

9 Annexures

ANNEXURE 1: Patient interview

Good Morning Ladies,

My name is Madelein, and this is my colleague, Isabella. We are from the University of Pretoria and we are working together with staff here at the hospital in a study. Our goal is to build a public facility, called an umbilical cord blood stem cell bank, and we are here today to see what you think about this idea and if you would support a public umbilical cord blood stem cell bank.

We would like to give you some information about the stem cell bank, what it is and how it will work and then go through a questionnaire with you. This is voluntary and you do not have to fill in the questionnaire if you don't want to.

First of all, you might wonder what is an umbilical cord blood stem cell bank?

It is not like a bank where you go to save or withdraw your money. It will work almost in the same way that the South African National Blood Bank works. The blood bank collects donated blood and stores it and when someone needs blood – like when they were in an accident and has lost a lot of blood - the blood bank is able to give them the blood that they need.

In a similar way, the umbilical cord blood bank will be a facility that will collect and store umbilical cord blood that has been donated to the bank by pregnant mothers. But before we can open the new facility we would first like to know if pregnant women would like to make use of such a facility.

What is umbilical cord blood?

It is the blood that is left in the placenta (also known as the "gobo or inghubo" – the "blanket") and the umbilical cord, which can be collected after a baby is born.

So why would we like to store umbilical cord blood?

Because the blood that is left in the umbilical cord and placenta after the baby has been born, contains special cells – called stem cells. These stem cells can be used to treat people with certain types of cancers for example leukaemia (blood cancers) and certain genetic diseases. These are very rare diseases and the chance of your baby getting one of these diseases is very small. So these stem cells will not be used to treat a sick child with a cold, the flu or a stomach bug, it is for vary rare/ uncommon genetic diseases or certain cancers.



That is why we would like to ask mothers if they think that they would be willing to donate their umbilical cord blood when the baby is born and to store those cells in the bank, if such a facility were available.

This way, if a mother comes to us with a sick child with one of these rare diseases, we can find a match for the child in the bank and give the cells to a doctor that can treat the patient.

How and where will the blood be collected?

To answer this, we need to show you where the placenta comes from and when is it needed by your baby:

You fall pregnant when one of your egg cells are fertilised by a sperm from your husband. This fertilised egg then implants in the uterus and where it implants, the placenta starts to develop. The role of the placenta/ gobo is to transfer nutrients and oxygen from your body to your baby, so that your baby can grow and develop. The placenta is only important to the baby, while the baby is still in the womb, growing. After the baby is born, the placenta also comes out and the doctors usually throw it away/ send it off to be burned. The placenta has to come out, otherwise the mom can get very sick.

If you have a normal birth, your baby will be born, and the doctor will clamp the umbilical cord on two places and cut it in between the two clamps. The doctor will then give your baby either to you or to a nurse. At this stage your placenta will move away from your uterine wall, because the placenta must come out/ be delivered as well. While this is happening the doctors will draw the blood from the placenta through the umbilical vein. The doctor puts a syringe into the loose end of the umbilical cord and draws the blood. This is a quick and painless procedure and will not harm you or your baby. If the placenta does not come out, the doctor normally needs to remove it and then it gets thrown away/burnt.

The blood gets collected while the placenta is still in the mom, because there is not a lot of blood in the placenta and umbilical cord. It is usually about 60-80 ml (a quarter of a cup). If the placenta gets delivered, a lot of that blood is spilled and we cannot use it any more.

For the bank, we would like to collect the blood that has the special stem cells from the placenta, before the doctor throws the placenta away. We will then create a bank where we can store these special stem cells.

This is not a new procedure. All over the world they have public and private stem cells banks and in South Africa we already have private stem cell banks, but not a public bank. If a mother wants to store her umbilical cord blood in a private bank, she usually has to pay a large sum of money to keep the cells there, for a limited time (usually 10-15 years). If we can build a public umbilical cord blood bank, all the mothers in South Africa can donate their umbilical cord blood to be stored in this bank and they wouldn't have to pay money to store the cells, because it is a donation and anybody that needs it, would be able to get the blood.



HIV positive blood cannot be stored in the bank, because we cannot use it to treat certain diseases. This blood could however be used for medical research purposes to see how HIV affects the cells in the blood.

Before I go through the questionnaire with you, there are just a few points I would like to make you aware of

This is just a survey – meaning, we just want your opinion, if you think this bank is a good idea or not.

