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APPENDIX A

Enquiries: MA Mokoena 8 Rugby Street
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Fax No: 018 389 2415 2745

E-Mail: mokoenam@uniwest.ac.za

16th May 2002

Quality Assurance Directorate Department of Education North West Province

ATTENTION: Mr. I.S. Molale

REQUEST TO CONDUCT RESEARCH AROUND SCHOOLS IN THE NORTH WEST PROVINCE FOR DOCTORAL STUDIES

With reference to the above-mentioned issue, I hereby request your office to grant me permission to conduct research in the North West Province. The topic of the study is "THE EFFECTS OF DEVELOPMENTAL APPRAISAL POLICY ON TEACHER LEARNING".

I do commit myself to be ethical and professional during the period of my interaction with the schools for obtaining information.

Yours sincerely			
MA Mokoena			

APPENDIX B

A CONSENT FORM

Data collection for the study

Kindly be informed that I obtained written permission from the Chief Directorate Quality Assurance to conduct research for my studies i.e. Doctoral Degree. The study is on the Implementation of DAS Policy in Schools. You are therefore requested to participate in this study of policy implementation. The following details are provided for you to make a decision to participate:

- Should you willingly decide to take part, your involvement will be in the semistructured interview, maintaining a diary, writing a critical incident report and a free writing schedule. With your agreement, I will use a recording device for the interaction together with a notepad for the purpose of getting accurate information. Furthermore, I will ensure that your name and that of your school are not disclosed.
- Your participation in this research will be highly appreciated. Please note that I conduct the research as a doctoral student in the University of Pretoria.
- O Having read the contents of this communication, you are requested to attach your signature as proof of consent. If you have any other problems or information pertaining to any studies, feel free to contact me at the address or telephone number given below as follows:

Ms MA Mokoena University of North West Faculty of Education P/Bag X2046 Mmabatho 2735

Tel: 018 – 3892080 Cell 0726341056 8 Rugby Street Riviera Park Mafikeng 2745

TEACHER FREE WRITING SCHEDULE

Dear Teacher: Please write down your responses to each of the following questions. Please write down whatever you think or feel is an appropriate answer to each question.

1.	What is your understanding of the Developmental Appraisal System (DAS)?
2.	In your view, what was the Developmental Appraisal System as a policy responding to (or, Why was DAS necessary)?
3.	What in your opinion are the main gaols of the DAS policy?

4.	What do you regard as the main challenges facing the implementation of DAS?
	5. What opportunities (if any), do you think DAS provides for teacher learning and
	development?

APPENDIX C2

TEACHER BIOGRAPHICAL DATA

The purpose is to explore teachers' professional biography. The information teachers provide will be treated with absolute confidentiality and will be used for research purposes only.

PART A

FILL IN OR CROSS THE APPROPRIATE OPTION

1. Designation of teacher

Teacher	Principal	Deputy -	Head of	Other
level		Principal	Department	(Specify)
1	2	3	4	5

2. Main teaching subject area

Commerce	Humanities	Maths/Scien	Technical/Ski	Languages	Other
		ce	lls		(Specify)
1	2	3	4	5	6

3. Age

Under 25	25 - 29	30 - 34	35 - 39	40 - 49	50 - 59
1	2	3	4	5	6

4. Teaching experience in years

0-5	6-10	11-15	16-20
1	2	3	4

5. Gender

Male	Female
1	2

6. Formal qualifications (completed)

2 year	3 year	Degree only	Degree and	More than	Other
Diploma	Diploma		Diploma	one degree	(Specify)
Only	only				
1	2	3	4	5	6

7. Type of school

Primary	Middle	Secondary/High
1	2	3

8. Description of school

Urban	Rural	Farm
1	2	3

APPENDIX C3

SEMI-STRUCTURED INTERVIEW SCHEDULE

The purpose of this schedule is to elicit teachers' understanding on Developmental Appraisal System (DAS) policy and to establish how these understandings influenced their learning, which may contribute to changes in classroom practice during the implementation of the policy in the school context.

