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Appendix A

Derivation of learning rules for PUNNs

The product learning equations for the feed-forward neural network type used in this thesis are derived in this appendix. This thesis assumes a network architecture which consists of an input layer, a hidden layer consisting of product units and an output layer consisting of summation units. Linear activations are assumed for all units. This thesis assumes a PUNN architecture with a bias to the output units and no bias to the hidden units. Instead, an extra unit referred to as a ‘distortion unit’, is included to the hidden units. For a discussion on the ‘distortion unit’, refer to section 3.7.3 on page 72. Section A.1 derives the learning equations for a PUNN architecture with biases to both the hidden and output units. In section A.2 the equations of section A.1 are then adapted for a PUNN where a ‘distortion unit’ replaces the bias in the hidden layer. The derivations assume gradient descent as optimization algorithm and on-line learning.

The mean squared error (MSE) function is assumed as the objective function, with linear activation functions in both, the hidden and output layers of the product unit neural network (PUNN).

The objective function is expressed as,

$$E = \frac{\sum_{p=1}^P E_p}{PK} \quad (\text{A.1})$$

where P is the total number of patterns in the training set, K is the number of output units, and E_p is the error of pattern p , defined as

$$E_p = \frac{1}{2} \cdot \sum_{k=1}^K (t_{k,p} - o_{k,p})^2 \quad (\text{A.2})$$

where $t_{k,p}$ and $o_{k,p}$ are respectively the target and actual output values of the k^{th} output unit, O_k , when pattern p is presented to the neural network.

The derivations in this appendix refer to individual patterns. For the sake of notational convenience the superscript p , that refers to a specific pattern, is removed. Throughout this appendix I , J and K refer, respectively, to the number of input, hidden and output units excluding biases.

The output of the k^{th} output unit is (under the assumption of linear activated outputs)

$$\begin{aligned} o_k &= f(\text{net}_{o_k}) \\ &= \text{net}_{o_k} \end{aligned} \quad (\text{A.3})$$

and

$$f'(\text{net}_{o_k}) = 1 \quad (\text{A.4})$$

The net input signal is calculated as

$$\text{net}_{o_k} = \sum_{j=1}^{J+1} w_{kj} y_j \quad (\text{A.5})$$

The $(J + 1)^{\text{th}}$ unit represents the bias to each output unit; w_{kj} is the weight between the j^{th} hidden and k^{th} output units; y_j is the output of the j^{th} hidden unit, defined as

(assuming linear activation)

$$y_j = f(\text{net}_{y_j}) \quad (\text{A.6})$$

$$= \text{net}_{y_j} \quad (\text{A.7})$$

and

$$f'(\text{net}_{y_j}) = 1 \quad (\text{A.8})$$

A.1 Learning rules for a PUNN using a bias unit

This section derives the learning equations for a PUNN where it is assumed that bias units occur in both the input and hidden layers, that respectively serve as bias to hidden units and bias to output units.

The net input of the hidden units of a PUNN that contains a bias unit in the hidden layer is given by,

$$\text{net}_{y_j} = \prod_{i=1}^I z_i^{v_{ji}} + v_{j,I+1} \cdot z_{I+1} \quad (\text{A.9})$$

The $(I+1)^{\text{th}}$ unit represents the bias unit to each hidden unit; v_{ji} is the weight between the i^{th} input and j^{th} hidden units; z_i is the value of the i^{th} input unit.

Weights are updated according to the following equations:

$$w_{kj}(t) = \Delta w_{kj}(t) + \alpha \cdot w_{kj}(t-1) \quad (\text{A.10})$$

$$v_{ji}(t) = \Delta v_{ji}(t) + \alpha \cdot v_{ji}(t-1) \quad (\text{A.11})$$

where α is the momentum, w_{kj} is the weight between the j^{th} hidden unit, Y_j , and k^{th} output unit, O_k and v_{ji} is the weight between the i^{th} input unit, Z_i , and j^{th} hidden unit, Y_j .

In the remainder of this appendix the equations for calculating $\Delta w_{kj}(t)$ and $\Delta v_{ji}(t)$ are derived. For notational convenience, the reference to time, t is omitted.

