

PRINCIPAL RECOMMENDATIONS



1 COMMON-PROPERTY TRUSTS (THE CHIEFDOMS)

The principal task is for government to provide the necessary policy and legislative framework making customary common property rights unassailable. In this, common property rights are fully assigned over land and renewable natural resources to responsible guardians, i.e. the chiefdoms, on behalf of all Zambians for customary land over all the natural resources except mining, which is dealt with through the proposed Zambia Permanent Fund. They, through their Trusts, then control market environmentalism, the chiefdoms having had the property rights to them fully assigned. They therefore internalise the negative externalities (costs) of pollution or over-harvesting, charging the necessary fees to concessionaires through an auction system. Government must therefore recognise *public goods* and *ecosystem services* as things of considerable value. Such services cannot under any circumstances be privatised, but are to be held under common property by the chiefdom trusts on behalf of the people.

2 THE ZAMBIA PERMANENT FUND

Following the example of the state of Alaska, the Zambian Government elected in 2011 should establish the Zambia Permanent Fund with legislation affirming that 75 percent of all mining royalties be paid to government as a replacement for taxes, and 25 percent paid to the Zambia Permanent Fund. Annually, dividends would be paid to the registered residents of the chiefdoms in the form of a living grant to heads of families resident in the villages, the balance - being subject to a means test - paid to those living outside of the chiefdoms. As a *quid pro quo*, chiefdom residents would be responsible for the protection of the renewable natural resources, following a Landsafe or similar landuse plan supervised by their Trust and their customary authority. It is critical to this exercise that the mining compradors and any corrupt politicians overseeing the mining taxes are sidelined, so that mining taxes reflect international financial reality.

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¹⁵⁰ BARNES, PETER. 2006. Capitalism 3.0: A guide to reclaiming the commons. Berrett-Koehler Publishers, San Francisco.



ADDENDA



Addendum 1: Landsafe forms

| Form 1: | MoU: Establishment of a Landsafe Chiefdom Conservancy |
|----------|---|
| Form 2: | Landuser rights cession: customary authority to chiefdom society |
| Form 3: | Petition to the Minister of Lands |
| Form 4: | Community application for a CRB to the Zambia Wildlife Authority |
| Form 5: | MoU: wildlife co-management agreement between a Chiefdom |
| | Landsafe Trust and a Community Resource Board |
| Form 6: | Community Resource Board game quota application to ZAWA |
| Form 7: | Game quota cession by CRB to a Trust |
| Form 8: | Application for registration of a Community Game Ranch by a Chiefdom |
| Form 9: | Conservancy land-use planning template |
| Form 10: | Game Management Area co-management agreement between a chiefdom Trust |
| | and a Community Resource Board |
| Form 11: | Application for the co-management of an adjoining National Park by a CRB to |
| | ZAWA |
| Form 12: | MoU for a Joint Management Agreement for chiefdom forests |
| Form 13: | MoU for a Joint Management Agreement for gazetted forest |
| Form 14: | MoU for a Joint Management Agreement with the Fisheries Department |
| Form 15: | Community application for a Fisheries Management Committee |
| Form 16: | MoU for a co-management agreement with the Department of Water Affairs |
| Form 17: | Chiefdom landuser rights assignment under Lands (perpetual succession) Act |
| Form 18: | Chiefdom landuser cession to Trust Company (limited by guarantee) |
| Form 19: | Project proposal template |



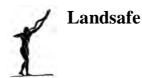


Landsafe

Form 1: MoU: Establishment of a Landsafe Chiefdom Conservancy

| 1 PARTIES This document constitutes an agreement between Chief |
|--|
| of theChiefdom, and a Landsafe facilitator |
| 2 PURPOSE The purpose of this agreement is for the Chief, with the assistance of the facilitator, to agree to the establishment of a Landsafe Chiefdom Conservancy. |
| 3 MUTUAL INTEREST OF THE PARTIES The Chief, who is entrusted with the care and development of the land and people of the chiefdom, needs to have the necessary support so that the land and natural resources may be co-managed with Government and the lives of the customary community improved. |
| The facilitator wishes to assist the chiefdom in the establishment of the Landsafe conservancy system for Chiefdoms so as to encourage investment in the chiefdom. |
| 4 SUBSTANCE OF AGREEMENT The parties agree, in principle, to fully explore the possibilities of establishing a Landsafe conservancy in future that will incorporate all of the |
| Name: For Customary Authority: Chief |
| Date: at |
| Signature: |
| Name: Landsafe Facilitator |
| Date: at |
| Signature: |
| Name: Witness |
| Date: at |
| Signature: |
| |





Form 2: Landuser rights cession: Customary Authority to Chiefdom Society

PARTIES responsible for land held under customary tenure known as......and theSociety, appointed as custodian of the said chiefdom lands and due to petition the Minister of Lands for incorporation under the Lands (perpetual succession) Act CAP 186 of the Laws of Zambia. **PURPOSE** The purpose of this agreement is for the Customary Authority to grant the 'right to use and occupation of land under customary tenure' (Lands Act of 1995: Section 8(3), for the following the Customary Authority (chief and headmen) is represented. MUTUAL INTEREST OF THE PARTIES TheSociety is in existence to oversee the development of the conservancy and its management. Its objectives are: i) To safeguard customary land and its Statutory Instrument No. 47 of 2006, the associated protected areas Lands Act Chapter 184 of the Laws of ii) To strengthen the traditional authority and Zambia of 1995, the Water Act Chapter encourage the democratic structures 198 of the Laws of Zambia of 1948 and iii)To conserve the wildlife and natural resources the Zambia Wildlife Act No. 12 of 1998 for the benefit of the chiefdom vi) To provide the necessary incentives for iv) To provide a land and business management both investors and the chiefdom to enter plan for the appropriate development of in to mutually beneficial partnerships and chiefdoms, one that fully respects the ecology investments and the traditional, cultural and religious vii) To identify, propose and facilitate small norms businesses able to harvest natural v) To facilitate chiefdom institutions that may resources enter into co-management agreements with viii) To establish a development trust fund and Government over land and renewable natural the implementation of development resources, in conformity with the prescriptions projects required by the customary of the Fisheries Act Chapter 200 of the Laws community of Zambia of 1974 and the Fisheries ix) To foster education in the chiefdom's (Amended) Act of 2007, The Forestry Act No. history, culture and religion, and in its 39 of 1973, Forestry Policy of 1998, the Forestry Act No. 7 of 1999, the Local Forests To foster conservation agriculture, health (Control and Management) Regulations, and education



| Form 2 - continued | |
|--|---|
| 4 SUBSTANCE OF AGREEMENT The | omary Community agrees to cede to its Society, theChiefdom. |
| Name: For Customary Authority: Chief | Name: For District Council Representative |
| Date:at | Date: at |
| Signature: | Signature: |
| Name: For Customary Authority: Headman | Name: or Representative CRB |
| | |
| Date: at | Date: at |
| Signature: | Signature: |
| Name: For Customary Authority: Headman | Name: For Representative Customary Community Society |
| Date: at | |
| Signature: | Date: at |
| Signature. | Signature: |
| | Name: For Landsafe Facilitator |
| | |
| | Date: at |
| | Signature: |
| | |
| | |
| | |





