

# Commutativity in the lattice of topologizing filters of a commutative semiartinian ring

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ABSTRACT.

The set  $\text{Fil}R_R$  of all right topologizing filters on a fixed but arbitrary ring  $R$  admits a monoid operation  $\cdot$  that is in general noncommutative, even in cases where the ring  $R$  is commutative. Earlier results show (see [8]) that commutativity of the monoid operation  $\cdot$ , when imposed as a condition on  $\text{Fil}R_R$ , manifests as a type of finiteness condition on  $R$ . In a quite separate and much earlier study, Shores [6] has shown that if  $R$  is a commutative semiartinian ring, then  $R$  will be artinian precisely if the first two terms in the Loewy series for  $R_R$ , namely  $\text{soc}(R_R)$  and  $\text{soc}^2(R_R)$ , are finitely generated. Shores goes further to produce examples which show that the finiteness of just  $\text{soc}(R_R)$  exercises no constraint whatsoever on the length of  $R_R$ . The main result of this paper asserts that a commutative semiartinian ring  $R$  will be artinian precisely if  $\text{soc}(R_R)$  is finitely generated and the monoid operation  $\cdot$  on  $\text{Fil}R_R$  is commutative. A family of commutative semiartinian rings of Loewy length 3 is constructed and this used to delineate earlier theory. In particular, and within this family, rings  $R$  are exhibited such that (1)  $\text{soc}(R_R)$  and  $\text{soc}^2(R_R)/\text{soc}(R_R)$  have infinite length, yet (2) the monoid operation  $\cdot$  on  $\text{Fil}R_R$  is commutative

Keywords: topologizing filter, semiartinian, Loewy module, Loewy length, socle

## 1. INTRODUCTION

A *right topologizing filter* on a ring  $R$  (with identity) is a nonempty family  $\mathfrak{F}$  of right ideals of  $R$  that satisfies the following three conditions:

- F1.  $A \in \mathfrak{F}$  implies  $B \in \mathfrak{F}$  whenever  $B$  is a right ideal of  $R$  containing  $A$ ;
- F2.  $A, B \in \mathfrak{F}$  implies  $A \cap B \in \mathfrak{F}$ ;
- F3.  $A \in \mathfrak{F}$  and  $r \in R$  implies  $r^{-1}A \stackrel{\text{def}}{=} \{x \in R : rx \in A\} \in \mathfrak{F}$ .

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The family of all right ideals of a ring  $R$  that are open with respect to a right linear topology on  $R$  is, by definition, a neighbourhood base for  $0$ ; it is also a right topologizing filter on  $R$ . Moreover, every right topologizing filter on  $R$  arises in this way.

The set of all right topologizing filters on some fixed ring  $R$ , which we shall denote by  $\text{Fil } R_R$ , is a complete lattice with respect to the relation of inclusion. It also admits a monoid operation ‘ $\cdot$ ’ (to be defined in Section 2.2) that distributes over finite meets. This property renders the order dual  $[\text{Fil } R_R]^{\text{du}}$  of  $\text{Fil } R_R$  a lattice ordered monoid.

The importance of  $\text{Fil } R_R$  lies in the fact that it encodes at least as much information about the ring  $R$  as does the ideal lattice  $\text{Id } R$ , for there is an embedding (that is in general not onto) of  $\text{Id } R$  into  $[\text{Fil } R_R]^{\text{du}}$  that takes each  $I \in \text{Id } R$  onto the set of all right ideals of  $R$  containing  $I$ . This embedding is, moreover, structure preserving for it preserves the lattice operations and the monoid operation ‘ $\cdot$ ’ in the sense that ‘ $\cdot$ ’, when restricted to  $\text{Id } R$ , coincides with ideal multiplication (see Theorem 3).

With the exception of Sections 3 and 6, the rings considered will always be commutative. The reader will observe that for commutative  $R$ , Condition F3 in the definition of a (right) topologizing filter is implied by F1, so that a topologizing filter on  $R$  is just a filter, in the purely lattice theoretic sense, on the ideal lattice  $\text{Id } R$  of  $R$ . There is, however, much structural complexity in  $\text{Fil } R$  that derives from the monoid operation ‘ $\cdot$ ’ and its interaction with the lattice operations. Indeed, ‘ $\cdot$ ’ need not be commutative, even in cases where the ring  $R$  is commutative. In this respect,  $\text{Fil } R$  differs from the smaller structure  $\text{Id } R$ , for ideal multiplication always commutes in a commutative ring.

The purpose of Section 2, titled Preliminaries, is self-evident. Section 3 establishes connections between  $\text{Fil } R_R$  and  $\text{Fil } T_T$  in the case where  $R$  and  $T$  are arbitrary rings that are linked by a ring homomorphism  $\varphi : R \rightarrow T$ . A correspondence theorem (Theorem 7) shows that if  $I$  is any proper ideal of arbitrary ring  $R$ , then  $\text{Fil } (R/I)_{R/I}$  is isomorphic to an interval in  $\text{Fil } R_R$ , a fact that we shall exploit in Section 7.

The main theorem (Theorem 17) of Section 4, shows that if  $S$  is a multiplicative subset of commutative ring  $R$  and  $RS^{-1}$  denotes the ring of fractions of  $R$  with respect to  $S$ , then the map  $\hat{\varphi}_S$  from  $[\text{Fil } R]^{\text{du}}$  to  $[\text{Fil } RS^{-1}]^{\text{du}}$  defined by  $\mathfrak{F} \mapsto \{IS^{-1} : I \in \mathfrak{F}\}$ , is an onto homomorphism of lattice ordered monoids. This homomorphism induces a canonical congruence  $\equiv_{\hat{\varphi}_S}$  on  $[\text{Fil } R]^{\text{du}}$  whose properties we shall explore in Section 5. In particular, we see (16) that

$$[\text{Fil } RS^{-1}]^{\text{du}} \cong [\text{Fil } R]^{\text{du}} / \equiv_{\hat{\varphi}_S} .$$

If  $\text{spec}_m R$  denotes the set of maximal ideals of commutative ring  $R$  and  $S = R \setminus P$  with  $P \in \text{spec}_m R$ , then  $R_P \stackrel{\text{def}}{=} RS^{-1}$  is just the localization of  $R$  at  $P$ . We define  $\text{Rad}(\text{Fil } R)$  to be the intersection of all congruences on  $[\text{Fil } R]^{\text{du}}$  of the form  $\equiv_{\hat{\varphi}_{R \setminus P}}$  as  $P$  ranges through  $\text{spec}_m R$ . Thus  $\text{Rad}(\text{Fil } R)$  is the kernel of the canonical

homomorphism

$$[\text{Fil } R]^{\text{du}} \longrightarrow \prod_{P \in \text{spec}_m R} [\text{Fil } R_P]^{\text{du}}$$

which takes  $\mathfrak{F} \in \text{Fil } R$  to  $\{\hat{\varphi}_{R \setminus P}(\mathfrak{F})\}_{P \in \text{spec}_m R}$ .

In general,  $\text{Rad}(\text{Fil } R)$  is nontrivial (meaning, not equal to the identity congruence). Indeed, for a commutative Von Neumann Regular ring  $R$ , we show that  $\text{Rad}(\text{Fil } R)$  is trivial if and only if  $R$  is noetherian and thus a finite product of fields (Proposition 20). If, however,  $R$  is a commutative ring such that  $\text{Fil } R$  is commutative (meaning, the monoid operation ‘ $\cdot$ ’ on  $\text{Fil } R$  is commutative), then  $\text{Rad}(\text{Fil } R)$  is trivial (Theorem 32). This has the consequence that for such rings  $R$ , the canonical homomorphism from  $[\text{Fil } R]^{\text{du}}$  to  $\prod_{P \in \text{spec}_m R} [\text{Fil } R_P]^{\text{du}}$  is a subdirect embedding (see Corollary 33).

Recall that a lattice ordered monoid  $L$  with monoid operation ‘ $\cdot$ ’ is said to be *left residuated* if for every  $a, b \in L$ , there exists a largest  $x \in L$  such that  $x \cdot b \leq a$ . In this situation we call  $x$  the *left residual of  $a$  by  $b$*  and denote it  $ab^{-1}$ . Similarly, we say that  $L$  is *right residuated* if for every  $a, b \in L$ , there exists a largest  $x \in L$ , called the *right residual of  $a$  by  $b$*  and denoted  $b^{-1}a$ , such that  $b \cdot x \leq a$ . It is known that if  $R$  is an arbitrary ring, then the lattice ordered monoid  $[\text{Fil } R_R]^{\text{du}}$  is left, but in general not right, residuated (see Theorem 23). If  $\text{Fil } R_R$  is commutative, then clearly the notions left residuated and right residuated coincide making  $[\text{Fil } R_R]^{\text{du}}$  a two-sided residuated lattice ordered monoid.

The study of rings  $R$  for which  $\text{Fil } R_R$  is commutative was initiated in [11], but later widened in [1] to include rings enjoying the more general two-sided residuation property. Further contributions to this project are made in Section 6. A main theorem (Theorem 28) shows that rings  $R$  for which  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated possess only finitely many minimal prime ideals.

In Section 7, theory is put to use to show that the only Prüfer domains  $R$  for which  $\text{Fil } R$  is commutative are noetherian and thus Dedekind domains. This result generalises [11, Corollary 32, page 102].

## 2. PRELIMINARIES

The symbol  $\subseteq$  denotes containment and  $\subset$  proper containment for sets. If  $A, B$  are sets,  $f : A \rightarrow B$  a function, and  $B' \subseteq B$ , we define

$$f^{-1}[B'] \stackrel{\text{def}}{=} \{a \in A : f(a) \in B'\}.$$

Throughout this paper  $R$  will denote an associative ring with identity and  $\text{Mod-}R$  the category of unital right  $R$ -modules. If  $M, N \in \text{Mod-}R$ ,  $\text{Hom}_R(M, N)$  shall denote the additive abelian group of all  $R$ -module homomorphisms  $f : M \rightarrow N$ . We write  $N \leq M$  if  $N$  is a submodule of  $M$ . If  $X, Y$  are nonempty subsets of  $M$ , we define

$$Y^{-1}X \stackrel{\text{def}}{=} \{r \in R : Yr \subseteq X\}.$$

If  $Y = \{y\}$  [resp.  $X = \{x\}$ ] is a singleton we write  $y^{-1}X$  [resp.  $Y^{-1}x$ ] in place of  $\{y\}^{-1}X$  [resp.  $Y^{-1}\{x\}$ ].

**2.1. Lattice ordered monoids.** A *lattice ordered monoid* is a structure  $\langle L, \vee, \wedge, \cdot, e_L \rangle$  where:

- L1.  $\langle L, \vee, \wedge \rangle$  is a lattice;
- L2.  $\langle L, \cdot, e_L \rangle$  is a monoid with identity element  $e_L$ ;
- L3.  $a \cdot (b \vee c) = (a \cdot b) \vee (a \cdot c)$  and  $(b \vee c) \cdot a = (b \cdot a) \vee (c \cdot a)$  for all  $a, b, c \in L$ .

In the interests of brevity, we shall refer to  $L$  as a lattice ordered monoid in cases where the monoid and lattice operations are understood and no ambiguity arises from their suppression in the notation.

Note that L3 entails the monoid operation ‘ $\cdot$ ’ is order preserving, that is,  $a \leq b$  implies  $a \cdot c \leq b \cdot c$  and  $c \cdot a \leq c \cdot b$  for all  $a, b, c \in L$ .

The following result is ring theoretic folklore.

**Proposition 1.** *Let  $R$  be any ring (with identity). Then  $\langle \text{Id } R, +, \cap, \cdot, R \rangle$  is a complete, lattice ordered monoid, where the join is the operation  $+$  of ideal addition, the meet is intersection  $\cap$ , and ‘ $\cdot$ ’ is the monoid operation of ideal multiplication.*

**2.2. Topologizing filters.** This section and the next, provide the torsion theoretic background that is necessary for what follows. A slightly more detailed exposition may be found in the early pages of [1]. For further background, we refer the reader to the texts [6], [7] and [10].