You don't have to answer this questionnaire - it is voluntary (nobody can make you answer these questions).

Whether or not you choose to answer the questions will have no effect on the way the doctors and nurses will treat you or your baby now or in the future.

The results of this questionnaire will be anonymous.

This survey is only to see if people will be willing to donate their placenta (afterbirth) to medical research. If you answer yes to all the questions it does not mean that you will be donating your placenta to medical research. This questionnaire is only to find out what you would be willing to do if you were given the choice.

Are there any other questions?

Can I please go through the questionnaire with you?



ANNEXURE 2: Patient Questionnaire

DEPARTMENT IMMUNOLOGY FACULTY OF HEALTH SCIENCES Prinshof Campus P.O. Box 2034 Pretoria 0001 South Africa Tel: 012-319-2621 Fax: 012-323-0732



University of Pretoria

Donation of Placenta (Afterbirth) survey

The University of Pretoria is doing a survey to see if pregnant mothers would be willing to donate their placenta (afterbirth) to medical research and we would like to invite you to take part in the survey by answering the following questions.

Please note:

- This is just a survey meaning, we just want your opinion, if you think this bank is a good idea or not.
- You don't have to answer this questionnaire it is voluntary (nobody can make you answer these questions).
- Whether or not you choose to answer the questions will have no effect on the way the doctors and nurses will treat you or your baby now or in the future.
- The results of this questionnaire will be anonymous.
- This survey is only to see if people will be willing to donate their placenta (afterbirth) to medical research. If you answer yes to all the questions it does not mean that you will be donating your placenta to medical research. This questionnaire is only to find out what you would be willing to do if you were given the choice.



Participant information:

Language group:

| English | | Afrikaans | | |
|--------------------|------------|----------------|-------------------|--|
| Xhosa | | Ndebele | | |
| Zulu | | Tswana | | |
| Sotho | | Swazi | | |
| Pedi | | Venda | | |
| Northern Sotho | | Other | | |
| Age range: | | | | |
| 18-20 | | 21-25 | | |
| 26-30 | | 31-35 | | |
| 36-40 | | 41-45 | | |
| 45-49 | | 50+ | | |
| Number of biologic | al childre | <u>n</u> | _ | |
| 0 | | 6 | | |
| 1 | | 7 | | |
| 2 | | 8 | | |
| 3 | | 9 | | |
| 4 | | 10 | | |
| 5 | | | | |
| Marital status: | | <u>Employm</u> | <u>ent status</u> | |
| Married | | Unemployed | | |
| Widow | | Employed | | |
| Divorced | | *Please sp | pecify | |
| Unmarried | | | | |
| Comments and/or | Question | <u>s:</u> | | |



Question 1

If there is a public cord blood bank facility, would you be willing to donate your PLACENTA (afterbirth) for medical research?

| YES | NO |
|-----|----|
| | |

If you answered NO:

Please indicate the reason:

| Against religious heliof | |
|---------------------------------------|--|
| Against religious belief | |
| Against your culture | |
| Don't think this bank is a good idea | |
| Afraid of the collection process | |
| Don't understand what the bank is for | |
| Other | |
| Please specify | |

Question 2

If you answered NO in question 1, would you be willing to donate the BLOOD from your

placenta?

| YES | NO |
|-----|----|
| | |

Question 3

If you are willing to donate your placenta OR just the blood from the placenta and umbilical cord, would you be willing to allow your doctor to do an additional HIV test?

Before you have your baby your doctor will do an HIV test to find out about your HIV status. Your test results will remain confidential. If you are HIV positive, your doctor will not ask you to join this study. If you are HIV negative, your doctor will ask you if you are willing to do another



test either seven days before or seven days after the birth of your baby to confirm that you are HIV negative.

| YES | NO |
|-----|----|
|-----|----|

Question 4:

Have you heard of stem cells before today?

| YES | NO |
|-----|----|
|-----|----|

Question 5:

Do you think stem cells can help to treat you, your child or somebody else in the future?

| YES | NO | |
|-----|----|--|
|-----|----|--|

Question 6:

Do you think that a public umbilical cord blood stem cell bank is a good idea?

