

A STUDY OF TRANSITION FROM PRESCHOOL AND HOME CONTEXTS TO GRADE 1 IN A DEVELOPING COUNTRY

NKIDI CAROLINE PHATUDI

2007



A STUDY OF TRANSITION FROM PRESCHOOL AND HOME CONTEXTS TO GRADE 1 IN A DEVELOPING COUNTRY

by

NKIDI CAROLINE PHATUDI

Submitted in fulfilment of the requirement of the degree

PHILOSOPHIAE DOCTOR (Education Policy)

in the Faculty of Education University of Pretoria

SUPERVISOR

Prof. Jonathan Jansen

CO-SUPERVISOR

Ass. Prof. Kay Margetts

PRETORIA September 2007



DECLARATION

I, N.C. Phatudi	(Student nu	mber 23315	718),	declare	that:
-----------------	-------------	------------	-------	---------	-------

"A study of transition from preschool and home contexts to Grade 1 in a developing country"

Is my own work and that all the sources that I have used or quoted have been indicated and acknowledged by means of complete references. This thesis was not previously submitted by me for any degree at another university.

Nkidi	Caroline Phatudi
Date	



ABSTRACT

A STUDY OF TRANSITION FROM PRESCHOOL OR HOME CONTEXTS TO GRADE 1 IN A DEVELOPING COUNTRY

The introduction of Grade R (Reception Year) and its curriculum in early childhood education has been a key policy initiative, but despite the importance of this level of education the demands of the transition from Grade R to Grade 1 are not explicitly discussed. Official documents note the likely difficulties and challenges inherent in the transition of children from preschool and home into the primary school environment but they do not explicitly say how these difficulties can be dealt with.

This study investigated the implementation of transition policy and existing practices for children transiting from preschool or the home into Grade 1 in South Africa's schools. A case study of two purposively selected schools, from two different provinces, explored the impact of transition on both children's adjustment to their new environment and the school itself. Key policy documents were initially analysed, and key informants in government and non governmental organisations (NGOs) were interviewed in relation to aspects of the policy guidelines and practices for transition to school. Participating principals, teachers, parents and children as subjects were interviewed to identify their perspectives about transition and how they deal with it.

The social, behavioural and academic adjustment of 6 children from each of the two schools was investigated using the Social Skills Rating Scale (SSRS). The results show that although some differences in the adjustment of children between the two case study schools were noted, it appeared that preschooled children were more likely to make better adjustments than non-preschooled (home) children. The study also revealed that schools' strategies for dealing with transitions are not informed by the government's transition policies and guidelines. Instead, the two schools devised their own in-house strategies to deal with transitions and these differed from one school to another.



The study also highlights that teachers are not familiar with policies governing their working lives. The schools studied also lamented the lack of continuity in the curricula and the way of life between the school and preschool despite policies enacted to deal with this disjuncture. Whilst the findings show a disparity between the adjustments of preschooled children and their home counterparts a longitudinal study involving more case schools would provide greater insight into preschool grade 1 transition in a developing South Africa.

The educational and policy implications of the study are discussed with regard to important processes and structures put in place for the transition process of children entering into primary schools.

While case study findings cannot be generalised the results can be beneficial in informing other similar contexts grappling with transitions. The study highlights important processes undertaken in the adjustment of children into primary schools, however it also revealed some shortcomings which have serious implications for policy and practice. "Policy literacy" should be embarked upon to familiarise teachers as end users with what policy entails. Every school should have a transition programme that would help orientate and settle in the new entrants into the school environment.

Key Words: Transition, preschools, primary schools, policy position, social skills, adaptive behaviours, NGOs, disadvantaged contexts, developed world, home.



ACKNOWLEDGEMENTS

My sincere and deepest gratitude goes to all the people who proved to be indispensable in the selfless way they supported me throughout my studies. I am sincerely indebted to the following people for their advice and support during this road that at times seemed to be long and lonely. They are:

- Prof J D Jansen, my supervisor, who motivated and guided me with enthusiasm and patience. Your constructive comments were highly appreciated.
- Associate Prof K Margetts, who through her expertise in childhood transitions guided me in terms of the analysis and presentation of the data for this study. Your guidance was commendable.
- Hester van der Walt, for her skilful editing of my work and technical layout for my script and Connie Lepota for hours of transcription.
- The academic staff of the Department of Early Childhood Education and their constant enquiries and concern on my progress spurred me on to work harder.
- My colleagues, amongst them Prof G Onwu and many others who selflessly provided me with input into my studies.
- The school communities in the North West and Gauteng provinces for allowing me to be part of them.
- Government officials, ELRU and Ntataise for sharing with me their experiences in ECD.



