GENETIC ANALYSIS OF TRADITIONAL ETHIOPIAN HIGHLAND MAIZE (Zea mays L.) USING MOLECULAR MARKERS AND MORPHOLOGICAL TRAITS: IMPLICATION FOR BREEDING AND CONSERVATION

By

YOSEPH BEYENE AYDAGN

Submitted in partial fulfillment of the requirements for the degree of **Philosophiae Doctor:**

PLANT BREEDING / GENETICS

In the Faculty of Natural and Agricultural Sciences,

Department of Genetics and Forestry and Agricultural Biotechnology Institute

(FABI), University of Pretoria

Pretoria

July 2005

Supervisor: Dr. Alexander A. Myburg

Co-Supervisor: Prof. Anna-Maria Oberholster

DECLARATION

I declare that the dissertation, which I here by submit for the degree of Doctor or
Philosophy in Plant Breeding/Genetics at the University of Pretoria, is my own work
and has not been previously submitted by me for a degree at another University.

TABLE OF CONTENTS	Pages
Preface	viii
Acknowledgments	ix
List of Tables	xi
List of Figures	xiv
List of abbreviations	xvii
Summary	xix
CHADTED 1	_
CHAPTER 1	1
GENERAL INTRODUCTION	1
GENERAL INTRODUCTION	1
1.1 Maize breeding in Ethiopia: Historical overview	2
1.2 Importance of maize in the highlands of Ethiopia	3
1.3 Objectives and outline of the study	4
CHAPTER 2	7
LITERATURE REVIEW	7
2.1 Maize is an important crop for genetic analysis	

2.1.1 Diversity in maize	9
2.1.2 Maize genome evolution	10
2.1.3 Wild relatives of maize	11
2.2 Methods for assessing genetic variation	12
2.2.1 Morphology and pedigree data	14
2.2.2 Molecular markers	15
2.3 Use of pooled DNA samples in the study of genetic variation	20
2.4 Correlation between phenotypic and molecular markers distance	21
2.5 Statistical measures for assessing genetic diversity	23
2.5.1 Types of distance measures	23
2.5.2 Multivariate methods	24
2.5.3 Clustering methods	26
2.5.4 Partitioning of variation	27
2.6 Gene mapping/tagging	28
2.6.1 Conventional method of QTL detection	29
2.6.2 Bulk segregant analysis	30
2.6.3 Association mapping	31
2.6.4 Comparative genetic mapping	32
2.7 Marker-assisted selection and breeding	33
2.7.1 Introgression of desirable genes	35
2.8 Canclusions	36

CHAPTER 3	39
PHENOTYPIC DIVERSITY FOR MORPHOLOGICAL	AND AGRONOMIC
TRAITS IN TRADITIONAL ETHIOPIAN HIGHLAND M	IAIZE ACCESSIONS
•••••••••••••••••••••••••••••••••••••••	39
3.1 ABSTRACT	40
3.2 INTRODUCTION	41
3.3 MATERIALS AND METHODS	42
3.3.1 Plant materials and field evaluation	42
3.3.2 Statistical analysis	43
3.4 RESULTS	43
3.4.1 Morphological and agronomic variability	43
3.4.2 Phenotypic and genotypic coefficients of variation, heri	itability and genetic
advance as percent of the mean	44
3.4.3 Genotypic and phenotypic correlations	46
3.4.4 Cluster analysis	48
3.4.5 Principal component analysis	50
3.5 DISCUSSION	51
CHAPTER 4	56
BULKED-AFLP ANALYSIS OF GENETIC DIV	ZERSITY AMONG