The set  $\text{Fil } R_R$  of right topologizing filters on ring  $R$  is closed under arbitrary intersections and thus has the structure of a complete lattice with respect to inclusion. The lattice join in  $\text{Fil } R_R$  has an internal description which we provide below. If  $X \subseteq \text{Fil } R_R$ , then

$$\bigwedge X = \bigcap X, \text{ and}$$

$$(1) \quad \bigvee X = \{K \leq R_R : K \supseteq \bigcap X' \text{ for some finite subset } X' \text{ of } \bigcup X\}.$$

The smallest element of  $\text{Fil } R_R$  is the singleton  $\{R\}$  whilst the largest element is the family comprising all right ideals of  $R$ .

A key component of the structure of  $\text{Fil } R_R$  derives from a binary operation ‘ $\cdot$ ’ defined by  $\forall \mathfrak{F}, \mathfrak{G} \in \text{Fil } R_R$ ,

$$\mathfrak{F} \cdot \mathfrak{G} \stackrel{\text{def}}{=} \{K \leq R_R : \exists H \in \mathfrak{F} \text{ such that } H \supseteq K \text{ and } h^{-1}K \in \mathfrak{G} \forall h \in H\}.$$

It is easily seen that the smallest topologizing filter  $\{R\}$  is an identity with respect to ‘ $\cdot$ ’ and  $\mathfrak{F} \cdot \mathfrak{G} \supseteq \mathfrak{F} \vee \mathfrak{G}$  for all  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R_R$ .

**Theorem 2.** [7, Proposition 4.1, page 43] *If  $R$  is any ring then the order dual of  $\langle \text{Fil } R_R, \vee, \cap, \cdot, \{R\} \rangle$ , henceforth denoted  $[\text{Fil } R_R]^{\text{du}}$ , is a complete, lattice ordered monoid.*

If  $I$  is an ideal of ring  $R$ , then the family

$$\eta(I) \stackrel{\text{def}}{=} \{K \leq R_R : K \supseteq I\}$$

is easily shown to constitute a right topologizing filter on  $R$ . If  $\mathfrak{F} \in \text{Fil } R_R$ , then  $\mathfrak{F} = \eta(I)$  for some  $I \in \text{Id } R$  if and only if  $\mathfrak{F}$  is closed under arbitrary (not just finite) intersections [7, Proposition 1.14 and Corollary 1.15, page 9].

**Theorem 3.** [7, Proposition 2.7, page 17 and Proposition 3.4, page 31] *If  $R$  is any ring then the map from  $\text{Id } R$  to  $[\text{Fil } R_R]^{\text{du}}$  defined by  $I \mapsto \eta(I)$  is a one-to-one homomorphism in respect of the binary join, meet, and multiplication operations, that also preserves arbitrary joins. Thus  $\text{Id } R$  is embedded in  $[\text{Fil } R_R]^{\text{du}}$  as a lattice ordered monoid.*

The above theorem allows us to interpret  $\eta$  as a mapping. If, in such a situation, ambiguity arises in relation to the choice of underlying ring  $R$ , we shall write  $\eta^R$  in place of  $\eta$ .

We call  $\mathfrak{F} \in \text{Fil } R_R$  a *right Gabriel topology* on  $R$  if  $\mathfrak{F}$  is idempotent in the sense that  $\mathfrak{F} : \mathfrak{F} = \mathfrak{F}$ .

**2.3. Hereditary pretorsion classes.** A nonempty class  $\mathcal{T}$  of right  $R$ -modules is called a *hereditary pretorsion class* if it is closed under (arbitrary) direct sums, homomorphic images and submodules. The closure of  $\mathcal{T}$  under, in particular, direct sums and homomorphic images, means that every right  $R$ -module  $M$  has a (unique) largest submodule  $\mathcal{T}(M)$  called the  *$\mathcal{T}$ -torsion submodule* of  $M$  that belongs to  $\mathcal{T}$ . If  $\mathcal{T}(M) = M$ , or equivalently  $M \in \mathcal{T}$ , we say that  $M$  is  *$\mathcal{T}$ -torsion* and if  $\mathcal{T}(M) = 0$ , we say that  $M$  is  *$\mathcal{T}$ -torsion-free*.

A submodule  $U$  of  $M \in \text{Mod-}R$  is called a *hereditary pretorsion submodule* of  $M$  if  $U = \mathcal{T}(M)$  for some hereditary pretorsion class  $\mathcal{T}$  of  $\text{Mod-}R$ .

If  $\mathfrak{F} \in \text{Fil } R_R$ , define

$$(2) \quad \mathcal{T}_{\mathfrak{F}} \stackrel{\text{def}}{=} \{M \in \text{Mod-}R : x^{-1}0 \in \mathfrak{F} \ \forall x \in M\}.$$

It is easily checked that  $\mathcal{T}_{\mathfrak{F}}$  is a hereditary pretorsion class in  $\text{Mod-}R$  that we shall call the *hereditary pretorsion class associated with  $\mathfrak{F}$* . Thus, for each  $M \in \text{Mod-}R$ ,

$$(3) \quad \mathcal{T}_{\mathfrak{F}}(M) = \{x \in M : x^{-1}0 \in \mathfrak{F}\} = \{x \in M : xK = 0 \text{ for some } K \in \mathfrak{F}\}.$$

The map  $\mathfrak{F} \mapsto \mathcal{T}_{\mathfrak{F}}$  constitutes a bijection from  $\text{Fil } R_R$  to the collection of all hereditary pretorsion classes in  $\text{Mod-}R$  [10, Proposition VI.4.2, page 145].

It follows from (2) that for each  $K \leq R_R$ ,

$$(4) \quad \begin{aligned} R/K \in \mathcal{T}_{\mathfrak{F}} &\Leftrightarrow r^{-1}K \in \mathfrak{F} \ \forall r \in R \\ &\Leftrightarrow K \in \mathfrak{F} \text{ [by Condition F3]}. \end{aligned}$$

Inasmuch as  $H \in \mathfrak{F}$  if and only if  $R/H \in \mathcal{T}_{\mathfrak{F}}$  by (4), and  $h^{-1}K \in \mathfrak{G} \ \forall h \in H$  if and only if  $H/K \in \mathcal{T}_{\mathfrak{G}}$  by (2), it follows that  $K \in \mathfrak{F} : \mathfrak{G}$  if and only if there exists a short exact sequence

$$0 \longrightarrow H/K \longrightarrow R/K \longrightarrow R/H \longrightarrow 0$$

with  $K \subseteq H \leq R_R$  such that  $H/K \in \mathcal{T}_{\mathfrak{G}}$  and  $R/H \in \mathcal{T}_{\mathfrak{F}}$ . This can be generalised to:  $M \in \mathcal{T}_{\mathfrak{F}:\mathfrak{G}}$  if and only if there exists a short exact sequence

$$0 \longrightarrow N \longrightarrow M \longrightarrow L \longrightarrow 0$$

such that  $N \in \mathcal{T}_{\mathfrak{G}}$  and  $L \in \mathcal{T}_{\mathfrak{F}}$ .

### 3. CHANGE OF RINGS

In this section we show how a ring homomorphism between two rings induces structure preserving maps between the rings' respective sets of topologizing filters. We derive a correspondence theorem (Theorem 7) in the process.

**Proposition 4.** *Let  $R$  and  $T$  be arbitrary rings and  $\varphi : R \rightarrow T$  a ring homomorphism. Then the map  $\varphi^* : \text{Fil } T_T \rightarrow \text{Fil } R_R$  defined by  $\forall \mathfrak{F} \in \text{Fil } T_T$ ,*

$$(5) \quad \varphi^*(\mathfrak{F}) \stackrel{\text{def}}{=} \{K \leq R_R : K \supseteq \varphi^{-1}[L] \text{ for some } L \in \mathfrak{F}\}$$

*is a complete lattice homomorphism, that is to say,  $\varphi^*$  preserves arbitrary meets and joins. Moreover,  $\varphi^*(\mathfrak{F} : \mathfrak{G}) \subseteq \varphi^*(\mathfrak{F}) : \varphi^*(\mathfrak{G})$  for all  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } T_T$ .*

**Proof.** That  $\varphi^*(\mathfrak{F})$  is a right topologizing filter on  $R$  is easily established using the fact that for all  $A, B \leq T_T$  and  $r \in R$ ,  $\varphi^{-1}[A \cap B] = \varphi^{-1}[A] \cap \varphi^{-1}[B]$  and  $r^{-1}\varphi^{-1}[A] = \varphi^{-1}[\varphi(r)^{-1}A]$ .

We show next that  $\varphi^*$  preserves arbitrary meets. To this end, let  $\{\mathfrak{F}_\delta : \delta \in \Delta\}$  be a nonempty subset of  $\text{Fil } T_T$ . Since  $\varphi^*$  is order preserving, the containment  $\varphi^*(\bigcap_{\delta \in \Delta} \mathfrak{F}_\delta) \subseteq \bigcap_{\delta \in \Delta} \varphi^*(\mathfrak{F}_\delta)$  is clear. To establish the reverse containment, take  $K \in \bigcap_{\delta \in \Delta} \varphi^*(\mathfrak{F}_\delta)$  so that  $K \in \varphi^*(\mathfrak{F}_\delta)$  for all  $\delta \in \Delta$ . By (5), there exists, for each  $\delta \in \Delta$ , a right ideal  $L_\delta \in \mathfrak{F}_\delta$  such that  $K \supseteq \varphi^{-1}[L_\delta]$ . It follows that  $K \supseteq \sum_{\delta \in \Delta} \varphi^{-1}[L_\delta] = \varphi^{-1}[\sum_{\delta \in \Delta} L_\delta]$ . Since  $\sum_{\delta \in \Delta} L_\delta \supseteq L_\delta$  for each  $\delta \in \Delta$ , it follows that  $\sum_{\delta \in \Delta} L_\delta \in \bigcap_{\delta \in \Delta} \mathfrak{F}_\delta$ , so  $K \in \varphi^*(\bigcap_{\delta \in \Delta} \mathfrak{F}_\delta)$ . Thus  $\varphi^*(\bigcap_{\delta \in \Delta} \mathfrak{F}_\delta) \supseteq \bigcap_{\delta \in \Delta} \varphi^*(\mathfrak{F}_\delta)$ , whence equality.

We now show that  $\varphi^*$  preserves arbitrary joins. The containment  $\varphi^*(\bigvee_{\delta \in \Delta} \mathfrak{F}_\delta) \supseteq \bigvee_{\delta \in \Delta} \varphi^*(\mathfrak{F}_\delta)$  is clear. Take  $K \in \varphi^*(\bigvee_{\delta \in \Delta} \mathfrak{F}_\delta)$ . Then  $K \supseteq \varphi^{-1}[L]$  for some  $L \in \bigvee_{\delta \in \Delta} \mathfrak{F}_\delta$ . By (1), there exists a finite subset  $\Delta'$  of  $\Delta$  and a right ideal  $A_\delta \in \mathfrak{F}_\delta$  for each  $\delta \in \Delta'$  such that  $L \supseteq \bigcap_{\delta \in \Delta'} A_\delta$ . Then  $K \supseteq \varphi^{-1}[L] \supseteq \varphi^{-1}[\bigcap_{\delta \in \Delta'} A_\delta] = \bigcap_{\delta \in \Delta'} \varphi^{-1}[A_\delta]$ . Clearly  $\varphi^{-1}[A_\delta] \in \varphi^*(\mathfrak{F}_\delta)$  for all  $\delta \in \Delta'$ , so  $\bigcap_{\delta \in \Delta'} \varphi^{-1}[A_\delta] \in \bigvee_{\delta \in \Delta} \varphi^*(\mathfrak{F}_\delta)$  by (1), whence  $K \in \bigvee_{\delta \in \Delta} \varphi^*(\mathfrak{F}_\delta)$ . The containment  $\varphi^*(\bigvee_{\delta \in \Delta} \mathfrak{F}_\delta) \subseteq \bigvee_{\delta \in \Delta} \varphi^*(\mathfrak{F}_\delta)$  is thus established, whence equality.

