

# Diffusion of fission products and radiation damage in SiC

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## ABSTRACT

A major problem with most of the present nuclear reactors is their safety in terms of the release of radioactivity into the environment during accidents. In some of the future nuclear reactor designs, i.e. Generation IV reactors, the fuel is in the form of coated spherical particles, i.e. TRISO (acronym for Triple Coated Isotropic) particles. The main function of these coating layers is to act as diffusion barriers for radioactive fission products, thereby keeping these fission products within the fuel particles, even under accident conditions. The most important coating layer is composed of polycrystalline 3C-SiC. This paper reviews the diffusion of the important fission products (silver, caesium, iodine and strontium) in SiC. Because radiation damage can induce and enhance diffusion, the paper also briefly reviews damage created by energetic neutrons and ions at elevated temperatures, i.e. the temperatures at which the modern reactors will operate, and the annealing of the damage. The interaction between SiC and some fission products (such as Pd and I) is also briefly discussed. As shown, one of the key advantages of SiC is its radiation hardness at elevated temperatures, i.e. SiC is not amorphized by neutron or bombardment at substrate temperatures above 350°C. Based on the diffusion coefficients of the fission products considered, the review shows that at the normal operating temperatures of these new reactors (i.e. less than 950°C) the SiC coating layer is a good diffusion barrier for these fission products. However, at higher temperatures the design of the coated particles needs to be adapted, possibly by adding a thin layer of ZrC.

## 1. INTRODUCTION

SiC is a material with interesting applications at high temperatures because of its ability to retain most of its properties at high temperatures – it decomposes in vacuum at about 1700°C [1]. SiC is also one of the hardest materials with a hardness of around 9.2 – 9.3 Mohs [2]. The hardness is due to a high bond strength resulting from the short bond length between Si and C which is 1.89 Å [3, 4]. SiC has a good resistance against chemical attack including a high corrosion resistance due to its strong chemical bond energy, i.e. the cohesive energy of 3C-SiC is 6.34 eV/atom [5]. It has a relatively high thermal conductivity reaching a peak around 100 K [2]. Due to these properties and its mechanical strength at temperatures even above 1000°C [6 pir], SiC has many applications in the abrasive industry. It is also considered as a construction material either on its own or as part of a multi-layer structure or, more commonly, in a ceramic matrix with other high temperature materials for, say, hypersonic aircraft on their re-entry into the atmosphere at high speeds [7, 8]. Recently, SiC nanotubes was grown which created a new class of nanotube structures with many application possibilities [9].

SiC is a wide band gap semiconductor with the band gap depending on the polytype [2]. Due to its wide band gap it can be used in high temperature, high power electronic devices, light emitters (LEDs and laser diodes), UV sensors and radiation detectors for energetic ions [10]. It is advantageous to operate high power devices (LEDs, laser diodes and transistors) at elevated temperatures since this reduces the size of the heat sink required. For example, electroluminescent SiC devices that operate at 650°C have been demonstrated [11]. SiC is also considered in specialized high efficient high power solar cell systems. They include layered solar cells where a larger spectrum of the sunlight is harnessed, i.e. from infra-red to the ultraviolet spectrum. Another method is making use of concentrators to focus the sunlight into a smaller area where a solar cell is placed. The concentration of sunlight raises the temperature on the solar cells considerably. At high temperatures (typically above 350°C), conventional solar cells are no longer efficient and a wide bandgap semiconductor material, such as SiC comes into consideration as solar cell material.

Based on the abovementioned high temperature properties and because both silicon and carbon have low neutron absorption cross sections [12], SiC is a material proposed for employment in future nuclear power reactors. Also, neutron capture by  $^{12}\text{C}$ ,  $^{28}\text{Si}$  and  $^{29}\text{Si}$  will lead to stable isotopes. Due to its low vapour pressure, high temperature properties and low tritium permeability it is considered as a construction material for various sections of fusion reactors [13 – 18] as well as in high level waste management [17]. SiC is being used in the fuel (i.e. TRISO particles) for some of the new generation high temperature nuclear fission reactors [17, 19, 20]. A group of reactors in this class employs gas to transfer the heat from the reactor and is consequently termed high temperature gas cooled reactors (HTGR). The Pebble Bed Modular Reactor (PBMR) is one of these reactors [19, 20]. The main advantage of the TRISO fuel particles lies in its ability to keep radioactive fission products within the fuel particle, making such reactors inherently safe ones. The essential criterion in the latter case being the accessibility of the key maintenance systems such as inspection chambers, boilers, circulators and reformer tubes.

This review will concentrate on the use of SiC in fission reactors, primarily as diffusion barrier for fission products. It will review some of the important effects which implantation of heavy mass (i.e. excluding hydrogen and helium) fission products into SiC will have on the SiC. For fission reactors the heavy mass reaction products start with nuclei with atomic number 30, i.e.  $^{72}_{30}\text{Zn}$ , and end with  $^{158}_{63}\text{Gd}$  [21]. For TRISO fuel particles the fission products of importance are classed into two groups. The one group contains the elements which chemically interact with (i.e. corrode) SiC thereby destroying the integrity of the SiC layer and the TRISO particle. These elements are the fission products from noble elements Pd, Rh and Ru; chlorine in the TRISO particle left over from the manufacturing process; and uranium from the kernel which diffuses to the SiC layer to interact with the SiC [22]. The other group are the fission products which leak out off the TRISO particle causing a radiological danger. The most important of these are  $^{110m}\text{Ag}$ ,  $^{134}\text{I}$ ,  $^{131}\text{Cs}$  and  $^{137}\text{Cs}$ ,  $^{90}\text{Sr}$ ,  $^{88}\text{Kr}$  and  $^{133}\text{Xe}$  with the following somewhat less important fission products  $^{132}\text{Te}$ ,  $^{140}\text{La}$  and the actinide  $^{239}\text{Pu}$  [23, 24, 25]. In the last section of the paper (§4) this review will

concentrate only on some of the important elements, for the topics of the other sections, specific elements are not really of importance.

A new type of nuclear fuel, the TRISO coated particle containing a SiC layer, is designed to prevent leakage from the fuel under normal operation and even under accident conditions. The TRISO particle and the main functions of the coating layers are briefly discussed. The SiC layer is the main diffusion barrier in the TRISO particle preventing the escape of radioactive material from the TRISO particle into the environment. Damage caused by neutron irradiation and particle bombardment from the fission and nuclide decay processes to SiC is also reviewed. This damage can change some of the desired properties of the SiC layer. Finally, the diffusion of some of the important fission products in SiC is discussed.

## **2. COATED NUCLEAR FUEL PARTICLES (TRISO PARTICLES)**

In most of the Generation IV nuclear power plants the problem of leakage of radioactive fission products is addressed by coating the fuel with layers having diffusion barrier properties for fission products. This idea to coat nuclear fuel is not new. It started with the high temperature helium gas-cooled Dragon nuclear reactor in the UK which was commissioned in 1965 and operated until 1975 [26]. The all-ceramic fuel for this reactor was produced in the form of 500 to 800  $\mu\text{m}$  diameter microspheres of fissile material coated with layers of pyrocarbon and silicon dioxide. The finished particle size was about 1 mm in diameter. The coated particles were sprayed with a graphite resin mixture and hot pressed generally into annular compacts which were then loaded into tubular graphite fuel elements [27].

The coated fuel particle of the Dragon reactor was improved by the so-called TRISO (acronym for Triple Coated Isotropic) nuclear fuel particle mainly used in the Pebble Bed Modular Reactor (PBMR) developed in Germany as a prototype of a safe nuclear reactors [28, 29]. These TRISO fuel particles were successfully used in the AVR experimental reactor [30] in Jülich, Germany, for several years until the reactor was closed down. The TRISO fuel particle consists of an inner  $\text{UO}_2$  core with a diameter of about 0.5 mm surrounded by four layers, which are all CVD deposited - see Figure 1. The inner layer of the TRISO particle consists of a porous graphite buffer layer 95  $\mu\text{m}$  thick. By changing the conditions in the CVD reactor the next layer (40  $\mu\text{m}$  thick) is pyrolytic carbon, called the inner pyrolytic carbon layer and commonly abbreviated by IPyC. A 35  $\mu\text{m}$  SiC layer is grown between this IPyC layer and another pyrolytic carbon layer (40  $\mu\text{m}$ ) forming the outer layer (OPyC). The final diameter of the TRISO particle is 0.92 mm. For the PBMR nuclear reactor fuel about 15000 of these TRISO particles are then imbedded in a graphite matrix to form a pebble with a diameter of about 60 mm.

As was mentioned above, the main function of the coatings of the TRISO particle is to act as diffusion barriers for the radioactive fission products in order to keep them safely inside the fuel particles in case of an accident where the reactor core becomes open. Naturally, the individual layers also have other functions which will be discussed briefly. This paper will also review the diffusion of some fission products in the main diffusion barrier layer in the TRISO particle, viz. SiC, as well as interaction between fission products and SiC in section 5.

## FUEL ELEMENT DESIGN FOR PBMR

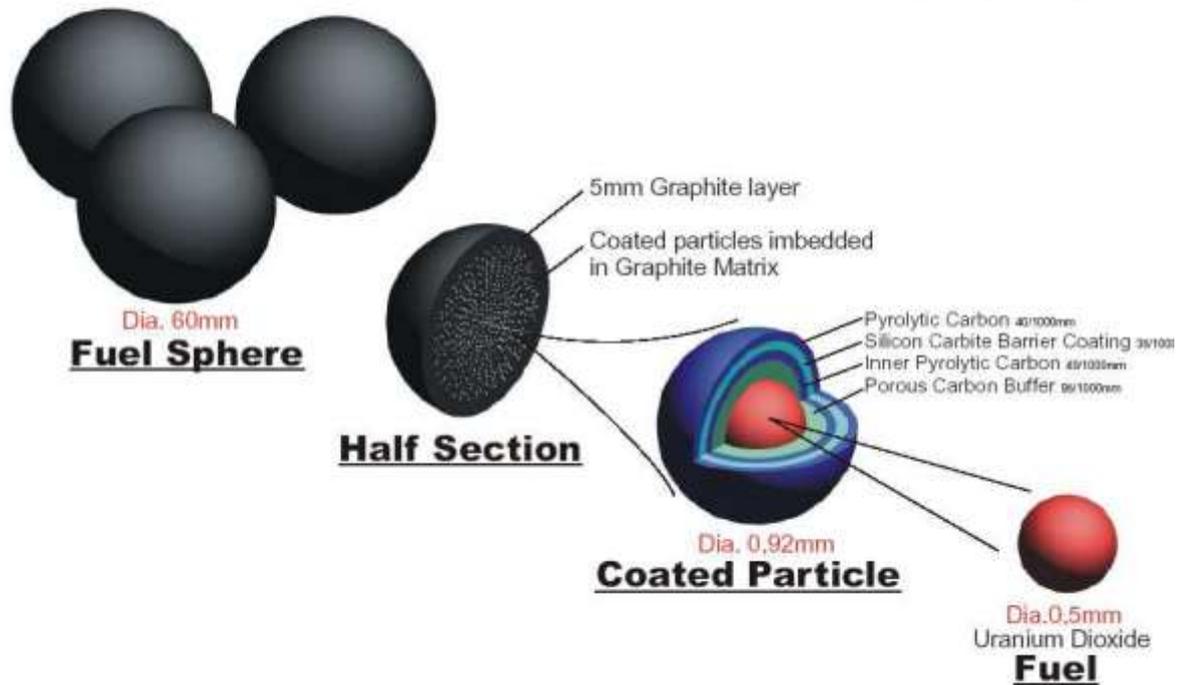
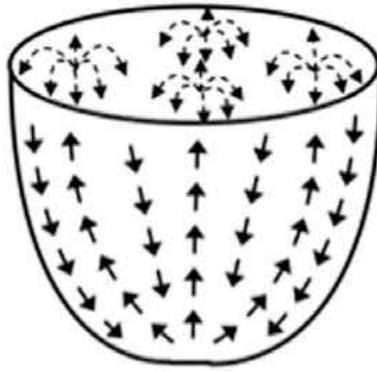


Figure 1. The design of the PBMR fuel sphere. (Taken from the PBMR website [31].)

Reviews of the functions of the different layers of the TRISO particle are given by Wichner et al. [32] and van der Berg et al. [33]. CVD (chemical vapour deposition) processes are used to manufacture the layers [32, 34]. Using high purity precursor chemicals ensure that the very low levels of impurities needed in a nuclear fission reactor is obtained. A fluidized-bed reactor (see Figure 2; taken from [35]) is used to grow symmetrical layers around the kernels. Symmetrical spherical particles are needed because asymmetrical ones have a higher probability of failure [36 - 38]. The chemicals and deposition parameters are given in reference [32, 34, 35]. Examples of the characterization of the microstructure of the layers to determine whether the layers have the required properties are given in references [33, 34, 39 - 45].

Carbon (or graphite) layers deposited by a CVD process using a gaseous precursor (such as methane  $\text{CH}_4$ ) are generally called pyrolytic carbon (sometimes also named pyrocarbon) or pyrolytic graphite layers [46]. Depending on the deposition conditions the layers have various degrees of graphitization. Pyrolytic carbon is an aggregate of graphite crystallites with a turbostratic (i.e. showing no evidence of three-dimensional order) structure, usually with many warped basal planes, lattice defects, and crystallite imperfections [46]. This gives pyrolytic carbon improved durability compared to graphite. Depending on the dimensions and orientations of the crystallites, pyrolytic carbon are classified as columnar, laminar, granular, or isotropic [46, 47]. The columnar and laminar forms are highly anisotropic, making isotropic pyrolytic carbon the preferred one for nuclear applications and especially for high temperature gas-



**Multispout nozzle**

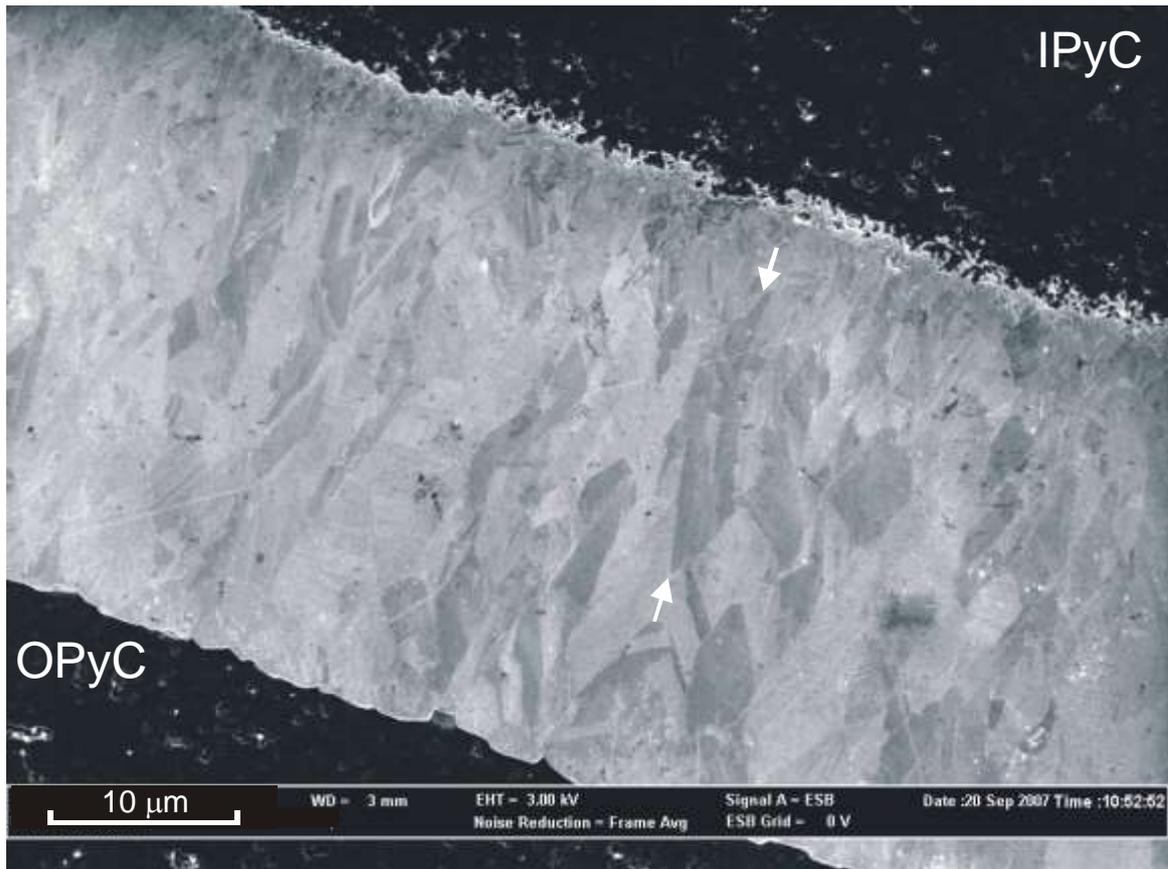


**Figure 2. A schematic diagram to illustrate the principle of a fluidized-bed CVD reactor where non-reactive gas is blown into the reactor to levitate the spherical particles into the reactive area of the CVD reactor for layer deposition. Arrows indicate the movements of the particles. Below is a picture of a reactor with four inert gas inlets. Taken from [35].**

cooled reactors. The latter is supported by the other properties of PyC: It has a very low neutron absorption cross-section, a high melting point, a high sublimation energy, a relatively high thermal conductivity coefficient and a low thermal expansion coefficient [46]. Furthermore, the flexural strength (or modulus of rupture or bend strength or fracture strength) of graphite increases with increasing temperature up to about 2400°C [46].

