

University of Pretoria

Open scholarship programme @ the University of Pretoria

UNESCO Regional Workshop on the Benefits of Open Access for Research
Pretoria 23 November 2010



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www.up.ac.za

Contents

- Determining factors for the Open Scholarship strategy
- History of the Open Scholarship strategy
- Open Scholarship programme
- Characteristics of an Open Scholarship institution
- Open Scholarship goal
- Success so far
- Conclusion



Few libraries exist in a vacuum,
accountable only to themselves. There is
always a larger context for assessing
library quality, that is, what and how well
does the library contribute to achieving
the overall goals of the parent
constituencies.
(Pritchard 1996)



Determining factors for the Open Scholarship strategy

1. Networked digital environment
2. South Africa – on the African continent
3. University of Pretoria's strategic plan
4. Department of Library Service's strategic plan



1. Networked Digital environment & trends



- Internet has changed the library environment
- Speeds up retrieval & dissemination
- Information providers like Google & Yahoo provide easy searching
- Social web - web 2.0, blogs, YouTube, Facebook, Flickr & wikis
- Repositories - enhanced visibility



2. South Africa – on the African continent

- Director of the Institute for Technological Innovation University of Pretoria (Pouris 2010)
- South Africa makes out 19% of the population
- Responsible for 60% of the regional GDP
- Publishes 79% regions publications
- BUT produces 0.55% of the world's literature (India produces 2.94%)
- The region still has a long way to go to develop research



More about the University of Pretoria

- One of the largest universities in South Africa
- 9 Faculties on six campuses & the Gordon Institute of Business Science
- 140 departments, 85 centres, institutes and bureaus
- Offers more than 1800 academic programmes in Afrikaans and English
- More than 50 000 students
- Worldwide collaboration with partners in research

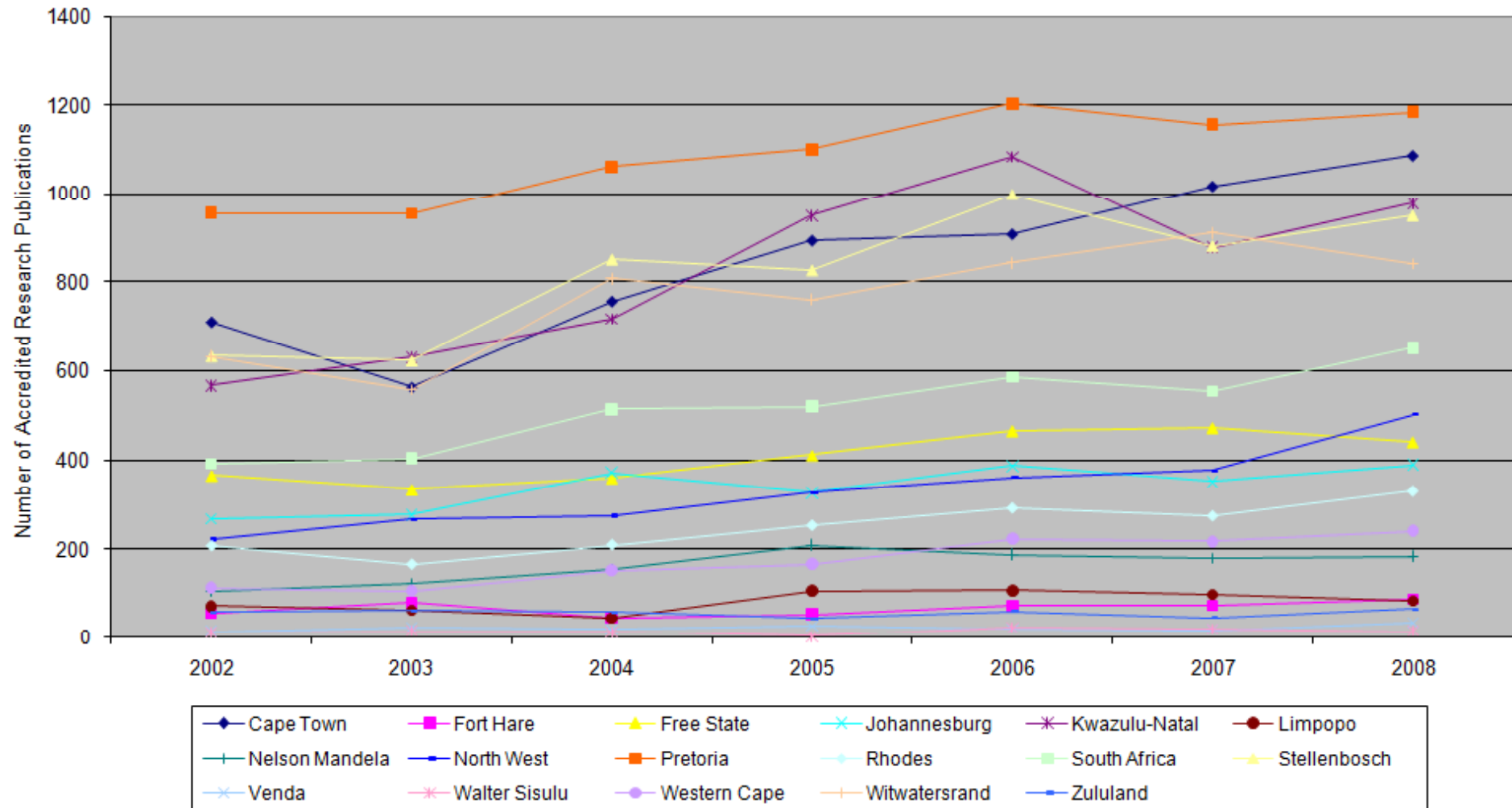


Research outputs

- Since 1997, UP has been the leading producer of research outputs among higher education institutions
- According to 2008 audit of the Department of Higher Education & Training 1183.92 audited units were approved for subsidy
- 71,25% of these journal units appeared in internationally recognized journals