Form 3: Petition to the Minister of Lands

| | | | COMMUNITY TRUST INCORPORATION PROPOSED TRUST DEEDTHETRUST |
|------------|--------|---------------|---|
| <u>тні</u> | S TRU | J ST I | DEED is made the day of Two Thousand and Three by |
| | | | residents of the |
| | | | Customary Authority,District of the Republic of Zambia (hereinafter called "the original |
| Trus | tees") | which | h expression shall where the context so permits include the trustees for the time being of this Deed) |
| WH | EREA | S | |
| (1) | The | origir | nal Trustees Indianred to declare a trust for the purposes hereinafter specified |
| (2) | The | cash: | sum of Kwacha 1,000,000 has been paid to the original Trustees to be held by them on the trusts to be declared |
| | herel | by an | d it is contemplated that further monies will be paid or transferred to the Trustees for the time being of this Deed to |
| | be he | eld or | n the same trusts. |
| NOV | V TH | IS DE | EED WITNESSETH and IT IS HEREBY AGREED AND DECLARED as follows: - |
| 1. | The 7 | Trust | hereby constituted shall be called the TRUST (hereinafter called "the Trust") and shall be |
| | regis | tered | under the Land (Perpetual Succession) Act (Cap. 186 of the Laws of Zambia) |
| 2. | Its m | ission | n is to actively support the conservation of the natural resources of the Customary Authority for the benefit of its |
| | huma | an res | idents. In this Deed Unless the context otherwise require :- |
| | (a) | "Tru | istees shall mean the original Trustees or other Trustees hereof for the time being |
| | (b) | " the | e Trust Fund " shall mean:- |
| | | | (i) the said cash sum hereinbefore recited; |
| | | | (ii) all property money invested or other assets at any time or times hereafter paid or transferred to or |
| | | | otherwise vested in the trustees to be held on trusts hereby declared; and |
| | | | (iii) the property for the time being representing the sums property investments money and other assets referred |
| | | | to in the sub-paragraphs (i) and (ii) of this sub-clause and of accumulation of income or capital and |
| | | | any accretion or other addition derived there from |
| | (c) | " the | e Trust Assets" shall mean such assets as the Trustees may decide to acquire pursuant hereto |
| 3. | The | trust | shall be a non-profit making organisation and the objects of the Trust shall be: - |
| | | (1) | To support and advise the |
| | | . , | and natural resources in its custody |
| | | (2) | To liaise with Government – in close consultation with the Customary Authority, on all matters affecting natural |
| | | () | resource use |
| | | (3) | To provide the Customary Authority with a land-use development plan |
| | | (4) | To advise the Customary Authority in its negotiations and participation in joint-venture investments affecting |
| | | (+) | land-use and biodiversity conservation; and to be represented on the boards of any such joint-venture companies |
| | | | which may be established as a result |
| | | (5) | |
| | | (5) | In conformity with the prescriptions of the Fisheries Act Chapter 200 of the Laws of Zambia of 1974, The |
| | | | Forestry Act No. 39 of 1973 – and when repealed, the Forestry Act No. 7 of 1999, the Lands Act Chapter 184 of |
| | | | the Laws of Zambia of 1995, the Water Act Chapter 198 of the Laws of Zambia of 1948 and the Zambia Wildlife |
| | | (6) | Act No. 12 of 1998, to fully comply with the opportunities, responsibilities and obligations enunciated therein |
| | | (6) | To foster partnership supports from civil-society organisations and donors in supporting the optimum natural |
| | | (E) | resource and human development in the area |
| | | (7) | To identify, propose, facilitate funding and implementation, of integrated conservation and development projects |
| | | | within the chiefdom. |

Form 3 - continued

- (8) To promote effective communication and co-ordination between all the role-players.
- (9) To obtain all the necessary information, legal and otherwise, which will allow it and the Customary Authority to best serve the interests of the area and it human community
- (10) To foster education in traditional knowledge, the ecology and biodiversity conservation.

Etc... etc... etc.

- 4. This deed shall not be amended, altered or added to except by special resolution passed by a Two-Thirds majority of the board of Trustees at a special general meeting convened for that specific purpose, and which notice of the meeting shall specify the nature of the alteration or amendment. Any such alteration or amendment may thereafter be affected by the trustees providing always that such alteration, addition or amendment does not constitute any revocation of the trust or its terms, and provided it does not constitute a right of conferring the Trust Fund, the income or any portion thereof; otherwise than for the objects of the Trust as hereinbefore set out, and provided further that the capital and/or income of the Trust shall always be used for the purposes and objects of the Trust as set out in Clause Three (3) hereof.
- 5. The Trust shall be a body of perpetual succession and the Trustees or their successors shall not have the right or power to terminate the Trust. Notwithstanding, however, that the Trust is created in perpetuity, all the remaining movable assets of the trust (in the event of the Trust being terminated for any reasons whatsoever) shall be distributed to any independent organisation with similar objectives within Zambia to be determined by Two Thirds of the Trustees Board at or before the time of dissolution, which bodies or organisation are themselves exempt from the payment of income tax.

IN WITNESS whereof the parties hereto have hereunto set their hands and seals the day and year first before written.

| SIGNED, SEALED and DELIVERED | SIGNED, SEALED and DELIVERED |
|---|---|
| by the said | by the said |
| in the presence of: | in the presence of: |
| WITNESS: | WITNESS: |
| Name: | Name: |
| | |
| Address: | Address: |
| Occupation: | Occupation: |
| SIGNED, SEALED and DELIVERED | SIGNED, SEALED and DELIVERED |
| by the said | by the said |
| | |
| in the presence of: | in the presence of: |
| WITNESS: | WITNESS: |
| Name: | Name: |
| Address: | Address: |
| Occupation: | Occupation: |
| | |
| SIGNED, SEALED and DELIVERED | SIGNED, SEALED and DELIVERED |
| by the said | by the said |
| in the presence of: | in the presence of: |
| WITNESS: | WITNESS: |
| | WITNESS. |
| Name: | Name: |
| Name: | |
| | Name: |
| Address: | Name: Address: |
| Address: | Name: Address: |
| Address: Occupation: | Name: Address: Occupation: |
| Address: Occupation: SIGNED, SEALED and DELIVERED | Name: Address: Occupation: SIGNED, SEALED and DELIVERED |
| Address: Occupation: SIGNED, SEALED and DELIVERED by the said | Name: Address: Occupation: SIGNED, SEALED and DELIVERED by the said |
| Address: Occupation: SIGNED, SEALED and DELIVERED by the said in the presence of: | Name: Address: Occupation: SIGNED, SEALED and DELIVERED by the said in the presence of: |
| Address: Occupation: SIGNED, SEALED and DELIVERED by the said in the presence of: WITNESS: | Name: Address: Occupation: SIGNED, SEALED and DELIVERED by the said in the presence of: WITNESS: |
| Address: Occupation: SIGNED, SEALED and DELIVERED by the said in the presence of: WITNESS: Name: | Name: Address: Occupation: SIGNED, SEALED and DELIVERED by the said in the presence of: WITNESS: Name: |





Form 4: Community application for a CRB to the Zambia Wildlife Authority (in terms of the Wildlife Act of 1998: Part 3 of Act no. 12)

| DATE | | |
|---------------------------------------|-------|------------|
| CUSTOMARY AUTHORITY | | |
| DISTRICT | | |
| PROVINCE | | |
| GAME MANAGEMENT AREAS | | |
| OPEN AREAS | | |
| NATIONAL PARKS | | |
| NATIONAL FOREST | | |
| LOCAL FOREST | | |
| ALIENATED LAND | | |
| ELECTED MEMBERS | | |
| Chairman | | |
| Chief's rep. | | |
| Co-ordinator | | |
| Member | | |
| Member Member | | |
| Member | | |
| 112VIIIVI | | |
| REGISTRATION NAME | | |
| APPLICANT | NAMES | SIGNATURES |
| Chief | | |
| Chairman District Council | | |
| Chairman CRB | | |
| ZAWA APPROVAL For Director-General | | |
| Date: | | |





Form 5: MoU: Wildlife Co-Management Agreement between a Chiefdom Landsafe Trust and a Community Resource Board/ZAWA

1 PARTIES

2 PURPOSE

For the CRB/ZAWA and the Trust to develop a co-management agreement for the chiefdom whereby the Trust is given sole user rights - under certain conditions - to wildlife.