The inner layer of the TRISO particle consists of a porous low density pyrolytic carbon layer about 95  $\mu\text{m}$  thick. This layer has a number of functions. For example, it

acts as a diffusion barrier for many fission products. For this reason isotropic PyC is used because the oriented graphitic layers in the anisotropic PyC forms, such as highly oriented pyrolytic carbon or graphite (HOPG), create easy and fast diffusion paths for fission products. The inner PyC layer must be thick enough to stop the energetic fission products resulting from the fission reaction to penetrate into the SiC layer and damage this layer. The projected range of energetic particles exponentially decreases with ion mass. For 2 MeV  $H^+$  ions implanted into graphite, the projected range is 38.2  $\mu\text{m}$  and 5.5  $\mu\text{m}$  for 2 MeV  $He^+$  ions [48]. The other two main functions of the buffer layer are to absorb gaseous fission products (such as He, Kr, and Xe) and to accommodate thermal expansion and swelling of the  $UO_2$  kernel [32, 33].



**Figure 3:** An SEM image of a cross section of a coated fuel particle showing mainly the SiC layer. The interface between SiC (light) and inner pyrolytic carbon (IPyC) (dark) is rough while the interface between SiC and outer pyrolytic carbon layer (OPyC) (dark) is relatively smooth with a clear indication of faceted crystals on the SiC. A long columnar crystal is marked with the arrows. The coated particle was only very lightly etched. Taken from [33].

The main function of the inner pyrolytic carbon layer is to prevent corrosive chemical and by-products involved during the deposition process of the SiC layer from penetrating into and reacting with the uranium in the kernel. Because of its microstructure, the inner pyrolytic carbon layer has an additional function. This layer has many nano- and micro-cavities [33, 39]. During the CVD deposition of the SiC layer, SiC penetrates into these cavities forming a dendritic network thereby ensuring good bonding (i.e. stitching) between SiC and IPyC layers – see Figure 3. This bonding is crucial for the integrity of the TRISO particles so as to withstand the large

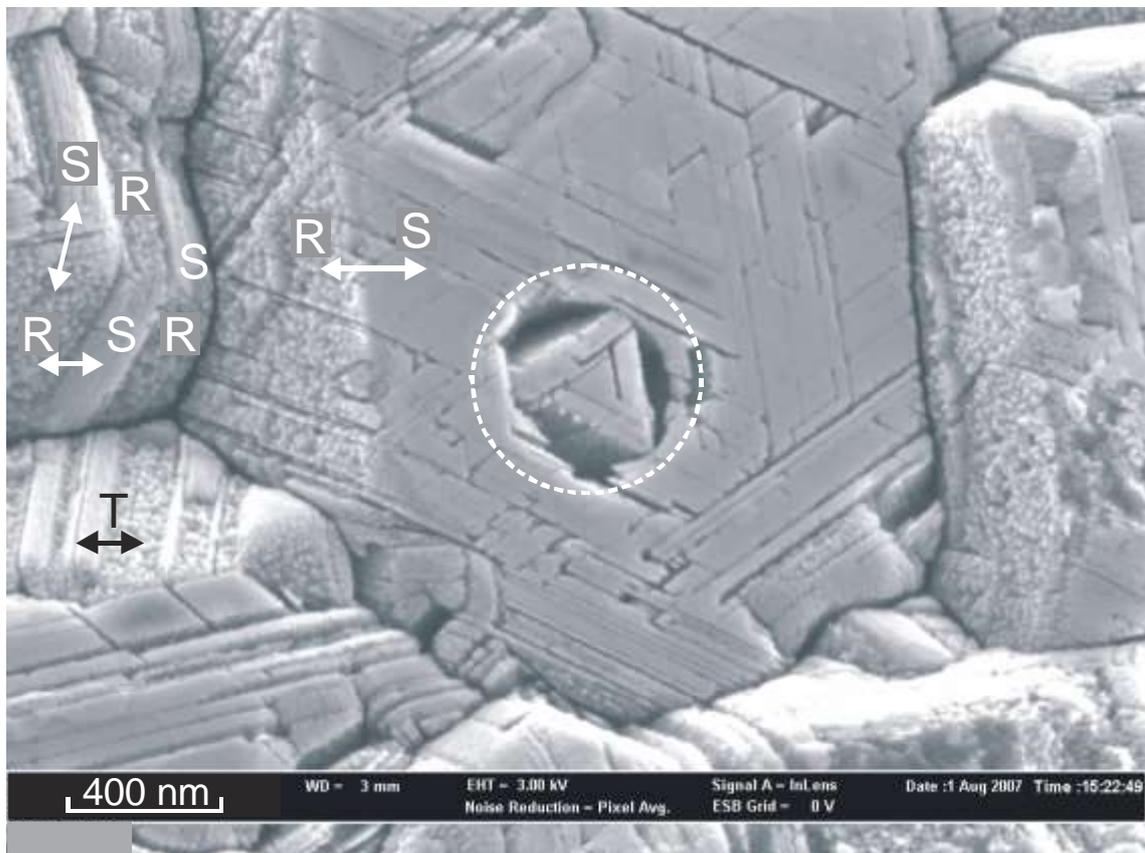
thermal stresses, which occur during the heating and cooling steps to which the TRISO particles are subjected to during operation in the high temperature nuclear reactor.

The SiC layer is a very important layer in the TRISO particle because it has a number of very crucial functions. These originate from the interesting properties of silicon carbide as summarised by Snead et al. [49] and Wesch [50]. Silicon carbide has over 200 polytypes which depend on the stacking order of the Si–C close-packed atomic planes [3]. The differences in the total energy of formation between the common polytypes are very small – to the order of O(1) meV/atom [51]. The fundamental structural unit is a predominantly covalent bonded primary co-ordination tetrahedron (either SiC<sub>4</sub> or CSi<sub>4</sub>). The carbon atom is at the centroid of four silicon atoms (or vice versa). One of the four Si–C bonds is parallel to, and taken to coincide with, the c-axis of the crystal. The most common polytypes are 3C (also labeled β-SiC), 4H, 6H and 15R (all labeled α-SiC), where the number indicates the repetition of the Si–C close-packed atomic planes while C, H and R representing cubic, hexagonal and rhombohedral crystal lattice types. The CVD conditions for growing the polycrystalline SiC layer of the TRISO particle is chosen such a way that the crystallites are predominantly 3C, which is the preferred polytype for nuclear reactors [41, 49] mainly because this polytype has a higher (defect) radiation resistance against neutron bombardment than α-SiC [52]. For optimum operational growth conditions, de Villiers et al. [45] found that the SiC layer consists predominantly (82–94%) of the 3C polytype, with minor amounts of the 6H and 8H polytypes, by using Rietveld analysis on X-ray diffraction spectra of the TRISO particles.

Because of the importance of understanding the chemistry of 3C-SiC it is important to also understand its surface properties. Furthermore, annealing at high temperatures can lead to decomposition of SiC and because of the higher vapour pressure of silicon compared to carbon [53], to the loss of silicon. It has been shown that cracks can form on certain surfaces on 3C-SiC [54]. Extended cracks can create diffusion paths for fission products through the SiC layer. Soukiassian [55 - 58] and Bermudez [59] have reviewed the structures of 3C-SiC(100) surface reconstructions, self-organized nanostructures [56] and nanochemistry [60] on SiC surfaces. This surface has interesting features; it has several surface reconstructions going from Si-rich to C-rich surfaces. They include the Si-rich 3×2, 8×2, 5×2, 7×2, 9×2, etc.; Si-terminated c(4×2) and 2×1; C-terminated c(2×2); and C-rich 1×1 reconstructed surfaces. Particular interesting is the change from the semiconducting c(4×2) [61 - 67] to a 1D metallic p(2×1) phase via a temperature-induced reversible phase transition [68 - 70]. Another novel feature of the 3C-SiC(100) surface is the metallization of the surface by hydrogen [60, 71 - 74]. A temperature-induced sp<sup>2</sup> to sp<sup>3</sup> diamond-type transformation with the formation of sp<sup>3</sup> carbon atomic lines [75, 76] has also been observed on the C-terminated surface [75 - 79]. These carbon atomic lines could cover the whole surface leading to a surface terminated by C atoms in a sp<sup>3</sup> configuration [75 - 76].

The chemical inertness of SiC has an advantage for TRISO particles under accident conditions. Experiments and analyses simulating accident conditions showed that only the outer pyrolytic carbon layer corrodes at high temperatures when there is a massive air ingress, leaving the SiC layer basically intact, preventing release of the contained radioactive fission products [80 - 82]

The main function of the SiC layer is to act as a diffusion barrier for the radioactive fission products. This aspect will be discussed later in section 5. The SiC layer, however, has a number of other important functions. For example, it also provides mechanical support and structural rigidity to the coated particle [83, 84] even under conditions of thermal shocks [85] which occurs during the operation of a pebble bed modular reactor. This means that there must be strong bonding between the SiC layers and its two neighbouring PyC layers as discussed earlier. The SiC layer contains many defects. Figure 4 shows a high resolution SEM (HRSEM) image of unpolished but chemically etched SiC at SiC/OPyC interface. Many twins T and stacking faults SF are visible in the image. This surface is the substrate for the next epitaxial layer [86]. For homo-epitaxy the same polytype crystal continues to grow and for hetero-epitaxy a new polytype will nucleate.



**Figure 4.** An HRSEM image of the un-polished but chemically etched SiC layer at the interface SiC/OPyC. Uneven etching near stacking faults is marked with a circle. Note different etching patterns being rough R and smooth S on the different faces of the twinned crystals on the left. The phenomenon is due to the differences in the chemical properties of the Si and C faces of SiC crystals. The coated particle was annealed at 1600°C for 10h. Taken from [33].

Another function of the chemically inert SiC layer is to act as a leaching barrier for chemicals entering the coated particle from the outside during long-term storage. In general, it is known that SiC is a chemically stable compound being resistant to a large number of chemicals. In Figure 4 it is shown that the different faces of SiC crystallites exhibit different etching behaviour. This is due to the differences in the

chemical properties of the Si and C faces of SiC crystals. The chemistry can depend on the surface reconstruction. For 3C-SiC(100), the C-terminated (i.e.  $c(2\times 2)$ ) and C-rich (i.e.  $1\times 1$ ) surfaces do not oxidize as easily as the Si-rich surfaces, requiring much higher exposures and temperatures [87]. The initial oxidation of Si-rich 3C-SiC(100) ( $3\times 2$ ) surface (and also the 6H-SiC(0001)-( $3\times 3$ ) and the 4H-SiC(0001)-( $3\times 3$ ) reconstructed surfaces [88 - 91]) shows a very high reactivity rate – approximately three orders of magnitude above those of silicon surfaces [87, 92, 93]. The other Si-terminated reconstructed surface of 3C-SiC and also the other hexagonal SiC polytypes and their surfaces [89] require high oxygen exposures and high temperatures for oxide formation [83].

The outer PyC (OPyC) layer has to protect the SiC layer from mechanical wear and shocks as well as external chemical reactions. During neutron irradiation the OPyC layer shrinks and applies compression to the SiC layer preventing it from fracturing during over-pressure [100]. The bonding between this layer and the SiC layer need not be as strong as between the latter and the inner PyC layer. In fact, it is beneficial for this bonding to be not too tight in order to protect the TRISO particle from cracking completely open under mechanical shock and releasing the radioactive fission products to be kept inside the particle. Under severe mechanical shock conditions the outer PyC layer becomes detached from the SiC layer, thereby absorbing most of the deformation energy. Figure 3 shows that although the SiC/OPyC interface is rough ensuring good contact between the two layers, it is not as rough as the SiC/IPyC interface.

### 3. RADIATION DAMAGE

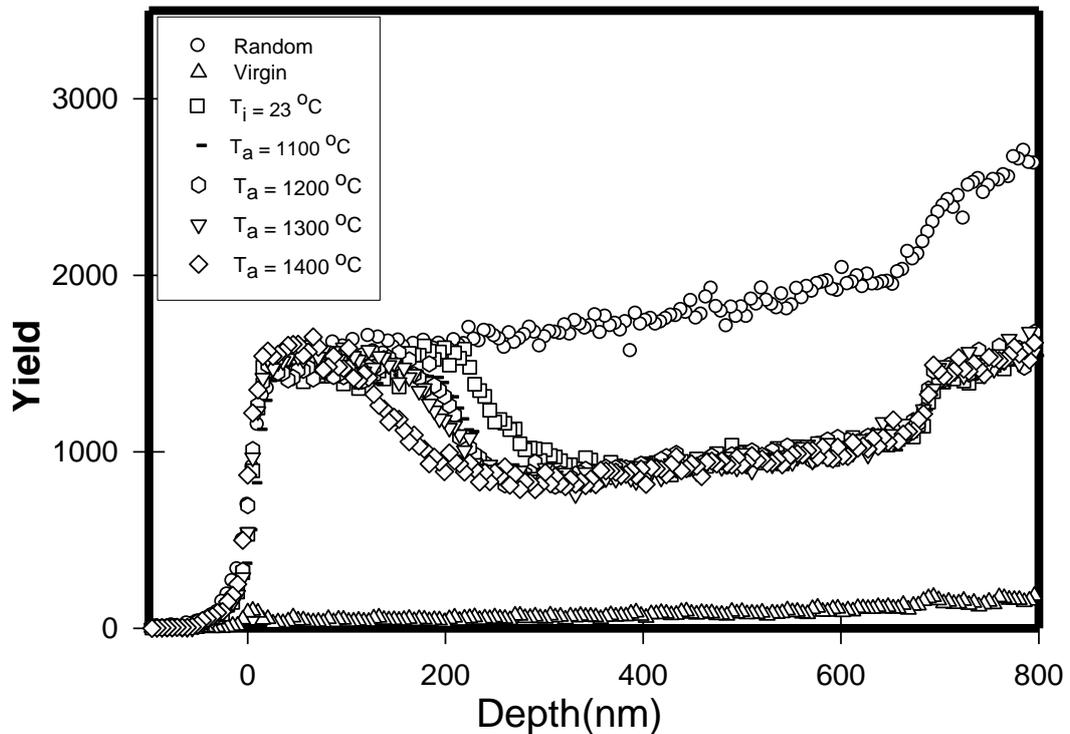
Because of its importance, radiation damage in SiC has been extensively investigated. For reviews of the topic the reader is referred to [49, 50, 96 - 98]. This section will give a short review of neutron and of ion irradiation induced damage in single crystalline and polycrystalline SiC emphasising the more recent findings in the field. A few important consequences of radiation damage such as its effect on the diffusion of impurities in SiC will first be pointed out. Next the fluence and temperature dependences of the amorphization of SiC are treated. A key advantage of SiC as a nuclear material is its low critical temperature for amorphization. At elevated temperatures SiC remains crystalline during irradiation although defects are introduced. A discussion is given of the types of defects and their dependences on temperature and fluence in terms of displacements per atom (dpa). This is followed by a discussion of the annealing of radiation damage. Two aspects dealt with in particular in the latter discussion are void formation and the appearance of 3C-SiC crystallites in the bombardment-induced amorphous layer on 6H-SiC and 4H-SiC. This section closes with a brief summary of surface effects of ion bombardment of SiC.

#### 3.1 General considerations

Radiation damage to TRISO particle coating layers is caused mainly by the neutron flux in the reactor. Elastic collisions between nuclei in the layers and high energy neutrons (and also ions) displace these layer atoms from their equilibrium positions – creating a lattice vacancy and an interstitial atom. The displaced atoms can also recoil

through the lattice and produce other atom displacements resulting in a cascade effect and extended radiation damage micro-regions. Capturing of low energy neutrons by nuclei and subsequent transmutations also cause point defects in the layer materials.

As was mentioned in the previous section, after splitting of the uranium nucleus into two fission products, these fission products can have high enough energies to penetrate deeply into matter causing significant radiation damage near the end of range of the fission products when nuclear stopping starts to be the main stopping mechanism. The thickness of the first buffer layer usually prevents the fission products to penetrate the other coating layers and thereby cause radiation damage in these layers. However, the results of studying ion beam-induced radiation damage and its annealing at high temperature can be used to extrapolate the cascade effect of high energy neutron radiation damage and its annealing. Since the structural damage is caused in the nuclear stopping regime, electron stopping damage due to swift heavy ion bombardment of SiC [99] will not be considered.



**Figure 5.** Random and aligned backscattering spectra of SiC for 6H-SiC implanted at room temperature ( $23^\circ\text{C}$ ) and submitted to isochronal annealing at  $1100^\circ\text{C}$ ,  $1200^\circ\text{C}$ ,  $1300^\circ\text{C}$  and  $1400^\circ\text{C}$  for a 10 hours cycle. Taken from [100].

Radiation damage in the TRISO coating layers has several negative effects on the functions of these layers. The most important of these is that it can induce or enhance diffusion of fission products in these layers. Silver does not exhibit Fickian diffusion in single crystalline 6H-SiC (i.e. no volume diffusion, or below the RBS detection limit of  $10^{-21}\text{m}^2\text{s}^{-1}$ , of Ag occurs in SiC) when vacuum-annealed at temperatures up to  $1400^\circ\text{C}$  [100] and up to  $1600^\circ\text{C}$  [101]. In polycrystalline SiC grain-boundary diffusion of silver starts to be detectable by RBS at  $1400^\circ\text{C}$  annealing [101, 102]. As

can be seen in Figure 6, when 360 keV  $\text{Ag}^+$  ions are implanted to a fluence of  $1 \times 10^{16} \text{Ag}^+ \text{cm}^{-2}$  in single crystalline SiC at room temperature, the SiC is amorphised in the implanted region [100 – 104]. For these samples diffusion of the silver occurs by vacuum annealing only in the temperature range 1300 to 1385°C [104, 105]. At lower and higher (up to 1600°C) annealing temperatures there is no noticeable (with RBS) diffusion of the silver. This absence of diffusion at the higher temperatures is probably due to two factors. The one is the epitaxial regrowth of the a-SiC which occurs from the interface between the amorphous SiC and the single-crystalline SiC bulk (see Figure 5), thereby, preventing volume diffusion of the silver. The other factor is the trapping of Ag by defect complexes in the SiC. The latter point will be discussed again later.

