sup> )	138.87 (±30.40)	197.10 (±45.13)	<b>212.87 (±75.57)</b>	<b>2.546</b>	<b>0.0022</b>

Referring to table 9, P4 did not influence fibrin clot formation in the same manner as E<sub>2</sub>. It was mainly the K (amplification), MA (maximum velocity), MRTG (maximum velocity of clot growth) and TTG (clot strength) values that were influenced by different concentrations of

P4. The amplification (K) was significantly decreased by both concentrations of P4, while the overall stability (MA), maximum velocity of clot growth (MRTG) and clot strength (TTG) was significantly increased by the highest physiological concentration of 300ng.mL<sup>-1</sup>.

#### **4.3.2 The morphological effect on fibrin networks and individual fibers**

SEM was used to investigate the effect of P4 on fibrin network surface morphology with regards to structural analysis and fibrin diameter investigations. All SEM samples were prepared in the exact same manner for both E<sub>2</sub> and P4.



**Figure 4-5: SEM micrographs representing the morphological features of the fibrin fiber networks of P4**

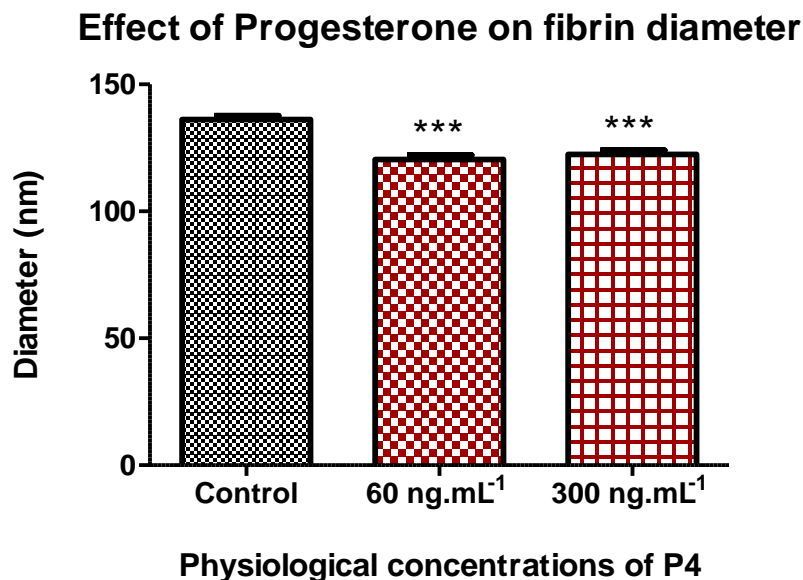
*A representation of a control sample (A), P4 at a concentration of  $60 \text{ ng.mL}^{-1}$  of machine magnification of 40 000x (B1) and 100 000x (B2), and P4 at a concentration of  $300 \text{ ng.mL}^{-1}$  at machine magnification 40 000x (C1) and 100 000x (C2). Scale bars represent  $1 \mu\text{m}$  (A, B1 & C1) and 100nm (B2 & C2). White arrows represent normal fibrin fibers while yellow arrows show DMDs. Red and yellow bars are indicative of different fibrin diameters where the yellow bars display the smaller fibrin fibers.*

Figure 4-5 shows the effect of different concentrations of P4 on fibrin network morphology. Like E<sub>2</sub> DMDs are present for all concentrations but appear more closely packed for the lowest and highest physiological concentrations compared to E<sub>2</sub>. When compared to only P4 the fibrin fiber network for the highest concentration of 300 ng.mL<sup>-1</sup> appears denser than that of the lowest concentration at 60 ng.mL<sup>-1</sup>.

The fibrin diameters were also measured for P4 and analysed through Image J from micrographs taken with SEM. Results obtained were analysed with ANOVA along with a Dunnett post hoc criterion for significance, (Table 10 shows the mean, standard deviation, F and p-values. Significance indicated with blue, bold and italic).

**Table 10: changes in fibrin fiber diameter after addition of different concentrations of P4**

Morphological studies: Fibrin diameter					
	Control	Lowest physiological concentration	Highest physiological concentration	F-value [F=3,36]	P-value
Fibrin diameter (nm)	125.97 (±48.84)	<b><i>111.26</i></b> <b><i>(±58.28)</i></b>	<b><i>111.79</i></b> <b><i>(±53.10)</i></b>	37.90	<0.0001