| YES | NO |
|-----|----|
| | |



ANNEXURE 3: Different applications of UCB units collected

 Table 21: An overview of the use of all UCB units collected during the course of the study

| No | UCB unit ID | Method of UCB application | Comment |
|----|-------------|---|--|
| 1 | 20101202 P1 | Frozen UCB units | No viable CD34+ isolated |
| 2 | 20110118 P1 | Frozen UCB units | No viable CD34+ isolated |
| 3 | 20110215 P1 | Frozen UCB units | No viable CD34+ isolated |
| 4 | 20110217 P1 | Frozen UCB units | No viable CD34+ isolated |
| 5 | 20110305 P1 | Different protocol (Magnetic isolation) | Cannot compare results to final protocol |
| 6 | 20110608 P1 | Different protocol (Magnetic isolation) | Cannot compare results to final protocol |
| 7 | 20110608 P2 | Different protocol (Magnetic isolation) | Cannot compare results to final protocol |
| 8 | 20110610 P1 | Different protocol (Magnetic isolation) | Cannot compare results to final protocol |
| 9 | 20110610 P2 | Different protocol (Magnetic isolation) | Cannot compare results to final protocol |
| 10 | 20110610 P3 | Different protocol (Magnetic isolation) | Cannot compare results to final protocol |
| 11 | 20110629 P1 | Different protocol (Magnetic isolation) | Cannot compare results to final protocol |
| 12 | 20110727 P1 | Results incorporated | |
| 13 | 20110727 P2 | Results incorporated | |
| 14 | 20110727 P3 | No results | No colonies formed |
| 15 | 20110906 P1 | Results incorporated | |
| 16 | 20110906 P2 | Results incorporated | |
| 17 | 20110922 P1 | Results incorporated | |
| 18 | 20111011 P1 | Results incorporated | |
| 19 | 20111012 P1 | Results incorporated | |
| 20 | 20111018 P1 | Results incorporated | |
| 21 | 20111018 P2 | Results incorporated | |
| 22 | 20111101 P1 | No results | No colonies formed |
| 23 | 20111122 P1 | Frozen w/o CFU-assay | No CFU-assay performed |
| 24 | 20111122 P2 | Frozen w/o CFU-assay | No CFU-assay performed |
| 25 | 20111212 P1 | Frozen w/o CFU-assay | No CFU-assay performed |



| No | UCB unit ID | Method of UCB application | Comment |
|----|-------------|---------------------------|--|
| 26 | 20111212 P2 | Frozen w/o CFU-assay | No CFU-assay performed |
| 27 | 20111212 P3 | Frozen w/o CFU-assay | No CFU-assay performed |
| 28 | 20120201 P1 | Results incorporated | |
| 29 | 20120201 P2 | Results incorporated | |
| 30 | 20120206 P1 | Results incorporated | |
| 31 | 20120209 P1 | Results incorporated | |
| 32 | 20120214 P1 | Results incorporated | |
| 33 | 20120219 P1 | Different protocol (HIV) | Cannot compare results to final protocol |
| 34 | 20120223 P1 | No results | Blood volumes too low for isolation |
| 35 | 20120229 P1 | Different protocol (HIV) | Cannot compare results to final protocol |
| 36 | 20120305 P1 | Results incorporated | |
| 37 | 20120312 P1 | Results incorporated | |
| 38 | 20120312 P2 | Results incorporated | |
| 39 | 20120312 P3 | No results | Blood volumes too low for isolation |
| 40 | 20120326 P1 | No results | No colonies formed |
| 41 | 20120402 P1 | Results incorporated | |
| 42 | 20120417 P1 | Results incorporated | |
| 43 | 20120417 P2 | Results incorporated | |
| 44 | 20120419 P1 | Results incorporated | |
| 45 | 20120704 P1 | Results incorporated | |
| 46 | 20120710 P1 | Results incorporated | |
| 47 | 20120710 P2 | Results incorporated | |
| 48 | 20120724 P1 | Results incorporated | |
| 49 | 20120724 P2 | Results incorporated | |
| 50 | 20120725 P1 | Results incorporated | |
| 51 | 20120725 P2 | Results incorporated | |
| 52 | 20120726 P1 | Results incorporated | |
| 53 | 20120727 P1 | Results incorporated | |



