













Open Scholarship



the University of Pretoria: a case study

Prepared for the Open Access Repositories Workshop
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Agenda

- The Open Scholarship strategy
- The Open Scholarship Policies
- Responsibilities of the Open Scholarship office
- ☐ The UP repositories
 - UPeTD
 - UPSpace which includes openUP
- Processes and implementation of Open Scholarship
- Best practices





The UP Open Scholarship strategy

- The goal is to change scholarship practice at the University of Pretoria towards becoming an Open Scholarship institution
- It is based on the following philosophy of the Wellcome Trust, namely: The job of research is only half-done if the results of that research cannot reach the widest audience





Open Scholarship characteristics

- Theses/dissertations are available online based on a policy of mandatory submission
- Research/conference papers are available online
- Researchers actively contribute based on a policy of mandatory submission
- Researchers/students actively use OA material
- Researchers publish in available OA journals
- Researchers actively manage their copyright
- Institution's publishing house is available OA
- ☐ The institution publishes its own online OA journals
- Dissemination forms part of its publication strategies





UP Open Scholarship Policies

- UPeTD policy
 - The electronic submission of theses and dissertations is compulsory for all students since 2004
- openUP policy
 - According to the UP Strategic plan the library has a mandate to "manage this initiative and guide researchers towards contributing"
 - At the moment a policy is drafted which will address:
 - Author's copyright practices
 - The possible mandatory submission of research articles





Responsibilities of Open Scholarship office

- To develop policies and practical procedures and implementation practices
- □ To provide guidance in the submission, formatting and editing process to implement quality controlled collections which will provide (as far as possible) access to the full text of the UP scholarly research
- To provide extensive training to all relevant role-players which includes library staff, students and faculty members
- To do extensive lobbying and advocacy on campus
- To link the UP Research report to the article citations in the institutional repository which will make the full text accessible
- To influence copyright practices of UP authors