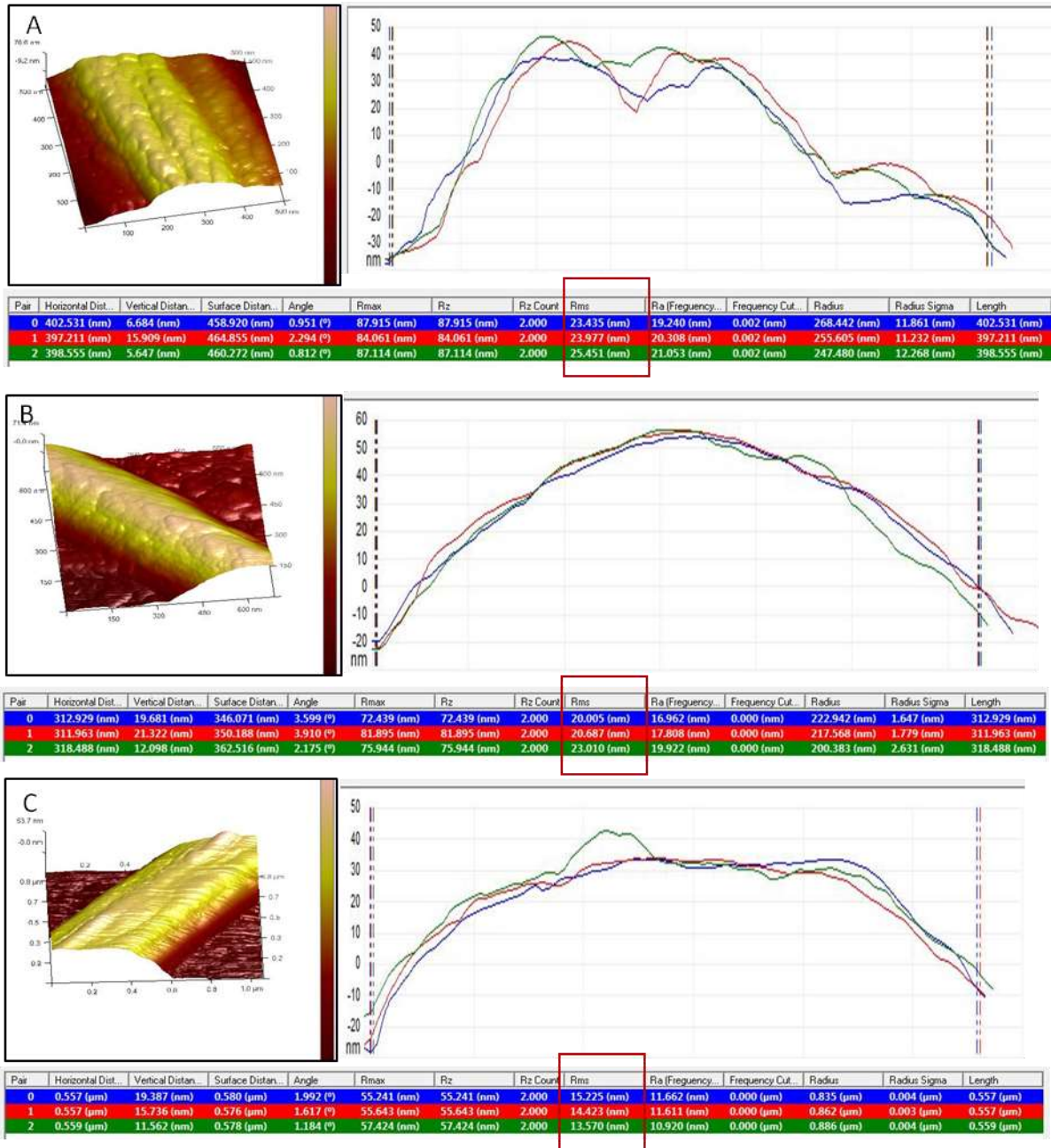


**Figure 4-6: Changes in fibrin fiber diameter after addition of different physiological concentrations of P4 to PPP**

Both concentrations of P4 significantly decreased the fibrin diameters of the individual fibers compared to the fibrin fibers formed without the addition of any of these hormones. (Figure 4-6 and Table 10, above)

### **4.3.3 The surface roughness of individual fibres**

AFM was used to analyse surface roughness for P4. Figure 4-7 represents images from the Offline software (NanoScope Analysis version R3, Bruker, USA) which was used to process all images and measure the surface roughness.



**Figure 4-7: Changes in surface roughness of fibrin fibers after addition of physiological concentrations of P4**

A control fiber (A), a fiber exposed to P4 at a concentration of 60 ng.mL<sup>-1</sup>(B) and 300 ng.mL<sup>-1</sup> (C). Figures A-C display the 3-dimensional structure, the 2-dimensional curve when a fiber is dissected along with the study of the root mean square (RMS) set values obtained, enclosed by the red block



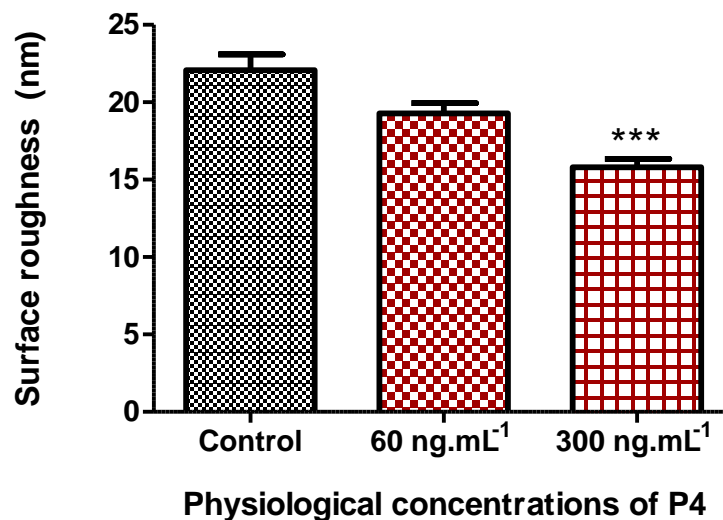
The control fibrin fiber showed a rougher surface than those at the different concentrations of P4. It seems that the higher the concentration of P4, the smoother the surface topography becomes.

Table 11 summarises the effects of the different concentrations of P4 on the surface roughness of individual fibrin fibers, while figure 4-8 shows the study of root mean square.

**Table 11: Statistical analysis of surface roughness after addition of different physiological concentrations of P4**

Morphological studies: Surface roughness					
	Control	Lowest physiological concentration	Highest physiological concentration	F-value [F=3,36]	P-value
Surface roughness (nm)	21.07 ( $\pm 12.63$ )	18.77 ( $\pm 8.16$ )	<i>14.40 (<math>\pm 6.78</math>)</i>	13.94	<0.0001

### Effect of Progesterone on surface roughness



**Figure 4-8: Surface roughness of individual fibrin fibers after addition of different concentrations of P4**

AFM analysis showed that the lowest physiological concentration of P4 did not have a statistically significant influence on the fibrin strand roughness. It was the highest physiological concentration of this hormone that followed the trend of the highest physiological concentration of E<sub>2</sub> by significantly decreasing the roughness of the fibrin fibers.