TRADITIONAL ETHIOPIAN HIGHLAND MAIZE ACCESSIONS	556
4.1 ABSTRACT	57
4.2 INTRODUCTION	58
4.3 MATERIALS AND METHODS	60
4.3.1 Plant materials and DNA extraction	60
4.3.2 AFLP analysis	62
4.3.3 Gel electrophoresis and scoring	63
4.3.4 Data analysis	64
4.4 RESULTS	66
4.4.1 Detection limit of bulked AFLP analysis	66
4.4.2 Marker polymorphism	68
4.4.3 Distribution of bands across the three agroecologies	71
4.4.4 Genetic dissimilarity of maize accessions	72
4.4.5 Partitioning of genetic variation	74
4.5 DISCUSSION	74
CHAPTER 5	80
ANALYSIS OF GENETIC DIVERSITY OF ETHIOPIAN HIGHL	AND MAIZE
ACCESSIONS LISING SSR MARKERS	80

5.1 ABSTRACT	81
5.2 INTRODUCTION	82
5.3 MATERIALS AND METHODS	84
5.3.1 Plant materials and DNA extraction	84
5.3.2 Simple sequence repeats primer selection	86
5.3.3 PCR amplification and gel electrophoresis	86
5.3.4 Data analysis	87
5.4 RESULTS	88
5.4.1 Detection limit of pooled DNA and marker polymorphism	88
5.4.2 Genetic dissimilarity and cluster analysis	91
5.4.3 Partitioning of genetic variation	92
5.5 DISCUSSION	93
CHAPTER 6	98
A COMPARATIVE STUDY OF MOLECULAR AND MORPH	IOLOGICAL
METHODS OF DESCRIBING GENETIC RELATIONSHIPS IN M	1AIZE98
6.1 ABSTRACT	99
6.2 INTRODUCTION	100

6.3 MATERIALS AND METHODS	102
6.3.1 Field evaluation and data recording	102
6.3.2 Plant materials DNA extraction	102
6.3.3 AFLP analysis	103
6.3.4 SSR analysis	103
6.3.5 Statistical analysis	104
6.4 RESULTS	105
6.4.1 Morphological variability	105
6.4.2 Variation in molecular markers	107
6.4.3 Distribution of dissimilarity coefficients	109
6.4.4 Correlations between dissimilarity matrices	110
6.5 DISCUSSION	114
CHAPTER 7	118
ASSOCIATION OF SIMPLE SEQUENCE REPEATS WITH	I QUANTITATIVE
TRAITS IN ETHIOPIAN HIGHLAND MAIZE ACCESS	SIONS AND THE
EFFECT OF ADMIXTURE	118
7.1 ABSTRACT	119
7.2 INTRODUCTION	120

7.3 MATERIALS AND METHODS	122
7.3.1 Selection of plant materials	122
7.3.2 SSR analysis	122
7.3.3 Statistical analysis	124
7.4 RESULTS	125
7.4.1 Phenotypic trait variance	125
7.4.2 Markers and phenotypic trait associations	126
7.4.3 Detection of chromosomal regions affecting the quantitative traits	129
7.4.4 Allelic frequency in contrasting agroecologies	131
7.4.5 Prediction of quantitative variation using significant markers	133
7.6 DISCUSSION	136
CHAPTER 8	141
CONCLUSIONS AND RECOMMENDATIONS	141
Use of bulked leaf samples for genetic diversity studies	143
REFRENCES	149

PREFACE

This work was conducted at the Department of Genetics and Forestry and Agricultural Biotechnology Institute (FABI), University of Pretoria, South Africa. The project involved laboratory, glasshouse and field experiments. Various molecular marker techniques and quantitative genetics approaches were employed to accurately unravel the extent of genetic diversity and genetic relationships among traditional Ethiopian highland maize accessions with the view of establishing a strategic maize improvement program in the highlands of Ethiopia.

This dissertation is based on the following chapters, which were published, accepted or submitted for publication.