3 BACKGROUND

As allowed under the Wildlife Act of 1998: Part 3: (7) 2: the elected chiefdom CRB being empowered to promote and develop an integrated approach to the management of human and natural resources in a Game Management Area or an open area falling within its jurisdiction and with the power to:

- i) negotiate, in conjunction with ZAWA, co-management agreements with hunting outfitters and photographic tour operators;
- ii) manage the wildlife under its jurisdiction, within quotas specified by the ZAWA:
- iii) appoint Village Scouts to exercise and perform the duties of a wildlife police officer under the supervision
- iv) in consultation with the ZAWA, develop and implement management plans which reconcile the various uses of land in areas falling under the board's jurisdiction;
- v) perform such other functions as ZAWA may direct or delegate to it.

4 THE PRINCIPLES

The parties to abide by the following principles in the development of a contract:

- The CRB to take on the Trust as its exclusive partner in the management of the wildlife resources, agreeing not to issue hunting licences or any other rights of access to any other person or organisation.
- ii) The Trust agrees to conserve the wildlife resources and to follow a management plan agreed to by the parties and approved by ZAWA.
- iii) The Trust will oversee the equitable sharing of benefits between the chiefdom and ZAWA and the CRB.
- iv) To jointly provide a management plan, approved by ZAWA.

5 MUTUAL INTEREST OF THE PARTIES

To ensure the conservation of the wildlife and its sustained use for the benefit of the customary community.

6 AGENCY RESPONSIBILITIES

To jointly ensure the socio-ecological conservation of the Chiefdom:

- i) The Trust has the responsibility for seeing that an agreed management plan for the area is implemented
- ii) The CRB is responsible for carrying out its mandate as laid out in the Wildlife Act with the full support of ZAWA
- iii) The CRB/ZAWA to give exclusive use of the wildlife resources to the Trust

Form 5 - continued

7 SHARED CONCERNS

The Parties share a common concern for the conservation of wildlife and the welfare of the customary community agree to share information of mutual concern.

8 EXPECTED OUTCOMES

- i) The protection of a national asset
- ii) The scientifically managed sustained use of its wildlife resources
- iii) The protection of its biodiversity
- iv) A stable and increasing source of income for communities
- v) A well managed area based on sound conservation principles
- vi) Support to GRZ policies of de-centralisation, as well Government policies concerning decentralisation and PPPs

9 STANDING COMMITTEE

To facilitate the implementation of this agreement, the cooperating agencies each agree to designate a liaison officer to serve on a standing committee that will meet as needed. Matters for consideration by the standing committee are to include a review of each agency's participation in this agreement, an assessment of the agreement's effectiveness, and modifications that might be necessary. As appropriate, the committee will address urgent issues and specific cases of serious non-compliance.

| Chairman CRB | |
|---------------------------|------|
| Date: | _at |
| Signature: | |
| Name: Chairman of Trust | |
| Date: | _at |
| Signature: | |
| Name: Chief | |
| | |
| Date: | _ at |
| | _ at |
| Signature: | |
| Signature: Name: FOR ZAWA | |





Form 6: Trust Game Quota application to ZAWA

| SPECIES | # | | TRICT CENCE | | BLISHED IDENT | COMMUNITY GAME QUOTA | TOTAL |
|----------------|--------|-------|----------------|-------|------------------|----------------------------|-------|
| Buffalo | | | | | | | |
| Bushbuck | | | | | | | |
| Crocodile | | | | | | | |
| Duck & Geese | | | | | | | |
| Duiker B C Y | | | | | | | |
| Eland | | | | | | | |
| Game birds | | | | | | | |
| Grysbok | | | | | | | |
| Hartebeest | | | | | | | |
| Hippo | | | | | | | |
| Hyena | | | | | | | |
| Impala | | | | | | | |
| Jackal | | | | | | | |
| Klipspringer | | | | | | | |
| Kudu | | | | | | | |
| Lechwe Black | | | | | | | |
| Lechwe Red | | | | | | | |
| Lechwe Kafue | | | | | | | |
| Leopard | | | | | | | |
| Lion | | | | | | | |
| Oribi | | | | | | | |
| Puku | | | | | | | |
| Reedbuck | | | | | | | |
| Roan | | | | | | | |
| Sable | | | | | | | |
| Sitatunga | | | | | | | |
| Steenbok | | | | | | | |
| Tsessebe | | | | | | | |
| Warthog | | | | | | | |
| Waterbuck E D | | | | | | | |
| Wildebeest C B | | | | | | | |
| Zebra | | | | | | | |
| | | ТВ | RUST CHA | IRMAN | SIGNATU | RES | |
| | Patron | | | | | | |
| | ••••• | ••••• | FOR ZA | AWA | | | |
| DATE: | | | | | | | |
| FOR | ••••• | • | SON | | | | |





Form 7: Game Quota cession by Trust to the investor (in terms of the Wildlife Act of 1998: Part 3: (7) 2a of Act no. 12)

| QUOTA | | | | MEAT H | UNTING | | | TRO | РНҮ | T |
|---------------------------------|---|----------------------|------|-----------------|--------|-------------------------------|------|------------------|------|---|
| SPECIES | # | DISTRICT RESIDENT | | GMA RESIDENT | | CITIZEN/ ESTAB RESIDENT | | SAFARI CLIENT | | |
| | | GMA | OPEN | GMA | OPEN | GMA | OPEN | GMA | OPEN | |
| Baboon | | | | | | | | | | |
| Buffalo | | | | | | | | | | |
| Bushbuck | | | | | | | | | | |
| Crocodile | | | | | | | | | | |
| Duiker B C Y | | | | | | | | | | |
| Eland | | | | | | | | | | |
| Game birds G F | | | | | | | | | | |
| Grysbok | | | | | | | | | | |
| Hartebeest | | | | | | | | | | |
| Hippo | | | | | | | | | | |
| Hyena | | | | | | | | | | |
| Impala | | | | | | | | | | |
| Jackal | | | | | | | | | | |
| Klipspringer | | | | | | | | | | |
| Kudu | | | | | | | | | | |
| Lechwe Black | | | | | | | | | | |
| Lechwe Red | | | | | | | | | | |
| Lechwe Kafue | | | | | | | | | | |
| Leopard | | | | | | | | | | |
| Lion | | | | | | | | | | |
| Oribi | | | | | | | | | | |
| Puku | | | | | | | | | | |
| Reedbuck | | | | | | | | | | |
| | | | | | | | | | | |
| Roan Sable | | | | | | | | | - | |
| | | | | | | | | | - | |
| Sitatunga | | | | | | | | | | |
| Steenbok | | | | | | | | | | |
| Tsessebe | | | | | | | | | 1 | |
| Warthog | | | | | | | | | 1 | |
| Waterbuck E D | | | | | | | | | - | |
| Wildebeest C B | | | | | | | | | - | |
| Zebra | | | | | | | | | | |
| CRB CHAIRMANCRB PatronZAWA: DG. | | | | | | SIGNATURES | | | | |
| | | | | | | | | | | _ |
| Date: | | | | | | | | | | _ |





