Application of the FCM-based Neuro-fuzzy Inference System and Genetic Algorithm-polynomial Neural Network Approaches to Modelling the Thermal

Conductivity of Alumina-water Nanofluids

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ABSTRACT

By using an FCM-based neuro-fuzzy inference system and genetic algorithm-polynomial

neural network as well as experimental data, two models were established in order to

predict the thermal conductivity ratio of alumina (Al_2O_3) -water nanofluids. In these

models, the target parameter was the thermal conductivity ratio, and the nanoparticle

volume concentration, temperature and Al_2O_3 nanoparticle size were considered as the

input (design) parameters. The empirical data were divided into train and test sections

for developing the models. Therefore, they were instructed by 80% of the experimental

data and the remaining data (20%) were considered for benchmarking. The results,

which were obtained by the proposed FCM-based Neuro-Fuzzy Inference System (FCM-

ANFIS) and Genetic Algorithm-Polynomial Neural Network (GA-PNN) models, were

provided and discussed in detail.

Keywords: Nanofluid; Thermal conductivity ratio; FCM-based Neuro-Fuzzy Inference

System (FCM-ANFIS); Genetic Algorithm-Polynomial Neural Network (GA-PNN);

Group Method of Data Handling (GMDH)

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INTRODUCTION

Nanofluids are a class of heat transfer fluids which consist of a conventional base fluid such as water, engine oil, ethylene glycol (and/or mixture of them) with suspensions of low concentrations of nano-sized particles (1-100 nm), generally metal, metal oxide or carbon nanotubes. More heat transfer surface between particles and fluids, high dispersion stability and reduced wearing and clogging are the main advantages of nanofluids in comparison with conventional solid-liquid suspensions [1]. Over the last two decades, the study of nanofluids as potential heat transfer fluids has received significant attention, especially after Masuda *et al.* [2] and Choi [3] reported significant enhancement of nanofluid thermal conductivities compared with conventional working fluids.

Kleinstreuer and Feng [4] investigated the recent development of experimental and theoretical works on nanofluid thermal conductivity. They showed that most previous experimental studies focused on nanofluids containing alumina (Al₂O₃), copper (Cu) and copper oxide (CuO) nanoparticles in water and ethylene glycol. Furthermore, they showed that the main focus of previous experimental works was on the study of the dependence of thermal conductivity enhancement of nanofluids on particle size, concentration and temperature.

Recently, theoretical research into information processing has increasingly been developed for use in applications. This interest was especially displayed in the development of intelligent systems, which are based on empirical data. "Norm" calculations, which are known as fuzzy logic, neural networks and genetic algorithms, are among the systems which transfer the knowledge and rules that exist beyond the

empirical data into the network structure by their processing. Because these methods do not consider any presuppositions about statistical distribution and characteristics of the data, they are practically more efficient than common statistical methods. Many studies were conducted about the use of these approaches as effective tools for system identification. Recently, many researchers have applied these methods in order to model engineering processes. Some recent work such as Kargar *et al.* [5], Hojjat *et al.* [6] and Papari *et al.* [7] used a neural network approach to analyse engineering problems containing nanofluids.

In this paper we used the FCM-based Neuro-Fuzzy Inference System (FCM-ANFIS) as a method that uses neural network and fuzzy method approaches advantages for modelling the Al₂O₃-water nanofluids thermal conductivity ratio. This was done to show the high capability of this method to model engineering problems based on input output experimental data. On the other hand, due to the advantages of using evolutionary methods such as genetic algorithm to help conventional methods to perform better in the face of experimental input output data, the present ongoing research has attempted to use Genetic Algorithm-Polynomial Neural Network (GA-PNN) as an evolutionary approach to model the thermal conductivity ratio of nanofluid taking into account effective parameters.

In this study, the application of these two methods is introduced for predicting the thermal conductivity ratio of Al_2O_3 -water nanofluids as function of nanoparticle volume concentration, temperature and nanoparticle size.