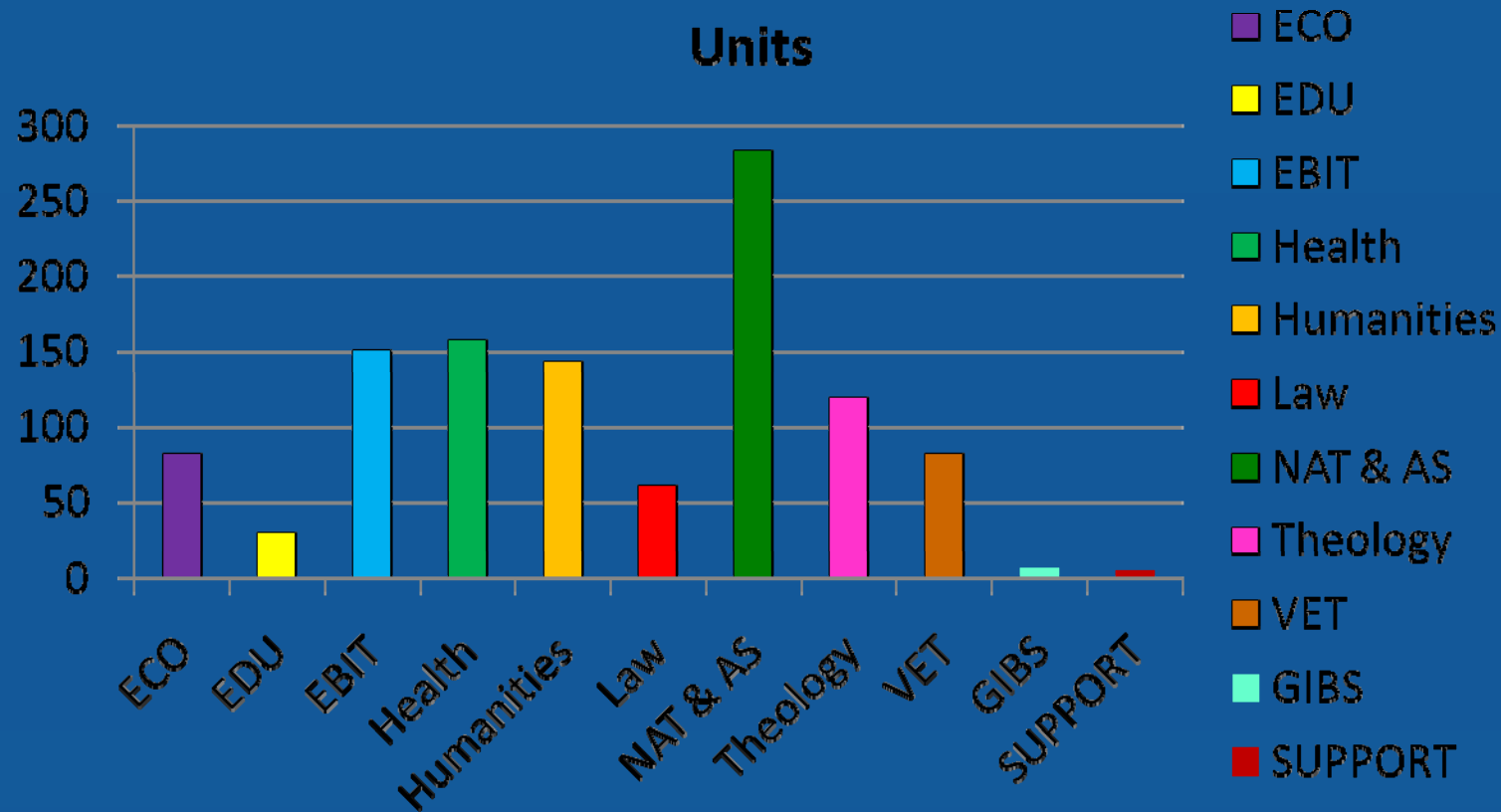


Research Output of Universities 2002-2008



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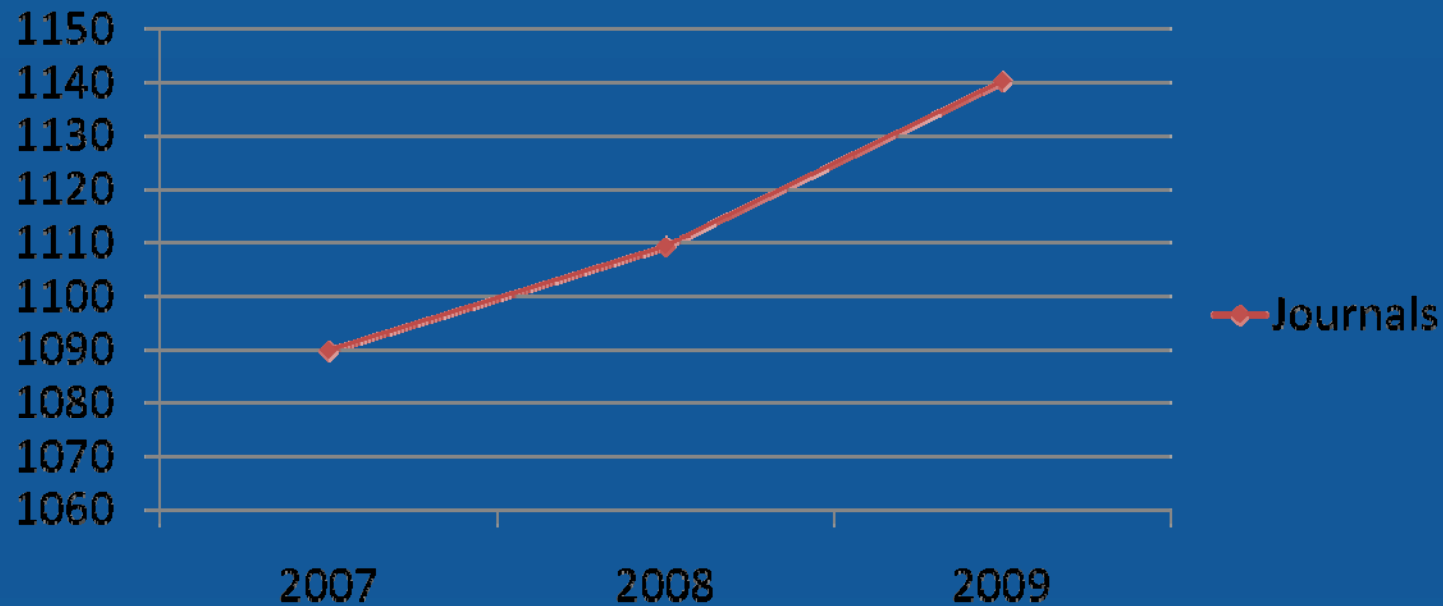
Faculty distribution of audited publication units 2009



Publication units



Journals



3. University of Pretoria's strategic plan

- Innovation Generation: Creating the Future, 2007–2011
- Central theme & primary goal : Becoming an internationally recognised South African teaching and research university
- Essential characteristics: academic excellence, quality & innovation
- 3 Key areas for achieving the University's goal:
 - Being a people-centered institution with excellent staff & students
 - To excel in teaching, research & community engagement
 - Adequate assistance from the University's support departments



4. Department of Library Services' strategic plan