#### 4.3.4 Turbidimetric analysis for P4

Table 12 provides a summary of the results obtained by turbidimetry, where the lag time, slope, maximum absorbance and clot lysis time were determined for the different concentrations of P4.

**Table 12: Summary of the effects of different concentrations of P4 on turbidimetric parameters indicated as mean ± standard deviation**

<b>Turbidimetric parameters</b>				
	<b>Lag time (minutes)</b>	<b>Slope (x10<sup>-3</sup> au/s)</b>	<b>Maximum absorbance</b>	<b>CLT (minutes)</b>
<b>Control</b>	4.852 (±0.532)	6.348 (±2.016)	0.496 (±0.136)	71.050 (±3.736)
<b>30ng.mL<sup>-1</sup></b>	5.013(±0.496)	5.478 (±1.637)	0.444 (±0.121)	68.360 (±6.508)
<b>60ng.mL<sup>-1</sup></b>	5.127 (±0.557)	5.298 (±0.920)	0.458 (±0.130)	67.270 (±3.661)
<b>140ng.mL<sup>-1</sup></b>	5.064 (±0.593)	5.196 (±0.695)	0.457 (±0.134)	68.820 (±3.915)
<b>220ng.mL<sup>-1</sup></b>	5.061 (±0.552)	5.703 (±1.771)	0.462 (±0.134)	70.140 (±5.738)
<b>300ng.mL<sup>-1</sup></b>	5.262 (±0.631)	5.724 (±1.885)	0.459 (±0.133)	68.790 (±4.994)
<b>F-value [F = 6;63]</b>	<b>0.573</b>	<b>0.692</b>	<b>0.176</b>	<b>0.753</b>
<b>ANOVA p-value</b>	<b>0.721</b>	<b>0.632</b>	<b>0.971</b>	<b>0.588</b>

Progesterone followed the same trend as that of  $17\beta$ -estradiol by increasing the lag time as well as decreasing the slope, maximum absorbance and CLT, but not to a statistically significant effect.

This indicates that Progesterone like  $17\beta$ -estradiol decreased the activation time of the coagulation cascade, the rate of lateral aggregation, fiber thickness and clot lysis time, none of which was shown to be statistically significant.

#### 4.4 Concluding remarks

Viscoelasticity studies showed that only the highest concentration of  $E_2$  at  $300\text{pg.mL}^{-1}$  significantly influenced almost all the thrombo-elastic parameter analysed, while the lowest physiological concentration at  $60\text{pg.mL}^{-1}$  had no effect on these parameters. P4 did not influence fibrin clot formation in the same manner as  $E_2$ . It was mainly the K (amplification), MA (maximum velocity), MRTG (maximum velocity of clot growth) and TTG (clot strength) values that were influenced by different concentrations of P4. This specific trend of decreases and increases in the specific parameters is indicative of hypercoagulability, specifically at the highest concentration of both  $E_2$  and P4, which produces hypercoagulable fibrin clots while  $E_2$  at  $60\text{pg.mL}^{-1}$  produced fibrin clot formations similar to that of the controls.

From SEM analysis it seems that P4 at the lowest physiological concentration produced patches of DMDs dispersed over thin fibrin fibers.  $E_2$  at the highest physiological concentration had a similar effect on the morphology of the fibrin network as P4 at the lowest physiological concentration where DMDs are prominent with holes still visible between very thin fibrin fibers. P4 at the highest physiological concentration formed thick masses of fibrin with very few small holes in between the thin fibrin fibers.

Fibrin fiber diameter was decreased for both hormone concentrations at all the concentrations compared to that of the control fibers with no hormones added.

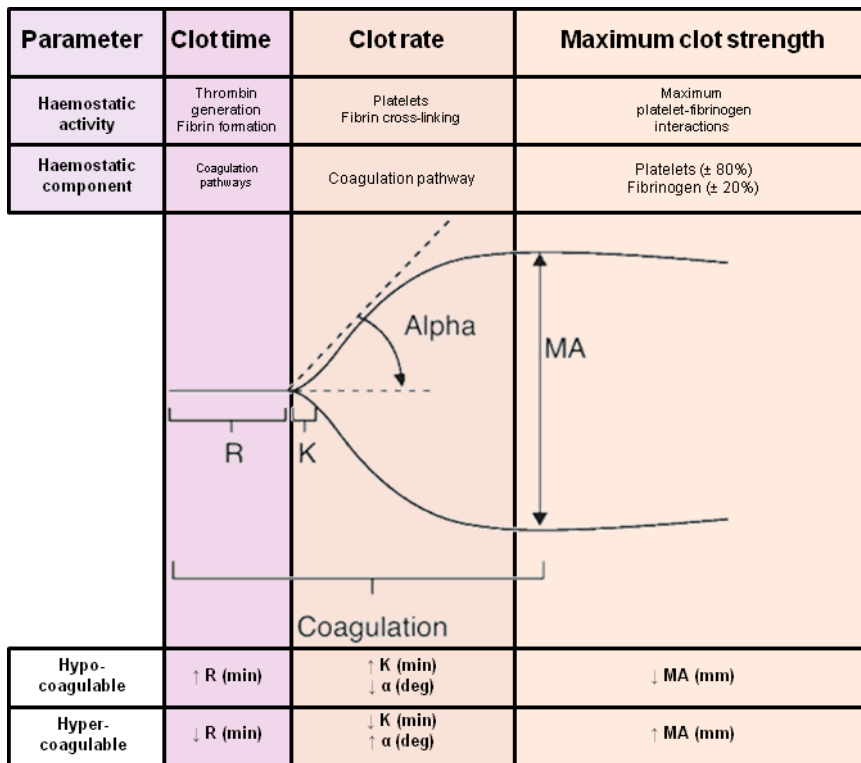
Surface topography was decreased as hormone concentration increased for both  $E_2$  and P4. Thus a smoother surface was observed at the highest concentrations of both hormones.