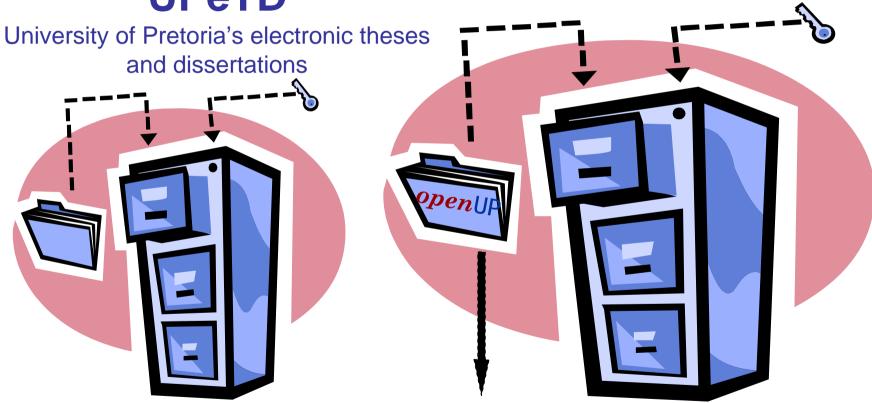


The two current UP repositories

UPSpace

UPeTD

University of Pretoria's institutional repository



Research articles collections





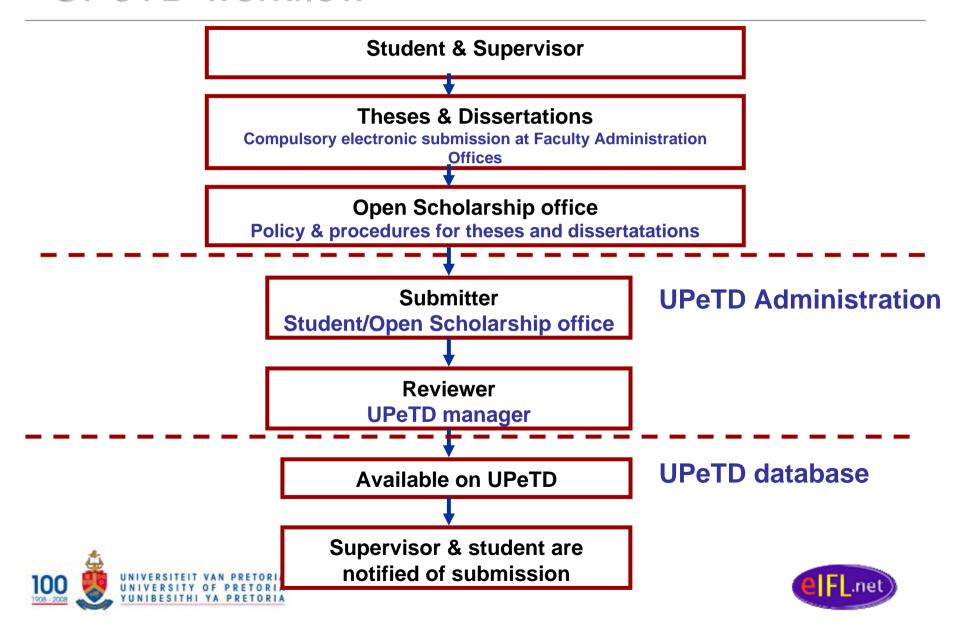
UPeTD

- □ This collection offers open access to the full text of electronic theses and dissertations published by UP staff & students http://upetd.up.ac.za/UPeTD.htm
- Assistance is mostly provided via the web page
- Submission can either be made by the student or the Open Scholarship office
- ☐ UP holds the copyright of theses & dissertations
- Copyright may be ceded back on request
- Open Scholarship office coordinate with the UP Legal Office





UPeTD workflow



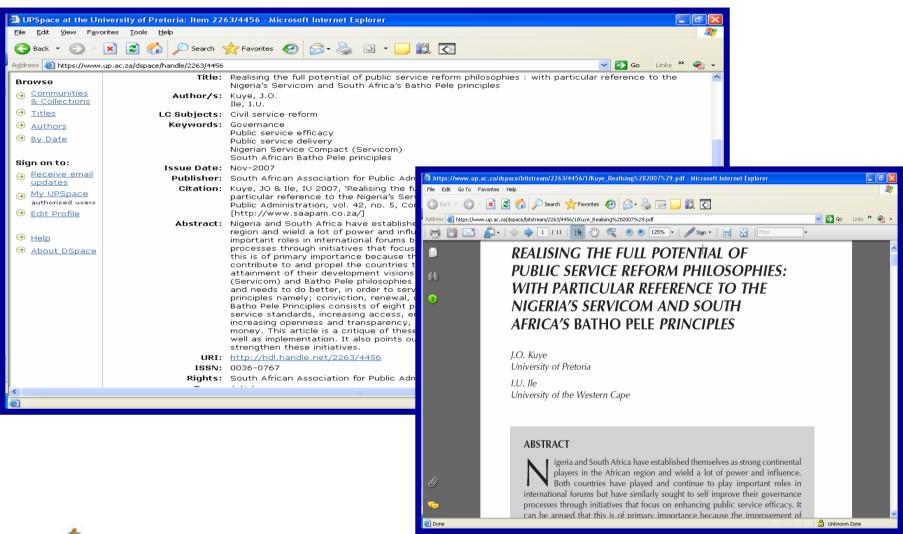
openUP

- This collection offers open access to the full text of research articles published by UP staff & students https://www.up.ac.za/dspace/handle/2263/121
- It implies a new business model where the creators of knowledge retain copyright and take responsibility for the dissemination of their products
- Two routes
 - OA self-archiving ("green" road)
 - Authors submit their articles to a repository
 - OA publishing ("gold road")
 - Authors publish in an open access journal





Green route: authors submit articles to repository







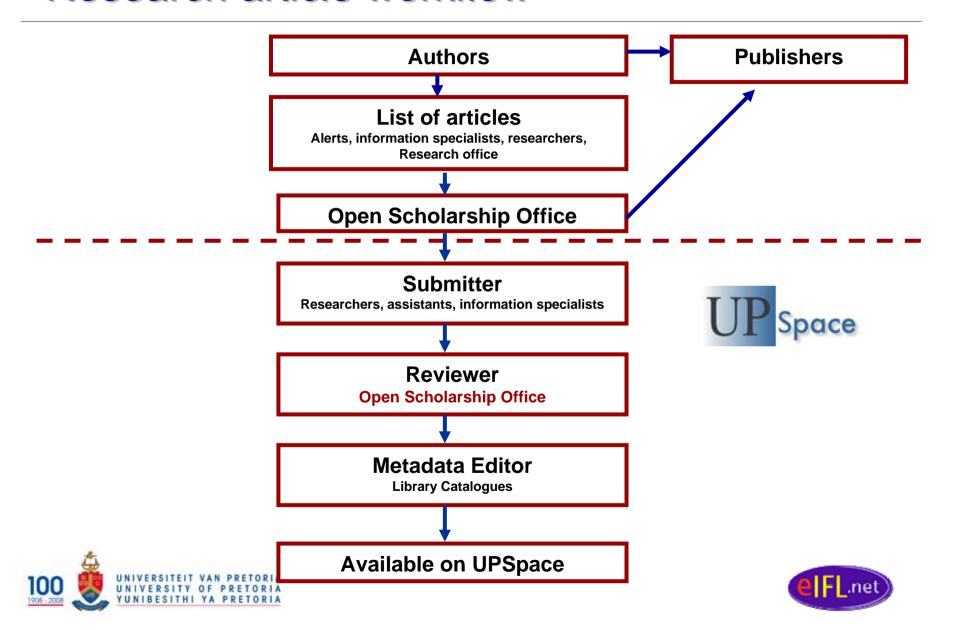
Gold route: publish in open access journals







