

# University of Pretoria

## ETDs & Interlibrary loans: a collaborative partnership @ UP

Presented at the LIASA 13th Annual Conference,  
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# Content

- Open Scholarship programme
- What is Open Access and what kind of material is available?
- Repositories, DOAJ, NDLTD
- Collaborative partnership between OS & ILL
- Important issues to consider
- Collaborative workflow
- UP costs for interlending & benefits of Open Access
- Open Access change
- Conclusion



# Open Scholarship Programme

- ❑ Responsibilities include the management:
  - Mandated submission of **research articles** in the UPSpace repository
  - Mandated submission of **theses and dissertations**
  - Collaboration with the Department Research & Innovation on the **annual Research report**
  - Advice and facilitation of **Open Access journal initiatives** on campus
  - Coordination and planning of **Open Access Week** events on campus

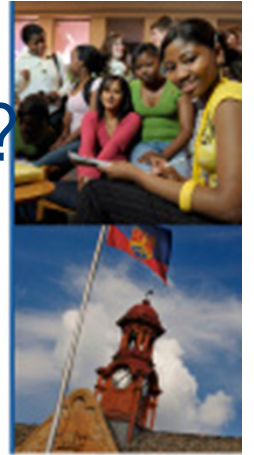


# What is Open Access?

- ❑ Open Access resources
  - Free of charge
  - Full-text access to variety of materials
- ❑ What makes it possible?
  - Internet
  - Consent of the author/copyright-holder
- ❑ Two roads to Open Access
  - Open Access repositories (green road)
  - Open Access journals (gold road)



# What kinds of material are available via Open Access?



## Journal articles

- Preprints
- Postprints
- Final publisher's version
- Scanned versions of formerly print only journals, such as HTS Teologiese Studies / Theological Studies

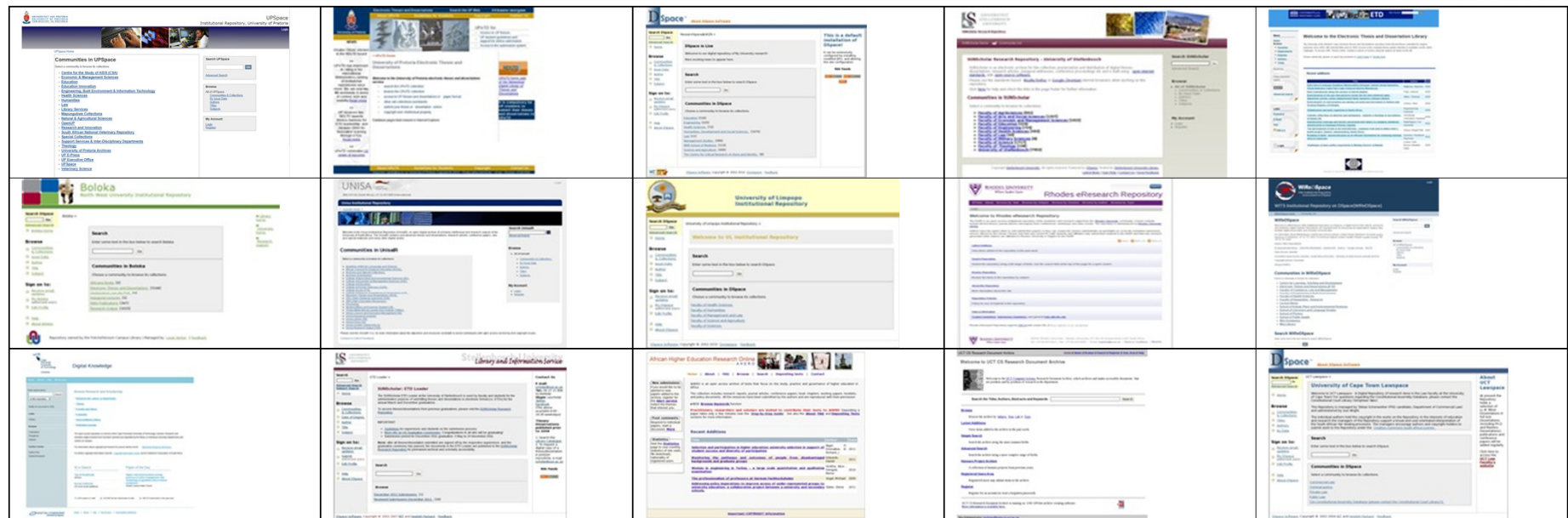
## Theses and dissertations

## Other material – grey material

## Datasets for the future

# ROAR : Registry of Open Access Repositories

Lists a total of 300 open access mandate policies!