To complete the proof, it remains to show that  $\varphi^*(\mathfrak{F} : \mathfrak{G}) \subseteq \varphi^*(\mathfrak{F}) : \varphi^*(\mathfrak{G})$  for all  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } T_T$ . Take  $K \in \varphi^*(\mathfrak{F} : \mathfrak{G})$ . Then  $K \supseteq \varphi^{-1}[L]$  for some  $L \in \mathfrak{F} : \mathfrak{G}$ , so there exists  $H \in \mathfrak{F}$  such that  $H \supseteq L$  and

$$(6) \quad t^{-1}L \in \mathfrak{G} \quad \forall t \in H.$$

Since  $H \in \mathfrak{F}$ ,

$$(7) \quad \varphi^{-1}[H] \in \varphi^*(\mathfrak{F}).$$

Observe that

$$\begin{aligned}
 (8) \quad r \in \varphi^{-1}[H] &\Rightarrow \varphi(r) \in H \\
 &\Rightarrow \varphi(r)^{-1}L \in \mathfrak{G} \text{ [by (6)]} \\
 &\Rightarrow \varphi^{-1}[\varphi(r)^{-1}L] \in \varphi^*(\mathfrak{G}) \text{ [by (5)]} \\
 &\Rightarrow r^{-1}\varphi^{-1}[L] \in \varphi^*(\mathfrak{G}) \text{ [because } \varphi^{-1}[\varphi(r)^{-1}L] = r^{-1}\varphi^{-1}[L]\text{]}.
 \end{aligned}$$

Statements (7) and (8) imply that  $\varphi^{-1}[L] \in \varphi^*(\mathfrak{F}) : \varphi^*(\mathfrak{G})$ , whence  $K \in \varphi^*(\mathfrak{F}) : \varphi^*(\mathfrak{G})$ .  $\square$

We point out, with reference to the previous result, that  $\varphi^*$  is, in general, not a monoid homomorphism with respect to ‘:’.

Now let  $I$  be a proper ideal of ring  $R$  and  $\pi : R \rightarrow R/I$  the canonical ring epimorphism. Observe that in this situation, for each  $\mathfrak{F} \in \text{Fil}(R/I)_{R/I}$ ,

$$\begin{aligned}
 (9) \quad \pi^*(\mathfrak{F}) &= \{K \leq R_R : K \supseteq \pi^{-1}[L] \text{ for some } L \in \mathfrak{F}\} \\
 &= \{K \leq R_R : K \supseteq I \text{ and } K/I \in \mathfrak{F}\}.
 \end{aligned}$$

We remind the reader that  $\text{Mod}(R/I)$  may be interpreted as a subcategory of  $\text{Mod-}R$ : if  $M \in \text{Mod}(R/I)$  and  $x \in M$ , then

$$(10) \quad xr \stackrel{\text{def}}{=} x(r+I) \quad \forall r \in R.$$

Now take  $\mathfrak{F} \in \text{Fil}(R/I)_{R/I}$  and let  $M \in \text{Mod}(R/I)$ . Then

$$\begin{aligned}
 x \in \mathcal{T}_{\mathfrak{F}}(M) &\Leftrightarrow \exists K \leq R_R \text{ such that } K \supseteq I, K/I \in \mathfrak{F} \text{ and } x(K/I) = 0 \text{ [by (3)]} \\
 &\Leftrightarrow \exists K \in \pi^*(\mathfrak{F}) \text{ such that } x(K/I) = 0 \text{ [by (9)]} \\
 &\Leftrightarrow \exists K \in \pi^*(\mathfrak{F}) \text{ such that } xK = 0 \text{ [because, by (10), } xK = x(K/I)\text{]} \\
 &\Leftrightarrow x \in \mathcal{T}_{\pi^*(\mathfrak{F})}(M) \text{ [by (3)].}
 \end{aligned}$$

We have thus shown that, for every  $M \in \text{Mod-}R/I$ ,

$$(11) \quad \mathcal{T}_{\mathfrak{F}}(M) = \mathcal{T}_{\pi^*(\mathfrak{F})}(M).$$

**Proposition 5.** *Let  $I$  be a proper ideal of arbitrary ring  $R$  and  $\pi : R \rightarrow R/I$  the canonical ring epimorphism. Then, for all  $\mathfrak{F}, \mathfrak{G} \in \text{Fil}(R/I)_{R/I}$ ,*

$$\pi^*(\mathfrak{F} : \mathfrak{G}) = [\pi^*(\mathfrak{F}) : \pi^*(\mathfrak{G})] \cap \eta(I).$$

**Proof.** By Proposition 4,  $\pi^*(\mathfrak{F} : \mathfrak{G}) \subseteq \pi^*(\mathfrak{F}) : \pi^*(\mathfrak{G})$ . It follows from (9) that for each  $\mathfrak{F} \in \text{Fil}(R/I)_{R/I}$ ,  $\pi^*(\mathfrak{F}) \subseteq \{K \leq R_R : K \supseteq I\} = \eta(I)$ . Thus  $\pi^*(\mathfrak{F} : \mathfrak{G}) \subseteq [\pi^*(\mathfrak{F}) : \pi^*(\mathfrak{G})] \cap \eta(I)$ .

To establish the reverse containment, take  $K \in [\pi^*(\mathfrak{F}) : \pi^*(\mathfrak{G})] \cap \eta(I)$ . Then there exists  $H \leq R_R$  such that  $H \supseteq K$ ,  $R/H$  is  $\mathcal{T}_{\pi^*(\mathfrak{F})}$ -torsion and  $H/K$  is  $\mathcal{T}_{\pi^*(\mathfrak{G})}$ -torsion. Inasmuch as  $K \in \eta(I)$ ,  $H \supseteq K \supseteq I$ . This means that the short exact sequence

$$0 \longrightarrow H/K \longrightarrow R/K \longrightarrow R/H \longrightarrow 0$$

in  $\text{Mod-}R$ , induces the following short exact sequence in  $\text{Mod-}(R/I)$

$$0 \longrightarrow (H/I)/(K/I) \longrightarrow (R/I)/(K/I) \longrightarrow (R/I)/(H/I) \longrightarrow 0.$$

Since  $H \in \pi^*(\mathfrak{F})$  (because  $R/H$  is  $\mathcal{T}_{\pi^*(\mathfrak{F})}$ -torsion), it follows from (9) that  $H/I \in \mathfrak{F}$ . Since  $(H/I)/(K/I) \cong H/K$  is  $\mathcal{T}_{\pi^*(\mathfrak{G})}$ -torsion, it follows from (11) that  $(H/I)/(K/I)$  is  $\mathcal{T}_{\mathfrak{G}}$ -torsion. We conclude that  $K/I \in \mathfrak{F} : \mathfrak{G}$ , so  $K \in \pi^*(\mathfrak{F} : \mathfrak{G})$ . We have thus shown that  $[\pi^*(\mathfrak{F}) : \pi^*(\mathfrak{G})] \cap \eta(I) \subseteq \pi^*(\mathfrak{F} : \mathfrak{G})$ , whence equality.  $\square$

Let  $I$  be an ideal of arbitrary ring  $R$ . In general, the interval  $[0, \eta(I)] \stackrel{\text{def}}{=} \{\mathfrak{F} \in \text{Fil } R_R : \mathfrak{F} \subseteq \eta(I)\}$  of  $\text{Fil } R_R$  is not closed under the monoid operation ‘:’, for  $\eta(I) : \eta(I) = \eta(I \cdot I) = \eta(I^2)$  by Theorem 3, and  $\eta(I^2)$  does not belong to  $[0, \eta(I)]$  unless  $I^2 = I$ .

We define operation  $:_I$  on  $[0, \eta(I)]$  by  $\forall \mathfrak{F}, \mathfrak{G} \in [0, \eta(I)]$ ,

$$\mathfrak{F} :_I \mathfrak{G} \stackrel{\text{def}}{=} (\mathfrak{F} : \mathfrak{G}) \cap \eta(I).$$

**Remark 6.** Note that if, in the above definition, the ideal  $I$  is idempotent, that is to say  $I^2 = I$ , then  $[0, \eta(I)]$  will be closed under the operation ‘:’ which coincides with  $:_I$ .

In light of the previous definition and Proposition 5, we see that  $\forall \mathfrak{F}, \mathfrak{G} \in \text{Fil } (R/I)_{(R/I)}$ ,

$$(12) \quad \pi^*(\mathfrak{F} : \mathfrak{G}) = \pi^*(\mathfrak{F}) :_I \pi^*(\mathfrak{G}),$$

which is to say,  $\pi^* : \text{Fil } (R/I)_{R/I} \rightarrow \langle [0, \eta(I)]; :_I \rangle$  is a monoid homomorphism.

Let  $I$  be a proper ideal of arbitrary ring  $R$  and  $\pi : R \rightarrow R/I$  the canonical ring epimorphism. Define map  $\pi_* : [0, \eta(I)] \rightarrow \text{Fil } (R/I)_{R/I}$  by  $\forall \mathfrak{F} \in [0, \eta(I)]$ ,

$$(13) \quad \pi_*(\mathfrak{F}) \stackrel{\text{def}}{=} \{K/I : K \in \mathfrak{F}\}.$$

It is easily checked that  $\pi_*(\mathfrak{F})$  is indeed a member of  $\text{Fil } (R/I)_{R/I}$ .

**Theorem 7.** (*Correspondence Theorem*) Let  $I$  be a proper ideal of arbitrary ring  $R$  and  $\pi : R \rightarrow R/I$  the canonical ring epimorphism. Then  $\pi^*$  and  $\pi_*$  are mutually inverse complete lattice and monoid isomorphisms between  $\text{Fil } (R/I)_{R/I}$  and  $\langle [0, \eta(I)]; :_I \rangle$ .

**Proof.** We proved in Proposition 4 that  $\pi^*$  is a complete lattice homomorphism; it is, furthermore, a monoid homomorphism by (12). To complete the proof, it therefore suffices to show that  $\pi^*$  and  $\pi_*$  are mutually inverse maps. To this end, take  $\mathfrak{F} \in \text{Fil } (R/I)_{R/I}$  and  $K \leq R_R$  with  $K \supseteq I$ . Then

$$K/I \in (\pi_* \circ \pi^*)(\mathfrak{F}) = \pi_*(\pi^*(\mathfrak{F})) \Leftrightarrow K \in \pi^*(\mathfrak{F}) \Leftrightarrow K/I \in \mathfrak{F}.$$

Thus  $(\pi_* \circ \pi^*)(\mathfrak{F}) = \mathfrak{F}$ .

Now take  $\mathfrak{G} \in [0, \eta(I)]$ . Then

$$\begin{aligned} K \in (\pi^* \circ \pi_*)(\mathfrak{G}) &= \pi^*(\pi_*(\mathfrak{G})) \Leftrightarrow K \supseteq I \text{ and } K/I \in \pi_*(\mathfrak{G}) \text{ [by (9)]} \\ &\Leftrightarrow K \in \mathfrak{G} \text{ [by (13)].} \end{aligned}$$

Thus  $(\pi^* \circ \pi_*)(\mathfrak{G}) = \mathfrak{G}$ . We conclude that  $\pi^*$  and  $\pi_*$  are mutually inverse maps.  $\square$



Inasmuch as  $[\text{Fil } R_R]^{\text{du}}$  is a complete lattice ordered monoid for all rings  $R$  by Theorem 2, the following corollary to Theorem 7 is immediate.