Before the appraisal process

- 1. What experiences did you have of teacher appraisal or teacher evaluation before DAS?
 - What did those earlier experiences of appraisal mean to you?
 - How did they affect your work?
 - In what way did they contribute / not to your development as a teacher?
- 2. What is your understanding of DAS?
 - What does it mean to you?
 - Did it broaden your understanding of appraisal?
 - In what way did it change you?
- 3. The DAS policy states that DAS is to bring about teacher effectiveness and professional development. What do you understand by teacher effectiveness and professional development?
- 4. What do you regard as the major problems facing the implementation of DAS?

Self – Appraisal

- 5. What does self appraisal mean to you?
- 6. Did you receive any training for the process of self appraisal?
 - If yes, by whom and for how long?
 - When and where was the training?
 - Describe the nature of the training received
 - How useful was the training in preparing you for the process of self –
 appraisal?
- 7. Did the process of self appraisal affect your work?
 - Did it change your teaching?

- Did it assist in your development?
- What difficulties did you experience?
- What specifically did you learn as a result of the self-appraisal?

After the Self – Appraisal

- 9. What actually happened during the process of self appraisal?
 - Principal conducted classroom observations
 - Specially prepared lessons were presented
- 10. What are the effects of what happened during the self appraisal phase?
 - Teachers began to prepare more seriously for panel appraisal
 - Some teachers became motivated/ de-motivated

Peer Appraisal

- 10. What did peer appraisal mean to you?
- 11. What kind of preparation did you receive for the process of peer appraisal?
- 12. Did the process of peer appraisal affect your work?
 - Did it change your teaching?
 - Did it assist in your development?
 - What difficulties did you experience?

After Peer Appraisal

- 13. What happened during the process of peer appraisal?
- 14. What specifically did you learn as a result of peer appraisal?

Appraisal by Panel Members

- 15. What did appraisal by panel members mean to you?
- 16. Did you receive any training in preparation for appraisal by panel members?
 - If yes, by whom and for how long?
 - When and where was the training?
 - Describe the nature of the training received
 - How useful was the training in preparing you for the appraisal by the panel?
- 17. Did you receive sufficient support from your colleagues/principal/governing body/ in preparation for the process?
- 18. Did the appraisal by the panel affect your work?
 - Did it change your teaching?
 - Did it assist in your development?
 - What difficulties did you experience?

• What specifically did you learn as a result of the panel appraisal?

After the appraisal by panel members

- 19. What happened during the appraisal by panel members?
- 20. How did you feel about the review that you had to complete in preparation for the post appraisal meeting?
- 21. What was said with regard to the focus areas during the oral report stage?
- 22. How did you feel during the period following the appraisal, waiting to receive the formal report?
- 23. How useful were the comments received from the formal report?
 - What are your views with regard to the issues raised by the panel members?
 - Lack of comfort or knowledge of DAS, how did it constrain your ability to use it as a site for teaching and learning (too abstract/too confusing)?
- 24. How did the comments from the panel impact on your learning as a teacher?

APPENDIX C4

TEACHER DIARIES

The purpose of the diary is to capture teachers' understanding of the Developmental Appraisal System as a policy and how the policy might have influenced their learning. Teachers are requested to maintain a (weekly) diary where they note the successes, failures, concerns, thoughts etc experienced as they are exposed to the implementation of DAS.

TEACHER DAIRY COMPOSITION AND CONSTRUCTION

The process of Developmental Appraisal System has the following phases: preparation phase for appraisal; self-appraisal, peer appraisal, appraisal by panel members and post appraisal by panel members

GUIDELINES

- You are requested to keep a diary for each of the 5 phases of the Developmental Appraisal System
- You are expected to make entries for each phase: one before, one during, one after each phase event (see diagram)
- Each diary entry must be 3-5 pages long

			before	during	after
Entries	Phase		1	2	3
ONE	Preparation for Appraisal		1	2	3
TWO	Self-Appraisal		1	2	3
THREE	Peer Appraisal		1	2	3
FOUR	Appraisal by Panel Members		1	2	3
FIVE	Post Appraisal by Panel Members	S	1	2	3

- Guiding questions for the "entries" per phase are provided below
- It is expected that your diary entries will focus on these questions
- It is important that you report on your conversations with others, your observations, and personal reflections of the processes as they unfolded.
 Emphasis should be on how these might have influenced your learning, and development as a teacher and led to changes in classroom practice.

