Appendix 1. Sobolev Spaces

The space $\mathcal{L}^2(\Omega)$

Consider an open subset Ω of \mathbb{R}^n . The space $\mathcal{L}^2(\Omega)$ consists of functions f such that f^2 is Lebesgue integrable on Ω . The first result is well known.

Theorem 1

The space $\mathcal{L}^2(\Omega)$ is a Hilbert space with **inner product**

$$(f,g) = \int_{\Omega} fg = \int_{\Omega} fg \ d\mu$$

where μ is the *n*-dimensional Lebesgue measure.

Theorem 2

The space $\mathcal{L}^2(\Omega)$ is separable (See [Ad, Th 2.15, p 28]).

Theorem 3

 $C_0^{\infty}(\Omega)$ is dense in $\mathcal{L}^2(\Omega)$ (See [Ad, Th 2.13, p 28]).

The one-dimensional case

Suppose Ω is a **bounded open** interval. The **Sobolev spaces** $H^m(\Omega)$ are subspaces of functions in $\mathcal{L}^2(\Omega)$ with weak derivatives up to order m in $\mathcal{L}^2(\Omega)$.

Definition

For f and g in $H^m(\Omega)$,

 $[f,g]_m = (f^{(m)}, g^{(m)})$ for m = 0, 1, ...

For $m \ge 1$, the bilinear form $[\cdot, \cdot]_m$ has all the properties of an inner product except that there exist functions $f \ne 0$ such that $[f, f]_m = 0$.

Definition

For f in $H^m(\Omega)$,

$$|f|_m = \sqrt{[f, f]_m}$$
 for $m = 0, 1, ...$

The function $|\cdot|_m$ is a semi-norm for $m \ge 1$.

The two-dimensional case

Suppose Ω is a **bounded open convex** subset of \mathbb{R}^2 . The **Sobolev spaces** $H^m(\Omega)$ are subspaces of functions in $\mathcal{L}^2(\Omega)$ with weak partial derivatives up to order m in $\mathcal{L}^2(\Omega)$.

Remark

It is not necessary to require that Ω be convex, but it is sufficient for our purpose. In the theory it is usually assumed that Ω is star shaped or has the cone property.

Definition

For f and g in $H^m(\Omega)$,

$$[f,g]_m = \sum_{i+j=m} (\partial_1^i \partial_2^j f, \partial_1^i \partial_2^j g) \quad \text{for} \quad m = 0, 1, \dots$$

For $m \ge 1$ the bilinear form $[\cdot, \cdot]_m$ has all the properties of an inner product except that there exist functions $f \ne 0$ such that $[f, f]_m = 0$.

Definition

For f in $H^m(\Omega)$,

$$|f|_m = \sqrt{[f, f]_m}$$
 for $m = 0, 1, ...$

The function $|\cdot|_m$ is a semi-norm for $m \ge 1$.

The boundary

Recall that a curve is called **smooth** if its parametrization has a continuous derivative. The boundary of Ω is called **piecewise smooth** if it consists of a finite number of smooth curves.

For a vector valued function r such that $r_i \in C^1[a, b]$ for i = 1, 2, the range C of r defines a smooth curve in the plane.

Suppose that C is a part of the boundary of Ω . A function f is Lebesgue integrable on C if $f \circ r \sqrt{(r'_1)^2 + (r'_2)^2}$ is Lebesgue integrable on the interval [a, b].

A function f is in $\mathcal{L}^2(\mathbf{C})$ if f^2 is Lebesgue integrable over \mathbf{C} . The inner product for $\mathcal{L}^2(\mathbf{C})$ is defined by

$$(f,g)_{\mathbf{C}} = \int_{\mathbf{C}} fg \, ds = \int_{a}^{b} (f \circ r) (g \circ r) \sqrt{(r'_{1})^{2} + (r'_{2})^{2}} \, .$$

When necessary, we use the **notation** $(f, g)_{\Omega}$ and $(f, g)_{\Gamma}$ to avoid confusion.

APPENDIX 1

Sobolev spaces of vector valued functions

Definition

$$u \in \mathcal{L}^{2}(\Omega)^{2} \text{ if } u_{i} \in \mathcal{L}^{2}(\Omega) \text{ for } i = 1, 2.$$

$$u \in \mathcal{L}^{2}(\Gamma)^{2} \text{ if } u_{i} \in \mathcal{L}^{2}(\Gamma) \text{ for } i = 1, 2.$$

$$u \in H^{k}\Omega)^{2} \text{ if } u_{i} \in H^{k}(\Omega) \text{ for } i = 1, 2.$$

$$[u, v]_{m,2} = [u_{1}, v_{1}]_{m} + [u_{2}, v_{2}]_{m} \text{ for } u \in \mathcal{L}^{2}(\Omega)^{2} \text{ and } v \in \mathcal{L}^{2}(\Omega)^{2}$$

$$|u|_{m,2} = \sqrt{[u, u]_{m,2}} \text{ for } u \in \mathcal{L}^{2}(\Omega)^{2}.$$

The function $|\cdot|_{m,2}$ is a semi-norm for $m \ge 1$.

When we need to distinguish between domains, we will use superscripts Ω and Γ in the cases of a double subscript, e.g. $\|\cdot\|_{m,2}^{\Omega}$ and $\|\cdot\|_{m,2}^{\Gamma}$.

General definitions and results

Suppose Ω is a **bounded open interval** or a **bounded open convex** subset of \mathbb{R}^2 .

Notation

 $H^0(\Omega) = \mathcal{L}^2(\Omega)$ and $H^0(\Omega)^2 = \mathcal{L}^2(\Omega)^2$.

Definition

The inner product for $H^m(\Omega)$ is defined by

$$(f,g)_m = \sum_{k=0}^m [f,g]_k$$
 for $m = 0, 1, ...$

Definition

The norm for $H^m(\Omega)$ is defined by

$$||f||_m = \sqrt{(f,g)_m}$$
 for $m = 0, 1, ...$

Definition

The inner product for $H^m(\Omega)^2$ is defined by

$$(f,g)_{m,2} = \sum_{k=0}^{m} [f,g]_{k,2}$$
 for $m = 0, 1, ...$

Definition

The norm for $H^m(\Omega)^2$ is defined by

$$||f||_{m,2} = \sqrt{(f,g)_{m,2}}$$
 for $m = 0, 1, ...$

Theorem 4

The space $H^m(\Omega)$ is complete (See [Ad, Th 3.2, p 45]).

Theorem 5

 $C^m(\overline{\Omega})$ is dense in $H^m(\Omega)$ with respect to the norm of $H^m(\Omega)$. (See [OR, Th 2.10, p 53].)

Theorem 6

The space $H^m(\Omega)$ is separable (See [Ad, Th 3.5, p 47]).

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Theorem 7 (Rellich)

For *m* any nonnegative integer, the embedding of $H^{m+1}(\Omega)$ into $H^m(\Omega)$ is compact (See [Ad, Th 6.2, p 144]).

Notation

$$\partial^{\alpha} = \partial_1^{\alpha_1} \partial_2^{\alpha_2} \dots \partial_n^{\alpha_n}, \text{ where} \\ |\alpha| = \alpha_1 + \alpha_2 \cdots \alpha_n$$

and $|\alpha|$ denotes the order of the derivative.

Theorem 8 (Sobolev's lemma)

Let m be any nonnegative integer. If $u \in H^p(\Omega)$ where p > n/2, then $u \in C^m(\overline{\Omega})$ and

 $\|\partial^{\alpha} u\|_{\sup} \le \|u\|_p \text{ for } |\alpha| \le m.$

(See [OR, Th 3.10, p 80].)

Remarks

- 1. Theorems 4 to 8 are also true for vector valued functions. The proofs are all trivial.
- 2. When we need to distinguish between different domains, say Ω and Γ , they will appear as superscripts, for instance $\|\cdot\|_k^{\Omega}$ and $(f,g)_{m,2}^{\Gamma}$.

Appendix 2. Inequalities

The one-dimensional case

Proposition 1

Consider any $u \in C^{1}[0, 1]$. For any two points x and y in [0, 1],

 $|u(x)| \le ||u'|| + |u(y)|.$

Proof

Assuming that x > y (without loss of generality), we have

$$u(x) = \int_y^x u' + u(y).$$

But $|\int_y^x f| \le ||f||$ for any $f \in \mathcal{L}^2(0,1)$. This follows from the Cauchy-Schwartz inequality

$$\left(\int_{y}^{x} fg\right)^{2} \leq \left(\int_{y}^{x} f^{2}\right) \left(\int_{y}^{x} g^{2}\right)$$

by choosing g = 1. The rest is obvious.