- We strive to be a world-class modern academic research library thereby enabling the University of Pretoria to become an internationally recognized research university
- eService unit was created as part of the UPLS
- Responsible for the implementation of the e-Information strategy
- Library's strategic plan is an extension of the UP plan
- DLS is mentioned explicitly

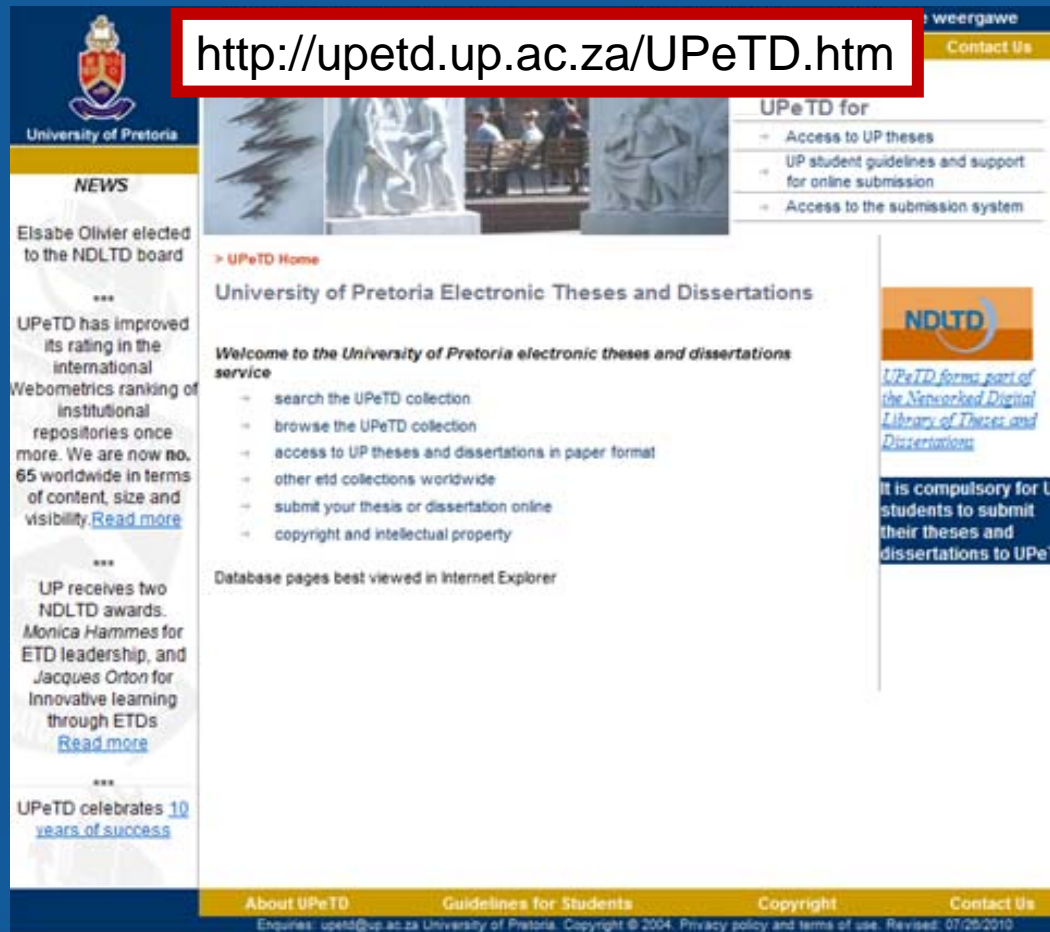


Little bit about the Department of Library Services

- One of the leading academic libraries in South Africa
- Six floor Merenssky 2 Library on the Hatfield campus is the head office of the UPLS
- UPLS occupies space in 11 other buildings spread over the 6 campuses of the university
- 242 staff members (75 professional, 82 support and 85 hourly)
- 8534 journal subscriptions
- 707 paper and 63 both paper & electronic subscriptions