Turbidimetry indicated that P4 like E<sub>2</sub> decreased the activation time of the coagulation cascade, the rate of lateral aggregation, fiber thickness and clot lysis time, none of which was shown to be statistically significant.

## Chapter 5: Discussion

The individual haematological effects of endogenous hormones, specifically  $E_2$  and  $P_4$  in the two most important life cycles of a woman, namely the menstrual cycle and pregnancy (90, 91). It is also critical to determine the individual effect of these endogenous steroid hormones on coagulation since they are present in circulation when additional synthetic hormones are introduced into the body in the form of hormonal contraception, which may have thrombotic implications (9).

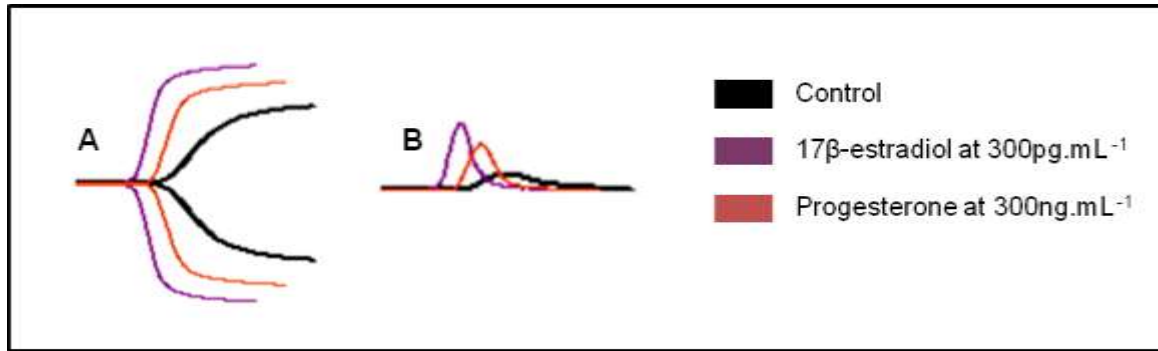
Thromboelastography revealed the viscoelastic properties of  $E_2$  and  $P_4$  with regards to several parameters as described in chapter 3. A standard curve (Figure 5-1) is displayed below for normal viscoelastic properties, in other words a control sample. This also represents a clear indication of how the mentioned parameters either increase or decrease and whether it is linked to a hypo- or hypercoagulable state.



**Figure 5-1: Viscoelastic parameters indicating hypo- and hypercoagulability**

*Increased R time (R), kinetics (K) and decreased maximum amplitude (MA) indicates hypo-coagulation while decreased R time, kinetics and increased amplitude indicates a hypercoagulable state*

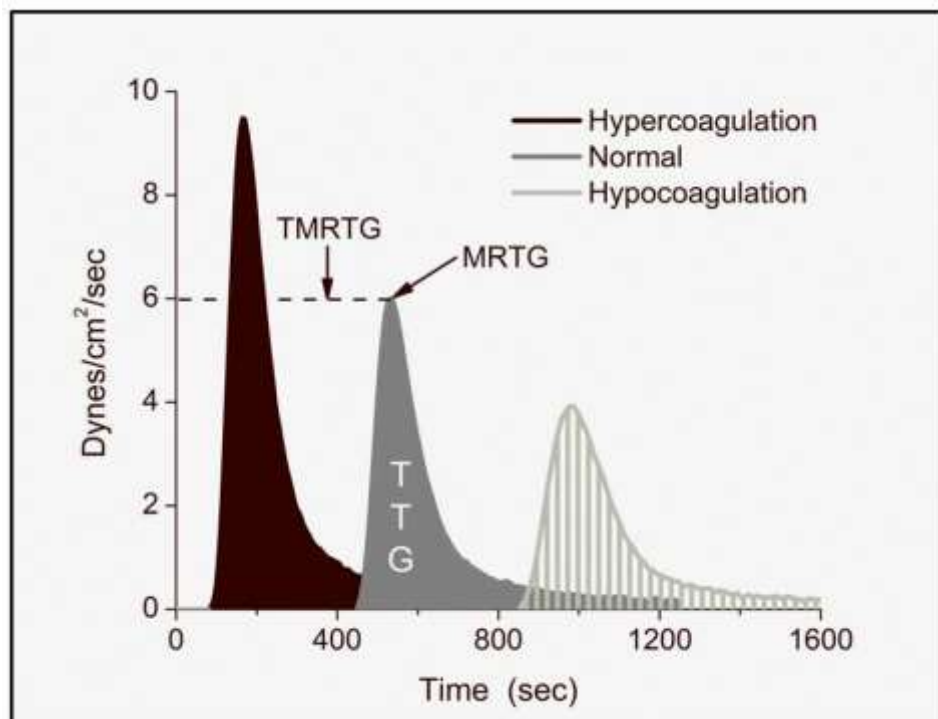
When plotting E<sub>2</sub> and P4 onto the same graph and comparing it to the standard curve of normal plasma fibrin formation, we are able to see that the rate of the clot formation after E<sub>2</sub> addition was influenced while that for P4 was not (Figure 5-2). Initiation time for clot formation after addition of E<sub>2</sub> at the highest physiological concentration was shorter than that for P4 and the control. Decreased kinetics and time interval observed before the maximum speed of the fibrin clot growth was decreased relating to more rapid clot formation compared to that of controls. Not only was clot formation faster, the overall stability and strength of the clot was increased. As compared to E<sub>2</sub> at the highest physiological concentration P4 at its highest physiological concentration did not affect the initiation time of clot formation. All concentrations tested increased clot amplification, while P4 at its highest physiological concentration increased the overall stability and strength of the clot with P4 addition was not as stable and strong as that of E<sub>2</sub> at the highest physiological concentration.



