

University of Pretoria |

ETD implementation – lessons learned @ the University of Pretoria

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Presented at the 14th International Symposium on Electronic Theses and
Dissertations, 13-17 September, Cape Town



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www.up.ac.za

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Background to University of Pretoria

- ❑ One of the largest & leading research universities
- ❑ 6 campuses & sites such as Pretoria Academic Hospital
- ❑ 9 faculties, 1 business school, 140 departments, 85 centres, institutes & bureaus
- ❑ Offers 230 qualifications
- ❑ 2011: 67 981 registered students
- ❑ Post-graduate degrees in 2010: 1 456 (189 theses & 1 267 dissertations)

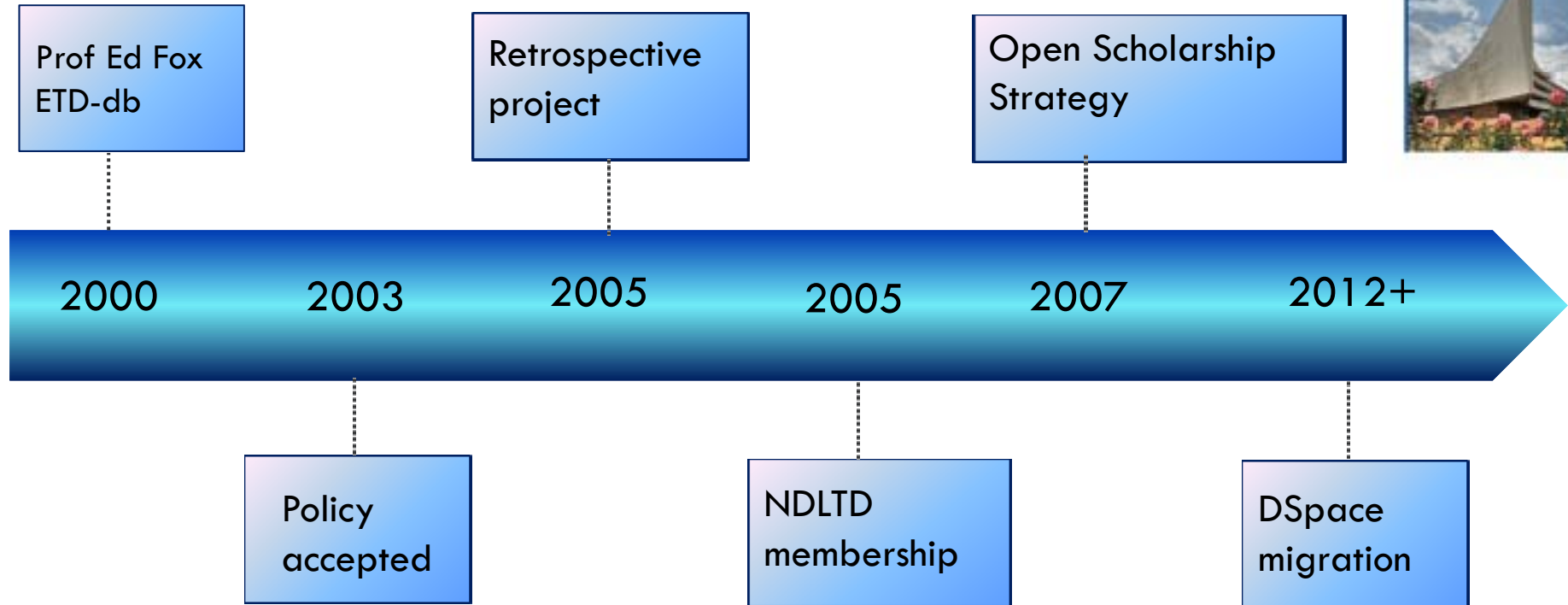


South African postgraduate student profile

- ❑ Postgraduate Studies in South Africa (Council on Higher Education 2009) & The PhD study (ASSAf 2010)
- ❑ SA supervisors have a high load of postgraduate students
- ❑ Many M & D students interrupt their studies after degree
 - Preparedness
 - Insufficient funding
 - Age 30+
 - Professional & family commitments
 - Computer connectedness & literacy
- ❑ Increase of enrolments from Southern African countries



UPeTD time-line



This road was made by walking it...



Lesson 1: Mandatory policy & Open Scholarship strategy

- ❑ Crucial factor for success which clearly define your objectives



Office of the Registrar

Policy on University of Pretoria electronic theses and dissertations

Policy Group/Category: Academic

Doc number: S 4844-46/08

1. Position statement

The University of Pretoria supports free access to research literature for all researchers worldwide and takes responsibility for the dissemination of its own research outputs. Because theses and dissertations are not formally published it is even more important that the University itself should provide access to them.