Open Scholarship programme : UPeTD 2000



The screenshot shows the homepage of the University of Pretoria Electronic Theses and Dissertations (UPeTD) service. The page features a navigation menu at the top with 'weergawe' and 'Contact Us'. A prominent URL is displayed in a red-bordered box: <http://upetd.up.ac.za/UPeTD.htm>. The main content area includes a 'NEWS' section with three articles: 'Elsabe Oliver elected to the NDLTD board', 'UPeTD has improved its rating in the international Webometrics ranking of institutional repositories once more. We are now no. 65 worldwide in terms of content, size and visibility. [Read more](#)', and 'UP receives two NDLTD awards. Monica Hammes for ETD leadership, and Jacques Orton for Innovative learning through ETDs. [Read more](#)'. Below the news is a link for 'UPeTD celebrates 10 years of success'. The central part of the page is titled 'University of Pretoria Electronic Theses and Dissertations' and contains a list of services: search the UPeTD collection, browse the UPeTD collection, access to UP theses and dissertations in paper format, other etd collections worldwide, submit your thesis or dissertation online, and copyright and intellectual property. A note states 'Database pages best viewed in Internet Explorer'. On the right, there is a 'UPeTD for' section listing: Access to UP theses, UP student guidelines and support for online submission, and Access to the submission system. Below this is the NDLTD logo and a link to 'UPeTD forms part of the NDLTD Digital Library of Theses and Dissertations'. A blue box at the bottom right states 'It is compulsory for UP students to submit their theses and dissertations to UPeTD'. The footer contains links for 'About UPeTD', 'Guidelines for Students', 'Copyright', and 'Contact Us', along with the email 'upetd@up.ac.za' and copyright information for 2004.



Open Scholarship programme : Policy for etds 2003



Office of the Registrar

Policy on University of Pretoria electronic theses and dissertations

Policy Group/Category: Academic

Doc number: S 4844-46/08

1. Position statement

The University of Pretoria supports free access to research literature for all researchers worldwide and takes responsibility for the dissemination of its own research outputs. Because theses and dissertations are not formally published it is even more important that the University itself should provide access to them.

2. Purpose

This policy provides directions for the archiving and dissemination of theses and dissertations resulting from postgraduate work done by University of Pretoria students.

The policy aims to ensure that

- any student, researcher or member of the public with a non-commercial need for the information has access to it;
- the University's Research Report is complemented with the full text of theses and dissertations;
- mechanisms exist for the long-term preservation of UP theses and dissertations.

3. Policy statement

3.1 Each student should submit paper and electronic copies of his/her thesis/dissertation to the Postgraduate Office of the relevant faculty (Yearbook General Regulation G57.4(i)). Alternatively students may self-submit their theses/dissertations to the UPeTD system (<http://upetd.up.ac.za>).

3.2 These copies should be accompanied by the submission form (available at <http://upetd.up.ac.za/authors/publish/Engels.pdf>), fully completed and signed by the student, the supervisor as well as the postgraduate officer of the faculty. Particular care should be taken by supervisors and students to indicate their preferences regarding access to documents.


3.3 When possible theses and dissertations should be opened worldwide. The following exceptions are permitted:



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Open Scholarship programme : UPSpace 2006





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<http://repository.up.ac.za/>

UPSpace
Repository of the University of Pretoria, South Africa

Search UPSpace
Go

Advanced Search
Home

Browse
Communities & Collections
Titles
Authors
Subjects
By Date

Sign on to:
Receive email updates
My UPSpace authorized users
Edit Profile
About UPSpace

Welcome to UPSpace, the full text open access Institutional Repository of the University of Pretoria!
Number of full text items archived since January 2006: 12 200.

Communities in UPSpace
Choose a community to browse its collections.

- [Centre for the Study of AIDS \(CSA\) \(2 items\)](#)
- [Economic & Management Sciences \(1 097 items\)](#)
- [Education \(561 items\)](#)
- [Education Innovation \(47 items\)](#)
- [Engineering, Built Environment & Information Technology \(3 868 items\)](#)
- [Health Sciences \(807 items\)](#)
- [Humanities \(649 items\)](#)
- [Law \(458 items\)](#)
- [Library Services \(292 items\)](#)
- [Mapungubwe Collections \(66 items\)](#)
- [Natural & Agricultural Sciences \(1 281 items\)](#)
- [OpenUP \(5 061 items\)](#)
- [Research and Innovation \(1 item\)](#)
- [South African National Veterinary Repository \(242 items\)](#)
- [Special Collections \(1 260\)](#)
- [Theology \(1 646 items\)](#)
- [UP E-Press \(5 items\)](#)
- [UP Executive Office \(20 items\)](#)
- [UPSpace \(214 items\)](#)
- [University of Pretoria Archives \(279 items\)](#)
- [Veterinary Science \(707 items\)](#)

