



Trellis Decoding of Reed Solomon and Related Linear Block Codes

By

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Abstract

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Reed-Solomon codes, a subset of multilevel non-binary cyclic codes with powerful burst error correcting capabilities, are known to be computationally efficient when algebraic decoding techniques are applied. They may however give weaker performance compared to convolutional coding techniques, at least at moderate bit error rates (around 10^{-5} to 10^{-6}) on the AWGN channel. This disadvantage mainly results from the lack of a general applicable method for soft-decision decoding. The aim is to construct a trellis from the generator matrix of a Reed-Solomon code and to show that apart from the historical Berlekamp Massey frequency domain techniques, other techniques usually reserved for convolutional code decoding, such as Maximum Likelihood (ML) and the Maximum A-Posteriori (MAP) techniques, can be successfully applied in the decoding process. Consequently, the main objective of this dissertation is to analyse, design and implement a soft-input, soft-output Reed-Solomon ML or MAP trellis decoding algorithm with performance and complexity comparable to conventional algebraic block decoding methods.

The main reason why trellis decoding is not often used for cyclic block codes, is the complexity of the decoder, especially in the case of long codes with high redundancy. In fact, it will be almost impossible to implement the Viterbi decoder for Reed-Solomon codes with moderate redundancy, considering the fact that the Viterbi decoder becomes computationally unfeasible and practically intractable for convolutional codes of constraint length greater than 10 to 12. Therefore, in order to reduce trellis complexity, a search for minimal block trellis decoding techniques is launched through:

- manipulation of the generator matrix with a view of obtaining minimal trellis structures, i.e., minimising the number of states in a specific block code trellis;
- devising methods to simplify trellis construction of large block codes (i.e., codes with large block size n and redundancy $n-k$);
- considering only a certain number of trellis paths which are most likely to be transmitted, in stead of all possible paths. This may for example be achieved through a expurgation process on the original trellis (i.e., eliminating paths not terminating in the zero state) or by applying maximum likelihood (ML) or maximum a-posteriori (MAP) decoding methods such as the Viterbi algorithm which results in significant computational savings through its 'survival path' mechanism. The expurgation process is block code specific, i.e., the existence of unterminated paths may differ for each code and is a function of each code's inherent algebraic structure.

The objective of this reduced search method is therefore to optimise the performance of the code while minimising trellis decoding complexity and the corresponding decoding delay.

In the process of constructing minimal trellis structures for cyclic block codes, a novel topological branch interconnecting (trellis branch indexing or labelling) scheme for block codes, and specifically Reed-Solomon codes, is proposed and developed. The technique identifies unique interconnecting patterns in the branch structures of sub-trellises, by which the remaining parts of the trellis may be uniquely defined without having to resort to complicated trellis branch calculations. It is shown that the complexity of the trellis construction process may be reduced by orders in magnitude (for relatively short block lengths), by exploiting the well defined cyclic trellis patterns inherent to the trellis structures of individual block codes.

After having established methods for efficient block trellis construction and the corresponding minimal trellis coder and decoder design, it is next shown how ML convolutional decoding techniques, such as the Viterbi decoding algorithm, can be successfully employed in the decoding process of block codes which could traditionally only be decoded by means of algebraic techniques. The study then investigates the error performance achievable using the trellis as a means of decoding. It is shown that the performance of ML (Viterbi) trellis block decoding with soft decisions matches the

performance rendered by soft decision algebraic block decoding techniques in all respects.

Key Words: Trellis, Complexity Reduction, Bit Error Rate, Reed-Solomon, Topology.

Opsomming

TRELLIS DEKODEERING VAN REED-SOLOMON EN VERWANTE LINEÊRE BLOK KODES

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Reed-Solomon kodes, 'n onderafdeling van multivlak nie-binêre sikliese kodes met kragtige foutkorreksie eienskappe, word geken as rekenkundig effektief wanneer algebraïese dekoderingstegnieke gebruik word. Hulle kan egter swakker vaar in vergelyking met konvolusie koderingstegnieke, minstens by gemiddelde bisfoutwaarskynlikhede (omtrent 10^{-5} tot 10^{-6}) in 'n AWGN kanaal. Hierdie nadeel spruit uit die afwesigheid van 'n algemene metode vir sagte-beslissing-dekodering. Die doel is die konstruksie van 'n trellis vanaf die generatormatriks van 'n Reed-Solomon kode en om aan te toon dat benewens die historiese Berlekamp Massey frekwensie tegniek, dit moontlik is om ander tegnieke wat normaalweg uitsluitlik vir die dekodering van konvolusie kodes dien, soos die "Maximum Likelihood" (ML) en die Maksimum A-Posteriori (MAP) tegnieke, suksesvol te benut in die dekoderingsproses. Gevolglik is die hoofdoelstelling van hierdie verhandeling die analise, ontwerp en implementering van 'n sagte-inset, sagte-uitset Reed-Solomon ML of MAP trellis dekoderingsalgoritme met foutkorreksie-eienskappe en kompleksiteit vergelykbaar met konvensionele algebraïese blokdekoderingstegnieke.