**Corollary 8.** *Let  $I$  be a proper ideal of arbitrary ring  $R$ . Then  $[\text{Fil}((R/I)_{R/I})]^{\text{du}}$  and  $\langle [0, \eta(I)]; :_I \rangle^{\text{du}}$  are isomorphic complete lattice ordered monoids.*

Let  $I \in \text{Id } R$  with  $I \subset R$ . Consider the map from  $\text{Fil } R_R$  to  $[0, \eta(I)]$  given by  $\mathfrak{F} \mapsto \mathfrak{F} \cap \eta(I)$ ,  $\mathfrak{F} \in \text{Fil } R_R$ . That this map is onto and preserves arbitrary meets is obvious. Moreover, if  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R_R$ , then

$$\begin{aligned} [\mathfrak{F} \cap \eta(I)] :_I [\mathfrak{G} \cap \eta(I)] &= ([\mathfrak{F} \cap \eta(I)] : [\mathfrak{G} \cap \eta(I)]) \cap \eta(I) \\ &= ([\mathfrak{F} : \mathfrak{G}] \cap [\mathfrak{F} : \eta(I)] \cap [\eta(I) : \mathfrak{G}] \cap [\eta(I) : \eta(I)]) \cap \eta(I) \\ &= [\mathfrak{F} : \mathfrak{G}] \cap \eta(I) \quad [\text{because } \mathfrak{F} : \eta(I), \eta(I) : \mathfrak{G} \text{ and } \eta(I) : \eta(I) \text{ all} \\ &\quad \text{contain } \eta(I)]. \end{aligned}$$

We have thus proved:

**Proposition 9.** *Let  $I$  be a proper ideal of arbitrary ring  $R$ . The map from  $\text{Fil } R_R$  to  $\langle [0, \eta(I)]; :_I \rangle$  given by  $\mathfrak{F} \mapsto \mathfrak{F} \cap \eta(I)$ ,  $\mathfrak{F} \in \text{Fil } R_R$ , is onto, preserves arbitrary meets, and is a monoid homomorphism.*

#### 4. TOPOLOGIZING FILTERS IN RINGS OF FRACTIONS

Throughout this section and the next,  $S$  shall denote a multiplicative subset of commutative ring  $R$  and  $RS^{-1}$  the ring of fractions of  $R$  with respect to  $S$ . We denote by

$$\begin{aligned} \varphi_S : R &\longrightarrow RS^{-1} \\ r &\longmapsto \frac{r}{1} \end{aligned}$$

the canonical ring homomorphism.

For each  $M \in \text{Mod-}R$ ,  $MS^{-1} \in \text{Mod-}RS^{-1}$  shall denote the module of fractions of  $M$  with respect to  $S$  and

$$\begin{aligned} \varphi_S^M : M &\longrightarrow MS^{-1} \\ x &\longmapsto \frac{x}{1} \end{aligned}$$

the canonical  $R$ -module homomorphism.

If  $N \in \text{Mod-}R$  and  $f \in \text{Hom}_R(M, N)$ , then

$$\begin{aligned} fS^{-1} : MS^{-1} &\longrightarrow NS^{-1} \\ \frac{x}{s} &\longmapsto \frac{f(x)}{s} \end{aligned}$$

denotes the canonical  $RS^{-1}$ -module homomorphism.

The associations  $M \mapsto MS^{-1}$  and  $f \mapsto fS^{-1}$  are easily shown to be functorial, thus allowing us to interpret  $(\_)S^{-1}$  as a covariant functor from  $\text{Mod-}R$  to  $\text{Mod-}RS^{-1}$  which is known to be exact (see for example [9, Theorem 3.2, page 134]).

Proofs of the statements in Proposition 10 below, all of which are standard, may be found in [9, Chapter 3].

**Proposition 10.** *Let  $S$  be a multiplicative subset of commutative ring  $R$  and  $M \in \text{Mod-}R$ . Then:*

- (a) For each  $RS^{-1}$ -submodule  $L$  of  $MS^{-1}$ ,  $((\varphi_S^M)^{-1}[L])S^{-1} = L$ . Hence the map  $N \mapsto NS^{-1}$  is an onto map from the set of  $R$ -submodules of  $M$  to the set of  $RS^{-1}$ -submodules of  $MS^{-1}$ . In particular, the map  $I \mapsto IS^{-1}$  from  $\text{Id } R$  to  $\text{Id } RS^{-1}$  is onto.
- (b) For each finite family  $\{N_i : 1 \leq i \leq n\}$  of submodules of  $M$ ,  $(\bigcap_{i=1}^n N_i)S^{-1} = \bigcap_{i=1}^n N_i S^{-1}$ .
- (c) For every (possibly infinite) family  $\{L_\delta : \delta \in \Delta\}$  of submodules of  $M$ ,  $(\sum_{\delta \in \Delta} L_\delta)S^{-1} = \sum_{\delta \in \Delta} L_\delta S^{-1}$ .
- (d) For each finite family  $\{I_i : 1 \leq i \leq n\}$  of ideals of  $R$ ,  $(I_1 I_2 \dots I_n)S^{-1} = (I_1 S^{-1})(I_2 S^{-1}) \dots (I_n S^{-1})$ .
- (e) The map  $I \mapsto IS^{-1}$  from  $\text{Id } R$  to  $\text{Id } RS^{-1}$  restricts to a bijection from the set of prime ideals of  $R$  disjoint from  $S$ , to the set of prime ideals of  $RS^{-1}$ .

**Remark 11.** Parts (a)-(d) of Proposition 10 tell us that the map  $I \mapsto IS^{-1}$  from  $\text{Id } R$  to  $\text{Id } RS^{-1}$  is an onto homomorphism of lattice ordered monoids.

For each  $\mathfrak{F} \in \text{Fil } R$ , define

$$\hat{\varphi}_S(\mathfrak{F}) \stackrel{\text{def}}{=} \{IS^{-1} : I \in \mathfrak{F}\}.$$

It is easily seen that  $\hat{\varphi}_S(\mathfrak{F})$  is a member of  $\text{Fil } RS^{-1}$ . Indeed, since the ring  $RS^{-1}$  is commutative, to show that  $\hat{\varphi}_S(\mathfrak{F})$  is a topologizing filter on  $RS^{-1}$ , it suffices to show that closure properties F1 and F2 hold and this is easily done with the aid of Proposition 10((a)-(c)). We may thus interpret  $\hat{\varphi}_S$  as a map from  $\text{Fil } R$  to  $\text{Fil } RS^{-1}$ .

To obtain a map in the reverse direction, we first remind the reader (see Proposition 4) that the canonical ring homomorphism  $\varphi_S : R \rightarrow RS^{-1}$  induces a map  $\varphi_S^* : \text{Fil } RS^{-1} \rightarrow \text{Fil } R$  where, for each  $\mathfrak{F} \in \text{Fil } RS^{-1}$ ,

$$\varphi_S^*(\mathfrak{F}) = \{I \leq R_R : I \supseteq \varphi_S^{-1}[L] \text{ for some } L \in \mathfrak{F}\}.$$

**Proposition 12.** Let  $S$  be a multiplicative subset of commutative ring  $R$ . Then:

- (a) The map  $\hat{\varphi}_S : \text{Fil } R \rightarrow \text{Fil } RS^{-1}$  is onto.  
 (b)  $\hat{\varphi}_S$  preserves arbitrary (possibly infinite) meets.  
 (c)  $\hat{\varphi}_S$  preserves finite joins.

**Proof.** (a) To show that  $\hat{\varphi}_S$  is onto, it suffices to show that  $(\hat{\varphi}_S \circ \varphi_S^*)(\mathfrak{F}) = \mathfrak{F}$  for all  $\mathfrak{F} \in \text{Fil } RS^{-1}$ . Take  $\mathfrak{F} \in \text{Fil } RS^{-1}$ . Then

$$\begin{aligned} K &\in (\hat{\varphi}_S \circ \varphi_S^*)(\mathfrak{F}) = \hat{\varphi}_S(\varphi_S^*(\mathfrak{F})) \\ &\Rightarrow K = AS^{-1} \text{ for some } A \in \varphi_S^*(\mathfrak{F}) \\ &\Rightarrow K \supseteq (\varphi_S^{-1}[L])S^{-1} \text{ for some } L \in \mathfrak{F} \\ &\Rightarrow K \supseteq L \text{ for some } L \in \mathfrak{F} \text{ [because } (\varphi_S^{-1}[L])S^{-1} = L \text{ by Proposition 10(a)]} \\ &\Rightarrow K \in \mathfrak{F}. \end{aligned}$$

Thus  $(\hat{\varphi}_S \circ \varphi_S^*)(\mathfrak{F}) \subseteq \mathfrak{F}$ .

Now take  $K \in \mathfrak{F}$  so that  $\varphi_S^{-1}[K] \in \varphi_S^*(\mathfrak{F})$ . Then  $(\varphi_S^{-1}[K])S^{-1} \in \hat{\varphi}_S(\varphi_S^*(\mathfrak{F})) = (\hat{\varphi}_S \circ \varphi_S^*)(\mathfrak{F})$  and since  $(\varphi_S^{-1}[K])S^{-1} = K$  by Proposition 10(a), it follows that  $K \in (\hat{\varphi}_S \circ \varphi_S^*)(\mathfrak{F})$ . Thus  $\mathfrak{F} \subseteq (\hat{\varphi}_S \circ \varphi_S^*)(\mathfrak{F})$  which establishes the reverse containment.

(b) Let  $\{\mathfrak{F}_\delta : \delta \in \Delta\} \subseteq \text{Fil } R$ . Since  $\hat{\varphi}_S$  is order preserving, we must have  $\hat{\varphi}_S(\bigcap_{\delta \in \Delta} \mathfrak{F}_\delta) \subseteq \bigcap_{\delta \in \Delta} \hat{\varphi}_S(\mathfrak{F}_\delta)$ .

To establish the reverse containment, suppose  $K \in \bigcap_{\delta \in \Delta} \hat{\varphi}_S(\mathfrak{F}_\delta)$ . Then  $K \in \hat{\varphi}_S(\mathfrak{F}_\delta)$  for each  $\delta \in \Delta$ , so there exists  $B_\delta \in \mathfrak{F}_\delta$  for each  $\delta \in \Delta$  such that  $K = B_\delta S^{-1}$ . Putting  $B = \sum_{\delta \in \Delta} B_\delta$ , we have  $B \in \bigcap_{\delta \in \Delta} \mathfrak{F}_\delta$  and

$$\begin{aligned} BS^{-1} &= \left( \sum_{\delta \in \Delta} B_\delta \right) S^{-1} \\ &= \sum_{\delta \in \Delta} (B_\delta S^{-1}) \quad [\text{by Proposition 10(c)}] \\ &= \sum_{\delta \in \Delta} K = K. \end{aligned}$$

Thus  $K \in \hat{\varphi}_S(\bigcap_{\delta \in \Delta} \mathfrak{F}_\delta)$ . We conclude that  $\hat{\varphi}_S$  preserves arbitrary meets.

(c) Let  $\{\mathfrak{F}_i : 1 \leq i \leq n\}$  be a finite subfamily of  $\text{Fil } R$ . Since  $\hat{\varphi}_S$  is order preserving,  $\hat{\varphi}_S(\bigvee_{i=1}^n \mathfrak{F}_i) \supseteq \bigvee_{i=1}^n \hat{\varphi}_S(\mathfrak{F}_i)$ .