ADAPTIVE NEURO-FUZZY INFERENCE SYSTEM

An ANFIS system uses two neural network and fuzzy logic approaches. When these two systems are combined, they may qualitatively and quantitatively achieve a proper result that will include either fuzzy intellect or calculative abilities of a neural network. As with other fuzzy systems, the ANFIS structure is organised into two introductory and concluding parts, which are linked together by a set of rules. Five distinct layers may be recognised in the structure of an ANFIS network, which forms a multilayer network. The first layer in the ANFIS structure performs fuzzy formation and the second layer performs fuzzy "AND" and fuzzy rules. The third layer performs normalisation of membership functions and the fourth layer is the conclusive part of fuzzy rules and the last layer calculates network outputs. Detailed information about ANFIS network structure and each layer function is given in Mehrabi *et al.* [8].

Structure identification in fuzzy modelling involves selecting the input variables, input space partitioning, choosing the number and kinds of membership functions for inputs, creating fuzzy rules, premise and conclusion parts of fuzzy rules and selecting initial parameters for membership functions. For a given data set, different ANFIS models can be constructed using three different identification methods such as grid partitioning, subtractive clustering method and fuzzy C-means clustering [9]. In the present paper, the fuzzy C-means clustering (FCM) method is used to identify the premise membership functions for the ANFIS model.

FUZZY C-MEANS CLUSTERING (FCM)

Fuzzy C-means clustering as proposed by Bezdek [10] is a data clustering technique in which each data point belongs to two or more clusters. Fuzzy C-means is an iterative

algorithm, which wants to find cluster centres based on minimisation of an objective function. The objective function is the sum of squares distance between each data point and the cluster centres and is weighted by its membership.

In the first step, the number of clusters v $(1 \le v \le n)$ and weighting exponent (fuzziness index) m $(1 \le m < \infty)$ are randomly selected, after that the algorithm starts by initialising the cluster centres c_j , j = 1, 2, ..., v to a random value at first time from the n data points $\{x_1, x_2, ..., x_n\}$. In the next step, the membership matrix $u_{ij} = [U]$ is computed by using the following equation:

$$u_{ij} = \frac{1}{\sum_{k=1}^{v} \left(\frac{\|x_i - c_j\|}{\|x_i - c_k\|}\right)^{\frac{2}{m-1}}}$$
(1)

Where $\|*\|$ is any norm expressing the similarity between any measured data and the centre, so $\|x_i - c_j\|$, $\|x_i - c_k\|$ are the Euclidean distance between the *j*-th and *k*-th cluster centres and the *i*-th data point. In the fourth step, the objective function J is computed according to Eq. 2.

$$J(U, c_1, c_2, \dots, c_v) = J_m = \sum_{i=1}^n \sum_{j=1}^v u_{ij}^m \cdot \|x_i - c_j\|^2 \qquad 1 \le m < \infty$$
(2)

In the final step, by using Eq. 3, the new fuzzy cluster centres c_j , j=1,2,...,v are computed [11-13].

$$c_j = \frac{\sum_{i=1}^n u_{ij}^m \cdot x_i}{\sum_{i=1}^n u_{ij}^m} \tag{3}$$

POLYNOMIAL NEURAL NETWORK

Polynomial neural networks are formed from the combination of linear regression method and artificial neural network. Each layer in this network is composed of a number of units (identical to neurons), which are considered as a polynomial. Polynomial networks have a pioneer structure and are formed by a number of layers. Each layer is composed of several units in which every unit is defined as a polynomial. Therefore, the parameters of this modelling method are considered as coefficients of the polynomial of units. There are various algorithms to form and instruct the polynomial networks, among which the Group Method of Data Handling (GMDH) algorithm is the most important one [14, 15].

The GMDH algorithm was first introduced by Ivakhnenko [16] as a learning method for modelling complex and non-linear systems. This algorithm considers many sub-models to construct and instruct polynomial networks and based on the most appropriate sub-models, the final model is obtained. The GMDH training algorithm consists of two steps: in the first step, the network units are instructed, and in the second step, the best unit is selected. For these two steps, the training data are divided into two sets: the first set is used to instruct the models (to find the unit parameters) by linear regression, whereas the second set is used to compare models and select the more appropriate ones based on regularity criterion. When the best unit of a layer (a unit with minimum error) is worse than the best unit of the previous layer, the addition of layers is stopped. The best unit of the previous layer is introduced as the final output of the model and all joints that do not lead to the output unit are eliminated [17].