<http://roar.eprints.org/>

# OpenDOAR : Directory of Open Access Repositories



OpenDOAR

Search or Browse for Repositories

## More than 2000 repositories!

Search interface for OpenDOAR. It includes a search bar, dropdown menus for 'Any Subject Area', 'Any Content Type', 'Any Repository Type', 'South Africa (24)', 'Any Language', and 'Any Software'. There is a 'Search' button, a 'Summaries' dropdown set to '20 per page', a 'Sort by: Repository Name' dropdown, and a 'New Query' button. Below the form, it says: 'To search the contents of the repositories listed in OpenDOAR, please see our [trial search page](#).'

Results 1 - 20 of 24.

Page: << Previous 1 2 Next >>

### AHERO (African Higher Education Research Online)

**Organisation:** Centre for the Study of Higher Education (CSHE), [University of the Western Cape](#), South Africa

**Description:** This site is a subject repository containing materials relating to the study, practice and governance of higher education in Africa. Not all items are available as full text, with some limited to bibliographic entries only, with a link to contact the author for an off-print as an alternative route of access. Each online paper is displayed with a readily accessible statistical snap-shot of its use online. An email update alert service is available for registered users.

**OAI-PMH:** <http://ahero.uwc.ac.za/lib/oai/oai2.php>

**Size:** 165 items (2008-07-16)

**Subjects:** Education

**Content:** Articles; References; Conferences; Theses; Unpublished; Books; Special

**Languages:** English

**Policies:** Metadata re-use permitted for not-for-profit purposes; Rights vary for the re-use of full data items; Content policies explicitly undefined; Submission policies defined; Preservation policies defined

**Remarks:** Special items include: Policy documents.

### Boloka: Research Repository North-West University

**Organisation:** [North-West University Library](#), [North-West University](#), South Africa

**Description:** This site provides access to the research output of the North-West University, as well as some digital historical publications, electronic periodicals and digitized articles or books of value. The interface is in English.

**OAI-PMH:** <http://dspace.nwu.ac.za/oai/request>

**Software:** DSpace

**Size:** 4002 items (2011-05-17)

**Subjects:** Multidisciplinary

**Content:** Articles; Theses

**Languages:** English; Afrikaans

<http://opendoar.org/>



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# DOAJ : Directory of Open Access Journals



More than 7000 journals!



English ▾

Free, full text, quality controlled scientific and scholarly journals, covering all subjects and many languages

- Search
- Browse
- Suggest a journal
- About
- Support DOAJ!
- Statistics
- Contact

## Journals

Search journals

## Articles

More than one word in a field will be treated as phrase.

 All Fields ▾

and  or  not

 All Fields ▾

Search articles

## NEWS

15/9 2011: 7000 journals and the DOAJ site in Turkish

27/6 2011: Article about OA publishing

9/6 2011: DOAJ site now also in French

18/4 2011: DOAJ listed as one of 20 Useful Specialty Search Engines for College Students

28/3 2011: DOAJ is launching a new user interface!

[News Archive](#)

## DOAJ AS OF TODAY

7062 journals

3247 journals searchable at article level

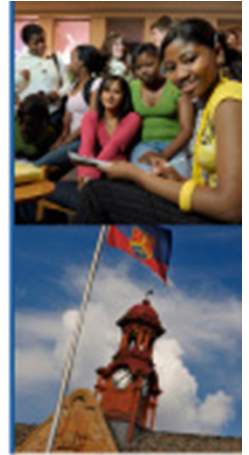
634926 articles

<http://www.doaj.org/>





# Open Access Resource for Theses and Dissertations: NDLTD



**NDLTD** NETWORKED DIGITAL LIBRARY OF THESES AND DISSERTATIONS

Find ETDs | Submit ETDs | Manage ETDs

### SCIRUS ETD Search

This search service is provided courtesy of [Scirus](#), based on data harvested from the Union Archive hosted by OCLC.