Another detrimental effect of irradiation on the TRISO layer materials is the breaking of bonds and/or subsequent reaction formation destroying the integrity of the layers. Annealing SiC at high temperatures (above 1200°C) results in thermal etching of the SiC to occur [1, 106]. The thermal effects are more noticeable at sites where there are defects - also those caused by radiation.

The number of other negative effects of radiation damage such as swelling and changes to the mechanical and thermal properties of SiC is reviewed by Snead et al. [49]. The mechanical and thermal properties of amorphous SiC are very different from those of single crystal and polycrystalline SiC [49 and references therein]. For example, the hardness as measured with a nanoindenter decreased to 65% from its value for unirradiated polycrystalline  $\beta$ -SiC, while the elastic moduli decreased by about 58%. This is in contrast to steels where hardness increases after ion bombardment [107 – 108]. As was mentioned, the polycrystalline SiC layer in the TRISO particles provides mechanical support and structural rigidity to the coated particle. Consequently such changes are of importance for the proper functioning of the particles. Naturally the radiation damage also changes the optical properties of SiC. These changes are used to characterize the damage caused by bombarding neutrons and ions [109 – 123]. Neutron and ion irradiation can even lead to the appearance of ferro-magnetism in the damaged SiC [124, 125].

### 3.2 Amorphization

Radiation damage occurs readily in covalent bonded materials with their directional chemical bonds. Displacements away from their equilibrium lattice sites will break the chemical bonds between the atoms and result in local amorphisation of the substrate. Because SiC is not a fully covalent material (88 % covalent and 12 % ionic [4]), it has some resistance against radiation. However, relatively high fluences (a safe rule of thumb is  $1 \times 10^{15} \text{cm}^{-2}$  or higher) at low temperatures result in complete amorphisation of the ion bombarded volume of single crystalline SiC. For neutron irradiation, very high fluences are needed. For example, for irradiation at 60°C a fluence of  $2.6 \times 10^{21} \text{n m}^{-2}$  amorphizes 3C-SiC [126]. A large number of publications has investigated the threshold fluence for amorphization at room temperature. However, there is no real consensus in the literature on its value. The reported threshold fluences (for both ion and neutron irradiation) are in the range 0.2 to 0.6 dpa. The critical fluence for amorphization increases rapidly for higher substrate temperatures [96, 126 - 129]. For neutron irradiation the critical temperature for amorphization (i.e. just above this temperature an apparent asymptotic increase in

fluence is needed to amorphize crystalline SiC) is about 150°C [49, 130]. Above the critical temperature the SiC remains crystalline although point defects are created by the irradiation resulting in significant strain in the substrate. The critical temperature and critical fluence for amorphization are independent of the crystal polytype [126, 131, 132]. Wendler et al. [96] found, by fitting published results, that the critical temperature  $T_c$  for ion bombardment is given by

$$T_c = \frac{A}{B - \ln(jE_r^2)}$$

where A and B are material-dependent constants,  $j$  is the dose rate and  $E_r$  is energy transferred to recoils per ion and unit depth. An example of the effect of the low critical temperature of SiC is shown in Figure 6 of a 6H-SiC implanted with 360 keV to a fluence of  $2 \times 10^{16} \text{ Ag}^+ \text{ cm}^{-2}$  at 350°C and 600°C [100]. As can be seen from the figure the 6H-SiC remained crystalline. The channeling spectrum for the 600°C implanted sample is lower than that of the 350°C sample indicating that there are more defects in the SiC implanted at 350°C than in the 600°C sample. At the higher implantation temperature, the displaced substrate atoms have more energy to move around to recombine with vacancies. An analysis of the RBS data show that the damage is a mixture of point defect clusters and extended defects most probably dislocations [115]. This was confirmed by TEM [133]. Similar radiation hardness behavior of SiC during implantations above 300 °C have also been reported for other heavy ions [20, 50, 96, 101, 103, 104, 115, 133 - 138].

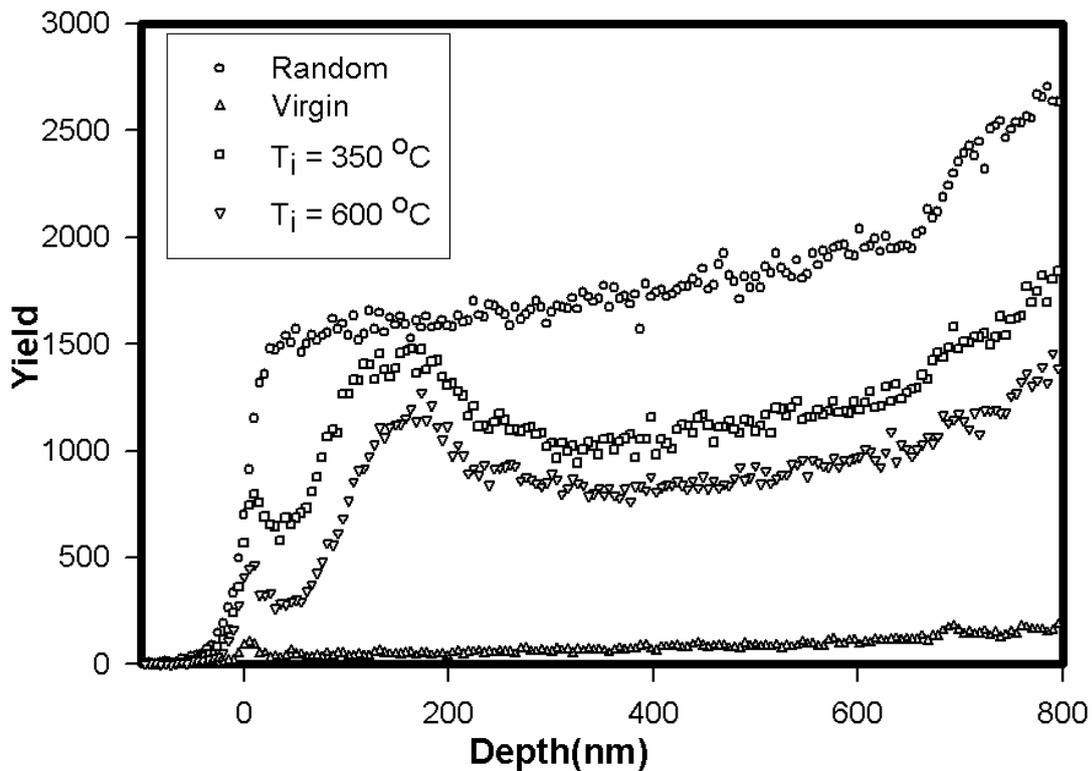


Figure 6. Aligned and random  $\alpha$ -particle backscattering spectra of 6H-SiC implanted at 350°C and 600°C with 360 keV silver ions to a fluence of  $2 \times 10^{16} \text{ Ag}^+ \text{ cm}^{-2}$ . Taken from Ref. [100].

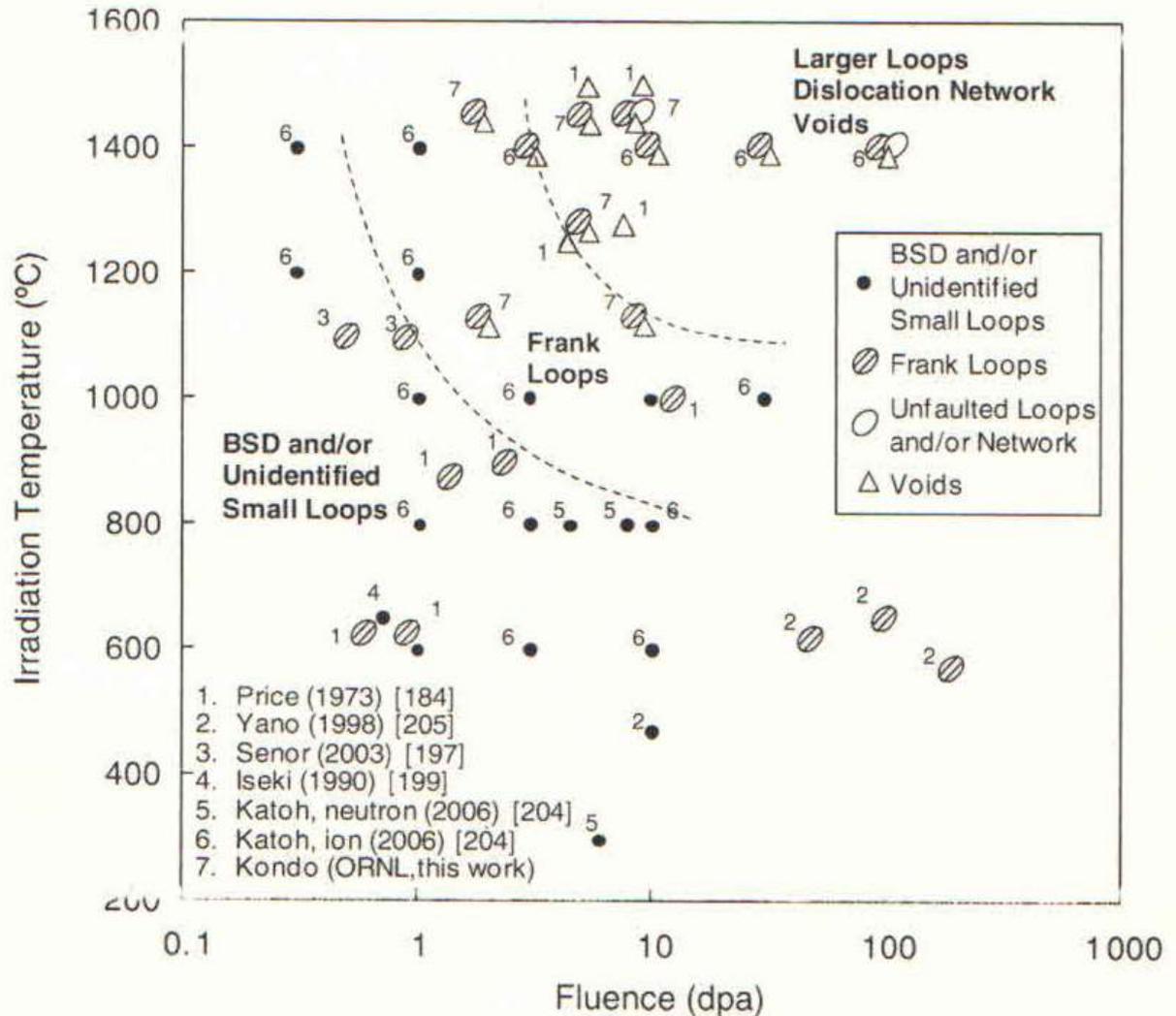
One of the main reasons why SiC is a material considered in both fusion and fission nuclear reactors is its low critical temperature for radiation-induced amorphization. At room temperature SiC is easier to amorphize by irradiation than other popular ceramics for the nuclear industry, viz. alumina ( $\text{Al}_2\text{O}_3$ ), magnesium aluminate spinel ( $\text{MgAl}_2\text{O}_4$ ), magnesia ( $\text{MgO}$ ), silicon nitride ( $\text{Si}_3\text{N}_4$ ) [132]. In both fusion and fission reactors the areas where SiC will be used have temperatures above the critical temperatures reducing many of the negative affects associated with amorphization.

The two main mechanisms proposed for irradiation-induced amorphization in ceramics are the direct impact amorphization model and the critical level defect accumulation model. In the former process amorphization takes place by superposition and overlapping of amorphous zones formed progressively during irradiation. This mechanism has been used by Benyagoub et al. [139] to explain heavy ion bombardment-induced amorphization of SiC. This mechanism was also adapted and modified by Bolse [140] to explain his amorphization results for SiC. Many studies on the amorphization of SiC (e.g. [96, 141 – 147] ) favour the critical level defect accumulation mechanism (or extensions of it) where the damage (i.e. defects) accumulate up to a critical level when whole crystalline lattice collapses into an amorphous phase. Even with this mechanism it is still unsure which type of defects triggers this transition, i.e. whether it is due to the coalescence of small defect clusters [143] or to the accumulation of anti-site defects[144, 145]. Probably it is a combination of types of defects. This critical level defect model was extended by Hecking et al. [146] to explain their amorphization results of crystalline silicon. This model was modified by Weber [97] and by Zhang et al. [148] and named the direct-impact/defect-stimulated (DI/DS) model. This model comprises several phenomena to take place during ion bombardment, viz. direct impact generation of point defects and amorphous zones, recombination of point defects from neighbouring and subsequent cascades, clustering of point defects to form stable complexes and growing of amorphous zones. This model has been very successful to fit RBS/channelling results of SiC amorphised by ion irradiation [96, 148 - 150]. The experimental results of ion-induced amorphization of 3C-SiC and the hexagonal polytypes have been well reproduced by molecular dynamic simulations (see e.g. [151 - 155]).

In the amorphous state, no phase segregation of either Si or C has been observed. Neither Si nor C atoms exhibit a significant mass transport by diffusion during the irradiation and subsequent storage at room temperature [156].

### **3.3 Bombardment-induced defect types**

The radiation damage occurring in SiC at fluences below the critical fluence are similar between ions and neutrons at the same dpa (displacements per atom) value. The microstructural changes in 3C-SiC under neutron and self ion irradiation have originally been summarised by Katoh et al. [98] and updated by Snead et al. [49] in Figure 7 into three overlapping regimes. At low temperatures and low irradiation fluences the main defects are point defects (called black spot defects due to their appearances in weak beam dark field TEM images) and small interstitial clusters in various configurations. The point defects include transmuted atoms due to neutron capturing. Electron paramagnetic resonance study showed the point defects are



**Figure 7. A summary of microstructural development in SiC under neutron and self-ion irradiation. Taken from the updated version in ref. [49] of the original in ref. [98]. The references given, are 1 – [157], 2 – [158], 3 - [159], 4 - [160], 5 & 6 – [156], 7 – [161].**

predominantly neutral silicon vacancies, negatively charged silicon vacancies and carbon vacancies [162 – 164]. The strain in the SiC, due to the interstitials, and the increase in mass due to neutron capturing can be detected by the lowering of the TO and LO Raman peaks towards lower wave numbers [110].

Increasing the temperature and/or fluence result in these black spot defects to pass into dislocations and dislocation loops. In this regime the mobility of interstitials increases which causes recombination of point defects is also confirmed by molecular dynamic calculations [165,166]. The higher mobility results in the formation of large and stable loops [159]. At higher temperatures and/or fluence TEM shows that the Frank faulted loops of the interstitial type appear with  $1/3\langle 111 \rangle$  Burgers vector [98, 157 - 159]. Frank loops [167] are the preferred configuration for SiC clusters with small sizes because of the very small stacking fault energy in crystalline SiC, viz. for 3C-SiC the reported values are  $2.5 \pm 0.9 \text{ mJ m}^{-2}$  [168],  $0.1 \text{ mJ m}^{-2}$  [169],  $0.1\text{-}2 \text{ mJ m}^{-2}$  [170]; for 6H-SiC the reported values are  $2.5 \pm 0.9 \text{ mJ m}^{-2}$  [171],  $2.5 \pm 0.9 \text{ mJ m}^{-2}$  [172]; and for 4H-SiC  $14.7 \pm 2.5 \text{ mJ m}^{-2}$  [172]. These Frank loops interact with

dislocations during further growth, and eventually develop into network dislocations at irradiation temperatures higher than 1100°C and/or fluences above 2 dpa (displacements per atom). Starting at about 1200°C, the defect density decreases significantly with increasing substrate temperature. Concurrently with this decrease the mean Frank faulted loop diameter increased exponentially with temperature [161]. It is thought that this size increase is due to the reduced sink strength of the thermally unstable defects such as small loops and cavities [161].

From Figure 7 it can be seen that vacancy clusters in the form of voids appear at relatively high fluences and at high temperatures where vacancies are sufficiently mobile [157, 159, 173, 174]. The voids are faceted and appeared to be tetrahedrally bounded by {111} planes. The reason being that the {111} plane has the lowest surface energy. The review paper by Bootsma et al. [175] quotes that the ratio of Gibbs Free Energies for the following planes are  $\gamma^{\{111\}} : \gamma^{\{110\}} : \gamma^{\{211\}} : \gamma^{\{100\}} = 1 : 1.22 : 1.41 : 1.73$ . The voids were aligned on stacking faults and between grain boundaries. Kondo et al. [173] found a big difference between the surface energies of Si(111) and C( $\bar{1}\bar{1}\bar{1}$ ) by comparing the surface area with the octahedral void (composed of the both Si- and C-surfaces) of the same volume. The mean size of the voids increase with increasing fluence (i.e. increasing dpa – displacements per atom) and increases exponentially with increasing temperature. Voids only appear at temperatures above 1000°C. With post-irradiation annealing at 1500°C only small voids appear [159] and the increase in void size was very limited below 1300°C [161]. This appearance of voids has a correlation with volume expansion due to irradiation. The compilation of data by Snead et al. [49] shows that the volume expansion of neutron irradiated 3C-SiC also increases exponentially with increasing temperature and fluence above 1100°C.

There are reports (e.g. reference [176]) of neutron irradiated-induced voids in SiC composites. However, impurities introduced into the SiC matrix during the manufacturing process can significantly change the behaviour of SiC under neutron and ion irradiation. The differences between the void data of Kondo et al. [161], on one hand, and Price [157] and the void data in SiC composites [176] can be explained by the influence of impurities in SiC in the latter two cases. Void formation will again be discussed below.