GMDH polynomial neural networks

By using a GMDH learning algorithm to train a polynomial neural network, a new class of polynomial neural network, witch is called a GMDH-type polynomial neural network, is introduced. In a GMDH-type polynomial neural network, all neurons contain an identical structure with two inputs and one output. Each neuron performs processing with five weights and one bias between input and output data. The relationship which is established between input and output variables by a GMDH-type polynomial neural network is a non-linear function as Eq. 4:

$$z = a_0 + \sum_{i=1}^{M} a_i x_i + \sum_{i=1}^{M} \sum_{j=1}^{M} a_{ij} x_i x_j + \sum_{i=1}^{M} \sum_{j=1}^{M} \sum_{k=1}^{M} a_{ijk} x_i x_j x_k + \cdots$$
(4)

This is named a Volterra functions series. The GMDH algorithm is founded on the basis of Volterra functions series disintegration into second-rate two-variable polynomials. In fact, the algorithm objective is to find the unknown coefficients or weights of $[a_{i,j}]$ in the Volterra functions series. In this manner, unknown coefficients are distributed among disintegrated factors and regulated as second-rate polynomials (Eq. 5) to specify weights and algebraic substitution of any returning factors: Volterra functions series with definite weights can be obtained from Lemke and Müller [18].

$$f(x_i, x_j) = a_0 + a_1 x_i + a_2 x_j + a_3 x_i^2 + a_4 x_j^2 + a_5 x_i x_j$$
(5)

Genetic Optimisation of GMDH Polynomial Neural Networks

In this paper, a genetic algorithm is applied to determine the GMDH-type polynomial neural network weights, hidden layers and bias coefficients for minimising the training error and to find the optimal structure for a GMDH-type polynomial neural network. The hidden layers and bias coefficients are different chromosomes that the genetic algorithm tries to find. Fig. 1 shows the combination of the three different approaches that were used to model thermal conductivity ratios in a hybrid system. By using a group method of data handling learning algorithm to instruct the polynomial neural network, the GMDH-type polynomial neural network which created the neural network part was introduced. On the other hand, the genetic algorithm was used to find the GMDH-type polynomial neural network hidden layers and bias coefficients. These three different approaches built a genetic algorithm-GMDH-type polynomial neural network hybrid system, which is called GA-PNN. The steps of this hybrid system approach are described below:

Step 1: The number of chromosome strings was selected randomly and each of them was divided into several sections. Each chromosome string was represented as a set of the connection weights (hidden layer and bias coefficients) for the GMDH-type polynomial neural network.

Step 2: For each string that was established with the training data, the fitness was measured. A string's probability of being selected for reproduction was proportional to its fitness value.

Step 3: The crossover, mutation and mating operators create the offspring that constitute the new generation. Decoding these new chromosomes, we gain a new set of weights and then submit it to the network. If the training error meets the demand, then stop.

Step 4: In the last step, the chromosome string with the smallest error in the training procedure was selected to provide the final network structure. After each run, a new set of weights was obtained and replaced with the old set. Finally, one can get a best set of

weights (layer coefficients), and obtain a well-trained GMDH-type polynomial neural network [19-20].

EFFECTIVE PARAMETERS

There are different effective parameters on nanofluids thermal conductivity reported in literature, which can be used for modelling thermal conductivity ratios. Of these parameters we chose three important ones for this study namely particle size, volume concentration and temperature.

Effect of particle size

Chon *et al.* [21] measured the thermal conductivity of nanofluids containing three different sizes of alumina nanoparticles with diameters of 11, 47 and 150 nm. Their results showed that the thermal conductivity increased as particle size decreased. Li and Peterson [22] observed up to 4% positive thermal conductivity enhancement for Al₂O₃-water nanofluids containing 36 nm Al₂O₃ particles compared with nanofluids containing 47 nm Al₂O₃ particles at 2% volume concentration. Patel *et al.* [23] measured the thermal conductivity of nanofluids containing different sizes of Al₂O₃, CuO, and Cu in water, ethylene glycol and in transformer oil. They observed positive thermal conductivity enhancements for Al₂O₃-water with smaller nanoparticles. For Al₂O₃-water nanofluid at 2% volume concentration at 50 °C, the thermal conductivity enhancement for the 11 nm sample (15.5%) was approximately double the enhancement for the 150 nm (7%) sample and about 1.5 times the enhancement for the 45 nm (10.5 %) sample.