Theorem 1

For any $u \in C^{1}[0, 1]$ with a zero in [0, 1] we have

 $\|u\| \le \|u'\|.$

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Proof

Suppose u(y) = 0, then $|u(x)| \le ||u'||$ by Proposition 1.

Hence $||u||_{sup} \le ||u'||$. The rest is obvious since $||u|| \le ||u||_{sup}$.

Proposition 2

For any $u \in C^1[0, 1]$, $|u(0)| \le \sqrt{2} ||u||_1$.

Proof

Let g(x) = 1 - x and v = gu and consider the fact that

$$u(0) = v(0) = -\int_0^1 v' + v(1)$$

Since v(1) = 0,

$$|u(0)| = \left| \int_0^1 (u'g + ug') \right| \le ||u'|| ||g|| + ||u|| ||g'|| \le ||u'|| + ||u||.$$

Using the inequality $(a + b)^2 \le 2a^2 + 2b^2$, it follows that

$$|u(0)|^2 \le 2||u'||^2 + 2||u||^2$$
.

The two-dimensional case

Suppose Ω is a bounded open convex subset of \mathbb{R}^2 with a piecewise smooth boundary. The following result is referred to as the Poincare-Friedrichs inequality or Friedrichs's inequality or Poincare's inequality.

Theorem 2

Suppose Σ is a part of the boundary of Ω with nonzero length. Denote the set

$$\{u \in C^1(\Omega) \mid u = 0 \text{ on } \Sigma\}$$

by $F(\Omega)$. There exists a constant c_F such that, for each $u \in F(\Omega)$,

$$||u|| \le c_F |u|_1.$$

Proof

See e.g. [Br, p 30].

Corollary

Suppose Σ_1 and Σ_2 are parts of the boundary of Ω with nonzero length. Denote the set

$$\{u \in C^1(\overline{\Omega})^2 \mid u_1 = 0 \text{ on } \Sigma_1 \text{ and } u_2 = 0 \text{ on } \Sigma_2\}$$

by $F(\Omega)^2$. There exists a constant c_F such that for each $u \in F(\Omega)^2$,

$$||u||_{0,2} \leq c_F |u|_{1,2}$$
.

Note that Σ_1 and Σ_2 may overlap and even be equal.

Theorem 3 (Korn's inequality)

Suppose b_B is the bilinear form for the Reissner-Mindlin plate. There exists a constant c_{Ω} such that

$$|u|_{1,2}^2 \le c_\Omega b_B(u,u)$$

for each $u \in V$.

Proof

See e.g. [Br, p 288-289].

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APPENDIX 2

Appendix 3. Trace

The one-dimensional case

Recall that for each $u \in H^1(0,1)$, there exists a sequence $\{u_n\} \subset C^1[0,1]$ such that $||u_n - u||_1 \to 0$ as $n \to \infty$.

Theorem 1

For each $u \in H^1(0,1)$, there exists a unique real number γu with the following property: For each sequence $\{u_n\} \subset C^1[0,1]$ such that $||u_n - u||_1 \to 0$ as $n \to \infty$, we have

$$\lim_{n \to \infty} u_n(0) = \gamma u_n$$

Proof

Due to Proposition 2 in Appendix 2, $\lim_{n\to\infty} u_n(0)$ exists for each sequence $\{u_n\} \subset C^1[0,1]$ such that $||u_n - u||_1 \to 0$ as $n \to \infty$. Also due to this proposition, the limit is independent of the choice of the sequence $\{u_n\}$.

Theorem 2

The mapping γ is linear and bounded. In fact,

 $|\gamma u| \le \sqrt{2} \|u\|_1.$

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\mathbf{Proof}

The linearity follows from the properties of limits and the estimate from Proposition 2 in Appendix 2 by considering the limits.

Remark

The mapping γ is a bounded linear functional.