- 1. Phenotypic diversity for morphological and agronomic traits in traditional Ethiopian highland maize accessions (*South African Journal of Soil and Plant 2005*, 22: 100-105)
- 2. Genetic diversity in traditional Ethiopian highland maize accessions assessed by AFLP markers and morphological traits (*Journal of Biodiversity and Conservation*, in press).
- 3. Genetic diversity among traditional Ethiopian highland maize accessions assessed by simple sequence repeat (SSR) markers (*Journal of Genetic Resources and Crop Evolution, in press*).
- 4. A comparative study of molecular and morphological methods of describing genetic relationships in maize (*African Journal of Biotechnology 2005,4:586-595*)
- 5. Association of simple sequence repeats with quantitative traits in Ethiopian highland maize accessions and the effect of admixture.

ACKNOWLEDGMENTS

It is my pleasure to thank the many people who contributed to the success of my PhD studies.

I wish to express my sincere gratitude to my major advisor, Dr. Alexander A. Myburg for his guidance, encouragement, moral support and friendship throughout my study period. Moreover, he always made time to talk science and share his experience. I would also like to thank my co-advisor, Prof. Anna-Maria Oberholster for her helpful advice and guidance.

I am indebted to the Alemaya University Agricultural Research and Training Project (AU-ARTP) for providing me a PhD fellowship. I am also grateful to the International Foundation for Science (IFS) for funding for molecular analysis.

My gratitude goes to the University of Pretoria; Forestry and Agricultural Biotechnology Institute (FABI) especially people in the Forest Molecular Genetics Laboratory for their support and friendship. In particular, I would like to thank Dr. Solomon Kebede, a post doctorial fellow, for data analysis, reading the manuscripts and moral support throughout the study period.

I am also grateful to the Maize Improvement Program, Alemaya University, Ethiopia, for the technical assistance with field trial and to Dr. Habtamu Zelleke for skilful collaboration with field observations. I would like to thank Dr. T. Hole and Dr. M.

Warburton, CIMMYT, Mexico, for critical reading and helpful suggestions on Chapter 3 and 4, respectively.

Tekalign Tsegaw, Getu Beyene, Abubeker Hassen, Yibekal Alamayehu, Arega Demelashi, Tesfaye Lemma and many other people have wonderfully demonstrated how to enjoy living life. They have been a constant source of strong moral support as a colleague and as a friend.

Lastly but most importantly, I wish to express my deepest appreciation to my beautiful wife, Elsa and my parents. They have given me a tremendous amount of love, encouragement, unwavering support, and sacrifice that has allowed me to complete my study successfully. I will never be able to repay them, but my accomplishments will always be their accomplishments.

LIST OF TABLES

Table 2.1 Comparison of the most common used marker systems in plant breeding .20
Table 3.1 Means, standard deviation of the means (St Dev), ranges and mean squares
for 15 agro-morphological traits measured in 180 maize accessions45
Table 3.2 Estimates of phenotypic and genotypic coefficients of variability
heritability and genetic advance as percent of mean46
Table 3.3 Genotypic (above diagonal) and phenotypic (below diagonal) correlation
coefficients between 15 agro-morphological traits measured in 180 maize
accessions47
Table 3.4 Eigenvector, eigenvalues, individual and cumulative percentage of
variation explained by the first five principal components (PC) after assessing
agro-morphological traits in 180 maize accessions51
Table 4.1 Traditional Ethiopian highland maize accessions used in the study61
Table 4.2 Relationships between AFLP band frequencies in individual plants and
representation in bulks of 15 individuals based on the average of three primer
pair combinations67
Table 4.3 Degree of polymorphism and average polymorphism information content
for the eight AFLP primer combinations used to analyze the 62 Ethiopian maize
accessions69
Table 4.4 Distribution of AFLP bands expressed as the percentage of accessions that
carry a particular band in each agroecology71