The error with respect to weight v_{ji} is calculated, applying the chain rule of differentiation,

$$\begin{aligned}
 \frac{\partial E}{\partial v_{ji}} &= \frac{\partial E}{\partial \text{net}_{y_j}} \cdot \frac{\partial \text{net}_{y_j}}{\partial v_{ji}} \\
 &= \left(\sum_{k=1}^K \frac{\partial E}{\partial \text{net}_{o_k}} \cdot \frac{\partial \text{net}_{o_k}}{\partial \text{net}_{y_j}} \right) \cdot \frac{\partial \text{net}_{y_j}}{\partial v_{ji}} \\
 &= \sum_{k=1}^K \frac{\partial E}{\partial \text{net}_{o_k}} \cdot \frac{\partial \text{net}_{o_k}}{\partial y_j} \cdot \frac{\partial y_j}{\partial \text{net}_{y_j}} \cdot \frac{\partial \text{net}_{y_j}}{\partial v_{ji}}
 \end{aligned} \tag{A.12}$$

Now define,

$$\delta_{o_k} = - \frac{\partial E}{\partial \text{net}_{o_k}} \tag{A.13}$$

Substitution of (A.13), (A.5) and (A.7) in (A.12) yields,

$$\begin{aligned}
 \frac{\partial E}{\partial v_{ji}} &= \sum_{k=1}^K -\delta_{o_k} \cdot \frac{\partial(\sum_{j=1}^{J+1} w_{kj}y_j)}{\partial y_j} \cdot \frac{\partial(f(\text{net}_{y_j}))}{\partial \text{net}_{y_j}} \cdot \frac{\partial y_j}{\partial v_{ji}} \\
 &= - \sum_{k=1}^K \delta_{o_k} \cdot w_{kj} \cdot f'(\text{net}_{y_j}) \cdot \frac{\partial y_j}{\partial v_{ji}} \\
 &= - \sum_{k=1}^K \delta_{o_k} \cdot w_{kj} \cdot \frac{\partial y_j}{\partial v_{ji}}
 \end{aligned} \tag{A.14}$$

The output of hidden unit Y_j , is calculated next, where $v_{j,I+1}$ is the bias to Y_j and z_{I+1} refers to the bias unit with a constant value of -1.

Substitution of (A.9) in (A.7), results in,

$$\begin{aligned}
 y_j &= \prod_{i=1}^I z_i^{v_{ji}} + z_{I+1} \cdot v_{j,I+1} \\
 &= e^{\ln(\prod_{i=1}^I z_i^{v_{ji}})} + z_{I+1} \cdot v_{j,I+1} \\
 &= e^{\sum_{i=1}^I v_{ji} \ln z_i} + z_{I+1} \cdot v_{j,I+1}
 \end{aligned} \tag{A.15}$$

If $z_i < 0$, then z_i can be written as the complex number $z_i = \tau^2 |z_i|$ which, substituted in equation (A.15), yields

$$y_j = e^{\sum_{i=1}^I v_{ji} \ln |z_i|} \cdot e^{\sum_{i=1}^I v_{ji} \ln \tau^2} + z_{I+1} \cdot v_{j,I+1} \tag{A.16}$$

Let $c = 0 + iz = a + bi$ be a complex number representing z . Then,

$$\ln c = \ln re^{i\theta} = \ln r + i\theta + 2\pi kz \quad (\text{A.17})$$

where $r = \sqrt{a^2 + b^2} = 1$.

Considering only the main argument, $\arg(c)$, $k = 0$, which implies that $2\pi kz = 0$. Also, $\ln r = 0$, if $r = 1$. Furthermore $\theta = \frac{\pi}{2}$ for $z = (0, 1)$. Therefore, $i\theta = iz\frac{\pi}{2}$, which simplifies equation (A.17) to $\ln c = iz\frac{\pi}{2}$, and consequently,

$$\ln z^2 = iz\pi \quad (\text{A.18})$$

Substitution of (A.18) in (A.16) yields

$$\begin{aligned} y_j &= e^{\sum_{i=1}^I v_{ji} \ln|z_i|} \cdot e^{\sum_{i=1}^I v_{ji} iz\pi} + z_{I+1} \cdot v_{j,I+1} \\ &= e^{\sum_{i=1}^I v_{ji} \ln|z_i|} (\cos(\pi \sum_{i=1}^I v_{ji}) + iz \sin(\pi \sum_{i=1}^I v_{ji})) + z_{I+1} \cdot v_{j,I+1} \end{aligned} \quad (\text{A.19})$$

Omitting the imaginary part, which is allowed since its inclusion did not result in any substantial improvement as reported by Durbin *et al* [Durbin *et al* 1989], reduces (A.19) to

$$y_j = e^{\sum_{i=1}^I v_{ji} \ln|z_i|} \cdot \cos(\pi \sum_{i=1}^I v_{ji}) + z_{I+1} \cdot v_{j,I+1} \quad (\text{A.20})$$