Effect of volume concentration

Most of the nanofluids thermal conductivity data in the literature exhibited a linear relationship with the particle volume concentration. However, some exceptions have showed a non-linear relationship especially at low volume concentrations [24]. In these studies, the slope of the thermal conductivity versus volume concentration can be divided into two linear regimes. At low concentrations, the slope was greater than at high concentrations. Most thermal conductivity data in the literature for Al₂O₃-water nanofluids showed that with increasing nanoparticle volume concentration, the thermal conductivity also increased [21-30], however, the intensity of the increase decreased for the larger volume concentrations.

Effect of temperature

Das *et al.* [25] and Putra *et al.* [26] measured the thermal conductivity of nanofluids containing Al₂O₃ at temperatures between 21 and 51°C. They observed that the thermal conductivity increased as the temperature increased. Over the limited temperature range considered in their study, a gradual curve could appear linearly. Therefore, more comprehensive data are required before concluding whether the thermal conductivity exhibits a linear relationship with temperature. Chon *et al.* [21] reported thermal conductivity measurements of water containing Al₂O₃ nanoparticles at temperatures between 21 and 71°C. They observed that the thermal conductivity increased as the temperature increased. However, they also experienced that at 61 and 71°C, the trend of increasing thermal conductivity was not linear. Therefore, it can be concluded that the temperature dependence of the thermal conductivity of nanofluids is dominant.

EXPERIMENTAL DATA USED FOR TRAINING AND TESTING PROCEDURE

Masuda et al. [2] were the first researchers who used nanoparticles for the enhancement of heat transfer in a liquid. They used the transient hot wire technique to measure the thermal conductivity ratios of Al₂O₃-water nanofluids. Their experiments included three different temperatures, which were: 32, 47 and 67°C. Lee et al. [27] experimentally investigated the thermal conductivity of Al₂O₃-water nanofluids prepared with 38.4 nm average diameter of alumina nanoparticle at 21°C temperature for four different volume concentrations (1, 2, 3 and 4%). Wang et al. [28] measured the effective thermal conductivity of fluids and nanometer-size Al₂O₃ by using a steady-state parallel-plate technique. They dispersed Al₂O₃ powder (γ phase) with an average diameter of 28 into water with a vacuum pump fluid and measured the thermal conductivity ratio at 24°C in three different volume concentrations. Das et al. [25] investigated the thermal conductivity ratio of Al₂O₃-water nanofluids with a thermal oscillation method. They studied the temperature effect of the thermal conductivity ratio of Al₂O₃ nanoparticles with an average diameter of 38.4 nm. Their experiments consisted of seven different temperatures (21, 26, 31, 36, 41, 46 and 51°C) for four nanoparticle volume concentrations (1, 2, 3 and 4%). Putra et al. [26] reported some experimental data for thermal conductivity ratio of Al₂O₃ (with an average diameter of 131.2 nm) in a waterbased nanofluid over a temperature range from 21 to 51°C at volume concentrations of 1 and 4%. Chon et al. [21] measured the thermal conductivity of Al₂O₃-water nanofluids in 11, 47 and 150 nm nanoparticle sizes over a wide range of temperatures (from 21 to 71°C) at 1 and 4% volume concentrations. Li and Peterson [22, 29] published their experimental investigation into the effect of variations in temperature and volume concentration on steady-state effective thermal conductivity of Al₂O₃-water suspensions. Al₂O₃ nanoparticles with 36 and 47 nm average diameters were blended with water at 0.5, 2, 4, 6 and 10% volume concentrations and the resulting suspensions were evaluated at temperatures ranging from 27.5 to 35.5°C. Kim et al. [30] measured the thermal conductivity of alumina-water nanofluids by using the transient hot wire method. They used alumina nanoparticles with an average diameter of 38 nm for their work and reported the results for 0.3, 0.5, 0.8, 1.5, 2 and 3% of volume concentrations at 25 °C. Timofeeva et al. [31] investigated Al₂O₃-water nanofluids thermal conductivity for a series of nanofluids consisting of 11, 20 and 40 nm and volume concentrations of 2.5, 5, 7.5 and 10% at 23°C. Zhang et al. [32] used a short hot wire probe to measure the thermal conductivity ratio of Al₂O₃-water nanofluids for 10, 30 and 50°C. Ju et al. [33] reported their measurements for thermal conductivity of Al₂O₃water suspensions with nominal diameters of 20, 30 and 45 nm for volume concentrations up to 10%. Murshed et al. [34] conducted an experimental investigation into the effective thermal conductivity of Al₂O₃ nanoparticles with average diameters of 80 and 150 nm in a water-based suspension. In their work, the transient hot wire technique was used to measure the thermal conductivity ratio of nanofluids at different temperatures ranging from 21 to 60°C. Patel et al. [23] measured thermal conductivity enhancement of Al₂O₃-water nanofluids in 11, 45 and 150 nm nanoparticle sizes for four different temperatures (20, 30, 40 and 50 °C) at 0.5, 1, 2 and 3% volume concentrations.