For the reverse containment, take  $K \in \hat{\varphi}_S(\bigvee_{i=1}^n \mathfrak{F}_i)$ . Then  $K = AS^{-1}$  for some  $A \in \bigvee_{i=1}^n \mathfrak{F}_i$ . It follows from (1) that there exists  $L_i \in \mathfrak{F}_i$  for each  $i \in \{1, 2, \dots, n\}$  such that  $A \supseteq \bigcap_{i=1}^n L_i$ . Then

$$\begin{aligned} K &= AS^{-1} \\ &\supseteq \left( \bigcap_{i=1}^n L_i \right) S^{-1} \quad [\text{because } A \supseteq \bigcap_{i=1}^n L_i] \\ &= \bigcap_{i=1}^n L_i S^{-1} \quad [\text{by Proposition 10(b)}] \\ &\in \bigvee_{i=1}^n \hat{\varphi}_S(\mathfrak{F}_i) \quad [\text{by (1)}]. \end{aligned}$$

This implies that  $K \in \bigvee_{i=1}^n \hat{\varphi}_S(\mathfrak{F}_i)$ . Thus  $\hat{\varphi}_S(\bigvee_{i=1}^n \mathfrak{F}_i) \subseteq \bigvee_{i=1}^n \hat{\varphi}_S(\mathfrak{F}_i)$ , as required.  $\square$

The family

$$\mathfrak{F}_S \stackrel{\text{def}}{=} \{I \leq R : I \cap S \neq \emptyset\}$$

is a Gabriel topology on  $R$  [10, Proposition VI.6.1, page 148] and if  $M \in \text{Mod-}R$  and  $\varphi_S^M : M \rightarrow MS^{-1}$  is the canonical  $R$ -module homomorphism, then

$$\begin{aligned}
 \text{Ker } \varphi_S^M &= \{x \in M : xs = 0 \text{ for some } s \in S\} \\
 &= \{x \in M : x^{-1}0 \cap S \neq \emptyset\} \\
 &= \{x \in M : x^{-1}0 \in \mathfrak{F}_S\} \\
 (14) \qquad &= \mathcal{T}_{\mathfrak{F}_S}(M) \text{ [by (3)].}
 \end{aligned}$$

Let  $R$  be an arbitrary (not necessarily commutative) ring and  $\mathfrak{F} \in \text{Fil } R_R$ . We shall call a subset  $X$  of  $\mathfrak{F}$  a *cofinal* set for  $\mathfrak{F}$  if, given any  $A \in \mathfrak{F}$ , there exists  $B \in X$  such that  $A \supseteq B$ .

We require the following result from [11, Lemma 3 and Remark 2, page 90].

**Lemma 13.** *Let  $R$  be an arbitrary ring and  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R_R$ . If  $\{I_\gamma : \gamma \in \Gamma\}$  is a cofinal set of finitely generated right ideals for  $\mathfrak{F}$  and  $\{J_\theta : \theta \in \Theta\}$  is a cofinal set of (two-sided) ideals for  $\mathfrak{G}$ , then  $\{I_\gamma J_\theta : \gamma \in \Gamma, \theta \in \Theta\}$  is a cofinal set for  $\mathfrak{F} : \mathfrak{G}$ .*

**Proposition 14.** *Let  $S$  be a multiplicative subset of commutative ring  $R$ . Then, for all  $\mathfrak{G} \in \text{Fil } R$ :*

- (a)  $\mathfrak{F}_S : \mathfrak{G} \subseteq \mathfrak{G} : \mathfrak{F}_S$ .
- (b)  $\mathfrak{F}_S : \mathfrak{G} : \mathfrak{F}_S = \mathfrak{G} : \mathfrak{F}_S$ .

**Proof.** (a) Note that  $A \in \mathfrak{F}_S$  if and only if  $t \in A$  for some  $t \in S$ , or equivalently,  $A \supseteq tR$  for some  $t \in S$ . Thus  $\{tR : t \in S\}$  is a cofinal set of principal (and thus finitely generated) ideals for  $\mathfrak{F}_S$ . Take  $\mathfrak{G} \in \text{Fil } R$ . Inasmuch as every member of  $\mathfrak{G}$  is a (two-sided) ideal of  $R$ , it follows from Lemma 13 that  $\{tK : t \in S, K \in \mathfrak{G}\}$  is a cofinal set for  $\mathfrak{F}_S : \mathfrak{G}$ . Take  $t \in S$  and  $K \in \mathfrak{G}$  and consider the short exact sequence

$$0 \longrightarrow K/tK \longrightarrow R/tK \longrightarrow R/K \longrightarrow 0.$$

Observe that the right  $R$ -module  $K/tK$  is annihilated by  $t$  and is thus  $\mathcal{T}_{\mathfrak{F}_S}$ -torsion by (2). Since  $R/K$  is  $\mathcal{T}_{\mathfrak{G}}$ -torsion by (4), it follows that  $R/tK$  is  $\mathcal{T}_{\mathfrak{G} : \mathfrak{F}_S}$ -torsion, whence  $tK \in \mathfrak{G} : \mathfrak{F}_S$ . Since the family  $\{tK : t \in S, K \in \mathfrak{G}\}$  is cofinal in  $\mathfrak{F}_S : \mathfrak{G}$ , we conclude that  $\mathfrak{F}_S : \mathfrak{G} \subseteq \mathfrak{G} : \mathfrak{F}_S$ .

(b) Take  $\mathfrak{G} \in \text{Fil } R$ . Since  $\mathfrak{F} : \mathfrak{G} \supseteq \mathfrak{F} \vee \mathfrak{G}$  for all  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R$ , it is easily seen that  $\mathfrak{F}_S : \mathfrak{G} : \mathfrak{F}_S \supseteq \mathfrak{G} : \mathfrak{F}_S$ . The reverse containment follows inasmuch as

$$\begin{aligned}
 \mathfrak{F}_S : \mathfrak{G} : \mathfrak{F}_S &\subseteq (\mathfrak{G} : \mathfrak{F}_S) : \mathfrak{F}_S \text{ [because } \mathfrak{F}_S : \mathfrak{G} \subseteq \mathfrak{G} : \mathfrak{F}_S \text{ by (a)]} \\
 &= \mathfrak{G} : (\mathfrak{F}_S : \mathfrak{F}_S) \\
 &= \mathfrak{G} : \mathfrak{F}_S \text{ [because } \mathfrak{F}_S \text{ is a Gabriel topology, so } \mathfrak{F}_S : \mathfrak{F}_S = \mathfrak{F}_S\text{].}
 \end{aligned}$$

□

**Lemma 15.** *Let  $S$  be a multiplicative subset of commutative ring  $R$  and  $\mathfrak{G} \in \text{Fil } R$ . The following statements are equivalent for a right  $R$ -module  $M$ :*

- (a)  $MS^{-1}$  is a  $\mathcal{T}_{\hat{\varphi}_S(\mathfrak{G})}$ -torsion right  $RS^{-1}$ -module;
- (b)  $M$  is a  $\mathcal{T}_{\mathfrak{G} : \mathfrak{F}_S}$ -torsion right  $R$ -module.

**Proof.** (a) $\Rightarrow$ (b) Take  $x \in M$ . Since  $\frac{x}{1} \in MS^{-1}$  and  $MS^{-1}$  is  $\mathcal{T}_{\hat{\varphi}_S(\mathfrak{G})}$ -torsion,  $(\frac{x}{1})I = 0$  for some  $I \in \hat{\varphi}_S(\mathfrak{G})$  by (3). Put  $I = AS^{-1}$  with  $A \in \mathfrak{G}$ . Then  $(\frac{x}{1})I = (xA)S^{-1} = 0$ . This implies that the canonical  $R$ -homomorphism  $\varphi_S^{xA} : xA \rightarrow (xA)S^{-1}$  is the zero map. Hence, by (14),  $xA$  is  $\mathcal{T}_{\mathfrak{F}_S}$ -torsion. Consider the short exact sequence

$$0 \longrightarrow xA \longrightarrow xR \longrightarrow xR/xA \longrightarrow 0.$$

By (4),  $R/A$  is  $\mathcal{T}_{\mathfrak{G}}$ -torsion because  $A \in \mathfrak{G}$ . It follows that  $xR/xA$ , being an epimorphic image of  $R/A$ , is also  $\mathcal{T}_{\mathfrak{G}}$ -torsion. We infer from the above short exact sequence that  $xR$  is  $\mathcal{T}_{\mathfrak{G}:\mathfrak{F}_S}$ -torsion, so  $x \in \mathcal{T}_{\mathfrak{G}:\mathfrak{F}_S}(M)$ . We conclude that  $M$  is  $\mathcal{T}_{\mathfrak{G}:\mathfrak{F}_S}$ -torsion.

(b) $\Rightarrow$ (a) Take  $\frac{x}{s} \in MS^{-1}$  with  $x \in M$ ,  $s \in S$ . Put  $x^{-1}0 = A$ . It is easily seen that  $(\frac{x}{s})(AS^{-1}) = 0$ . Since  $M$  is  $\mathcal{T}_{\mathfrak{G}:\mathfrak{F}_S}$ -torsion,  $A \in \mathfrak{G} : \mathfrak{F}_S$  by (2), so there exists  $H \in \mathfrak{G}$  such that  $H \supseteq A$  and  $H/A$  is  $\mathcal{T}_{\mathfrak{F}_S}$ -torsion. Consider the short exact sequence

$$0 \longrightarrow H/A \longrightarrow R/A \longrightarrow R/H \longrightarrow 0$$

in  $\text{Mod-}R$ . Inasmuch as the functor  $(\_)S^{-1}$  is exact, the above sequence induces the following short exact sequence in  $\text{Mod-}RS^{-1}$ :

$$0 \longrightarrow (H/A)S^{-1} \longrightarrow (R/A)S^{-1} \longrightarrow (R/H)S^{-1} \longrightarrow 0.$$

Since  $H/A$  is  $\mathcal{T}_{\mathfrak{F}_S}$ -torsion, it follows from (14) that the canonical  $R$ -homomorphism  $\varphi_S^{H/A} : H/A \rightarrow (H/A)S^{-1}$  is the zero map, and this is only possible if  $(H/A)S^{-1} = 0$ . We conclude from exactness of the above sequence that  $(R/A)S^{-1}$  and  $(R/H)S^{-1}$  are isomorphic right  $RS^{-1}$ -modules. It again follows from the exactness of  $(\_)S^{-1}$  that  $(R/H)S^{-1} \cong RS^{-1}/HS^{-1}$  and  $(R/A)S^{-1} \cong RS^{-1}/AS^{-1}$  as right  $RS^{-1}$ -modules. Since  $(R/A)S^{-1} \cong (R/H)S^{-1}$ , we must have  $AS^{-1} = HS^{-1}$ . Inasmuch as  $H \in \mathfrak{G}$ ,  $AS^{-1} = HS^{-1} \in \hat{\varphi}_S(\mathfrak{G})$ . Since  $(\frac{x}{s})(AS^{-1}) = 0$ ,  $\frac{x}{s} \in \mathcal{T}_{\hat{\varphi}_S(\mathfrak{G})}(MS^{-1})$  by (3). We conclude that  $MS^{-1}$  is  $\mathcal{T}_{\hat{\varphi}_S(\mathfrak{G})}$ -torsion.  $\square$

**Proposition 16.** *Let  $S$  be a multiplicative subset of commutative ring  $R$ . Then:*

- (a)  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{F}_S) = \hat{\varphi}_S(\mathfrak{F}_S : \mathfrak{F}) = \hat{\varphi}_S(\mathfrak{F})$  for all  $\mathfrak{F} \in \text{Fil } R$ .
- (b)  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{G}) = \hat{\varphi}_S(\mathfrak{F}) : \hat{\varphi}_S(\mathfrak{G})$  for all  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R$ .

**Proof.** We first show that  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{G}) \subseteq \hat{\varphi}_S(\mathfrak{F}) : \hat{\varphi}_S(\mathfrak{G})$  for all  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R$ . Take  $K \in \hat{\varphi}_S(\mathfrak{F} : \mathfrak{G})$ . Then  $K = AS^{-1}$  for some  $A \in \mathfrak{F} : \mathfrak{G}$ . There exists therefore some  $H \in \mathfrak{F}$  containing  $A$  such that  $H/A$  is  $\mathcal{T}_{\mathfrak{G}}$ -torsion. Since  $H \in \mathfrak{F}$ ,  $HS^{-1} \in \hat{\varphi}_S(\mathfrak{F})$  and so  $RS^{-1}/HS^{-1}$  is  $\mathcal{T}_{\hat{\varphi}_S(\mathfrak{F})}$ -torsion by (4). Note also that  $HS^{-1}/AS^{-1} \cong (H/A)S^{-1}$  is  $\mathcal{T}_{\hat{\varphi}_S(\mathfrak{G})}$ -torsion by Lemma 15((b) $\Rightarrow$ (a)), noting that  $H/A \in \mathcal{T}_{\mathfrak{G}} \subseteq \mathcal{T}_{\mathfrak{G}:\mathfrak{F}_S}$ . Now consider the short exact sequence

$$0 \longrightarrow HS^{-1}/AS^{-1} \longrightarrow RS^{-1}/AS^{-1} \longrightarrow RS^{-1}/HS^{-1} \longrightarrow 0.$$

Since  $HS^{-1}/AS^{-1}$  is  $\mathcal{T}_{\hat{\varphi}_S(\mathfrak{G})}$ -torsion and  $RS^{-1}/HS^{-1}$  is  $\mathcal{T}_{\hat{\varphi}_S(\mathfrak{F})}$ -torsion, it follows that  $K = AS^{-1} \in \hat{\varphi}_S(\mathfrak{F}) : \hat{\varphi}_S(\mathfrak{G})$ . Thus  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{G}) \subseteq \hat{\varphi}_S(\mathfrak{F}) : \hat{\varphi}_S(\mathfrak{G})$ .

(a) Take  $\mathfrak{F} \in \text{Fil } R$ . Certainly,  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{F}_S) \supseteq \hat{\varphi}_S(\mathfrak{F})$  since  $\mathfrak{F} : \mathfrak{F}_S \supseteq \mathfrak{F}$ . To establish the reverse containment, we note that by the above argument,  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{F}_S) \subseteq \hat{\varphi}_S(\mathfrak{F}) : \hat{\varphi}_S(\mathfrak{F}_S)$ . But  $\hat{\varphi}_S(\mathfrak{F}_S) = \{RS^{-1}\}$ , for if  $I \in \hat{\varphi}_S(\mathfrak{F}_S)$ , then  $I = AS^{-1}$

for some  $A \in \mathfrak{F}_S$  and this entails  $A \cap S \neq \emptyset$ , whence  $I = AS^{-1} = RS^{-1}$ . Since  $\{RS^{-1}\}$  is the identity of  $\text{Fil } RS^{-1}$  with respect to the monoid operation, we obtain  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{F}_S) \subseteq \hat{\varphi}_S(\mathfrak{F}) : \{RS^{-1}\} = \hat{\varphi}_S(\mathfrak{F})$ . Thus  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{F}_S) \subseteq \hat{\varphi}_S(\mathfrak{F})$ , as required.

We omit the proof that  $\hat{\varphi}_S(\mathfrak{F}_S : \mathfrak{F}) = \hat{\varphi}_S(\mathfrak{F})$  which is similar to the above.

(b) Take  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R$ . It follows from the argument preceding the proof of (a) that  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{G}) \subseteq \hat{\varphi}_S(\mathfrak{F}) : \hat{\varphi}_S(\mathfrak{G})$ . To establish the reverse containment, take  $K = AS^{-1} \in \hat{\varphi}_S(\mathfrak{F}) : \hat{\varphi}_S(\mathfrak{G})$ . There exists therefore some ideal  $H \in \hat{\varphi}_S(\mathfrak{F})$  containing  $K$  such that  $H/K$  is  $\mathcal{T}_{\hat{\varphi}_S(\mathfrak{G})}$ -torsion. Since  $H \in \hat{\varphi}_S(\mathfrak{F})$ ,  $H = BS^{-1}$  for some  $B \in \mathfrak{F}$ . Inasmuch as  $(A+B)S^{-1} = AS^{-1} + BS^{-1} = BS^{-1}$  [Proposition 10(c)] and  $A+B \in \mathfrak{F}$  because  $A+B \supseteq B \in \mathfrak{F}$ , no generality is lost if we replace  $B$  with  $A+B$  and assume that  $A \subseteq B$ . Since  $(\_)S^{-1}$  is exact, the short exact sequence

$$(15) \quad 0 \longrightarrow B/A \longrightarrow R/A \longrightarrow R/B \longrightarrow 0$$

in  $\text{Mod-}R$  induces the short exact sequence

$$0 \longrightarrow (B/A)S^{-1} \longrightarrow (R/A)S^{-1} \longrightarrow (R/B)S^{-1} \longrightarrow 0$$

in  $\text{Mod-}RS^{-1}$ . Since  $H/K = BS^{-1}/AS^{-1} \cong (B/A)S^{-1}$  is  $\mathcal{T}_{\hat{\varphi}_S(\mathfrak{G})}$ -torsion, we infer from Lemma 15((a) $\Rightarrow$ (b)) that  $B/A$  is  $\mathcal{T}_{\mathfrak{G}:\mathfrak{F}_S}$ -torsion. It follows from short exact sequence (15) that  $R/A$  is  $\mathcal{T}_{\mathfrak{F}:\mathfrak{G}:\mathfrak{F}_S}$ -torsion, hence  $A \in \mathfrak{F} : \mathfrak{G} : \mathfrak{F}_S$  and  $K = AS^{-1} \in \hat{\varphi}_S(\mathfrak{F} : \mathfrak{G} : \mathfrak{F}_S)$ . This shows that  $\hat{\varphi}_S(\mathfrak{F}) : \hat{\varphi}_S(\mathfrak{G}) \subseteq \hat{\varphi}_S(\mathfrak{F} : \mathfrak{G} : \mathfrak{F}_S)$ . The required containment follows noting that  $\hat{\varphi}_S(\mathfrak{F} : \mathfrak{G} : \mathfrak{F}_S) = \hat{\varphi}_S(\mathfrak{F} : \mathfrak{G})$  by (a).  $\square$

The following theorem is an immediate consequence of Propositions 12 and 16(b).

**Theorem 17.** *Let  $S$  be a multiplicative subset of commutative ring  $R$ . Then the map  $\hat{\varphi}_S : [\text{Fil } R]^{\text{du}} \rightarrow [\text{Fil } RS^{-1}]^{\text{du}}$  is an onto homomorphism of lattice ordered monoids.*

We thus obtain the following commutative diagram (see Figure 1) of lattice ordered monoids:

$$\begin{array}{ccc} \mathfrak{F} & \longmapsto & \hat{\varphi}_S(\mathfrak{F}) \\ [\text{Fil } R]^{\text{du}} & \longrightarrow & [\text{Fil } RS^{-1}]^{\text{du}} \\ \uparrow \eta^R & & \uparrow \eta^{RS^{-1}} \\ \text{Id } R & \longrightarrow & \text{Id } RS^{-1} \\ I & \longmapsto & IS^{-1} \end{array}$$

Figure 1

Observe that the vertical maps in Figure 1 are lattice ordered monoid embeddings by Theorem 3, whilst the horizontal maps are onto lattice ordered monoid homomorphisms (see Remark 11 and Theorem 17).

5. CONGRUENCES ON  $\text{Fil } R$ 

The kernel of the onto homomorphism  $\hat{\varphi}_S : [\text{Fil } R]^{\text{du}} \rightarrow [\text{Fil } RS^{-1}]^{\text{du}}$  of lattice ordered monoids established in Theorem 17, is the congruence relation  $\equiv_{\hat{\varphi}_S}$  on  $[\text{Fil } R]^{\text{du}}$  defined by  $\forall \mathfrak{F}, \mathfrak{G} \in \text{Fil } R$ ,

$$\mathfrak{F} \equiv_{\hat{\varphi}_S} \mathfrak{G} \Leftrightarrow \hat{\varphi}_S(\mathfrak{F}) = \hat{\varphi}_S(\mathfrak{G}).$$

Theory tells us that

$$(16) \quad [\text{Fil } RS^{-1}]^{\text{du}} \cong [\text{Fil } R]^{\text{du}} / \equiv_{\hat{\varphi}_S}.$$

**Proposition 18.** *Let  $S$  be a multiplicative subset of commutative ring  $R$ . The following statements are equivalent for  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R$ :*

- (a)  $\mathfrak{F} \equiv_{\hat{\varphi}_S} \mathfrak{G}$ , i.e.,  $\hat{\varphi}_S(\mathfrak{F}) = \hat{\varphi}_S(\mathfrak{G})$ ;
- (b)  $\mathfrak{F} : \mathfrak{F}_S = \mathfrak{G} : \mathfrak{F}_S$ .

**Proof.** (a) $\Rightarrow$ (b)  $K \in \mathfrak{F} : \mathfrak{F}_S \Leftrightarrow R/K$  is  $\mathcal{T}_{\mathfrak{F}:\mathfrak{F}_S}$ -torsion [by (4)]

$$\Leftrightarrow (R/K)S^{-1} \text{ is } \mathcal{T}_{\hat{\varphi}_S(\mathfrak{F})}\text{-torsion [by Lemma 15((b)\(\Rightarrow\)(a))]$$

$$\Leftrightarrow (R/K)S^{-1} \text{ is } \mathcal{T}_{\hat{\varphi}_S(\mathfrak{G})}\text{-torsion [by (a)]}$$

$$\Leftrightarrow R/K \text{ is } \mathcal{T}_{\mathfrak{G}:\mathfrak{F}_S}\text{-torsion [by Lemma 15((a)\(\Rightarrow\)(b))]$$

$$\Leftrightarrow K \in \mathfrak{G} : \mathfrak{F}_S \text{ [by (4)].}$$

Thus  $\mathfrak{F} : \mathfrak{F}_S = \mathfrak{G} : \mathfrak{F}_S$ .

(b) $\Rightarrow$ (a) is an immediate consequence of Proposition 16(a).  $\square$

If  $R$  is a commutative ring we shall henceforth denote by  $\text{spec } R$  [resp.  $\text{spec}_m R$ ] the set of all prime [resp. maximal] ideals of  $R$ .

If  $P \in \text{spec } R$ , then  $S = R \setminus P$  is a multiplicative subset of  $R$ . For such a choice of  $S$  we shall write  $R_P$  in place of  $RS^{-1}$ , and write<sup>1</sup>  $\varphi_P, \hat{\varphi}_P, I_P$  (where  $I \in \text{Id } R$ ) and  $\mathfrak{F}_P$  in place of  $\varphi_{R \setminus P}, \hat{\varphi}_{R \setminus P}, I(R \setminus P)^{-1}$  and  $\mathfrak{F}_{R \setminus P}$ , respectively.

For each commutative ring  $R$ , we define  $\text{Rad}(\text{Fil } R)$  to be the intersection of congruences:

$$\text{Rad}(\text{Fil } R) \stackrel{\text{def}}{=} \bigcap_{P \in \text{spec}_m R} \equiv_{\hat{\varphi}_P}.$$

The family of lattice ordered monoid homomorphisms (see Remark 11) indexed by  $P \in \text{spec}_m R$ :

$$\begin{aligned} \text{Id } R &\longrightarrow \text{Id } R_P \\ I &\longmapsto I_P, \end{aligned}$$

induces a canonical homomorphism of lattice ordered monoids:

$$\begin{aligned} \text{Id } R &\longrightarrow \prod_{P \in \text{spec}_m R} \text{Id } R_P \\ I &\longmapsto \{I_P\}_{P \in \text{spec}_m R}. \end{aligned}$$

<sup>1</sup>An abuse in aid of a less cumbersome notation.

Theory tells us that the above homomorphism is monic (see for example [9, Proposition 3.17, page 163]).

In a similar vein, the family of lattice ordered monoid homomorphisms  $\{\hat{\varphi}_P : P \in \text{spec}_m R\}$ , induces a canonical homomorphism

$$\begin{aligned} [\text{Fil } R]^{\text{du}} &\longrightarrow \prod_{P \in \text{spec}_m R} [\text{Fil } R_P]^{\text{du}} \\ \mathfrak{F} &\longmapsto \{\hat{\varphi}_P(\mathfrak{F})\}_{P \in \text{spec}_m R} \end{aligned}$$

which is easily seen to have kernel

$$\bigcap_{P \in \text{spec}_m R} \equiv_{\hat{\varphi}_P} = \text{Rad}(\text{Fil } R).$$

We thus obtain the following commutative diagram (see Figure 2) of lattice ordered monoids:

$$\begin{array}{ccc} \mathfrak{F} & \longmapsto & \{\hat{\varphi}_P(\mathfrak{F})\}_{P \in \text{spec}_m R} \\ [\text{Fil } R]^{\text{du}} & \longrightarrow & \prod_{P \in \text{spec}_m R} [\text{Fil } R_P]^{\text{du}} \\ \uparrow \eta^R & & \uparrow \prod_{P \in \text{spec}_m R} \eta^{R_P} \\ \text{Id } R & \hookrightarrow & \prod_{P \in \text{spec}_m R} \text{Id } R_P \\ I & \longmapsto & \{I_P\}_{P \in \text{spec}_m R} \end{array}$$

Figure 2

We note that whereas the canonical homomorphism from  $\text{Id } R$  to  $\prod_{P \in \text{spec}_m R} \text{Id } R_P$  is monic (as noted above), the kernel  $\text{Rad}(\text{Fil } R)$  of the canonical homomorphism from  $[\text{Fil } R]^{\text{du}}$  to  $\prod_{P \in \text{spec}_m R} [\text{Fil } R_P]^{\text{du}}$  need not be trivial.

We shall see in the next section that if  $R$  is a commutative ring for which  $\text{Fil } R$  is commutative, then  $\text{Rad}(\text{Fil } R)$  is trivial. However, in general,  $\text{Rad}(\text{Fil } R)$  is not trivial as Proposition 20 below shows.

For each commutative ring  $R$ , put

$$\begin{aligned} \mathfrak{P} &= \bigvee_{P \in \text{spec}_m R} \eta(P) \\ (17) \quad &= \left\{ K \leq R : K \supseteq \bigcap \mathcal{P} \text{ for some finite subset } \mathcal{P} \text{ of } \text{spec}_m R \right\} \text{ (see (1)).} \end{aligned}$$

**Lemma 19.** *Let  $R$  be a commutative Von Neumann Regular (VNR) ring. If  $\mathfrak{P}$  is defined as in (17), then  $\eta(0)$  and  $\mathfrak{P}$  are congruent with respect to  $\text{Rad}(\text{Fil } R)$ .*



**Proof.** Since  $R$  is VNR,  $P_{\mathcal{P}} = 0$ , whence  $0 \in \hat{\varphi}_{\mathcal{P}}(\eta(P))$  and  $\hat{\varphi}_{\mathcal{P}}(\eta(0)) = \hat{\varphi}_{\mathcal{P}}(\mathfrak{P})$  for all  $P \in \text{spec}_{\text{m}}R$ . This entails  $\eta(0)$  and  $\mathfrak{P}$  are congruent with respect to  $\text{Rad}(\text{Fil } R)$ .  $\square$

**Proposition 20.** *The following statements are equivalent for a commutative VNR ring  $R$ :*

- (a)  $R$  is noetherian and thus a finite product of fields;
- (b)  $\text{Rad}(\text{Fil } R)$  is trivial.

**Proof.** (a) $\Rightarrow$ (b) Suppose  $R$  satisfies (a) so that  $R$  is artinian. This implies that each  $\mathfrak{F} \in \text{Fil } R$  has a (unique) smallest member  $I$ , say, whence  $\mathfrak{F} = \eta(I)$ . With reference to Figure 2, we see that the canonical embeddings  $\eta^R$  and  $\prod_{P \in \text{spec}_{\text{m}}R} \eta^{R_P}$  are onto maps and thus isomorphisms. This implies that the canonical homomorphism from  $[\text{Fil } R]^{\text{du}}$  to  $\prod_{P \in \text{spec}_{\text{m}}R} [\text{Fil } R_P]^{\text{du}}$ , which has kernel  $\text{Rad}(\text{Fil } R)$ , is an embedding. Thus (b) holds.

(b) $\Rightarrow$ (a) If  $\mathfrak{P}$  is defined as in (17), then it follows from (b) and the previous lemma that  $\eta(0) = \mathfrak{P}$ . This implies that  $\bigcap \mathcal{P} = 0$  for some finite subset  $\mathcal{P}$  of  $\text{spec}_{\text{m}}R$ , whence  $R \cong \prod \{R/P : P \in \mathcal{P}\}$  is a finite product of fields.  $\square$

**Remark 21.** *With reference to the implication (a) $\Rightarrow$ (b) of the previous proposition, we point out that if the requirement that  $R$  is VNR is dispensed with, then Statement (b) holds under conditions much weaker than (a). Indeed, within the class of all commutative rings, it is known (see [11, Corollary 8, page 91]) that  $\text{Fil } R$  is commutative whenever  $R$  is noetherian and, as we shall prove in the next section (Theorem 32),  $\text{Rad}(\text{Fil } R)$  is trivial whenever  $\text{Fil } R$  is commutative.*

## 6. RESIDUATION AND COMMUTATIVITY IN $\text{Fil } R_R$

Throughout this section and unless stated otherwise,  $R$  shall denote an arbitrary (not necessarily commutative) ring.

Recall that a complete lattice ordered monoid  $L$  is said to be *left residuated* [resp. *right residuated*] if for every  $a, b \in L$ , there exists a (unique) largest  $x \in L$  such that  $x \cdot b \leq a$  [resp.  $b \cdot x \leq a$ ]. In this situation we call  $x$  the *left residual of  $a$  by  $b$*  [resp. *right residual of  $a$  by  $b$* ] and denote it  $ab^{-1}$  [resp.  $b^{-1}a$ ]. We say that  $L$  is *two-sided residuated* if it is both left and right residuated.

The lattice ordered monoid  $\text{Id } R$  (see Proposition 1) is two-sided residuated: if  $I, J \in \text{Id } R$ , then  $IJ^{-1} = \{r \in R : rJ \subseteq I\}$  is the left residual of  $I$  by  $J$  and  $J^{-1}I = \{r \in R : Jr \subseteq I\}$  the right residual of  $I$  by  $J$ .

**Proposition 22.** [12, Proposition 5, page 429] *The following statements are equivalent for a complete lattice ordered monoid  $L$ :*

- (a)  $L$  is right residuated;
- (b)  $a \cdot (\bigvee X) = \bigvee_{x \in X} (a \cdot x)$  for all  $a \in L$  and  $X \subseteq L$ .

**Theorem 23.** [7, Proposition 4.1, page 43] *If  $R$  is an arbitrary ring, then  $[\text{Fil } R_R]^{\text{du}}$  is left residuated.*

In general, even for commutative rings  $R$ ,  $[\text{Fil } R_R]^{\text{du}}$  need not be *right* residuated. Indeed, as shown in [1, Theorem 34, page 1007], if  $R$  is a valuation domain, then  $[\text{Fil } R]^{\text{du}}$  is two-sided residuated if and only if  $R$  is noetherian and thus rank one discrete. Since  $[\text{Fil } R_R]^{\text{du}}$  is always left residuated (Theorem 23), it is clear that  $[\text{Fil } R_R]^{\text{du}}$  will be two-sided residuated if  $\text{Fil } R_R$  is commutative. We point out that the converse is not true in general: if  $R$  is any right fully bounded noetherian ring, then  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated by [1, Theorem 21, page 1001], but  $\text{Fil } R_R$  will not be commutative if ideal multiplication does not commute in  $R$ . The two notions do coincide, however, for commutative rings  $R$  as shown in [1, Theorem 33, page 1005] (see Theorem 31).

**Theorem 24.** *Let  $R$  be an arbitrary ring and  $T$  a nonzero factor ring of  $R$ .*

- (a) *If  $\text{Fil } R_R$  is commutative, then so is  $\text{Fil } T_T$ .*
- (b) *If  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated, then so is  $[\text{Fil } T_T]^{\text{du}}$ .*

**Proof.** Suppose  $T \cong R/I$  with  $I$  a proper ideal of  $R$ .

It follows from Theorem 7 and Proposition 9 that the composition of maps

$$\mathfrak{F} \mapsto \mathfrak{F} \cap \eta(I) \mapsto \pi_*(\mathfrak{F} \cap \eta(I))$$

from  $\text{Fil } R_R$  to  $\text{Fil}(R/I)_{R/I}$  is onto, preserves arbitrary meets, and is a monoid homomorphism. It follows that any property of  $\text{Fil } R_R$  that is characterizable in terms of an identity involving only meets and the monoid operation, is passed from  $\text{Fil } R_R$  to  $\text{Fil}(R/I)_{R/I}$ .

Since commutativity of  $\text{Fil } R_R$  is an identity involving only the monoid operation, Statement (a) follows.

Statement (b) also follows if we note that meets in  $\text{Fil } R_R$  correspond with joins in  $[\text{Fil } R_R]^{\text{du}}$ , and that by Proposition 22, right residuation in  $[\text{Fil } R_R]^{\text{du}}$  is characterizable in terms of the identity:  $\forall \mathfrak{F} \in \text{Fil } R_R, \forall X \subseteq \text{Fil } R_R,$

$$\mathfrak{F} : \left( \bigvee X \right) = \bigvee_{\mathfrak{G} \in X} (\mathfrak{F} : \mathfrak{G}).$$

□

We require the following result [1, Corollary 15, page 1000].

**Proposition 25.** *Let  $R$  be an arbitrary ring for which  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated. Then for all ideals  $I$  of  $R$ ,  $(R/I)_R$  satisfies the DCC on hereditary pretorsion submodules.*

Recall that an ideal  $I$  of arbitrary ring  $R$  is called a *left annihilator ideal* [resp. *right annihilator ideal*] if for some  $A \in \text{Id } R$ ,

$$I = 0A^{-1} = \{r \in R : rA = 0\} \text{ [resp. } I = A^{-1}0 = \{r \in R : Ar = 0\}].$$

Observe that every left annihilator ideal of  $R$  is a hereditary pretorsion submodule of  $R_R$ , for  $0A^{-1} = \mathcal{T}_{\eta(A)}(R_R)$  for every  $A \in \text{Id } R$ .

If  $R$  is an arbitrary ring, the maps  $A \mapsto A^{-1}0$  and  $A \mapsto 0A^{-1}$  represent a Galois connection between the sets of left annihilator ideals of  $R$ , and right annihilator

ideals of  $R$ . Thus  $R$  will satisfy the DCC on left annihilator ideals, precisely if it satisfies the ACC on right annihilator ideals. It is shown in [11, Theorem 19, page 98] that for an arbitrary ring  $R$ , if  $\text{Fil } R_R$  is commutative, then  $R$  satisfies the ACC on right annihilator ideals. The next result, which is an immediate consequence of Proposition 25, the fact that every left annihilator ideal of  $R$  is a hereditary pretorsion submodule of  $R_R$ , and the equivalence of the DCC on left annihilator ideals and ACC on right annihilator ideals, shows that this theorem remains valid if the requirement that  $\text{Fil } R_R$  is commutative is weakened to  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated.

**Theorem 26.** *Let  $R$  be an arbitrary ring for which  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated. Then  $R$  satisfies the DCC on left annihilator ideals, and the ACC on right annihilator ideals.*

**Remark 27.** *If  $R$  is a semiprime ring, then the notions left annihilator ideal and right annihilator ideal coincide, allowing us to omit the prefixes left and right.*

*It is known that the following statements are equivalent for a semiprime ring  $R$ :*

- (a)  *$R$  satisfies the ACC on annihilator ideals;*
- (b)  *$R$  satisfies the DCC on annihilator ideals;*
- (c)  *$R$  is a finite subdirect product of prime rings.*

It is known that a commutative noetherian ring has finitely many minimal prime ideals [8, Corollary 3.14(a), page 41]. Rings  $R$  for which  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated enjoy the same property as the next result shows.

**Theorem 28.** *If  $R$  is an arbitrary ring for which  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated, then  $R$  contains only finitely many minimal prime ideals.*

**Proof.** Suppose that  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated. Let  $\text{rad } R$  denote the prime radical of  $R$ . It follows from Theorem 24(b) that the two-sided residuation property is passed to factor rings. Hence no generality is lost if we replace  $R$  by  $R/\text{rad } R$  and assume that  $R$  is semiprime.