Die hoofrede hoekom trellis dekodering nie vrylik gebruik word vir sikliese blokkodes nie, is die kompleksiteit van die dekodeerder, veral in die geval van lang kodes met 'n hoë oortolligheid. Dit word amper 'n rekenkundige onmoontlikheid om 'n Vieterbi dekodeerder vir Reed-Solomon kodes met 'n gemiddelde oortolligheid te implementeer, siende dat dit rekenkundig en prakties onmoontlik is vir konvolusiekodes met 'n beperkings lengte groter as 10 tot 12. Ten einde trellis kompleksiteit te beperk, word

'n soektog na minimale blok trellise geloods deur:

- manipulasie van die generatormatriks met die doel om 'n minimale trellis struktuur te verkry, met ander woorde om die aantal toestande in 'n spesifieke blokkode trellis te minimiseer;
- ontwikkeling van metodes om trellis konstruksie vir groot blok kodes (kodes met groot bloklengte n en oortolligheid $n-k$) te vereenvoudig;
- om slegs 'n sekere aantal trellis paaie in berekening te bring as een van die mees waarskynlik gestuurde kode, in plaas daarvan om almal in berekening te bring. Hierdie kan byvoorbeeld bewerkstellig word deur 'n proses, waar sekere paaie wat nie in die nul toestand termineer nie, weg te laat. Dit kan verder ook gedoen word deur die ML of MAP dekoderingstegnieke soos die Viterbi algoritme te gebruik, wat 'n groot besparing in bewerkings teweegbring deur gebruikmaking van die oorblywende pad meganisme. The proses van uitlating van paaie verskil vir elke blokkode en is 'n funksie van elke kode se inherente algebraiese struktuur.

Die doel van hierde gereduseerde soektog metode is dus om die werkverrigting van die kode te optimiseer terwyl die dekoderingskompleksiteit en die saamhangende dekoderingsvertraging geminimiseer word.

In die konstruksie proses van minimale trellis strukture vir sikliese blokkodes, word 'n nuwe topologiese tak interkonneksie (trellis tak indeksering) skema vir blok kodes, en meer spesifiek Reed-Solomon kodes, voorgestel en ontwikkel. Hierdie tegniek identifiseer unieke interkonneksiepatrone in die takstruktuur van sub-trellise, waarmee die res van die trellis uniek gedefinieer kan word sonder om ingewikkelde trellis takbewerkings te verrig. Daar word aangetoon dat die kompleksiteit van die trellis konstruksieproses ordes vereenvoudig kan word (vir relatiewe klein bloklengtes), deur die goed gedefinieerde sikliese trellispatrone inherent in die trellisstruktuur van individuele blokkodes te gebruik.

Nadat metodes vir effektiewe blok trelliskonstruksie en die minimale trellis kodeerder en dekodeerder bepaal is, word daar aangetoon hoe ML konvolusie dekoderingstegnieke soos die Viterbi algoritme suksesvol benut kan word in die dekoderingproses van blok kodes, wat normaalweg slegs deur middel van algebraiese tegnieke dekodeer kon word.

Die studie ondersoek dan die foutkorreksieverrigting wat verkry word indien die trellis tegniek as dekoderingsproses benut word.

Daar word aangetoon dat die verrigting van ML (Viterbi) trellis blokdekodering met sagte beslissings in alle aspekte dieselfde is as die verrigting verkry deur sagte beslissing algebraïese tegnieke.

Sleutelwoorde: **Trellis**, **Kompleksiteit Vermindering**, **Bisfoutwaarskynlikheid**, **Reed-Solomon**, **Topologie**.

Dedication

This dissertation is dedicated to L. du Preez.

*Your support and effort will forever be
remembered.*

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List of Acronyms and Abbreviations

List of Acronyms

AWGN	Additive White Gaussian Noise
BCH	Bose-Chaudhuri-Hocquenghem
BER	Bit Error Rate
BPSK	Binary Phase Shift Keying
CTD	Code Trellis Diagram
GF	Galois Field
HD	Hard-Decision
MAP	Maximum A-Posteriori Probability
ML	Maximum-Likelihood
MLD	Maximum-Likelihood Decoder
RS	Reed Solomon
SD	Soft-Decision
SDML	Soft-Decision-Maximum-Likelihood
SP	Trellis Sub-Part

List of Abbreviations

dB	Decibel
E_b	Energy per Bit
E_{bit}/N_0	Energy to Noise Ratio
G	Generator Matrix
H	Parity Check Matrix
h_x	Column x of Parity Matrix H
I	Unit Matrix
M	Encoder Memory Arrangement
N	Number of States
N_0	Single Sided Noise Power Spectral Density
P(x)	Probability
P_{Bit}	Bit Error Probability
P_{Block}	Block Error Probability

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