Lastly, I would like to thank my family, especially my husband Tebogo, my daughter Atli, and my son, Oarabile for their love, support, patience and encouragement. Their interest and concern in my work motivated me to work harder and finish my paper so that I could spend more valuable time with them.

Last but not least, it was the mercy of the Almighty Lord, which sustained me throughout my studies. Praise be to Him!



DEDICATION

This Dissertation is dedicated to my deceased parents, Pampu Phillemon Phaladi and Monakwe Mirriam Bessie Phaladi, for their unlimited and nurturing love, care and for being role models of what a fulfilled life is. To my father in particular, who at the age of fifteen started this long journey of acquiring knowledge and education. His strong will power and dedication to succeed against all adversity made him a role model fit to be emulated.



TABLE OF CONTENTS

CHAPTER 1 BACKGROUND TO STUDY

1.1	INTRODUCTION	. 1
1.2	RATIONALE	. 1
1.3	THE POLICY CONTEXT FOR ECD AND THE TRANSITION TO	
	GRADE 1	. 4
1.4	THE THEORETICAL FRAMEWORK UNDERPINNING THIS STUDY	. 8
	1.4.1 Transitions as influenced by variables in environment	. 9
1.5	TRANSITION EXPERIENCES AND UNDERSTANDINGS	.11
	1.5.1 Research of transitions to primary schools	.11
	1.5.2 Children's experiences of transitions	.11
	1.5.3 Children's adjustment to school	. 13
	1.5.4 Teachers' views	. 14
	1.5.5 Parental experiences of transitions	. 16
1.6	KEY RESEARCH QUESTIONS	. 17
1.7	RESEARCH DESIGN AND METHODOLOGY	. 18
1.8	SAMPLING	. 19
1.9	CONTEXT OF STUDY	. 20
1.10	DATA COLLECTION STRATEGIES	.21
1.11	DATA ANALYSIS	. 22
1.12	LIMITATIONS AND ASSUMPTIONS OF THE STUDY	. 22
	1.12.1 Limitations	. 22
	1.12.2 Assumptions	. 23
1.13	OUTLINE OF CHAPTERS IN STUDY	. 23
1 14	CONCLUSION	. 25



CHAPTER 2 A CRITICAL REVIEW OF THE RESEARCH LITERATURE ON CHILDREN'S TRANSITIONS

2.1	INTRODUCTION	26
2.2	STATE OF RESEARCH ON TRANSITIONS TO PRIMARY SCHOOL	27
	2.2.1 Children's adjustment to school	31
	2.2.2 Teachers' views	33
	2.2.3 Parental views on transition	36
2.3	GENERAL LIMITATIONS OF TRANSITION RESEARCH	39
	2.3.1 First World contexts of transition studies	39
	2.3.2 Cohesion among participants in transitions	40
	2.3.3 Importance of school-family relationship	43
	2.3.4 The developmental theory bias of transition studies	45
	2.3.5 The universal construction of childhood	46
	2.3.6 The silencing of children's voices in implementation plans	47
	2.3.7 The age-of-entry problem in transition studies	49
	2.3.8 Cognitive development and socio-emotional development	51
	2.3.9 Preschool to primary school bias in transition research	52
2.4	SIGNIFICANCE OF THE RESEARCH ON TRANSITIONS FOR MY	
	STUDY	54
2.5	CONCLUSION	55