It follows from Theorem 26 and the previous remark that  $R$  is a finite subdirect product of prime rings. Hence there are prime ideals  $P_1, P_2, \dots, P_n$  of  $R$  such that  $\bigcap_{i=1}^n P_i = 0$ . Let  $Q$  be a minimal prime ideal of  $R$ . Since  $Q \supseteq \bigcap_{i=1}^n P_i$ , the primeness of  $Q$  entails  $Q \supseteq P_i$  for some  $i \in \{1, 2, \dots, n\}$ . The minimality of  $Q$  implies  $Q = P_i$ . Thus every minimal prime ideal of  $R$  is a member of  $\{P_i : 1 \leq i \leq n\}$ .  $\square$

If  $R$  is a ring for which  $\text{Fil } R_R$  is commutative, then  $[\text{Fil } R_R]^{\text{du}}$  is two-sided residuated. The next theorem shows that the converse holds if the ring  $R$  is commutative.

**Theorem 29.** [1, Theorem 33, page 1005] *The following statements are equivalent for a commutative ring  $R$ :*

- (a)  *$\text{Fil } R$  is commutative;*
- (b)  *$[\text{Fil } R]^{\text{du}}$  is two-sided residuated.*

Our next objective is to prove that  $\text{Rad}(\text{Fil } R)$  is trivial whenever  $R$  and  $\text{Fil } R$  are commutative.

**Lemma 30.** *Let  $R$  be an arbitrary ring,  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R_R$  and  $\mathfrak{H}_1, \mathfrak{H}_2 \in \text{Fil } R_R$  with  $\mathfrak{H}_1 \subseteq \mathfrak{H}_2$ . Suppose  $\mathfrak{H}_2$  is idempotent (that is to say,  $\mathfrak{H}_2 : \mathfrak{H}_2 = \mathfrak{H}_2$ , i.e.,  $\mathfrak{H}_2$  is a right Gabriel topology on  $R$ ). If  $\mathfrak{F} : \mathfrak{H}_1 \subseteq \mathfrak{G} : \mathfrak{H}_1$ , then  $\mathfrak{F} : \mathfrak{H}_2 \subseteq \mathfrak{G} : \mathfrak{H}_2$ .*

**Proof.**  $\mathfrak{F} \subseteq \mathfrak{F} : \mathfrak{H}_1$   
 $\subseteq \mathfrak{G} : \mathfrak{H}_1$  [by hypothesis]  
 $\subseteq \mathfrak{G} : \mathfrak{H}_2$  [because  $\mathfrak{H}_1 \subseteq \mathfrak{H}_2$ ],

hence  $\mathfrak{F} : \mathfrak{H}_2 \subseteq (\mathfrak{G} : \mathfrak{H}_2) : \mathfrak{H}_2 = \mathfrak{G} : (\mathfrak{H}_2 : \mathfrak{H}_2) = \mathfrak{G} : \mathfrak{H}_2$  [because  $\mathfrak{H}_2$  is idempotent].  $\square$

**Lemma 31.** *If  $R$  is any commutative ring, then*

$$\bigcap_{P \in \text{spec}_m R} \mathfrak{F}_P = \{R\}.$$

**Proof.** Suppose  $I$  is any proper ideal of  $R$ . Then  $I \subseteq P$  for some  $P \in \text{spec}_m R$ , so that  $I \notin \mathfrak{F}_P$ , whence  $I \notin \bigcap_{P \in \text{spec}_m R} \mathfrak{F}_P$ .  $\square$

**Theorem 32.** *Let  $R$  be a commutative ring for which  $[\text{Fil } R]^{\text{du}}$  is two-sided residuated, or equivalently by Theorem 29,  $\text{Fil } R$  is commutative. Then  $\text{Rad}(\text{Fil } R)$  is trivial.*

**Proof.** Take  $\mathfrak{F}, \mathfrak{G} \in \text{Fil } R$ . Then:

$$\begin{aligned} \mathfrak{F} &\equiv_{\hat{\varphi}_P} \mathfrak{G} \text{ for all } P \in \text{spec}_m R \\ &\Rightarrow \mathfrak{F} : \mathfrak{F}_P = \mathfrak{G} : \mathfrak{F}_P \text{ for all } P \in \text{spec}_m R \text{ [by Proposition 18]} \\ &\Rightarrow \bigcap_{P \in \text{spec}_m R} (\mathfrak{F} : \mathfrak{F}_P) = \bigcap_{P \in \text{spec}_m R} (\mathfrak{G} : \mathfrak{F}_P) \\ &\Rightarrow \mathfrak{F} : \left( \bigcap_{P \in \text{spec}_m R} \mathfrak{F}_P \right) = \mathfrak{G} : \left( \bigcap_{P \in \text{spec}_m R} \mathfrak{F}_P \right) \text{ [by Proposition 22((a)} \Rightarrow \text{(b)),} \\ &\quad \text{noting that } [\text{Fil } R]^{\text{du}} \text{ is right residuated by hypothesis]} \\ &\Rightarrow \mathfrak{F} = \mathfrak{G} \text{ [by the previous lemma noting that } \{R\} \text{ is the identity of } \text{Fil } R \text{ with} \\ &\quad \text{respect to the monoid operation ‘:’].} \end{aligned}$$

We conclude that  $\text{Rad}(\text{Fil } R) = \bigcap_{P \in \text{spec}_m R} \equiv_{\hat{\varphi}_P}$  is trivial.  $\square$

The next corollary follows from Theorem 32 and the fact that  $\text{Rad}(\text{Fil } R)$  is the kernel of the canonical homomorphism from  $[\text{Fil } R]^{\text{du}}$  to  $\prod_{P \in \text{spec}_m R} [\text{Fil } R_P]^{\text{du}}$  (see Figure 2).

**Corollary 33.** *Let  $R$  be a commutative ring for which  $\text{Fil } R$  is commutative. Then the canonical homomorphism of lattice ordered monoids*

$$\begin{aligned} [\text{Fil } R]^{\text{du}} &\longrightarrow \prod_{P \in \text{spec}_m R} [\text{Fil } R_P]^{\text{du}} \\ \mathfrak{F} &\longmapsto \{\hat{\varphi}_P(\mathfrak{F})\}_{P \in \text{spec}_m R}, \end{aligned}$$

is monic and thus constitutes a subdirect embedding.

### 7. AN APPLICATION TO PRÜFER DOMAINS

Recall that a *Prüfer domain* is a commutative domain  $R$  for which  $R_P$  is a valuation domain for all maximal ideals  $P$  of  $R$ . (We refer the reader to [3] for background information on valuation domains.)

Following [4] (see also [5, page 434]) we say that a Prüfer domain  $R$  is *almost Dedekind* if  $R_P$  is Dedekind (and thus a rank one discrete valuation domain) for all maximal ideals  $P$  of  $R$ .

Our main goal in this section (Theorem 37) is to prove that a Prüfer domain  $R$  for which  $\text{Fil } R$  is commutative, is necessarily noetherian and thus a Dedekind domain. This result extends [11, Corollary 32, page 102] which says that a valuation domain  $R$  for which  $\text{Fil } R$  is commutative, is noetherian and thus rank one discrete.

The following is an initial step towards this goal.

**Proposition 34.** *If  $R$  is a Prüfer domain for which  $\text{Fil } R$  is commutative, then  $R$  is almost Dedekind.*

**Proof.** Take  $P \in \text{spec}_m R$ . By (16),  $[\text{Fil } R_P]^{\text{du}} \cong [\text{Fil } R]^{\text{du}} / \equiv_{\hat{\varphi}_P}$ . Inasmuch as  $\text{Fil } R$  is commutative, we must have that  $\text{Fil } R_P$  is also commutative. Since  $R$  is a Prüfer domain,  $R_P$  is a valuation domain. It is known, however, that if  $T$  is any valuation domain for which  $\text{Fil } T$  is commutative, then  $T$  is noetherian and thus rank one discrete [11, Corollary 32, page 102]. We conclude that  $R_P$  is rank one discrete.  $\square$

If  $R$  is a commutative ring we shall denote by  $\dim R$  the *Krull dimension* of  $R$ .

The following property of almost Dedekind domains is noted in [4, Theorem 1, page 813]. We provide a short proof of this readily accessible fact.

**Lemma 35.** *If  $R$  is an almost Dedekind domain, then every nonzero prime ideal of  $R$  is maximal, that is to say,  $\dim R \leq 1$ .*

**Proof.** If  $Q$  is any nonzero prime ideal of almost Dedekind domain  $R$ , and  $P$  is a maximal ideal of  $R$  such that  $Q \subset P$ , then by Proposition 10(e),  $Q_P$  and  $P_P$  are nonzero prime ideals of  $R_P$  with  $Q_P \subset P_P$ . This contradicts the fact that  $R_P$  is rank one.  $\square$

The following result is standard in the theory of commutative rings (see for example [2, Lemma 1.2.21, page 18]). We offer a proof of this result, since one may be readily extracted from the lattice embeddings exhibited in Figure 2.

**Proposition 36.** *If  $R$  is a commutative ring such that:*

- (a)  $R_P$  is noetherian for all  $P \in \text{spec}_m R$ ; and
  - (b) every proper nonzero ideal of  $R$  is contained in only finitely many maximal ideals of  $R$ ,
- then  $R$  is noetherian.

**Proof.** Suppose  $R$  satisfies (a) and (b). To show that  $R$  is noetherian it clearly suffices to show that  $R/I$  is noetherian for all proper nonzero ideals  $I$  of  $R$ . Let  $I$

be such an ideal (if no such  $I$  exists, then  $R$  is a field and there is nothing to prove). By (b),  $\mathcal{P} \stackrel{\text{def}}{=} \{P \in \text{spec}_m R : P \supseteq I\}$  is finite. Since  $I \not\subseteq P$  for all  $P \in (\text{spec}_m R) \setminus \mathcal{P}$ , we have  $I_P = R_P$  for all  $P \in (\text{spec}_m R) \setminus \mathcal{P}$ .

The canonical embedding (see Figure 2)

$$\begin{aligned} \text{Id } R &\longrightarrow \prod_{P \in \text{spec}_m R} \text{Id } R_P \\ K &\longmapsto \{K_P\}_{P \in \text{spec}_m R}, \end{aligned}$$

maps the interval  $[I, R]$  of  $\text{Id } R$  into  $\prod_{P \in \text{spec}_m R} [I_P, R_P] \subseteq \prod_{P \in \text{spec}_m R} \text{Id } R_P$ . Inasmuch as  $[I_P, R_P]$  is a singleton for all  $P \in (\text{spec}_m R) \setminus \mathcal{P}$ , we see that  $\prod_{P \in \text{spec}_m R} [I_P, R_P]$  and  $\prod_{P \in \mathcal{P}} [I_P, R_P]$  are isomorphic lattices. By (a), the interval  $[I_P, R_P]$  satisfies the ACC for each  $P \in \mathcal{P}$ . From this we may infer that  $\prod_{P \in \mathcal{P}} [I_P, R_P]$  and hence  $[I, R]$  satisfies the ACC. This implies that the ring  $R/I$  is noetherian, as required.  $\square$

**Theorem 37.** *The following statements are equivalent for a Prüfer domain  $R$ :*

- (a)  $R$  is noetherian and thus a Dedekind domain;
- (b)  $\text{Fil } R$  is commutative.

**Proof.** (a) $\Rightarrow$ (b) is a consequence of the fact that  $\text{Fil } R$  is commutative in any commutative noetherian ring  $R$  by [11, Corollary 8, page 91].

(b) $\Rightarrow$ (a) We show that the hypotheses of Proposition 36 are satisfied. Condition (a) of Proposition 36 evidently holds since, by Proposition 34,  $R_P$  is Dedekind and thus noetherian for all  $P \in \text{spec}_m R$ .

Let  $I$  be a proper nonzero ideal of  $R$ . It follows from Proposition 34 and Lemma 35 that  $\dim R \leq 1$ , whence  $\dim R/I = 0$ . Since the commutativity of  $\text{Fil } R$  is passed from  $R$  to any nonzero factor ring of  $R$  by Theorem 24, we may infer from Theorem 28 that the ring  $R/I$  has only finitely many minimal prime ideals, but such minimal primes are maximal because  $\dim R/I = 0$ . It follows that  $\{P \in \text{spec}_m R : P \supseteq I\}$  is finite. Condition (b) of Proposition 36 is thus established. We conclude that  $R$  is noetherian.  $\square$

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