### 3.4 Annealing of radiation damage

There is a large number of publications on the annealing of ion bombardment-induced radiation damage in 3C-SiC, 4H-SiC and 6H-SiC. Although most of these studies employed ion beam techniques such as RBS-channeling (see e.g. [96, 98 – 104, 110, 127, 139, 141, 142, 148, 149, 177]), ERDA (elastic recoil detection analysis) [156, 177] and nuclear reaction analysis channelling [148, 178 – 181] to study the radiation damage evolution and annealing, a number of other techniques have also been employed. They include electron microscopy – transmission electron microscopy (TEM) [96, 98, 126, 127, 130, 132, 142, 157 – 161, 173, 174, 182, 183] and SEM [100 – 104]; atomic force microscopy [184]; optical vibrational spectroscopies (IR, PL, Raman, ellipsometry, etc.) [109 – 124, 185 - 188]; etc.

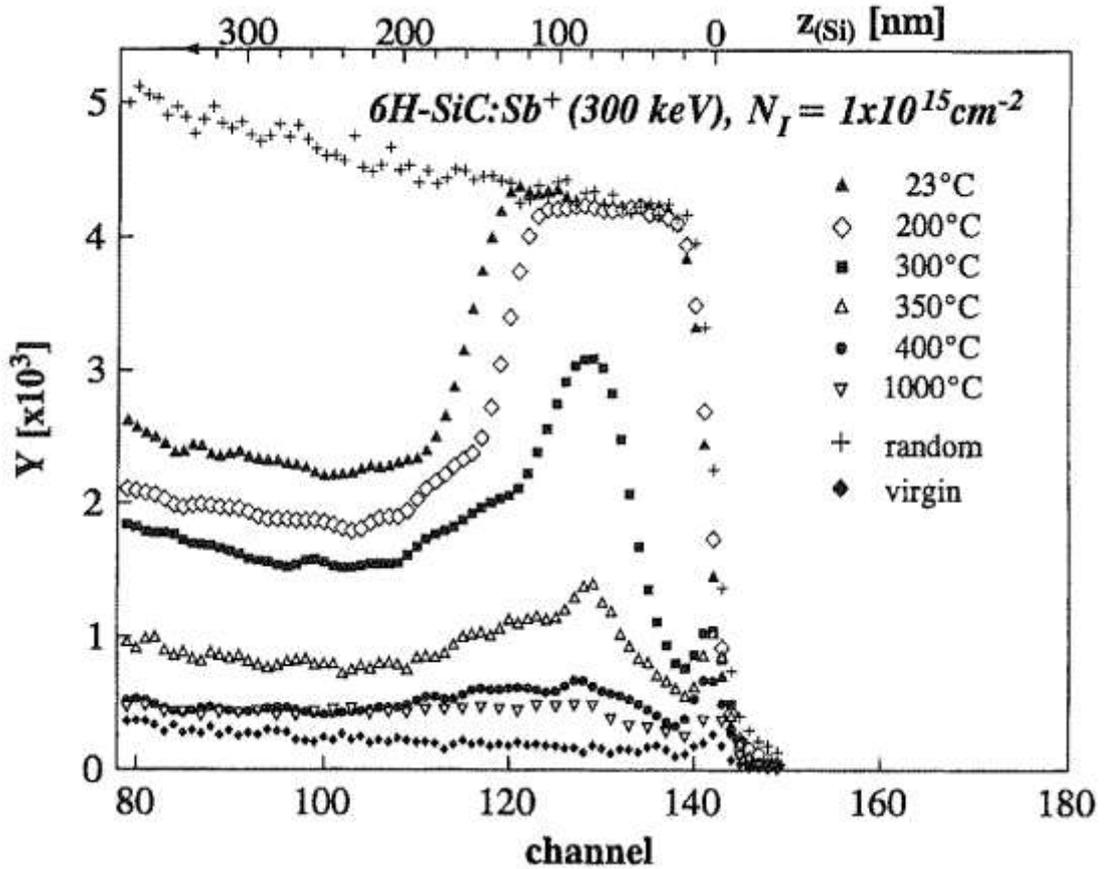


Figure 8. Aligned and random  $\alpha$ -particle backscattering spectra of 6H-SiC implanted at various temperatures with 300 keV antimony ions to a fluence of  $1 \times 10^{15} \text{ Sb}^+ \text{ cm}^{-2}$ . Taken from Ref. [96].

As was mentioned above ion (and neutron) irradiation above about 300°C does not amorphize crystalline SiC although damage is introduced – see Figure 8 [96]. The profiles became narrower and the maximum yield decreased with increasing temperature indicating less damage was created with increasing temperature. Minimum damage was obtained at substrate temperatures higher than 1000°C [96, 138]. Also noticeable in Figure 8 is a damage tail extending deeper into the substrate than the implanted profile. This phenomenon of deep radiation damage (i.e. damage beyond the range of the ions) is also observed in  $\text{Hg}_{1-x}\text{Cd}_x\text{Te}$  for heavy ion bombardment (see Malherbe [189] and references therein) and, especially, in fcc metals [190 – 193]. A strong stress gradient is caused by the bombardment process. Such stress gradients push dislocations deeper into the single crystalline substrate. For dislocations to move, the Peierls stress (also called the Peierls-Nabarro force) has to be surmounted. The Peierls stress is material, crystal structure and crystallographic orientation dependent. The Peierls stress is smaller in fcc metals than in bcc metals explaining the deeper radiation damage in fcc than in bcc metals. For example, for Cu the Peierls stress is approximately 0.05 MPa for 60° dislocations and 0.24 MPa for screw dislocations [194] and the relative damage depth (damage depth/projected range as determined by TRIM – see SRIM [48]) is 4.2 [191, 193], while for bcc iron ( $\alpha$ -Fe) the Peierls stress is approximately 0.1 GPa [195] and the relative damage depth 1.5 [192, 193]. For 6H-SiC the Peierls stress is about an order of magnitude higher than for  $\alpha$ -Fe, viz. 0.7 GPa for a prism edge dislocation and 1.24 MPa for a basal 60° dislocation [196]. Based on these numbers and the mechanical properties of SiC [49]

it is reasonable to expect that the deeper radiation damage in Figure 8 can be ascribed to stress-induced dislocation movement.

Once SiC has been amorphized, very high annealing temperatures are needed to completely recrystallize it. This can be seen from Figure 5 of a 6H-SiC sample which was bombarded with 360 keV silver ions at room temperature to cause a completely amorphized surface layer to a depth of about 270 nm, as was shown in Figure 6. Vacuum annealing at 1300°C did not result in a complete epitaxial growth from the amorphous-crystalline interface. One would expect that the a-SiC layer would form an epitaxially-grown layer from the crystalline substrate in the region where the number of bombardment-induced defects are low – as is also evident in Figure 5. However, it is difficult to recrystallize the bombardment-induced amorphous layer fully into an epitaxial layer growing from the crystalline bulk substrate. Low energy twin boundaries are difficult to eliminate by annealing at temperatures well below the melting point of SiC. This epitaxial re-growth occurred up to the region where the Ag concentration became significantly large. In this region, the large-sized Ag atoms and the relative large concentration of silver (approximately 1 atomic %) as well as the competition with polycrystalline recrystallization, prevented epitaxial growth [100]. As was mentioned, concurrently with this epitaxial process, crystallites will form in the a-SiC layer nearer to the surface by re-crystallization from seed points followed by crystal grow. This matter of epitaxial growth and recrystallization of the bombardment-induced amorphized layer will be discussed and illustrated again below.

The above remarks about the difficulty of forming a complete epitaxial layer by annealing are confirmed by several studies. Above 1450°C, McHargue et al. [147] reported that an "explosive" epitaxial growth takes place. TEM showed that the regrown layer has stacking faults and defect clusters which can largely be removed by second annealing at 1500°C [147]. Isochronal (10 h) and RBS-channelling studies on a single sample from 960°C up to 1600°C by Friedland et al. [102] only found full epitaxial regrowth from the 6H-SiC bulk at the latter temperature and not at 1500°C. The residual defect density was very high in the sample. Wesch et al. [197] reported that single annealing at even 2000 K could not sufficiently anneal all the damage in heavy ion bombardment-induced a-SiC. The problem is that at these very high annealing temperatures severe thermal etching and decomposition of SiC occur on the surface [1, 106, 109].

In contrast to bombardment-induced a-SiC, lightly damaged SiC, i.e. ion implanted with low fluences, (a rule of thumb is fluences less than  $1 \times 10^{14} \text{ cm}^{-2}$  [137]) is readily annealed at significantly lower temperatures [197]. Figure 9 shows the mean defect concentration as extracted from RBS data in SiC as a function of annealing temperatures for a few ion species and fluences [96]. Both latter parameters have significant influences on the defect concentration. This figure confirms the above discussion on critical fluence and temperature for amorphization. An interesting aspect needing more investigations is the dependence on the chemical nature of ion species, i.e. the separate grouping of curves for the noble gasses.

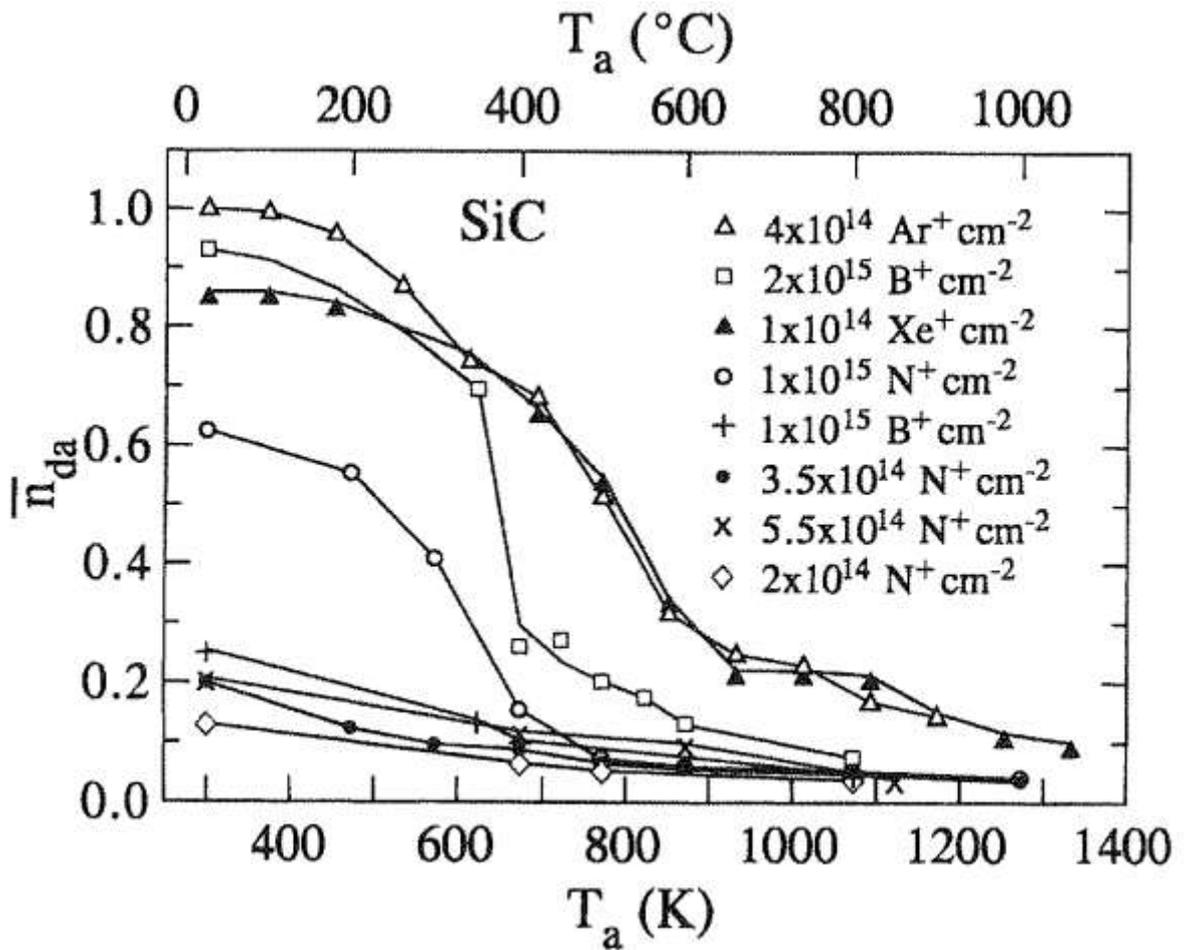
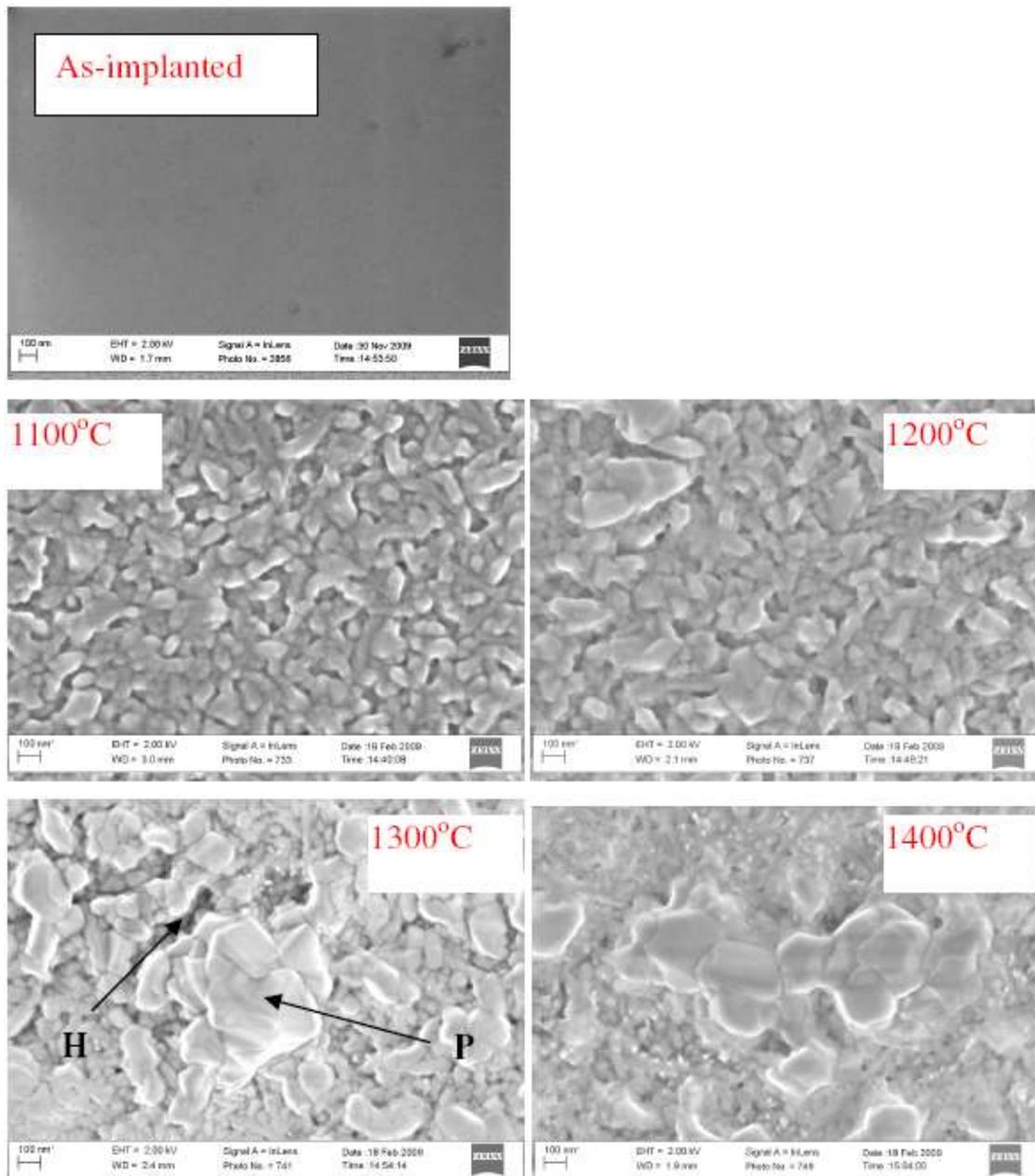


Figure 9. Defect concentration  $\bar{n}_{da}$  normalized to the maximum damage of the as-implanted samples as function of annealing temperature  $T_a$  for several implantation species into SiC at room temperature with different fluences. Taken from Ref. [96].

Although complete recrystallization through epitaxial growth from the amorphous-crystalline interface did not occur for the sample shown in Figure 5, small SiC crystallites were formed in the region not epitaxially regrown - see Figure 10. The surface of the SiC implanted at room temperature was fairly smooth and amorphous compared to the SiC after annealing which exhibited crystallites. These crystallites increased in size with increasing annealing temperature up to 1300°C. Some large protrusions (P) also appeared at this temperature. Holes (H) or voids are also visible on the silicon carbide surface after annealing. This recrystallization into polycrystalline SiC became visible by SEM after annealing at 900°C. The recrystallization is confirmed by the change in density of amorphous SiC as a function of annealing temperature - see Figure 11. At about 900°C there is a discontinuous increase in density [198]. Using RBS and optical methods, Wendler et al. [188] found even after annealing a-SiC at 400°C resulted in the layer to contain amorphized SiC regions with pockets of weakly damaged crystalline SiC. The amount of amorphous SiC decreases with increasing annealing temperature.



**Figure 10.** SEM images of isochronal annealed 6H-SiC after amorphization by 360 keV  $\text{Ag}^+$  implantation at room temperature to  $2 \times 10^{16} \text{Ag}^+ \text{cm}^{-2}$ . The annealing temperatures (for 10 hours) are indicated in each image. The magnification bar is 100 nm in all the images. Taken from Ref. [100].