| No | UCB unit ID | Method of UCB application | Comment |
|----|--------------|---------------------------------|---|
| 54 | 20120727 P2 | No results | Blood volumes too low for isolation |
| 55 | 20120727 P3 | Results incorporated | |
| 56 | 20120727 P4 | Results incorporated | |
| 57 | 20120727 P5 | Results incorporated | |
| 58 | 20120803 P1 | Results incorporated | |
| 59 | 20120803 P2 | Results incorporated | |
| 60 | 20120803 P3 | Results incorporated | |
| 61 | 20120803 P4 | Results incorporated | |
| 62 | 20120803 P5 | Results incorporated | |
| 63 | 20120803 P6 | Results incorporated | |
| 64 | 20120806 P1 | Results incorporated | |
| 65 | 20120806 P2 | Results incorporated | |
| 66 | 20120806 P3 | No results | No colonies formed |
| 67 | 20120806 P4 | No results | No colonies formed |
| 68 | 20120806 P5 | No results | Blood volumes too low for isolation |
| 69 | 20120807 P1 | Results incorporated | |
| 70 | 20120807 P2 | Results incorporated | |
| 71 | 20120813 P1 | No results | No colonies formed |
| 72 | 20120813 P2 | No results | No colonies formed |
| 73 | 20120813 P3 | No results | No colonies formed |
| 74 | 20120813 P4 | No consent for Ultrio screening | |
| 75 | 20120813 P5 | Partial results | Cannot compare results to final protocol: HIV colonies perished |
| 76 | 20120813 P6 | Partial results | Cannot compare results to final protocol: HIV colonies perished |
| 77 | 20120813 P7 | Partial results | Cannot compare results to final protocol: HIV colonies perished |
| 78 | 20120813 P8 | Partial results | Cannot compare results to final protocol: HIV colonies perished |
| 79 | 20120813 P9 | Partial results | Cannot compare results to final protocol: HIV colonies perished |
| 80 | 20120813 P10 | Partial results | Cannot compare results to final protocol: HIV colonies perished |



ANNEXURE 4: Complete flow cytometry data for the 30 UCB units

Table 22: Flow cytometry data for the 30 UCB units that were also subjected to Ultrio-Plus® screening