GUIDING QUESTIONS FOR ENTRIES PER PHASE

Phase One: Preparation for appraisal

- What training has been received for doing/participating in the preparation for appraisal? (When; duration; nature of training)?
- How did participation in formal professional development workshops on DAS
 assist in acquiring knowledge useful to both classroom practice and
 professional development?
- How did collaboration or interaction with other teachers during the preparatory workshops reinforce your learning and allowed you to reflect on own practice?
- How did the workshop sessions reflect the ways you learn and improve practice?
- How does your own learning compare with the official rhetoric of DAS?
- When were you informed about the appraisal (who informed you (staff), by what means?
- What were your expectations about DAS?
- Is there an implementation plan to inform teachers who will be appraised?
- How did you prepare for it?
- What staff room discussions surfaced during that time? What were the effects on you?
- What did you as a teacher learn during the preparatory phase for appraisal?

Phase Two: Self-Appraisal

- How did you prepare for self -appraisal?
- What discussions surfaced during that time?

- How often are you expected to engage in the process?
- What did you learn during and after the processes?

Phase Three: Peer Appraisal

- How did you prepare for peer appraisal?
- What discussions surfaced during that time?
- How often are you expected to engage in the process?
- What did you as a teacher learn during the process of peer appraisal?

Phase Four: Appraisal by Panel Members

- Describe the panel members (size, areas of expertise etc)
- What was the duration of the appraisal?
- What staff room discussions surfaced during that time and how did they affect you?
- What were the challenges that were experienced during and after the process?
- How did they contribute to your development as a teacher?
- How did you feel about DAS as a policy considering or not considering context or implications for teaching and learning?
- What specifically did you learn during the appraisal by panel members?

Phase Five: Post Appraisal by Panel Members

- In what way did the appraisal by panel enable you to think about different teaching strategies/change in approach to communicate with learners/assist you in strengthening relationship with learners and colleagues?
- To what extent have you managed to improve your skills?
- How did the school environment/work context and DAS influence your development and professional self?

- How did the appraisal assist you to relate your learning to your work?
- In what way did they make it possible for you to access resources, which could improve or strengthen your learning?
- What have been the most difficult problems you have had to cope with during that period?
- Is there anything you need that could help you develop and become more effective?
- How do you feel about DAS policy considering context and or implications for teaching and learning?
- What did you as a teacher learn during the post appraisal by panel members?

NB: A template will be used for each phase of DAS

DILACE ONE, DDED A DATION FOR A DDD A ICAL

TEACHER DIARY FOR DEVELOPMENTAL APPRAISAL SYSTEM

PHASE ONE. PREPARATION FOR APPRAISAL
Entry number:
Date:
Day:
Time:
TEACHER REFLECTIONS

APPENDIX C5

CRITICAL INCIDENT REPORT

The purpose of the Critical Incident Report (CIR) is to determine how the Developmental Appraisal System as a policy influenced teachers' development and the way they learn and its effect on classroom practice. Teachers are requested to write critical incident reports of the process of DAS as it unfolded. These will be indepth descriptions of specific events or experiences in order to gain an understanding of their thoughts, feelings and behaviours.

GUIDELINES FOR TEACHERS

- You are requested to write a 2-3 page "report" on a critical incident in DAS
- Please write a full description on one significant or important experience or event (positive or negative) that influenced your learning and development as a teacher during the implementation of DAS
- Describe the experience or event in terms of circumstances before the experience or event, what exactly happened and how it affected you and what was the outcome or result, especially in terms of **your** learning as a teacher
- Provide instances of learning for you as a teacher that had really made a difference.