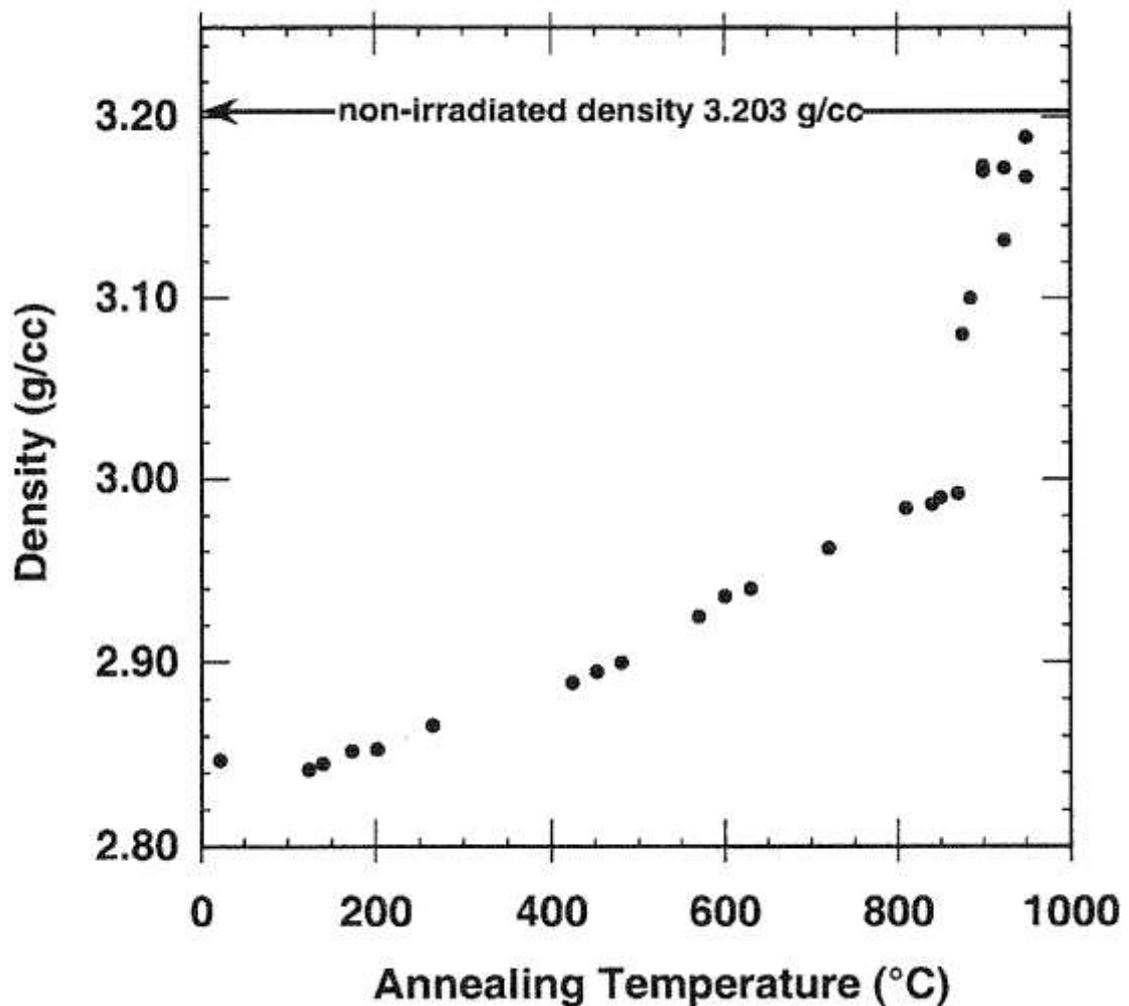
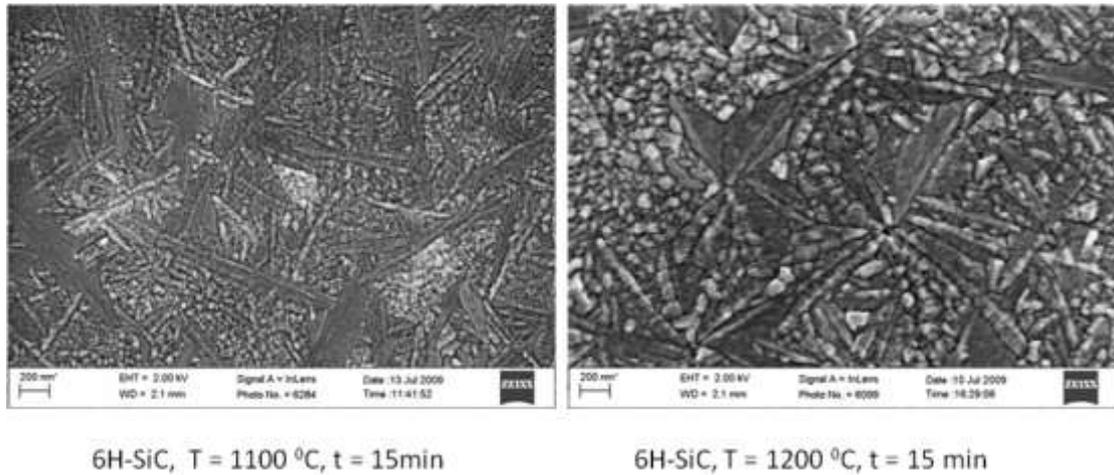


Figure 11. Effect of annealing temperature on the density of a-SiC. Taken from Ref. [198]

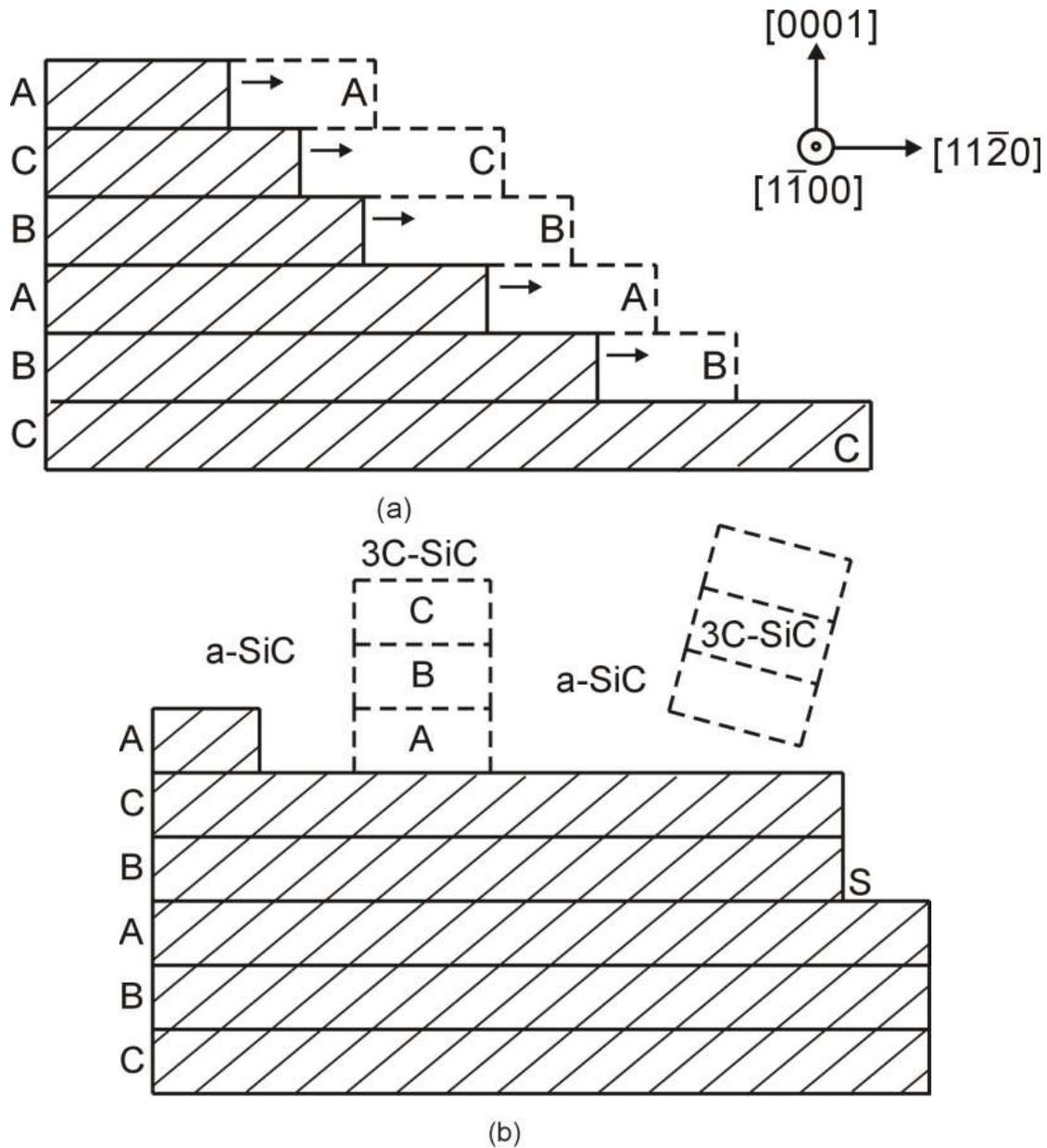
The chemical nature of the implanted species also has an effect on the shape of the crystallites being formed in the amorphous region. The two SEM images in Figure 12 show 6H-SiC surfaces implanted with 360 keV  $I^+$  ions at room temperature after 15 minute annealing at 1100 °C and 1200 °C. After implantation the surfaces were featureless, as is typical of bombardment-induced amorphous SiC wafers. After annealing long thin crystals growing in random directions from a growth centre are visible in the images, while the rest of the surface is densely covered with small crystals of irregular shape. The effect of temperature can also be seen in these two images viz. that the irregular crystals have grown significantly larger at the higher temperature. The same happened with increasing annealing time, i.e. a growth in crystal sizes.



**Figure 12.** SEM images of iodine implanted 6H-SiC surface after 15 min annealing at 1100 °C and 1200 °C. Taken from [135]

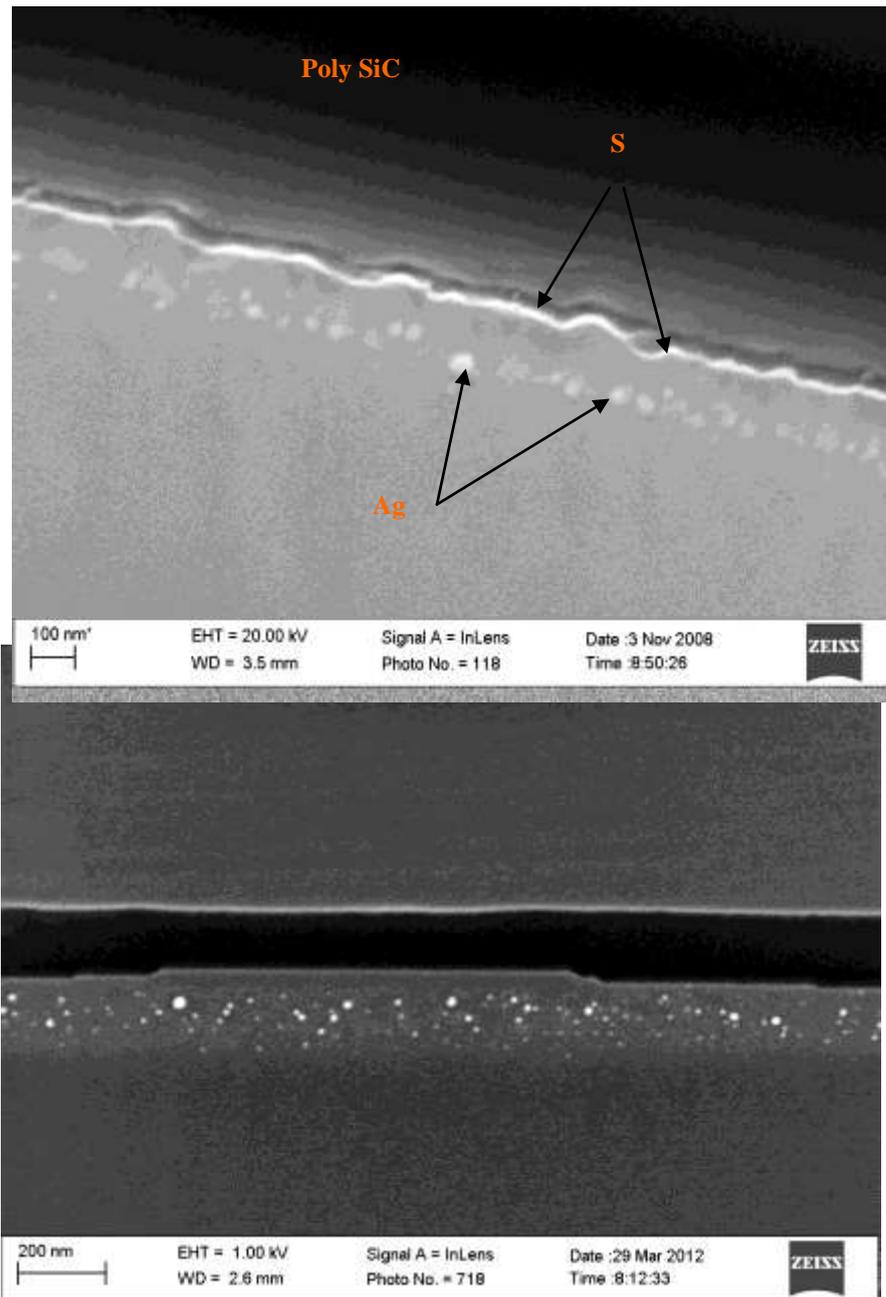
EBSD measurements in our laboratory have shown that the majority of these crystallites are 3C-SiC and not 6H-SiC as the substrate and the epitaxially regrown region. This is confirmed by TEM investigations by Gorelik et al. [199]. Their bright-field image of hundred keV Ge implanted into 6H-SiC and annealed for 20 min at 1000°C showed a spot pattern corresponding to defective 3C-SiC polytype with twins and stacking faults. There was a definite orientation relationship with the hexagonal matrix:  $[111]$  3C-SiC is parallel to  $[0001]$  6H-SiC, and  $[110]$  3C-SiC is parallel to  $[11\bar{2}0]$  of the 6H-SiC matrix [199]. They also observed voids in the recrystallized polycrystalline SiC layer. This thermal recrystallization process of a-SiC produced by ion bombardment of 6H-SiC consisting of columnar epitaxial growth of 6H-SiC from the substrate and the formation of 3C-SiC grains has been reported using TEM studies [200 – 203], by XRD [204] and by RHEED (reflection high energy electron diffraction) measurements [205, 206]. Similar results were found when using 4H-SiC [207, 208]. Heera et al. [209] found, using TEM, that ion beam-induced annealing produced 3C-SiC grains in the altered 6H-SiC layer at much lower temperatures than thermal annealing. This 3C-SiC crystallization after annealing is not limited to the ion beam produced a-SiC layer on 6H-SiC because Calcagno et al. [210] did TEM on thermally recrystallized a-SiC which was deposited by plasma enhanced chemical vapour on a silicon substrate and found the crystallites to be 3C-SiC. It must be stressed that all these annealing temperatures where the 3C-SiC crystallites were formed, were below 1500°C.

It is not possible to explain this seemingly strange recrystallization of 6H-SiC into 3C-SiC grains in terms of the heats of formation of the different polytypes because the differences are in the order of meV or even less [51]. To explain this phenomenon, Pacaud et al. [202] used a homo-epitaxial growth model. Homo-epitaxial growth of 6H-SiC on (0001) 6H-SiC substrates occurs via the step-flow mechanism [211] only above 1700°C. In contrast, the phase stability diagram for SiC polytypes show that 3C-SiC can form over a very large temperature range, including temperatures much lower than 1700°C [49, 212]. According to the Burton, Cabrera, Frank (BCF) [211] and the Frank, Van der Merwe (F-vdM) theories [213], a crystal grows in layers with growth points usually at step and at kink sites. Homo-epitaxy easily occurs for SiC because step bunching is a common extended defect of SiC surfaces [214]. According



**Figure 13.** A schematic illustration of the step-flow growth and recrystallization model by Pacaud et al. [202] for the annealing of the irradiation-induced amorphous layer on 6H-SiC. Layers outlined in broken lines indicate growth induced by annealing and the arrows the growth direction. (a) Illustration of step-flow growth of 6H-SiC at step sites on an off-oriented 6H-SiC substrate. (b) Illustration of the growth of 3C-SiC on large terraces of well-oriented (0001) 6H-SiC and the growth of 3C-SiC from small crystallites inside the amorphous SiC, which act as independent nucleation centres. Step bunching is denoted by the letter S.

to Pacaud [202], the 6H-SiC growth is stabilized at 1500°C if the epitaxy is performed on 6H-SiC (0001) substrates 4° to 7° off-oriented towards  $[11\bar{2}0]$ . This surface has a high step density and narrow terrace widths. According to the BCF and (F-vdM) step-flow mechanisms the SiC molecules in the amorphous phase will attach themselves to the steps to advance growth in the  $[11\bar{2}0]$  direction. This is schematically shown in Figure 13(a) where the steps formed in the off-oriented substrates initiate lateral growth from these atomic steps. As alluded above, a step



(b)

**Figure 14. SEM images of a cross sectional cut through a 6H-SiC samples implanted with silver and annealed. After annealing the samples were glued to poly-SiC to aid the cross sectional cutting process. (a) The sample was implanted at room temperature, annealed at 900°C for 10h and directly afterwards at 1250°C for 30 minutes. The rough 6H-SiC surface is indicated by S in the image. Taken from Ref. [100]. Note that the incorrect annealing conditions are given in reference [100]. (b) The sample was implanted at 600°C and annealed at 1500°C for 20 minutes.**

nucleation site is determined by the bonds from the step. This means that the information of the polytype stacking sequencing is contained at the step sites and not at points on the planes. At the step sites the stacking order of the 6H-SiC substrate will be continued creating the conditions for homoepitaxial growth. In contrast, three-dimensional nucleation in the form of 3C-SiC (i.e. hetero-epitaxy) occurs on the well-

oriented (0001) faces – shown in Figure 13(b). Small crystalline islands in the amorphous SiC can act as independent nucleation centres for the growth of 3C-SiC crystallites – see Figure 13(b) [202].