| | Flow cytometry data for the CD34+ Pool Kit | | | | | Flow cytometry data for Stem Kit protocol | | | | | | |
|-----|--|---------|----------|----------|---------|---|---------|----------|----------|---------|----------|--------|
| | | Average | Average | Total | Constit | ution of | Average | Average | Total | Constit | ution of | |
| No. | Unit ID | of | of | isolated | CD34- | - cells: | of | of | isolated | CD34- | + cells: | |
| | Onicid | %Gated | cells/µL | CD 45 | CD45+ | CD45+ | %Gated | cells/µL | CD 45 | CD45+ | CD45+ | Viable |
| | | CD34 | CD34 | BRIGHT | Dim | Bright | CD34 | CD34 | BRIGHT | Dim | Bright | |
| 1 | 20120229 P1 | 90.10 | 24.21 | 13.07 | 85.05 | 14.95 | 65.73 | 17.75 | 10.82 | 91.16 | 8.84 | 79.16 |
| 2 | 20120306 P1 | 74.90 | 65.95 | 19.52 | 95.83 | 4.17 | 75.74 | 66.74 | 22.85 | 60.10 | 39.90 | 93.16 |
| 3 | 20120312 P1 | 80.90 | 184.04 | 74.87 | 15.36 | 84.64 | 86.55 | 162.15 | 2.96 | 79.43 | 20.57 | 88.64 |
| 4 | 20120312 P2* | 29.89 | 81.00 | 2.07 | 95.40 | 4.60 | 49.55 | 10.76 | 4.50 | 59.27 | 40.73 | 67.93 |
| 5 | 20120312 P3 | 97.29 | 109.22 | 3.70 | 96.41 | 3.59 | 84.88 | 10.76 | 7.63 | 94.94 | 5.06 | 83.90 |
| 6 | 20120402 P1** | 32.56 | 47.80 | 7.26 | 91.20 | 8.80 | 49.89 | 66.62 | 4.07 | 81.35 | 18.65 | 7.00 |
| 7 | 20120417 P1 | 98.28 | 1203.32 | 3.69 | 96.21 | 3.79 | 67.16 | 795.78 | 3.85 | 93.05 | 6.95 | 87.98 |
| 8 | 20120417 P2 | 97.34 | 1032.49 | 13.82 | 85.88 | 14.12 | 26.60 | 307.62 | 1.17 | 46.99 | 53.01 | 80.65 |
| 9 | 20120419 P1 | 89.24 | 1029.97 | 7.39 | 97.75 | 2.25 | 91.32 | 278.87 | 7.95 | 93.84 | 6.16 | 95.93 |
| 10 | 20120704 P1** | 57.17 | 140.39 | 0.57 | 98.72 | 1.28 | 67.91 | No beads | 1.90 | 22.09 | 77.91 | 31.52 |
| 11 | 20120710 P1 | 93.69 | 649.86 | 1.50 | 98.43 | 1.57 | 95.97 | 669.20 | 4.02 | 96.24 | 3.76 | 96.53 |
| 12 | 20120724 P1 | 67.43 | 157.07 | 0.26 | 99.58 | 0.42 | 97.33 | 245.29 | 2.15 | 96.95 | 3.05 | 93.80 |
| 13 | 20120724 P2 | 52.70 | 137.77 | 0.55 | 98.95 | 1.05 | 95.34 | 215.13 | 2.44 | 88.71 | 11.29 | 89.70 |
| 14 | 20120725 P1* | 70.32 | 89.25 | 1.55 | 97.79 | 2.21 | 2.27 | 2.70 | 4.35 | 44.44 | 55.56 | 42.11 |
| 15 | 20120725 P2 | 27.86 | 63.73 | 2.58 | 90.74 | 9.26 | 84.88 | 195.36 | 7.63 | 94.94 | 5.06 | 83.90 |
| 16 | 20120726 P1 | 99.66 | 94.60 | 4.41 | 95.58 | 4.42 | 71.17 | 72.02 | 4.23 | 97.06 | 2.94 | 88.48 |
| 17 | 20120727 P1 | 99.82 | 170.91 | 3.98 | 95.68 | 4.32 | 93.22 | 133.44 | 3.68 | 97.21 | 2.79 | 95.88 |
| 18 | 20120727 P3* | 99.28 | 88.96 | 9.83 | 91.11 | 8.89 | 11.02 | 6.01 | 4.05 | 60.54 | 39.46 | 79.46 |
| 19 | 20120727 P4 | 99.17 | 42.96 | 6.26 | 94.22 | 5.78 | 21.11 | 6.20 | 5.69 | 36.84 | 63.16 | 64.17 |
| 20 | 20120727 P5 | 98.61 | 250.43 | 3.57 | 96.62 | 3.38 | 12.75 | 16.63 | 8.97 | 57.53 | 42.47 | 63.80 |
| 21 | 20120803 P1* | 5.62 | 73.56 | 3.39 | 63.40 | 36.60 | 12.65 | 177.94 | 0.42 | 13.01 | 86.99 | 50.87 |



| | Flow cytometry data for the CD34+ Pool Kit | | | | | | | Flow cytometry data for Stem Kit protocol | | | | | |
|--------------------|--|----------------|------------------|-------------------|---------------------------------|-----------------|----------------|---|-------------------|---------------------------------|-----------------|--------|--|
| No. | Unit ID | Average of | Average of | Total isolated | Constitution of CD34+ cells: | | Average of | Average of | Total isolated | Constitution of CD34+ cells: | | | |
| | | %Gated CD34 | cells/µL CD34 | CD 45 BRIGHT | CD45+ Dim | CD45+ Bright | %Gated CD34 | cells/µL CD34 | CD 45 BRIGHT | CD45+ Dim | CD45+ Bright | Viable | |
| 22 | 20120803 P2 | 6.82 | 221.64 | 7.43 | 50.74 | 49.26 | 23.03 | 66.44 | 0.29 | 3.32 | 96.68 | 52.16 | |
| 23 | 20120803 P3 | 6.94 | 15.20 | 2.86 | 74.61 | 25.39 | 30.80 | 60.91 | 0.65 | 14.59 | 85.41 | 52.53 | |
| 24 | 20120803 P5 | 15.89 | 32.07 | 3.95 | 87.65 | 12.35 | 23.40 | 50.24 | 2.81 | 18.11 | 81.89 | 50.62 | |
| 25 | 20120803 P4 | 38.30 | 157.34 | 35.96 | 20.57 | 79.43 | 72.06 | 146.93 | 5.47 | 82.95 | 17.05 | 32.83 | |
| 26 | 20120803 P6* | 44.40 | 19.74 | 45.91 | 28.64 | 71.36 | 10.00 | 59.67 | 7.36 | 38.69 | 61.31 | 67.12 | |
| 27 | 20120806 P1 | 8.34 | 96.86 | 1.16 | 94.73 | 5.27 | 7.34 | 93 | 0.64 | 82.07 | 17.93 | 63.72 | |
| 28 | 20120806 P2* | 11.59 | 0.83 | 7.93 | 42.11 | 57.89 | 21.94 | 2 | 9.7 | 48.08 | 51.92 | 61.18 | |
| 29 | 20120807 P1** | 49.09 | 21.69 | 51.92 | 12.45 | 87.55 | 27.19 | 64.48 | 6.75 | 69.57 | 30.43 | 45.44 | |
| 30 | 20120807 P2** | 41.87 | 22.58 | 34.95 | 17.42 | 82.58 | 52.96 | 30.37 | 2.21 | 59.69 | 40.31 | 17.68 | |
| | Average | 60 | 210.85 | 12.53 | 76.96 | 23.04 | 51 | 139.01 | 5.04 | 64.09 | 35.91 | 66.93 | |
| Standard deviation | | | | | | | | | | | | | |
| | (SD) | 34.8 | 321.7 | 18.0 | 29.9 | 29.9 | 32.5 | 186.9 | 4.4 | 29.7 | 29.7 | 24.5 | |