Lessons 2 : Software, server & IT expertise

- Free ETD-db software downloaded on old unused server at Department of IT Technology
- Very reliable
- Dedicated IT support

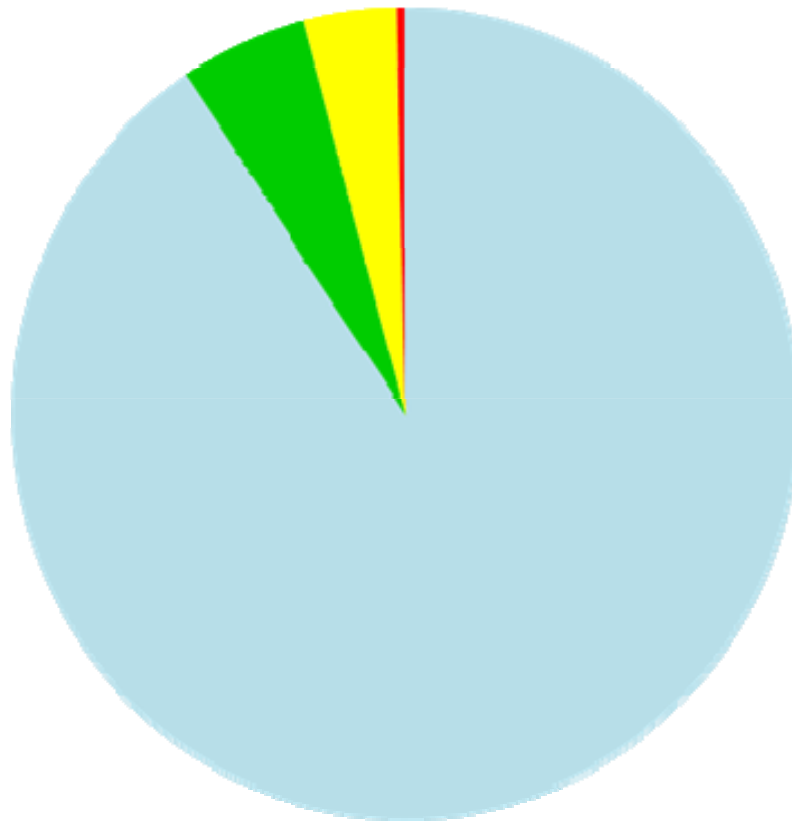


Lessons 3 : Defined access options

- UP supports free access to research literature
 - Worldwide availability
 - Limited access within the UP domain
 - Restricted access/embargo for 2 year period
 - Total embargo to confidential/sensitive information
- Submission form indicating the access options
- Vice-Principal of Research & Postgraduate Studies involvement