**Basic Search**

Query :   powered by **SCIRUS**

**Advanced Search**

All of the words  in  The complete document

AND

All of the words  in  The complete document

AND

All of the words  in  The complete document

Only show results published between  1900  and  2012

Only show results in

<input checked="" type="checkbox"/> All subject areas	<input type="checkbox"/> Agriculture and Biological Sciences
<input type="checkbox"/> Astronomy	<input type="checkbox"/> Chemistry and Chemical Engineering
<input type="checkbox"/> Earth and Planetary Sciences	<input type="checkbox"/> Economics, Business and Management
<input type="checkbox"/> Engineering, Energy and Technology	<input type="checkbox"/> Environmental Sciences
<input type="checkbox"/> Languages and Linguistics	<input type="checkbox"/> Law
<input type="checkbox"/> Life Sciences	<input type="checkbox"/> Medicine

last modified 2008-09-15 09:26

<http://www.ndltd.org/serviceproviders/scirus-etd-search>





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# South African National ETD portal



## National ETD Portal

South African theses and dissertations



**Home**

**BROWSE**

- Title (A-Z)
- Institution (A-Z)
- By year, ascending
- By year, descending

**SEARCH**

**Advanced Search**

**INFORMATION**

- Submit your site

### Recent Submissions

- Determining network warfare capability  
*Tue, 04 Oct 2011 10:00:32 UTC*
- On privacy in mobile voice communication networks  
*Tue, 04 Oct 2011 10:00:32 UTC*
- Capital constraint to entrepreneurial start-ups in South Africa's emerging agribusiness industry  
*Tue, 04 Oct 2011 10:00:32 UTC*
- The application of value co-creation in advancing solutions to sustainable consumption  
*Tue, 04 Oct 2011 10:00:32 UTC*
- Sophistication of consumer demand and its impact on emerging market firms innovation capabilities, sources of information and strategies  
*Tue, 04 Oct 2011 10:00:32 UTC*

### Collection Statistics

Collection	Total
Cape Peninsula University of Technology	541
Durban University of Technology	482
North West University	3312


<http://www.netd.ac.za/>



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# UPeTD



 University of Pretoria	Electronic Theses and Dissertations	Search the UP Web	Afrikaanse weergawe
	About UPeTD	Guidelines for Students	Copyright

**NEWS**

Elsabe Olivier elected to the NDLTD board

\*\*\*

UPeTD has improved its rating in the international Webometrics ranking of institutional repositories once more. We are now no. 65 worldwide in terms of content, size and visibility. [Read more](#)

\*\*\*

UP receives two NDLTD awards. *Monica Hammes* for ETD leadership, and *Jacques Orton* for Innovative learning through ETDs. [Read more](#)

**UPeTD for**

- Access to UP theses
- UP student guidelines and support for online submission
- Access to the submission system

> [UPeTD Home](#)

## University of Pretoria Electronic Theses and Dissertations

*Welcome to the University of Pretoria electronic theses and dissertations service*

- search the UPeTD collection
- browse the UPeTD collection
- access to UP theses and dissertations in paper format
- other etd collections worldwide
- submit your thesis or dissertation online
- copyright and intellectual property

Database pages best viewed in Internet Explorer

**NDLTD**

*UPeTD forms part of the Networked Digital Library of Theses and Dissertations*

**It is compulsory for UP students to submit their theses and dissertations to UPeTD**

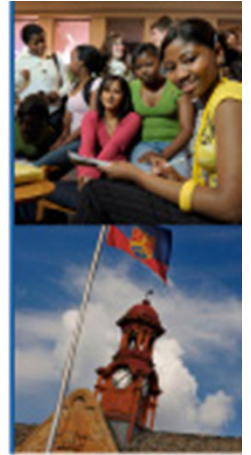
<http://upetd.up.ac.za/UPeTD.htm>

## Collaborative partnership

A partnership between two or more people that gives structure and organization for planning, thinking, and working together to accomplish a common goal