*= Patients with final blood volumes below 15 ml
 ** = UCB units collected after 72 hours (removed from patient cohort)



ANNEXURE 5: Ethics approval forms from the Main Research Ethics Committee, University of Pretoria

The Research Ethics Committee, Faculty Health Sciences, University of Pretoria complies with ICH-GCP guidelines and has US Federal wide Assurance.



* FWA 00002567, Approved dd 22 May 2002 and Expires 13 Jan 2012.

IRB 0000 2235 IORG0001762 Approved dd Jan 2006 and Expires 13 Aug 2011. YUNIBESITHI YA PRETORIA

UNIVERSITEIT VAN PRETORIA

UNIVERSITY OF PRETORIA

Faculty of Health Sciences Research Ethics Committee Fakulteit Gesondheidswetenskappe Navorsingsetiekkomitee

DATE: 11/10/2010

| PROTOCOL NO. | 89/2010 | | | |
|-----------------|---|--|--|--|
| PROTOCOL TITLE | Rendering the Immune System Resistant to HIV | | | |
| INVESTIGATOR | Principal Investigator: Prof. Michael S. Pepper | | | |
| SUBINVESTIGATOR | None | | | |
| SUPERVISOR | None | | | |
| DEPARTMENT | Dept: Immunology Phone: 012-420-5317 Mobile: 072-209-6324 | | | |
| | E-Mail: michael.pepper@up.ac.za | | | |
| STUDY DEGREE | Grant | | | |
| SPONSOR | None | | | |
| MEETING DATE | 26/05/2010 | | | |

The Protocol and Informed Consent Document were approved on 29/09/2010 by a properly constituted meeting of the Ethics Committee subject to the following conditions:

- 1. The approval is valid until the end of December 2012, and
- 2. The approval is conditional on the receipt of 6 monthly written Progress Reports, and
- 3. The approval is conditional on the research being conducted as stipulated by the details of the documents submitted to and approved by the Committee. In the event that a need arises to change who the investigators are, the methods or any other aspect, such changes must be submitted as an Amendment for approval by the Committee.



The Research Ethics Committee, Faculty Health Sciences, University of Pretoria complies with ICH-GCP guidelines and has US Federal wide Assurance.





- FWA 00002567, Approved dd 22 May 2002 and Expires 13 Jan 2012.
- IRB 0000 2235 IORG0001762 Approved dd Jan 2006 and Expires 13 Aug 2011.

Faculty of Health Sciences Research Ethics Committee Fakulteit Gesondheidswetenskappe Navorsingsetiekkomitee

DATE: 1/10/2010

| PROTOCOL NO. | 131/2010 | | | | |
|-----------------|---|--|--|--|--|
| PROTOCOL TITLE | Feasibility study for a public cord blood stem cell bank in South | | | | |
| | Africa. | | | | |
| INVESTIGATOR | Principal Investigator: Michael S. Pepper | | | | |
| SUBINVESTIGATOR | Ms W M Young E-Mail: wendyyoung@mtnloaded.co.za | | | | |
| | Ms F Barmania E-Mail: barmaniaf@gmail.com | | | | |
| SUPERVISOR | Michael S. Pepper E-mail: michael.pepper@up.ac.za | | | | |
| DEPARTMENT | Dept: Immunology, University of Pretoria. | | | | |
| | Tel: +27(0)124203845 (Secretary) Tel: +27 (0)12 420 5317 (Direct) | | | | |
| | Fax: +27 (0)12 420 3953 Mobile: +27 (0)72 209 6324 | | | | |
| STUDY DEGREE | MSc in Immunology | | | | |
| SPONSOR | None | | | | |
| MEETING DATE | 28/07/2010 | | | | |