NB: Different numbers will be used for different teachers

TEACHER 'CRITICAL INCIDENT REPORT" FOR DEVELOPMENTAL

APPRAISAL SYSTEM

Entry number: 1A	
Date:	
Day:	

TEACHER NARRATIVE/STORY

CRITICAL INCIDENT REPORT (ADDENDUM)

This is a story of your experiences with Developmental Appraisal System.

CRITICAL EVENTS

- Concentrate on a few key events that may stand out in your story. This may be
 an important episode in your experiences with DAS. Please write about three
 specific events *(see 1, 2, and 3).
- Describe each event/experience in detail, e.g. what happened, where were you, who were involved, what you did, and what were you thinking and feeling during the event. How did the event affect you as a person and as a teacher?

EVENT 1: PEAK EXPERIENCE

- A peak experience would be a high point in your story about DAS. It may be positive emotions, or something uplifting.
- Describe the event
- What did you learn from the experience?

 How did it affect your classroom p 	ractice?

EVENT 2: A LOW POINT

- This is a low point in your experiences with DAS. Try to remember a specific experience in which you felt extremely negative emotions regarding DAS.
- Describe the event
- What impact has the event had on you?

• \	That does it say about who you are as a teacher?
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EVENT 3: TURNING POINT

Turning points are episodes through which a person undergoes substantial change.

 Describe a particular episode in your story that you see as a turning point
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CHALLENGES

- Describe the single greatest challenge that you have faced in your interaction with DAS
- How did you handle/deal with the challenge?
- Did you get any support in handling the challenge?

Explain the impact of the challenge on you as a teacher, and what you
learnt from it.

APPENDIX D

FORMS

NAME	PURPOSE	COMPLETED BY
Personal Details Form	Record of personal	Appraisee
	particulars, qualifications,	
	teaching/management/other	
	experience.	
Needs Identification and	Self-appraisal	Appraisee
Prioritisation Form	Other Panel Members	Other Panel Members
	Appraisal	Panel
	Panel Appraisal	
Professional Growth Plan	Shows plan for	Finalised in Panel
(PGP) Form	development in a cycle.	
	Reflects objectives,	
	activities, resources and key	
	performance indicators.	
	One form for each cycle.	
	Motivation for	
	reclassification of core	
	criteria as optional has to be	
	recorded.	
Discussion Paper	To review	Panel
	successes/difficulties of	
	PGP in this cycle.	
Appraisal Report	A signed record of the	Appraisee and appraisal
	entire appraisal process for	panel members
	the cycle, including	
	identified needs, strengths	
	and development plan	

PERSONAL DETAILS

Surname							
First Name							
Employing Depar	rtment						
Persal Number							
Name of Instituti	on						
Rank/Post Level							
Nominal Date of	Appointment						
Type of Appraisa	1:						
Probation							
In-service Develo	pment				-		
L			Į.				
Qualifications							
Qualification(s)	Where	When		Major 1	earning	Secondary	
Certificates	obtained	obtaine	ed	area(s)		learning	
	(Institution)	(Year)		Direction	on(s)	area(s) (at lea	ıst
						second ye	ar
						courses)	
	nd Grade curren	tly bein	g taught	(School	based or	ıly)	
Learning area			Grade				

Other relevant certificates / diplomas / credits				
Certificates /	Where obtained	When obtained	Content and nature of	
Credits			qualification	

Teaching exp	erience		
Period (Dates)	Department / Institution	Nature of experience
		/ School / Other	(Primary/Secondary/Other)

Management and administration experience				
Period (Dates)	Department / Institution	Nature of experience	
		/ School / Other	(Primary/Secondary/Other)	

Non-teaching	g experience			
Period (Dates)	Department / Institution	Nature of experience	
		/ School / Other	(Primary/Secondary/Other)	