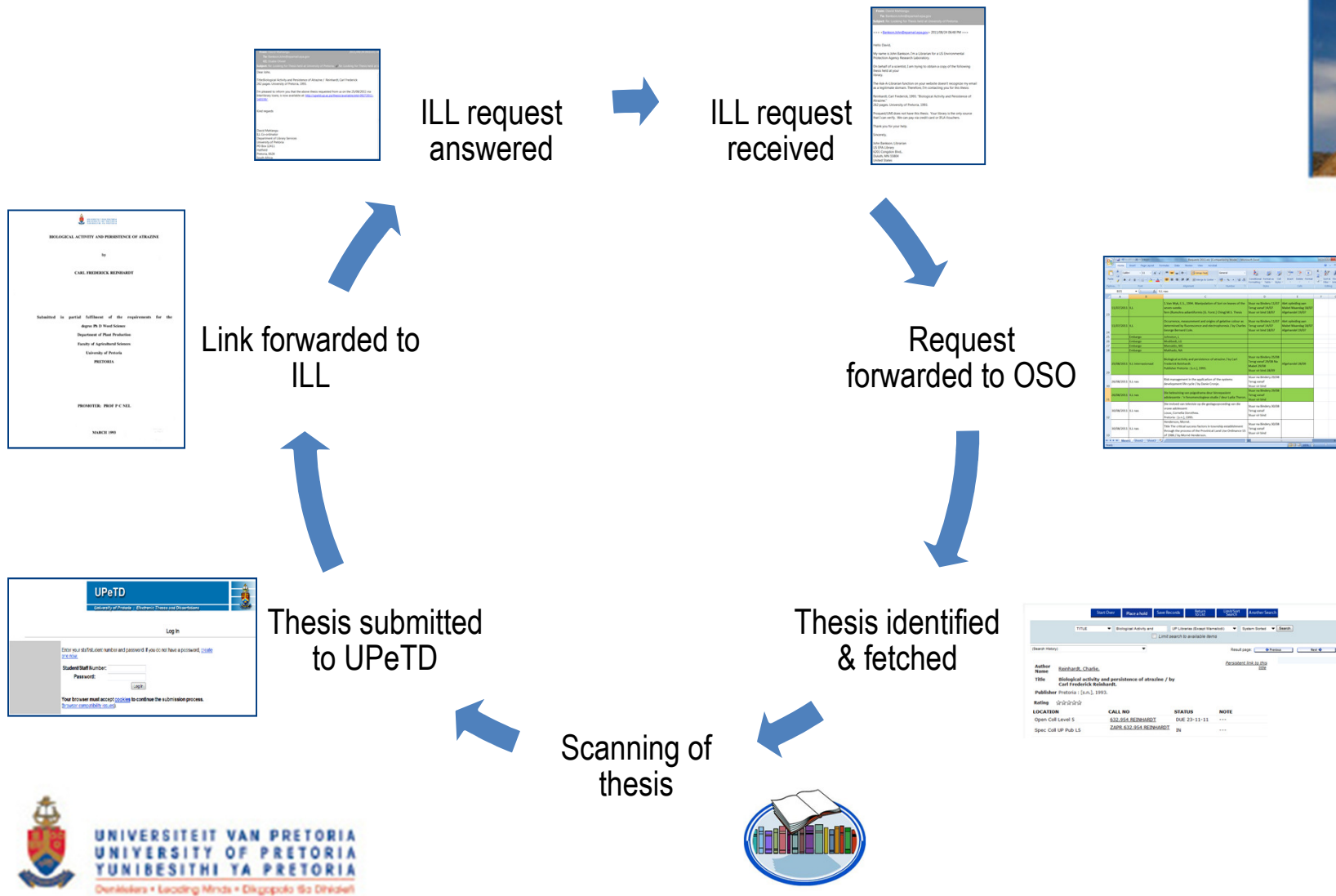


# UP Open Scholarship Office & ILL partnership



- ❑ ILL and Open Access share common history
  - Publisher resistance
  - Copyright regulations
- ❑ ILL and Open Scholarship Office's common goal
  - Both are responsible for access to information
  - Both have to comply with copyright regulations
- ❑ Common problem : thesis/dissertation is requested but only a printed copy is available
- ❑ Creative decision – let's work together in providing access to information!