Table 4.5 Partitioning of the total genetic variation of traditional Ethiopian highland
maize accessions into within and between agroecologies variation74
Table 5.1 Summary of microsatellite, bin number, repeat unit, number of alleles per
locus and the polymorphism information content (PIC) of the different
agroecologies of traditional Ethiopian highland maize accessions90
Table 5.2 Partitioning of the total genetic variation into within and between
agroecologies of traditional Ethiopian highland maize accessions93
Table 6.1 Summary statistics of the agro-morphological traits measured in 62
traditional Ethiopian highland maize accessions
Table 6.2 Eigenvectors, eigenvalues, individual and cumulative percentage of
variation explained by the first four principal components (PC) after assessing
morphological traits in 62 traditional Ethiopian highland maize accessions107
Table 6.3 Level of polymorphisms and informativeness obtained with AFLP and SSR
markers in 62 traditional Ethiopian highland maize accessions
Table 6.4 Mean, standard deviation and range of Nei and Li dissimilarity coefficients
(calculated using AFLP and SSR markers) and Euclidean distance (calculated
using morphological traits). The total sample of all accessions in this study is
shown followed by accessions collected from the three agroecologies110
Table 6.5 Correlation between dissimilarity matrices obtained with different marker
types111
-y r

Table 7.1 The genotypic correlation coefficients among the six traits of the 62

traditional Ethiopian highland maize accessions used in study12	26
Table 7.2 The 20 SSR loci used and the designation of the polymorphic alleles score	ed
at each locus	27
Table 7.3 Accessions name, their observed and predicted performance for ear traits	in
traditional Ethiopian highland maize accessions	33
Table 7.4 Accessions name, their observed and predicted performance f	01
morphological traits in traditional Ethiopian highland maize accessions13	35

LIST OF FIGURES

Figure 3.1 Mean values of morphological traits for traditional Ethiopian highlan
maize accessions for the four clusters generated through UPGMA as clustering
techniques. Clusters having the same letter within each trait are not statisticall
significant at $p = 0.05$. Number in parenthesis (in the legend) indicate
accessions grouped in each cluster4
Figure 3.2 Mean values of agronomic traits for traditional Ethiopian highland maiz
accessions for the four clusters generated through UPGMA as clustering
techniques. Clusters having the same letter within each trait are not statisticall
significant at $p = 0.05$. Number in parenthesis (in the legend) indicate
accessions grouped in each cluster4
Figure 4.1 An example of the LI-COR AFLP image generated using E-AAC/M-CGO
primer combinations showing banding patterns of 15 individuals (1-15) and the
bulked leaf samples (B). A molecular marker is indicated at the beginning and a
the end of the gel. Arrows show examples of bands present in individuals an
absent in bulked samples6
Figure 4.2 Typical a LI-COR AFLP image produced by selective amplification usin
the E-ACG/M-CGG primer combination in 62 maize accessions. Lanes 1 and 6
are IRDye 700 molecular weight standards (LI-COR Biosciences)7
Figure 4.3 Frequency distribution of the genetic dissimilarity of pairs of 62 Ethiopia
highland maize accessions7

Figure 4.4 Dendrogram of traditional Ethiopian highland maize accessions	derived	by
Ward' minimum variance method from the dissimilarity matrix of AFI	LP data .	.73

Figure 5.1 Map showing the 62 maize accessions that were collected from different
highlands of Ethiopia and used in the present study. The approximate location of
each collection site is indicated in the map by square points85
Figure 5.2 The SSR 3% gel image of individual plants (lane 1-15) and the bulk
sample (lane B) of the accession 'Baw 10' amplified with locus phi034. M is
molecular ladder (Gene Ruler 100-bp DNA ladder Plus, Frementas). Arrow
shows the band present in individual plants and absent in the bulked sample 88
Figure 5.3 Frequency distribution of the genetic dissimilarity pairs of 62 Ethiopian
maize accessions based on 20 SSR loci91
Figure 5.4 Ward minimum variance based dendrogram generated from the Nei and Li
dissimilarity matrix showing relationships among 62 traditional Ethiopian
highland maize accessions
Figure 6.1 Frequency distribution of genetic dissimilarity among pair-wise
combinations of 62 traditional Ethiopian highland maize accessions based on
morphology, AFLP and SSR data109
Figure 6.2 Dendrogram of traditional Ethiopian highland maize accessions derived by
UPGMA from the dissimilarity matrix of the morphological data112
Figure 6.3 Dendrogram of the 62 traditional Ethiopian highland maize accession
based on the Ward minimum variance method applied to the dissimilarity matrix