Theorem 3

For any $u \in H^1(0, 1)$,

$$||u|| \le ||u'|| + |\gamma u|.$$

Proof

Consider any $u \in C^{1}[0, 1]$. Proposition 1 in Appendix 2 implies that

 $||u||_{sup} \le ||u'|| + |u(0)|.$

Consequently,

 $||u|| \le ||u'|| + |\gamma u|.$

The same inequality holds for each $u \in H^1(0,1)$ since $C^1[0,1]$ is dense in $H^1(0,1)$.

The two-dimensional case

Definition (Trace operator γ)

For $u \in C(\overline{\Omega})$, the function γu is the restriction of the function u to Γ .

Theorem 4

The trace operator γ can be extended to a bounded linear operator mapping $H^1(\Omega)$ onto $L^2(\Gamma)$ and $\|\gamma u\|_{\Gamma} \leq K \|u\|_1^{\Omega}$.

Proof

This result is a special case of results in [OR, p 141-142].

Definition

For $u \in H^1(\Omega)^2$, we define γu by

$$\gamma u = \langle \gamma u_1, \gamma u_2 \rangle.$$

Theorem 5

Suppose Ω is the open rectangle $0 < x_1 < 1$, $0 < x_2 < a$. Γ is the side where $x_2 = 0$ and $\gamma_0 u = u(\cdot, 0)$. Then there exists a constant K such that

$$\|u\|_{\Omega} \le K|u|_1^{\Omega} + K\|\gamma_0 u\|_{\mathrm{I}}$$

for all $u \in H^1(\Omega)$.

Proof

Proposition 1 Appendix 2 implies that for each $x_2 \in [0, a]$,

$$|u(x)|^2 \le 2a^2 \int_0^a \left[\partial_2 u(x_1, \cdot)\right]^2 + 2\left[u(x_1, 0)\right]^2.$$

Therefore

$$\int_0^a \left[u(x_1, \cdot) \right]^2 \le 2a^2 \int_0^a \left[\partial_2 u(x_1, \cdot) \right]^2 + 2 \left[u(x_1, 0) \right]^2.$$

Integration with respect to x_1 yields

$$||u||_{\Omega}^{2} \leq 2a^{2} ||\partial_{2}u||_{\Omega}^{2} + 2||\gamma_{0}u||_{\Gamma}^{2}.$$

The result follows.

Appendix 4. The spaces $C^k(J;Y)$

Consider J = (a, b) or J = [a, b). Let Y be any Banach space and consider a function u with values in Y. Let t be any interior point of J.

Definition (Derivative)

Suppose there exists a $v \in Y$ such that

$$\lim_{h \to 0} \|h^{-1} (u(t+h) - u(t)) - v\|_{Y} = 0,$$

then v is the derivative of u at t. We write u'(t) for the derivative.

It is obvious how to adapt the definition for the case t = a. The derivative (function) u' and the second order derivative u'' are defined in the usual way.

Notation

 $C^k([0,\infty);Y)$ and $C^k((0,\infty);Y)$

Appendix 5. Proofs

All the results and proofs are from [V4] and presented here for completeness.

Plate-beam system

Proposition 1

There exists a constant K_T such that

$$||w||^{2} + ||\phi||^{2} \le K_{T} \left(||\phi'||^{2} + ||w' - \phi||^{2} \right)$$

for each $(w, \phi) \in T(0, 1) \times C^{1}[0, 1]$

Proof

Suppose it is not true. Then there exists a sequence $\{(w_n, \phi_n)\}$ such that

$$||w_n||^2 + ||\phi_n||^2 = 1,$$

while

$$\|\phi'_n\|^2 + \|w'_n - \phi_n\|^2 \to 0 \text{ as } n \to \infty.$$

• We prove first that for n sufficiently large, ϕ_n does not have a zero.

Suppose ϕ_n does have a zero. Then $\|\phi_n\| \leq \|\phi'_n\|$. We also have $\|w_n\| \leq \|w'_n\|$ since $w_n(0) = 0$. Consequently

$$||w_n|| \le ||w'_n|| \le ||w'_n - \phi_n|| + ||\phi_n|| \le ||w'_n - \phi_n|| + ||\phi'_n||.$$

This implies that

$$||w_n|| + ||\phi_n|| \le ||w'_n - \phi_n|| + ||\phi'_n||$$
 for each *n*.

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This is a contradiction.

• We now show that $\|\phi_n\| > 1/2$ for *n* sufficiently large.