Form 8: Application for registration of a Community Game Ranch by a Chiefdom

| 1. Ch | niefdom: |
|--------------|--|
| 2. Op | pen area/Game Management Area: |
| 3. Di | strict: |
| 4. Lo | ocation: |
| 5. Bo | oundaries |
| 6. Ar | rea (approximate) |
| of our des | Trust do hereby make application for the registration signated area as a Community Game Ranch, and, subject to the erection of uitable to yourselves, the transfer of the ownership of designated game species to , to be managed for the benefit of our community. |
| | Chairman |
| Date: | at |
| Signatur | e: |
| Name: S | ecretary |
| Date: | at |
| | e: |
| Name: C | Chief |
| Date: | at |
| Signatur | e: |
| document a | documents: Trust Certificate of Incorporation and list of Trustees; landuser rights cession and list of headmen signatories; certificate of land vestment under the Lands (perpetual) Act; list of headmen, signatories and minutes of game ranch application, including District the CRB and local ZAWA office. |





Form 9: Conservancy land-use planning template

| 1 | INTE | RODUC | ΓΙΟΝ | | | | 3.2.1.1 | Proposed food |
|---|------|----------------|--|---|------------|----------------|--|----------------------------------|
| | 1.1 | | ns for the conservancy | | | | | security programme |
| | | | pment | | | | 3.2.1.2 | Methods of |
| | 1.2 | | and objectives | | | | | cultivation |
| | | | 3 | | | | 3.2.1.3 | Livestock |
| 2 | PRE | SENT SI | TUATION | | | | | programme |
| | 2.1 | Physic | al data | | | | 3.2.1.4 | Îrrigation |
| | | 2.1.1 | Area and situation | | | | | programme |
| | | 2.1.2 | 8 | | | | 3.2.1.5 | Cost/profit analysis |
| | | | Water resources | | | | 3.2.1.6 | Credit facilities |
| | | | Livestock potential | | | | 3.2.1.7 | Transport and |
| | | | Irrigation potential | | | | | marketing |
| | | 2.1.6 | Crop potential | | | 3.2.2 | | ncy members use |
| | | | Wildlife potential | | | | 3.2.2.1 | Camp rentals |
| | 2.2 | | economic data | | | | 3.2.2.2 | Vehicle roaming |
| | | 2.2.1 | History of the area | | | | 3.2.2.3 | Services |
| | | 2.2.2 | Demographic structure | | | 3.2.3 | U | |
| | | 2.2.3 | Existing land-use and land | | | 3.2.4 | | lodge-based |
| | | 224 | tenure | | | | 3.2.4.1 | |
| | | 2.2.4 | Type and level of animal | | | 225 | 3.2.4.2 | Vehicle roaming |
| | | 2.2.5 | and crop production | | | 3.2.5 3.2.6 | | village-based educational and |
| | | 2.2.3 | Wildlife consumptive and non-consumptive use | | | 3.2.0 | scientific | |
| | | 2.2.6 | Sources and levels of | | 3.3 | Admir | | f the scheme |
| | | 2.2.0 | income | | 3.3 | 3.3.1 | | |
| | | 2.2.7 | Market possibilities (local | | | 3.3.2 | | |
| | | 2.2.1 | and regional) | | | 3.3.3 | | |
| | 2.3 | Fristir | ng social services | | | | | equirements |
| | 2.3 | | Education | | | 3.3.5 | | l conditions of |
| | | | Health | | | 0.0.0 | | or agreements |
| | | 2.3.3 | Marketing and requisites | | | 3.3.6 | Fees and | |
| | | | supply | | 3.4 | | ary service | |
| | | 2.3.4 | Extension and services | | | 3.4.1 | Education | n |
| | | 2.3.5 | Transport and | | | 3.4.2 | Health an | nd nutrition |
| | | | communications | | | | | ity development |
| | | | | | | 3.4.4 | Housing | |
| 3 | PRO | POSED | CONSERVANCY | | | 3.4.5 | Repair w | orkshops |
| | SCH | | | | | 3.4.6 | Transport | t |
| | 3.1 | - | al Layout | | | | | |
| | | 3.1.1 | 1 | 4 | | | APPRAIS | |
| | | 3.1.2 | Type and size of enterprises | | 4.1 | | | d recurrent) |
| | | | Roads | | 4.2 | | | |
| | | 3.1.4 | | | 4.3 | | low budge | |
| | | 3.1.5 3.1.6 | Fields fencing Water supplies and | | 4.4 4.5 | | cost/benef oring and e | |
| | | 3.1.0 | irrigation | | 4.3 | MOIII | ornig and e | varuation |
| | | 3.1.7 | Conservation works | 5 | IMD | ACTS A | SSESSME | NT |
| | | 3.1.8 | Buildings sighting and | J | 5.1 | Short | O DESCRIPTION OF THE PARTY OF T | 411 |
| | | 5.1.0 | layout | | 5.2 | Mediu | m | |
| | | 3.1.9 | - | | 5.3 | Long t | | |
| | | | Camp sites | | | | | |
| | | | Airstrips | 6 | SUM | MARY. | AND CON | ICLUSION |
| | 3.2 | | rvancy Plan | - | | | | |
| | | | Agriculture | | | | | |
| | | | | | | | | |





Form 10: Game Management Area Co-Management Agreement between a Chiefdom Trust and a Community Resource Board/ZAWA

| 1 PARTIES |
|--|
| This document, proposes an agreement between the Trust and the |
| |
| 2 PURPOSE |
| For the CRB/ZAWA and the Trust to sign a co-management agreement for the |
| |
| 3 BACKGROUND |
| As allowed under the Wildlife Act of 1998: Part 3: (7) 2: the elected chiefdom CRB being empowered to promote and develop an integrated approach to the management of human and natural resources in a Game Management Area or an open area falling within its jurisdiction and with the |
| power to: |
| negotiate, in conjunction with ZAWA, co-management agreements with hunting outfitters and photographic tour operators; |
| (b) manage the wildlife under its jurisdiction, within quotas specified by the ZAWA; |
| (c) appoint Village Scouts to exercise and perform the duties of a wildlife police officer under the supervision |
| (d) in consultation with the ZAWA, develop and implement management plans which reconcile the various uses of land in areas falling under the board's jurisdiction; |
| (e) perform such other functions as ZAWA may direct or delegate to it. |
| 4 PURPOSE OF THIS AGREEMENT |
| That the |
| F THE PRINCIPLES |
| The parties to abide by the following principles in the development of a contract: The CRB to take on the |
| 6 MUTUAL INTEREST OF THE PARTIES To ensure the conservation of the wildlife and ecology of the GMA, and its continued sustained use for the benefit of local communities. |

Form 10 - continued

7 SHARED CONCERNS

The Parties share a common concern for the conservation of wildlife and the welfare of the community in the GMA.

8 SUBSTANCE OF AGREEMENT

The cooperating agencies agree to share information of mutual concern and interest regarding this agreement.

9 EXPECTED OUTCOMES

- i) The protection of a national asset GMA
- ii) The scientifically managed sustained use of its wildlife resources
- iii) The protection of its biodiversity
- iv) A stable and increasing source of income for communities
- v) A well managed area based on sound conservation principles
- vi) Support to GRZ policies of de-centralisation, as well Government policies concerning decentralisation and PPPs

10 STANDING COMMITTEE

To facilitate the implementation of this agreement, the cooperating agencies each agree to designate a liaison officer to serve on a standing committee that will meet as needed. Matters for consideration by the standing committee are to include a review of each agency's participation in this agreement, an assessment of the agreement's effectiveness, and modifications that might be necessary. As appropriate, the committee will address urgent issues and specific cases of serious non-compliance.

| Name: For Chairman: | Name: For Chief |
|---|-------------------------------------|
| Date: at | Date: at |
| Name: For Secretary Natural Resources | Signature: |
| Date: at | Name: For Zambia Wildlife Authority |
| Signature: | Date: at Signature: |
| Name: For Chairman of theTrust | ~- g |
| Date: at | Name: For Chief |
| Signature: | Date: at |
| Name: For District Council Representative | Signature: |
| Date: at | |
| Signature: | |
| | |





Form 11: Application for co-management of adjoining national park by Trust to ZAWA

| 1 PARTIES This document, proposes an agreement between the Zambia Wildlife Authority and theTrust |
|--|
| 2 PURPOSE For the Trust and ZAWA to develop a co-management agreement for the |
| 3 BACKGROUND In 1950, the Kafue National Park was proclaimed as the first national park in the country by the Governor of Northern Rhodesia in April of that year, being 'set aside' within what was Native Trust Land. The Order in Council defining Trust Land stated, "land set apart for the sole use and benefit, direct or indirect, of the natives of Northern Rhodesia" and further: "out of the profits or rents accruing from any area of native trust land set aside as a forest or game reserve there shall be paid first the expenditure necessarily incurred by the Government in connection with the said area, whether by maintenance, development or otherwise, and the balance shall be paid into the (Native Trust Land) Fund, or into the treasury of the native authority concerned, as the Governor shall direct". |
| In 1954, parts of Nsefu and Luambe were put aside as Game Reserve at the request of the chiefs for their people's benefit. They were later converted to National Park status. |
| 4 PURPOSE OF THIS AGREEMENT That ZAWA, in the light of past historical agreements, and in the light of present PPP policy and the need for ZAWA to share responsibilities and benefits with chiefdoms in adjoining National Parks, that they agree to enter in co-management agreements for that section of National Park formerly part of a particular chiefdom. |
| 5 THE PRINCIPLES That ZAWA agrees to have the |
| 6 MUTUAL INTEREST OF THE PARTIES To ensure the continued preservation of the |

Form 11 - continued

7 AGENCY RESPONSIBILITIES

- 7.1 ZAWA to ensure the historical rights of thechiefdom are respected in terms of benefit streams
- 7.2 The Trust to ensure that people of the chiefdom respect the National Park

8 SHARED CONCERNS

The Parties share a common concern for the protection of the National Park and the welfare of the chiefdom's people.

9 SUBSTANCE OF AGREEMENT

The cooperating agencies agree to share information of mutual concern and interest regarding this agreement.

10 EXPECTED OUTCOMES

- i) The protection of the national park
- ii) A stable and increasing source of income for communities
- iii) A well managed chiefdom and national park based on sound conservation principles
- iv) Support to GRZ policies of de-centralisation, as well Government policies concerning decentralisation and PPPs

11 STANDING COMMITTEE

To facilitate the implementation of this agreement, the cooperating agencies each agree to designate a liaison officer to serve on a standing committee that will meet as needed. Matters for consideration by the standing committee are to include a review of each agency's participation in this agreement, an assessment of the agreement's effectiveness, and modifications that might be necessary. As appropriate, the committee will address urgent issues and specific cases of serious non-compliance.

| Name: For Chairman:Trust | Name: For District Council Representative |
|---------------------------------|---|
| Date: at | Date: at |
| Signature: | Signature: |
| Name: For ChairmanCRB | Name: For Zambia Wildlife Authority |
| Date: at | Date: at |
| Signature: | Signature: |
| Name: For Secretary of theTrust | Name: For Chief |
| Date: at | Date: at |
| Signature: | Signature: |





Form 12: MoU for a Joint Management Agreement for chiefdom forests

Department **PURPOSE** For the FD and the Trust to develop a co-management agreement for the forests of thechiefdom **BACKGROUND** The legislation and policy documents that form the base for piloting Joint Forest Management activities in Zambia are the Forestry Policy of 1998, the Forests Act of 1999, and the local forests (Control and Management) Regulations, Statutory Instrument No. 52 of 1999 and Statutory Instrument No.47 of 2006, the latter laying out regulations for Joint Forest Management Areas. In the process of piloting JFM it is clear that the legislative foundation for JFM is faulty; because the Forests Act of 1999, which provides the legal framework for JFM, is not yet in force. However, the respective parties may enter into an agreement based on best practice so that community empowerment and co-management with Government may flourish. PURPOSE OF THIS AGREEMENT That theTrust and the Forestry Department jointly provide a management plan in which eco-tourism and the appropriate management and use of the Forest take place under the general supervision of the Trust and the Forestry Department. THE PRINCIPLES other rights of access to any other person or organisation. That the Trust agrees to conserve all the resources and to follow a management plan mutually to be agreed upon. MUTUAL INTEREST OF THE PARTIES To ensure the conservation of the forest and an equitable sharing of benefits between the Trust and the Forestry Department.

7 AGENCY RESPONSIBILITIES

- i) To jointly ensure the conservation of the Forest
- ii) The Trust would have responsibility for seeing that an agreed management plan for the area is implemented
- iii) The Forestry Department would be responsible for full assistance and advice on any controlled exploitation of the forest for the benefit of the local economy

8 PROHIBITIONS

PARTIES

The FD to give exclusive use of the forest to the Trust, who will assume, with the FD, full responsibility for its management.

9 SHARED CONCERNS

The Parties share a common concern for the preservation of the forest and the avoidance of any further illegal settlement or occupation for subsistence agriculture. They also wish to see the forest be of long-term benefit to the local community through appropriate tourism development, forest utilization and the production of products from such utilisation.

Form 12 - continued

10 SUBSTANCE OF AGREEMENT

The cooperating agencies agree to share information of mutual concern and interest regarding the Forest.

11 EXPECTED OUTCOMES

- i) The protection of a national asset
- ii) The scientifically managed sustained use of its timber and eco-tourism potential
- iii) The protection of its biodiversity
- iv) A stable and increasing source of income for communities
- v) A well managed area based on sound conservation principles
- vi) Support to GRZ policies of de-centralisation, as well the Forestry Department's progressive policies around co-management of national and local forests

12 STANDING COMMITTEE

To facilitate the implementation of this agreement, the cooperating agencies each agree to designate a liaison officer to serve on a standing committee that will meet as needed. Matters for consideration by the standing committee are to include a review of each agency's participation in this agreement, an assessment of the agreement's effectiveness, and modifications that might be necessary. As appropriate, the committee will address urgent issues and specific cases of serious non-compliance.

| Name: Chairman of theTrust | Name: Chief |
|-----------------------------|------------------------------------|
| Date: at | Date: at |
| Signature: | Signature: |
| Name: Secretary of theTrust | Name: Director Forestry Department |
| Date: at | Date: at |
| Signature: | Signature: |



This document, proposes an agreement between the......Trust and the Forestry Department (FD).



Form 13: MoU for a Joint Management Agreement for gazetted forest

PARTIES

| 2 PURPOSE | | |
|--|---|--|
| For the FD and the Trust to develop a co-management agreement for theForest | | |
| contiguous to thechiefdom. | | |
| 3 BACKGROUND | | |
| | The legislation and policy documents that form the base for piloting Joint Forest Management activities in | |
| Zambia are the Forestry Policy of 1998, the Forests Act of 1999, and the local forests (Control and | | |
| Management) Regulations, Statutory Instrument No. 52 | | |
| latter laying out regulations for Joint Forest Managemen | | |
| the legislative foundation for JFM is faulty; because the | | |
| | framework for JFM, is not yet in force. And it is understood that a JFMA cannot be entered into with a national | |
| Forest, it first requiring de-gazetting. As this may be con | | |
| promulgation – the Trust and Forestry Department shou practice so that community empowerment and co-mana | | |
| 4 PURPOSE OF THIS AGREEMENT | gement with Government may nourish. | |
| That the Trust and the FD jointly provide a managemen | t plan in which the protection and the use of the Forest | |
| for the benefit of the contiguous community take place | | |
| 5 THE PRINCIPLES | under the general supervision of the Trust and the TD. | |
| The Forestry Department to take on the Trust as its excl | usive partner in the protection of the Forest. That the | |
| Trust agrees to conserve all the resources and to follow | | |
| 6 MUTUAL INTEREST OF THE PARTIES | , | |
| To ensure the conservation of the forest and an equitabl | e sharing of benefits between the Trust and the FD. | |
| 7 AGENCY RESPONSIBILITIES | | |
| To jointly ensure the conservation of the Forest | | |
| | anagement plan for the area is implemented | |
| The FD would be responsible for full assistance and adv | vice regarding forest management | |
| 8 SUBSTANCE OF AGREEMENT | and all an arranged the state of the Errord | |
| The cooperating agencies agree to share information of | mutual concern and interest regarding the Forest | |
| EXPECTED OUTCOMES i) The protection of its biodiversity and its management on sound conservation principles | | |
| ii) A stable and increasing source of income for communities | | |
| iii) Support to GRZ policies of de-centralisation, as well the co-management of national and local | | |
| forests | | |
| 10 STANDING COMMITTEE | | |
| To facilitate the implementation of this agreement, the cooperating agencies each agree to designate a liaison | | |
| officer to serve on a standing committee that will meet as needed. Matters for consideration by the standing | | |
| committee are to include a review of each agency's participation in this agreement, an assessment of the | | |
| agreement's effectiveness, and modifications that might be necessary. As appropriate, the committee will | | |
| address urgent issues and specific cases of serious non- | compliance. | |
| | | |
| Name: Trust Chairman | Name: Chief | |
| Date:at | Date:at | |
| Dateat | Dateat | |
| Signature: | Signature: | |
| 8 | 8 | |
| Name: Secretary of the Trust | Name: Director FD | |
| Date:at | Date:at | |
| | | |
| Signature: | Signature: | |
| | | |
| | | |
| | | |
| | | |





Form 14: MoU for a Joint Management Agreement with the Fisheries Department

| 1 PARTIES |
|--|
| This document, proposes a Co-Management Agreement betweenTrust of the |
| |
| 2 PURPOSE An application to the FD to register the riparian areas of the |
| 2 PACIZCIDALINID |
| The Fisheries Act Chapter 200 of the Laws of Zambia of 1974 provided for fisheries management at a departmental level but did not incorporate community participation. Before that it was administered from 1941 by the Department of Game and Tsetse Control. The amended Fisheries Act of 2007 gives powers to the Minister to declare Fisheries Management Areas (FMAs) through a statutory instrument – in consultation with riparian communities, and subject to the provision of a fisheries management plan, with special care intended for endemic fish. No fishing is allowed within an FMA without a licence. The Minister appoints Fisheries Management Committees (FMC) (as co-management structures in Fisheries Management Areas (FMA)), with members comprising six villagers, one chief's representative, one from the local authority, one from an NGO operating in the FMA, one each from the fishing industry and aquaculture industry, plus two other persons, vetted by the Minister who also appoints the Chairman and Vice-Chairman. The FMA may, in consultation with the Director, enter into co-management agreement with industrial fishing companies, or with NGOs. The Committee is responsible for the production of the management plan and the creation of a fund into which will be paid all licence money for the economic and social well-being of the riparian community. The committee is required to prepare an annual report and audited accounts to be submitted to the Minister. |
| The Act also covers aquaculture, requiring that ventures be licenced and operate under certain conditions. Government is required to provide an Aquaculture Development Plan for Zambia. |
| 4 PURPOSE OF THIS AGREEMENT To register a CMA with the Department of Fisheries which, inter alia, would involve the application by the |
| 5 THE PRINCIPLES See Background. |
| 6 MUTUAL INTEREST OF THE PARTIES To ensure the conservation of the |

Form 14 - continued

7 AGENCY RESPONSIBILITIES

- i) The Trust to take on full responsibility, through a Fisheries Management Committee, for the management of the fishery in the Fisheries Management Area
- ii) The Fisheries Department to enter into a co-management agreement with the Trust for the management of the fishery in the FMA

8 SHARED CONCERNS

The Parties share a common concern for the conservation of fish resources and the benefits to be derived from its legal usage for the primary stakeholders.

9 EXPECTED OUTCOMES

- i) The protection and scientifically managed sustained use of a national asset
- ii) Derived ecological benefit flows from its conservation
- iii) A stable and increasing source of income for communities'
- iv) A well managed FMA based on sound conservation principles
- v) Support to GRZ policies of de-centralisation, as well the Fisheries Department's progressive policies around co-management of the fishery

10 FISHERIES MANAGEMENT COMMITTEE

Subject to the FDs agreement, to recommend the FMAs declaration by the Minister.

| Name: For Customary Authority: Chief | Name: For District Council Representative |
|--------------------------------------|---|
| Date: at | Date: at |
| Signature: | Signature: |
| Name: For Chairman of theTrust | Name: For the Director of Fisheries |
| Date: at | Date: at |
| Name: For Secretary to the Trust | |
| Date: at | |
| Signature: | |
| | |





Form 15: Community application for a Fisheries Management Committee

| CUSTOMARY AUTHORITY | SIGNATURE | REG. CARD | DATE |
|---------------------------------|-----------|-----------|------|
| DISTRICT | SIGNATURE | REG. CARD | DAIL |
| PROVINCE | | | |
| FISHERIES MANAGEMENT AREA | | | |
| CUSTOMARY COMMUNITY SOCIETY | | | |
| COSTOMART COMMONTT SOCIETY | | | |
| FISHERIES MANAGEMENT COMMITTEE | | | |
| APPOINTED MEMBERS | | | |
| CHIEF'S REPRESENTATIVE | | | |
| DISTRICT COUNCIL REPRESENTATIVE | | | |
| THE STAKEHOLDER SOCIETY | | | |
| FISHING INDUSTRY | | | |
| AQUACULTURE INDUSTRY | | | |
| ELECTED MEMBERS | | | |
| VILLAGE FISHERMAN | | | |
| MINISTER APPROVED MEMBERS | | | |
| MEMBER (SOCIETY) | | | |
| MEMBER (SOCIETY) | | | |
| CHAIRMAN | | | |
| VICE-CHAIRMAN | | | |





Form 16: MoU for a Co-Management Agreement with the Department of Water Affairs

1 PARTIES

This document, proposes a co-agreement between the......Trust representing theChiefdom and the Department of Water Affairs.

2 PURPOSE

For the WAD and the Trust to develop a co-management agreement for the optimum management of the chiefdom's water resources.

3 BACKGROUND

Water resources being an essential support to life, common resource regimes and the ecology, there is a need for the creation of a public-private partnership for its management, whereby chiefdoms take on their responsibilities for its care and management A major support project to Government concludes that in Zambia there is a:

- i) Lack of decentralised and sustainable structures that are accessible and provide for the participation of stakeholders/communities.
- ii) Lack of effective awareness programmes for all levels of water users/stakeholders including policy makers and legislators.
- iii) Lack of involvement of traditional authorities in water resources management (customary law).

N/B: Component 3 of the Danida Water Sector Programme Support (WSPS) is specifically addressing how to link proposed catchment/sub-catchment based institutions for water resources management to existing decentralised local government institutions such as the local authorities.

4 THE PRINCIPLES

The parties to abide by the following principles in the development of an agreement:

- i) The Department to consult the Trust on all matters affecting the water resources of the chiefdom
- ii) The Trust to co-operate with the Department on all water affairs and related matters

5 EXPECTED OUTCOMES

- i) The protection of a national asset
- ii) Establishing lines of communication between a chiefdom and Government
- iii) A contribution to customary community development and the conservation of the chiefdom
- iv) Support to GRZ policies of de-centralisation and public-private partnerships



Form 16 – continued

6 STANDING COMMITTEE

To facilitate the implementation of this agreement, the cooperating agencies each agree to designate a liaison officer to serve on a standing committee that will meet as needed.

| Name: For Customary Authority: Chief | Name: For District Council Representative |
|--|--|
| Date: at | Date: at |
| Signature: | Signature: |
| Name: For Chairman of theTrust | Name: For the Director of Water Affairs |
| Date: at | Date : at |
| Signature: | Signature: |
| Name: For Secretary to the Trust Date: at Signature: | |





Form 17: Chiefdom landuser rights assignment under Lands (perpetual succession) Act

| CHIEFDOM LANDUSER RIGHTS ASSIGNMENT | |
|-------------------------------------|--|
| of | |
| COMMUNITY GAME RANCH | |
| CHIEFDOM | |
| by | |
| | |
| TO | |
| | |
| | |
| DISTRICT | |
| ZAMBIA | |



Form 17 – continued

WHEREAS the Customary Authority having been granted the 'right to use and occupation of land under customary tenure' (Lands Act of 1995: Section 8(3), has vested land known as the Community Game Ranch in that registered institution known as theTrust pursuant to the provisions of the Land (Perpetual Succession) Act of the Laws of Zambia.

WHEREAS the Chiefhas made application to ZAWA for registration of the Community Game Ranch, land vested in the Trust, with the rights to receive hunting quotas should the land remain unfenced (paying the requisite safari licence), or, if fenced, to receive the ownership of the wildlife stocks - for which a certificate of ownership will be issued and renewed annually - and also receiving a game ranch outfitters licence every year entitling it to conduct non-resident hunts.

WHEREAS the assignee is Indianrous of obtaining the assignment of certain contractual rights in respect of land from the Trust more particularly described in the terms and conditions hereunder specified.

WHEREAS the assignee has agreed to be assigned the contractual rights defined below.

Definitions

v)

- i) Assignor means theTrust
- ii) Assignee means
- iii) Chiefdom means land under customary control
- iv)means the Community Game Ranch located
 - Game ranch outfitters licence means the permit issued by ZAWA entitling a fenced ranch to conduct non-resident hunting
- vi) Landsafe means the Landsafe customary commons model for development
- vii) Assignor means Chief and his headmen
- viii) **Quota** means the number of animals that can be hunted in a given year without reducing the ability of the remaining population to maintain or increase its numbers in the future
- ix) **Safari licence** means the permit issued by ZAWA entitling the unfenced ranch to conduct hunting safaris for non-residents
- x) **Trust** means theTrust

Form 17 - continued

1 REPRESENTATIONS AND WARRANTIES

2 COMMENCEMENT

The commencement of this assignment is

3 GOVERNING LAW

This agreement shall be governed by and construed in accordance with the Laws of the Republic of Zambia.

4 ASSIGNEE OBLIGATIONS

The assignee covenants with the Trust and thechiefdom as follows:

- i) To ensure that he adheres to all existing laws
- ii) To provide a liaison officer as the representative
- iii) To adhere to a fire management and landuse plan with the Trust
- iv) To allow any Trust official, Chief, or Government representatives of those departments responsible for wildlife, forestry, fisheries and water to inspect the property, with reasonable notice to be given of such intention
- v) To actively patrol and safeguard the area
- vi) To employ persons in accordance with Laws of Zambia, or through the Community Resource Board by secondment.
- - Annual thatching harvest
 - Honey-production concessionary rights
 - Seasonal medicinal plant harvest
 - An annual game harvesting quota for meat, subject to game stocks, and to be taken off by ranch management
 - Community access to hydro power
 - Community access to religious and traditional heritage sites

Or any other rights normally accorded the residents of the chiefdom who have full access to those renewable resources not owned by Government

5 TRUST CONTRACTUAL OBLIGATIONS

The Trust and thelocal customary community and its Customary Authority covenants with the assignee as follows:

- i) That the Trust adheres to all existing laws, fully recognizing its rights and that of the assignee under the Law of Contract
- ii) That the assignee be assigned the full rights to the use and enjoyment of the, in particular for the sustained use of its natural resources
- iii) That it agrees to communicate any problems it may be encountering with the assignee or its representative and workers and activities in an open and ready manner

Form 17 - continued

6 PERFORMANCE SECURITY BOND AND FEES

The Assignee undertakes to guarantee the following:

7 CUSTOMARY COMMUNITY BENEFITS

- i) To provide as full local employment as possible
- ii) To maintain public liability insurance
- iii) To allow controlled access to renewable resources

8 DISPUTE RESOLUTION AND ARBITRATION

The Parties resolve, in the event of a dispute, to adhere to the following:

- i) Good faith negotiations in the customary manner
- ii) Recourse to the Nyalugwe Advisory Committee
- iii) Mediation
- iv) Arbitration under the Arbitration Act No. 19 of 2000, which implements the model law on international commercial arbitration adopted by the United Nations Commission on International Trade Law in 1985 as well as the Geneva Protocol on Arbitration Clauses and the Geneva Convention on the Execution of Foreign Arbitral Awards and the New York Convention on the Recognition and Enforcement of Foreign Arbitral Awards
- v) Adjudication in the Zambian Courts

9 VARIATION OF THE AGREEMENT

A change in the agreement would require the agreement of the Parties hereto in writing.

| Name: For Customary Authority: Chief | Name: Secretary:Trust |
|---|---|
| Date: at | Date: at |
| Name: Chairman, | Name: Chairman,Community Resource Board |
| Signature: | Date: at |
| Name: For | Signature: at Signature: |





Form 18: Chiefdom landuser cession to Trust Company limited by guarantee

| CHIEFDOM LANDUSER CESSION |
|---|
| of |
| OI |
| COMMUNITY GAME RANCH |
| СНІЕБОМ |
| by |
| TRUST |
| ТО |
| ••••••••••••••••••••••••••••••••••••••• |
| |
| DISTRICT |
| ZAMBIA |
| |



Form 18 - continued

WHEREAS the Chiefhas made application to ZAWA for registration of the Community Game Ranch, land vested in the Trust, with the rights to receive hunting quotas should the land remain unfenced (paying the requisite safari licence), or, if fenced, to receive the ownership of the wildlife stocks - for which a certificate of ownership will be issued and renewed annually - and also receiving a game ranch outfitters licence every year entitling it to conduct non-resident hunts.

WHEREAS the assignee is Indianrous of obtaining the assignment of certain contractual rights in respect of land from the Trust more particularly described in the terms and conditions hereunder specified.

WHEREAS the assignee has agreed to be assigned the contractual rights defined below.

Definitions

- i) Usufruct lessorCustomary Authority
- ii) Assignee means
- iii) Chiefdom means land under customary control
- iv)means the Community Game Ranch located
- v) **Game ranch outfitters licence** means the permit issued by ZAWA entitling a fenced ranch to conduct non-resident hunting
- vi) Landsafe means the Landsafe customary commons model for development
- vii) Assignor means Chief and his headmen
- viii) **Quota** means the number of animals that can be hunted in a given year without reducing the ability of the remaining population to maintain or increase its numbers in the future
- ix) **Safari licence** means the permit issued by ZAWA entitling the unfenced ranch to conduct hunting safaris for non-residents
- x) **Trust** means theTrust

Form 18 - continued

1 REPRESENTATIONS AND WARRANTIES

OntheTrust, a registered institution representing theCustomary local community, received its certificate of incorporation from the Registrar of Companies.

2 COMMENCEMENT

The commencement of this

3 GOVERNING LAW

This agreement shall be governed by and construed in accordance with the Laws of the Republic of Zambia.

4 LESSEE OBLIGATIONS

The assignee covenants with the Trust and thechiefdom as follows:

- i) To ensure that he adheres to all existing laws
- ii) To provide a liaison officer as the representative
- iii) To adhere to a fire management and landuse plan with the Trust
- iv) To allow any Trust official, Chief, or Government representatives of those departments responsible for wildlife, forestry, fisheries and water to inspect the property, with reasonable notice to be given of such intention
- v) To actively patrol and safeguard the area
- vi) To employ persons in accordance with Laws of Zambia, or through the Community Resource Board by secondment.
- vii) In compliance with the landuse plan, and under Trust permit only, to allow, as much as possible, the continued operation by the......customary community of controlled communal access rights to natural resources on:
 - Annual thatching harvest
 - Honey-production concessionary rights
 - Seasonal medicinal plant harvest
 - An annual game harvesting quota for meat, subject to game stocks, and to be taken off by ranch management
 - Community access to hydro power
 - Community access to religious and traditional heritage sites

Or any other rights normally accorded the residents of the chiefdom who have full access to those renewable resources not owned by Government

5 TRUST CONTRACTUAL OBLIGATIONS

The Trust and thelocal customary community and its Customary Authority covenants with the lessee as follows:

- i) at the Trust adheres to all existing laws, fully recognizing its rights and that of the assignee under the Law of Contract
- ii) That the lessor be assigned the full rights to the use and enjoyment of the, in particular for the sustained use of its natural resources
- iii) That it agrees to communicate any problems it may be encountering with the assignee or its representative and workers and activities in an open and ready manner

Form 18 - continued

6 PERFORMANCE SECURITY BOND AND FEES

The Lessor undertakes to guarantee the following:

7 CUSTOMARY COMMUNITY BENEFITS

- i) To provide as full local employment as possible
- ii) To maintain public liability insurance
- iii) To allow controlled access to renewable resources

8 DISPUTE RESOLUTION AND ARBITRATION

The Parties resolve, in the event of a dispute, to adhere to the following:

- Good faith negotiations in the customary manner
- ii) Recourse to the......Advisory Committee
- iii) Mediation
- iv) Arbitration under the Arbitration Act No. 19 of 2000, which implements the model law on international commercial arbitration adopted by the United Nations Commission on International Trade Law in 1985 as well as the Geneva Protocol on Arbitration Clauses and the Geneva Convention on the Execution of Foreign Arbitral Awards and the New York Convention on the Recognition and Enforcement of Foreign Arbitral Awards
- v) Adjudication in the Zambian Courts

9 VARIATION OF THE AGREEMENT

A change in the agreement would require the agreement of the Parties hereto in writing.

| Name: For Customary Authority: Chief | Name: Secretary,Trust |
|---|---|
| Date: at | Date: at |
| Signature: | Signature: |
| Name: Chairman,Trust | Name: Chairman,Community Resource Board |
| Date: at | |
| Signature: | Date: at Signature: |
| Name: For | |
| Date: at | |
| Signature: | |



Form 19: Project proposal template

1 PROJECT NAME

The name should capture the project's broad objectives

2 PROTECTED AREA

Supported by a map

- 3 IMPLEMENTING PARTNER
- 4 PROPOSED PROJECT DURATION
- 5 BRIEF BACKGROUND

6 PARTNERS INVOLVED IN PROJECT AND THEIR RESPECTIVE RESPONSIBILITIES

These are the principal members – having signed an MoU - promoting accountability and good practice, requiring a degree of mutuality and care in order to respect, protect, and make use of a fellow partner organisation's identity. Partners must be tolerant of sharing some power and be willing to adapt and be flexible in the interests of maximizing the project partnership efficiency in order to achieve the project's agreed objectives.

7 STAKEHOLDERS INVOLVED IN PROJECT

Briefly describe stakeholder groups that will be involved in the project, and also those that will be affected by the project.

8 PROJECT RATIONAL AND OBJECTIVES

State why the project is being put forward and just what it proposes to achieve.

9 EXPECTED OUTCOMES

Describe the expected results of the project.

10 PLANNED OUTPUTS AND ACTIVITIES TO ACHIEVE OUTCOMES

Identify what specific outputs (name of main task) and activities (actions under each main task) the project will undertake in order to achieve the expected outcomes (results) i.e. (main heading: Outputs; sub-heading: Activity).

11 TIME FRAME FOR OUTPUTS AND ACTIVITIES SO AS TO ACHIEVE OUTCOMES

This should identify what specific activities and their completion dates the project will undertake to achieve expected outcomes in detail, for example.

| Output: Activity | Responsible person /org. | Duration | Expected start and completion dates |
|------------------|--------------------------|----------|-------------------------------------|
|------------------|--------------------------|----------|-------------------------------------|

12 ESTIMATED BUDGET

This should include the estimated project development and executing costs over the projected life of the project and should indicate both the potential sources of funding as well as funds already sourced.

13 MONITORING AND EVALUATION OF PROJECT PROGRESS

This should identify the way in which the results of the project will be assessed against the stated objectives.

14 ILLUSTRATION OF PROTECTED AREA

Provide a map

15 FINANCIAL PROJECTIONS (THREE YEARS)