The Protocol and Informed Consent Document were approved on 29/09/2010 by a properly constituted meeting of the Ethics Committee subject to the following conditions:

- 1. The approval is valid until the end of December 2014, and
- 2. The approval is conditional on the receipt of 6 monthly written Progress Reports, and
- 3. The approval is conditional on the research being conducted as stipulated by the details of the documents submitted to and approved by the Committee. In the event that a need arises to change who the investigators are, the methods or any other aspect, such changes must be submitted as an Amendment for approval by the Committee.



Ethics approval for submission of this Thesis from the Main Research Ethics Committee, University of Pretoria

The Research Ethics Committee, Faculty Health Sciences, University of Pretoria complies with ICH-GCP guidelines and has US Federal wide Assurance.



- FWA 00002567, Approved dd 22 May 2002 and Expires 20 Oct 2016.
- IRB 0000 2235 IORG0001762 Approved dd 13/04/2011 and Expires 13/04/2014.

Denkleiers • Leading Minds • Dikgopolo tša Dihlaleti Faculty of Health Sciences Research Ethics Committee Fakulteit Gesondheidswetenskappe Navorsingsetiekkomitee

DATE: 30/07/2012 NUMBER 124/2012 OLD TITLE Umbilical cord blood stem cells: a public bank social feasibility study and investigation of the effect of HIV-1 on the colony forming ability of CD34+ cells NEW TITLE The effect of HIV on the formation of colony forming units in vitro & public willingness to donate to a public cord blood bank PRINCIPAL INVESTIGATOR Mrs. Madelein Meissner-Roloff Dept: Immunology, Faculty of Health Sciences, University of Pretoria. Cell: 082 553 6005 E-Mail: mmroloff@gmail.com SUB INVESTIGATOR Not Applicable STUDY COORDINATOR Not Applicable SUPERVISOR Prof Michael S. Pepper E-Mail: michael.pepper@up.ac.za STUDY DEGREE PhD SPONSOR COMPANY Not Applicable MEETING DATE 25/07/2012

The Protocol and Informed Consent Document were approved on 25/07/2012 by a properly constituted meeting of the Ethics Committee subject to the following conditions:

- 1. The approval is valid for 3 years period [till the end of December 2015], and
- 2. The approval is conditional on the receipt of 6 monthly written Progress Reports, and
- 3. The approval is conditional on the research being conducted as stipulated by the details of the documents submitted to and approved by the Committee. In the event that a need arises to change who the investigators are, the methods or any other aspect, such changes must be submitted as an Amendment for approval by the Committee.

Members of the Research Ethics Committee: Prof M J Bester (female)BSc (Chemistry and Biochemistry); BSc (Hons)(Biochemistry); MSc(Biochemistry); PhD (Medical Biochemistry) Prof R Delport (female)BA et Scien, B Curationis (Hons) (Intensive care Nursing), M Sc (Physiology), PhD (Medicine), M Ed Computer Assisted Education Dr NK Likibi MBB HM - Representing Gauteng Department of Health) MPH Dr MP Mathebula (female)Deputy CEO: Steve Biko Academic Hospital; MBCHB, PDM, HM Prof A Nienaber (female) BA(Hons)(Wits); LLB; LLM; LLD(UP); PhD; Dipl.Datametrics(UNISA) - Legal advisor Mrs MC Nzeku (female) BSc(NUL); MSc(Biochem)(UCL, UK) - Community representative Prof L M Ntlhe MbChB (Natal) FCS (SA) Snr Sr J Phatoli (female) BCur(Eet.A); BTec(Oncology Nursing Science) - Nursing representative Dr R Reynders MBChB (Prêt), FCPaed (CMSA) MRCPCH (Lon) Cert Med. One (CMSA) Dr T Rossouw (female) MBChB (cum laude); M.Phil (Applied Ethics) (cum laude), MPH (Biostatistics and Epidemiology (cum laude), D.Phil

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