In this paper, all of the above experimental data were used to model the thermal conductivity ratio (k_{eff}/k_{bf}) of Al₂O₃-water nanofluids using the FCM-ANFIS and GA-PNN approaches. Therefore, volume concentration ϕ , temperature T and nanoparticle size PS were chosen as designing variables (input parameters) for the models.

RESULTS AND DISCUSSION

The performance of the FCM-ANFIS and the GA-PNN proposed models was tested with the sum of the squares due to the error or summed squares of residuals (SSE) and root mean square errors (RMSE). If Q_1 , Q_2 , Q_3 , ..., Q_n are n observed values, P_1 , P_2 , P_3 , ..., P_n are n predicted values, then SSE and RMSE values are as follows:

$$SSE = \sum_{i=1}^{n} (Q_i - P_i)^2$$
 (6)

$$RMSE = \sqrt{\frac{1}{n} \cdot \sum_{i=1}^{n} (Q_i - P_i)^2}$$
 (7)

A total of 125 input output experimental data points obtained from literature were used for three effective input parameters (volume concentration ϕ , temperature T and nanoparticle size PS). These data were divided into two subsets as 80% for training and 20% for testing purposes. The characterisation of the FCM-ANFIS model is shown in Table 1. The structure of the GA-PNN model is shown in Fig. 2 corresponding to the genome representation of 2312332311231322 for thermal conductivity ratio (k_{eff}/k_{bf}) in which I, I and I stand for volume concentration I (%), temperature I (°C) and nanoparticle size I (nm), respectively. The corresponding polynomial representation of models for k_{eff}/k_{bf} is shown in the appendix.

Two statistical criteria which were mentioned before, were used to determine how well the FCM-ANFIS and GA-PNN models could predict the thermal conductivity ratio k_{eff}/k_{bf} of Al_2O_3 -water nanofluids corresponding to various values of inlet variables. Figures 3-7 show plots comparing the experimental data, FCM-ANFIS and GA-PNN models. These diagrams demonstrate that the predicted values are close to the

experimental data, as many of the modelled data points fall very close to the experimental value.

Fig. 3 shows the experimental results of Lee *et al.* [27] compared with the FCM-ANFIS and the GA-PNN models for a particle size of 38.4 nm, temperature of 21°C at four different volume concentrations. The GA-PNN model is in very good agreement with the experimental data ($SSE = 1.527 \times 10^{-5}$ and RMSE = 0.002). Therefore, the GA-PNN model is well matched with the experimental data. The FCM-ANFIS ($SSE = 2.237 \times 10^{-6}$ and RMSE = 0.0011) model is not as good as the GA-PNN model. Although the FCM-ANFIS model is not well matched with the experimental data, the maximum relative error is less than 2.5%.

Fig. 4 shows the experimental results of Putra *et al.* [26] compared with the FCM-ANFIS and the GA-PNN models for a particle size of 131.2 nm, volume concentration of 1% and seven different temperatures. The GA-PNN model is in good agreement with the experimental data ($SSE = 1.887 \times 10^{-4}$ and RMSE = 0.0061), the difference between the model outputs and experimental results is less than 4%. The FCM-ANFIS model is in very good agreement with experimental results at higher temperatures from 36-51°C ($SSE = 2.006 \times 10^{-5}$ and RMSE = 0.002) but for temperatures between 21-36°C the FCM-ANFIS model is not as good as the higher temperatures. However, the maximum difference between the experimental data and the FCM-ANFIS model at the lowest temperature of 21°C is about 3%.