Another interesting aspect in terms of the annealing of a-SiC is the annealing effect of swift heavy ions. As mentioned earlier, this review does not deal with the influence of swift heavy ion in SiC. For the above discussion of heavy ion bombardment-induced radiation damage in crystalline SiC, this distinction is important because the damage creation mechanism is completely different. In the case of swift heavy ion it is an electronic loss mechanism while for keV heavy ions it is a nuclear loss mechanism. Consequently, high energy (i.e. several hundred MeV) heavy ions do not produce damage in crystalline silicon carbide. In fact, heavy ion bombardment at room temperature in pre-damaged material produced by low energy (i.e. several ten or hundred keV) can induce epitaxial recrystallization [215 – 216].

An aspect which has implications for the diffusion of fission products in SiC (discussed in the next section) is the formation of voids (in the wider sense meaning regions devoid of SiC) after ion bombardment at elevated temperature or after annealing. Void formation following from neutron irradiation at high temperatures was discussed above. Bubble formation after helium or hydrogen irradiation is a phenomenon long known in nuclear energy field – for a historical overview see references [217, 218]. It also occurs in SiC (e.g. [219 – 221]) with He bubble formation being enhanced by simultaneous H<sup>+</sup> implantation [222]. He bubbles/blisters are different to hydrogen blisters and require less fluence to form. The difference is explained in terms of the chemical reaction of the SiC with hydrogen [223]. However, since this review concentrates on the heavier fission products, void/bubble formation by these two gases will not be discussed any further.

The best investigated void formation in SiC has been the ones created by germanium implantation into SiC leading to Ge or SiGe nanocrystals inside the SiC [199, 207, 224 – 230]. In these studies Ge ions were implanted into 3C-SiC or 4H-SiC or 6H-SiC with energies of 250 keV or higher (but still in the hundreds keV) at room temperature with fluences of the order  $10^{16}$  Ge<sup>+</sup>cm<sup>-2</sup>. After implantation the crystalline SiC became amorphous with no precipitations of Ge. After rapid thermal annealing (RPA) in the range 1200-1600°C, or RPA and laser annealing [224], TEM investigations showed that the dislocation loops in the radiated region of the SiC became significantly larger (as reported above) but also that the Ge atoms segregated to dislocation cores to form nanoprecipitates clusters / nanocrystals / nanodots. The composition of these nanocrystals was either Ge or SiGe. The group by Ute Kaiser also showed that these nanocrystals are not limited to Ge but that the same recipe leads to formation of nanocrystals of Er [230, 231], Sm [232, 233], Co [232, 233], Cr [230], Si [230]. According to the above group, implantation at elevated temperatures where the SiC substrate remained crystalline did not produce any nanocrystals. In our laboratory, we obtained voids filled with the implanted species (Ag, I, Kr, Xe, Cs and Sr) following furnace thermal annealing in the range 1250-1500°C. Although not specifically investigated, at least in one case, i.e. that of silver implantation, were Ag voids formed after implantation at 600°C. Figure 15 shows SEM images taken with an in-lens detector of cross-sections of 6H-SiC implanted with 360 keV Ag<sup>+</sup> ions to a fluence of  $2 \times 10^{16}$  Ag<sup>+</sup>cm<sup>-2</sup> and vacuum-annealed. In Figure 15(a) the implantation was done at room temperature and annealed at 900°C for 10h and directly afterwards

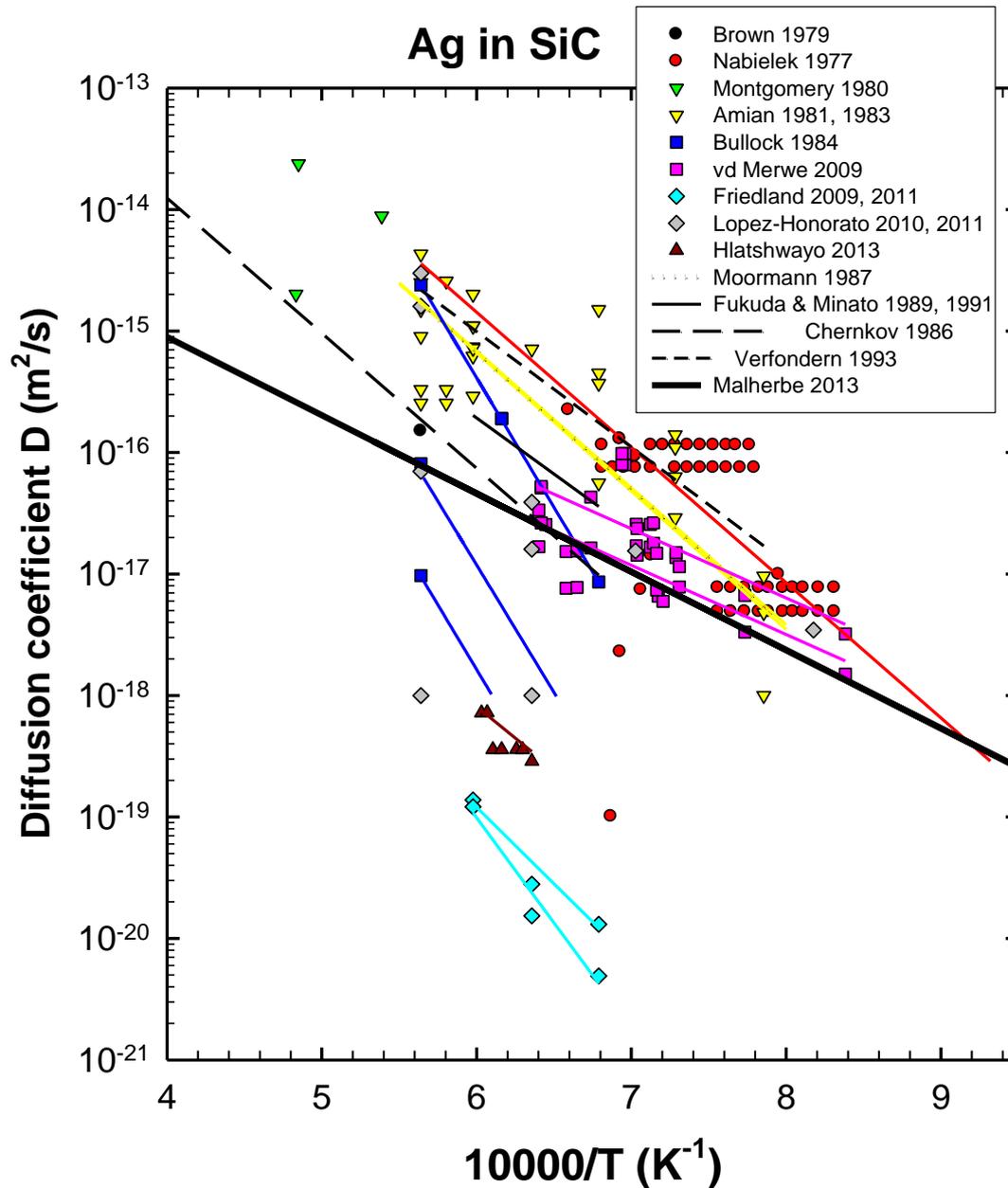


Figure 15. Summary of diffusion coefficients of silver in silicon carbide and Arrhenius fits to the data. The Arrhenius fitting lines by the authors to their data have the same colour as the data. The references are Brown 1979 [259], Nabielek 1977 [254], Montgomery 1980 [260], Amian 1981 [263], 1983 [264], Bullock 1984 [265], vd Merwe 2009 [256], Friedland 2009 [101], 2011 [102], López-Honorato 2010 [274 - 275], 2011 [276], Hlatshwayo 2013 [104], Moormann 1987 [267], Fukuda et al. [268 – 269], Chernkov 1986 [266], Verfondere 1993 [255], Malherbe 2013 – this review.

at 1250°C for 30 minutes, while in 16(b) the sample was implanted at 600°C and annealed at 1500°C for 20 minutes. The white dots indicate the silver nanocrystals. In the case of the room implanted sample (16(a)) these dots appear in the region where the implanted silver concentration was at its maximum, i.e. at a depth equal to about the projected range  $R_p$  of the ions. The 6H-SiC surface is rough due to recrystallization of the bombarded-induced amorphized SiC into 3C-SiC crystallites as discussed and illustrated in Figure 13(b). In the case of the 600°C implantation

(see Figure 15(b)) the sample remained crystalline during the implantation process. The silver nano-crystals are smaller and more evenly distributed in the implanted layer. The surface is smooth except for some step bunches. The step bunches are an indication that the surface region is single crystalline. On crystal surfaces step bunches appear as a result thermal etching at high temperatures – in our case during the vacuum annealing at 1500°C [1, 103].

### 3.5 Surface modification effects

Bombardment of SiC by ions leads to sputtering (see [234] for a review) and also to preferential sputtering [189]. Because both these effects are surface related they are most noticeable when the bombarded layer is small, i.e. when the ion energies are of the order of keV or tens of keV. The sputter yield (atoms sputtered per incident ion) depends basically on the masses of the substrate atoms and incident ion, the energy of the incident ion and the surface binding energy of the substrate atoms/molecules. Because of the latter, the sputter yield is very dependent on contamination effects from the vacuum and on the chemistry between the bombarding particle and the substrate atoms. It also results in the sputter yield of  $\alpha$ -SiC to be about three times higher than that of 6H-SiC [235]. Consequently most sputter yield measurements on SiC (e.g. see [236 – 239] are done with noble gas ions and with hydrogen isotopes. The latter ion species (together He) is done because of its application in fusion energy where SiC might be a first wall material. Malherbe [234] has shown that Sigmund sputter theory [240], developed to calculate the sputter yields for amorphous and polycrystalline elemental targets, can be adapted to calculate the sputter yields of binary compounds like SiC and that the agreements with experimental values are good.

Preferential sputtering occurs when the composition of the flux of sputtered particles is different from their concentrations on the surface of the multicomponent substrate. It is due to the primary collision effects of ion bombardment [189]. Noble gas ion bombardment of  $\alpha$ -SiC and  $\beta$ -SiC leads to an enrichment of carbon on the surface due to the preferential sputtering of silicon from the substrate [122, 241 – 250]. Battistig et al. [241] measured the surface composition of the two polar faces of 6H-SiC {0001} using Auger electron spectroscopy after low energy (0.2–1.5 keV) He<sup>+</sup>, Ne<sup>+</sup>, Ar<sup>+</sup>, Xe<sup>+</sup> bombardment. The carbon enrichment on the two faces was different for Ne<sup>+</sup>, Ar<sup>+</sup>, Xe<sup>+</sup> bombardment if the ion energy was lower than 0.4–0.8 keV (depending on projectile), while for He<sup>+</sup> the carbon enrichment was similar on both polar surfaces. The C/Si ratio measured by AES after low energy ion (e.g. Xe<sup>+</sup>) bombardment can be used to identify polarity of the surface.

## 4. DIFFUSION OF FISSION PRODUCTS IN SiC

This section provides a review of the published diffusion measurements of the main radiologically important fission products in SiC. In a few cases also results from *ab initio* simulations (e.g. density functional theory) are included. The results are summarized in figures and the numerical values with relevant comments are tabulated.

Because the TRISO particles had been used in test reactors in Germany, many diffusion experiments were performed on the particles themselves under reactor conditions. The results of many of these experiments have been published as reports for individual nuclear research centers and are consequently not as easily obtainable as normal journal papers. In the first high temperature gas-cooled (He) reactors like Dragon in the UK and Peach Bottom in the USA using coated fuel particles, the fuel (called BISO fuel) consisted of two layers, a porous buffer and dense pyrolytic carbon layers. Because these BISO particles were not very effective in stopping metallic fission products, the TRISO particle with its SiC layer was developed for the German AVR (Arbeitsgemeinschaft Versuchreaktor) which operated from 1967 – 1988.

**Table 1. Reactivity between metals and SiC. Copied from Lui et al. [251]**

Type	Reactivity	Metal
1.	No reaction	Au, Ag, Sn, Pb, Ge
2.	Me + SiC → Silicide + C	Ni, Fe, Cu, Co
3.	Me + SiC → Si + carbide	V, Al, Nb
4.	Me + SiC → Silicide + carbide	Zr, Hf, Cr, Ta, W, Ti, Mo

Conventional diffusion measurements using a deposited layer on a SiC substrate depends on the wettability between the metal and SiC. This depends on the reaction between the metal and SiC. A recent review by Lui et al. [251] shows that the reaction between metals and SiC can be grouped into four groups – see Table 1. Most of the metals in group 1 have large contact angles indicating nonwettability. Some of the relevant fission products fall into this group, making conventional diffusion measurements without encapsulation impossible. This makes diffusion measurements using implantation into SiC and annealing a favoured direct measurement technique. Most of the earlier diffusion studies were actually measurements of fission products released from the coated particles. To extract Fickian diffusion coefficients from such measurements many mathematical models were developed. For a summary of the main models see reference [23]. Because the temperature inside the coated fuel particle is higher than the operating temperature, the temperature range of interest for diffusion is from about 800°C to 1600°C, the latter being the estimated temperature during accident conditions [23, 252].

#### 4.1 Silver diffusion

There are several reports [e.g. 23, 25, 253, 254] that the only radioactive isotope which escapes from TRISO particles in significant quantities during normal reactor operating conditions is silver  $^{110m}\text{Ag}$ . For most of the other radiologically important nuclides (e.g.  $^{134}\text{I}$ ), the PyC layer (and also the SiC layer) is a diffusion barrier. However, cesium and palladium diffuse through the graphite and to a very limited degree through the SiC layer to escape, but the quantities released are small. Cracks can also occur in the coating layers which allow fission products to escape. Release of the noble gases,  $^{88}\text{Kr}$  and  $^{133}\text{Xe}$ , is usually the indicator for cracked layers in TRISO particles.

$^{110m}\text{Ag}$  is produced by neutron captivation by  $^{109}\text{Ag}$ .  $^{109}\text{Ag}$  is a stable isotope of low fission yield, viz. 0.04% for  $^{233}\text{U}$ , 0.03% for  $^{235}\text{U}$  fissions, and 1.2% for  $^{239}\text{Pu}$  fissions. These low percentages are enhanced by the fact that typically only 0.1% of the  $^{109}\text{Ag}$  is converted into  $^{110m}\text{Ag}$ .  $^{110m}\text{Ag}$  is a highly radioactive isotope (half-life of 253 days) because of its high  $\gamma$ -ray dose rate.

As was mentioned above, there is a large number of publications reporting on the escape/release of  $^{110m}\text{Ag}$  (and other fission products) from SiC-containing fuel particles irradiated in reactors. Although many of these give the fractional release of  $^{110m}\text{Ag}$ , few also quantified the transport of silver in SiC in terms of Fickian diffusion coefficients. Fortunately, there are publications showing these modelling and calculations and either give the values or summarize these measurements with Arrhenius typed (i.e.  $D = D_0 \exp\{-E_a/RT\}$ ) fits [254 - 256]. These and the reported measurements of diffusion coefficients  $D$  are summarized in Figure 15. In Table 2 the parameters for fitted lines and some diffusion coefficient values are given in roughly chronological order. More emphasis is given to the more recent publications. In Table 2 a few newer *ab initio* theoretical modeling of diffusion coefficients are also given. Excluded are the several codes (e.g. [257, 258] to simulate the transport of fission products through coated particles (several different versions of BISO and TRISO particles) using either previously published diffusion coefficient data or fitted data.

From Figure 15 and Table 2 it can be seen that there is clearly a large spread of values. To obtain an idea of the limits useful for a nuclear reactor a thick solid line is drawn in Figure 15. This line is obtained from two points. The very upper limit temperature inside a TRISO particle during normal reactor operation is estimated to be about 1250°C [25, 252]. It is also reasonable to expect that a TRISO particle will be in the reactor (i.e. core residence time) for a maximum time of two years [253]. During an accident the temperature is estimated to reach 1600°C [23, 29, 252, 253]. Based on the safe design of reactors such as the PBMR one can expect that this temperature should last a maximum of two months. Using the equation for the distance  $x$  which a diffusant with diffusion coefficient  $D$  can diffuse in time  $t$ , viz.  $x^2 = Dt$ , the diffusion coefficients for these two limits can be calculated assuming that the diffusion in the SiC does not penetrate deeper than 30  $\mu\text{m}$ . (The SiC layer in the modern TRISO particles is 35  $\mu\text{m}$  thick.) The thick solid line in Figure 15 connects (and extends beyond) these two values. The fact that the majority of the experimental points lie above this line is an indication that the transport of silver through SiC presents a major problem for the use of SiC containing coated particles as a barrier for  $^{110m}\text{Ag}$ . The fact that many measurements are below this line is an indication that there should be a remedy for the problem.