NEEDS IDENTIFICATION AND PRIORITISATION FORM FOR PL1 EDUCATORS

CRITERIA	SYMBOLS A	or B		
	- Rating	by appraisee,	2 identified	
	appraisers and members of the panel			
	- Needs identification			
	- Prioritiz	ze the identified	needs in the	
	order of importance for the PGP			
1. CORE	APPRAISE	PEER/HOD/	PANEL	
		DP/PRIN		
1.1 Curriculum development				
1.2 Creation of a learning environment				
1.3 Lesson presentation and methodology				
1.4 Classroom management				
1.5 Learner assessment				
1.6 Recording and analyzing data				
1.7 Development of learning field				
competency				
1.8 Professional development in field of				
work/career and participation in				
professional bodies				
1.9 Human Relations				
1.10 Leadership				
1.11 Community				
1.12 Extra-curricular work				
1.13 Contribution to school development				
2. OPTIONAL				

	1		T
3. ADDITIONAL			
MOTIVATION FOR CHANGING CORE	CRITERIA TO	OPTIONAL C	DITEDIA
MOTIVATION FOR CHANGING CORE	CKITEKIA 10	OI HONAL CI	

PROFESSIONAL GROWTH PLAN (PGP)

This section is to be completed by the appraisee and finalized in consultation with appraisal Panel. A new form will be used for each cycle.

- Formulate objectives
- Identify specific activities that will be necessary to achieve these objectives
- State resources needed to achieve these objectives
- State your key performance indicators

	PERIOD
OBJECTIVES	
PROFESSIONAL DEVELOPMENT ACTIVITES	
RESOURCES NEEDED	
KEY PERFORMANCE INDICATORS	

DISCUSSION PAPER WITH PANEL

Form to be completed by appraisee before the post appraisal meeting

1.	Were your objectives for the period under review realistic?
2.	Given your programme, what has not been completed?
3.	What are the reasons for the backlog or shortfall if any?
4.	What have been the most difficult problems you have had to cope with during this period?
5.	To what extent have you managed to improve your skills?

6.	Is there anything you need that could help you develop your job and become more effective?
7.	Do you receive sufficient support from your colleagues/senior staff/principal/governing body / departmental officials?
8.	Are there any other general matters you would like to discuss? E.g. factors affecting your work? Refer to Contextual Factors
	TE A DED AIGA I DEDODE
11	HE APPRAISAL REPORT
-	All forms that have been filled during appraisal form part of the Appraisal Report
-	The following information must also be filled
-	This Report must be signed by all parties to the Appraisal panel
1.	Prioritised Criteria

University of Pretoria etd - Mokoena, M A (2005) 2. Identified Needs 3. Strengths of the Educator 4. Suggested Development Programme

University of Pretoria etd – Mokoena, M A (2005) 5. Suggested Provider of Developmental Programme 6. Dates for developmental programme delivery

Signatures:

Appraisee:	Date:
Appraisal Panel Members:	
1	Data
1	Date:
2	Date:
3	Date:

APPENDIX E

PROTOCOL AND INSTRUMENT FOR USE WHEN OBSERVING EDUCATORS IN PRACTICE FOR THE PURPOSE OF WHOLE SCHOOL EVALUATION (WSE) AND DEVELOPMENTAL APPRAISAL SYSTEM (DAS)

PROTOCOL

The Protocol is a set of step-by-step processes and procedures to be followed in order to harmonize both the internal and external evaluation for the purpose of DAS and WSE. This protocol should be read and applied in conjunction with WSE and DAS policies.

PLANNING AND TIMING

It is advisable that departments and schools establish a procedure that allows schools to prepare their programmes during external evaluation. There should be adequate time given to schools, through giving them notices of external evaluations as early as possible. This will assist schools to make sure the two processes coincide.

Process A: Internal Appraisal and Evaluations

Step 1

The District and principal of a school should facilitate the establishment of DAS structures in the school and its implementation.

Step 2

Self-appraisal of individual educators should take place before any lesson observation of educators in practice.