Research article workflow



Copyright: a major driver of an IR

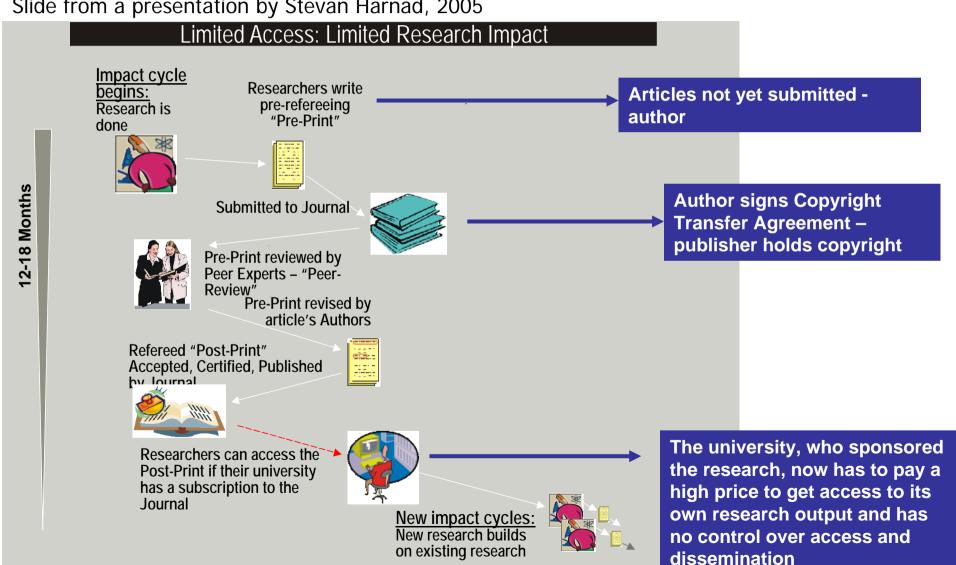
- Develop a copyright policy
- openUP's policy is to adhere to publisher copyright policies
- Publisher's archiving policies are always checked on
 - 1. Firstly on the SHERPA/RoMEO database
 - 2. If they are not available on the above web site, the publisher's web site is checked
 - Only when policies regarding self-archiving cannot be traced on these sites, the Open Scholarship office contacts the publishers directly





Who holds the copyright?

Slide from a presentation by Stevan Harnad, 2005



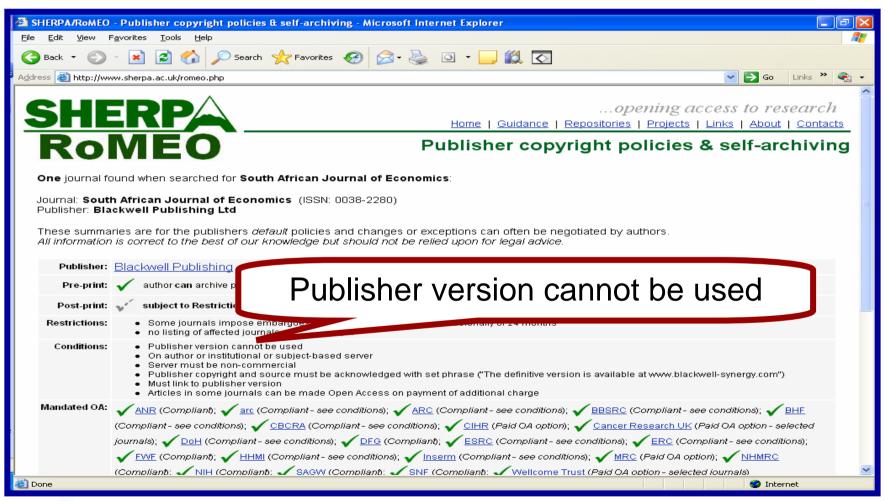
1. Check publisher's archiving conditions