**Table 2. A summary of diffusion coefficient  $D$  measurements of silver diffusion in SiC, given preferably in the form of fitted lines ( $D = D_0 \exp\{-E_a/RT\}$ ). The abbreviation CP stands for coated particle (BISO or TRISO particles). The term “Release” is a collective term for the various methods used to extract diffusion data from irradiated coated particles.**

Ref.	$D_0$ ( $\text{m}^2\text{s}^{-1}$ )	$E_a$ (kJ/mol)	Temp. ( $^{\circ}\text{C}$ )	Sample	Method	Remarks
259			1500	CP	Release	$D = 1.5 \times 10^{-16} \text{ m}^2\text{s}^{-1}$
254	$6.8 \times 10^{-9}$	213	800-1500  1080	CP  Deposited SiC	Release  Implant	Upper limit for Ag diffusion $D < 10^{-19} \text{ m}^2\text{s}^{-1}$
260-262			1600-1800	CP	Release	Three coefficients given.
263	$6.8 \times 10^{-9}$	216	1000-1500	CP	Release	Referenced samples only
264	$4.5 \times 10^{-9}$	218	1000-1500	CP	Release	All samples including those from [263]
265	$9.6 \times 10^{-6}$  $4.5 \times 10^{-5}$  $2.5 \times 10^{-3}$	407  401  409	1200-1500	CP	Release	Good quality samples  Medium quality samp.  Poor quality samples
266	$3.5 \times 10^{-10}$	213	1200-2300	CP	Release	
267	$3.6 \times 10^{-6}$	215	1000-1500	CP	Release	
268, 269	$6.8 \times 10^{-11}$	177	1200-1400	CP	Release	
255	$3.6 \times 10^{-6}$ $6.8 \times 10^{-11}$ $3.5 \times 10^{-10}$ $5.0 \times 10^{-10}$	215 177 213 182	1000-1500 1200-1400 1200-2300 1000-1500	CP	Release	Summarization of best data from various sources – some given above
270			1300   1300	6H-SiC	Implant at $600^{\circ}\text{C}$   Implant at $63^{\circ}\text{C}$	No diffusion detected. (SiC remains single crystalline)   Some diffusion towards surface. (a-SiC after implantation).
271-273			1500	CVD 3C-SiC	Implant	No diffusion detect-able with XPS
256	$1.14 \times 10^{-13}$  $2.28 \times 10^{-13}$	109  109	920-1290	CP	Release	Best estimate  Design limit
101	$4.3 \times 10^{-12}$	241	1200-1400	CVD 3C-SiC   6H-SiC   6H-SiC	Implant at RT, $350^{\circ}\text{C}$ , $600^{\circ}\text{C}$   Implant at RT.   Implant at $350^{\circ}\text{C}$ , $600^{\circ}\text{C}$	Commercial CVD SiC with columnar crystals in direction of implantation. Grain boundary diffusion with loss of Ag through the front surface   Only limited diffusion during initial anneal with recrystallization of a-SiC layer.   Volume diffusion below RBS detection limit, i.e. $D < 10^{-21} \text{ m}^2\text{s}^{-1}$ . However, loss of Ag from surface.
102	$2.4 \times 10^{-5}$	331	1200-1400	CVD 3C-SiC	     Implant at RT.	Layers grown in South Afr. PBMR reactor with a random polycrystalline structure.     Isochronal annealing from $900^{\circ}\text{C}$ : Detectable grain boundary diffusion started only at $1200^{\circ}\text{C}$ . Diffusion

						coefficient values different from previous study [101] with CVD SiC with different microstructure.  Radiation-induced diffusion during implantation at 600°C. Isochronal annealing: Much less diffusion compared to RT implants
274-276			1200-1400	CP	TEM	Grain boundary diffusion dependent on microstructure of SiC.
277	$6.3 \times 10^{-8}$	760	Nominally 800-1800	3C-SiC	DFT	DFT calculation of volume diffusion. Fastest diffusion due to Ag interstitials. Real diffusion probably grain boundary.
278	$1.60 \times 10^{-7}$	381	Nominally 800-1800	3C-SiC	DFT	DFT calculation of different kinds of diffusion. $\Sigma 3$ grain boundary diffusion is fastest.
100, 103-104			1000-1800	6H-SiC	Implant at RT.  Implant at 350°C, 600°C	RT implants: Diffusion of Ag towards surface and loss of Ag. Formation of Ag bubbles after annealing. Loss of Ag through cracks and openings of re-crystallized SiC.  350°C, 600°C implants: No diffusion ( $D < 10^{-21} \text{ m}^2\text{s}^{-1}$ ) of Ag. Ag peak moves to surface due to thermal etching.
279-281			800-1000	Poly 3C-SiC & 6H-SiC & deposited layers	TEM	Ag transport along grain boundaries in the form of moving nodules consisting of a Ag-Pd mixture.
282			1200	4H-SiC	Implant at 377°C	No diffusion detected. (SiC remains single crystalline) Results agree with their DFT calculations.
104	$1.4 \times 10^{-12}$	199	700-1500 Diffusion range: 1300-1385	H-SiC	Implant at RT	a-SiC layer after implantation. Poly-SiC after annealing. No diffusion (i.e. $D < 10^{-21} \text{ m}^2\text{s}^{-1}$ ) outside temperature range 1300-1385°C

Van der Merwe [256] has summarized all sources of activation of  $^{110m}\text{Ag}$  and suggested that a significant contribution might arise from the natural contamination of silver in the fuel pebble material. However, it still does not explain the experimental evidence given in Figure 15. There have been a few mechanisms proposed for the high silver transport in SiC. Even from the early studies (e.g. [254]), and especially from the newer silver implantation studies in single crystal SiC at elevated

temperatures where the SiC remains crystalline [100 - 105, 270, 283] and *ab initio* simulation studies [277, 278, 282] it is clear that the volume diffusion coefficient of silver in SiC is too low to account for the silver release data. In one of the early studies [254] it was proposed that the release was associated with the migration of silver through grain boundaries of the coated polycrystalline SiC enhanced by traces of free silicon. The influence of Si has been completely refuted by microscopy studies [274 - 276]. Another proposal was that the silver escapes via cracks in the SiC layer of the coated particles. Fairly recently this idea was propagated by MacLean et al. [271 - 273] via a vapour transport mechanism. Models [256, 283] were even developed based on this proposal. Although there are always a low percentage of coated particles which fail, Minato et al. [24, 284 - 285] showed that the release behavior of silver could not be explained by only the presence and/or absence of cracks in the SiC coating layer. Recent TEM investigations also confirmed this [274 - 276]. From even the early studies it was obvious that there are great variations in the transport of silver between different batches of manufactured coated particles. This is reflected in the data in Figure 15 where in some cases there are up to three orders of magnitude difference in the diffusion coefficients at a particular temperature measured by the same group and method [101 - 102, 254, 256, 263 - 265, 276, 286]. The microstructure of the SiC clearly is of paramount importance. From the above is clear that the diffusion of silver is strongly affected by grain boundary diffusion. Using TEM, López-Honorato et al. [274 -276] showed that subtle microstructural differences such as the characteristics of the grain boundaries (i.e., high-angle grain boundaries, strains, amorphous phases, defects) are playing a fundamental role in enhancing or retarding silver diffusion. Their results also suggest that it is possible to greatly reduce silver diffusion by carefully controlling the microstructure of SiC, e.g by reducing the volume of high angle random grain boundaries. Concluding on their *ab initio* calculation of silver diffusion along  $\Sigma 3$  grain boundaries, Khalil et al. [278] suggested that the remaining discrepancies in the diffusion coefficients could possibly be bridged by considering high-energy grain boundaries, which are expected to have diffusivity faster than  $\Sigma 3$  and which provide a connected percolating path through polycrystalline SiC.

From the above discussion and from the summarized data in Table 2 it is clear that the volume diffusion coefficient of silver in single crystal SiC for temperatures up to 1600°C is below the detection limit of RBS of  $10^{-21} \text{ m}^2 \text{ s}^{-1}$ . However, as can be seen from Figure 14(b) implanted and annealed Ag atoms in 6H-SiC diffused / segregated to dislocation cores to form Ag nanoprecipitates or Ag nano-bubbles, most probably in a similar fashion as the Ge nanocrystallites discussed in section 4. In contrast, Figure 14(a) shows that for room temperature implanted silver, the Ag also precipitated into nano-bubbles but the bubbles are slightly larger and more concentrated in the region where the implanted silver concentration was largest. This was possible because the room temperature implanted samples recrystallized into 3C-SiC crystallites, allowing grain boundary diffusion to occur. The diffusion measurements by our group [100 - 105, 286] confirm the above discussion that grain boundary diffusion is main diffusion type mechanism for Ag transport in polycrystalline SiC. Differences in the grain orientation (columnar vs random) in the two sets of polycrystalline 3C-SiC samples by Friedland et al. [101, 102] resulted in differences in their measured diffusion coefficients. This means that grain surface micro-structure also influences the diffusion rate. It is, however, not so simple to state that above 1200°C grain boundary diffusion is the mechanism causing the diffusion of

silver in polycrystalline SiC. Room temperature implantation of 360 keV Ag<sup>+</sup> into 6H-SiC caused an amorphized layer on the 6H-SiC. After annealing at temperatures of 900°C, and higher, this layer recrystallized into 3C-SiC crystallites (cf. our extensive discussion in section 4). For these layers, Hlatshwayo et al [105] found that the implanted silver only diffused in the narrow range 1300 - 1385°C, i.e. it did exhibit any grain boundary diffusion above 1200°C to at least 1400°C as the other two 3C-SiC polycrystalline substrates [101, 102]. This discrepancy can only be ascribed to the implanted Ag atoms in the recrystallized 3C-SiC layer on 6H-SiC being trapped in some defect complexes particular for this recrystallized layer outside the temperature range 1300 - 1385°C.

Another explanation for the high transport of silver through SiC is the long-known corrosion of SiC by the fission product palladium. During irradiation, the thermochemical conditions are not conducive for Pd (together with the other noble elements Ru, Rh and Ag) to form stable oxides in the fuel kernel, and they can readily migrate out of the kernel. Although small quantities of Pd is produced by the fission process, the reaction at the SiC layer at high temperatures is highly localized and etch pits (“worm holes”) are formed in the SiC layer thereby destroying the integrity of the SiC [23, 25, 252]. Based on their TEM investigations, Neethling et al [279 – 281, 287] suggested that the transport of silver is linked to the Pd interaction with SiC in analogy to the suggestions by Pearson et al. [288] and Lauf et al. [289]. Outside reactor investigations [290 - 294] have shown the reaction products to be (Pd<sub>x</sub>Si, x = 1, 2, 3, 4). The reaction between Pd and SiC forms moving nodules consisting of a Ag–Pd mixture. The nodules move along grain boundaries by dissolving the SiC at the leading edge followed by the precipitation of SiC at the trailing edge in analogy to the proposals by Pearson et al and Lauf et al. of a similar mechanism. Neethling et al. [279] also investigated the transport of a Ag–Si compound through the SiC layer because free Si atoms are created in the reaction between SiC and Pd. However, they found that without Pd the Ag–Si compound did not penetrate the SiC. Preliminary investigations by this group with a Rh–Ag compound suggested Rh could play a similar role as Pd in assisting Ag transport through the SiC layer.

In their investigations of the release of metallic fission products from coated particles in the temperature range 1600-1900°C Minato et al [24] found a high release of silver but their SiC layers was intact from palladium attack discounting this theory of Pd corrosion being the prime cause for the high Ag transport through the SiC layers in coated particles.

From the above discussion and the data in Figure 15, it is clear that the silver diffusion rate through the SiC layer in the TRISO particle is very dependent on the microstructure of the SiC – be it due to Pd interaction, or to the manufacture procedure to make the SiC layer, or to neutron irradiation-induced damage. The explanation for the transport of silver through SiC layers in coated particles in terms of grain boundary diffusion seems to be the more probable mechanism although radiation damage and Pd attack will certainly also aid in accelerating the transport. Consequently, it would be advantageous to add a thin ZrC layer (in addition to the normal SiC layer) to the TRISO layer system because it is a better barrier than SiC against Ag diffusion (although less for other fission products) and is significantly more resistant against Pd attack [295 – 297].

**Table 3. A summary of the parameters of diffusion coefficient  $D = D_0 \exp(-E_a/RT)$  measurements of caesium diffusion in SiC as well as diffusion measurements done after the ones given in Figure 16. The abbreviation CP stands for coated particle (BISO or TRISO particles). The term “Release” is a collective term for the various methods used to extract diffusion data from irradiated coated particles.**

Ref.	$D_0$ ( $\text{m}^2\text{s}^{-1}$ )	$E_a$ (kJ/mol)	Temp. ( $^{\circ}\text{C}$ )	Sample	Method	Remarks
2983	$1.77 \times 10^{-11}$	176	1000-1600	CP	Release	
264	$3.5 \times 10^{-9}$	236	1000-1500	CP	Release	
299	$1.1 \times 10^{-4}$	437	1600-2700	CP	Release	Upper limit
	$2.4 \times 10^{-2}$	482	1800-2700			Lower limit
			1200-1600 /1800			Non-Arrhenius dependence
	$6.7 \times 10^{-14}$	106	700– 1200			Upper & lower limits converged together
300	$2.8 \times 10^{-4}$	420	1300-1500	CP	Release	Upper limit
	$1.5 \times 10^{-4}$	422	1300-1500			Lower limit Values obtained from fitting curve in [24]
267	$2.4 \times 10^{-2}$	482	1550-1900  1200-1550	CP	Release	Deviation from Arrhenius curve
268, 269	$\leq 6.8 \times 10^{-12}$	177	1200-1400	CP	Release	
255, 301, 302	$1.6 \times 10^{-2}$	514	1500-2100	CP	Release	
24	$2.5 \times 10^{-2}$	503	1600-1900	CP	Release	
25	$5.5 \times 10^{-14}$	125	800-1400			
303	$5.1 \times 10^{-8}$	496		3C-SiC	DFT calcula- tion	Only bulk diffusion coefficients calculated
304			200-1300	6H-SiC	Implant	Diffusion occurred with isochronal annealing (30 min.) from 1150–1300 $^{\circ}\text{C}$ .
135, 286			1100-1450 Isochronal   900-1400 Isothermal	6H-SiC & Poly- SiC	Implant	Implantation at room temperature showed strong diffusion compared to 600 $^{\circ}\text{C}$ implants. Isochronal annealing: diffusion starts at 1200 $^{\circ}\text{C}$ .  Isothermal annealing: no diffusion after initial annealing at 900 $^{\circ}\text{C}$ . Discrepancy ascribed to impurity trapping mechanism. Loss of implanted Cs from SiC substrate increases with annealing temperature

## 4.2 Caesium diffusion

Another hazardous fission product which has been found outside of SiC containing coated particles is the isotope  $^{137}\text{Cs}$ . Caesium has 40 isotopes with  $^{133}\text{Cs}$  being the only stable one.  $^{137}\text{Cs}$  (with a half-life of 30.2 years) is produced in relatively large quantities in a nuclear reactor and together with  $^{90}\text{Sr}$  (half-life 28.9 years) are the two isotopes with medium long lifetimes which contribute significantly to the radioactivity of spent nuclear fuel.  $^{137}\text{Cs}$  can enter the human body via the food chain, where its biological half-life is 140 days in muscular tissue and 70 days in other parts of the body.

The diffusion of caesium in SiC has been an important factor in the early studies of fission product release from coated particles. The studies are summarized in Figure 16 and 17. Table 3 summarises the diffusion parameters for fitted Arrhenius plots as well as diffusion measurements done after the ones given in Figure 16. In this table many of the diffusion parameters were obtained from fitting data by various researchers. In Figure 17 the same limiting diffusion coefficients as calculated for silver, was also assumed to be the same for Cs diffusion and is indicated by the thick black line.

From the compilation in Table 3 it can be seen that for low temperature annealing, i.e. for temperatures less than about  $1400^{\circ}\text{C}$ , the activation energies are in the order of  $200\text{ kJ mol}^{-1}$ , while for annealing at higher temperatures (above  $1500^{\circ}\text{C}$ ) the activation energies are in the order of  $500\text{ kJ mol}^{-1}$ . This is an indication that there are two mechanisms involved in the diffusion of Cs in SiC. This double diffusion mechanism hypothesis is further confirmed by the non-Arrhenius behaviour of the diffusion coefficients determined by Ogawa [300] and KFA 1986 data [267] in the temperature range  $1200 - 1500^{\circ}\text{C}$ . The DFT calculations of Schrader et al. [303] are essentially a temperature-independent calculation ( $T = 0\text{ K}$ ). They calculated the volume diffusion coefficient and obtained an activation energy of  $496\text{ kJ mol}^{-1}$ . This means that at the lower temperatures the caesium atoms are trapped in neutron irradiation-induced defects and at the higher energies they become released to diffuse via volume diffusion. Alternatively, at lower temperatures the diffusion is grain boundary limited until the volume diffusion starts to dominate the diffusion process at higher temperatures. The conflicting results by Friedland et al. [135, 286] might also be due to this double mechanism.