In Fig. 5, the experimental results of Li and Peterson [22] compared with the FCM-ANFIS and the GA-PNN models are presented for a particle size of 36 nm, temperature of 30.5°C at four different volume concentrations. The FCM-ANFIS model (SSE

= 3.582×10^{-5} and RMSE = 0.0004) is well matched and the GA-PNN model (SSE = 6.132×10^{-4} and RMSE = 0.0018) is also in good agreement with the experimental data. For the FCM-ANFIS model at $\phi = 2\%$ and $\phi = 6\%$, the model is approximately the same as the experimental data.

Fig. 6 shows the experimental results of Kim *et al.* [30] compared with the FCM-ANFIS and the GA-PNN models for a particle size of 38 nm, temperature of 25 °C at five different volume concentrations. The FCM-ANFIS model ($SSE = 8.39 \times 10^{-5}$ and RMSE = 0.0046) and the GA-PNN model ($SSE = 3.576 \times 10^{-5}$ and RMSE = 0.0029) are in good agreement with the experimental data. However, as the volume concentration increases, the accuracy of the FCM-ANFIS is better than that of the GA-PNN model.

In Fig. 7, the experimental results of Patal *et al.* [23] is compared with those of the FCM-ANFIS and the GA-PNN models for a particle size of 150 nm, volume concentration of 2% at four different temperatures. The FCM-ANFIS model matches the data very well ($SSE = 3.179 \times 10^{-4}$ and RMSE = 0.0126). However, the GA-PNN model (SSE = 0.0026 and RMSE = 0.0361) is not in such a good agreement with the experimental data and the difference between this model and GA-PNN output for $T=50^{\circ}$ C is about 6%.

CONCLUSIONS

This study showed the high capability of artificial intelligent methods for modelling engineering problems containing nanofluids based on input output experimental data, which were published in literature, for this purpose, the FCM-ANFIS and the GA-PNN approaches were developed for modelling the thermal conductivity ratio of Al₂O₃-water nanofluids as function of particle size, temperature and volume concentration.

In the FCM-ANFIS method, which consists of a neural network combined with a fuzzy logic approach, the fuzzy C-means clustering is used as an identification method. The Adaptive Neuro-Fuzzy Inference System (ANFIS) uses neural network and fuzzy logic approaches at the same time to combine the advantages of each method to achieve a better performance. In the GA-PNN hybrid system, which consists of neural network and genetic algorithm parts, the genetic algorithm is used to find the best network weights for minimising the training error and finding the optimal structure for a GMDH-type polynomial neural network. In the neural network part of this hybrid system the Group Method of Data Handling (GMDH) learning approach is used to learn a second rate polynomial neural network.

After a literature review of experimental works on Al₂O₃-water nanofluids thermal conductivity, we chose particle size, temperature and volume concentration as most effective parameters of thermal conductivity ratio. After choosing these effective (input) parameters, we used 125 input output experimental data points obtained from literature to model the thermal conductivity ratio by using the FCM-ANFIS and GA-PNN approaches. The characterisation of the FCM-ANFIS model with detailed information is mentioned in a table. The structure of the GA-PNN model based on the genome

representation for thermal conductivity ratio with respect to effective (input) parameters is shown in a figure.

The result statistical error analyses review shows that our proposed models are in good agreement compared with experimental data. Although the FCM-ANFIS approach shows better agreement with experimental data in comparison with the GA-PNN method, the differences between the GA-PNN model outputs and experimental results in the worst-case scenario are about 6%.

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NOMENCLATURE

k Thermal conductivity (W/mK)

P Predicted value

PS Nanoparticle size (nm)

Q Observed value
T Temperature (°C)
Number of clusters

m Weighting exponent (fuzziness index)

 $egin{array}{lll} c & & & & & & & & & \\ Cluster centres & & & & & & \\ U & & & & & & & & \\ Membership matrix & & & & & & \\ u_{ij} & & & & & & & \\ Membership function & & & & \\ J & & & & & & & \\ Objective function & & & & \\ \end{array}$

Z GMDH processor unit output

M Number of input variable to each GMDH processor unit

x Data point

a_i Polynomial coefficient (weight)

 L_{ij} i-th output in j-th layer for GA-PNN model

Greek letters

 ϕ Volume concentration (%)