Availability



- Unrestricted
- Campus access
- Embargoed
- Prohibited

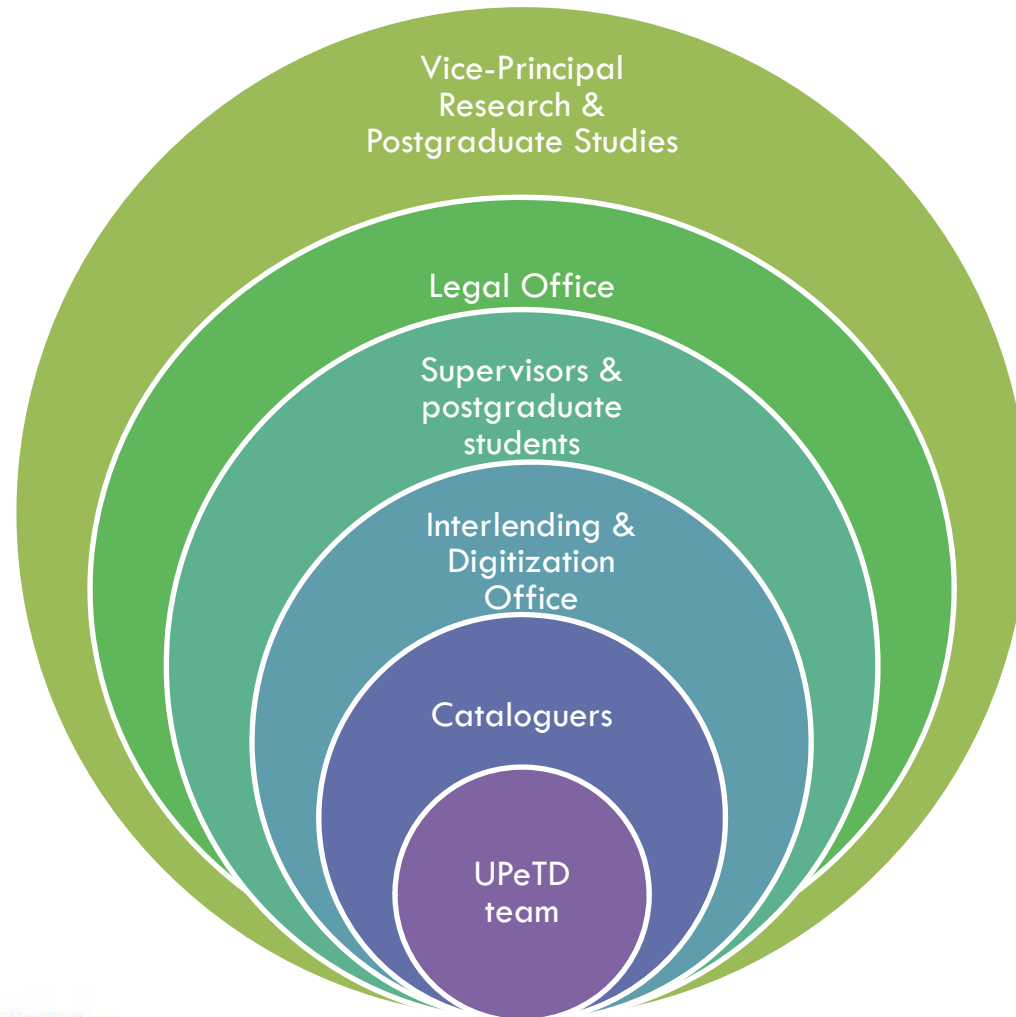


Lessons 4 : Submission strategy

- Mediated approach
- Little participation from students
- In-house administration system tracks submissions
- Statistics 2009-2011 : 17% self-submission rate
- 1 Paper copy & electronic versions



Lessons 5 : Important role-players



Lessons 6 : Clear copyright policy

- General Regulation G57(6)
- Intellectual property produced by students vest in UP
- Benefits for UP



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Lessons 7 : International cooperation

□ NDLTD member since 2005

- Support in harvesting ETDs into NDLTD Union catalog
- Network of experts
- Useful resources
- Subscription to the ETD-L listserv
- Eligibility for the NDLTD awards
 - NDLTD Innovative ETD Award 2005, 2007
 - NDLTD Innovative Learning through ETDs Award 2010
 - ETD leadership award 2010 for Monica Hammes



Lessons 8 : Retrospective digitization

- 2000-2004 voluntary submissions
- Project was registered and 2 temporary staff members appointed
- Digitized the fronts
- Requests via postbox
- Interlending office



Lesson 9 : Vanity publishers

❑ VDM Verlag & LAP Lambert Academic Publishing



Dear Publisher

Having reviewed your request regarding the publication of theses and dissertations of students from the University of Pretoria, we have decided that this is not a practise that we would like to encourage.

Copyright in this material is vested in the University of Pretoria, and we have not transferred the copyright to authors. We therefore request that you refrain from publishing such material from the University of Pretoria.

The University of Pretoria's student theses and dissertations are freely available for the use of scholars and the general public at <http://upetd.up.ac.za>

Yours sincerely

A handwritten signature in black ink, appearing to read 'Robin Crewe', written over a light blue horizontal line.

Professor Robin Crewe



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Lesson 10: Communication channels



From: "Tumo Mpetsane" <MpetsaneT@elec.durban.gov.za> 2011/09/06 08:44:11 AM
To: upetd
Subject: Accessing of E-Thesis & Research

Good Day

I am an MBA student from UOVS, would like to access the above mentioned at your library, is there in any way I can have a password as it is a requirement.

Regards

Tumo Mpetsane

From: Saurabh Sinha <ssinha@ieee.org> 2011/09/07 03:25:10 AM
To: ETD
BC: upetd
Subject: [EIN990] [M Weststrate] [M Bozanic] Release of PhD(Eng) theses

Dear Elsabe:
As a friendly reminder, Marnus Weststrate & Mladen Bozanic graduated on the 6th, as per plan - it would be wonderful if their theses can be released soon.