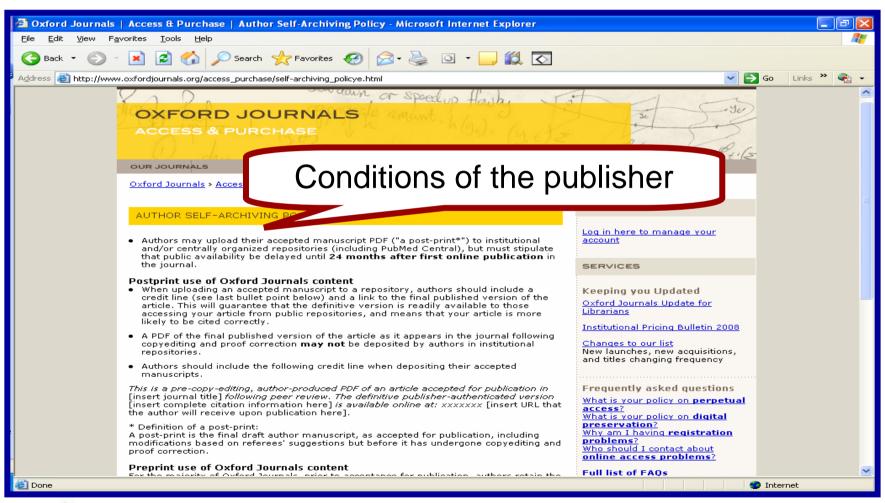
Conditions & restrictions of a publisher







2. Also check publisher's web sites







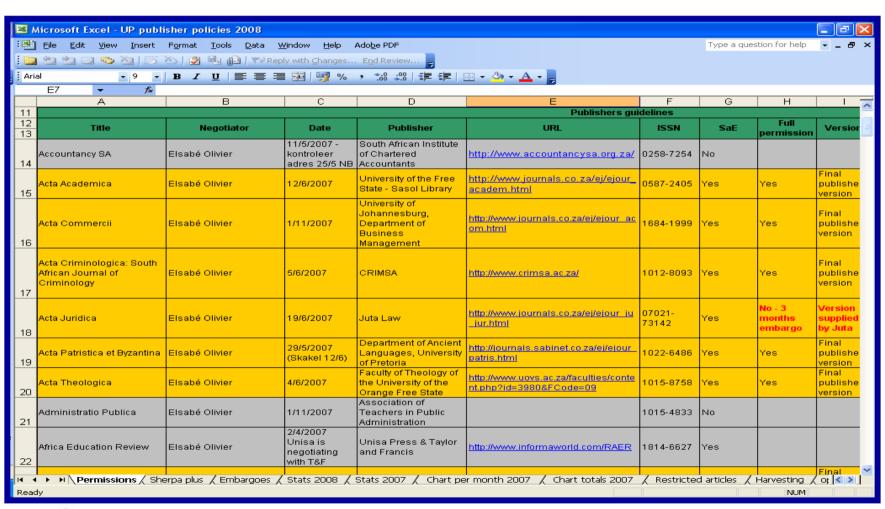
3. Last option: trace publisher copyright policies

- Most South African and African journals are not listed on SHERPA/RoMEO web site
- □ The Open Scholarship office contacts the publisher on behalf of the author and requests permission to archive research articles published in the journal
- ☐ The data is captured in an Excel spreadsheet (UP Publisher policies) which is accessible to UP submitters
- The letters of consent are also submitted to UPSpace





UP Publisher policies list keep track of consent







Consent letters are submitted to UPSpace







In a nutshell...what you should do

- Develop a policy for your institutional repository
 - Include your position and policy statement
- Decide on the scope: who is it intended for?
- What kind of content will be included? E.g. theses and dissertations, research articles, conference papers, photographs, newsletters, media articles etc.
- Responsibility: who will be responsible for what?
- How will you handle copyright?
- Design and develop
 - Guides and help manuals for staff members
 - Flowcharts which can assist submitters





