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A. Appendix I: Definitions and notation

Coordinate system

A left handed world coordinate system is used throughout this document. Using the left hand, pointing forward with the index finger and upward with the thumb, and bending the middle finger to the right, the *x, y,* and z axis are formed. This is shown graphically in figure A-I.

Figure A-I: Left handed coordinate system

The curl of the left hand fingers shows the direction of positive rotation with the thumb pointing in the direction of the desired axis. Figure A-I also depicts the positive angle direction around each axis.

Vectors

A vector space consists of a set of elements, called *vectors,* together with the addition operator and the scalar multiplication operation. **In** this text we will use the vector space R3 or \mathbb{R}^4 , the set of all ordered 3 or 4-tuples of real numbers. A vector is denoted by boldface letters, such as \bf{u} , \bf{v} or \bf{w} . A vector in \mathbb{R}^3 consists of three elements, which is conveniently

denoted by x , y and z . Vectors in \mathbb{R}^4 contains one additional component. Vector components are written horizontally in row format as

$$
[x\,y\,z]
$$

which differs from some texts [65] which uses the vertical column format method

$$
\begin{bmatrix} x \\ y \\ z \end{bmatrix}
$$
 or $[xy z]^T$.

The following vector operations are used in this text:

Matrices

A matrix is a rectangular array of real values. A matrix is denoted by a boldface capital letter such as A, B or M. Its elements are doubly indexed, with the first index indicating the row and the second index the column. A 4x4 matrix is therefore written as

$$
\mathbf{A} = \begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix}.
$$

The following matrix operations are used in this text:

The *identity matrix* is denoted by I. The *homogeneous transformation matrix* is a special 4x4 matrix that has the following form:

or more compactly

$$
\mathbf{A} = \begin{bmatrix} \mathbf{R} & \mathbf{0} \\ \mathbf{t} & 1 \end{bmatrix},
$$

where R is a 3x3 rotation matrix, t is a translation vector in \mathbb{R}^3 and 0 is the zero vector [0 0 0]. The 1x3 row vectors of R are orthonormal and contains the *x*, *y*, and *z* axis of rotation respectively. If and only if we are using a transformation matrix, the inverse is conveniently given by

$$
\mathbf{A}^{-1} = \left[\begin{array}{c} \mathbf{R}^T & \mathbf{0} \\ -t\mathbf{R}^T & 1 \end{array} \right].
$$

The notation **R**(u, θ) or **R**(u_x, u_z, θ) defines a rotation matrix around the axis u of θ degrees and is given by

$$
\mathbf{R}(\mathbf{u},\theta) = \begin{bmatrix} u_x^2 + c(1 - u_x^2) & u_x u_y (1 - c) + u_z s & u_x u_z (1 - c) + u_y s & 0 \\ u_x u_y (1 - c) + u_z s & u_y^2 + c(1 - u_y^2) & u_y u_z (1 - c) + u_x s & 0 \\ u_x u_z (1 - c) - u_y s & u_y u_z (1 - c) + u_x s & u_z^2 + c(1 - u_z^2) & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}
$$

where $c = cos(\theta)$ and $s = sin(\theta)$. If we rotate around one of the primary axis *x*, *y* or *z*, a convenient short hand notation is R(1, 0, 0, θ) = R_x(θ), R(0, 1, 0, θ) = R_y(θ) and $\mathbf{R}(0, 0, 1, \theta) = \mathbf{R}_z(\theta).$

Similarly, the notation $T(u)$ or $T(u_x, u_y, u_z)$ defines a translation matrix and is given by

$$
\mathbf{T} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ u_x & u_y & u_z & 1 \end{bmatrix}.
$$

Variables and equations

General variables or degrees of freedom (DOFs) are represented by the symbol θ . A specific DOF is specified by the symbol $\theta_{i,j}$, where i is a zero-based integer representing either a joint or a group number, depending on the context. The zero-based integer j represents the DOF within the joint or group. The subscript *i,j* is sometimes omitted for clarity, in which case it can be assumed that θ represents *any* DOF. DOFs are functions of time, and can be written as $\theta(t)$. Since we are dealing with discrete time sampled quantities in practice, a *single* sample is written as $\theta(n)$. A *sequence* of samples is written as $\{\theta(n)\}\$. For convenience, some expressions use a continuous time representation, while others use a discrete time representation.

Appendix I Definitions and notation

Proofs

The following terminology is helpful:

• Proof by mutual consent "We can show that..."

• Proof by vagueness "It can be shown that..."

• Proof by intimidation "It is understood that..."

B. Appendix II: Dynamic simulation

The purpose of dynamic simulation is to generate realistic animation using forces and torques calculated from physical laws. The process can be divided into two parts, namely forward dynamics and inverse dynamics. Forward dynamics simply use the forces and torques at each joint to *generate* motion. Inverse dynamics *calculate* the required forces and torques to generate the desired motion. These two processes are therefore related and dependent on each other. The forward and inverse dynamics problem has been extensively studied in the robotics field. See [69] for a good overview of these algorithms. Dynamic formulations range from non-recursive $O(N^4)$ algorithms to recursive $O(N)$ algorithms, where *N* is the number of DOFs. An example of an efficient recursive solution is the Armstrong-Green algorithm **[10,69],** which is based on the Newton-Euler formulations of motion. This algorithm is summarized as follows:

Scalars

- mi Mass ofthe *ith* segment
- *nd*_i Number of DOFs at the *i*th joint
- $\dot{\theta}_{ij}$ First derivative of the *j*th DOF of the *i*th joint
- $\ddot{\theta}_{ij}$ Second derivative of the *j*th DOF of the *i*th joint

Vectors

- 0:/ Angular velocity of the *ith* segment
- *a ⁱ*Angular acceleration of the *ith* segment
- \mathbf{a}^{\prime} Linear acceleration of the *i*th segment at its pivot point
- a~, Linear acceleration of the *ith* segment at its center of mass
- g Acceleration due to gravity [0 -10 0] *mls*²
- gi Gravitation of the *ith* segment
- *fi* Force exerted on the *ith* segment by the *(i-l*)th segment
- n, Moment exerted on the *i*th segment by the (*i*-1)th segment
- Ti Generalized actuator torque at the *ith* joint
- p_i Vector from the pivot point of the *i*th segment to the $(i+1)$ th segment
- Si Vector from the pivot point of the *ith* segment to its center of mass
- $z_{i,j}$ Axis of rotation for the *j*th DOF of the *i*th joint
- \mathbf{F}_i Total force on the *i*th segment
- Ni Total external torque on the *ith* segment

Matrices

- J_i Inertia tensor of the *i*th segment
- Ai Rotational matrix from the jth segment to the *ith* segment

Recursion initialization

 $\omega^0 = 0$ $\alpha^0 = 0$ f_{n+1} = *Force at end-effector* $\mathbf{n}_{n+1} = M$ oment at end-effector

Appendix II Dynamic simulation

Forward recursion

for
$$
i = 1...n
$$
 do

$$
\omega^{i} = A_{i}^{i-1} \alpha^{i-1} + \sum_{j=1}^{nd_{i}} z_{i,j} \dot{\theta}_{i,j}
$$

\n
$$
\alpha^{i} = A_{i}^{i-1} \alpha^{i-1} + \sum_{j=1}^{nd_{i}} z_{i,j} \ddot{\theta}_{i,j} + A_{i}^{i-1} \omega^{i-1} \times \sum_{j=1}^{nd_{i}} z_{i,j} \dot{\theta}_{i,j}
$$

\n
$$
\mathbf{a}^{i} = A_{i}^{i-1} (\mathbf{a}^{i-1} + \alpha^{i-1} \times \mathbf{p}_{i-1} + \omega^{i-1} \times (\omega^{i-1} \times \mathbf{p}_{i-1}))
$$

\n
$$
\mathbf{a}_{c}^{i} = \mathbf{a}^{i} + \alpha^{i} \times \mathbf{s}_{i} + \omega^{i} \times (\omega^{i} \times \mathbf{s}_{i})
$$

\n
$$
\mathbf{g}_{i} = A_{i}^{0} (m_{i} \mathbf{g})
$$

\n
$$
\mathbf{F}_{i} = m_{i} \mathbf{a}_{c}^{i}
$$

\n
$$
\mathbf{N}_{i} = \mathbf{J}_{i} \alpha^{i} + \omega^{i} \times \mathbf{J}_{i} \omega^{i}
$$

Backward recursion

for $i = 1...n$ do $\begin{aligned} \mathbf{f}_i &= \mathbf{F}_i + \mathbf{A}_i^{\prime\text{-}1}\mathbf{f}_{i\text{-}1} - \mathbf{g}_i \\ \mathbf{n}_i &= \mathbf{N}_i + \mathbf{A}_i^{\prime\text{-}1}\mathbf{n}_{i\text{-}1} + \mathbf{s}_i \times \left(\mathbf{F}_i - \mathbf{g}_i\right) + \mathbf{p}_i \times \mathbf{A}_i^{\prime\text{-}1}\mathbf{f}_{i\text{-}1} \end{aligned}$ $\mathbf{r}_i = \mathbf{n}_i \cdot \mathbf{z}_i$

C. Appendix III: CD-ROM contents

The visual results of this study are supplied on CD-ROM as video clips. The video clips all have a resolution of 368x272 pixels and are compressed with the MPEG-l format. The CD-ROM disk is organized in a number of directories, each containing the results for a specific coding method. The following directories are provided:

Four test sequences, namely the conversation, wave, dance and gesture sequences, are used for each coding method. Each directory contains the following files (excluding the directory Original):

The "?" wildcard is a single digit zero-based integer index that is an indication of the coding parameters that were used to obtain the sequence. The rate-distortion files contain a list of bit-rates and signal-to-noise ratios in the *same order* as the index. The units of the rate quantity are [bits/second] and the distortion quantity [dB). The relevant coding parameters and rate-distortion results for each directory (compression method) are as follows:

Quantization

Coding parameters:

Rate-distortion results:

Appendix III CD-ROM contents

Predictive

Coding parameters:

Rate-distortion results:

AdaptivePredictive

Coding parameters:

Rate-distortion results:

DeadReckoning

Coding parameters:

Rate-distortion results:

Transf orm

Coding parameters:

Rate-distortion results:

SpatialVQ

Coding parameters:

Rate-distortion results:

TemporalVQ

Coding parameters:

Rate-distortion results:

PostureBased

Coding parameters:

Rate-distortion results:

Appendix III CD-ROM contents

GestureBased

Abbreviations:

Parameters for coding of conversation sequence:

Parameters for coding of wave sequence:

Parameters for coding of dance sequence:

Parameters for coding of gesture sequence:

Rate-distortion results:

Appendix III CD-ROM contents

Hybrid

Coding parameters:

Rate-distortion results:

