



UNIVERSITEIT VAN PRETORIA  
UNIVERSITY OF PRETORIA  
YUNIBESITHI YA PRETORIA

## **DETECTING LAND-COVER CHANGE USING MODIS TIME-SERIES DATA**

By

**Waldo Kleynhans**

Submitted in partial fulfillment of the requirements for the degree

Philosophiae Doctor (Electronic)

in the

Faculty of Engineering, Built Environment and Information Technology

Department of Electrical, Electronic and Computer Engineering

UNIVERSITY OF PRETORIA

August 2011

## SUMMARY

---

### DETECTING LAND-COVER CHANGE USING MODIS TIME-SERIES DATA

by

**Waldo Kleynhans**

Promoter: Prof J.C. Olivier

Department: Electrical, Electronic and Computer Engineering

University: University of Pretoria

Degree: Philosophiae Doctor (Electronic)

Keywords: autocorrelation function, change detection, extended Kalman Filter, human settlements, hyper-temporal, land-cover change, MODIS, time-series

Anthropogenic changes to forests, agriculture and hydrology are being driven by a need to provide water, food and shelter to more than six billion people. Unfortunately, these changes have a major impact on hydrology, biodiversity, climate, socio-economic stability and food security. The most pervasive form of land-cover change in South Africa is human settlement expansion. In many cases, new human settlements and settlement expansion are informal and occur in areas that are typically covered by natural vegetation. Settlements are infrequently mapped on an ad-hoc basis in South Africa which makes information on when and where new settlements form very difficult. Determining where and when new informal settlements occur is beneficial from not only an ecological but also a social development standpoint. The objective of this thesis is to make use of coarse resolution satellite data to infer the location of new settlement developments in an automated manner by making use of machine learning methods. The specific sensor that is considered in this thesis is the MODIS sensor on-board the Terra and Aqua satellites. By using samples taken at regular intervals (8 days), a hyper-temporal time-series is constructed and consequently used to detect new human settlement formations in South Africa. Two change detection methods are proposed in this thesis to achieve the goal of automated new settlement development detection using this high-temporal coarse resolution satellite time-series data.

## OPSOMMING

---

### BEPALING VAN LANDELIKE VERANDERING DEUR GEBRUIK TE MAAK VAN MODIS TYDREEKS DATA

deur

**Waldo Kleynhans**

Promotor: Prof J.C. Olivier

Departement: Elektriese, Elektroniese en Rekenaar Ingenieurswese

Universiteit: Universiteit van Pretoria

Graad: Philosophiae Doctor (Elektronies)

Sleutelwoorde: autokorrelasie funksie, verandering deteksie, extended Kalman filter,  
menslike nedersettings, hiper-temporaal, landelike verandering, MODIS, tyds-reeks

Menslike verandering wat 'n invloed het op die natuurlike toestand van woude, landbou en hidrologie word gedryf deur die noodsaakliheid om water, kos en behuising aan meer as 6 biljoen mense te verskaf. Hierdie verandering het 'n geweldige impak op hidrologie, biodiversiteit, klimaat, sosio-ekonomiese stabiliteit en voedselsekuriteit. Die mees algemene landelike verandering in Suid-Afrika is die uitbreiding van menslike nedersettings. Nuwe menslike nedersettings en die uitbreiding hiervan is dikwels informeel en kom voor in areas wat tipies bedek is met natuurlike plantegroei. In Suid-Afrika is dit baie moeilik om informasie te bekom oor waar en wanneer nuwe nedersettings voorkom aangesien hierdie informasie nie gereeld opgedateer word nie. Die bepaling van waar en wanneer nuwe nedersettings voorkom is voordelig vanuit beide 'n ekologiese sowel as 'n sosiale-ontwikkelings standpunt. Die doel van hierdie proefskrif is om te bepaal waar nuwe nedersettings ontwikkel deur gebruik te maak van medium resolusie sateliet data. Hierdie inligting kan op 'n outomatiese manier bekom word deur gebruik te maak van masjien-leer metodes. Die data wat gebruik word om die navorsing vir hierdie proefskrif uit te voer is verkry van die MODIS sensor op die Terra en Aqua satelite. Deur gebruik te maak van observasies wat elke 8 dae beskikbaar is, is 'n hiper-temporele tydreeks saamgestel. Hierdie tydreeks is gebruik om te bepaal waar nuwe menslike nedersettings in Suid-Afrika gevorm het. Twee metodes word voorgestel in hierdie proefskrif om te bepaal waar nuwe nedersettings vorm.



*This thesis is dedicated to:*

*God Almighty, for all the countless opportunities that He has given me;  
My loving family and friends, for their support, encouragement and good advice*

*Fame and fortune is like the wind, here one day and gone the next;  
what is man to do but this: love God and those around you;  
this is man's most meaningful pursuit.*

## ACKNOWLEDGEMENT

---

The author would like to thank the following people and institutions without whose help this thesis would not have been possible:

- The Council for Scientific and Industrial Research for supporting me on their PhD studentship program.
- My study leader Prof J.C. Olivier, for all the advice and guidance he has given me throughout the course of my studies.
- My co-promoters, Konrad Wessels and Frans van den Bergh, for all their insight, advice and help.
- My fellow student Brian Salmon for his useful suggestions and advice.
- The University of Pretoria's computer clusters maintained by Hans Grobler which greatly aided in my simulations.
- Karen Steenkamp for providing me with the necessary data used for training and validation purposes.
- Willem Marias for providing me with custom developed image processing software.

## LIST OF ABBREVIATIONS

Autocorrelation Function	ACF
Atmospheric Infrared Sounder	AIRS
Advanced Microwave Scanning Radiometer-EOS	AMSR-E
Advanced Microwave Sounding Unit	AMSU-A
Advanced Space borne Thermal Emission and Reflection Radiometer	ASTER
Algorithm Theoretical Basis Document	ATBD
Bidirectional Reflection Distribution Function	BRDF
Change Vector Analysis	CVA
Clouds and the Earth's Radiant Energy System	CERES
Discrete Fourier Transform	DFT
Disturbance Index	DI
Extended Kalman Filter	EKF
Earth Resource Satellite	ERTS
European Remote Sensing	ERS
Enhanced Thematic Mapper Plus	ETM+
Enhanced Vegetation Index	EVI
Fast Fourier Transform	FFT
Fraction of Absorbed Photosynthetically Active Radiation	FAPAR
Geographical Information System	GIS
Global Earth Observation System of Systems	GEOSS
Group on Earth Observations	GEO
High-Resolution Visible	HRV
Humidity Sounder for Brazil	HSB
Indian Remote Sensing	IRS
Infrared	IR
Instantaneous Field of View	IFOV

Japanese Earth Resource Satellite	JERS
Low earth orbit	LEO
Multi-angle Imaging SpectroRadiometer	MISR
Measurements of Pollution in the Troposphere	MOPITT
National Land-Cover	NLC
Net Primary Productivity	NPP
Neural Network	NN
Normalized Difference Vegetation Index	NDVI
Photosynthetically Active Radiation	PAR
Point Spread Function	PSF
Principal Component Analysis	PCA
Probability Density Function	PDF
Radiative Transfer Model	RTM
Signal-to-noise Ratio	SNR
Satellite Pour l'Observation de la Terre	SPOT
Support Vector Machine	SVM
Synthetic Aperture Radar	SAR
Television and Infrared Observation Satellite	TIROS
Univariate Image Differencing	UID
United Nations	UN
Ultra Violet	UV
Vegetative Cover Conversion	VCC
Vegetation Index	VI

# CONTENTS

<b>CHAPTER 1 - INTRODUCTION</b>	<b>1</b>
1.1 Problem statement . . . . .	1
1.2 Objective of this thesis . . . . .	2
1.3 Proposed solution . . . . .	4
1.4 Outline of this thesis . . . . .	7
<b>CHAPTER 2 - REMOTE SENSING DATA FOR LAND-COVER CHANGE DETECTION</b>	<b>9</b>
2.1 Early history of remote sensing . . . . .	10
2.1.1 Military reconnaissance satellites . . . . .	11
2.1.2 Manned space flight . . . . .	11
2.1.3 Meteorological satellites . . . . .	12
2.1.4 Earth resources satellites . . . . .	12
2.2 Electromagnetic radiation . . . . .	14
2.2.1 Interaction of electromagnetic radiation with the atmosphere . . . . .	16
2.2.2 Interaction of electromagnetic radiation with a surface . . . . .	18
2.3 Resolution . . . . .	23
2.3.1 Spatial . . . . .	23
2.3.2 Spectral . . . . .	26
2.3.3 Temporal . . . . .	26
2.3.4 Radiometric . . . . .	28
2.4 Choosing a remote sensing system . . . . .	28
2.5 MODerate-resolution imaging spectroradiometer . . . . .	30
2.6 Vegetation indices . . . . .	35
2.6.1 Normalized difference vegetation index . . . . .	35
2.6.2 Enhanced vegetation index . . . . .	38
2.6.3 Using vegetation indices for land cover change detection . . . . .	38
2.7 Change detection methods . . . . .	39
2.7.1 Hyper-temporal time-series analysis . . . . .	41