Let

$$\rho = \sum_{i=1}^I v_{ji} \ln|z_i| \quad (\text{A.21})$$

and

$$\phi = \sum_{i=1}^I v_{ji} \mathcal{I}_i \quad (\text{A.22})$$

where

$$\mathcal{I}_i = \begin{cases} 0 & \text{if } z_i \geq 0 \\ 1 & \text{if } z_i < 0 \end{cases} \quad (\text{A.23})$$

Then equation (A.20) becomes,

$$y_j = e^\rho \cdot \cos(\pi\phi) + z_{I+1} \cdot v_{j,I+1} \quad (\text{A.24})$$

Now, applying differentiation w.r.t v_{ji} in equation (A.24),

$$\frac{\partial y_j}{\partial v_{ji}} = e^\rho \frac{\partial \rho}{\partial v_{ji}} \cdot \cos(\pi\phi) + \frac{\partial \cos(\pi\phi)}{\partial v_{ji}} \cdot e^\rho + \frac{\partial z_{I+1} \cdot v_{j,I+1}}{\partial v_{ji}} \quad (\text{A.25})$$

$$\frac{\partial y_j}{\partial v_{ji}} = \begin{cases} e^\rho \cdot \ln |z_i| \cdot \cos(\pi\phi) - \pi \mathcal{I}_i \cdot \sin(\pi\phi) \cdot e^\rho & \text{if } i < I + 1 \\ z_{I+1} & \text{if } i = I + 1 \end{cases} \quad (\text{A.26})$$

Substitution of (A.26) in (A.14), results in,

$$\frac{\partial E}{\partial v_{ji}} = \begin{cases} -\sum_{k=1}^K \delta_{ok} \cdot w_{kj} \cdot e^\rho (\ln |z_i| \cdot \cos(\pi\phi) - \pi \mathcal{I}_i \cdot \sin(\pi\phi)) & \text{if } i < I + 1 \\ -\sum_{k=1}^K \delta_{ok} \cdot w_{kj} \cdot z_{I+1} & \text{if } i = I + 1 \end{cases} \quad (\text{A.27})$$

The changes to input-to-hidden weights are calculated as,

$$\Delta v_{ji} = -\eta \cdot \frac{\partial E}{\partial v_{ji}} \quad (\text{A.28})$$

Substitution of (A.27) in (A.28) yields,

$$\Delta v_{ji} = \begin{cases} \eta \cdot \sum_{k=1}^K \delta_{ok} \cdot w_{kj} \cdot e^\rho \cdot (\ln |z_i| \cdot \cos(\pi\phi) - \pi \mathcal{I}_i \cdot \sin(\pi\phi)) & \text{if } i < I + 1 \\ \eta \cdot \sum_{k=1}^K \delta_{ok} \cdot w_{kj} \cdot z_{I+1} & \text{if } i = I + 1 \end{cases} \quad (\text{A.29})$$

The error at the hidden layer, δ_{y_j} is now defined as ,

$$\delta_{y_j} = -\frac{\partial E}{\partial \text{net}_{y_j}} \quad (\text{A.30})$$

$$= -\frac{\partial E}{\partial y_j} \cdot \frac{\partial y_j}{\partial \text{net}_{y_j}} \quad (\text{A.31})$$

$$= -\frac{\partial E}{\partial y_j} \cdot f'(\text{net}_{y_j})$$

$$= -\frac{\partial E}{\partial y_j} \quad (\text{A.32})$$

Next, $\frac{\partial E}{\partial y_j}$, is calculated applying the chain rule for differentiation,

$$\begin{aligned} \frac{\partial E}{\partial y_j} &= \sum_{k=1}^K \left(\frac{\partial E}{\partial o_k} \cdot \frac{\partial o_k}{\partial y_j} \right) \\ &= \sum_{k=1}^K \left(\frac{\partial E}{\partial o_k} \cdot \frac{\partial o_k}{\partial net_{o_k}} \cdot \frac{\partial net_{o_k}}{\partial y_j} \right) \end{aligned} \quad (A.33)$$

$$\begin{aligned} &= \sum_{k=1}^K \left(\frac{\partial \left(\frac{1}{2} \sum_{k=1}^K (t_k - o_k)^2 \right)}{\partial o_k} \cdot \frac{\partial o_k}{\partial net_{o_k}} \cdot \frac{\partial \left(\sum_{j=1}^{J+1} w_{kj} y_j \right)}{\partial y_j} \right) \\ &= \sum_{k=1}^K \left(-(t_k - o_k) \cdot f'(net_{o_k}) \cdot w_{kj} \right) \\ &= - \sum_{k=1}^K (t_k - o_k) \cdot w_{kj} \end{aligned} \quad (A.34)$$

where (A.2) and (A.5) have been substituted in equation (A.33).