# Collaborative partnership's workflow



# Important issues to consider

- UPeTD's access options
  - Worldwide availability
  - Campus availability
  - Restricted for a limited time
  - Total embargo
- Turnaround time
- Copyright

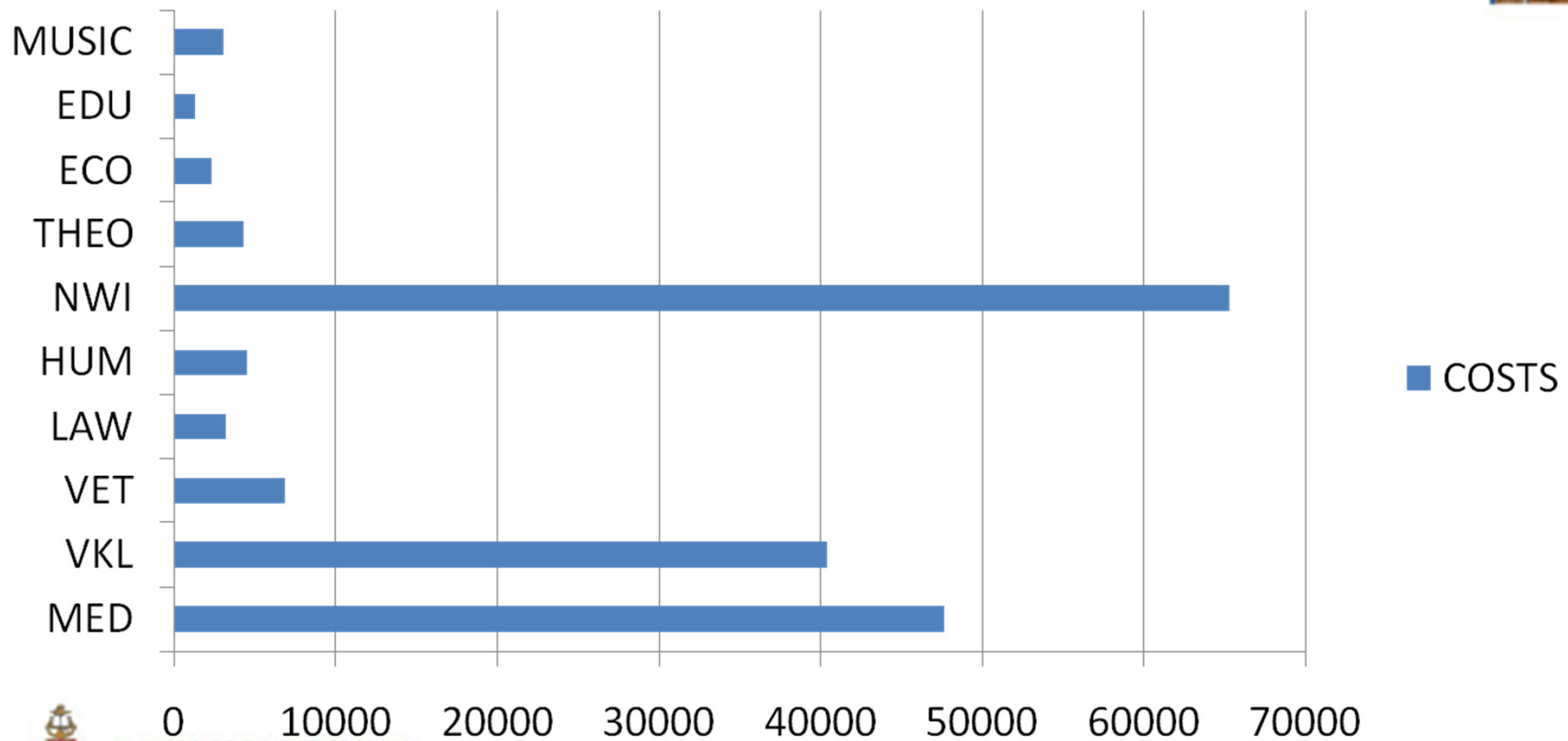


# UP costs for International interlending requests 2009

Total 178 979



## COSTS





# Benefits for role-players

## ❑ Open Scholarship Office

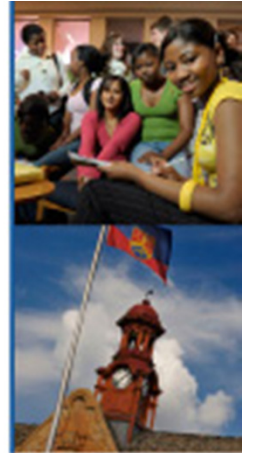
- Increased visibility
- Worldwide, immediate, electronic access anyone, anywhere – as long as you have internet access
- Open Access can incorporate visually appealing features such as photographs, graphs, multimedia, hyperlinks, supplemental files and data
- Increased impact in the subject field