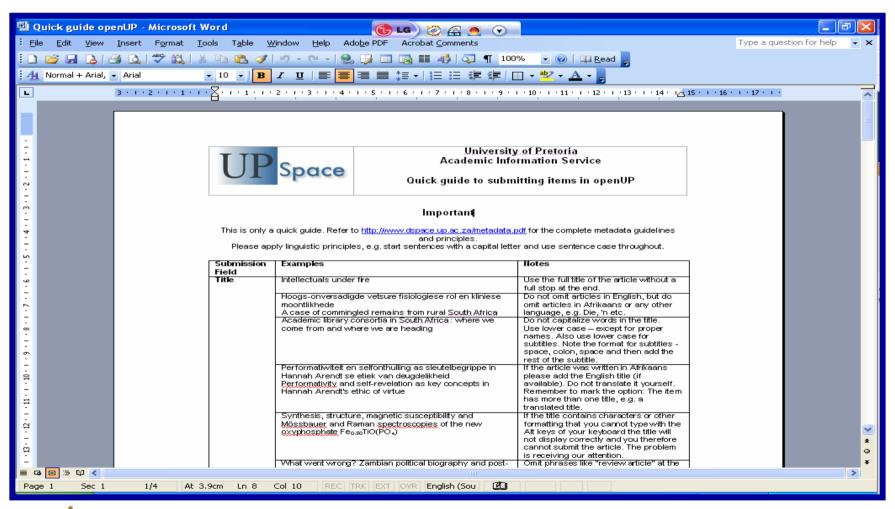
UP offers various forms of assistance

- Web sites
 - openUP: http://openup.ais.up.ac.za/
 - UPeTD: http://upetd.up.ac.za/UPeTD.htm
- Guidelines and support for submission
 - openUP: http://openup.ais.up.ac.za/authors.htm
 - UPeTD: http://upetd.up.ac.za/authors/publish/index.htm
- Compilation of submission guides
- Submission workshops are presented:
 - One-to-one sessions
 - Group sessions





Example of the Quick guide for metadata







Leadership role on campus

- Who plays a role in the success of the Open Scholarship strategy on campus?
- ☐ In which ways do they contribute?
- Involve the following important role players:
 - University management: to support your strategy and policies
 - Faculty Administrative Officers: administrating the theses and dissertations
 - Postgraduate students & supervisors: to submit their theses and dissertations
 - University's Legal Office: help with copyright decisions & queries
 - Authors: to inform you of their publications and to submit articles
- Manage the value chain of role players effectively