If it is not true, then

$$||w_n|| \le ||w'_n|| \le ||w'_n - \phi_n|| + ||\phi_n|| \le 1/4 + 1/2 < 3/4$$

for n sufficiently large. Consequently

$$||w_n||^2 + ||\phi_n||^2 < 9/16 + 1/4 = 13/16 < 1.$$

This is a contradiction.

• Next we show that $\int_0^1 \phi_n > 1/10$ for *n* sufficiently large.

We may assume without loss of generality that $\phi_n > 0$. Writing ϕ for ϕ_n , we have

 $\phi_{\max} - \phi_{\min} \le \|\phi'\| \le 1/20$ and $\phi_{\max} \le 21/20$

for n sufficiently large. Consequently

$$\phi_{\min}^2 = \int_0^1 \phi_{\min}^2 = \int_0^1 [\phi_{\min}^2 - \phi^2] + \int_0^1 \phi^2$$
$$= \int_0^1 \phi^2 - \int_0^1 [\phi^2 - \phi_{\min}^2] \ge 1/4 - 1/10 > 1/10.$$

Therefore

$$\int_0^1 \phi \ge \int_0^1 \phi_{\min} > 1/10.$$

• $\int_0^1 w'_n > 0$ for *n* sufficiently large.

$$\left|\int_{0}^{1} w'_{n} - \int_{0}^{1} \phi_{n}\right| \leq \int_{0}^{1} |w'_{n} - \phi_{n}| \leq ||w' - \phi|| \leq 1/20.$$

• Finally we obtain a contradiction.

Since $\int_0^1 w'_n > 0$ and $w_n(0) = 0$, we have $w_n(1) > 0$ which is a contradiction.

Corollary

There exists a constant K such that

$$||w||_1^2 + ||\phi||_1^2 \le K \left(||\phi'||^2 + ||w' - \phi||^2 \right) \,.$$

Proposition 2

 $\|w\|_{\Omega}^{2} + \left(\|\psi\|_{0,2}^{\Omega}\right)^{2} \leq K\left(\|\nabla w + \psi\|_{0,2}^{\Omega}\right)^{2} + K\left(|\psi|_{1,2}^{\Omega}\right)^{2} + K\|\gamma_{0}\psi_{1}\|_{I}^{2}$ for each $(w, \psi) \in T_{1}(\Omega) \times T_{2}(\Omega).$

Proof

Since ψ_2 is zero on a part of the boundary, we may use the Friedrichs inequality $\|\psi_2\|_{\Omega} \leq c_F |\psi_2|_1^{\Omega}$. We also use

$$\|\psi_1\|_{\Omega} \le c_1 |\psi_1|_1^{\Omega} + c_1 \|\gamma\psi_1\|_I$$

(see Theorem 5 Appendix 3). Combining the two inequalities, we have

$$\left(\|\boldsymbol{\psi}\|_{0,2}^{\Omega}\right)^{2} \leq c_{2} \left(|\boldsymbol{\psi}|_{1,2}^{\Omega}\right)^{2} + c_{2} \|\gamma\psi_{1}\|_{I}^{2}.$$

Since w is zero on a part of the boundary, $||w||_{\Omega} \leq c_F |w|_1^{\Omega}$, using the Friedrichs inequality. Therefore

$$||w||_{\Omega} \le c_F |w|_1^{\Omega} = c_F ||\nabla w||_{0,2}^{\Omega} \le c_F ||\nabla w + \psi||_{0,2}^{\Omega} + c_F ||\psi||_{0,2}^{\Omega}.$$

Consequently

$$\begin{split} \|w\|_{\Omega}^{2} + \left(\|\psi\|_{0,2}^{\Omega}\right)^{2} &\leq K \left(\|\nabla w + \psi\|_{0,2}^{\Omega}\right)^{2} + K \left(\|\psi\|_{0,2}^{\Omega}\right)^{2} \\ &\leq K \left(\|\nabla w + \psi\|_{0,2}^{\Omega}\right)^{2} + K \left(|\psi|_{1,2}^{\Omega}\right)^{2} + K \|\gamma_{0}\psi_{1}\|_{I}^{2}, \end{split}$$

where K is a generic constant depending on c_1 and c_F .