Chat with UPSpace
Offline

OPEN KNOWLEDGE

Search other repositories
[IRSpace](#) (SA & Africa)
[SciELO](#) (Worldwide)
[OAIster](#) (Worldwide)
[Google Scholar](#)
[Google](#)
[NDLTD](#) (ETDs Worldwide)

UPSpace supports Open Access!
[Read more](#) about OA
[UP Open Access Mandate](#)

Become part of the UPSpace community
[UPSpace Facebook](#)

[Searching/Browsing UPSpace](#)

[Contact us](#) for more information or [apply for a new collection](#)

Submit an item to UPSpace
[UPSpace Submission Guide](#) or follow the [animated tutorial](#)

[UPSpace Quick Guide](#) (add-on to Submission Guide)

[Download Adobe Reader](#) to access .pdf files

Need to type a special

Open Scholarship programme : OS Office 2007



<http://www.library.up.ac.za/openup/inex.htm>

Library Web WWW

Department of Library Services



Library Home

About the Library

Libraries / Units / Collections

E-Resources

Journals

Catalogue

Contact

openUP

UP Open Scholarship Programme (openUP)

Open Scholarship Programme

- Research Papers
- Theses and Dissertations
- Research Report support
- Open Access Journals

Open Access Policies

Author Tools

- Submission Quick Guide (PDF)
- Copyright Addendum
- Accredited OA Journals (SA)
- Access to the research paper submission system
- Access theses/dissertations submission system
- Access to UP research papers

Contact Us

- openUP Wiki
- openUP Strategy Map
- UP Open Access Scorecard
- OA Day

Chat with the Webmaster
Offline

Green indicates that the webmaster is available for web inquiries

Library Home >> Open Scholarship

About

The UP Open Scholarship Programme has the following objective:
To change scholarship practice at UP towards becoming an Open Scholarship institution with these characteristics

1. Theses and dissertations are available online and open access based on a policy of mandatory submission
2. Research and conference papers are available online and open access and researchers actively contribute based on a policy of mandatory submission
3. Researchers and students actively use open access material
4. Researchers publish in available open access journals and the institution has policy and financial support in place for that
5. Researchers actively manage the copyright of their publications, inter alia with addenda to their contracts or using Creative Commons contracts, and the necessary policy exists
6. Publications from the institution's press/publishing house are available in open access based on policy
7. The institution publishes its own online open access journals OR provides infrastructure and support for members of its community who are involved with society publishing
8. Dissemination forms part of its publication strategies

Click here to view the Strategy Map for the programme
Click here to view the UP Open Access Scorecard

Recent documents about the programme

UP mandate Africa - SPARC
Open Scholarship and research reporting in tandem : creating more value by Elsabe Olivier
The open access mandate : a key element of open scholarship by Monica Hammes
Third generation balanced scorecards for libraries by Monica Hammes

News

UP on ND LTD Board

Elsabé Olivier, Manager of the UP Open Scholarship programme has been elected to represent Africa on the ND LTD board.

UPeTD ranking improves from 95 to 65

In July 2010 UPeTD was ranked no. 65 worldwide in terms of content, size and visibility by the international Webometrics ranking.

UP receives two 2010 ND LTD awards

The ND LTD (Networked Digital Library of Theses and Dissertations) consortium annually recognizes students who have written exemplary theses or dissertations as well as leaders who have made significant contributions to the worldwide ETD movement.

Monica Hammes, recently retired deputy director of the Library Services who started the UP Open Scholarship programme received a leadership award.

Jacques Orton received an Innovative Learning through ETDs Award for his master's dissertation "Urban Agriculture, A Community Development Project". Jacques explored the possibilities in shifting ecological, environmental and economic trends towards less farm

Search

Catalogue Keyword Search

Google Scholar Search

Quick Links

- SHERPA
- OAKList
- Directory of OA Journals
- Journal Info
- Accredited Open Access Journals (SA)

My Library Space

- Ask / Chat to a Librarian
- My Library Record
- Interlending Info - Requests
- StudentWeb
- IntraUP

Open Scholarship programme: Mandate 2009 – first in Africa!

Registry of Open Access Repositories (ROAR)
<http://www.eprints.org/openaccess/policysignup/>

OA Self-Archiving Policy: University of Pretoria

HOME SOFTWARE SERVICES OPEN ACCESS

OA Self-Archiving Policy: University of Pretoria

[Full list of institutions](#)

University of Pretoria (SOUTH AFRICA)

<http://www.up.ac.za>

Institution's/Department's OA Eprint Archives

University of Pretoria Electronic Theses and Dissertations (UPeTD) [\[growth data\]](#) <http://upetd.up.ac.za>

Institution's/Department's OA Self-Archiving Policy

Thesis/Dissertation Policy

Since 2004 it has been mandatory for students to submit an electronic copy to the repository before graduation. 2000-2003 etds are digitized and added retrospectively by appointed staff.