CHAPTER 3

THEORETICAL FRAMEWORK – VARIABLES SHAPING THE TRANSITION PROCESS

3.1	INTRODUCTION	. 57
3.2	CONCEPTIONS OF TRANSITION	. 57
3.3	BRONFENBRENNER'S ECOLOGICAL THEORY	. 59
	3.3.1 Ecological model for transition	. 60
	3.3.2 The significance of relationships	. 63
	3.3.3 Transition and the structural and cultural context	. 65
	3.3.4 Transition and socio-emotional development	. 66
3.4	CONCLUSION	. 68
	CHAPTER 4 RESEARCH DESIGN AND METHODOLOGY	
	HESEARION DESIGN AND METHODOLOGY	
4.1	INTRODUCTION	. 70
4.2	RESEARCH QUESTIONS	71
4.3	CONTEXT OF THE STUDY	.74
4.4	PARTICIPANTS AND SAMPLE SELECTION	. 75
4.5	DATA COLLECTION	. 76
	4.5.1 Phase 1: A survey of national policy position on transition	.77
	4.5.2 Phase 2: Case studies of two schools and twelve children in	
	Provinces A and B	77
	4.5.3 Survey of national policy position on transitions	. 79
	4.5.4 Understandings and practices of transitions at school and ho	me
	level	. 82
	4.5.5 Understandings of transition as lived by children	. 84
4.6	PROBLEMS ENCOUNTERED	. 95
	4.6.1 Home variables	. 96
	4.6.2 School variables	. 97
4.7	DATA ANALYSIS	. 97
10	DEALING WITH VALIDITY	00



4.9	DEALING WITH ETHICAL CONCERNS	101
	4.9.1 Redressing the imbalance: researcher-child relationship	102
4.10	CONCLUSION	
	CHAPTER 5	
	STAKEHOLDER PERSPECTIVES AND EXPERIENCES OF TRANSIT	IONS
5.1	INTRODUCTION	105
5.2	EMERGENT FINDINGS	105
5.3	POLICY ANALYSIS ON PROVISION FOR TRANSITIONS	106
	5.3.1 The extent to which policies address transitions	108
	5.3.2 Policies and practice: knowledge, understanding and	
	application of policies by users	114
	5.3.3 Ensuring the implementation of policies	117
5.4	GRADE R: CONTINUITY OR DUPLICATION OF GRADE 1?	126
5.5	TRANSITION STRATEGIES OF SCHOOLS	128
	5.5.1 School in-house transition strategies	128
	5.5.2 Teachers and transition strategies	130
5.6	PRESCHOOL/HOME IMPACTS ON GRADE 1 ADJUSTMENT AND LEARNING	134
	5.6.1 Academic skills	135
	5.6.2 Socio-emotional skills	136
5.7	PARENTAL ROLE IN CHILDREN'S TRANSITIONS	137
	5.7.1 Parental understanding of transitions	138
	5.7.2 Characteristics important for successful learning	
	5.7.3 Parental strategies for transitions	
	5.7.4 Parental role from the teachers' perspective	
5.8	CHILDREN'S VOICES IN THE TRANSITION TO SCHOOL	
	5.8.1 Children's conception of school	144
5.9	CONCLUSION	
-	5.9.1 Interrelationship of roles of principal players in children's	
	transitions	1/6



CHAPTER 6 CHILDREN'S ADJUSTMENT CAPABILITY AS MEASURED BY THE SOCIAL SKILLS RATING SYSTEM (SSRS)

6.1	INTRODUCTION148
6.2	HOME BACKGROUND OF CHILDREN149
6.3	ANALYSIS OF THE SSRS SCORES150
6.4	HOME AND SCHOOL VARIABLES151
6.5	ANALYSIS OF RATINGS BY TEACHERS AND PARENTS FOR CHILDREN IN EACH OF THE TWO PROVINCES: SOCIAL SKILLS151
	6.5.1 Introduction151
	6.5.2 Analysis of ratings of social skills: Teacher and Parent:
	Province A152
	6.5.3 Analysis of ratings on the social skills: Teacher and Parent:
	Province B153
	6.5.4 Comparison of ratings of parents and teachers on the social
	skills across the provinces155
6.6	ANALYSIS OF RATINGS ON THE PROBLEM BEHAVIOUR SKILLS BY TEACHERS AND PARENTS FOR CHILDREN IN EACH OF THE TWO PROVINCES
6.6.	1 Introduction 156
	6.6.2 Analysis of ratings on problem behaviours: Teacher and Parent: Province A157
	6.6.3 Analysis of ratings of problem behaviours: Teacher and Parent: Province B158
	6.6.4 Comparison of problem behaviours across provinces159
6.7	TEACHER RATINGS OF ACADEMIC COMPETENCE161
	6.7.1 Introduction161
	6.7.2 Analysis of academic competence ratings: Province A161
	6.7.3 Analysis of academic competence ratings: Province B 163
	6.7.4 Comparison of teacher-rated academic competence in both
	provinces164
6.8	CONCLUSION165