**Figure 5-2: Representative viscoelastic traces of normal viscoelastic properties (black), E<sub>2</sub> (purple) and P4 (red)**

*A = Traditional TEG waveforms, B = Clot growth velocity curves*

Referring to Figure 5-3, clot velocity is displayed with regards to TMRTG and MRTG. Again normal, hyper- and hypocoagulation profiles are indicated. As shown in chapter 4 for the viscoelastic parameters (Table 5 and 9), both E<sub>2</sub> and P4 increased the overall velocity of clot growth.



**Figure 5-3: Representative clot velocity curve indicating the difference between normal, hyper- and hypocoagulation**

Therefore  $E_2$  at its highest physiological concentrations resulted in more rapid formation of strong, thick fibrin clots. P4 increased clot amplification overall but at a concentration of  $300\text{ng}\cdot\text{mL}^{-1}$ , formation rate of fibrin clots was increased even more and was also stronger and denser. These viscoelastic alterations were confirmed with SEM. Piechocka et al (118) recently showed that the hierarchical architecture of the fibrin fibers are reflected by the strain-stiffening response of fibrin networks. Duval et al (119) has suggested a connection between clot structure and viscoelastic properties via fibre tautness.

Both  $E_2$  and P4 decreased the fibrin fiber diameter while forming a more closely-packed arrangement known as DMDs. Fibrin network architecture is closely associated with fibrinolysis. Although individual thinner fibers lyse more easily (120) a dense network of thin fibrin fibers are more resilient to lysis than thick fibers arranged in a more dispersed configuration, regardless of the quantity of the lytic agent (121). Since both hormones decrease the fibrin fiber diameter which in turn possibly decreases the local enhancement and acceleration of fibrinolysis (121), we could deduce that this might increase the risk of thrombosis.

Fibrin formation or clot structure gives insights into the mechanism of many diseases associated with thrombotic complications (65-67). Fibrinogen concentration is directly related to the structure of a fibrin network. When fibrinogen levels are elevated, fibrin network density increases, as well as clot stiffness and the resistance of the clot to fibrinolysis. The fibrin network is not only sensitive to the fibrinogen concentration, but also to the concentration of thrombin during fibrin polymerization. Therefore elevated fibrinogen (122, 123) and thrombin levels (124) are associated with denser fibrin clot structure and reduced susceptibility to lysis. Plasma fibrinogen is susceptible to oxidative stress (125), and fibrinogen exposed to reagents present during oxidative stress resulted in decreased fibrin fiber diameter (126). Inflammation further increases fibrinogen and thrombin concentrations (74) resulting in the over-activation of the coagulation system that shifts the haemostatic balance toward a hypercoagulable state (75).

Given that samples were collected at a single time point, we can assume that the fibrinogen concentration remained stable for all samples. And since the viscoelastic studies showed that the hormones did not influence the thrombin burst ( $\alpha$ , angle) and the same thrombin

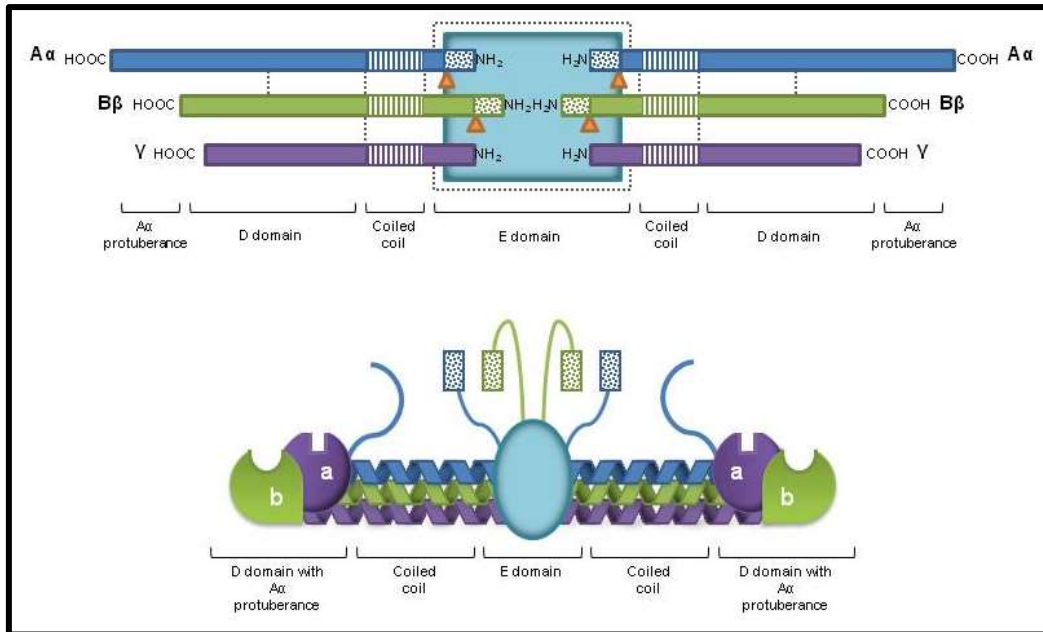


concentration and volume was used throughout it seems that change in fibrin diameter may have been brought on by oxidative stress induced by the hormones. Although  $E_2$  is more commonly known to attenuate oxidative stress (127) it has recently been shown that chronic  $E_2$  exposure induces oxidative stress in the hypothalamus (128) and even in organisms that do not possess estrogen receptors like *Eisenia fetida* (129).