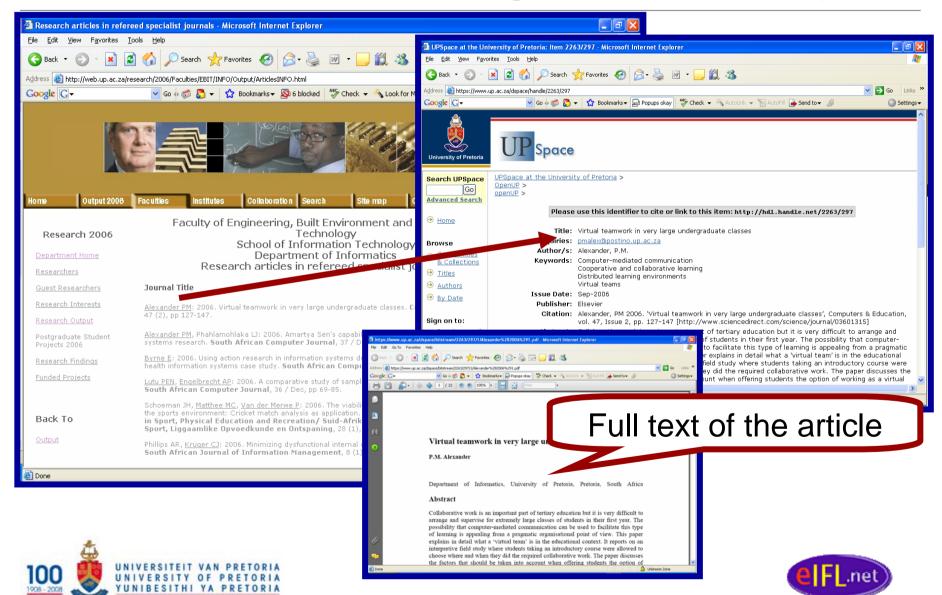




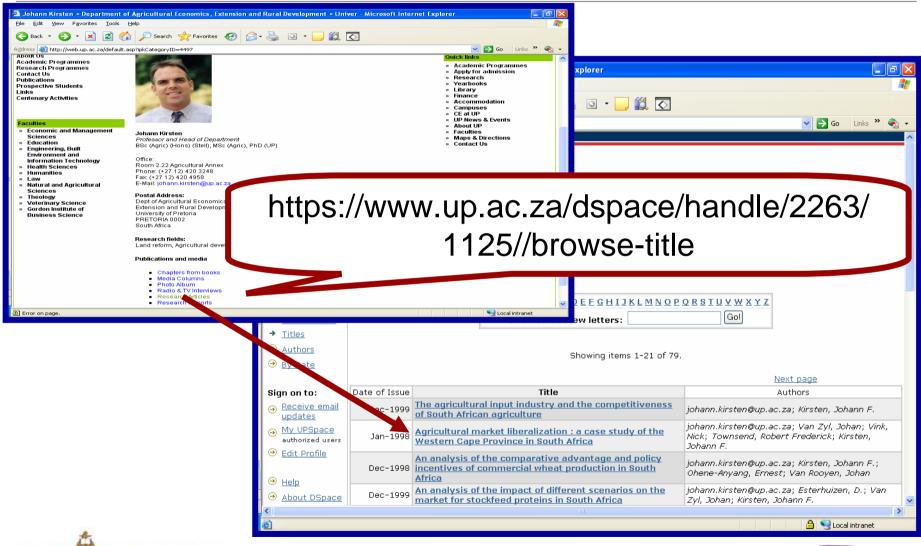
Lobbying and advocacy on campus

- How can these role players be influenced to support the Open Scholarship initiatives?
- Collaborations with Research office, campus webmaster and journal editors on campus
- Organize awareness-raising campaigns / give presentations in cooperation with subject librarians during meetings with:
 - Faculties and departments
 - Research groups
- Two strategies which proved successful:
 - Enhancing the visibility of the UP Research gained the support of UP Management
 - Personalized collections like the Jonathan Jansen Collection
 attracts lecturers

Example 1: Visible linking in Research report



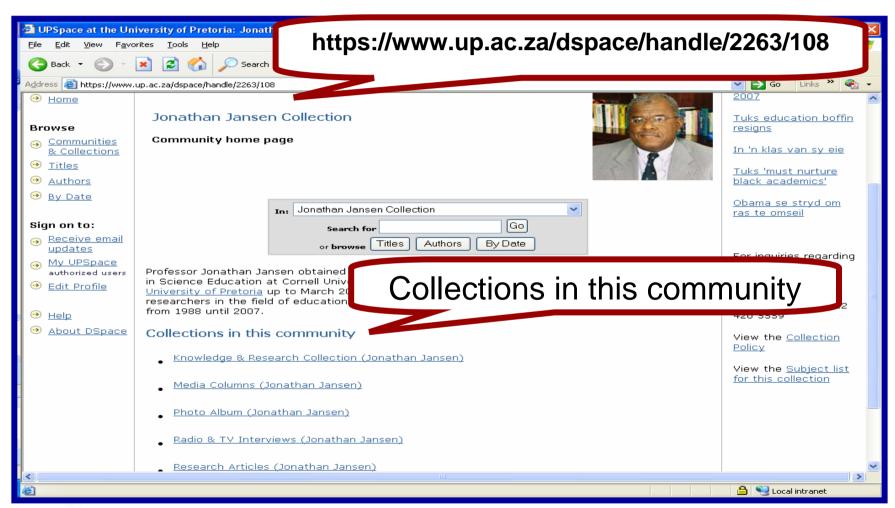
Example 2: Enhanced visibility of UP authors







Example 3: Personalized collections







In conclusion

- Open access repositories provide value on a personal, departmental and university level
- Librarians and open scholarship advocates play a major role in the development of repositories
- We believe that one of the primary aims, namely enhancing the visibility of UP research, has been achieved
- Let's work together in converting our dream of opening access to research output into reality!





A last thought...

You've got to think about big things while you're doing small things, so that all the small things go in the right direction. -
Alvin Toffler





Thank you!



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- Creative Commons http://creativecommons.org/
- Directory of Open Access Journals <u>http://www.doaj.org/</u>
- eIFL-IP Advocacy for Access to knowledge: copyright and libraries
 www.eifl.net
- Journal Info http://jinfo.lub.lu.se/
- Scholarly Communication: What's happening at MIT? http://libraries.mit.edu/about/scholarly/mit.html
- SHERPA/RoMEO
 http://www.sherpa.ac.uk/romeo.php
- SPARC author addendum http://www.arl.org/sparc/author/addendum.html







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