Access is generally open but provision is made for (and clearly indicated)

- access on campus only
- 2-year embargo for publishing and patent negotiations
- indefinite embargo for sensitive information or sponsorship/funding conditions

Added by: Monica Hammes (Assistant Director, Library Services) monica.hammes AT up.ac.za on 12 Mar 2009

University of Pretoria (SOUTH AFRICA)*

[institutional-mandate](#)

<http://web.up.ac.za/>

Institution's/Department's OA Eprint Archives

<http://www.up.ac.za/13132321> <http://upetd.up.ac.za/>

Institution's/Department's OA Self-Archiving Policy

To assist the University of Pretoria in providing open access to scholarly articles resulting from research done at the University, supported by public funding, staff and students are required to:

- submit peer-reviewed postprints* + the metadata of their articles to UPSpace, the University's institutional repository, AND
- give the University permission to make the content freely available and to take necessary steps to preserve files in perpetuity.



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Open Scholarship programme : Implementation 2010



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English | Afrikaans |
Campus News

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Site links
Faculties
Select One

Departments
Select One

Units & Centres
Select One

Support Services
Select One

Campus News Articles

Have you published research articles in 2010?

By Elsabé Olivier
Posted on 11 November 2010

Support the Open Access mandate which was accepted last year and forward the postprint version of your research article/s to openup@up.ac.za.

What is a postprint? The postprint is the author's final, corrected but **unformatted** version after peer-review, that in terms of content will be similar to the published article, but in terms of appearance will not be the same as the published article. Most international publishers such as Elsevier, Springer, Taylor & Francis and Oxford University Press often reserve for themselves their own arrangement of typesetting, branding and formatting.

What are the most important benefits for you?

- More readers for your articles at an early stage and hence more citations;
- an updated list of all your research articles while you are employed at the university - even after your retirement;
- the University's annual **Research Report** is complemented with the full text of the research articles;
- mechanisms exist for the long-term preservation of UP research publications.

Do you need to know more? Please contact openup@up.ac.za.

Send us your comments

<< Back to the newsletters

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Open Scholarship programme

- Office
- Two full time staff members, 4 contract workers & volunteers
- Programmes in operation
 - UPeTD
 - openUP
 - Research Report collaboration
 - Open Access journal initiatives on campus



Characteristics of an Open Scholarship institution

- Theses and dissertations are available online – policy-based (UPeTD)
- Research and conference papers are available online – policy-based (openUP)
- Researchers and students actively use open access material
- Researchers publish in available open access journals and the institution has policy and financial support in place for that (Open Access mandate)
- Researchers actively manage the copyright of their publications (Copyright addendum)
- Publications from the institution's press/publishing house are available in open access based on policy - PULP
- The institution publishes its own online open access journals OR provides infrastructure and support for members
- Dissemination forms part of its publication strategies



Open Scholarship goal



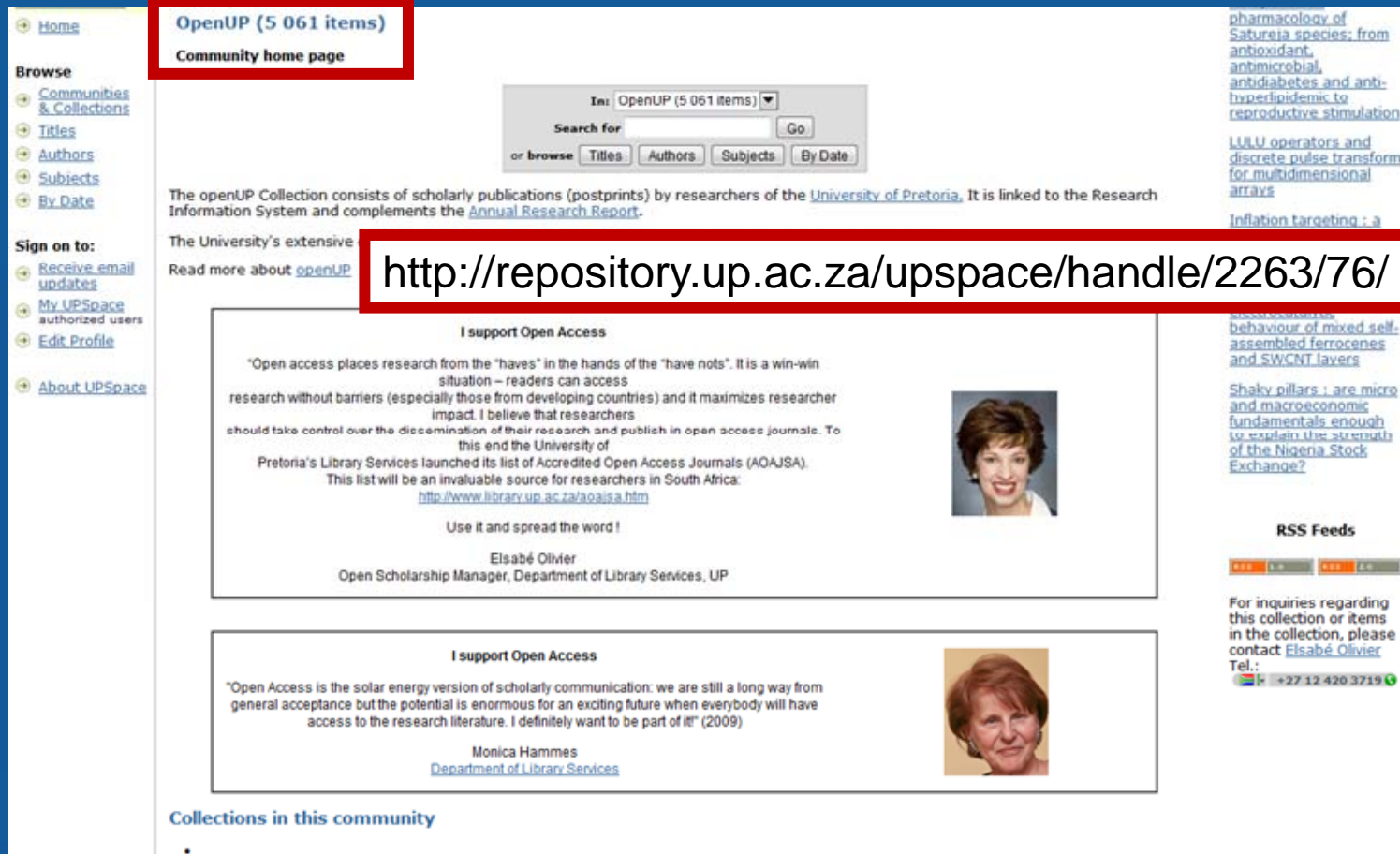
Change scholarship practice at UP towards becoming an Open Scholarship institution