Santanam et al has shown that physiological concentrations of estradiol do not inhibit the oxidation of LDL by copper. LDL samples isolated from pre- and postmenopausal females as well as females during different phase of their menstrual cycle oxidized at the same rate although the plasma estradiol levels differed significantly. Only LDL samples from females receiving estradiol concentrations above  $2000 \text{ pg.ml}^{-1}$  were resistant to oxidation by copper. They concluded that physiological concentrations of estradiol is unlikely to act as an antioxidant and that it might rather induce myeloperoxidase (MPO) and become a pro-oxidant (130).

It is interesting that, although both hormones at all concentrations decreased the fibrin diameter and resulted in the formation of DMDs, the fibrin fiber roughness was decreased by all concentrations of both hormones. The reason behind the fact that AFM results did not follow the same pattern as that for thromboelastic and morphological studies, it is important to take a closer look at the way fibrin strands bind to each other and the different ways they could be arranged.

Fibrinogen (Figure 5-4), is a large centrosymmetric glycoprotein (131, 132), which contains three pairs of polypeptide chains ( $A\alpha$ ,  $B\beta$  and  $\gamma$  polypeptides) curved into a central E-region with two distal D-regions (133, 134). Each fibrinogen monomer contains a total of 72 Trp residues (11 on each  $\alpha$ -chain, 14 on each  $\beta$ -chain and 11 on each  $\gamma$ -chain (<http://www.ncbi.nlm.nih.gov/sites/entrez>) which are primarily situated inside the hydrophobic core of the fibrinogen protein.



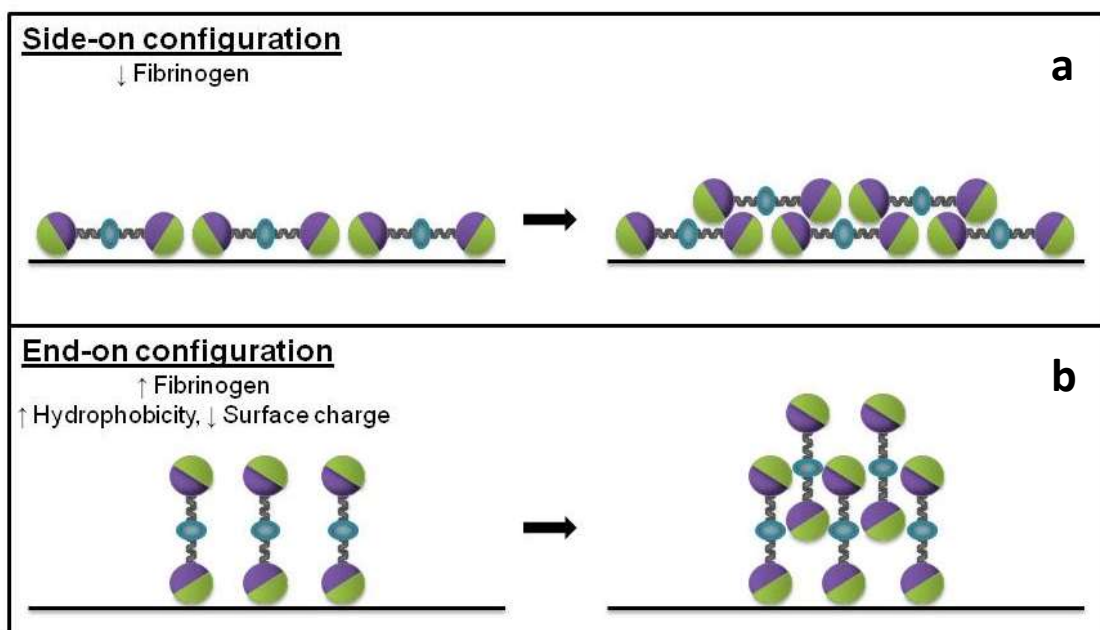
**Figure 5-4: Fibrinogen structure**

*The top diagram indicates the polypeptide organisation of fibrinogen with the three chains ( $\alpha$ ,  $\beta$  and  $\gamma$ ) while the bottom diagram depicts domain organisation (D domain and E domain) and binding sites of fibrinogen (135, 136)*

E<sub>2</sub> has been shown to bring about conformational changes to the fibrinogen molecule. It directly interacts with at least one high affinity site in the fibrinogen macromolecule resulting in conformational changes to the protein and subsequent exposure of more tryptophan (Trp) residues (137). Alterations of Trp residues, in the D domain results in increased polymerization while complete loss of the polymerization activity of fibrinogen is associated with modifications to Trp residues in the E domain (138). Since the ultrastructural and viscoelastic studies showed hypercoagulable clot formation, which is associated with increased polymerization, at the highest physiological concentration of E<sub>2</sub> we can hypothesise that this specific concentration of E<sub>2</sub> may alter Trp residues in the D domain.

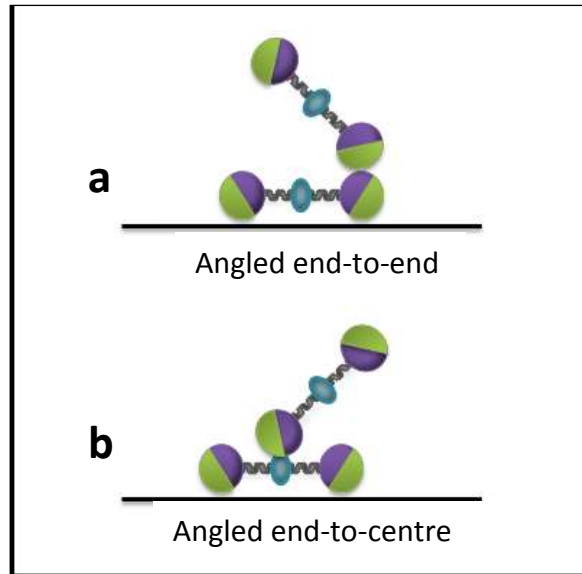
Fibrinogen orientation is also influenced by fibrinogen concentration (139, 140) as well as the surface charge (141). At high fibrinogen concentrations and negatively charged surfaces the molecules tend to stand on the surface in an end-on fashion rather than in a side-on