Step 3

Lesson observation of educators in practice for purposes of both DAS and WSE must coincide to utilize human resources and time efficiently. The Principal, the School Management Team (SMT) and the Staff Development Team (SDT), in consultation with staff members, develop an implementation plan for lesson observation of educators in practice as required by these two processes. This implementation plan must indicate clearly who should be evaluated/appraisal, by whom and when. This

information must be reflected in the school composite timetable well in advance of implementation.

Step 4

The DAS panelist observes the lesson using the prescribed instrument and discuss the outcomes of the lesson observation with the educator observed/appraisee. The appraisee may request copies of the lesson observation records and should not be denied access to this information.

Step 5

The DAS panelist will make the information on lesson observation available for WSE internal processes.

Process B: External Appraisal and Evaluations

Step 1

The WSE team leader to determine a suitable date for the external evaluation, after consultation with the Principal and SMT of the school. Schools to be informed timeously (at least 4 weeks in advance – excluding recess) of the dates of a forthcoming visit for the purpose of conducting WSE. When necessary, the Department and the principal of a school will facilitate the establishment of DAS structures in the school and its implementation.

Step 2

If not already done, the WSE team leader to request the District to provide advocacy and training around WSE and DAS. The District to make the necessary arrangements with the school principal to do so, and to inform the principal of documentation required before the visit, including assessment reports, learner profiles, learning programmes, timetables, school policies and DAS documentation. The school management should also inform parents, educators and learners of the forthcoming evaluation, and its purpose.

Step 3

Pre-evaluation visit by team leader to the school, to meet with SMT and SDT and:

- Collect documentation self-evaluation forms, professional growth plans, DAS reports etc.
- o Finalise dates of the school evaluation
- Confirm the appointment of a school-based WSE coordinator (does not need to be the principal) in accordance with WSE Policy.
- Discuss the process to be followed, and impress the need to maintain the normal routine of the school.

Step 4

On the basis of documentation received, and their own priorities, the team leaders and supervisors to identify a representative cross-section of educators for observation in practice, and communicate this to the school as soon as possible, preferably during the week prior to the external evaluation. The WSE team should consist of supervisors with appropriate knowledge of learning areas to be evaluated.

Step 5

The external evaluation

- School management to introduce the WSE team to the staff, and remind them
 of the purpose of the visit;
- The supervisors to confirm which educators to be observed and finalise a timetable for the week with the SMT and SDT.
- Evaluation of the other 8 areas goes on simultaneously with the lesson observations;
- Supervisors involved in observations to meet with DAS panels and appraisees to consider/complete the pre-evaluation educator profile checklist and collect other significant information on the individual educator, including the professional growth plans;
- A member of the DAS panel with appropriate learning area knowledge to accompany the supervisor in relevant lesson observations;
- DAS panelist and WSE supervisor to observe the lesson using the same instrument (each completing a separate form); compare findings and discuss

these with the appraisee. The appraisee may request copies of evaluation forms.

 Confidentiality regarding the identity of the appraisee is assured in any documentation leaving the school as part of the WSE (the name of the appraisee is written in the form for DAS purposes only).

Step 6a

The DAS processes must inform the professional development of individual educators.

o The Professional Development Plan (PDP) is prepared after the observation.

Step 6b

The supervisor prepares a written report after the observation to include:

- o WSE evaluation of the quality of learning and teaching
- o WSE evaluation of the quality of DAS processes

A consolidated report on the quality of teaching and learning is to be incorporated into the final WSE report for the school.

THE PRE-LESSON OBSERVATION CHECKLIST

PRE-EVALUATION EDUCATOR PROFILE CHECKLIST

To be used for establishing the profile of each educator selected for classroom observation. The questions could be used as a framework for a professional discussion between the WSE supervisor, the DAS panelist and the educator.

No written responses required.

Wherever appropriate documentary evidence should be provided.