## Contents

---

2.7.2	MODIS land cover change products . . . . .	44
2.8	Summary . . . . .	46
<b>CHAPTER 3 - THE EXTENDED KALMAN FILTER</b>		<b>47</b>
3.1	Introduction . . . . .	47
3.2	Conceptual state-space filtering solution . . . . .	48
3.3	Kalman filter . . . . .	49
3.4	Extended Kalman filter . . . . .	51
3.5	Example of an EKF tracking application . . . . .	52
<b>CHAPTER 4 - IMPROVING LAND-COVER SEPARABILITY USING AN EXTENDED KALMAN FILTER</b>		<b>56</b>
4.1	Introduction . . . . .	57
4.2	Land-cover class separation using the FFT . . . . .	58
4.3	Triply modulated cosine model . . . . .	59
4.4	New class similarity metric . . . . .	60
4.5	Sliding window FFT approach . . . . .	62
4.6	Summary . . . . .	64
<b>CHAPTER 5 - DETECTING LAND-COVER CHANGE USING MODIS TIME-SERIES DATA</b>		<b>66</b>
5.1	Introduction . . . . .	66
5.2	EKF change detection method . . . . .	67
5.2.1	Change metric formulation . . . . .	67
5.2.2	Off-line optimization phase . . . . .	70
5.2.3	Operational phase . . . . .	73
5.3	Temporal ACF method . . . . .	74
5.3.1	Change metric formulation . . . . .	74
5.3.2	Off-line optimization phase . . . . .	76
5.3.3	Operational phase . . . . .	77
5.4	Annual NDVI differencing method . . . . .	78
5.5	Summary . . . . .	81
<b>CHAPTER 6 - RESULTS</b>		<b>82</b>
6.1	Identifying examples of settlement development . . . . .	82
6.1.1	Identification of change pixels . . . . .	82
6.1.2	Identification of no-change pixels . . . . .	84

## Contents

---

6.1.3	Validation of MODIS pixels using Google Earth . . . . .	84
6.2	Improving class separability using an extended Kalman filter . . . . .	85
6.2.1	Study area used for testing class separability . . . . .	85
6.2.2	Separability results and discussion . . . . .	86
6.3	Detecting land-cover change in the Limpopo province of South Africa . . . . .	93
6.3.1	Evaluation of the EKF change detection method in Limpopo . . . . .	94
6.3.2	Evaluation of the temporal ACF change detection method in Limpopo . . . . .	97
6.3.3	Evaluation of the NDVI differencing method in Limpopo . . . . .	99
6.4	Detecting land-cover change in the Gauteng province of South Africa . . . . .	99
6.4.1	Evaluation of the EKF change detection method in Gauteng . . . . .	100
6.4.2	Evaluation of the temporal ACF change detection method in Gauteng . . . . .	102
6.4.3	Evaluation of the NDVI differencing method in Gauteng . . . . .	104
6.5	Discussion of the change detection methods . . . . .	106
6.5.1	Discussion of the EKF change detection method results . . . . .	106
6.5.2	Discussion of the temporal ACF change detection method results . . . . .	110
6.5.3	Discussion of the NDVI differencing method . . . . .	112
6.6	Conclusion . . . . .	113
<b>CHAPTER 7 - CONCLUSION AND FUTURE RESEARCH</b>		<b>115</b>
7.1	Concluding remarks . . . . .	115
7.2	Future research . . . . .	119
<b>REFERENCES</b>		<b>121</b>
<b>APPENDIX A - PUBLICATIONS EMANATING FROM THIS THESIS AND RELATED WORK</b>		<b>129</b>
A.1	Papers that appeared in Thomson Institute for Scientific Information (ISI) journals . . .	129
A.2	Papers submitted to Thomson ISI journals . . . . .	129
A.3	Papers published in refereed accredited conference proceedings . . . . .	130
A.4	Invited conference papers in refereed accredited conference proceedings . . . . .	130