manner (139-141) (Figure 5-5). Hydrophobicity has a direct correlation to the degree of conformational alterations (142, 143). Clark et al attributed these variances to altered binding mechanisms and post-adsorption conformational changes (144). Crosslinking of the  $A\alpha$  and  $\gamma$  constituent chains in fibrinogen can also occur in different formations as indicated in Figure 5-5 (145). When fibrinogen concentrations decreases, a side-on configuration can occur where the D domains line up sideways and form a fibrin network formation horizontally (a) When fibrinogen concentrations increase, an end-on configuration is observed with the D domains rotated, forming a vertical arrangement of fibrin fibers (b).



**Figure 5-5: Two possible configurations of fibrinogen packaging (139-143)**

Two other configurations are also known (Figure 5-6) namely angled end-to-end (a) and angled end-to-centre (b). AFM results of this study provides some insight with regards to the internal packaging of fibrinogen within fibrin fibers and the specific influence that  $E_2$  and P4 have on these structural arrangements.

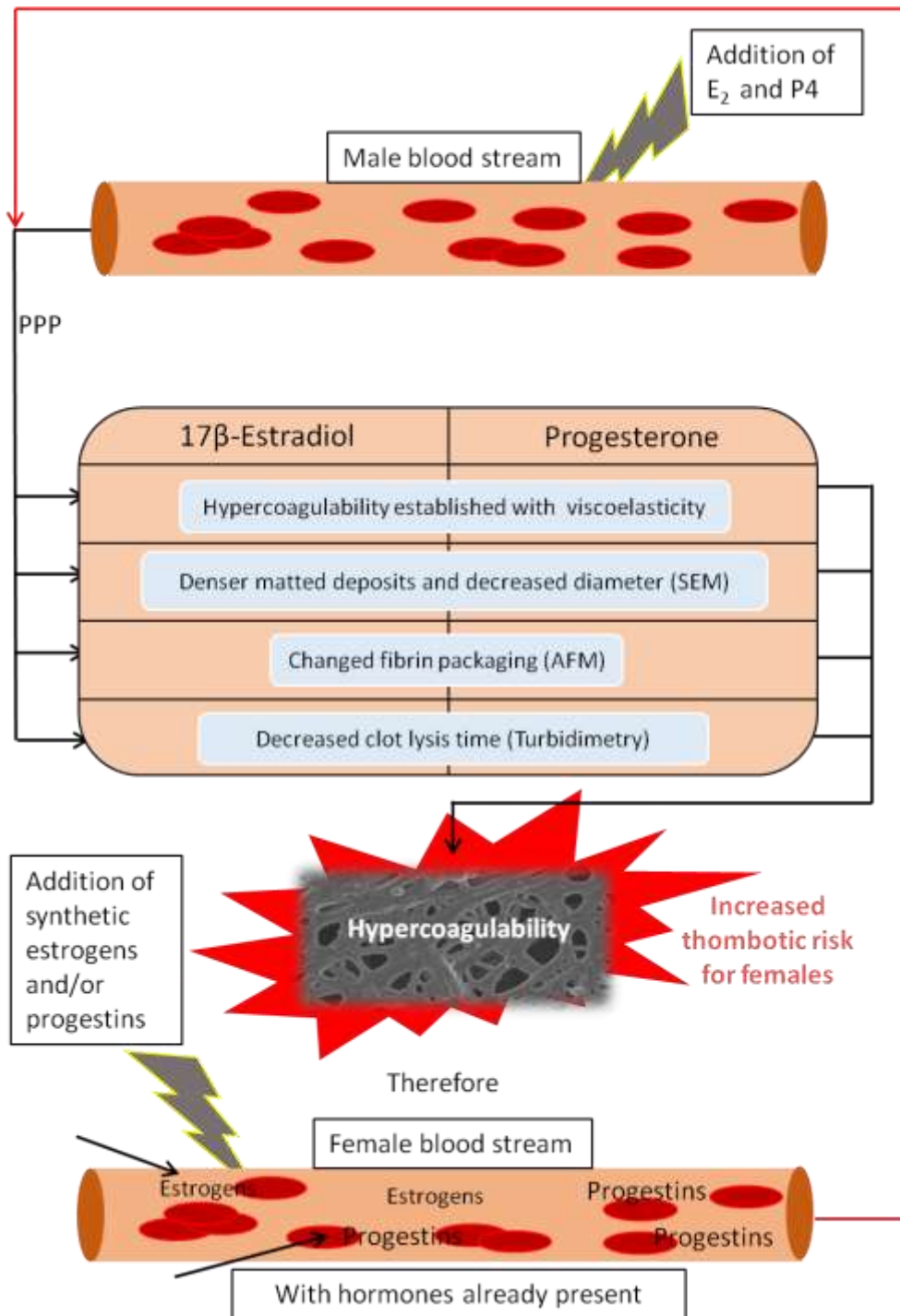


**Figure 5-6: Two different angled configurations fibrinogen molecules showing (a) angled end-to-end (b) and angled end-to-centre (145)**

For control samples the surface roughness had a higher RMS value with a rough surface appearance. This could possibly be a result of a side-on configuration with the fibrin structure lined up horizontally giving the surface a rougher surface. With both E<sub>2</sub> and P4, the surface roughness became smoother with the RMS value decreased. This could possibly indicate an end-on configuration where the D domains line up vertically and appears smoother.