Substitution of (A.34) in (A.32) results in,

$$\delta_{y_j} = \sum_{k=1}^K (t_k - o_k) \cdot w_{kj} \quad (A.35)$$

The equation above reduces (A.35) to,

$$\delta_{y_j} = \sum_{k=1}^K \delta_{o_k} \cdot w_{kj} \quad (A.36)$$

Substitution of (A.36) in (A.29) results in,

$$\Delta v_{ji} = \eta \cdot \delta_{y_j} \cdot D_{ji} \quad (A.37)$$

where D_{ji} is defined as,

$$D_{ji} = \begin{cases} e^{\rho} \cdot (\ln |z_i| \cdot \cos(\pi\phi) - \pi \mathcal{I}_i \cdot \sin(\pi\phi)) & \text{if } i < I + 1 \\ z_{I+1} & \text{if } i = I + 1 \end{cases} \quad (A.38)$$

The error with respect to weight w_{kj} is calculated in the same way as for summation multilayer networks using gradient descent, i.e.

$$\Delta w_{kj} = -\eta \cdot \frac{\partial E}{\partial w_{kj}}$$

$$\begin{aligned}
&= -\eta \cdot \frac{\partial E}{\partial o_k} \cdot \frac{\partial o_k}{\partial w_{kj}} \\
&= -\eta \cdot \frac{\partial}{\partial o_k} \left(\frac{1}{2} \sum_{k=1}^K (t_k - o_k)^2 \right) \cdot \frac{\partial o_k}{\partial w_{kj}} \\
&= -\eta \cdot (-(t_k - o_k)) \cdot \frac{\partial}{\partial w_{kj}} \left(\sum_{j=1}^{J+1} w_{kj} y_j \right) \\
&= \eta \cdot (t_k - o_k) \cdot y_j
\end{aligned} \tag{A.39}$$

Define the error that needs to be back-propagated as $\delta_{o_k} = -\frac{\partial E}{\partial net_{o_k}}$.

Then,

$$\begin{aligned}
\delta_{o_k} &= -\frac{\partial E}{\partial net_{o_k}} \\
&= -\frac{\partial E}{\partial o_k} \cdot \frac{\partial o_k}{\partial net_{o_k}} \\
&= -\frac{\partial}{\partial o_k} \left(\frac{1}{2} \sum_{k=1}^K (t_k - o_k)^2 \right) \cdot \frac{\partial o_k}{\partial net_{o_k}} \\
&= -(-(t_k - o_k) \cdot f'(net_{o_k})) \\
&= (t_k - o_k)
\end{aligned} \tag{A.40}$$

since for linear activation, $f'(net_{o_k}) = 1$. Substitution of (A.40) in (A.39) yields,

$$\Delta w_{kj} = \eta \cdot \delta_{o_k} \cdot y_j \tag{A.41}$$

A.2 Learning rules for PUNN using a distortion unit

In this section the learning equations for a PUNN using a distortion unit are derived.

In the case where the bias unit is replaced by a distortion unit in the hidden layer, only the equations influencing (A.29) need to be modified. Thus, equation (A.9) becomes,

$$net_{y_j} = \prod_{i=1}^{I+1} v_{ji} z_i \tag{A.42}$$

The $(I + 1)^{th}$ input now represents the distortion to each hidden unit (refer to section 3.7.3 on page 72 for a discussion on the distortion unit). The input to the distortion unit is -1, i.e. $z_{I+1} = -1$.