- Have you been appraised through the Developmental Appraisal System?
- What is your projected Professional Growth Plan?
- To what extent have you managed to acquire new knowledge and additional skills to address your professional needs?
- Do you stay informed regarding policies and regulations applicable to your position?
- Do you receive support from your colleagues, school managers, governing body and departmental officials?
- o Do you share information with colleagues?
- Is there anything you need that could help you develop and become more effective?
- How do you contribute to extra-curricular activities at the school?
- o Do you participate in professional activities?
- What type of community activities are you involved in?
- What role do you play in formulating and implementing the school's policies?
- Are there any other matters you would like to bring to the attention of the supervisor before you are observed in practice?

LESSON OBSERVATION OF EDUCATORS IN PRACTICE

Name		(NI	B: only for DAS purposes)
School:			
Address:			
Date	0:	f	Observation:
Names		of	Observer/s:
Signature	of		

The Lesson Observation Instrument

The instrument is designed for lesson observation of educators in practice for both Whole School-Evaluation and Development Appraisal System.

The instrument has four focus areas, which should be assessed:

- o The creation of a positive learning environment
- o Knowledge of curriculum and learning programmes
- Lesson planning, preparation and presentation
- Learner assessment

Each focus area asks a question:

- Does the educator create a suitable environment for teaching and learning?
- Does the educator demonstrate adequate knowledge of the learning area and does s/he use this knowledge effectively to create meaningful experiences for learners?
- Is lesson planning clear, logical and sequential, and is there evidence that individual lessons fit into a broader learning programme?
- Is assessment used to promote teaching/learning?

Each of these questions is assessed in terms of four levels of performance. They are:

- Rating 1: Unacceptable. This level of performance does not meet minimum expectations and requires urgent intervention and support.
- Rating 2: Satisfies minimum expectations. This level of performance is acceptable and is in line with minimum expectations, but development and support are still required.
- Rating 3: Good. Performance is good and meets expectations, but some areas are still in need of development and support.

- Rating 4: Outstanding. Performance is outstanding and exceeds expectations. Although performance is excellent, continuous self-development and improvement are advised.

A GUIDE ON HOW TO USE THE LESSON OBSERVATION INSTRUMENT

- 1. The focus area appears at the top of the instrument and is followed by a broad statement of what the expectation is.
- 2. The question to be answered from the observation is given.
- 3. The supervisor/appraiser is required to record observations as clearly as possible in the appropriate columns as follows:
 - 3.1 In the column "Strengths", record the strengths that have been taken into account in the assessment rating.
 - 3.2 In the column "Notes on contextual factors", record the contextual factors that have influenced the assessment rating. These can consist of personal, social, economic and political factors. The assessment of contextual factors is intended to assess not only their effect on performance, but also the manner in which the educator addresses these issues. The comments should, therefore, reflect the following:
 - 3.2.1 To what extent do contextual factors influence performance?
 - 3.2.2 To what extent does the educator attempt to overcome negative influences in their teaching?
 - 3.3 Rate the performance of the educator in each of the four focus areas by placing a cross in ONE of the blocks marked "Rating".

Example:	1	2	3	4

3.4 Make recommendations in the column "Recommendations for Development". These are based on the overall rating in the light of

what the educator did in practice and the contextual observations as well as post-observation interview with the educator.

Focus Area: Creation of a learning environment					
Expectation: The educator creates a positive learning environment that enables the learners to actively participate					
and ach	and achieve success in the learning process				
DOES	THE EDUCATOR CREATE A SUITABLE CLIMATE F	OR TEACHIN	IG AND LEAF	RNING	
Level o	f Performance	Strengths	Notes on	Recommendations	
			contextual	for development	
			factors		
1. Una	cceptable:				
0	No effort to create a learning space conducive to				
	teaching and learning.				
0	Organization of learning space hampers teaching and				
	learning.				
0	Educators and learners appear disinterested.				
0	No discipline, much time is wasted.				
0	Educator insensitive to racial, cultural and gender				
	diversity.				
2. Satis	sfies minimum expectations:				
0	There is evidence of some attempt at creating a suitable				
	environment.				
0	Environment supports group and/or individual				
	learning.				
0	Learners are engaged in activities for most of the				
	lesson.				
0	Environment is disciplined.				
0	Environment is free of obvious discrimination.				
3. Goo	d:				
0	Organisational learning space makes use of relevant				
	resources to aid teaching and learning.				
0	Organisational learning space encourages group and				
	individual activity.				
0	There is a lively and stimulating environment with				
	purposeful activity.				
0	There is positive reinforcement encouragement and				
	appropriate admonition of.				