generated by Nei and Li dissimilarity coefficients of the pooled AFLP and SSR
data113
Figure 7.1 Dendrogram resulting from cluster analysis of standardized morphological
and agronomic data from 180 maize accessions using Euclidean distance and
UPGMA clustering. Sixty-two accessions were selected for molecular analysis
(indicated by horizontal bars as root of the dendrogram)
Figure 7.2 Normal probability plot for (A) days to silking; (B) plant height; (C) days
to maturity; (D) kernels/row; (E) seed weight and (F) yield showing that all the
residuals fall within the confidence limits of the normal probability plot128
Figure 7.3 Mean allelic frequencies of SSR alleles selected for adaptation in
contrasting agroecologies in Ethiopian highlands

LIST OF ABBREVIATIONS

 $\begin{array}{ccc} ^{\circ}C & Degree\ celsius \\ \mu l & Microliter \\ \mu g & Microgram \\ \mu M & Micromolar \end{array}$

AEI Assay efficiency index

AFLP Amplified fragment length polymorphism

AMOVA Analysis of molecular variance

AOD Analysis of distance

bp Base pair CA Cluster analysis

CIMMYT International Maize and Wheat Improvement Center

cm Centimeter cM Centimorgan

CSA Central statistical authority
DNA Deoxyribonucleic acid

dNTPs The mixtures of four deoxynucleotides triphosphate

DSK Days to silking
DTS Days to tasseling
DYM Days to maturity

e.g. Example

EARO Ethiopian Agricultural Research Organization

ERD Ear diameter ERH Ear height ERL Ear length

EST Expressed sequence tag

FAPRI Food and Agricultural Policy Research Institute

g Gram

GA Genetic advance

GCV Genotypic coefficient of variability
GS_{NL} Genetic similarity base on Nei and Li

GS Genetic similarity

GS_J Genetic similarity based on Jaccard

GS_{MR} Genetic similarity based on Modified Roger's distance

GS_{SM} Genetic similarity Simple matching

h Hour

h² Broad sense heritability

ha Hectare

HCl Hydrochloric acid IRDye Infrared day

Kg ha ⁻¹ Kilogram per hectare KCl Potassium chloride KLR Kernels per row

LD Linkage disequilibrium

LFL Leaf length

LFW Leaf width MA Millampere

MAS Marker assisted selection masl Meters above sea level

Mb Mega billion

MgCl₂ Magnesium chloride

min Minute
mM Millimolar
mm Millimeter

mmt Million metric tons
NIL Near isogenic line

NMSA National metrological service agency

no. Number

Not significant
PC Principal component

PCA Principal component analysis
PCR Polymerase chain reaction

PCV Phenotypic coefficient of variation PIC Polymorphism information content

PLH Plant height

QTL Quantitative trait loci R/L Restriction ligation

R² Multiple regression coefficient

RAPD Random amplified polymorphic DNA RFLP Restriction fragment length polymorphism

RWN Rows per ear s Second SDW Seed weight

SNP Single nucleotide polymorphism

Spp. Species

SSR Microsatellite or simple sequence repeat

St Dev Standard deviation of the means

t Ton

UPGMA Unweighted paired group method using arithmetic averages

V Volt

 V_B Between group variation

 V_T Total variation

 V_W Within group variation

W Watt

w/v Weight volume ratio

YD Yield

GENETIC ANALYSIS OF TRADITIONAL ETHIOPIAN HIGHLAND MAIZE

(Zea mays L.) USING MOLECULAR MARKERS AND MORPHOLOGICAL

TRAITS: IMPLICATION FOR BREEDING AND CONSERVATION

By

Yoseph Beyene Aydagn

Supervisor: Dr. Alexander A. Myburg

Co-supervisor: Prof. Anna-Maria Oberholster

Department: Genetics

Degree: PhD

SUMMARY

Knowledge of the genetic variation of crop collections is essential for their efficient

use in plant breeding programs. The Ethiopian Highland Maize Germplasm Collection