Equation (A.15) now becomes,

$$y_j = e^{\sum_{i=1}^{I+1} v_{ji} \ln |z_i|} \quad (\text{A.43})$$

To include the distortion unit in the product, equations (A.20), (A.21) and (A.22) become,

$$y_j = e^{\sum_{i=1}^{I+1} v_{ji} \ln |z_i|} \cdot \cos\left(\pi \sum_{i=1}^{I+1} v_{ji} \mathcal{I}_i\right) \quad (\text{A.44})$$

which can be written as,

$$y_j = e^{\rho} \cdot \cos(\pi\phi) \quad (\text{A.45})$$

where

$$\rho = \sum_{i=1}^{I+1} v_{ji} \ln |z_i| \quad (\text{A.46})$$

$$\phi = \sum_{i=1}^{I+1} v_{ji} \mathcal{I}_i \quad (\text{A.47})$$

where

$$\mathcal{I}_i = \begin{cases} 0 & \text{if } z_i \geq 0 \\ 1 & \text{if } z_i < 0 \end{cases} \quad (\text{A.48})$$

Equations (A.29) and (A.38) become,

$$\Delta v_{ji} = \eta \cdot \sum_{k=1}^K \delta_{o_k} \cdot w_{kj} \cdot e^{\rho} \cdot (\ln |z_i| \cdot \cos(\pi\phi) - \pi \mathcal{I}_i \cdot \sin(\pi\phi)) \quad (\text{A.49})$$

$$D_{ji} = e^{\rho} \cdot (\ln |z_i| \cdot \cos(\pi\phi) - \pi \mathcal{I}_i \cdot \sin(\pi\phi)) \quad (\text{A.50})$$

where $i \leq I + 1$ in equations (A.49) and (A.50).

The weight adjustment for weights between the hidden and output layer remains the same as the adjustment for PUNNs with a bias unit, i.e.

$$\Delta w_{kj} = \eta \cdot \delta_{o_k} \cdot y_j \quad (\text{A.51})$$



APPENDIX A. DERIVATION OF LEARNING RULES FOR PUNNS

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This concludes the discussion on learning rules for PUNNs that contain either a bias or a distortion unit.

Appendix B

Publications from this thesis

A Ismail, AP Engelbrecht, *Training Product Units in Feedforward Neural Networks using Particle Swarm Optimization*, In: Development and Practice of Artificial Intelligence Techniques, V Bajic, D Sha (eds), Proceedings of the International Conference on Artificial Intelligence, Durban, South Africa, pp 36-40, 1999.

AP Engelbrecht, A Ismail, *Training Product Unit Neural Networks*, Stability and Control: Theory and Applications, Vol 2, No 1/2, pp 59-74, 1999.

A Ismail, AP Engelbrecht, *Global Optimization Algorithms for Training Product Unit Neural Networks*, IEEE International Joint Conference on Neural Networks, 24-27 July 2000, Como Italy, paper 032.

A Ismail, AP Engelbrecht, *Pruning Product Unit Neural Networks*, submitted to IEEE World Congress on Computational Intelligence, 2002.

A Ismail, AP Engelbrecht, *Improved Product Neural Networks*, submitted to IEEE World Congress on Computational Intelligence, 2002.

Appendix C

Symbols and notation

Symbols	Meaning
ANN	artificial neural network
BP	back-propagation by gradient descent
FLN	functional link network
FNN	feed-forward neural network
GA	genetic algorithm
LFOP	leapfrog optimization algorithm
NN	neural network
PSN	pi-sigma network
PSO	particle swarm optimization
PSO:PU _s	product unit using product units
PSO:SU _s	product unit using summation units
PU	product unit
PUNN	product unit neural network
RNN	recurrent neural network
SU	summation unit
SUNN	summation unit neural network

Symbols	Meaning
\vec{v}_p	the current velocity of particle p
$\vec{x}_p = (x_{p,1}, x_{p,2}, \dots, x_{p,D})$	the current position of particle p
$BEST_p$	the current best fitness achieved by particle p
\overrightarrow{BESTx}_p	the position that produced the best fitness value of the p^{th} particle
$GBEST$	the index of the best particle among all the particles in the population
δ_{y_j}	the error at the hidden layer
δ_{o_k}	the error at the output layer
z_i	i^{th} input value
Z_i	i^{th} input unit
y_j	activation of j^{th} hidden unit
Y_j	j^{th} hidden unit
o_k	activation of k^{th} output unit
O_k	k^{th} output unit
v_{ji}	weight between i^{th} input unit and j^{th} hidden unit
w_{kj}	weight between j^{th} hidden unit and k^{th} output unit
$f(net_{o_k})$	the activation for the k^{th} output unit
$f(net_{y_j})$	the activation for the j^{th} hidden unit
net_{o_k}	the net input for the k^{th} output unit
net_{y_j}	the net input for the j^{th} hidden unit
$\pm[2.0, 5.0]$	interval $[-5.0, -2.0]$ and interval $[2.0, 5.0]$