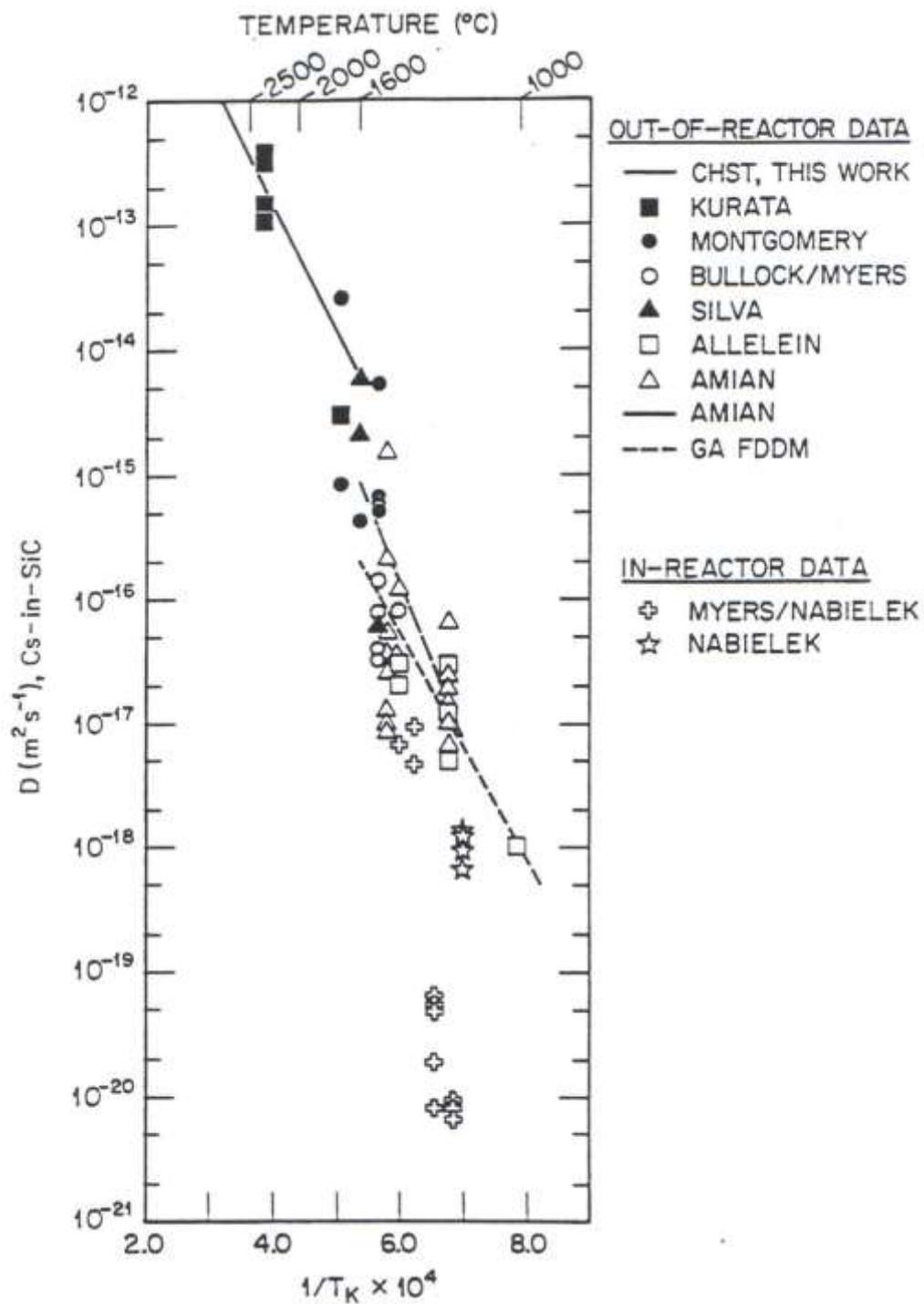
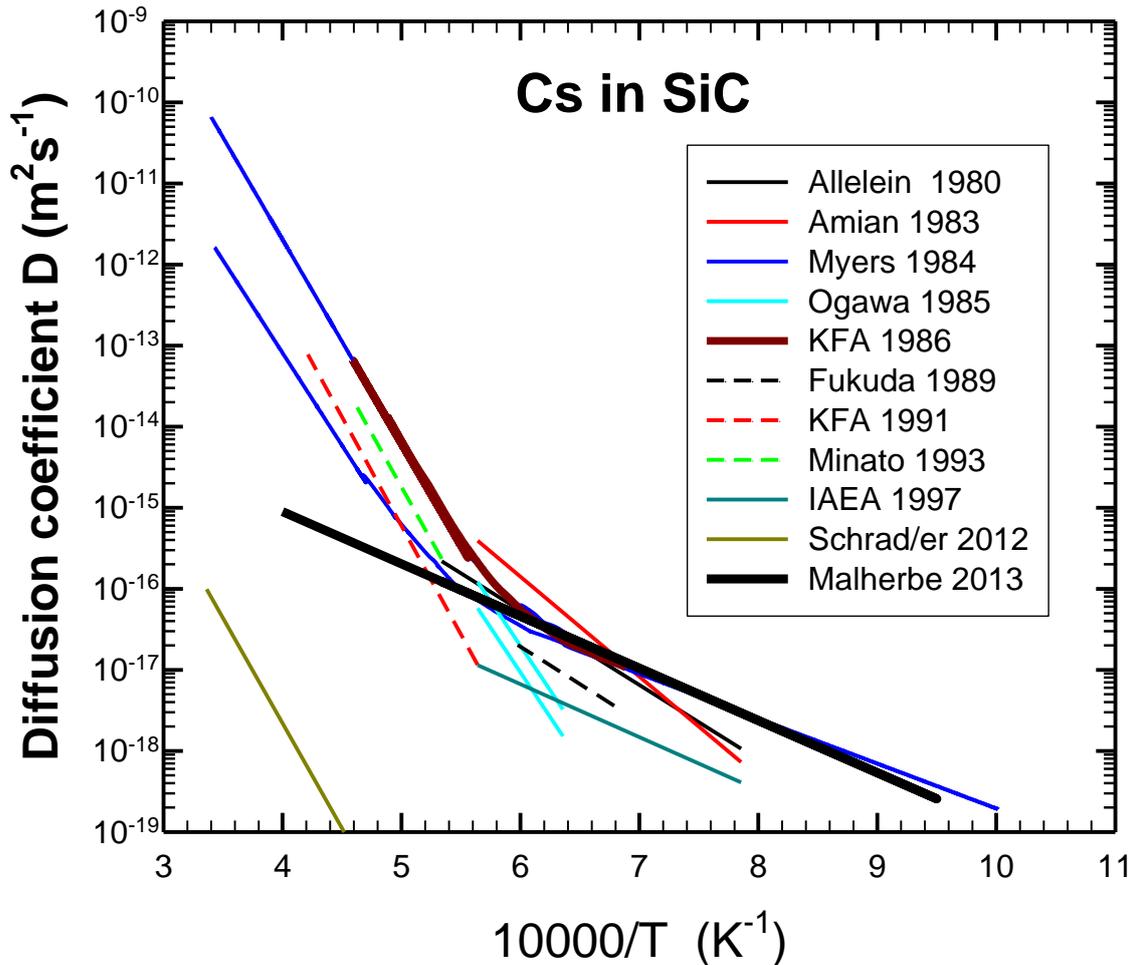


Figure 16. Diffusion coefficients of Cs in SiC from various researchers as summarised in ref. [23]. Taken from [23].



**Figure 17. Summary of the temperature dependence of diffusion coefficients of caesium in silicon carbide. The references are Allelein 1980 [298], Amian 1983 [264], Myers 1984 [299], Ogawa 1985 [300], KFA 1986 [267], Fukuda 1989 [268, 269], KFA 1991 [255, 301, 302], Minato 1993 [24], IAEA 1997 [25], Schrader [303], Malherbe 1993 – this review.**

### 4.3 Iodine diffusion

The two other radiologically important fission products emanating from fission reactions are  $^{131}\text{I}$  and  $^{90}\text{Sr}$ . Since iodine accumulates in the thyroid gland, exposure to the radioactive iodine isotopes could lead to thyroid cancer. Consequently, accidental release of iodine and the influence and effect on humans is of great concern for the nuclear industry [306]. There are two radioactive iodine isotopes. One of the isotopes is  $^{131}\text{I}$  has a half life of only about 8 days but with a biological half life of approximately 140 days.  $^{129}\text{I}$ , is the other isotope and has a half life of 15.7 million years. Because of its radiologically importance many studies on iodine release from coated particles have been done- see references [23] and [25] for reviews and [306] for its chemistry in nuclear reactors. No calculated diffusion coefficients for iodine in the SiC layer have been done from the data, probably because an analysis of some data [307] did not fit to the commonly used Booth type diffusion model [308]. The data shows that iodine is released from TRISO particles at temperatures above 1300°C. The release steeply increases when the temperature rises above 1700°C. It must be noted that pyrolytic carbon is a very efficient diffusion barrier for iodine [23,

25]. However, shrinkage cracks in the inner pyrolytic carbon layer of TRISO particles do occur [309] leaving open tracts to the SiC layer for fission products.

There have been two groups reporting on iodine implanted into SiC and its subsequent diffusion. Audren et al. [310] implanted 700-keV  $I^+$  ions either at room temperature or at elevated temperatures (400 or 600°C) into 6H-SiC. Apart from the difference in damage production, there were no differences in the iodine profiles at the different implantation temperatures; also after isochronal annealing of 30 minutes up to 1000°C. This lack of diffusion continued even after swift heavy ion bombardment annealing. In agreement with this, Friedland et al. [102, 134, 135, 286] also found that vacuum annealing at 1000°C resulted in no broadening (i.e. no diffusion) of the RBS peak of room temperature implanted iodine in 6H-SiC in commercially obtained CVD polycrystalline 3C-SiC. From isothermal annealing at 1100°C the following diffusion coefficients were determined:  $D_{6H-SiC} = (0.6 \pm 0.4) \times 10^{-21} \text{ m}^2\text{s}^{-1}$ ,  $D_{\text{poly-SiC}} = (0.7 \pm 0.6) \times 10^{-21} \text{ m}^2\text{s}^{-1}$  and at 1200°C  $D_{6H-SiC} = (2.5 \pm 0.3) \times 10^{-20} \text{ m}^2\text{s}^{-1}$  and  $D_{\text{poly-SiC}} = (5.7 \pm 0.5) \times 10^{-20} \text{ m}^2\text{s}^{-1}$  [102]. The authors reasoned that the increase by two orders of magnitude between 1100°C and 1200°C is an indication that the diffusion is not Fickian, but is indicative of a different transport process becoming important in this temperature region. The small loss of implanted iodine from the substrate further confirms this hypothesis. It must be added that severe topography developed on their surfaces which might affect the accuracy of the RBS profiles for diffusion measurements. The authors made a remark that this recrystallization (the implanted layers were amorphous after the room temperature implantation) driven topography development might be related to chemical reactions between the implanted iodine and the silicon carbide lattice at high temperatures. This is an interesting remark because such compound formation should bind the iodine to the SiC in coated particles. It is known that iodine reacts with silicon above 600°C to form  $SiI_4$  which reacts further with silicon at high temperatures (above 800°C) to form  $SiI_2$  [311, 312]. The  $SiI_2$  again decomposes above 1000°C. These processes are pressure dependent when performed in fluid conditions. Within a solid the above temperatures might be different. These processes might explain the report by Ramesh et al. [313] that pure 3C-SiC is obtained when heating Si and activated carbon powder in an iodine atmosphere in a commercial microwave oven. These chemical reactions between iodine and free Si released in the SiC by the ion bombardment process might lead to the recrystallization driven topography development and to diffusion paths in the SiC layer in TRISO particles.

Similarly to silver diffusion in SiC, the diffusion of iodine in SiC is dependent on the microstructure of the SiC substrate. Friedland et al. [102, 134, 286] measured iodine diffusion in two different polycrystalline 3C-SiC substrates, viz. a commercially obtained CVD polycrystalline 3C-SiC with a columnar structure, and polycrystalline 3C-SiC grown in the South African PBMR CVD reactor with a random polycrystalline structure. The diffusion coefficients of iodine in the latter is an order of magnitude lower than the former, i.e.  $D_{\text{PBMR}} = (1.8 \pm 1) \times 10^{-21} \text{ m}^2\text{s}^{-1}$  at 1100°C and  $D_{\text{PBMR}} = (6.5 \pm 0.7) \times 10^{-19} \text{ m}^2\text{s}^{-1}$  at 1200°C. Again there are two orders of magnitude difference in the diffusion coefficients at these two temperatures. However, the loss of iodine from the substrate is significantly larger than obtained for the commercial CVD samples but still smaller than expected from the extreme broadening of the iodine profile, which should expose a much larger portion of iodine to the surface. The samples also developed severe topography after annealing.

In contrast to the room temperature implanted samples, samples implanted at 600°C exhibited significantly less diffusion [102, 134, 286]. There was only diffusion in the initial stages of annealing due to defects introduced in the crystalline substrate during the ion bombardment process. There was also virtually no topography development on these samples. Volume diffusion was extremely small at 1300°C with grain boundary diffusion being the dominant process in polycrystalline SiC.

#### 4.4 Strontium diffusion

The strontium radioactive isotope of <sup>90</sup>Sr with a half-life of 26.5 years is also one of the most important isotopes for the nuclear reactors using coated particles. The isotope <sup>89</sup>Sr with its much shorter half-life time of 50.5 hours is only important for a few days after an accident. For humans the main danger from spillage is the accumulation of these isotopes in the bones due to its chemical similarity to calcium.

The diffusion studies of strontium in SiC are summarized in Table 4. There are not enough independent studies done to make clear conclusions. The reason is probably related to the last study done by Friedland et al. [134, 286] who found that implanted strontium is trapped and released by defect complexes at different temperatures thereby not exhibiting normal Fickian diffusion which can be analysed by the conventional equations and methods.

**Table 4. A summary of the diffusion studies of strontium in SiC given in the form  $D = D_0 \exp(-E_a/RT)$ . The abbreviation CP stands for coated particle (BISO or TRISO particles). The term “Release” is a collective term for the various methods used to extract diffusion data from irradiated coated particles.**

Ref.	$D_0$ ( $\text{m}^2\text{s}^{-1}$ )	$E_a$ (kJ/mol)	Temp. (°C)	Sample	Method	Remarks
314	$2.5 \times 10^{-5}$	68.7		CP	Release	As quoted by [316]
315			1750	CP	Release	$D = 2.0 \times 10^{-14} \text{ m}^2\text{s}^{-1}$
316			1400	CP	Release	$D = 5 \times 10^{-17} \text{ m}^2\text{s}^{-1}$
317	$1.2 \times 10^{-9}$	49	1650-1850	CP	Release	
267. 301	$1.2 \times 10^{-9}$	205	1600-1800	CP	Release	
269	$1.2 \times 10^{-9}$	205	1650-1850	CP	Release	
134, 286			1000-1400 Isochronal  900-1400 Isothermal annealing	6H-SiC & poly- 3C-SiC	Implant at RT & 600°C	Room temperature implants: Diffusion took place 600°C implants: Measurable diffusion above 1200°C.  Only diffusion in initial stage of isothermal annealing  Conclusion: Diffusion dominated by successive trapping of Sr by defect complexes.

## 4.5 General remarks

In summary to the above discussions on the diffusion of radiologically important fission products in SiC, a few aspects stand out. The first being the significant difference between the diffusion coefficients extracted from samples investigated under controlled experimental conditions (e.g. by implantation) and those extracted from coated particles under reactor conditions. The latter diffusion coefficients are always much larger than the former. Extracting diffusion coefficients for the individual layers from release data are not without problems and might sometimes give erroneous results. However, it is unreasonable to dismiss all these values as being inaccurate. Furthermore, it is also not easy to explain the difference between the “in-reactor” diffusion coefficients and the controlled experimental diffusion coefficients as being completely due to radiation damage introduced by the energetic neutrons in the reactor. The reason being that the many experimental investigations summarised in the previous section showed that irradiation at elevated temperatures allowed the SiC to remain crystalline with only some damage introduced. The large differences in diffusion coefficients at a particular temperature for the coated particles, as well as similar differences for the controlled experimental coefficients, point to influence of the microstructure of the SiC as being the deciding factor in the eventual diffusion coefficient. In this regard one must note that in all cases the volume diffusion coefficients at the reactor operating temperature are significantly smaller than the grain boundary diffusion coefficients. For the latter, the sizes and energies of the surfaces of the crystallites are important. High energy surfaces are conducive for fast grain boundary diffusion.

Two important factors might also explain the abovementioned difference. The first one is the synergistic effect of a whole suite of fission products operating simultaneous in a coated particle under reactor operating conditions. Some of these fission products can interact with the materials of coating layer – cf. the interaction of Pd, Ru and I with SiC as discussed above. Such interactions can partially destroy the integrity of some layers opening up diffusion pathways for the radioactive fission products to escape from the coated particles. The second, but probably less important, factor is stress-induced diffusion in the coated particles due to the round shape of the layers and the minor radiation damage by the bombarding neutrons at elevated temperatures.

Finally, the question whether the SiC layer in the TRISO particle is an effective diffusion barrier for the fission products considered in this review needs to be answered. In the graphs shown a line is drawn based on conservative estimations for high reactor operation and for a serious accident which needs shut-down of the reactor and cleaning. Most of the currently planned reactors will operate at temperatures well below a 1000°C. From the graphs it can be seen that this means that for these reactors the SiC layer is an effective barrier. It only fails for accident conditions, where most of the measured diffusion coefficients are above the line. This means that a redesign of coated particles is needed. As suggested earlier in this paper, the addition of a thin ZrC layer in addition to the SiC layer might be the solution. More research on the diffusion of fission products in ZrC and their interaction with ZrC is needed.

## 5. CONCLUSIONS

Due to the rapid industrial development of many non-OECD countries, these countries (like China and India) are building many new power plants and will probably continue building. If the world continues to press for a reduction of the carbon footprint, many more renewable energy and nuclear power plants need to be installed. This paper argues that nuclear power can provide base load power if fears of radioactivity release into the environment can be laid to rest by the newer designs for nuclear reactors.

Some of the future nuclear reactor plants employ fuel in the form of small spherical kernels surrounded by layers which act as diffusion barriers for radioactive fission products. The design of the most popular coated particle, the TRISO particle, is discussed. One of the layers (generally regarded as the most important one) of the TRISO particle is polycrystalline 3C-SiC layer. This layer has to act as a diffusion barrier for the metallic fission products, thereby keeping these radioactive products within the fuel particle, not allowing them to escape into the environment.

Because radiation damage can induce and enhance diffusion, the paper also briefly reviews damage created by energetic neutrons and ions at elevated temperatures, i.e. the temperatures at which the modern reactors will operate, and the annealing of the damage. One of the key advantages of SiC is its radiation hardness at elevated temperatures, i.e. SiC is not amorphized by neutron or ion bombardment at substrate temperatures above 350°C. Likewise it is also difficult to anneal an amorphized SiC layer. Some the fission products can also interact chemically with SiC, thereby destroying the integrity of the SiC layer and allowing fission products to escape from the coated particles.

The diffusion coefficients of the important fission products (silver, caesium, iodine and strontium) in SiC show large variations partially due to the different methods applied to extract these values. What is, however, also clear from the analysis is that the microstructure of the SiC layer is a key factor in this variation. Thus, to act as a proper diffusion barrier, care should be taken to grow a good quality SiC layer. Based on the diffusion coefficients of the fission products considered, the review shows that at the normal operating temperatures of these new reactors (i.e. less than 950°C) the SiC coating layer is a good diffusion barrier for these fission products. However, at higher temperatures the design of the coated particles needs to be adapted, possibly by adding a thin layer of ZrC.

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