	0	Educator uses inclusive strategies, acknowledges and		
		promotes respect for individuality and diversity.		
4.	Outs	standing:		
	0	Organisation of learning space encourages questions,		
		exchange of ideas and experiences, cooperative		
		learning and productive activity on the part of all		
		learners.		
	0	Relevant resources are continuously updated as a		
		resource in teaching and learning.		
	0	As above learners are motivated and self-disciplined.		
				I

Rating

Focus Area: Knowledge of curriculum and learning programmes

Unacceptable = 1	Satisfies Minimum Expectation = 2	Good = 3	Outstanding = 4
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Expectation: The educator possesses appropriate content knowledge which is demonstrated in the creation of meaningful learning experiences DOES THE EDUCATOR DEMONSTRATE ADEQUATE KNOWLEDGE OF THE LEARNING AREA AND DOES HE/SHE USE THIS KNOWLEDGE EFFECTIVELY TO CREATE MEANINGFUL EXPERIENCES FOR LEARNING Level of Performance Strengths Notes Recommendations contextual for development factors 1. Unacceptable: o Educator conveys inaccurate and limited knowledge of learning area. o No skill in creating enjoyable learning experiences for learners. o Little or no evidence of goal-setting to achieve curriculum outcomes. o Makes no attempt to interpret the learning programmes for the benefit of learners. 2. Satisfies minimum expectations: knowledge Educators adequate but not comprehensive. o Has some skill in engaging learners and relating the learning programme to learners' needs

	•	-	
	background.		
0	Evidence of some goal setting to achieve curriculum		
	outcomes. Makes some attempt to interpret the		
	learning programmes for the benefit of learners.		
3. Goo	od:		
0	Educator is able to use knowledge and information to		
	extend the knowledge of learners.		
0	Educator skillfully involves learners in learning area.		
0	Good balance between clarity of goals of learning		
	programme and expression of learner needs interests		
	and background.		
4. Out	tstanding:		
0	Inspires learners through our engagement with learning		
	area to further reading, activity and involvement		
	outside school hours.		
0	Excellent balance between clarity of goals of learning		
	programme and expression of learner needs, interests		
	and background.		

Rating

Unacceptable = 1	Satisfies Minimum Expectation = 2	Good = 3	Outstanding = 4	
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Focus Area: Lesson Planning, Preparation and Presentation Expectation: The educator demonstrates competence in planning, preparation, presentation and management of learning programmes SOME PLANNING CLEAR, LOGICAL AND SEQUENTIAL, AND IS THERE EVIDENCE THAT INDIVIDUAL LESSONS FIT INTO A BROADER LEARNING PROGRAMME Level of Performance Strengths Notes Recommendations contextual for development factors 1. Unacceptable: Little or no evidence of planning Lesson not presented clearly No records are kept 2. Satisfies minimum expectations: o Lessons have structure and are relatively clearly

	presented	
0	Essential records of planning and learner progress	
	are maintained	
3. Goo	d:	
0	Lesson planning is generally clear, logical and	
	sequential	
0	Lesson planning clearly fits into a broader learning	
	programme by building on previous lessons and	
	anticipates future learning activities	
0	Essential records of planning and learner progress	
	are maintained	
4. Outs	standing:	
0	Lesson planning abundantly clear, logical, sequential	
	and developmental	
0	Essential records of planning and learner progress	
	are maintained	
0	There is a clear sense of purpose in achieving the	
	goals of the overall learning programme	

Rating

Unacceptable = 1	Satisfies Minimum Expectation = 2	Good = 3	Outstanding = 4
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