CHAPTER 7 SYNTHESIS AND SIGNIFICANCE IMPLICATIONS FOR THEORY, POLICY AND FUTURE RESEARCH ON CHILD TRANSITIONS

7.1	INTRODUCTION	167
7.2	POLICY PROVISION AND THE UNDERSTANDING OF	
	TRANSITION POLICY AMONG STAKEHOLDERS: ALIGNMENT	
	OR DISCREPANCY	168
7.3	TRANSITION STRATEGIES DEPLOYED BY SCHOOLS IN	
	ADDRESSING TRANSITION AND THEIR RELATIONSHIP WITH	
	GRADE R	171
7.4	PARENTAL PARTICIPATION IN AND CONTRIBUTIONS TOWARDS TRANSITIONS	176
7.5	THE TRANSITION EXPERIENCES OF CHILDREN	178
7.6	CHILDREN'S LEVEL OF ADJUSTMENT AS MEASURED BY	
	THE SSRS	179
7.7	THE CONTRIBUTION OF THIS STUDY TO NEW KNOWLEDGE ON TRANSITIONS	182
7.8	THE THEORETICAL IMPLICATIONS OF THIS STUDY	184
7.9	IMPLICATIONS FOR POLICY AND PRACTICE	185
7.10	LIMITATIONS AND RECOMMENDATIONS FOR FURTHER STUDY	188
7.11	CONCLUSION	190
POS	TSCRIPT: METHODOLOGICAL REFLECTIONS	191
REF	ERENCES	194
ADD	ENDA	205



LIST OF FIGURES

Figure 3.1: Four levels of transition setting	s61
Figure 3.2: Process of transition to school	67



LIST OF TABLES

Table 4.1: Case study groups	76
Table 4.2: Social Skills Rating System (SSRS). (Elementary level): Parent	
form subscale items	90
Table 4.3: Social Skills Rating System (SSRS). (Elementary level): Teacher	
form subscale items	91
Table 4.4: Problem Behaviour Domain Subscales: Parent Form	92
Table 4.5: Problem Behaviour Domain Subscales: Teacher Form	93
Table 4.6: The Academic Competence scale	94
Table 4.7: Ensuring the validity and reliability of the study	01
Table 5.1: Policy documents and key features	07
Table 5.2: Comparison of Grade R and Grade 1 on Mathematics/Numeracy 1	12
Table 5.3: Comparison of assessment standards for Grade R and Grade 1:	
Learning Area Life Orientation1	13
Table 5.4: Assessment Standards: Learning Area Languages	14
Table 5.5: The effect and application of policies by users	15
Table 5.6: Primary School teachers' perspectives and understandings of	
transitions13	32
Table 5.7: Parental understandings of transitions14	43
Table 5.8: Comparison of children's preschool experience with primary	
school experiences14	45
Table 6.1: Social Skills subscales scores: Province A	52
Table 6.2: Social Skills subscales scores: Province B	54
Table 6.3: Social Skills Subscale scores: Province A & B	55
Table 6.4: Problem Behaviour subscales scores: Province A	57



Table 6.5: Problem Behaviour subscales scores: Province B	158
Table 6.6: Problem Behaviour Subscale Scores across provinces	.160
Table 6.7: Academic Competence Scale scores: Province A	162
Table 6.8: Academic Competence Scale scores: Province B	163
Table 6.9: Academic Competence Scores across provinces	164



ABBREVIATIONS AND ACRONYMS

DOE – Department of Education (government)

ECD – Early Childhood Development

ECE – Early Childhood Education

ECEC – Early Childhood Education Centres

Grade 1 – The first grade of formal schooling

Grade R - Reception year class before formal schooling

NAEYC – National Association for Education of Young Children

NEPI – National Education Policy Investigation

NGO – Non-governmental organisation
NCS – National Curriculum Statement

RNCS - Revised National Curriculum Statement

SSRS – Social Skills Rating System

USA – United States of America

SA – South Africa