With regards to turbidimetric analysis, the only parameter that was significantly influenced was CLT after the addition of 300 pg.mL<sup>-1</sup>. Clot lysis time was decreased, meaning that the clot lysed faster.

Although the viscoelastic, morphological and turbidimetric results indicate a hypercoagulable state associated with E<sub>2</sub> and P4 addition to PPP it should be noted that not all females will suffer a thrombotic event. It may be that females predisposed to inflammatory or haematological conditions have a greater risk of thrombosis during their periods associated with increased hormonal concentrations, for example during pregnancy. Females using synthetic hormones therapies, for example combined oral contraceptives, can also be at risk for thrombosis since synthetic estrogens and/or progestins now join E<sub>2</sub> and P4 which are already present in circulation. It is possible that the synthetic estrogens and progestins may alter the fibrin network packaging in the same manner as that of natural endogenous hormones.



**Figure 5-7: A summary diagram representing the clinical relevance of this study**

*With the addition of  $E_2$  and  $P_4$  to male plasma, both hormones indicated 'n hypercoagulable state with regards to viscoelasticity, morphology and turbidimetry. Adding additional estrogens and progestins to female plasma where hormones are already present in the bloodstream, with inflammatory pre-disposition can result in increased thrombotic risk.*

## Chapter 6: Conclusion

From the viscoelastic, morphological studies and turbidimetric observations, it is clear that fibrin packaging and coagulation properties are influenced by E<sub>2</sub> and P4, especially at the highest physiological concentrations of these hormones.

Viscoelastic studies showed that the highest physiological concentrations of both E<sub>2</sub> and P4 resulted in hypercoagulable fibrin plasma clots. Morphological analysis with SEM showed DMD formation and decreased fibrin diameter in the presence of E<sub>2</sub> and P4. Although decreased fibrin diameter is associated with hypo-fibrinolysis, turbidimetric analysis revealed that both hormones did not significantly decrease CLT, but E<sub>2</sub> in fact decreased lysis time at specific concentrations. AFM analysis showed that surface topography was significantly influenced by the highest physiological concentrations of both hormones, indicating that these hormones possibly influence fibrinogen packaging.

Table 13 shows concluding remarks with regards to the existing knowledge, the new knowledge and suggested future research endeavours to further clarify the biochemical interactions of these two hormones on fibrinogen packaging, and determining the dose dependent effect of these hormones by testing the effect of lower doses of these hormones.

**Table 13: Past knowledge, current findings and future work on E<sub>2</sub> and P4**

<p><b>Past findings</b></p> <p>What did we know about E<sub>2</sub> and P4 from literature?</p>	<p><b>Present findings</b></p> <p>What knowledge did this paper add concerning the effect of E<sub>2</sub> and P4 on plasma fibrin clot formation?</p>	<p><b>Future perspectives</b></p> <p>What needs to be done in future studies?</p>
<ul style="list-style-type: none"> <li>• Cardioprotective role (31, 146)</li> <li>• Prothrombotic (85)</li> <li>• Pro and anti-inflammatory properties (75)</li> </ul>	<ul style="list-style-type: none"> <li>• ↑ Hypercoagulability</li> <li>• ↓ Fibrin diameter</li> <li>• ↑ DMDs</li> <li>• ↓ Fibrin fiber surface roughness</li> <li>• Unchanged or ↓ CLT</li> </ul>	<ul style="list-style-type: none"> <li>• Determine possible ROS generation during fibrin packaging after exposure to hormones</li> <li>• Determine specific orientation and conformation of altered fibrinogen packaging after exposure to hormones</li> <li>• Determine the specific Trp residues that are exposed by E<sub>2</sub> and how it influences fibrinogen packaging</li> <li>• Determine whether P4 also influence Trp residues (similar to E<sub>2</sub>)</li> </ul>

Not all females will suffer a thrombotic event. Our results suggest that the additional burden of synthetic hormonal load, together with the presence of endogenous E<sub>2</sub> and P4, may result in a prothrombotic and hypercoagulable state in females with an inflammatory predisposition. Metabolic syndrome, including obesity and glucose intolerance and diabetes, together with lifestyle choices like smoking, may burden the hematological health

of the individual since all these confounding factors are known to already increase thrombotic risk and inflammation.

From a historical clinical perspective, the relative hypercoagulability associated with E<sub>2</sub> and P<sub>4</sub> has caused both adverse and advantageous outcomes in a variety of settings. Pathological thrombosis in the setting of oral contraception (147), assisted reproductive technology (148), or postmenopausal hormone replacement (149) have been well documented. However, female gender has been found to bestow better outcomes after trauma, with associated superior post traumatic fibrinogen concentrations (150). In fact, the therapeutic administration of estrogen in the setting of orthotopic liver transplantation resulted in decreased transfusion requirements for fresh-frozen plasma, red blood cells, and platelets (151). While some works have implicated roles for decreased tissue factor pathway inhibitor concentrations (102), activated protein C resistance (102), and elevated heparanase procoagulant activity (152) as contributors to hormone associated hypercoagulability, our previous work (put in references of your choice) and the viscoelastic data of the present manuscript **implicate** a major role of hormone-enhanced fibrinogen as a **potential** source of thrombophilia. In sum, our findings are clinically relevant when considering hormones as either pathological agent or therapeutic intervention as will be assessed in future investigation.



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## **Addendum 1: Published article**

Swanepoel AC, Visagie A, de Lange Z, Emmerson O, Nielsen VG, Pretorius E. The clinical relevance of altered fibrinogen packaging in the presence of 17beta-estradiol and progesterone. *Thombosis Research*. 2016; 146 : 23-34. Impact factor = 2.32.