Regards,
Saurabh

UPeTD now

- ❑ July Ranking Web of World Repositories – no 162
- ❑ Current total 6621



UPeTD



University of Pretoria : Electronic Theses and Dissertations

List of available ETDs.

Show ETDs matching:

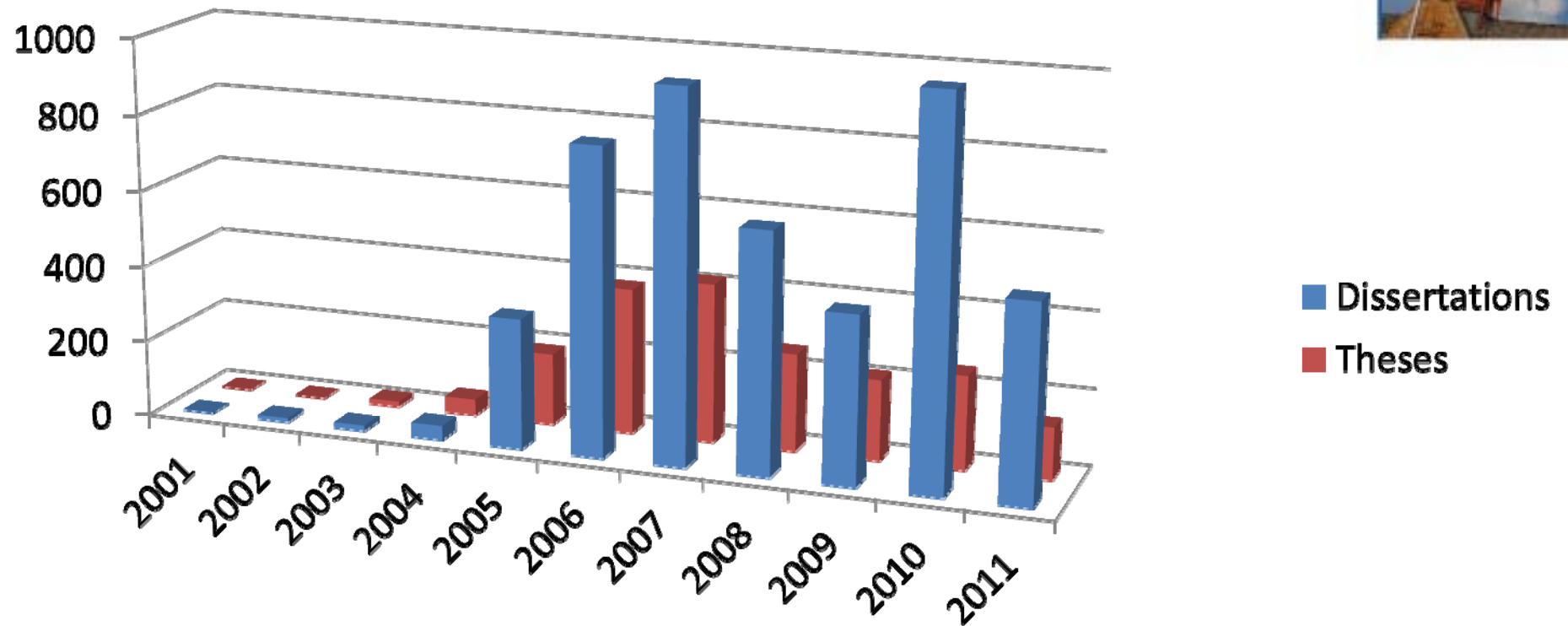
[Advanced Search](#)

[A](#)[B](#)[C](#)[D](#)[E](#)[F](#)[G](#)[H](#)[I](#)[J](#)[K](#)[L](#)[M](#)[N](#)[O](#)[P](#)[Q](#)[R](#)[S](#)[T](#)[U](#)[V](#)[W](#)[X](#)[Y](#)[Z](#)[All](#)

There is/are 6621 available ETDs.

Author	Document Title	Release Date
Faaß, Gertrud	A morphosyntactic description of Northern Sotho as a basis for an automated translation from Northern Sotho into English	2010-10-09 View Modify Delete
Naidoo, Coopoosammy Velasen	Consumer adoption of an aggregated electronic bill presentment and payment solution in South Africa using the technology acceptance model	2009-06-17 View Modify Delete
Nhemachena, Charles	Agriculture and future climate dynamics in Africa : impacts and adaptation options	2009-06-02 View Modify Delete
Van der Waals, Johan Hilgard	Slags as agricultural limes : reactivity and heavy metal and phosphorus bio-availability	2007-02-20 View Modify Delete