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openUP success : 5084 items!



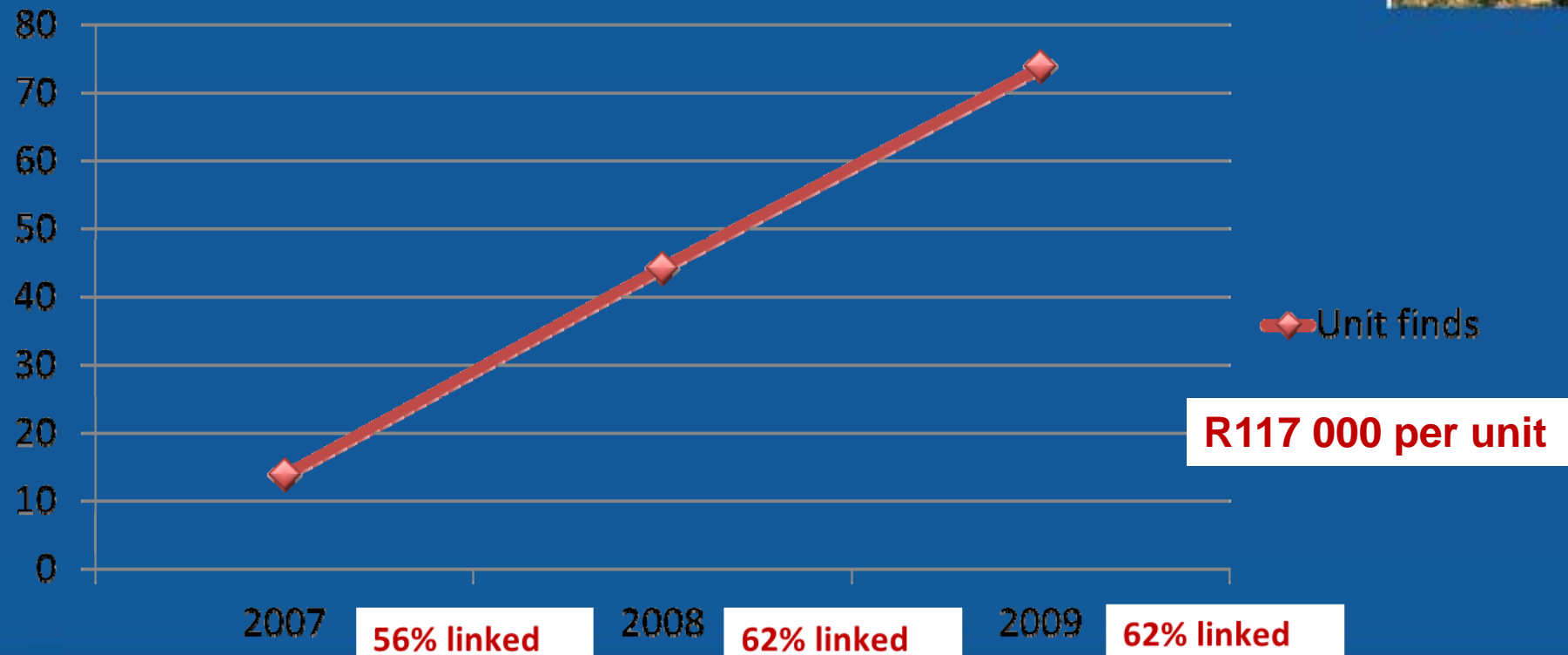
The screenshot displays the openUP repository interface. On the left, there is a navigation menu with options like Home, Browse (Communities & Collections, Titles, Authors, Subjects, By Date), Sign on to (Receive email updates, My UPspace, Edit Profile, About UPspace), and a search bar. The main content area features a search bar with 'In: OpenUP (5 061 items)' and a search button. Below the search bar, there is a text box stating: 'The openUP Collection consists of scholarly publications (postprints) by researchers of the University of Pretoria. It is linked to the Research Information System and complements the Annual Research Report.' A red box highlights the URL: <http://repository.up.ac.za/upspace/handle/2263/76/>. Below this, there are two sections titled 'I support Open Access'. The first section features a quote from Elsabé Olivier, Open Scholarship Manager, Department of Library Services, UP, and includes her portrait. The second section features a quote from Monica Hammes, Department of Library Services, and includes her portrait. On the right side, there are links to various articles, including 'pharmacology of Satureja species: from antioxidant, antimicrobial, antidiabetes and anti-hyperlipidemic to reproductive stimulation', 'LULU operators and discrete pulse transform for multidimensional arrays', 'Inflation targeting : a', 'behaviour of mixed self-assembled ferrocenes and SWCNT layers', and 'Shaky pillars : are micro and macroeconomic fundamentals enough to explain the strength of the Nigeria Stock Exchange?'. There is also an RSS Feeds section with two RSS icons and contact information for inquiries: 'For inquiries regarding this collection or items in the collection, please contact [Elsabé Olivier](#) Tel.: +27 12 420 3719'.