Subscripts

eff Effective bf Base fluid

Abbreviation

FCM-ANFIS FCM-based Neuro-Fuzzy Inference System

GA-PNN Genetic Algorithm-GMDH Polynomial Neural Network

GMDH Group Method of Data Handling

SSE Sum of squares due to error or summed square of residuals

RMSE Root mean square error

Appendix:

$$\begin{split} L_{11} &= a_{1,0} + a_{1,1}.T + a_{1,2}.PS + a_{1,3}.T.PS + a_{1,4}.T^2 + a_{1,5}.PS^2 \\ L_{21} &= a_{2,0} + a_{2,1}.\phi + a_{2,2}.T + a_{2,3}.\phi.T + a_{2,4}.\phi^2 + a_{2,5}.T^2 \\ L_{31} &= a_{3,0} + a_{3,1}.\phi + a_{3,2}.PS + a_{3,3}.\phi.PS + a_{3,4}.\phi^2 + a_{3,5}.PS^2 \\ L_{12} &= a_{4,0} + a_{4,1}.L_{11} + a_{4,2}.L_{21} + a_{4,3}.L_{11}.L_{21} + a_{4,4}.L_{11}^2 + a_{4,5}.L_{21}^2 \\ L_{22} &= a_{5,0} + a_{5,1}.PS + a_{5,2}.L_{11} + a_{5,3}.PS.L_{11} + a_{5,4}.PS^2 + a_{5,5}.L_{11}^2 \\ L_{32} &= a_{6,0} + a_{6,1}.\phi + a_{6,2}.L_{11} + a_{6,3}.\phi.L_{11} + a_{6,4}.\phi^2 + a_{6,5}.L_{11}^2 \\ L_{42} &= a_{7,0} + a_{7,1}.L_{31} + a_{7,2}.T + a_{7,3}.L_{31}.T + a_{7,4}.L_{31}^2 + a_{7,5}.T^2 \\ L_{13} &= a_{8,0} + a_{8,1}.L_{12} + a_{8,2}.L_{22} + a_{8,3}.L_{12}.L_{22} + a_{8,4}.L_{12}^2 + a_{8,5}.L_{22}^2 \\ L_{23} &= a_{9,0} + a_{9,1}.L_{32} + a_{9,2}.L_{42} + a_{9,3}.L_{32}.L_{42} + a_{9,4}.L_{32}^2 + a_{9,5}.L_{42}^2 \\ \frac{k_{eff}}{k_{bf}} &= a_{10,0} + a_{10,1}.L_{13} + a_{10,2}.L_{23} + a_{10,3}.L_{13}.L_{23} + a_{10,4}.L_{13}^2 + a_{10,5}.L_{23}^2 \end{split}$$

$$\left[\mathbf{a}_{i,j} \right] = \begin{bmatrix} 1.0735962 & -0.0020244 & 0.0605055 & 8.35 \times 10^{-6} & -0.0064905 & 0.0001505 \\ 0.8718401 & 0.0098069 & 0.0011233 & -7.83 \times 10^{-5} & -7.14 \times 10^{-6} & -7.75 \times 10^{-6} \\ 0.8733007 & 0.0069859 & 0.0177932 & -7.08 \times 10^{-5} & -2.67 \times 10^{-3} & 7.96 \times 10^{-4} \\ 10.668079 & -15.644669 & -3.3349184 & 3.9400101 & -1.6277918 & 7.0065401 \\ -2.6847113 & -0.3084822 & 6.3004023 & -1.26 \times 10^{-3} & -2.5900101 & 0.2760002 \\ 3.4734893 & -0.0050944 & -5.2272048 & -9.32 \times 10^{-5} & 2.5841052 & 0.0140529 \\ 0.4093305 & 0.4501285 & -0.0042521 & 0.1989611 & 6.83 \times 10^{-6} & 0.0025185 \\ 0.9721418 & -2.0553909 & 1.2665332 & -2.3233686 & -4.1070767 & 7.2531441 \\ 0.2394494 & 2.1513521 & -1.5733885 & 13.480884 & 15.199995 & -28.498592 \\ -0.0477731 & 1.0660376 & -0.0012041 & 0.0495427 & 0.7246142 & -0.7942074 \end{bmatrix}$$

Table 1
Different parameter types and their values used for training FCM-ANFIS

Parameters	FCM-ANFIS
Membership functions type	Gaussian
Number of membership functions	6
Output membership functions	Linear
Number of nodes	54
Number of linear parameters	24
Number of non-linear parameters	36
Number of training data pairs	125
Number of fuzzy rules	6