Yearly submission input 6621

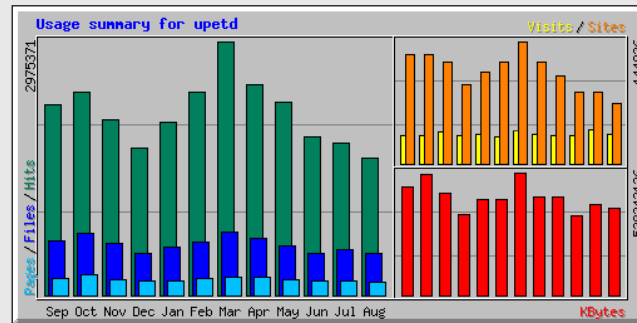


UPeTD usage statistics



Usage Statistics for upetd

Summary Period: Last 12 Months
Generated 28-Aug-2011 06:48 SAST



Summary by Month										
Month	Daily Avg				Monthly Totals					
	Hits	Files	Pages	Visits	Sites	KBytes	Visits	Pages	Files	Hits
Aug 2011	57542	17670	5675	1265	72456	381227410	35426	158925	494777	1611191
Jul 2011	57667	17034	5502	1316	84701	400946196	40822	170573	528059	1787683
Jun 2011	61759	16454	5614	1111	84940	350893621	33358	168437	493633	1852783
May 2011	73187	18740	5824	1090	104329	431032542	33810	180557	580953	2268827
Apr 2011	82446	22392	7193	1134	120023	431387564	34043	215803	671764	2473405
Mar 2011	95979	23917	6669	1267	144936	538342126	39298	206766	741432	2975371
Feb 2011	85141	22091	7192	1160	120527	420668923	32506	201377	618548	2383951
Jan 2011	65536	18290	5389	1122	109406	417723839	34788	167062	567005	2031639
Dec 2010	55660	16063	5666	1090	94130	353008237	33798	175650	497964	1725467
Nov 2010	68566	20475	6092	1250	120322	446049692	37529	182788	614257	2056986
Oct 2010	76911	23530	8017	1082	129276	532588206	33571	248539	729440	2384248
Sep 2010	74646	21020	6810	1123	129408	472849033	33713	204300	630609	2239384
Totals						5176717389	422662	2280777	7168441	25790935



Positive feedback



From: L F Braun <lfbraun@uoregon.edu> 2011/08/31 08:17:54 AM
To: Elsabe Olivier
Subject: Re: Fwd: Proefskrif : Generaal P.A. Cronje : 'n lewenskets now available

Dear Elisabeth,

The Cronjé proefskrif has been enlightening! I do not know if I can request theses to enter the digitization queue, but if so, CJ Joubert's 1954 MA "Die Soutpan van Soutpansberg" (I think it is Historiese Studies) is something that would be of great value. Someone already has scanned the 1933 thesis on the family of Coenraad de Buys, which was a wonderful discovery.

many thanks

L F Braun

--

Lindsay Frederick Braun
Assistant Professor
Department of History
University of Oregon

From: George Yonge <gdyonge@ucdavis.edu> 2011/07/22 07:04:20 PM
To: Elsabe Olivier
CC: Johann VanWyk
Subject: Greyling DEd study

Dear Elsabe,

Thank you so much for sending a digitized copy of the Greyling study. I have printed a hard copy of it and will begin translating it. When I complete the translation I will send a copy to you for possible library use.

I apologize for contributing additional pressure to your Digitization Office.

I can't thank you enough for all of your efforts.

Best wishes,

George



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New goals

- ❑ More advocacy to encourage self-submissions
- ❑ More workshops, tutorials, submission instructions
 - Students
 - Supervisors
- ❑ Pursue actively more innovative ETDS – audio material, visual images, video links & hyperlinks
- ❑ Changing supervisors' perceptions of ETDS as prior publications
- ❑ Staying up to date with international & current practices



UPeTD in the future



- Policy revision
- Migration to Dspace
 - Studies indicate that it offers more functionalities
 - “DSpace is clearly ahead of ETD-db” – (Jones 2004)
- Integration with campus portal environment
 - Automatic transfer of metadata and automatization of work processes
- Investigate other possibilities?
- This is the end of the road for UPeTD...this is the beginning of a new UPeTD!

Other links

- ❑ Open Scholarship web

<http://www.library.up.ac.za/openup/index.htm>

- ❑ UPeTD

- <http://upetd.up.ac.za/UPeTD.htm>



University of Pretoria

Thank you!
Questions?

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