openUP success : Research finds 131.90 units



Unit finds

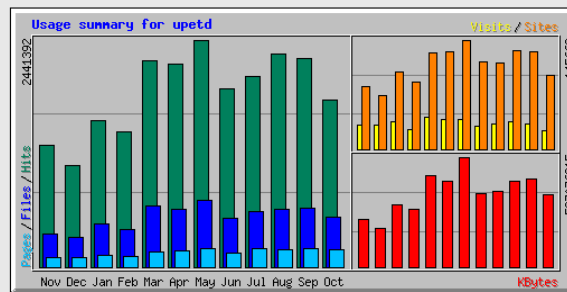


UPeTD success : Usage statistics



Usage Statistics for upetd

Summary Period: Last 12 Months
Generated 24-Oct-2010 06:48 SAST



Summary by Month											
Month	Daily Avg				Monthly Totals						
	Hits	Files	Pages	Visits	Sites	KBytes	Visits	Pages	Files	Hits	
Oct 2010	74840	22460	7998	1048	99412	384564158	25158	191959	539049	1796170	
Sep 2010	74646	21020	6810	1123	129408	472849033	33713	204300	630609	2239384	
Aug 2010	73881	20084	5907	1155	131191	455440252	35820	183147	622629	2290340	
Jul 2010	66272	19015	6318	1077	114990	402896392	33396	195866	589489	2054448	
Jun 2010	64093	17605	5014	1012	116344	391539426	30379	150431	528150	1922802	
May 2010	78754	23174	6437	1288	145662	587076215	39938	199550	718394	2441392	
Apr 2010	72636	20479	5974	1324	129744	459524506	39746	179223	614383	2179106	
Mar 2010	71509	21005	5409	1370	128338	486681090	42480	167694	651170	2216790	
Feb 2010	68751	19221	5546	1237	90238	306685134	25991	116469	403653	1443778	
Jan 2010	50753	14773	4161	1161	104024	333897782	36017	129011	457987	1573356	
Dec 2009	35254	10110	3425	1040	71788	208246663	32251	106178	313415	1092904	
Nov 2009	43517	11812	3489	1078	84696	255056524	32348	104671	354	1092904	
Totals						4744457175	407237	1928499	6423	22556000	

Generated by [Webalizer Version 2.01](#)



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UPeTD success : NDLTLD Awards

- NDLTLD Innovative ETD 2005 : Pienaar, Rousseau
- NDLTLD Innovative ETD 2007 : Van den Heever, Annemie
- NDLTLD Innovative Learning through ETDs 2010 : Orton, Jacques
- NDLTLD Leadership Award 2010: Monica Hammes



NDLTLD
NETWORKED DIGITAL LIBRARY OF THESES AND DISSERTATIONS

<http://www.ndltd.org/>

Welcome to the Networked Digital Library of Theses and Dissertations (NDLTLD), an international organization dedicated to promoting the adoption, creation, use, dissemination, and preservation of electronic theses and dissertations (ETDs). We support electronic publishing and open access to scholarship in order to enhance the sharing of knowledge worldwide. Our website includes resources for university administrators, librarians, faculty, students, and the general public. Topics include how to find, create, and preserve ETDs; how to set up an ETD program; legal and technical questions; and the latest news and research in the ETD community.

subnavigation

for Researchers:
Find ETDs

for Authors:
Submit ETDs

for Institutions:
Manage ETDs

News

- Global outreach through open access: bridging the knowledge divide - Open Access Week 2010 2010-10-21
- Bid to Host ETD 2013 2010-09-13
- Back from ETD 2010 - Open access theses do predate Google Books 2010-06-22
- Survey on International Activities 2010-05-02
- eIFL.net and NDLTD signed a Memorandum of Understanding 2010-05-01

Hot Topics

- ETD 2011 - 14th International Symposium on Electronic Theses and Dissertations Cape Town, South Africa, 2011-09-13
- ETD 2012 - 15th International Symposium on Electronic Theses and Dissertations Lima, Peru, 2012-09-12

[Previous hot topics...](#)

[More news...](#)

Website development funded by UNESCO, Adobe Systems Inc. and NDLTD members.

UP journals in Open Access mode

- African Human Rights Law Journal
- HTS Teologiese Studies/Theological Studies
- Verbum et Ecclesia
- South African Journal of Art History
- Onderstepoort Journal of Veterinary Research



Conclusion

- The Open Scholarship programme
 - is aligned with the strategic focus & objectives of the university & the library
 - is integrated with the UP structures and systems
 - provides access to information & contributes to research, teaching & learning
- But it also
 - contributes to enhancing Africa's research outputs
 - contributes to national and international university librarianship
- Cost values
 - Cost value of UPeTD is more than R90 million according to cost analysis
 - openUP's cost value lies in the Research article finds



So, the transformed library is not only mission-focused, but also assesses its effectiveness by the degree to which it realizes direct impacts within the campus community and in the scholarly world. (Simmons-Welburn et al 2008)



References

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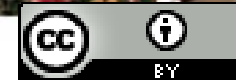
University of Pretoria

Thank you!
Questions?

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elsabe.olivier@up.ac.za



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