Corollary

$$\left(\|w\|_{1}^{\Omega}\right)^{2} + \left(\|\psi\|_{1,2}^{\Omega}\right)^{2} \leq K \left(\|\nabla w + \psi\|_{0,2}^{\Omega}\right)^{2} + K \left(|\psi|_{1,2}^{\Omega}\right)^{2} + K \|\gamma_{0}\psi_{1}\|_{I}^{2}.$$

Theorem 1

The inertia space X is a separable Hilbert space and V is dense in X.

Proof

Since $C_0^{\infty}(\Omega)$ is dense in $\mathcal{L}^2(\Omega)$, we have that $T_1(\Omega)$ is dense in $\mathcal{L}^2(\Omega)$ and $T_2(\Omega)$ is dense in $\mathcal{L}^2(\Omega)^2$. Also T(I) is dense in $\mathcal{L}^2(I)$. We conclude that the space T is dense in the space X equipped with the inner product

$$(u, v)_{\mathcal{L}^2} = (u_1, v_1)_{\Omega} + (u_2, v_2)_{0,2}^{\Omega} + \sum_{j=3}^{6} (u_j, v_j)_I.$$

The norms $||u||_X$ and $||u||_{\mathcal{L}^2}$, where

$$||u||_X^2 = c(u, u)$$
 and $||u||_{\mathcal{L}^2}^2 = (u, u)_{\mathcal{L}^2}$,

are equivalent. Therefor T is a dense subset of X with respect to the inertia norm and $T \subset V \subset X$.

Theorem 2 (Korn's inequality)

$$b_B(u_2, u_2) \ge K |u_2|_{1,2}^2$$
 for each $u \in T$.

Theorem 3

There exist constants c_1 and c_2 such that

$$||u||_X \le c_1 ||u||_{H^1} \le c_2 ||u||_V$$

for each $u \in T$.

Proof

From the corollary to Proposition 1, we have

$$||u_3||_1^2 + ||u_4||_1^2 + ||u_5||_1^2 + ||u_6||_1^2 \le C_{\Gamma} b_{\Gamma}(u, u).$$

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Rewrite the corollary to Proposition 2.

$$\left(\|u_1\|_1^{\Omega}\right)^2 + \left(\|u_2\|_{1,2}^{\Omega}\right)^2 \le K \left(\|\nabla u_1 + u_2\|_{0,2}^{\Omega}\right)^2 + K \left(\|u_2\|_{1,2}^{\Omega}\right)^2 + K \|\gamma_0 u_{21}\|_I^2.$$

Combining the results, we have

$$\|u\|_{H^1}^2 \leq C \left(\|\nabla u_1 + u_2\|_{0,2}^{\Omega}\right)^2 + C \left(|u_2|_{1,2}^{\Omega}\right)^2 + Cb_{\Gamma}(u,u),$$

using Proposition 1 again. Now use Korn's inequality.

Nonmodal damping

Theorem 1

For each $y \in H$ there exists a unique $x \in H$ such that

$$x_2 = y_1$$

$$b(x_1, v) + a(x_2, v) = -c(y_2, v) \text{ for each } v \in V$$

Proof

Let

$$g(v) = -a(y_1, v) - c(y_2, v) \quad \text{for each } v \in V,$$

then g is clearly a linear functional on V. Furthermore

 $|g(v)| \le K ||y_1||_V ||v||_V + c ||y_2||_X ||v||_X \quad \text{for each } v \in V,$

showing that g is bounded. The result follows from the well known theorem of Riesz.

Theorem 2

 Λ is bounded.

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Proof

Consider any $y \in H$ and suppose $x = \Lambda y$.

$$\begin{aligned} x_2 &= y_1 \\ b(x_1, v) + a(x_2, v) &= -c(y_2, v) \quad \text{for each } v \in V. \end{aligned}$$

It follows that

$$||x_2||_X \le K ||x_2||_V = ||y_1||_V$$

and

$$\begin{aligned} \|x_1\|_V^2 &= b(x_1, x_1) \\ &\leq |a(x_2, x_1)| + |c(y_2, x_1)| \\ &\leq K \|x_2\|_V \|x_1\|_V + K \|y_2\|_X \|x_1\|_V \end{aligned}$$

Consequently

$$||x_1||_V \le K ||y_1||_V + K ||y_2||_X \le K ||y||_H.$$

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