# Benefits for role-players continued

## □ ILL

- Hard copies not lost in transit!
- Open access material saves time and response time is quicker
- Save costs of shipping, as URL is now supplied
- Increase fill-rate of requests
- More grey literature is available for distribution



## Open Access has brought about change...

- Access to information becomes increasingly easier
- Open Access movement is gaining momentum
- Each year more and more Open Access journals are published
- There are more gateways to electronic information
- The question is thus :

**If more content is freely available, will there be less ILL requests?**



## Surprising finding....

- ❑ Profiles of Best Practices in Academic Library Interlibrary Loan (Primary Research Group) describing the ILL efforts of 9 leading American colleges:

**Open Access and institutional repositories have NOT impacted substantially on ILL...(YET)!**

- ❑ Many researchers will continue to need assistance
- ❑ Interlending officers are still information navigators



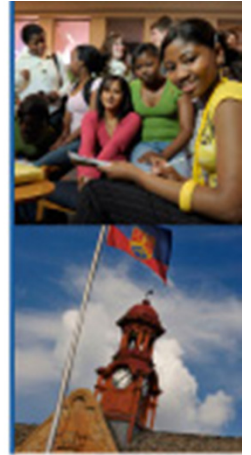
# Do we make a difference? Testimonial 1

*The Cronjé proefskrif has been enlightening! The ETD system and dspace/Africana have both been very, very useful resources, and I am very glad UP has policies that makes it possible to obtain older materials. Many ETD and digital collections set a firm wall in the past and refuse to consider anything older, but in SA history it is essential to draw in older material because there are many cases where no other studies exist and basic history must still be written. Too many foreign scholars have simply overlooked proefskrif work from SA institutions outright because of limited accessibility in the past, but this system changes the matter radically. When I had to obtain theses from XXX University in 2008 for example I had to obtain money orders in Rand (not easy in the USA) and they sent me a hardcopy a month later! That is inefficient, to put it mildly.*

E-mail received 31 August 2011 from Prof Lindsay Frederick Braun,  
Department of History, University of Oregon



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# Do we make a difference? Testimonial 2



**From:** <david.mahlangu@up.ac.za>

2011/07/30 04:07

**To:** Elsabe Olivier

**CC:** Sello Kgwebane

**Subject:** Fw: Inquiring on the possibility of borrowing a thesis from yourlibrary.

Dear David, I want to thank you very much for supplying this document. Our faculty member was very glad to be able to obtain this document.

Warm regards,

Virginia Adams

Interlibrary Services Manager | Library

Pacific University | 2043 College Way | Forest Grove, OR 97116

o: 503.352.1413 | f: 503.352.1425 | [adamsvm@pacificu.edu](mailto:adamsvm@pacificu.edu)

OPU/ORUHWS

A Free Share OCLC, Docline, ILLiad, Odyssey, and Ariel Library



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# Conclusion

- Let Open Access work for you!
- Many library users will still need to make use of Interlending services to locate items
- Rethink your work processes
  - Collaborate with your Open Scholarship Office as we have demonstrated
  - Provide links to Open Access materials
  - Track these requests
- Change a possible threat into a strength!
- Transform the competitor in a collaborator!



# References

- ❑ Baich, T 2011 Opening Interlibrary Loan to Open Access : Locating and Managing Borrowing Requests for Open Access Materials, Indianapolis : Indiana University – Purdue University
- ❑ Open Access [http://en.wikipedia.org/wiki/Open\\_access](http://en.wikipedia.org/wiki/Open_access)
- ❑ Peter Suber 2004 “A Very Brief Introduction to Open Access”  
<http://www.earlham.edu/~peters/fos/brief.htm>
- ❑ Vyhnanek, K 2006 Interlibrary Loan and Open Access: Convergences and Divergences, A Presentation to the International Federation of Library Associations’ Satellite Meeting Resource Sharing, Reference and Collection Development in a Digital Age – a Practical Approach  
<http://hdl.handle.net/2376/754>





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Thank you!  
Questions?

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