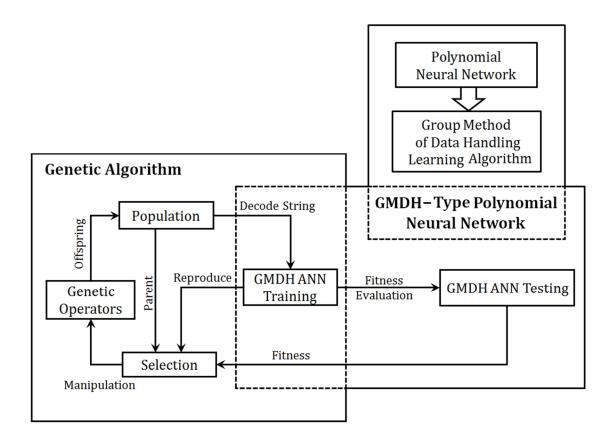


Fig.1 Combination of genetic algorithm and GMDH-type polynomial neural network approaches

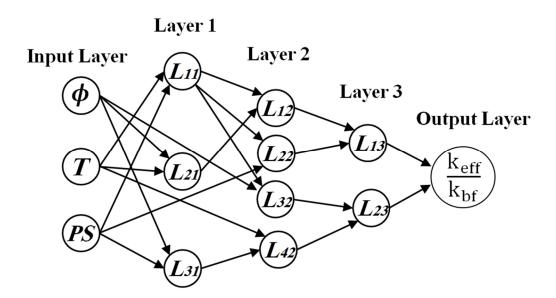


Fig.2 Structure of GA-PNN-type neural network for thermal conductivity ratio $(k_{\text{eff}}/k_{\text{bf}})$ modelling

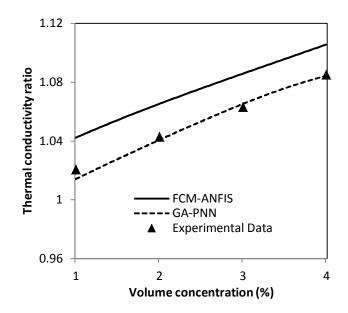


Fig.3 Comparison between the experimental data of Lee *et al.* [27] and the proposed models for PS=38.4 nm and $T=21^{\circ}C$

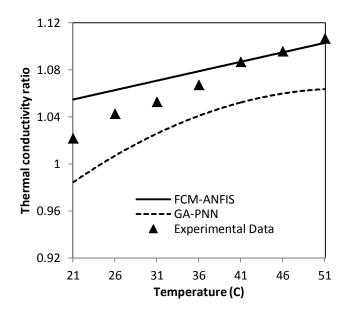


Fig.4 Comparison between the experimental data of Putra *et al.* [26] and the proposed models for PS=131.2 nm and $\phi=1\%$

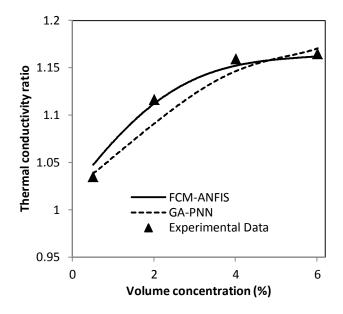


Fig.5 Comparison between the experimental data of Li and Peterson [22] and the proposed models for PS=36 nm and T=30.5°C

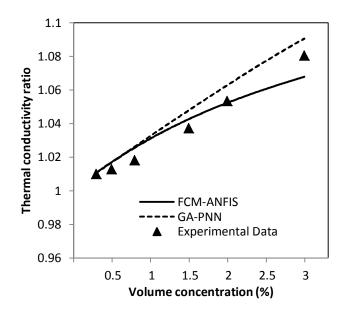


Fig.6 Comparison between the experimental data of Kim *et al.* [30] and the proposed models for PS=38 nm and T=25°C

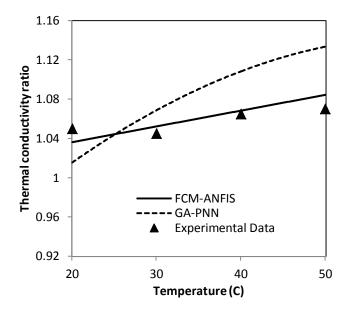


Fig.7 Comparison between the experimental data of Patal *et al.* [23] and the proposed models for PS=150 nm and $\phi=2\%$