Mission was launched throughout the highlands of Ethiopia in 1998 and 287

traditional maize accessions were collected from farmers' fields. To date, no

information was available on the morphological and genetic diversity in this important

collection. Various molecular marker techniques and quantitative genetics approaches

were applied to accurately unravel the extent of phenotypic and genetic diversity, to

study patterns of morphological and molecular variation and to determine association

of molecular markers with quantitative trait variation, with the view of designing a

sound breeding program and management strategy for maize in the highlands of

Ethiopia.

The morphological study confirmed that traditional Ethiopian highland maize

accessions contain large amounts of variation for agro-morphological traits. The broad

xix

trait diversity observed among the accessions suggested ample opportunities for the genetic improvement of the crop through selection directly from the accessions and/ or the development of inbred lines for a future hybrid program. Selection practices followed by local farmers are mostly consistent within agroecology and gave rise to morphologically distinct maize accessions in different agroecologies. This underscores the importance of considering farmers' knowledge of diversity in the collection and evaluation of local accessions.

The results of amplified fragment length polymorphism (AFLP) and microsatellite or simple sequence repeat (SSR) marker analyses showed that bulking leaf samples from 15 individual plants per out-bred accession is an effective means of producing representative profiles of individual plants, thereby reducing the cost of DNA extraction and subsequent marker analysis of open-pollinated varieties. Cluster analyses based on AFLP and SSR data showed that most of the accessions collected from the Northern agroecology were genetically distinct from the Western and Southern accessions suggesting that differentiation for adaptive traits for drought conditions may have occurred in the Northern accessions. However, there was very little genetic differentiation between the Western and Southern accessions suggesting gene flow between the two agroecologies and recent introduction of similar improved varieties in these agroecoogies. In both marker systems, high mean genetic diversity was observed among the traditional Ethiopian highland maize accessions. This is possibly due to (i) the continuous introduction of maize from abroad by different organizations; (ii) genetic variation generated through farmers management practices; and (iii) the presence of different environmental conditions in the highlands of

Ethiopia to which local landraces may have been adapted.

The correlation between the morphological dissimilarity matrix and the matrices of genetic dissimilarity based on SSR and AFLP markers were 0.43 and 0.39, respectively (p = 0.001 in both cases). The correlation between SSR and AFLP dissimilarity matrices was 0.67 (p = 0.001). These significant correlations indicate that the three independent sets of data likely reflect the same pattern of genetic diversity, and validate the use of the data to calculate the different diversity statistics for Ethiopian highland maize accessions. From this study, three groups of maize accessions with distinctive genetic profiles and morphological traits were identified that will be useful for future collection, conservation and breeding programs of maize for the highlands of Ethiopia.

A pilot association study using SSR markers and quantitative trait variation indicated that molecular markers could be useful to identify genetic factors controlling earliness, tallness, grain yield and associated traits, which could be exploited by various breeding schemes. The analytical tools outlined in this dissertation can be a useful tool in managing genetic variation of open-pollinated crops and will aid in the conservation of unique genetic diversity. Production stability and global food security are linked to the conservation and exploitation of worldwide genetic resources and this research attempts to add to that body of knowledge.

Key words: AFLP markers, association mapping, bulked analysis, clustering, correlation, Ethiopia, genetic diversity, genetic resources, heritability, highland maize, quantitative traits, phenotypic diversity, regression analysis, SSR markers